



(86) **Date de dépôt PCT/PCT Filing Date:** 2016/04/14  
(87) **Date publication PCT/PCT Publication Date:** 2016/10/20  
(45) **Date de délivrance/Issue Date:** 2023/08/01  
(85) **Entrée phase nationale/National Entry:** 2017/10/04  
(86) **N° demande PCT/PCT Application No.:** CN 2016/079251  
(87) **N° publication PCT/PCT Publication No.:** 2016/165626  
(30) **Priorité/Priority:** 2015/04/15 (CN PCT/CN2015/076639)

(51) **Cl.Int./Int.Cl. C07D 405/14** (2006.01),  
**A61K 31/4184** (2006.01), **A61K 31/4355** (2006.01),  
**A61K 31/5585** (2006.01), **A61P 35/00** (2006.01),  
**C07D 471/04** (2006.01)  
(72) **Inventeurs/Inventors:**  
ZHANG, GUOLIANG, CN;  
ZHOU, CHANGYOU, US  
(73) **Propriétaire/Owner:**  
BEIGENE, LTD., KY  
(74) **Agent:** ROBIC

(54) **Titre : SELS DE MALEATE D'UN INHIBITEUR DE KINASE B-RAF, FORMES CRISTALLINES, PROCEDES DE PREPARATION, ET LEURS UTILISATIONS**  
(54) **Title: MALEATE SALTS OF A B-RAF KINASE INHIBITOR, CRYSTALLINE FORMS, METHODS OF PREPARATION, AND USES THEREFORE**

**(57) Abrégé/Abstract:**

The invention relates to 5-((1R, 1aS, 6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropan[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one (Compound 1) maleate salts, in particular the sesqui-maleate salt and its crystalline forms, methods of preparation, pharmaceutical compositions, and therapeutic uses for treatment of diseases or disorders mediated by BRAF or other kinases.

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



## (10) International Publication Number

WO 2016/165626 A1

(43) International Publication Date  
20 October 2016 (20.10.2016)

- (51) International Patent Classification:  
*C07D 405/14* (2006.01) *A61K 31/4355* (2006.01)  
*C07D 471/04* (2006.01) *A61K 31/5585* (2006.01)  
*A61K 31/4184* (2006.01) *A61P 35/00* (2006.01)
- (74) Agent: **WU, FENG & ZHANG CO.**; Room 305, Tower B, Beijing Aerospace Cpmiec Building, Beijing 100080 (CN).
- (21) International Application Number: PCT/CN2016/079251
- (22) International Filing Date: 14 April 2016 (14.04.2016)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: PCT/CN2015/076639 15 April 2015 (15.04.2015) CN
- (71) Applicant: **BEIGENE, LTD.**; c/o Mourant Ozannes Corporate Services (Cayman) Limited, 94 Solaris Avenue, Camana Bay, Grand Cayman KY1-1108 (KY).
- (72) Inventor; and
- (71) Applicant (for SC only): **ZHANG, Guoliang** [CN/CN]; No.30, Science Park Road, Zhong-Guan-Cun Life Science Park, Changping District, Beijing 102206 (CN).
- (72) Inventor: **ZHOU, Changyou**; 4 Casselberry Way, Princeton, New Jersey 08540 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

## Published:

— with international search report (Art. 21(3))



WO 2016/165626 A1

(54) Title: MALEATE SALTS OF A B-RAF KINASE INHIBITOR, CRYSTALLINE FORMS, METHODS OF PREPARATION, AND USES THEREFORE

(57) Abstract: The invention relates to 5-((1R, 1aS, 6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one (Compound 1) maleate salts, in particular the sesqui-maleate salt and its crystalline forms, methods of preparation, pharmaceutical compositions, and therapeutic uses for treatment of diseases or disorders mediated by BRAF or other kinases.

**MALEATE SALTS OF A B-RAF KINASE INHIBITOR, CRYSTALLINE FORMS,  
METHODS OF PREPARATION, AND USES THEREFORE**

5

**FIELD OF THE INVENTION**

[0001] The present invention relates to maleate salts of a B-RAF kinase inhibitor, i.e., 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one (hereinafter referred to as Compound 1), especially sesqui-maleate of Compound 1, and crystalline forms (polymorphs) of the sesqui-maleates, and methods of preparation, and uses therefore.

