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(54) Abstract Title: **Resource Allocation for persistently allocated resources**

(57) A system is proposed to provide handover in a mobile telecommunications environment, particularly applicable to 3GPP networks, in which a target communications device such as a target node B sends a handover request acknowledgement, including allocation data identifying resources to be persistently allocated for a user equipment (UE), to a source communications device such as a source node B. The source node B includes the data in a handover command to the UE. Alternatively allocated resources can be signaled in a RRC connection change command in response to a handover confirm message from the UE to the target node B. Persistently allocated resources are resources known in advance and provided on a regular basis without additional signaling for applications such as VOIP or streaming.

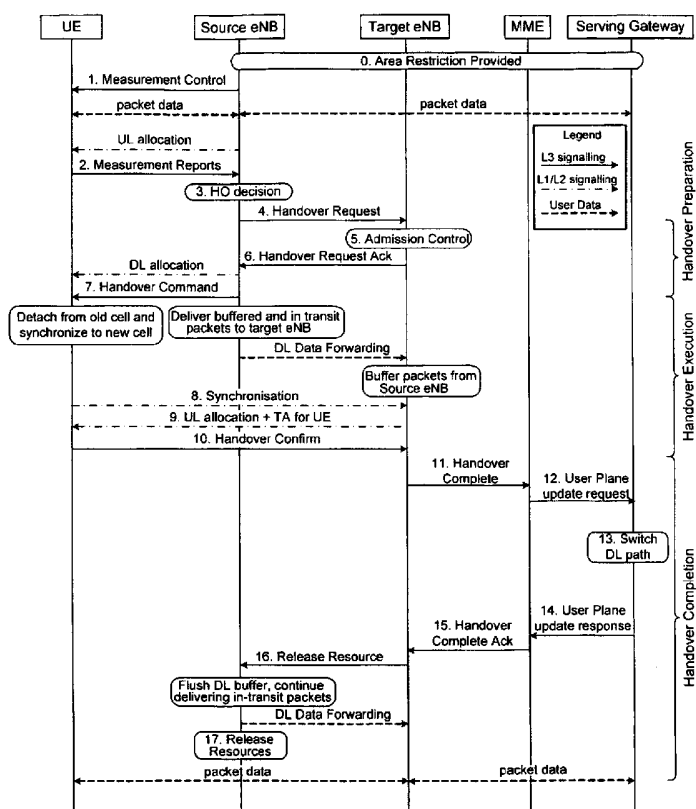


Figure 5

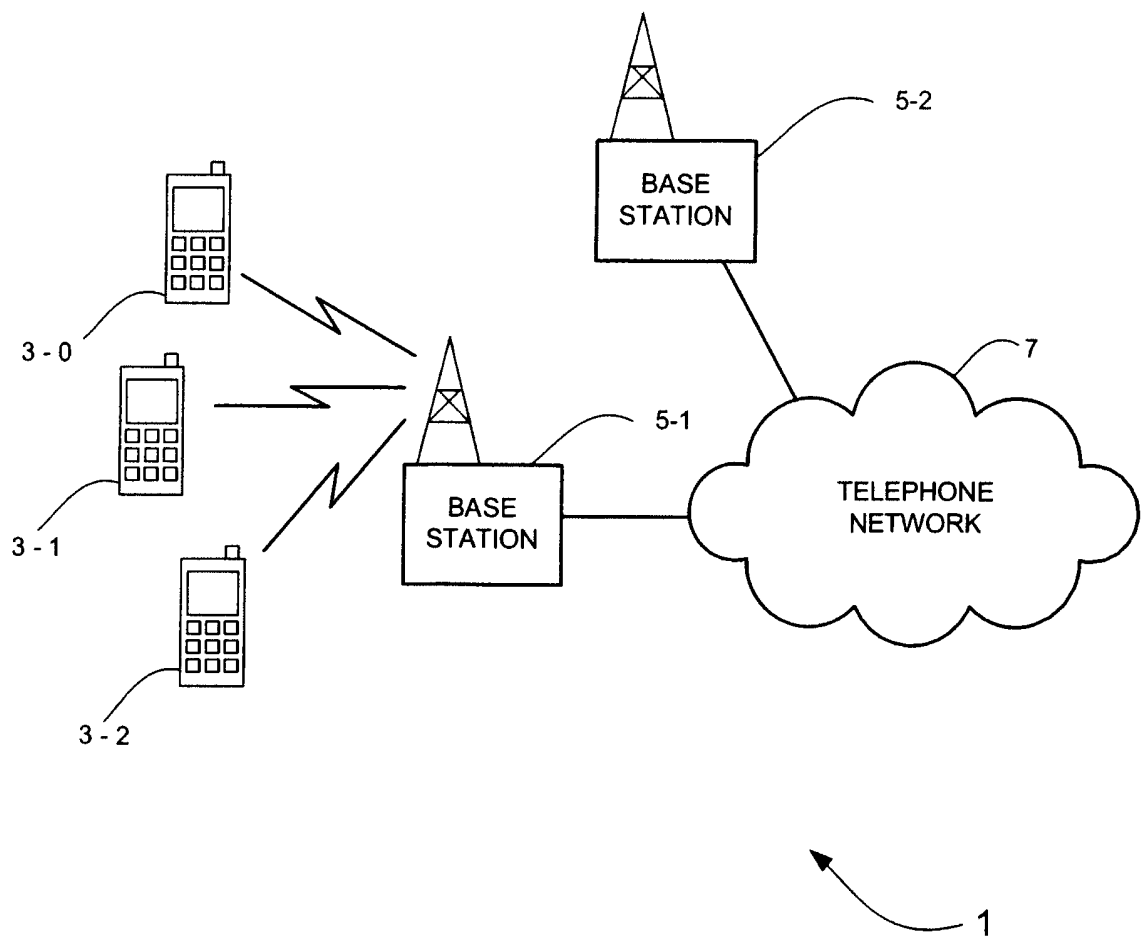


Figure 1

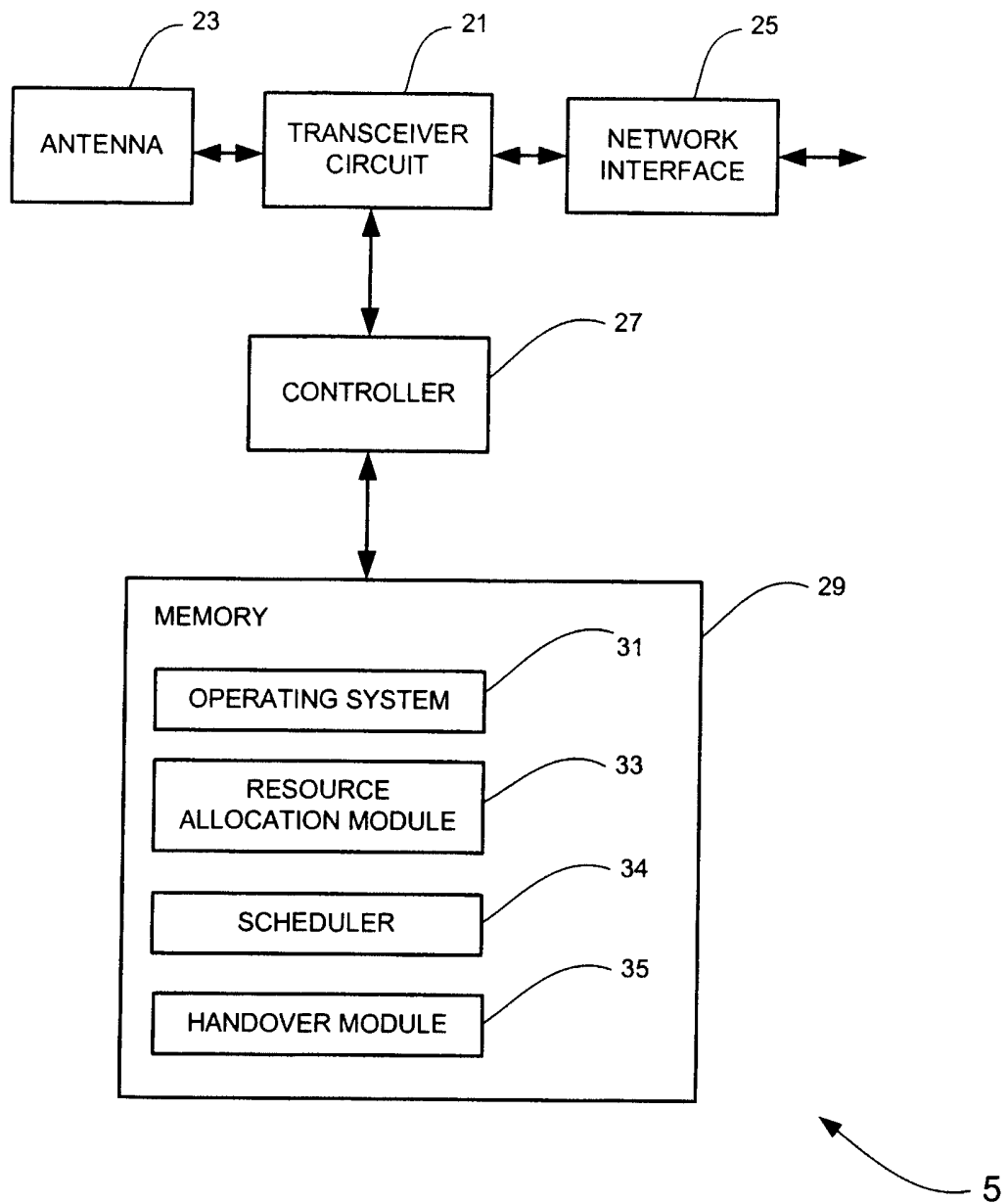


Figure 2

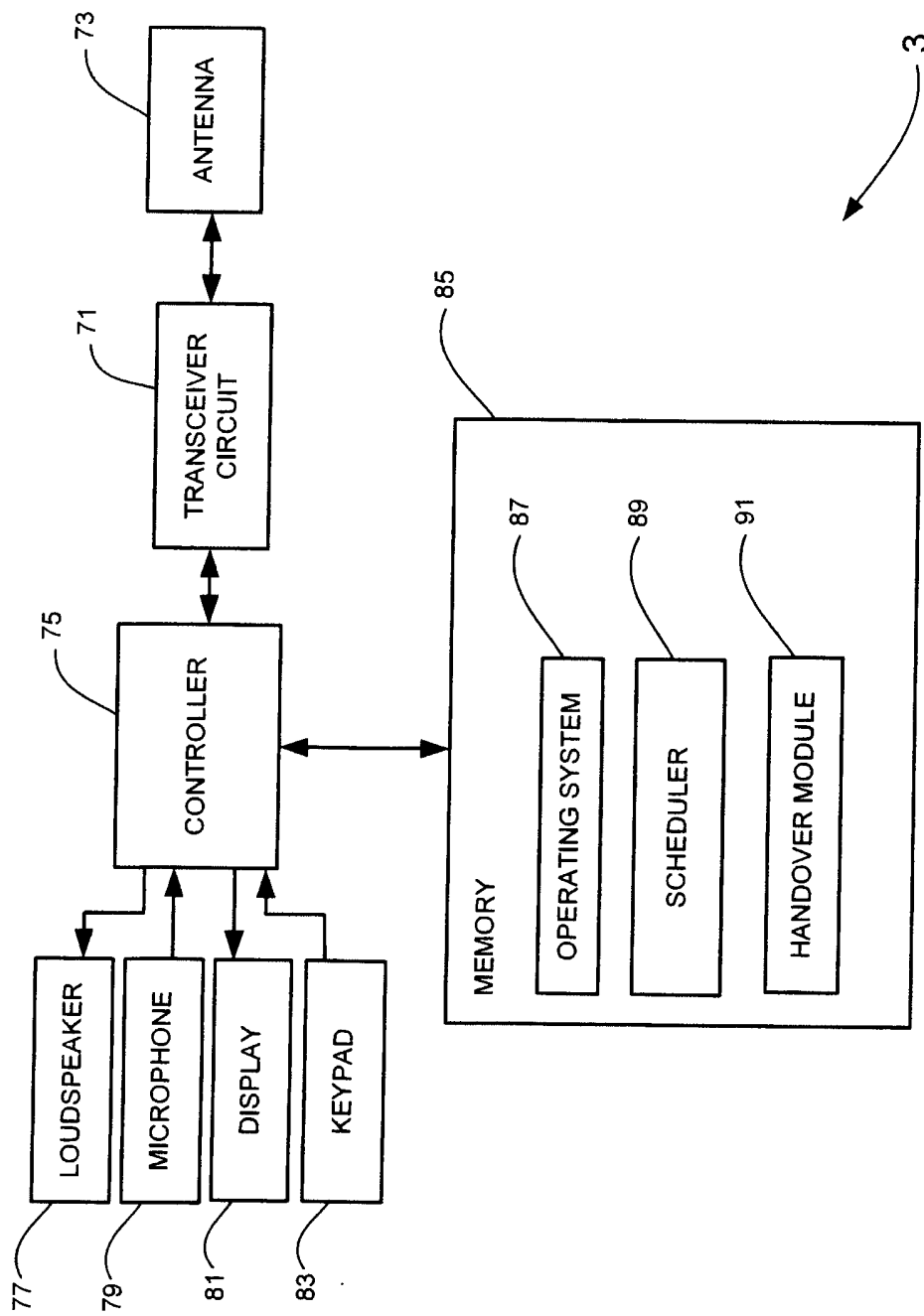


Figure 3

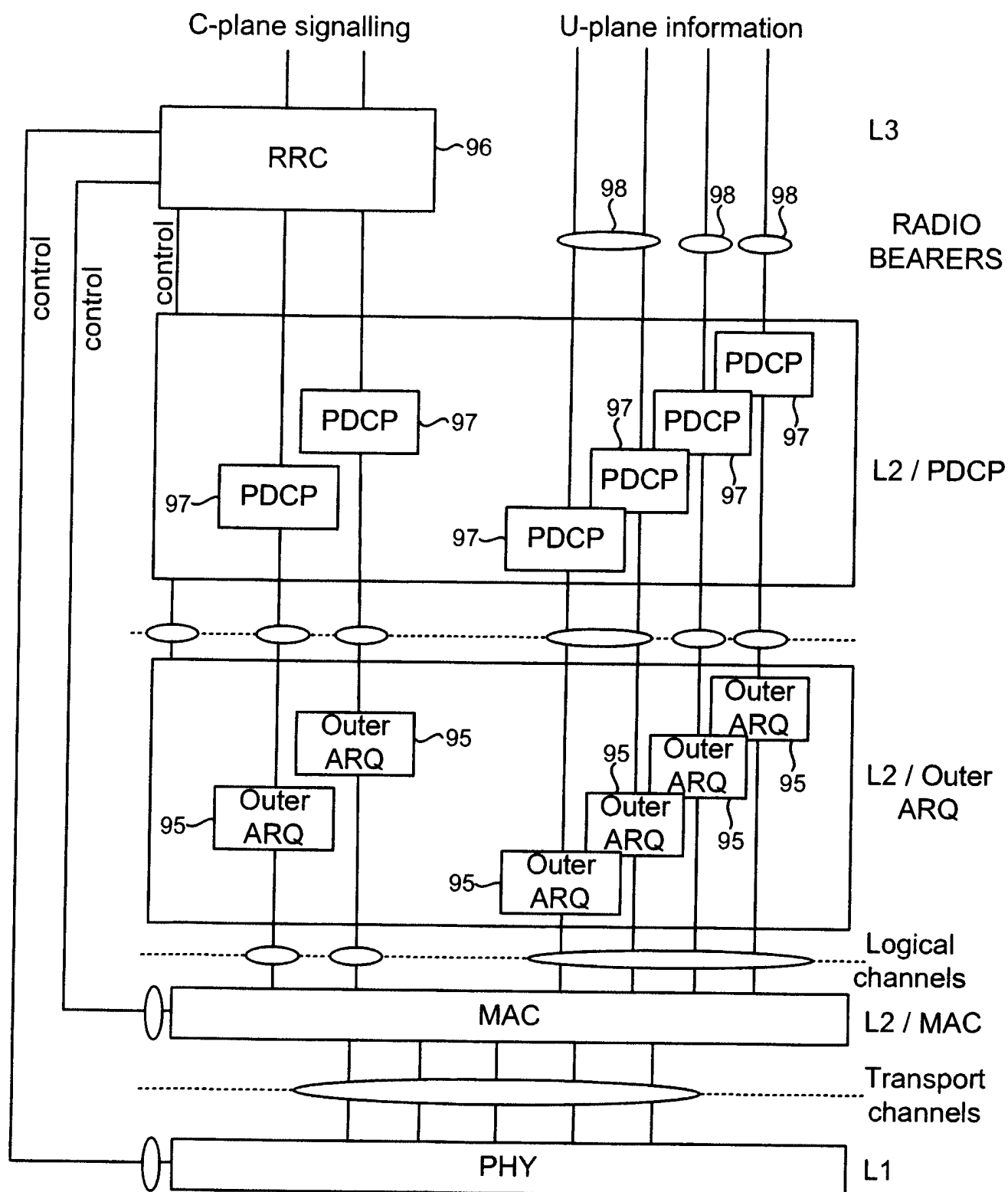


Figure 4

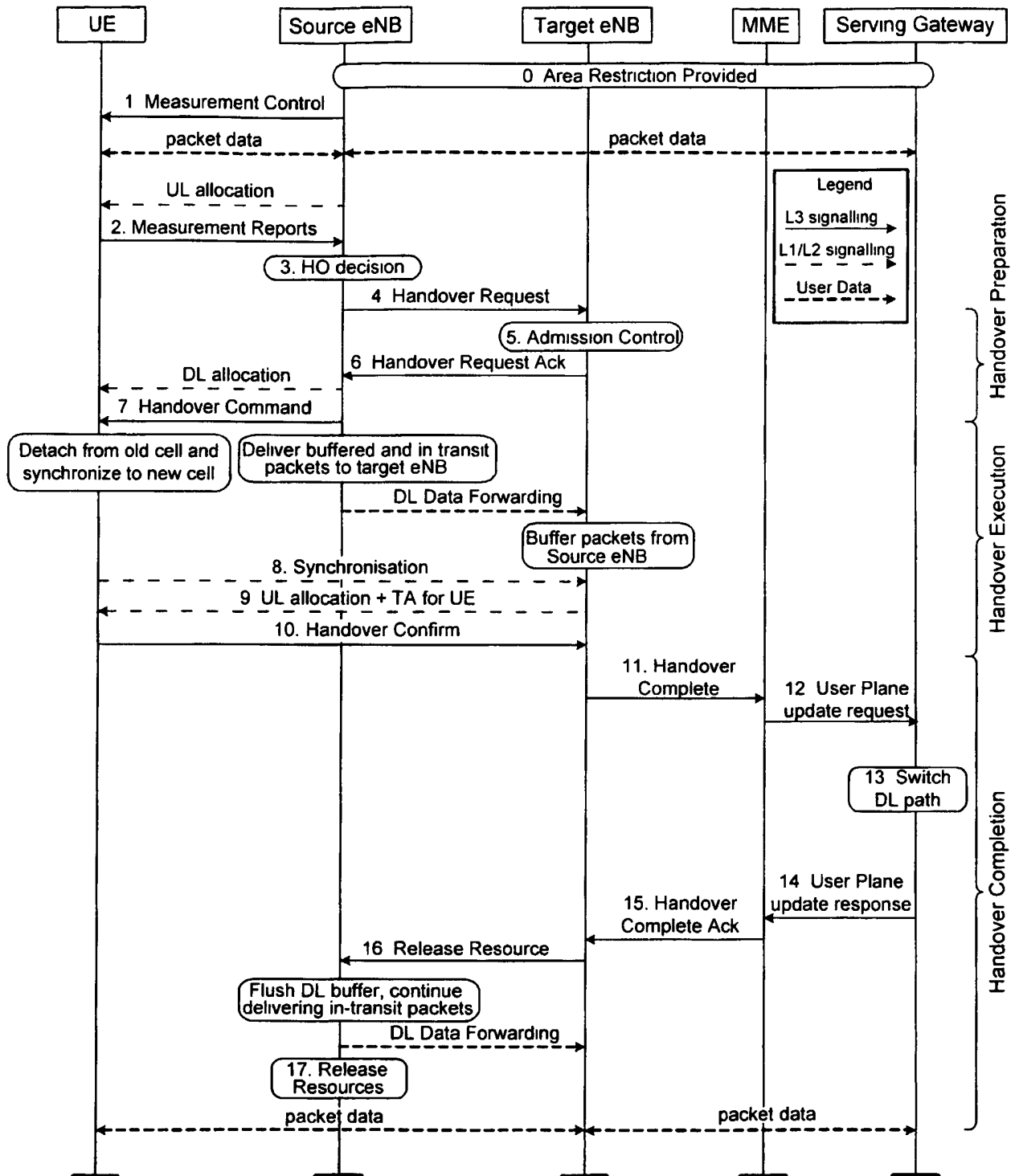


Figure 5

Resource Allocation

The present invention relates to the allocation of resources in a communications system when a communications node changes association from a source base station to a target base station. The invention has particular although not
5 exclusive relevance to persistently allocated resources in the Long Term Evolution (LTE) of UTRAN (called Evolved Universal Radio Access Network (E-UTRAN)).

In mobile telecommunications networks, there is a requirement for User Equipment (UE) to handover from one base station to another. The signalling sequence for the intra-LTE handover procedure has been described in 3GPP
10 specification TS 36.300, the content of which is incorporated herein by reference. However, the specifics on resource allocations in the target cell have not yet been addressed in detail. The various acronyms applicable to 3G communications will of course be familiar to those skilled in the art but a glossary is appended at the end of the description for the benefit of lay readers.

15 Although for efficiency of understanding for those of skill in the art the invention will be described in detail in the context of a 3G system, the principles of the handover procedure can be applied to other systems, e.g. other CDMA or wireless systems in which a mobile device or User Equipment (UE) communicates with one of several other devices (corresponding to eNodeB) with the corresponding elements
