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(54) Abstract Title
Liquid crystal device and compositions

(57) The anchoring energy or viscosity of a liquid crystal is reduced by the addition of a non-polymerisable low molecular weight surfactant. Such a liquid crystal may form part of a bistable nematic device, the device further including a surface alignment structure capable of inducing the director to adopt two different tilt angles in the same azimuthal direction. The surfactant may be a thiol and preferably trimethylolpropane tris (3-mercaptopropionate) (TMP) or pentaerythritol tetrakis (3-mercaptopropionate) (PTMP).

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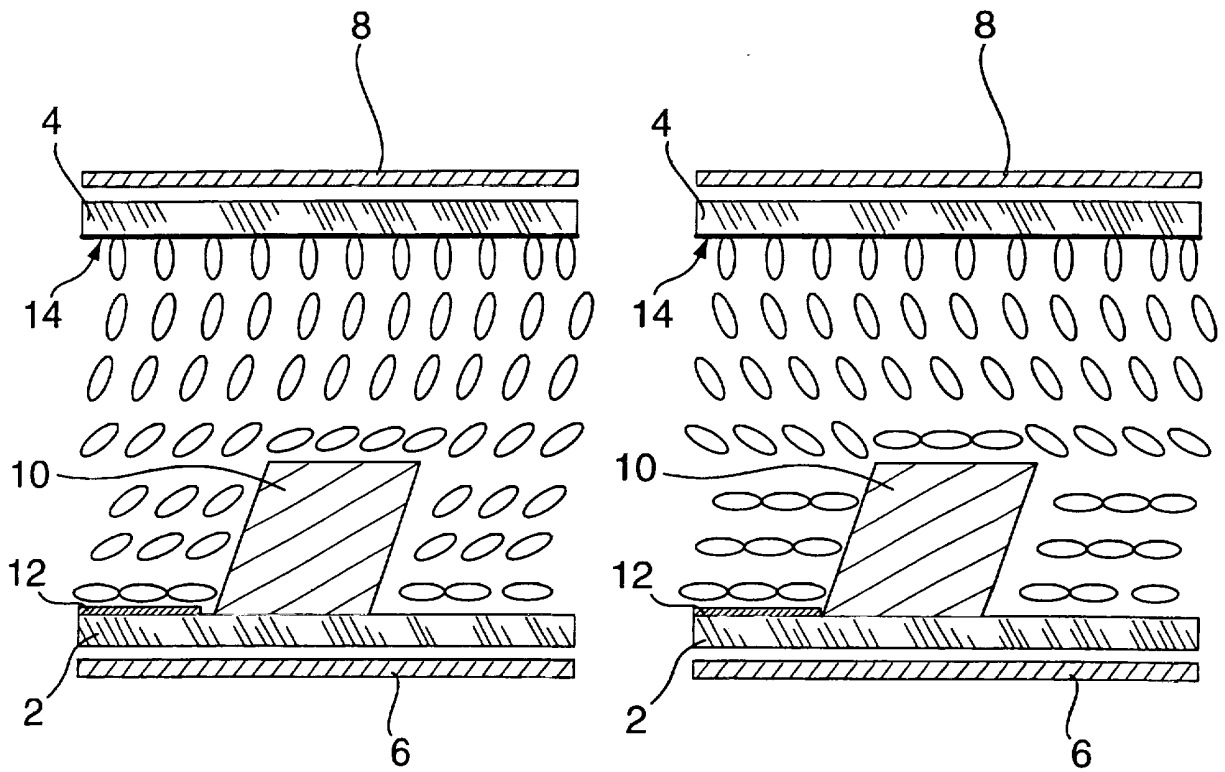