10

**BACKGROUND OF THE INVENTION**

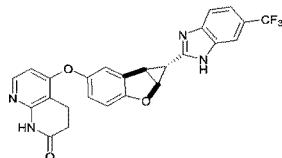
[0002] B-RAF is a kinase that is part of the RAF-MEK-ERK MAPK pathway key to cell proliferation and survival. B-RAF mutations have been discovered in more than 7% of human cancers, including melanoma (43%) (see H. Davies, et al., *Nature*, 417 (2002), 949-54; D.R. English, et al., *Cancer Epidemiol Biomarkers Prev*, 17 (2008), 1774-80; G.V. Long, et al., *Lancet Oncol.*, 13 (2012), 1087-95), thyroid (27%) (see Y. Cohen, *J Natl Cancer Inst*, 95 (2003), 625-7; E.T. Kimura, et al., *Cancer Res*, 63 (2003), 1454-7), colorectal (14%) (see H. Davies, et al., *Nature*, 417 (2002), 949-54; D.R. English, et al., *Cancer Epidemiol Biomarkers Prev*, 17 (2008), 1774-80; S. Ogino, et al., *Gut*, 58 (2009), 90-6; C.P. Vaughn, *Genes Chromosomes Cancer*, 50 (2011), 307-12), ovarian (15%) (see H. Davies, et al., *Nature*, 417 (2002), 949-54; S.E. Russell, *J Pathol*, 203 (2004), 617-9), and lung (2%) (see M.S. Brose, et al., *Cancer Res*, 62(2002), 6997-7000) cancers. More than 90% of the B-RAF mutations found in melanoma are a substitution of glutamic acid for valine at the 600th amino acid of the B-RAF protein chain (V600E), resulting in constitutive activation.

[0003] Clinical experience with B-RAF inhibitors such as vemurafenib and dabrafenib in melanoma patients has proven efficacious, which has validated the concept of targeting tumors dependent on B-RAF and MAPK signaling. Selective inhibition in melanoma patients harboring the B-RAF V600E mutation in their tumors yielded impressive objective response rates and prolongation of progression-free survival. However, the first generation of B-RAF inhibitors, including vemurafenib and dabrafenib, have a number of limitations, for example, 1) development of keratoacanthomas or cutaneous squamous cell carcinoma due to paradoxical increase of MAPK signaling through induction of B-RAF/C-RAF heterodimer in the context of mutated or activated RAS; and 2) limited clinical activity outside of melanoma with B-RAF

V600E mutations (e.g., colorectal). Therefore, a second-generation inhibitor that can improve in these areas is highly desirable.

**[0004]** 5-(((1R,1aS,6bR)-1-(6-(Trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one (free base,

5 Compound **1**) has been disclosed as a second generation B-RAF inhibitor, which has demonstrated potent inhibitory activity against RAF family of serine/threonine kinases. *See* WO 2013/097224 A1.



Compound **1**

10 **[0005]** Compound **1** is a molecularly targeted therapeutic agent for the treatment of cancers with aberrations in the RAF-MEK-ERK mitogen-activated protein kinase (MAPK) pathway, including B-RAF mutations and K-RAS/N-RAS mutations, as either monotherapy or in combination with other cancer therapies. However, the relatively poor oral absorption of the free base of Compound **1** makes it unsuitable for drug product development.

15 **[0006]** It has been also found that the free base of Compound **1** was obtained originally as an amorphous solid based on the XRPD results of **Fig. 1**, and that the free base of Compound **1** is substantially insoluble in water with <LOQ at 0.001 mg/mL, and is slightly hygroscopic with 2.2% water gain at from 0 to 80% RH.

20 **[0007]** In addition, the synthesis of Compound **1** was inefficient. Various factors, for example, the requirement of chiral HPLC column for preparing optically pure isomer and the tendency of the free base of Compound **1** in amorphous form hindering the expulsion of impurities from the process, make large scale preparation and purification of Compound **1** a challenge.

25 **[0008]** Practically, it is difficult to predict with confidence which salts of a particular compound will be stable and suitable for pharmaceutical processing. It is even more difficult to predict whether or not a particular compound will form various crystalline solid-state forms, and to predict the physical properties of these crystalline solid-state forms.

30 **[0009]** Therefore, there is a great need for some forms of Compound **1** which have much better bioavailability and have chemical and physical stability during formulation and storage of this medication as well as a process suitable for large-scale preparation of Compound **1** with good quality and reproducibility is in great needs.

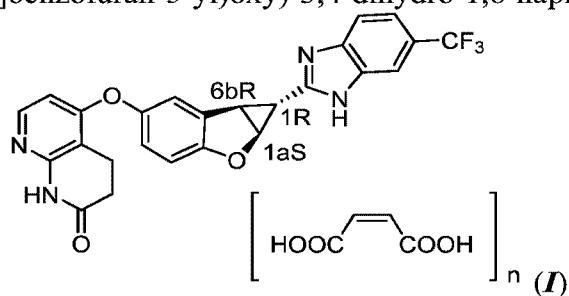
## SUMMARY OF THE INVENTION

**[0010]** The present application discloses an invention to address the foregoing challenges and need by providing stable salts of Compound **1** and crystalline forms thereof.

[0011] In the first aspect, provided herewith is a salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one (“Compound 1”), which is selected from hydrochloride, methanesulfonate, 2-hydroxyethanesulfonate, L-tartrate, maleate and oxalate of Compound 1. In one embodiment, the salt is pharmaceutically acceptable. In another embodiment, the salt is in solid-state. In one preferred embodiment, the salt is in a crystalline form. The salts of the present application are in solid form and show different crystalline forms from the free base of Compound 1, indicating they are potential salt candidates. The salts of crystalline form in the present application that has superior physical properties suitable for pharmaceutical formulations and can be manufactured on large commercial scales in high quality and good reproducibility.