20 of the system changed as required.

According to one aspect, the present invention provides a method performed in a target communications device, the method comprising: receiving a handover command from a source communications device indicating handover of a user communications device from the source communications device to the target
25 communications device; determining required resources to be persistently allocated for communication with the user communications device; and preparing a handover request acknowledgment including allocation data identifying resources to be persistently allocated for the user communications device; and sending the handover request acknowledgment to the source communications
30 device. The target communications device then uses the persistently allocated resources to communicate with the user device.

In one embodiment the allocation data is provided within a transparent container that is included within the handover request acknowledgment. The allocation data may comprise data identifying the resource blocks to use for communications and
5 data indicating a start time from which the allocated resources are to be used. The data indicating a start time may comprises a system frame number. The allocation data may also comprise data indicating an interval between successive times that the allocated resources are to be used.

In a preferred embodiment, the allocation data comprises: i) uplink allocation data
10 defining resources to be used by the user communications device to transmit data to the target communications device as well as other uplink specific data; ii) downlink allocation data defining resources to be used by the user communications device to receive data from the target communications device as well as other downlink specific data; and iii) common data relating to information
15 that is common for uplink and downlink communications, such as data indicating a start time from which the allocated resources can be used, and/or data indicating an interval between successive times that the allocated resources are to be used.

The invention also provides a method performed in a source communications device, the method comprising: transmitting a handover command to a target
20 communications device indicating handover of a user communications device from the source communications device to the target communications device; receiving, from the target communications device, a handover request acknowledgment including allocation data identifying resources to be persistently allocated for the user communications device to communicate with the target communications