Fig. 1

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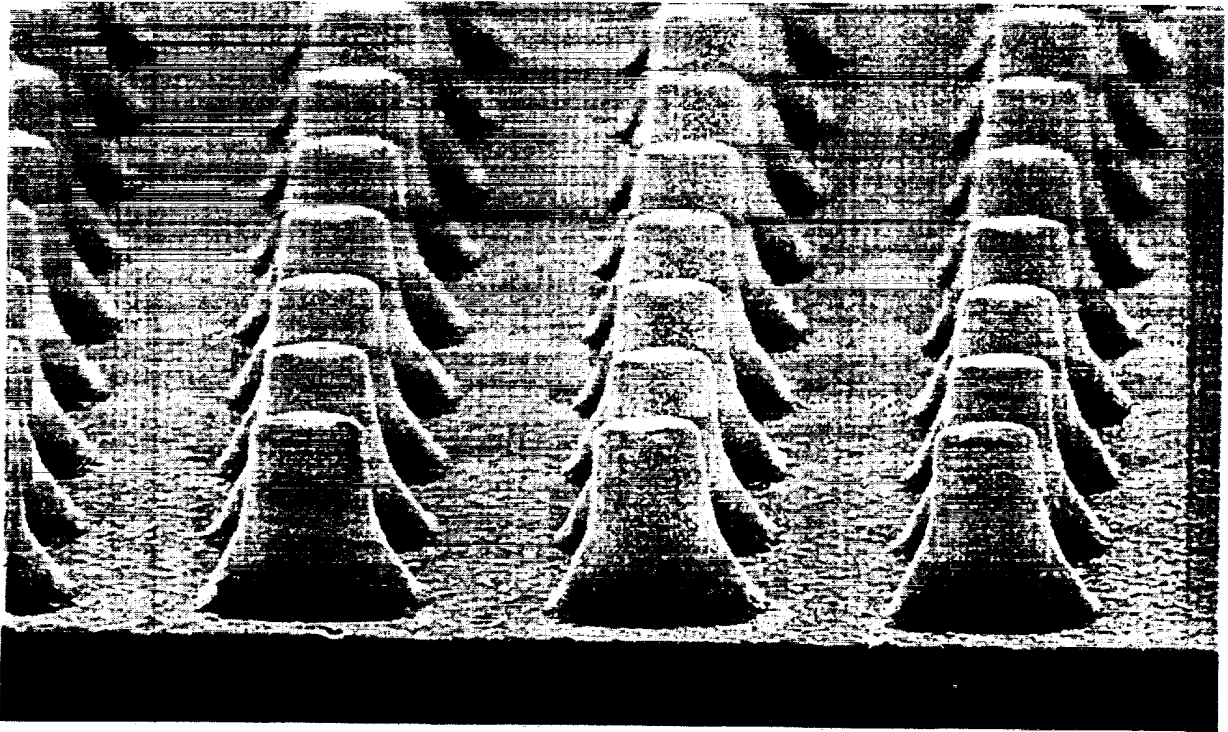