[0012] Among the crystalline forms of hydrochloride, methanesulfonate, 2-hydroxyethanesulfonate, L-tartrate, maleate and oxalate of Compound 1, the inventors of the present invention unexpectedly discovered that Compound 1 can form maleate salts, in particular, sesqui-maleate salt, in crystalline forms. In particular, it was surprisingly discovered that the maleate salt of Compound 1 (hereinafter sometimes referred to as “Compound 1 maleate salt” or “Compound 1 maleate”) can exist in a number of crystalline forms (polymorphs), which are herein referred to as Crystalline Forms A\*, A\*\*, A, B, C, D, E, F, G, H, I, J, K, L and M. The Compound 1 maleate salt and its crystalline forms, specifically Crystalline Form A\* and A, have superior properties, such as improved solubility and stability, particularly the long-term chemical/physical stability, to those of other salts, which make them suitable candidates for formulation and clinical applications. More particularly, the improved solubility and/or long-term chemical/physical stability of Forms A\*, A and the other forms of the Compound 1 maleate salts provides those forms with fast dissolution *in vivo* and *in vitro*, and thus increased bioavailability as compared with the free base.

[0013] In the second aspect, provided herewith is a compound of Formula (I), which is a maleate salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one:



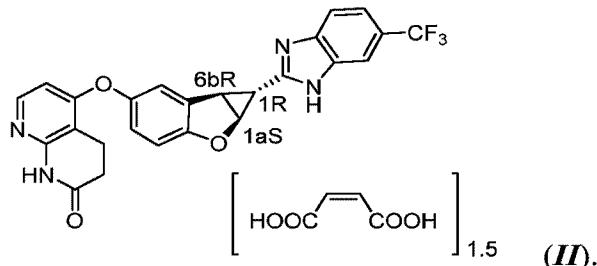
30 wherein n is a number from about 0.3 to about 1.5.

[0014] In one embodiment, in the compound of Formula (I), n is a number selected from the group consisting of  $0.5\pm0.05$ ,  $1.0\pm0.1$ , and  $1.5\pm0.2$ .

[0015] In another embodiment, in the compound of Formula (I), n is 0.5, 1.0, or 1.5.

[0016] In another preferred embodiment, the compound of Formula (I) is in a crystalline form.

5 [0017] In another preferred embodiment, n is 1.5, and the compound is a crystalline sesquimaleate salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one of Formula (II):



10 [0018] In one embodiment, the compound of Formula (II) is in Crystalline Form A\*, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $6.3\pm0.2$ ,  $8.9\pm0.2$ ,  $9.4\pm0.2$ ,  $11.2\pm0.2$ ,  $12.6\pm0.2$ ,  $13.4\pm0.2$ ,  $17.9\pm0.2$ ,  $18.6\pm0.2$ ,  $18.8\pm0.2$ ,  $19.3\pm0.2$ ,  $20.1\pm0.2$ ,  $20.7\pm0.2$ ,  $21.2\pm0.2$ ,  $21.8\pm0.2$ ,  $22.4\pm0.2$ ,  $22.6\pm0.2$ ,  $23.3\pm0.2$ ,  $23.8\pm0.2$ ,  $24.7\pm0.2$ ,  $25.6\pm0.2$ ,  $26.1\pm0.2$ ,  $27.4\pm0.2$ ,  $28.3\pm0.2$ ,  $28.6\pm0.2$ ,  $29.0\pm0.2$ ,  $29.4\pm0.2$ , and  $30.4\pm0.2$  degrees.

15 [0019] In another embodiment, the compound of Formula (II) is a single crystal in Crystalline Form A\*\*.

[0020] In another embodiment, the compound of Formula (II) is in Crystalline Form A, which is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $8.3\pm0.2$ ,  $11.2\pm0.2$ ,  $17.9\pm0.2$ ,  $18.4\pm0.2$ ,  $18.6\pm0.2$ ,  $19.3\pm0.2$ ,  $20.8\pm0.2$ , and  $22.5\pm0.2$  degrees.

20 [0021] In another embodiment, the compound of Formula (I) is in Crystalline Form B, which is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $11.1\pm0.2$ ,  $15.8\pm0.2$ ,  $17.7\pm0.2$ ,  $18.4\pm0.2$ ,  $19.6\pm0.2$ ,  $22.3\pm0.2$ ,  $23.1\pm0.2$ , and  $28.8\pm0.2$  degrees.

25 [0022] In another embodiment, the compound of Formula (I) is in Crystalline Form C, which is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $3.1\pm0.2$ ,  $8.8\pm0.2$ ,  $11.2\pm0.2$ ,  $17.8\pm0.2$ ,  $18.5\pm0.2$ ,  $19.3\pm0.2$ ,  $20.1\pm0.2$ ,  $20.7\pm0.2$ ,  $21.9\pm0.2$ , and  $22.4\pm0.2$  degrees.

30 [0023] In another embodiment, the compound of Formula (I) is in Crystalline Form D, which is characterized by a powder X-ray diffraction pattern comprising three or more diffraction

peaks having  $2\theta$  angle values independently selected from the group consisting of:  $8.9\pm0.2$ ,  $14.9\pm0.2$ ,  $16.7\pm0.2$ ,  $17.8\pm0.2$ ,  $19.9\pm0.2$ ,  $20.4\pm0.2$ ,  $20.9\pm0.2$ , and  $26.9\pm0.2$  degrees.