25 device; preparing a handover command including said allocation data identifying said persistently allocated resources; and sending the handover command to the user communications device.

The invention also provides a method performed in a user communications device, the method comprising: communicating with a source communications device
30 using first persistently allocated resources; receiving a handover command from the source communications device, the handover command instructing the user

communications device to handover to a target communications device and including second persistently allocated resources allocated by the target communications device; and processing the received handover command to determine the persistently allocated resources to be used to communicate with
5 said target communications device.

According to another aspect, the invention also provides a method of signalling persistently allocated resources in a communications system, the method comprising: generating uplink allocation data defining resources for use in communicating data in an uplink between a user device and a communications
10 device; generating downlink allocation data defining resources for use in communicating data in a downlink between the user device and the communications device; generating common allocation data that controls the uplink and the downlink communications; and signalling the uplink allocation data, the downlink allocation data and the common allocation data from the
15 communications device to the user device. The signalling step can signal the uplink allocation data, the downlink allocation data and the common allocation data at the same time or in a single container. The common allocation data may defines times at which said resources are to be used for said uplink and said downlink communications.

20 These and other features and aspects of the invention will become apparent from the following exemplary embodiments which are described with reference to the accompanying drawings in which:

Figure 1 schematically illustrates a mobile telecommunication system of a type to which the embodiment is applicable;

25 Figure 2 schematically illustrates a base station forming part of the system shown in Figure 1;

Figure 3 schematically illustrates a mobile communication device forming part of the system shown in Figure 1;

Figure 4 illustrates three layers of a protocol stack used in the mobile
30 communication device and the base station shown in Figure 1; and

Figure 5 shows a modified handover process according to one embodiment.