Fig. 2

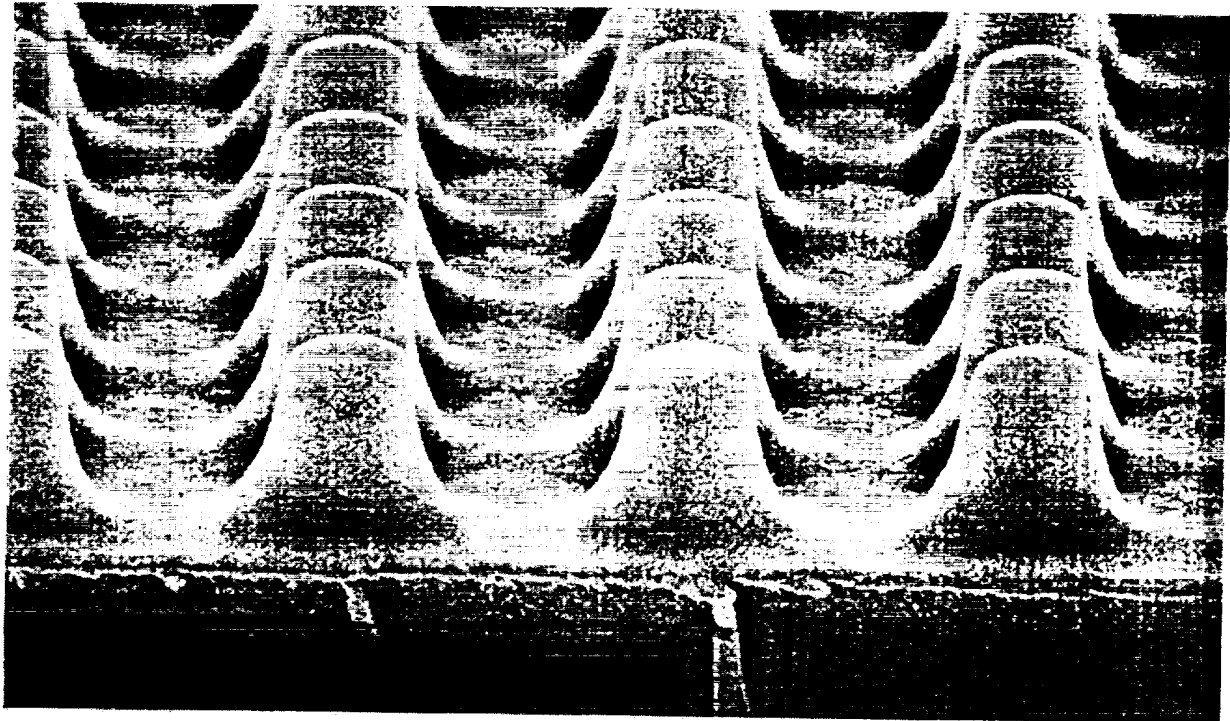


Fig. 3

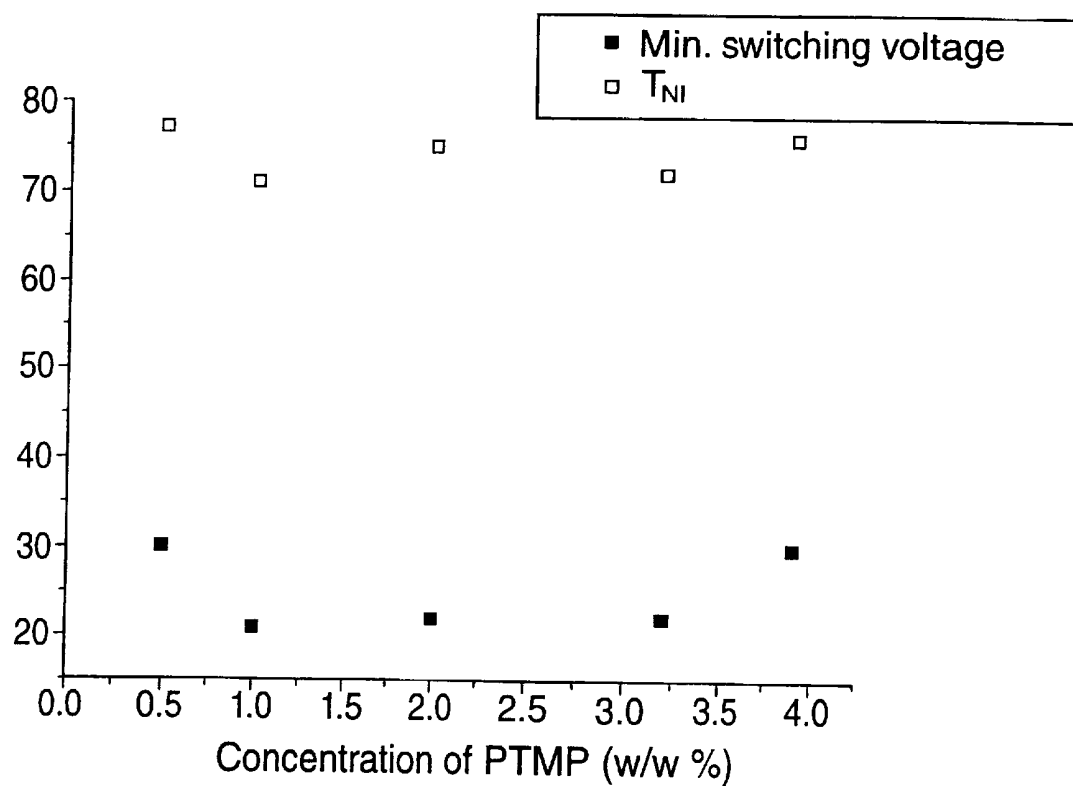


Fig. 4

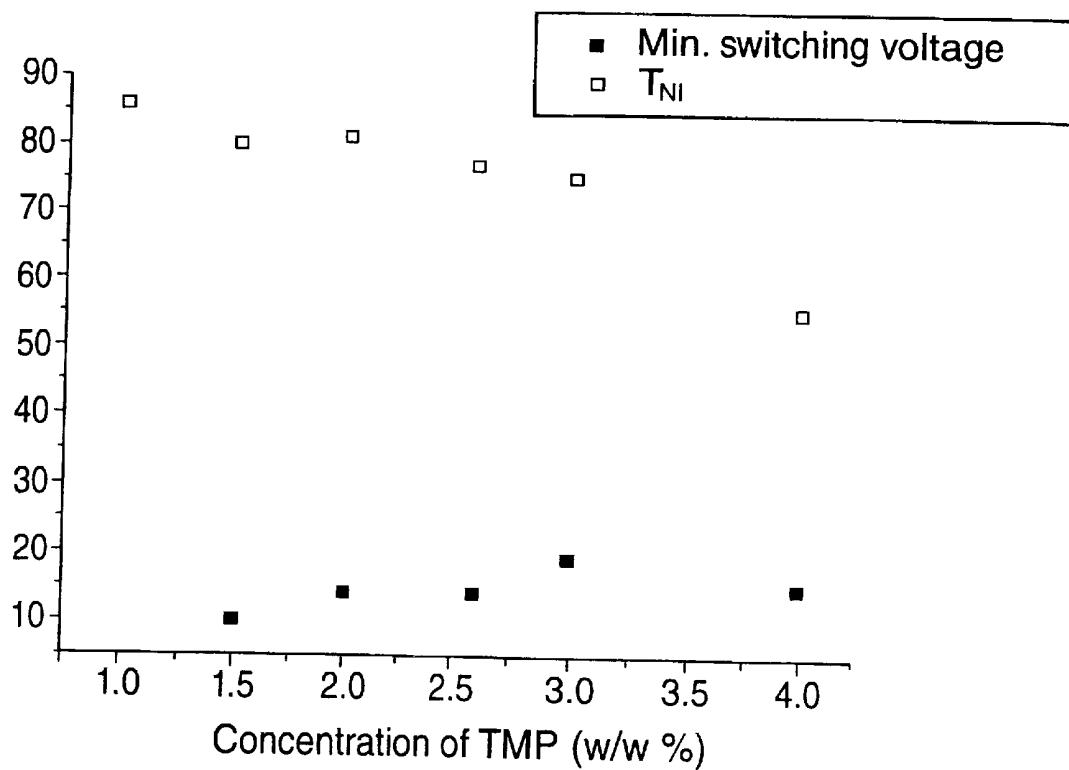


Fig. 5

LIQUID CRYSTAL DEVICE AND COMPOSITIONS

FIELD OF THE INVENTION

5 The present invention relates to liquid crystal compositions and liquid crystal devices containing these compositions.

BACKGROUND OF THE INVENTION

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Liquid crystal ("LC") devices typically comprise a pair of opposed, spaced-apart cell walls with LC material between them. The cell walls have transparent electrode structures for applying electric fields to align the LC molecules. There are three main types of LC materials: nematic, cholesteric (chiral nematic) and smectic, all of which find application in different types of LC display. Many different LC display modes are known in the art. A common feature of these display modes is that each requires a surface alignment on at least one of the cell walls to provide an appropriate alignment of the LC director. Prior art display modes and methods of obtaining desired surface alignments are discussed in WO 99/18474 and EP 1 139 151.

25

It is known from WO 99/18474 to provide an oligomer or short chain polymer either spread on the surface or within the LC material at the cell walls, to reduce anchoring energy at the surface alignment structure. Benefits include reduced operating voltage. In the examples, the oligomer or short chain polymer is formed by curing a UV-

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curable material such as Norland N65, or by copolymerising a mixture of dithiols and di(vinyl ethers), in a LC host.

One problem with the use of oligomers or short chain
5 polymers in prior art devices is that the polymerisation process results in a range of molecular weights, and the anchoring properties vary with different molecular weight ranges. This can lead to difficulties in reproducing the desired properties in a manufacturing process. Moreover,
10 further polymerisation may take place over time in a device when in use. The use of reactive monomeric species may also limit the number of available component LC materials in the host mixture because of the need to avoid using components which may react with the monomeric
15 species.

We have now surprisingly found that the switching properties of a LC display may be improved by the addition of non-polymerisable low molecular weight surfactant