[0024] In another embodiment, the compound of Formula (I) is in Crystalline Form F, which is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks

5 having  $2\theta$  angle values independently selected from the group consisting of:  $12.9\pm0.2$ ,  $17.0\pm0.2$ ,  $18.5\pm0.2$ ,  $19.4\pm0.2$ ,  $20.5\pm0.2$ ,  $22.5\pm0.2$ , and  $24.1\pm0.2$  degrees.

[0025] In another embodiment, the compound of Formula (I) is in Crystalline Form G, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $3.4\pm0.2$ ,

10  $5.6\pm0.2$ ,  $7.0\pm0.2$ ,  $10.3\pm0.2$ ,  $10.9\pm0.2$ ,  $11.7\pm0.2$ ,  $12.4\pm0.2$ ,  $13.1\pm0.2$ ,  $14.0\pm0.2$ ,  $14.9\pm0.2$ ,  $16.4\pm0.2$ ,  $17.4\pm0.2$ ,  $18.6\pm0.2$ ,  $19.3\pm0.2$ ,  $20.1\pm0.2$ ,  $21.0\pm0.2$ ,  $21.9\pm0.2$ ,  $23.6\pm0.2$ ,  $24.2\pm0.2$ ,  $25.6\pm0.2$ , and  $26.4\pm0.2$  degrees.

[0026] In another embodiment, the compound of Formula (I) is in Crystalline Form H, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction

15 peaks having  $2\theta$  angle values independently selected from the group consisting of:  $6.3\pm0.2$ ,  $9.0\pm0.2$ ,  $10.1\pm0.2$ ,  $11.2\pm0.2$ ,  $12.7\pm0.2$ ,  $14.5\pm0.2$ ,  $16.1\pm0.2$ ,  $16.6\pm0.2$ ,  $17.9\pm0.2$ ,  $18.1\pm0.2$ ,  $18.5\pm0.2$ ,  $19.0\pm0.2$ ,  $20.1\pm0.2$ ,  $21.9\pm0.2$ ,  $22.4\pm0.2$ ,  $23.9\pm0.2$ ,  $25.1\pm0.2$ ,  $26.2\pm0.2$ , and  $28.7\pm0.2$  degrees.

[0027] In another embodiment, the compound of Formula (I) is in Crystalline Form I, which is characterized by a powder X-ray diffraction pattern comprising five or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $3.1\pm0.2$ ,  $5.5\pm0.2$ ,  $6.8\pm0.2$ ,  $10.8\pm0.2$ ,  $11.5\pm0.2$ ,  $13.7\pm0.2$ ,  $16.1\pm0.2$ ,  $16.3\pm0.2$ ,  $17.8\pm0.2$ ,  $19.8\pm0.2$ ,  $21.5\pm0.2$ ,  $23.8\pm0.2$ ,  $24.4\pm0.2$ , and  $28.3\pm0.2$  degrees.

[0028] In another embodiment, the compound of Formula (I) is in Crystalline Form J, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $5.5\pm0.2$ ,  $8.2\pm0.2$ ,  $10.9\pm0.2$ ,  $11.3\pm0.2$ ,  $13.6\pm0.2$ ,  $14.8\pm0.2$ ,  $15.8\pm0.2$ ,  $17.4\pm0.2$ ,  $18.0\pm0.2$ ,  $19.1\pm0.2$ ,  $19.8\pm0.2$ ,  $20.0\pm0.2$ ,  $20.4\pm0.2$ ,  $21.1\pm0.2$ ,  $21.9\pm0.2$ ,  $22.6\pm0.2$ ,  $23.4\pm0.2$ ,  $24.1\pm0.2$ ,  $25.0\pm0.2$ ,  $26.1\pm0.2$ ,  $26.9\pm0.2$ ,  $27.3\pm0.2$ ,  $28.4\pm0.2$ ,  $29.1\pm0.2$ ,  $33.1\pm0.2$ , and  $35.9\pm0.2$  degrees.

30 [0029] In another embodiment, the compound of Formula (I) is in Crystalline Form K, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $3.1\pm0.2$ ,

$8.9\pm0.2$ ,  $9.3\pm0.2$ ,  $11.2\pm0.2$ ,  $16.7\pm0.2$ ,  $17.9\pm0.2$ ,  $18.6\pm0.2$ ,  $18.8\pm0.2$ ,  $19.4\pm0.2$ ,  $20.2\pm0.2$ ,

$21.9\pm0.2$ ,  $22.4\pm0.2$ ,  $23.4\pm0.2$ ,  $23.9\pm0.2$ ,  $24.6\pm0.2$ ,  $26.2\pm0.2$ ,  $27.4\pm0.2$ ,  $28.5\pm0.2$ ,  $29.4\pm0.2$ , and

35  $30.4\pm0.2$  degrees.

[0030] In another embodiment, the compound of Formula (I) is in Crystalline Form L, which is characterized by a powder X-ray diffraction pattern comprising diffraction peaks having the following 2 $\theta$  angle values: 9.7±0.2 and 14.1±0.2 degrees.