Overview

Figure 1 schematically illustrates a mobile (cellular) telecommunications system 1 in which users of mobile telephones (MT) 3-0, 3-1, and 3-2 can communicate with other users (not shown) via one of the base stations 5-1 or 5-2 and a telephone network 7. In this embodiment, for the downlink (DL), the base stations 5 use an orthogonal frequency division multiple access (OFDMA) technique to transmit data to the mobile telephones 3; and, for the uplink (UL), the mobile telephones 3 use a single carrier frequency division multiple access (FDMA) technique to transmit data to the base stations 5. A number of uplink and downlink communications resources (sub-carriers, time slots etc) are available for the wireless link between the mobile telephones 3 and the base stations 5. In this embodiment, the base stations 5 allocate downlink resources to each mobile telephone 3 depending on the amount of data to be sent to the mobile telephone 3. Similarly, the base stations 5 allocate uplink resources to each mobile telephone 3 depending on the amount and type of data the mobile telephone 3 has to send to the base station 5. When a mobile telephone 3 moves from the cell of a source base station (e.g. base station 5-1) to a target base station (e.g. base station 5-2), a handover (HO) procedure (protocol) is carried out in the source and target base stations 5 and in the mobile telephone 3, to control the handover process.

Time/Frequency Resources

In this embodiment, the available transmission band is divided into a number of sub-bands, each of which comprises a number of contiguous sub-carriers arranged in contiguous blocks. In order to avoid interference between the sub-carriers of adjacent sub-bands, guard bands are provided at the end of each sub-band. Different mobile telephones 3 are allocated different resource block(s) (sub-carriers) within a sub-band at different times for transmitting/receiving their data.

Base Station

Figure 2 is a block diagram illustrating the main components of each of the base stations 5 used in this embodiment. As shown, each base station 5 includes a transceiver circuit 21 which is operable to transmit signals to and to receive signals from the mobile telephones 3 via one or more antennae 23 (using the above

described sub-carriers) and which is operable to transmit signals to and to receive signals from the telephone network 7 via a network interface 25. A controller 27 controls the operation of the transceiver circuit 21 in accordance with software stored in memory 29. The software includes, among other things, an operating system 31, a resource allocation module 33 a scheduler 34. The resource allocation module 33 is operable to allocate the above described communications resources for the uplink and downlink communications to each mobile telephone 3 and the scheduler 34 schedules the transmission of downlink data to each mobile telephone 3 and the uplink transmission opportunities for each mobile telephone 5 based on the allocated resources. The software also includes a handover module 35, the operation of which will be described below.

Mobile Telephone

Figure 3 schematically illustrates the main components of each of the mobile telephones 3 shown in Figure 1. As shown, the mobile telephones 3 include a transceiver circuit 71 that is operable to transmit signals to and to receive signals from the base station 5 via one or more antennae 73. As shown, the mobile telephone 3 also includes a controller 75 which controls the operation of the mobile telephone 3 and which is connected to the transceiver circuit 71 and to a loudspeaker 77, a microphone 79, a display 81, and a keypad 83. The controller 75 operates in accordance with software instructions stored within memory 85. As shown, these software instructions include, among other things, an operating system 87, a scheduler 89, and a handover module 91. The scheduler 89 is responsible for scheduling the transmission of uplink data and the reception of downlink data in accordance with the resources allocated to the mobile telephone 3 for its communications with the base station 5; and the handover module 91 is responsible for controlling the handover (HO) process, described in more detail below.

In the above description, both the base station 5 and the mobile telephones 3 are described, for ease of understanding, as having respective discrete schedulers, resource allocation modules and handover modules. Whilst these software modules may be provided in this way for certain applications, for example where an existing system has been modified to implement the invention, in other

applications, for example in systems designed with the inventive features in mind from the outset, these modules may be built into the overall operating system or code and so these modules may not be discernible as discrete entities.

Protocols

5 Figure 4 illustrates part of a protocol stack (lower three layers) used in the mobile telephones 3 and the base stations 5. The first layer is the physical layer (L1) which is responsible for the actual transmission of the data over the radio communication channel. Above that is the second layer (L2), which is divided into three sub-layers – the Medium Access Control layer (L2/MAC) which is
10 responsible for controlling access to the air interface; the Outer ARQ layer (L2/OARQ) which is responsible for concatenation and segmentation of data packets, the acknowledgment of packets and the re-transmission of data packets where necessary; and the PDCP layer (L2/PDCP) which is responsible for header compression and ciphering. Above the second layer is the Radio Resource Control
15 (RRC) layer (L3/RRC) that is responsible for controlling radio resources used in the air interface between the base station 5 and the mobile telephone 3. As shown, the L2/Outer ARQ layer includes a number of Outer ARQ entities 95 used to manage the transmission of C-plane data and U-plane data and the L2/PDCP layer includes PDCP entities 97 used to process the C-plane and the U-plane
20 data.

Figure 4 also shows the radio bearers 98 assigned to each source of data to be transmitted. Several software applications may be operating at the same time and each application may be sending and/or receiving data. A respective radio bearer
25 is associated with each task and some radio bearers are assigned higher priority than others. For example, radio bearers assigned to real time services will be assigned higher priority than those assigned to non-real time services. The communication resources allocated by the base station 5 for the uplink are shared between the radio bearers 98, depending on their assigned priorities and data
30 rates. The RRC layer 96 sets the data rate and priority for each radio bearer 98. The scheduler 89 then controls the scheduling of the data packets of each radio bearer 98 for transmission based on the data rates and priorities assigned to the radio bearers by the RRC layer 96.

Resource Allocation for Persistently Allocated Bearers

Resources may be allocated either dynamically (when needed) or in advance.

Resources are dynamically allocated for activities such as web browsing, where

5 the instantaneous data rate varies considerably. However, for other applications such as VoIP or streaming, the amount of resources that will be needed is known in advance and so resources can be “persistently” allocated for such activities, whereby initially allocated resources are provided on a regular basis without additional signalling. However, such persistently allocated resources have to be
10 reallocated when the mobile telephone 3 moves from cell to cell or from one base station 5 to another in accordance with the defined “handover” procedure for the network.

Considering the case of a VoIP call, persistently allocated resources for the first

15 transmission of the VoIP call will be signalled through RRC signalling at the time of call setup. Thereafter the schedulers 34 and 89 in the mobile telephone 3 and in the base station 5 will use the same resources at periodic times thereafter (eg every 20ms). Now if the mobile telephone 3 needs to be handed over to a new cell or base station (eg from source base station 5-1 to target base station 5-2),
20 new persistent resources for the VoIP call have to be allocated in the target base station 5-2 and signalled to the mobile telephone 3. This raises the questions of how and when the allocated resources should be signalled to the mobile telephone 3.

Two options exist for signalling persistently scheduled resources to the mobile

25 telephone 3:

1. Resources for persistently scheduled bearers are signalled from the target base station 5-2 via the source base station 5-1 to the mobile telephone 3 during the handover procedure.
2. Resources for persistently scheduled bearers are signalled from the target
30 base station 5-2 to the mobile telephone 3 after it has appeared in the target cell.

In the first option, the target base station 5-2 can allocate resources and signal

them, in an RRC transparent container back to the source base station 5-1 in the HANDOVER REQUEST ACKNOWLEDGE message that will be sent to the mobile telephone 3 as part of the Handover Command Message. A transparent container is well known to those skilled in the art and essentially comprises a packet of data that can simply be forwarded by the source base station 5-1 towards the mobile telephone 3 without changing its content, and does not need further description here. The target base station 5-2 can reasonably calculate the starting time for these resources in the time domain and provide it as a part of the transparent container, such as in terms of a System Frame Number. Alternatively, the target base station 5-2 and the mobile telephone may assume that the reserved resources can be used immediately after the HO procedure is completed (or at some defined time point thereafter).

In the second option, after the mobile telephone 3 sends a HANDOVER CONFIRM message to the target base station 5-2 to indicate that the handover procedure is completed for the mobile telephone 3. The target base station 5-2 will subsequently signal allocated resources for the persistently scheduled bearer and indicate them to the mobile telephone 3 using the RRC CONNECTION CHANGE COMMAND.

One could argue that signalling the resource allocation for persistently scheduled bearers in the HO Command message using option 1 would significantly increase the size of the HO Command message which could increase HO failure rate, but the inventor believes that the additional bits needed would only be around 50 bits, as will be described in more detail below.

As those skilled in the art will appreciate, the handover procedure described above can be used for inter base station 5 handover scenarios as well as intra base station handovers, as far as the allocation of persistently scheduled bearers is concerned.