20 molecules to the LC.

SUMMARY OF THE INVENTION

Accordingly, a first aspect of the invention provides a
25 liquid crystal device comprising a layer of a liquid crystal material contained between two spaced-apart cell walls provided with electrode structures for applying an electric field across at least some of the liquid crystal material, at least one of the cell walls being provided
30 with an alignment structure; wherein the liquid crystal material includes non-polymerisable low molecular weight

surfactant molecules.

Use of a non-polymerisable low molecular weight surfactant material provides improved switching characteristics which
5 are more precisely controllable and reproducible than oligomeric or polymeric additives, and provides a simpler manufacturing process. Although some of the additives could be polymerisable in combination with other suitable functionalised monomers, such combinations are not used in
10 the invention.

The term "alignment structure" is used herein to refer to any structure, coating or other treatment applied to a surface to effect a desired alignment of the local LC
15 director. The term includes conventional surface treatments such as evaporated silicon monoxide or rubbed polyimide or PVA, and also newer alignment structures such as gratings, arrays or posts or arrays of holes.

20 The term "surfactant" in the context of devices in accordance with the invention is used herein to refer to a substance which is at least partially soluble in the LC and which has a portion that is preferentially attracted to the alignment structure.

25

The molecules preferably have a molecular weight less than about 2000. It is particularly preferred that the molecular weight is less than 1000, notably 200 to 600.

30 The surfactant molecules may comprise a single chemical species or a mixture of chemical species. Where there is

more than one type of surfactant, it is preferred that the different surfactants do not react chemically with each other. It is of course desirable that the surfactant molecules also do not chemically react with any component of the LC material so that the LC mixture is chemically stable and non-polymerisable under normal operating conditions and during manufacture and storage.

Any suitable surfactant or surfactants may be used, for example containing thiol, ester, ether or alcohol functionality. Surfactants with thiol functionality, notably terminal thiol functionality, are particularly preferred.