[0031] In another embodiment, the compound of Formula (I) is in Crystalline Form M, which

5 is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 5.0±0.2, 9.3±0.2, 11.3±0.2, 14.9±0.2, 15.9±0.2, 17.4±0.2, 18.0±0.2, 18.7±0.2, 19.4±0.2, 20.2±0.2, 22.1±0.2, 23.4±0.2, 24.3±0.2, 25.4±0.2, 26.5±0.2, 27.5±0.2, 28.5±0.2, and 29.3±0.2 degrees.

[0032] In some embodiment, in the compound of Formula (I), n is 1, i.e., Compound 1 maleate

10 (1:1). In other embodiment, provided herein is Compound 1 maleate (1:1) Crystalline Form N, which is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.30±0.2, 6.61±0.2, 9.88±0.2, 11.73±0.2, 13.14±0.2, 15.23±0.2, 16.56±0.2, 17.94±0.2, 18.72±0.2, 19.34±0.2, 19.93±0.2, 20.76±0.2, 22.04±0.2, 22.95±0.2, 23.86±0.2, 25.19±0.2, 15 26.61±0.2, 28.36±0.2, 30.13±0.2, 31.36±0.2, 33.49±0.2, and 37.22±0.2.

[0033] In another embodiment, the compound of Formula (I) is in a crystalline form substantially characterized by a powder X-ray diffraction pattern selected from the group consisting of Fig. 2, Fig. 16, Fig. 17, Fig. 18, Fig. 19, Fig. 20, Fig. 21, Fig. 22, Fig. 23, Fig. 24, Fig. 25, Fig. 26, Fig. 27, Fig. 28, and Fig. 33.

20 [0034] In some embodiment, Compound 1 maleate (1:1) Crystalline Form N is a chemically stable crystalline form substantially characterized by a powder X-ray diffraction pattern as shown in Fig. 33.

[0035] In another aspect, provided herein is a method for preparing a crystalline form of 5-((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt of Formula (I), comprising any one of the following procedures:

30 (a) dissolving free base or a salt other than maleate salt of 5-((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one in a solvent or solvent mixture to form a solution or suspension; mixing the resultant solution or suspension with maleic acid to form a mixture; and precipitating out 5-((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form;

35 (b) dissolving or suspending 5-((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate salt in a solvent or solvent mixture; and

precipitating out 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form;

(c) storing a crystalline 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-

5 2(1H)-one sesqui-maleate salt for an extended period to obtain a target crystalline form;

(d) heating a crystalline or amorphous 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate salt to an elevated temperature, and cooling the salt

10 to obtain a target crystalline form; and

(e) exposing a crystalline or amorphous 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt to a vapor of a solvent to obtain a target crystalline form.

15 [0036] In one embodiment of this aspect, the procedure (a) or (b) further comprises one or more procedures independently selected from heating, filtering to remove undissolved impurities, distilling solvent, adding a counter solvent or solvent mixture, adding crystal seeds, adding precipitation inducing agent(s), cooling, precipitating, and filtering to collect the crystalline product.

20 [0037] In another embodiment of this aspect, the procedure (a) or (b), wherein the solvent or solvent mixture is selected from the group consisting of water, lower alkyl alcohols, ketones, ethers, esters, lower aliphatic carboxylic acids, lower aliphatic nitriles, optionally halogenated aromatic solvents, and combinations thereof.

[0038] In another embodiment of this aspect, in the procedure (a) or (b) the solvent is isopropanol, ethanol, methanol, acetone, THF, 1,4-dioxane, acetic acid, acetonitrile, water, or a combination thereof.

[0039] In another embodiment of this aspect, in the procedure (a) the free base is an isolated and purified free base, an isolated but unpurified free base, or a crude reaction product containing the free base.

30 [0040] In another embodiment of this aspect, in the procedure (c) the extended period is at least three days, at least one week, or at least two weeks.

[0041] In another embodiment of this aspect, in the procedure (d) the elevated temperature is at least 40 °C, at least 60 °C, at least 80 °C, or at least 100 °C, but lower than decomposition temperature of the sesqui-maleate salt.

35 [0042] In another embodiment of this aspect, in the procedure (e) the vapor is a vapor of acetic acid.

[0043] In another embodiment of this aspect, the method is selected from:

- 1) the procedure (a) or (b) using isopropanol-water (v/v > 60/40) as the solvent to produce Crystalline Form A\*;
- 2) the procedure (a) or (b) using acetone as the solvent to produce Crystalline Form A\*\*;
- 5 3) the procedure (a) or (b) using an IPA-water (v:v=4:1) mixture as the solvent to produce Crystalline Form A;
- 4) the procedure (a) or (b) using 1,4-dioxane as the solvent to produce Crystalline Form B;
- 5) the procedure (a) or (b) using ethanol as the solvent to produce Crystalline Form C;
- 6) the procedure (a) or (b) using methanol as the solvent to produce Crystalline Form D;
- 10 7) the procedure (a) or (b) using an acetonitrile-water (v:v=1:1) mixture as the solvent to produce Crystalline Form F;
- 8) the procedure (a) or (b) using an acetic acid-water mixture as the solvent to produce Crystalline Form G;
- 9) the procedure (a) or (b) using tetrahydrofuran (THF) as the solvent to produce
- 15 Crystalline Form H;
- 10) the procedure (a) or (b) using an IPA-water (v:v=3:1) mixture as the solvent to produce Crystalline Form I;
- 11) the procedure (c) storing Crystalline Form D at ambient temperature for two weeks to produce Crystalline Form K;
- 20 12) the procedure (c) storing Crystalline Form J at ambient temperature for two weeks to produce Crystalline Form M;
- 13) the procedure (d) heating Crystalline Form G to 140 °C and then cooling to ambient temperature to produce Crystalline Form L; and
- 25 14) the procedure (e) letting Crystalline Form A interact with acetic acid vapor to produce Crystalline Form J.