Handover procedure

A detailed description will now be given of the operation of the handover modules 35 and 91 in accordance with option 1 described above. The following description

will use the nomenclature used in the Long Term Evolution (LTE) of UTRAN. Therefore, the mobile telephone 3 that is changing base stations 5 will be referred to as a UE, the source base station 5-1 will be referred to as the source eNodeB and the target base station 5-2 will be referred to as the target eNodeB.

5 Figure 5 illustrates the proposed intra-MME/Serving Gateway Handover (HO) procedure:

0 The UE context within the source eNodeB contains information regarding roaming restrictions which were provided either at connection establishment or at the last TA update.

10 1 The source eNodeB configures the UE measurement procedures according to the area restriction information. Measurements provided by the source eNodeB may assist the function controlling the UE's connection mobility.

2 UE is triggered to send MEASUREMENT REPORT by the set rules i.e. system information, specification etc.

15 3 Source eNodeB makes decision based on MEASUREMENT REPORT and RRM information to hand off UE.

4 The source eNodeB issues a HANDOVER REQUEST message to the target eNodeB passing necessary information to prepare the HO at the target side (UE X2 signalling context reference at source eNodeB, UE S1 EPC signalling context reference, target cell ID, RRC context including the C-RNTI of the UE in the source eNodeB, AS-configuration (excluding physical layer configuration), SAE bearer context and physical layer ID of the source cell + MAC for possible RLF recovery). UE X2 / UE S1 signalling references enable the target eNodeB to address the source eNodeB and the EPC. The SAE bearer context includes necessary RNL and TNL addressing information. It is for further study if the SAE bearer context includes the QoS profiles of the SAE bearers.

20

25

5 Admission Control may be performed by the target eNodeB dependent on the received SAE bearer QoS information to increase the likelihood of a successful HO, if the resources can be granted by target eNodeB. The target eNodeB configures the required resources according to the received SAE bearer QoS information and reserves a C-RNTI and optionally a RACH

30

preamble. The AS-configuration to be used in the target cell can either be specified independently (i.e. an “establishment”) or as a delta compared to the AS-configuration used in the source cell (ie. a “reconfiguration”).

- 6 Target eNodeB prepares HO with L1/L2 and sends the HANDOVER
5 REQUEST ACKNOWLEDGE to the source eNodeB. The HANDOVER
REQUEST ACKNOWLEDGE message includes a transparent container to
be sent to the UE as part of the Handover Command. The container may
include a new C-RNTI, a dedicated RACH preamble, indication of resources
for persistently scheduled bearers and possibly some other parameters i.e.
10 access parameters, SIBs, etc. The HANDOVER REQUEST
ACKNOWLEDGE message may also include RNL/TNL information for the
forwarding tunnels, if necessary.

NOTE: As soon as the source eNodeB receives the HANDOVER
REQUEST ACKNOWLEDGE, or as soon as the transmission of
15 the handover command is initiated in the downlink, data
forwarding may be initiated.

Steps 7 to 15 provide means to avoid data loss during HO and are further detailed in 10.1.2.1.2 and 10.1.2.3 of the 3GPP Specifications 36.300 EUTRAN Overall Description Stage 2.

- 20 7 The source eNodeB generates and sends the HANDOVER COMMAND
(RRC message) towards the UE. The HANDOVER COMMAND includes the
transparent container, which has been received from the target eNodeB. The
source eNodeB performs the necessary integrity protection and ciphering of
the message. The UE receives the HANDOVER COMMAND with necessary
25 parameters (i.e. new C-RNTI, dedicated RACH preamble, possible starting
time, target eNodeB SIBs etc) and is commanded by the source eNodeB to
perform the HO.

- 8 After expiry of the starting time, if it is provided in the HANDOVER
COMMAND, or otherwise after receiving the HANDOVER COMMAND, the
30 UE performs synchronisation with the target eNodeB and accesses the target
cell via RACH following a contention-free procedure if a dedicated RACH

preamble was allocated in the HANDOVER COMMAND or following a contention-based procedure if no dedicated preamble was allocated.

9 Network responds with UL allocation and timing advance (to allow the UE to confirm the HO with the target eNodeB).

5 10 When the UE has successfully accessed the target cell, the UE sends the HANDOVER CONFIRM message (C-RNTI) to the target eNodeB to indicate that the handover procedure is completed for the UE. The target eNodeB verifies the C-RNTI sent in the HANDOVER CONFIRM message. The target eNodeB can now begin sending data to the UE. Based on further optimizations, the downlink data transmission can begin as early as after step 8.

11 The target eNodeB sends a HANDOVER COMPLETE message to MME to inform that the UE has changed cell.

12 The MME sends a USER PLANE UPDATE REQUEST message to the
15 Serving Gateway.

13 The Serving Gateway switches the downlink data path to the target side and can release any U-plane/TNL resources towards the source eNodeB.

14 Serving Gateway sends a USER PLANE UPDATE RESPONSE message to MME.

20 15 The MME confirms the HANDOVER COMPLETE message with the HANDOVER COMPLETE ACK message.

16 By sending RELEASE RESOURCE the target eNodeB informs success of HO to source eNodeB and triggers the release of resources. The timing for the target eNodeB to send this message between steps 10 and 15 is for
25 further study.

17 Upon reception of the RELEASE RESOURCE message, the source eNodeB can release radio and C-plane related resources associated to the UE context.

30 ***Signalling of Persistently Allocated Resources***

For persistently scheduled bearers eNodeB shall allocate resources for the first transmissions via RRC Signalling. These resources shall be allocated during the call setup and during HO in the target cell. The following table proposes a way of

optimising the parameters to be signalled between the UE and the eNodeB, to allocate persistently allocated resources.

UL Information/ per RB	Bits	Comment
RB assignment	$\lceil \log_2(N_{RB}^{UL}(N_{RB}^{UL}+1)/2) \rceil$ 13bits (Max)	Indicates the resource blocks the UE shall transmit upon for the first transmissions. Number of bits depends on the resource indication scheme selected by RAN 1. Indicated by Scheduler to RRC. Here Tree based mapping is assumed.
Transport format Would include MCS	6bits	6 bits may indicate a unique combination of Payload size, modulation and coding schemes. 64 QAM may not be needed. QPSK and 16 QAM may be enough.
Frequency hopping information	1bit	Frequency Hopping ON/OFF.
CQI indication	1bit	Indicates whether or not a CQI report is transmitted.
Power Control Info	2bits	May not be needed.
Cyclic Shift for Demodulation Reference Signal format (DMRS)	3bits	Needed only for MU MIMO
DL Information/ per RB	Bits	Comment
RB assignment	$\lceil \log_2(N_{RB}^{DL}(N_{RB}^{DL}+1)/2) \rceil$ 13 bits (Max)	Number of bits depends on the resource indication scheme selected by RAN 1. Tree based mapping assumed.

Distributed Transmission	1bit	To indicate if it is localised or distributed transmission.
Transport format	4-7bits	No of bits needed may not be as high as 7.
TX diversity	2bits	Whether 2 or 4 antenna or none is used.
Hybrid ARQ process number	3bits	HARQ Process information to be used throughout the duration of the call.
Information Common for UL and DL	Bits	Comment
Duration	0bits	Infinite: Till the call is released or a reconfiguration of resource is done.
Starting Time	8bits	Could be indicted in terms of SFN. Last byte could be used to signal the starting time.
Interval	2bits	VoIP: 20ms Streaming: 10ms, 20ms Signaling (Periodic Measurement Reports): Interval Configured by eNodeB

Note: Ideally the Starting Time and the Interval should be identical for both UL and DL so that the UE can sleep if it correctly receives the first transmission.

5 The table above indicates that a maximum of 62 bits are required for allocating resources to persistently scheduled bearers. However, the inventor believes that further reduction in the number of bits can be achieved and that only about 50 bits will be required to signal resource allocation information for persistently scheduled bearers which could also be easily included in a HO command message.

Modifications and Alternatives

10 A detailed embodiment has been described above. As those skilled in the art will appreciate, a number of modifications and alternatives can be made to the above embodiment whilst still benefiting from the inventions embodied therein. By way of

illustration only a number of these alternatives and modifications will now be described.

In the above embodiment, a mobile telephone based telecommunications system was described. As those skilled in the art will appreciate, the signalling and handover techniques described in the present application can be employed in any communications system. In particular, many of these handover techniques can be used in wire or wireless based communications systems which either use electromagnetic signals or acoustic signals to carry the data. In the general case, the base stations and the mobile telephones can be considered as communications nodes or devices which communicate with each other. In intra eNodeB handover, the source and target communications nodes will be formed by respective scheduling entities within one base station. Other communications nodes or devices may include user devices such as, for example, personal digital assistants, laptop computers, web browsers, etc.