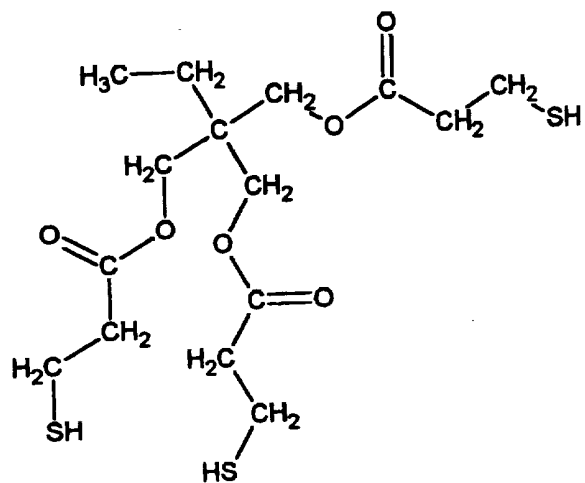
Each surfactant molecule may have only one such functional group, but in a preferred embodiment the surfactant molecules are provided with more than one functional group, preferably three or four.

Two surfactants which we have found to be particularly effective are trimethylolpropane tris(3-mercaptopropionate) (TMP) and pentaerythritol tetrakis (3-mercaptopropionate) (PTMP).

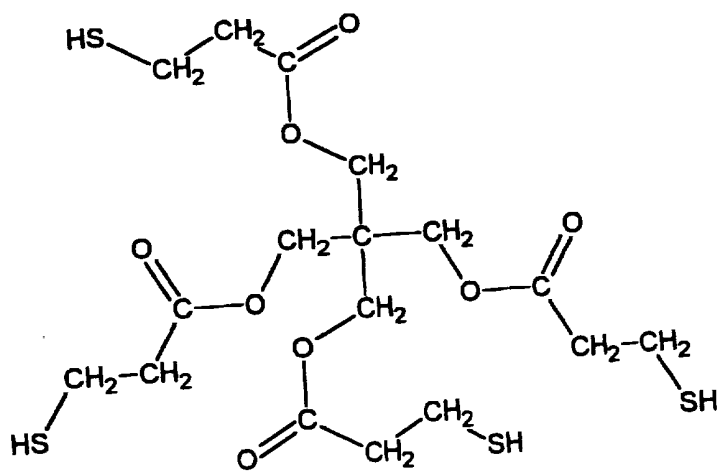
The invention is applicable to many different types of LC display, for example twisted nematic, supertwisted nematic, hybrid aligned nematic, vertically aligned nematic, surface stabilised ferroelectric smectic, cholesteric phase change, dynamic scattering, and the various bistable nematic modes described in WO 99/18474 and EP 1 139 151. The alignment structure may result from

a conventional alignment treatment, or to structures such as posts or holes. For convenience hereinafter the invention will be described with reference to a Post-Aligned Bistable Nematic (PABN) display of a type
5 described in EP 1 139 151.

Without limiting the invention in any way, we theorise that the thiol groups at the end of the chains may make the materials surface specific, so that they tend to
10 migrate to the cell surfaces, in particular to an alignment grating or post structure. We conjecture that switching may be improved by the formation of a very thin layer on top of the grating surface which disrupts the order of the LC at that interface, thereby lubricating the
15 interactions. Lowering the order at the surface would also explain how the additives improve the uniformity of the alignment, by effectively smoothing out surface defects. We believe that the reduced order at the surface alignment structure causes a reduction in the anchoring
20 energy and/or viscosity of the LC adjacent to the alignment structure. Another possible mechanism is the additives may change one or more of the elastic constants of the LC.



TMP



PTMP

The amount of surfactant material which is effective to improve performance of the LC display will depend on its surface specificity and how well it mixes with the LC. If the additive is strongly surface specific then the maximum concentration is lower. If the concentration is too high we have found that switching fails. The alignment becomes very mobile, as if the surface becomes too lubricated, and the states become unstable. We believe that the surfactant may effectively shield the LC from the surface anchoring, thereby preventing switching.

The optimum level of additive will depend on the chemical nature of the additive, the LC mixture, the cell spacing, and the chemical nature and surface area of the surface alignment structure. If the cell spacing is narrow, the cell will have a higher surface to volume ratio. Therefore for a thin cell gap there will be a very high surface area alignment and the role of the surfactant will be more important. A generally preferred range for the surfactant additive is 0.01% to 10%, preferably 0.5% to 10%, particularly preferably 1.5% to 6% by weight of LC. For TMP, the maximum occurs at about 9%.

We think that switching failure occurs when the additive effectively screens the LC from the surface alignment layers. We have found that additives that do not mix well with the LC do not behave as useful dopants. We theorise that this is because they make the surfaces too slippery and actually inhibit switching. We have found that the most useful surfactant dopants mix well with the LC prior to filling the cell and show some surface specific nature.

Another aspect of the invention provides a liquid crystal device comprising a layer of a liquid crystal material contained between two spaced-apart cell walls carrying
5 electrode structures and an alignment treatment on at least one wall, and means for reducing anchoring energy and/or viscosity of the liquid crystal at the surface alignment on one or both cell walls;
wherein the means for reducing anchoring energy and/or
10 viscosity comprises non-polymerisable low molecular weight surfactant molecules.