[0044] In some embodiment, provided herein is a process for preparing a crystalline form of the sesqui-maleate salt of Compound 1 (hereinafter sometimes referred to as “Compound 1 sesqui-maleate salt” or “Compound 1 sesqui-maleate”) comprising mixing at a temperature below the reflux temperature, for example, mixing at about 50°C a mixture of Compound 1 and maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or mixing at a temperature below the reflux temperature, for example, mixing at about 50°C Compound 1 with a mixture or a suspension or a solution of maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or mixing at a temperature below the reflux temperature, for example, mixing at about 50°C a mixture or a suspension or a solution of Compound 1 in a mixed solvent of i-PrOH and H<sub>2</sub>O with maleic acid, wherein the amount of i-PrOH is greater than 40 vol% in terms of the total volume of i-PrOH and water, preferably 60vol%, and more preferably 90vol%. In some preferred embodiment, the above-

mentioned mixed solvent is replaced with i-PrOH. In other embodiment, the process further comprises adding some crystal seeds into the resultant mixture after cooling to room temperature, and then letting the mixture stand for a certain duration, such as 12 hours, 24 hours, 2, 3, or 4 days or 1 week, 2 weeks.

5 [0045] In some embodiment, provided herein is a process for preparing a crystalline form of Compound 1 maleate (1:1) comprising mixing a crystalline form of Compound 1 sesqui-maleate with methanol. In some embodiment, the above mixing is performed with stirring. In some embodiment, the crystalline form of Compound 1 maleate (1:1) is Form N as disclosed herein. In some embodiment, the crystalline form of Compound 1 maleate is any one selected  
10 from the group consisting of Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L and M. In some embodiment, the above mixing is performed for about one day, or 2 days, or 3 days, or 4 days, 5 days, or 6 days or one week, or 2 weeks. This conversion of Compound 1 Sesqui-Maleate Salt (1:1.5) into the Maleate Salt (1:1) provides a cost-effective process for making and/or further purifying the active pharmaceutical ingredient Compound 1 with very simple  
15 procedure, for example, by simply stirring Compound 1 Sesqui-Maleate, .e.g., any one of Crystalline Form A\*, A\*\* and A in methanol at room temperature or elevated temperature. This conversion has been found to give rise to a reduced crystalline particle size for the resultant crystalline substance (i.e., Compound 1 maleate (1:1) Crystalline Form), which may, in turn, increase the dissolution rate for drug product and further increase the chemical purity  
20 of the drug product. In this regard, the conversion has been expected to further simplify the drug product manufacture process, for example, without using micronization and roller compaction process.

25 [0046] In another aspect, provided herein is a pharmaceutical composition comprising a compound of Formula (I) or Formula (II) according to any of the embodiments described herein and a pharmaceutically acceptable carrier.

[0047] In one embodiment, the pharmaceutical composition is suitable for oral administration.

[0048] In another embodiment, the pharmaceutical composition is in the form of tablet or capsule.

[0049] In another embodiment, the unit dosage of the tablet or capsule is 5-80 mg.

30 [0050] In another embodiment, the weight percentage of the compound in the pharmaceutical composition is 1-99%.

[0051] In another aspect, provided herein is a method of treating or preventing a disease or disorder in a subject, such as human being, comprising administering to said subject a therapeutically effective amount of a compound of Formula (I) or Formula (II) according to  
35 any of the embodiments described herein or a pharmaceutical composition comprising a compound of Formula (I) or Formula (II).

[0052] In one embodiment, the disease or disorder is a cancer selected from the group consisting of brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, or thyroid tumors and their complications.

5 [0053] In another embodiment, the disease is selected from the group consisting of BRAF, NRAS and KRAS mutant brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, or thyroid tumors and their complications.

10 [0054] In another embodiment, the administered dosage of the compound is 1-100 mg/day, and the administration frequency is one to three times a day.

[0055] In another embodiment, the administered dosage of the compound is 5-50 mg/day, and the administration frequency is one to three times a day.

15 [0056] In another embodiment, the administered dosage of the compound is 10-40 mg/day, and the administration frequency is one time a day.

[0057] In another embodiment, the compound is 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate in a crystalline form selected from the group consisting of Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N.

20 [0058] In another aspect, provided herein is use of a compound of Formula (I) or Formula (II) according to any of the embodiments described herein in the manufacture of a medicament for treatment of a disease or disorder associated with BRAF, NRAS and KRAS activities.