In the above embodiments, a number of software modules were described. As those skilled will appreciate, the software modules may be provided in compiled or un-compiled form and may be supplied to the base station or to the mobile telephone as a signal over a computer network, or on a recording medium. Further, the functionality performed by part or all of this software may be performed using one or more dedicated hardware circuits. However, the use of software modules is preferred as it facilitates the updating of base station 5 and the mobile telephones 3 in order to update their functionalities.

Glossary of 3GPP terms

LTE – Long Term Evolution (of UTRAN)

eNodeB – E-UTRAN Node B

UE – User Equipment – mobile communication device

DL – downlink – link from base to mobile

UL – uplink – link from mobile to base

MME – Mobility Management Entity

UPE- User Plane Entity

HO – Handover

RLC – Radio Link Control

RRC – Radio Resource Control

5 RRM – Radio Resource Management

SAE – System Architecture Evolution

C-RNTI – Cell-Radio Network Temporary Identifier

SIB – System Information Block

U-plane - User Plane

10 X2 Interface – Interface between two eNodeB

S1 Interface – Interface between eNodeB and MME

TA – Tracking Area

EPC – Evolved Packet Core

AS – Access Stratum

15 RNL – Radio Network Layer

TNL – Transport Network Layer

RACH – Random Access Channel

MU MIMO – Multi-User Multi Input Multi Output

DMRS – Demodulation Reference Signal Format

20 MCS – Modulation and Coding Scheme

The following is a detailed description of the way in which the present invention may be implemented in the currently proposed 3GPP LTE standard. Whilst various features are described as being essential or necessary, this may only be the case for the proposed 3GPP LTE standard, for example due to other requirements imposed by the standard. These statements should not, therefore, be construed as limiting the present invention in any way.

Introduction

In this contribution we address the issue of how the resources for the persistently scheduled bearers are signalled during handover. In general we believe that the target eNB can allocate resources for persistently allocated bearers during HO preparation phase to avoid any additional RRC signalling after the HO in the target cell.

Discussion

Let's consider the case where we have just VoIP call ongoing for a UE. Persistently allocated resources for the first transmission of the VoIP call will have to be signalled through RRC signalling. Now if the UE needs to be handed over to a new cell, persistent resources for VoIP call would have to be allocated in the target cell and signalled to the UE. How and when the allocated resources are signalled to UE has not been discussed.

Two options exist for signalling persistently scheduled resources to the UE.

3. Resources for persistently scheduled bearers are signalled from the target eNB via the source to the UE

4. Resources for persistently scheduled bearers are signalled from the target to the UE after the it has appeared in the target Cell.

In this contribution we compare these two options and present our views on the UL/DL resource allocation for persistently scheduled bearers in the target cell.

In the first option, the target eNB can allocate resource and signal it back in a RRC transparent container back to the source eNB in HANDOVER REQUEST

ACKNOWLEDGE message to be sent to the UE as part of the Handover Command Message. We believe that the target eNB can reasonably calculate the starting time of these resources in time domain and provide it as a part of the transparent container as in terms of System Frame Number or alternatively the reserved resources can be implicitly be taken into use by the target eNB and UE immediately after the HO procedure is completed.

In the second option, after the UE sends a HANDOVER CONFIRM message to the target eNB to indicate that the handover procedure is completed for the UE. The target eNB will subsequently signal allocated resources for persistently scheduled bearer and indicate it to the UE using CONNECTION CHANGE COMMAND.

One could argue that signalling the resource allocation for persistently scheduled bearers in the HO Command message using option 1 would make the HO Command message significantly large which could increase HO failure rate, we feel that the additional bits needed would around 50 bits [1].

We think that additional RRC procedure for signalling resource allocation for persistently scheduled bearers during HO as described in option 2 is not elegant from signalling point of view. We propose RAN 2 to adopt option1 and capture it in Stage 2 specifications.

Although the handover procedure described above is for inter eNB hand over scenarios, it is equally applicable for the intra eNB handover as far as the resource allocation persistently scheduled bearers is concerned.

Conclusion

In this paper we have discussed the issue of how the resources for the persistently scheduled bearers are allocated in the target cell during handover. We feel that it is more efficient to signal allocated resource for the persistently scheduled bearers in the target cell during HO preparation phase and indicate it to the UE in the HO Command message. We request RAN 2 to agree to this and capture it in the Stage 2 as described in the text proposal below.

Text Proposal

The HO procedure is performed without EPC involvement, i.e. preparation messages are directly exchanged between the eNBs. The release of the resources at the source side during the HO completion phase is triggered by the
5 eNB. Figure 5 below depicts the basic handover scenario where neither MME nor Serving Gateway changes.

Below is a more detailed description of the intra-MME/Serving Gateway HO procedure:

- 0 The UE context within the source eNB contains information regarding
10 roaming restrictions which were provided either at connection establishment or at the last TA update.
- 1 The source eNB configures the UE measurement procedures according to the area restriction information. Measurements provided by the source eNB may assist the function controlling the UE's connection mobility.
- 15 2 UE is triggered to send MEASUREMENT REPORT by the rules set by i.e. system information, specification etc.
- 3 Source eNB makes decision based on MEASUREMENT REPORT and RRM information to hand off UE.
- 4 The source eNB issues a HANDOVER REQUEST message to the target
20 eNB passing necessary information to prepare the HO at the target side (UE X2 signalling context reference at source eNB, UE S1 EPC signalling context reference, target cell ID, RRC context including the C-RNTI of the UE in the source eNB, AS-configuration (excluding physical layer configuration), SAE bearer context and physical layer ID of the source cell + MAC for possible
25 RLF recovery). UE X2 / UE S1 signalling references enable the target eNB to address the source eNB and the EPC. The SAE bearer context includes necessary RNL and TNL addressing information. It is FFS if the SAE bearer context includes the QoS profiles of the SAE bearers.
- 5 Admission Control may be performed by the target eNB dependent on the
30 received SAE bearer QoS information to increase the likelihood of a successful HO, if the resources can be granted by target eNB. The target eNB configures the required resources according to the received SAE bearer

QoS information and reserves a C-RNTI and optionally a RACH preamble. The AS-configuration to be used in the target cell can either be specified independently (i.e. an “establishment”) or as a delta compared to the AS-configuration used in the source cell (ie. A “reconfiguration”).

- 5 6 Target eNB prepares HO with L1/L2 and sends the HANDOVER REQUEST ACKNOWLEDGE to the source eNB. The HANDOVER REQUEST ACKNOWLEDGE message includes a transparent container to be sent to the UE as part of the Handover Command. The container may include a new C-RNTI, a dedicated RACH preamble, indication of resources for persistently scheduled bearers and possibly some other parameters i.e. access parameters, SIBs, etc. The HANDOVER REQUEST ACKNOWLEDGE message may also include RNL/TNL information for the forwarding tunnels, if necessary.

15 NOTE: As soon as the source eNB receives the HANDOVER REQUEST ACKNOWLEDGE, or as soon as the transmission of the handover command is initiated in the downlink, data forwarding may be initiated.

Steps 7 to 15 provide means to avoid data loss during HO and are further detailed in 10.1.2.1.2 and 10.1.2.3.

- 20 7 The source eNB generates the HANDOVER COMMAND (RRC message) towards the UE. The HANDOVER COMMAND includes the transparent container, which has been received from the target eNB. The source eNodeB performs the necessary integrity protection and ciphering of the message. The UE receives the HANDOVER COMMAND with necessary parameters (i.e. new C-RNTI, dedicated RACH preamble, possible starting time, target eNB SIBs etc) and is commanded by the source eNB to perform the HO.
- 25 8 After expiry of starting time if provided in HANDOVER COMMAND or after receiving the HANDOVER COMMAND otherwise, UE performs synchronisation to target eNB and accesses the target cell via RACH
- 30 following a contention-free procedure if a dedicated RACH preamble was allocated in HANDOVER COMMAND or following a contention-based procedure if no dedicated preamble was allocated.