The surfactant molecules may be added to the LC prior to cell assembly. Alternatively, it would also be possible
15 to coat the alignment surface with the surfactant and then assemble and fill the cell with a conventional LC mixture.

According to a further aspect of the invention there is provided a bistable nematic liquid crystal device
20 comprising:
a first cell wall and a second cell wall enclosing a layer of nematic liquid crystal material;
electrodes for applying an electric field across at least some of the liquid crystal material;
25 a surface alignment structure on the inner surface of at least the first cell wall providing alignment to the liquid crystal molecules;
the surface alignment structure comprising an array of features which have a shape and/or orientation to induce
30 the director adjacent the features to adopt two different tilt angles in substantially the same azimuthal direction;

the arrangement being such that two stable liquid crystal
molecular configurations can exist after suitable
electrical signals have been applied to the electrodes;
and means for reducing anchoring energy and/or viscosity
5 of the liquid crystal at the said surface alignment
structure, said means comprising non-polymerisable low
molecular weight surfactant molecules.

The liquid crystal compositions for use in the LC devices
10 are novel. Accordingly, another aspect of the invention
provides a liquid crystal composition which includes at
least one non-polymerisable low molecular weight
surfactant. The LC composition may be manufactured by
adding the surfactant to a conventional LC composition,
15 which may be nematic, cholesteric or smectic.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be further described, by way of example, with reference to the following drawings in which:

10 Figure 1 shows schematic views of a cross section through a single post and the surrounding LC of a known bistable nematic device, along one of the diagonals of a post, in different states;

15 Figure 2 is SEM photomicrograph of an array of posts for use in the device of Figure 1 after exposure to a conventional LC composition;

20 Figure 3 is a SEM photomicrograph of a similar array of posts to those shown in Figure 2 after exposure to a LC composition in accordance with one aspect of the invention, containing TMP;

25 Figure 4 is a graph of minimum switching voltages and T_{N-I} for a PABN device doped with different concentrations of PTMP; and

30 Figure 5 is a graph of minimum switching voltages and T_{N-I} for a PABN device doped with different concentrations of TMP.

DETAILED DESCRIPTION

The bistable nematic cell shown schematically in Figure 1 is of a type known *per se* from EP 1 139 151. The cell
5 comprises a first cell wall 2 and a second cell wall 4 which enclose a layer of nematic LC material of negative dielectric anisotropy. The molecules of the LC are represented as ellipses, with the long axis indicating the local director. The inner surface of each cell wall is
10 provided with a transparent electrode pattern, for example row electrodes 12 on the first cell wall 2 and column electrodes 14 on the second cell wall 4, in a known manner.

15 The inner surface of the first cell wall 2 is textured with an array of square posts 10, and the inner surface of the second cell wall 4 is flat. The posts 10 are approximately 1 μm high and the cell gap is typically 3 μm . The flat surface is treated to give homeotropic
20 alignment. The posts are not homeotropically treated.

Such an array of square posts has two preferred alignment directions in the azimuthal plane. These are along the two diagonals of the post.

25

By tilting the posts along one of the diagonals it is possible to favour that alignment direction. Through computer simulation of this geometry we found that although there is only one azimuthal alignment direction
30 there are in fact two states with similar energies but which differ in how much the LC tilts. Figure 1 is a schematic of the two states. In one state (shown on the

left of Figure 1) the LC is highly tilted, and in the other it is planar around the posts. The exact nature of the LC orientation depends on the details of the structure, but for a range of parameters there are two distinct states with different magnitudes of tilt away from the cell normal. The two states may be distinguished by viewing through a polariser 8 and an analyser 6. The low tilt state has high birefringence and the high tilt state has low birefringence. Tilting the posts sufficiently along the diagonal also serves to eliminate reverse tilt states.

Cell Manufacture

15 A clean glass substrate 2 coated with Indium Tin Oxide (ITO) was taken and electrode patterns 12 were formed using conventional lithographic and wet etch procedures. The substrate was spin-coated with a suitable photoresist (Shipley S1813) to a final thickness of $1.3 \mu\text{m}$.

20 A photomask (Compugraphics International PLC) with an array of suitably-dimensioned square opaque regions in a square array, was brought into hard contact with the substrate and a suitable UV source was used to expose the photoresist for 10 s at $\sim 100 \text{ mW/cm}^2$. The substrate was developed using Microposit Developer diluted 1:1 with deionised water for approximately 20 s and rinsed dry. The substrate was flood exposed using a 365 nm UV source for 3 minutes at 30 mW/cm^2 , and hardbaked at 85°C for 12 hours.

30 The substrate was then deep UV cured using a 254 nm UV source at $\sim 50 \text{ mW/cm}^2$ for 1 hour. By exposing through the

mask using a UV source at an offset angle to the normal to the plane of the cell wall, tilted posts could be produced.