[0059] In a preferred embodiment, the disease is a cancer.

25 [0060] In another preferred embodiment, the compound is 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate in a crystalline form selected from the group consisting of Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N. These and other aspects of the present invention will be better appreciated in view of the following drawings, detailed description, and claims.

30

#### BRIEF DESCRIPTIONS OF THE DRAWINGS

[0061] **Fig. 1** shows an X-ray diffraction pattern of Compound 1 in amorphous form.

[0062] **Fig. 2** shows an X-ray diffraction pattern of Crystalline Form A\* of Compound 1 Sesqui-Maleate (crystallization from isopropanol/water).

35 [0063] **Fig. 3** shows an X-ray diffraction pattern of Compound 1 hydrochloride salt (1:1).

[0064] **Fig. 4** shows an X-ray diffraction pattern of Compound 1 methanesulfonate salt (1:1).

[0065] **Fig. 5** shows an X-ray diffraction pattern of Compound **1** 2-hydroxyethanesulfonate salt (1:1).

[0066] **Fig. 6** shows an X-ray diffraction pattern of Compound **1** L-tartrate salt (1:1)

[0067] **Fig. 7** shows an X-ray diffraction pattern of Compound **1** oxalate (1:1).

5 [0068] **Fig. 8** shows overlay of X-ray diffraction patterns of solids obtained from Compound **1** with phosphoric acid (1:1), toluenesulfonic acid (1:1), ethanesulfonic acid (1:1), sulfuric acid (1:1), API slurry control and API.

10 [0069] **Fig. 9** shows overlay of X-ray diffraction patterns of solids obtained from Compound **1** with L-lactic acid (1:1), L-malic acid (1:1), malonic acid (1:1), nicotinamide (1:1), saccharin (1:1), succinic acid (1:1), API slurry control and API.

[0070] **Fig. 10** shows overlay of X-ray diffraction patterns of solids obtained from Compound **1** with benzoic acid (1:1), citric acid (1:1), fumaric acid (1:1), gentisic acid (1:1), glycolic acid (1:1), API slurry control and API.

15 [0071] **Fig. 11** shows overlay of X-ray diffraction patterns of solid obtained from Compound **1** with nicotinic acid (1:1), nicotinic acid slurry control, API slurry control and API.

[0072] **Fig. 12** shows overlay of X-ray diffraction patterns of solid obtained from Compound **1** with adipic acid (1:1), adipic acid slurry control, API slurry control and API.

[0073] **Fig. 13** shows the absolute structure of Single Crystalline Form A\*\* of Compound **1** Sesqui-Maleate (single crystals obtained by crystallization from acetone).

20 [0074] **Fig. 14** illustrates hydrogen bonds of Single Crystalline Form A\*\* of Compound **1** Sesqui-Maleate.

[0075] **Fig. 15** shows a Crystal Packing of Single Crystalline Form A\*\* of Compound **1** Sesqui-Maleate.

25 [0076] **Fig. 16** shows a theoretical XRPD pattern of Single Crystalline Form A\*\* of Compound **1** Sesqui-Maleate calculated using the MERCURY software.

[0077] **Fig. 17** shows an X-ray diffraction pattern of Crystalline Form A of Compound **1** Sesqui-Maleate (obtained by recrystallization from isopropanol/water).

[0078] **Fig. 18** shows an X-ray diffraction pattern of Crystalline Form B of Compound **1** maleate.

30 [0079] **Fig. 19** shows an X-ray diffraction pattern of Crystalline Form C of Compound **1** maleate.

[0080] **Fig. 20** shows an X-ray diffraction pattern of Crystalline Form D of Compound **1** maleate.

35 [0081] **Fig. 21** shows an X-ray diffraction pattern of Crystalline Form F of Compound **1** maleate.

[0082] **Fig. 22** shows an X-ray diffraction pattern of Crystalline Form G of Compound **1** maleate.

[0083] **Fig. 23** shows an X-ray diffraction pattern of Crystalline Form H of Compound **1** maleate.

5 [0084] **Fig. 24** shows an X-ray diffraction pattern of Crystalline Form I of Compound **1** maleate.

[0085] **Fig. 25** shows an X-ray diffraction pattern of Crystalline Form J of Compound **1** maleate.

10 [0086] **Fig. 26** shows an X-ray diffraction pattern of Crystalline Form K of Compound **1** maleate.

[0087] **Fig. 27** shows an X-ray diffraction pattern of Crystalline Form L of Compound **1** maleate.

[0088] **Fig. 28** shows an X-ray diffraction pattern of Crystalline Form M of Compound **1** maleate.

15 [0089] **Fig. 29** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form A\* of Compound **1** Sesqui-Maleate.

[0090] **Fig. 30** shows a <sup>13</sup>C-NMR spectrum of Crystalline Form A\* of Compound **1** Sesqui-Maleate.

20 [0091] **Fig. 31** shows Hygroscopicity (i.e., Moisture sorption) of Crystalline Form A\* of Compound **1** Sesqui-Maleate by DVS.

[0092] **Fig. 32** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form N of Compound **1** maleate Salt (1:1).

[0093] **Fig. 33** shows an X-ray diffraction pattern of Crystalline Form N of Compound **1** maleate (1:1).