- 9 Network responds with UL allocation and timing advance.
- 10 When the UE has successfully accessed the target cell, the UE sends the
HANDOVER CONFIRM message (C-RNTI) to the target eNB to indicate that
the handover procedure is completed for the UE. The target eNB verifies the
5 C-RNTI sent in the HANDOVER CONFIRM message. The target eNB can
now begin sending data to the UE. Based on further optimizations, the
downlink data transmission can begin as early as after step 8 (FFS).
- 11 The target eNB sends a HANDOVER COMPLETE message to MME to
inform that the UE has changed cell.
- 10 12 The MME sends a USER PLANE UPDATE REQUEST message to the
Serving Gateway.
- 13 The Serving Gateway switches the downlink data path to the target side and
can release any U-plane/TNL resources towards the source eNB.
- 14 Serving Gateway sends a USER PLANE UPDATE RESPONSE message to
15 MME.
- 15 The MME confirms the HANDOVER COMPLETE message with the
HANDOVER COMPLETE ACK message.
- 16 By sending RELEASE RESOURCE the target eNB informs success of HO to
source eNB and triggers the release of resources. The timing for the target
20 eNB to send this message between steps 10 and 15 is FFS.
- 17 Upon reception of the RELEASE RESOURCE message, the source eNB can
release radio and C-plane related resources associated to the UE context.
NOTE: Details on updating of roaming/area restriction information within
E-UTRAN in the course of the HO procedure are FFS

25 **References**

[1] R2- 07XXXX : Information in RRC Messages for Persistently Scheduled Bearers, NEC

Introduction

30 In this contribution we have first look necessary information that is needed for
signalling the resources for the persistently scheduled bearers that are allocated
for the first transmissions with RRC Signalling during call setup. This information

can be also included as parts of RRC transparent container in the HANDOVER REQUEST ACKNOWLEDGE message if we decide to allocate the resources in the target cell during inter eNB HO and signal it in the RRC HO Command message.

5 Discussion

For persistently scheduled bearers eNB shall allocate resources for the first transmissions via RRC Signalling. These resources shall be allocated during the call establishment and during HO in the target cell. The parameters for the signalling the resources are given in Table 1 below. The parameters used for the dynamic allocation of resources as listed in [1] have been optimised for indicating the resource allocation for the first transmissions of persistently scheduled bearers using RRC signalling.

UL Information/ per RB	Bits	Comment
RB assignment	$\lceil \log_2(N_{RB}^{UL}(N_{RB}^{UL}+1)/2) \rceil$ 13 bits (Max)	Indicates the resource blocks the UE shall transmit upon for the first transmissions. Number of bits depends on the resource indication scheme selected by RAN 1. Indicated by Scheduler to RRC. Here Tree based Mapping is assumed.
Transport format	6	6 bits may indicate a unique combination of Payload size, modulation and coding schemes. 64 QAM may not be needed. QPSK and 16 QAM may be enough.
Frequency hopping information	1	Frequency Hopping ON/OFF.
CQI Indication	1	Indicates whether or not CQI report is transmitted
Power Control	2bits	To control the value of UL

Info		
Cyclic Shift for Demodulation Reference Signal Format (DMRS)	3 bits	Needed only MU MIMO
DL Information/ per RB	Bits	Comment
RB assignment	$\lceil \log_2 (N_{RB}^{UL} (N_{RB}^{UL} + 1) / 2) \rceil$ 13 bits (Max)	Number of bits depends on the resource indication scheme selected by RAN 1. Tree based mapping is assumed.
Distributed Transmission	1	1 bit indication to indicate whether it's a localized or distributed transmission.
Transport format	4-7	No of bits needed may not be as high as 7.
TX diversity	2 bits	Whether 2 or 4 antenna or none is used.
Hybrid ARQ process number	3	HARQ Process information to be used throughout the duration of the call.
Information Common for UL and DL	Bits	Comment
Duration	0 bits	Infinite: Till the call is released or a reconfiguration of resource is done.
Starting Time	8bits	Could be indicated in terms of SFN. Last byte could be used to signal the starting time.
Interval	2bits	VoIP: 20ms Streaming: 10ms, 20ms Signaling (Periodic Measurement Reports) : Interval Configured by eNB

Note: Ideally the Starting time and the interval should be identical for both UL and DL so that UE can sleep if it correctly receives the first transmission.

Initial analysis shows that there are total around 50 bits needed to signal resource allocated information for persistently scheduled bearers which could also be easily be included in HO command message.

Conclusion

- 5 In this contribution we have a first look at the parameters that would be required in RRC message for signalling the resource allocation for the persistently allocated bearers. These parameters could also be used in the target eNB to Source eNB transparent container to signal the resource allocated in the target cell for persistently scheduled bearers.
- 10 It is proposed that RAN 2 discusses these parameters and agrees to include it in the RRC specs 36.331.

Claims:

1. A method performed in a target communications device, the method comprising:

receiving a handover command from a source communications device
5 indicating handover of a user communications device from the source communications device to the target communications device;

determining required resources to be persistently allocated for communication with the user communications device; and

preparing a handover request acknowledgment including allocation
10 data identifying resources to be persistently allocated for the user communications device; and

sending the handover request acknowledgment to the source communications device.

15 2. A method according to claim 1, further comprising the step of using the persistently allocated resources to communicate with the user device.

3. A method according to claim 1 or 2, wherein the allocation data is provided within a transparent container that is included within the handover
20 request acknowledgment.

4. A method according to any of claims 1 to 3, wherein the allocation data comprises data identifying the resource blocks to use for communications.

5. A method according to any of claims 1 to 4, wherein the allocation data comprises data indicating a start time from which the allocated resources are to be used.

5 6. A method according to claim 5, wherein said data indicating a start time comprises a system frame number.

7. A method according to any of claims 1 to 6, wherein the allocation data comprises data indicating an interval between successive times that the
10 allocated resources are to be used.

8. A method according to any of claims 1 to 7, wherein said allocation data comprises: i) uplink allocation data defining resources to be used by the user communications device to transmit data to the target communications device; ii)
15 downlink allocation data defining resources to be used by the user communications device to receive data from the target communications device; and iii) common data relating to information that is common for uplink and downlink communications.

20 9. A method according to claim 8, wherein said common data comprises data indicating a start time from which the allocated resources can be used.

10. A method according to claim 8 or 9, wherein said common data comprises data indicating an interval between successive times that the allocated
25 resources are to be used.

11. A method performed in a source communications device, the method comprising:

transmitting a handover command to a target communications device indicating handover of a user communications device from the source communications device to the target communications device;

receiving, from the target communications device, a handover request acknowledgment including allocation data identifying resources to be persistently allocated for the user communications device to communicate with the target communications device;

preparing a handover command including said allocation data identifying said persistently allocated resources; and

sending the handover command to the user communications device.

12. A method according to claim 11, wherein the allocation data is received within a transparent container that is included within the handover request acknowledgment.

13. A method according to claim 12, wherein said step of preparing said handover command includes the step of including said transparent container within the handover command.

14. A method performed in a user communications device, the method comprising:

communicating with a source communications device using first persistently allocated resources;

receiving a handover command from the source communications device, the handover command instructing the user communications device to

handover to a target communications device and including second persistently allocated resources allocated by the target communications device; and

processing the received handover command to determine the persistently allocated resources to be used to communicate with said target
5 communications device.

15. A method according to claim 14, further comprising the step of using the second persistently allocated resources to communicate with the target communications device.

10

16. A method according to claim 14 or 15, wherein the second persistently allocated data is provided within a transparent container that is included within the handover command.

15 17. A method according to any of claims 14 to 16, wherein the second persistently allocated data comprises data identifying the resource blocks to use for communications.

18. A method according to any of claims 14 to 17, wherein the second
20 persistently allocated data comprises data indicating a start time from which the allocated resources are to be used.

19. A method according to claim 18, wherein said data indicating a start time comprises a system frame number.

25

20. A method according to any of claims 14 to 19, wherein the second persistently allocated data comprises data indicating an interval between successive times that the allocated resources are to be used.

5 21. A method according to any of claims 14 to 20, wherein said second persistently allocated data comprises: i) uplink allocation data defining resources to be used by the user communications device to transmit data to the target communications device; ii) downlink allocation data defining resources to be used by the user communications device to receive data from the target
10 communications device; and iii) common data relating to information that is common for uplink and downlink communications.

22. A method according to claim 21, wherein said common data comprises data indicating a start time from which the allocated resources can be
15 used and wherein the method comprises the step of using the start time to control when an initial communication with said target communications device is made.

23. A method according to claim 21 or 22, wherein said common data comprises data indicating an interval between successive times that the allocated
20 resources are to be used and further comprising the step of using the interval data to control times when said user device uses said second persistently allocated resources to communicate with said target communications device.

24. A target communications device comprising:

25 means for receiving a handover command from a source communications device indicating handover of a user communications device from the source communications device to the target communications device;

means for determining required resources to be persistently allocated for communication with the user communications device; and

means for preparing a handover request acknowledgment including allocation data identifying resources to be persistently allocated for the user communications device; and

means for sending the handover request acknowledgment to the source communications device.

25. A device according to claim 24, further comprising means for using the persistently allocated resources to communicate with the user device.