5 A second clean ITO substrate 4 with electrode patterns 14 was taken and treated to give a homeotropic alignment of the liquid crystal. Various homeotropic alignment treatments are known in the art and are suitable for use in the present invention.

10

A LC test cell was formed by bringing the substrates together using suitable spacer beads (Micropearl) contained in UV curing glue (Norland Optical Adhesives N73) around the periphery of the substrates 2, 4, and
15 cured using a 365 nm UV source. The cell was capillary filled with a nematic liquid crystal mixture (Merck ZLI 4788-000) doped with a non-polymerisable low molecular weight surfactant. Methods of spacing, assembling and filling LC cells are well known to those skilled in the
20 art of LCD manufacture, and such conventional methods may also be used in the spacing, assembling and filling of devices in accordance with the present invention.

Experimental Results

25

Very small concentrations of low molecular weight surfactant were found to improve the switching, consistent with the idea that the material is surface specific. Further evidence comes from SEM pictures of grating
30 surfaces. Figure 2 shows a grating surface with posts about 0.8 μm high. The grating surface has been soaked in

pure LC for a few days. Figure 3 shows a similar grating soaked in a LC mixture containing 2% w/w of TMP. The grating is much more rounded and it appears that there is a layer covering the grating. This layer is much thicker than we expect to get in a real device because the volume of LC mixture used to soak the grating (a few ml) is much greater than in a device (a few μ l).

The concentrations of surfactants quoted herein are theoretical values based on the weights of surfactant and LC which are mixed together. If mixing is incomplete, the effective concentration of surfactant in LC will be lower.

Switching results were recorded for various surfactants at various concentrations. The cells were switched by applying monopolar voltage pulses which have the same amplitude for each switching direction, but opposite polarity. The switching voltages recorded are the minimum amplitudes for which the cell will switch in both directions with 50 ms pulses. Three comparative results for different additives are given in Table 1.

Material	Concentration (w/w %)	Switching voltage
Trimethylolpropane tris (3-mercaptopropionate)	5.4	15
pentaerythritol tetrakis (3-mercapto-propionate)	3.9	30
Allyl acetate	5	65

TABLE 1

The results given in Table 1 were recorded at room temperature. The PABN device containing the LC without a surfactant additive will not switch at room temperature.

5

Results are shown in Table 1 for thiol materials and allyl acetate, which may independently be used. However allyl functional groups react with thiols so it is preferred that these additives are not mixed or present in the same LC mixture. However, such mixtures could be employed providing the additives do not react together to form a polymer or oligomer.

Results for PABN cells containing ZLI-4788-000 doped with different concentrations of PTMP and TMP are shown graphically in Figures 4 and 5 respectively. In both graphs, the y-axis represents voltage for the switching characteristics of the cell, and also temperature (in Celsius) for the T_{N-I} (clearing temperature) values at which the LC undergoes a phase transition from nematic to isotropic liquid.

The cells can be switched at room temperature using concentrations of PTMP as low as 0.5%, and of TMP as low as 1.5%. Switching voltages of 30 V or less are required, and the doped cells have a more uniform alignment. Without dopants, no switching is observed at room temperature using voltages up to 90 V.

30 The features disclosed in the foregoing description or the accompanying drawings, expressed in their specific form or

in terms of a means for performing the disclosed function, or a method or process for attaining the disclosed results may, separately or in any combination of such features, be used to realise the invention in various forms thereof.

5

While the invention has been described with reference to specific embodiments thereof it is to be understood that the invention is not limited to these embodiments. Many variations and departures from the described embodiments
10 may be made within the scope of the invention defined by the claims.

CLAIMS

1. A liquid crystal device comprising a layer of a liquid crystal material contained between two spaced-apart cell walls provided with electrode structures for applying an electric field across at least some of the liquid crystal material, at least one of the cell walls being provided with an alignment structure; wherein the liquid crystal material includes non-polymerisable low molecular weight surfactant molecules.

2. A liquid crystal device comprising a layer of a liquid crystal material contained between two spaced-apart cell walls carrying electrode structures and a surface alignment structure on at least one wall, and means for reducing anchoring energy and/or viscosity of the liquid crystal at the surface alignment on one or both cell walls; wherein the means for reducing anchoring energy and/or viscosity comprises non-polymerisable low molecular weight surfactant molecules.

3. A bistable nematic liquid crystal device comprising: a first cell wall and a second cell wall enclosing a layer of nematic liquid crystal material; electrodes for applying an electric field across at least some of the liquid crystal material; a surface alignment structure on the inner surface of at least the first cell wall providing alignment to the liquid crystal molecules; the surface alignment structure comprising an array of

features which have a shape and/or orientation to induce the director adjacent the features to adopt two different tilt angles in substantially the same azimuthal direction; the arrangement being such that two stable liquid crystal molecular configurations can exist after suitable electrical signals have been applied to the electrodes; and means for reducing anchoring energy and/or viscosity of the liquid crystal at the said surface alignment structure, said means comprising non-polymerisable low molecular weight surfactant molecules.

4. A liquid crystal display as claimed in any one of the preceding claims, wherein the said molecules have a molecular weight less than 2000.

5. A liquid crystal display as claimed in claim 4, wherein the said molecules have a molecular weight less than 1000.

6. A liquid crystal display as claimed in claim 4, wherein the said molecules have a molecular weight in the range 200 to 600.

7. A liquid crystal display as claimed in any one of the preceding claims, wherein the said surfactant molecules comprise more than one chemical species.

8. A liquid crystal display as claimed in any one of the preceding claims, wherein the said surfactant molecules do not react chemically with each other or with any other component of the liquid crystal material.

9. A liquid crystal device as claimed in any one of the preceding claims, wherein each surfactant molecule has at least one functional group selected from the group
5 comprising thiol, ester, ether, alcohol.

10. A liquid crystal device as claimed in any one of the preceding claims, wherein at least some of the surfactant molecules have thiol functionality.

10

11. A liquid crystal device as claimed in any one of the preceding claims, wherein at least some of the surfactant molecules are provided with more than one functional group.

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12. A liquid crystal device as claimed in any one of the preceding claims, wherein at least some of the surfactant molecules are provided with three or four functional groups.

20

13. A liquid crystal device as claimed in any one of the preceding claims, wherein the surfactant molecules are selected from the group comprising trimethylolpropane tris(3-mercaptopropionate) (TMP) and pentaerythritol
25 tetrakis (3-mercaptopropionate) (PTMP).

14. A liquid crystal device as claimed in any one of the preceding claims wherein the said non-polymerisable low molecular weight surfactant molecules comprise 0.5 to 10%
30 by weight of the liquid crystal material.

15. A liquid crystal composition which includes at least one non-polymerisable low molecular weight surfactant.

5 16. A liquid crystal composition as claimed in claim 15 wherein the said surfactant has a molecular weight less than 2000.

10 17. A liquid crystal composition as claimed in claim 16, wherein the said surfactant has a molecular weight less than 1000.

18. A liquid crystal composition as claimed in claim 16, wherein the said surfactant has a molecular weight in the range 200 to 600.

15 19. A liquid crystal composition as claimed in claim 15, wherein the said surfactant comprises more than one chemical species.

20 20. A liquid crystal composition as claimed in claim 15, wherein the said surfactant does not react chemically with itself or with any other component of the composition.

25 21. A liquid crystal composition as claimed in claim 15, wherein each surfactant molecule has at least one functional group selected from the group comprising thiol, ester, ether, alcohol.

30 22. A liquid crystal composition as claimed in claim 15, wherein at least some of the surfactant comprises a compound which has thiol functionality.

23. A liquid crystal composition as claimed in claim 15, wherein at least some of the surfactant comprises molecules which have more than one functional group.

5

24. A liquid crystal composition as claimed in claim 23, wherein at least some of the surfactant comprises molecules which have three or four functional groups.

10 25. A liquid crystal device as claimed in claim 15, wherein the surfactant is selected from the group comprising trimethylolpropane tris(3-mercaptopropionate) (TMP) and pentaerythritol tetrakis (3-mercaptopropionate) (PTMP).

15

26. A liquid crystal composition as claimed in claim 15, wherein the said non-polymerisable low molecular weight surfactant comprises 0.5 to 10% by weight.

20 27. A liquid crystal composition as claimed in any one of claims 15 to 26, wherein the liquid crystal is nematic at room temperature and has negative dielectric anisotropy.

28. A liquid crystal composition including a non-
25 polymerisable low molecular weight surfactant substantially as herein described with reference to the examples.

29. A liquid crystal device substantially as herein
30 described with reference to the examples.



INVESTOR IN PEOPLE

Application No: GB 0127728.4
Claims searched: 1 to 29

22

Examiner: Geoffrey Pitchman
Date of search: 8 July 2002

Patents Act 1977 Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK CI (Ed.T): G2F (FCD FCT) C4X (X12)

Int CI (Ed.7): G02F1/00 1/337 1/139 C09K 19/54 19/56 19/58

Other: ONLINE: EPODOC WPI JAPIO INSPEC

Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
X	GB 2286466 A (THE SECRETARY OF STATE FOR DEFENCE)- see page 7, third paragraph	2, 3
X	US 4577930 (IBM)-see column 3 line 61 to column 4 line 3 and column 6 lines 4 to 37	2, 3
X	US 3843233 (XEROX)-see column 3 line 53 to column 5 line 26, especially column 5 lines 10-26	1 and 15 at least

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