26. A device according to claim 24 or 25, wherein the means for preparing is operable to provide said allocation data within a transparent container that is included within the handover request acknowledgment.

27. A device according to any of claims 24 to 26, wherein said preparing means is operable to prepare allocation data that comprises data identifying the resource blocks to use for communications.

28. A device according to any of claims 24 to 27, wherein said preparing means is operable to prepare allocation data comprising data indicating a start time from which the allocated resources are to be used.

29. A device according to claim 28, wherein said preparing means is operable to prepare data indicating a start time comprising a system frame number.

30. A device according to any of claims 24 to 29, wherein said preparing means is operable to prepare allocation data comprising data indicating an interval between successive times that the allocated resources are to be used.

5

31. A device according to any of claims 24 to 30, wherein said preparing means is operable to prepare allocation data that comprises: i) uplink allocation data defining resources to be used by the user communications device to transmit data to the target communications device; ii) downlink allocation data defining
10 resources to be used by the user communications device to receive data from the target communications device; and iii) common data relating to information that is common for uplink and downlink communications.

32. A device according to claim 32, wherein said preparing means is
15 operable to prepare common data having data indicating a start time from which the allocated resources can be used.

33. A device according to claim 31 or 32, wherein said preparing means is
20 operable to prepare common data comprising data indicating an interval between successive times that the allocated resources are to be used.

34. A source communications device comprising:

means for transmitting a handover command to a target
communications device indicating handover of a user communications device from
25 the source communications device to the target communications device;

means for receiving, from the target communications device, a
handover request acknowledgment including allocation data identifying resources

to be persistently allocated for the user communications device to communicate with the target communications device;

means for preparing a handover command including said allocation data identifying said persistently allocated resources; and

5 means for sending the handover command to the user communications device.

35. A device according to claim 34, wherein the allocation data is received within a transparent container that is included within the handover request
10 acknowledgment.

36. A device according to claim 35, wherein said means for preparing said handover command includes means for including said transparent container within the handover command.

15

37. A user communications device comprising:

means for communicating with a source communications device using first persistently allocated resources;

20 means for receiving a handover command from the source communications device, the handover command instructing the user communications device to handover to a target communications device and including second persistently allocated resources allocated by the target communications device; and

25 means for processing the received handover command to determine the persistently allocated resources to be used to communicate with said target communications device.

38. A device according to claim 37, wherein said communicating means is operable to use the second persistently allocated resources to communicate with the target communications device.

5 39. A device according to claim 37 or 38, wherein the receiving means is operable to receive said second persistently allocated data within a transparent container that is included within the handover command.

40. A device according to any of claims 37 to 39, wherein the receiving
10 means is operable to receive second persistently allocated data that comprises data identifying the resource blocks to use for communications.

41. A device according to any of claims 37 to 40, wherein the receiving
15 means is operable to receive second persistently allocated data that comprises data indicating a start time from which the allocated resources are to be used.

42. A device according to claim 37, wherein said data indicating a start time comprises a system frame number.

20 43. A device according to any of claims 37 to 42, wherein the receiving means is operable to receive second persistently allocated data that comprises data indicating an interval between successive times that the allocated resources are to be used.

25 44. A device according to any of claims 37 to 43, wherein the receiving means is operable to receive second persistently allocated data that comprises: i) uplink allocation data defining resources to be used by the user communications

device to transmit data to the target communications device; ii) downlink allocation data defining resources to be used by the user communications device to receive data from the target communications device; and iii) common data relating to information that is common for uplink and downlink communications.

5

45. A device according to claim 44, wherein said common data comprises data indicating a start time from which the allocated resources can be used and wherein the device comprises means for using the start time to control when an initial communication with said target communications device is made.

10

46. A device according to claim 44 or 45, wherein said common data comprises data indicating an interval between successive times that the allocated resources are to be used and further comprising means for using the interval data to control times when said user device uses said second persistently allocated resources to communicate with said target communications device.

15

47. A computer implementable instructions product comprising computer implementable instructions to cause a programmable computer device to perform the method of any of claims 1 to 23.

20

48. A target communications device comprising:

a receiver operable to receive a handover command from a source communications device indicating handover of a user communications device from the source communications device to the target communications device;

25

a processor operable to: i) determine required resources to be persistently allocated for communication with the user communications device; and ii) prepare a handover request acknowledgment including allocation data

identifying resources to be persistently allocated for the user communications device; and

a transmitter operable to send the handover request acknowledgment to the source communications device.

5

49. A source communications device comprising:

a transmitter operable to transmit a handover command to a target communications device indicating handover of a user communications device from the source communications device to the target communications device;

10 a receiver operable to receive, from the target communications device, a handover request acknowledgment including allocation data identifying resources to be persistently allocated for the user communications device to communicate with the target communications device;

15 a processor operable to prepare a handover command including said allocation data identifying said persistently allocated resources; and

wherein said transmitter is operable to transmit the handover command to the user communications device.

50. A user communications device comprising:

20 a transceiver operable to communicate with a source communications device using first persistently allocated resources;

a processor operable: i) to receive a handover command from the source communications device, the handover command instructing the user communications device to handover to a target communications device and including second persistently allocated resources allocated by the target
25 communications device; and ii) to processing the received handover command to

determine the persistently allocated resources to be used to communicate with said target communications device.

51. A method of signalling persistently allocated resources in a communications system, the method comprising:

generating uplink allocation data defining resources for use in communicating data in an uplink between a user device and a communications device;

generating downlink allocation data defining resources for use in communicating data in a downlink between the user device and the communications device;

generating common allocation data that controls the uplink and the downlink communications; and

signalling the uplink allocation data, the downlink allocation data and the common allocation data from the communications device to the user device.

52. A method according to claim 51, wherein said signalling step signals said uplink allocation data, said downlink allocation data and said common allocation data at the same time or in a single container.

53. A method according to claim 51 or 52, wherein said common allocation data defines times at which said resources are to be used for said uplink and said downlink communications.

54. A communications device comprising:

means for generating uplink allocation data defining resources for use in communicating data in an uplink between a user device and a communications device;

5 means for generating downlink allocation data defining resources for use in communicating data in a downlink between the user device and the communications device;

means for generating common allocation data that controls the uplink and the downlink communications; and

10 means for signalling the uplink allocation data, the downlink allocation data and the common allocation data from the communications device to the user device.

55. A method performed in a target communications device, the method comprising:

15 receiving a handover confirm message from a user device indicating that a handover procedure is completed for the user device;

in response to receipt of said handover confirm message:

i) determining required resources to be persistently allocated for communication with the user communications device;

20 ii) preparing a connection change command including allocation data identifying resources to be persistently allocated for the user communications device; and

iii) sending the connection change command to the source communications device.

25

56. A target communications device comprising:

means for receiving a handover confirm message from a user device indicating that a handover procedure is completed for the user device;

means, response to receipt of said handover confirm message, for:

5 i) determining required resources to be persistently allocated for communication with the user communications device;

 ii) preparing a connection change command including allocation data identifying resources to be persistently allocated for the user communications device; and

10 iii) sending the connection change command to the source communications device.

Application No: GB0721148.5 **Examiner:** Gareth Griffiths
Claims searched: 1, 11, 14, 24, 34, 37 and 48-50 **Date of search:** 7 March 2008

Patents Act 1977: Search Report under Section 17

Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
X	1, 11, 14, 24, 34, 37 & 48-50 at least	WO97/23110 A1 (NOKIA) figure 2
X	1, 11, 14, 24, 34, 37 & 48-50 at least	US2004/166841 A1 (NIPPON ELECTRIC) paras 26-29 and fig.1
X	1, 11, 14, 24, 34, 37 & 48-50 at least	EP1806941 A1 (SIEMENS) para 38 & fig.8
X	1, 11, 14, 24, 34, 37 & 48-50 at least	WO2006/103547 A1 (NOKIA) para 107 & fig.6

Categories:

X Document indicating lack of novelty or inventive step	A Document indicating technological background and/or state of the art
Y Document indicating lack of inventive step if combined with one or more other documents of same category	P Document published on or after the declared priority date but before the filing date of this invention
& Member of the same patent family	E Patent document published on or after, but with priority date earlier than, the filing date of this application

Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC^X:

Worldwide search of patent documents classified in the following areas of the IPC
H04Q
The following online and other databases have been used in the preparation of this search report
WPI, EPODOC, TXTUS0, TXTUS1, TXTUS2, TXTUS3, TXTEP1, TXTGB1, TXTWO1, TXTAU1, XPI3E, XPIEE, XPESP

International Classification:

Subclass	Subgroup	Valid From
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39

Subclass	Subgroup	Valid From
H04Q	0007/38	01/01/2006