25 [0094] **Fig. 34** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form A of Compound **1** Sesqui-Maleate.

[0095] **Fig. 35** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form B of Compound **1** maleate (1:1.2).

30 [0096] **Fig. 36** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form C of Compound **1** maleate (1:1.3).

[0097] **Fig. 37** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form D of Compound **1** maleate (1:1).

[0098] **Fig. 38** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form F of Compound **1** maleate (1:0.5).

35 [0099] **Fig. 39** shows a <sup>1</sup>H-NMR spectrum of Crystalline Form G of Compound **1** maleate (1:0.5).

- [00100] **Fig. 40** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form H of Compound 1 maleate (1:1).
- [0101] **Fig. 41** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form I of Compound 1 maleate (1:0.5).
- 5 [0102] **Fig. 42** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form J of Compound 1 maleate (1:1).
- [0103] **Fig. 43** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form K of Compound 1 maleate (1:1).
- [0104] **Fig. 44** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form L of Compound 1 maleate (1:0.3).
- 10 [0105] **Fig. 45** shows a  $^1\text{H}$ -NMR spectrum of Crystalline Form M of Compound 1 maleate (1:1.3).

#### DETAILED DESCRIPTION OF THE INVENTION

[0106] In the course of preparing the salts of the present invention, many salt-forming agents commonly used in the pharmaceutical industry were investigated. In particular, the salt-forming agents investigated in the present invention include the following 25 acids or salt-forming agents selected from the group consisting of hydrochloric acid, sulfuric acid, phosphoric acid, methanesulfonic acid, 2-hydroxyethanesulfonic acid, benzenesulfonic acid, glycolic acid, L-lactic acid, fumaric acid, L-tartaric acid, citric acid, L-malic acid, succinic acid, 20 hippuric acid, maleic acid, adipic acid, benzoic acid, gentisic acid, malonic acid, ethanesulfonic acid, toluenesulfonic acid, oxalic acid, nicotinic acid, nicotinamide, and saccharin.

[0107] However, the reaction of Compound 1 with only six salts showed the formation of salts. In particular, solid forms of salts of Compound 1 with six acids including hydrochloric acid (Fig. 3), methanesulfonic acid (Fig. 4), 2-hydroxyethanesulfonic acid (Fig. 5), L-tartaric acid (Fig. 6), maleic acid (Fig. 2, Fig. 17, etc.) and oxalic acid (Fig. 7) showed different crystalline form from free base, API control and solid acid control. In contrast to the above six crystalline salts of the present application, the other salts failed to crystallize.

[0108] In one aspect, provided herein is Compound 1 Sesqui-Maleate Salt, which is prepared by the process disclosed herein in large quantities. As shown in Fig. 2, Compound 1 Sesqui-Maleate Salt is in a crystalline form (referred herein as Crystalline Form A\*) and its X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in Fig. 2):

**Table 1. X-ray Diffraction Pattern of Compound 1 Sesqui-Maleate (Crystalline Form A\*)**

Peak#	Diffraction angle (2-theta)	Spacing	Relative intensity
1	6.253	14.13519	10.0

2	8.906	9.92940	30.9
3	9.378	9.43099	28.13
4	11.212	7.89173	38.55
5	12.5523	7.06862	8.177
6	13.362	6.62652	13.20
7	17.898	4.95609	88.46
8	18.550	4.78320	100.0
9	18.800	4.72011	43.37
10	19.316	4.59525	36.49
11	20.131	4.41102	83.24
12	20.740	4.28292	17.94
13	21.190	4.19287	16.90
14	21.821	4.07307	36.93
15	22.381	3.97242	56.88
16	22.559	3.94149	32.65
17	23.341	3.81112	24.44
18	23.849	3.73111	29.73
19	24.708	3.60331	11.49
20	25.581	3.48226	11.77
21	26.117	3.41211	20.07
22	27.395	3.25570	21.16
23	28.280	3.15580	15.24
24	28.596	3.12169	16.38
25	29.032	3.07578	16.13
26	29.354	3.04276	14.76
27	30.372	2.94304	10.81

**[0109]** Crystalline Form A\* of Compound 1 Sesqui-Maleate is a rather stable crystalline form.

After micronization to become well distributed fine particles having an average particle size (D90) of approximately 1-10 microns, it can be readily formulated into drug product for

5 clinical uses.

**[0110]** In another embodiment, provided herein is Single Crystal Form A\*\* of Compound 1 Sesqui-Maleate. The structure of Crystalline Form A\*\* of Compound 1 Sesqui-Maleate was determined using a set of diffraction data collected from a single crystal grown by slow cooling in acetone. Crystal data and structure refinement are listed in Table 2.

**Table 2: Single Crystal Data and Structure Refinement of Compound 1 Sesqui-Maleate (Crystalline Form A\*\*)**

Empirical formula	$C_{24}H_{37}F_3N_4O_3 \cdot 1.5C_4H_5O_4$	-
Formula weight	632.5	
Temperature	173(2) K	-
Wavelength	1.54178 Å	-
Crystal system, space group	Monoclinic	C2
Unit cell dimensions	$a = 19.7026(5) \text{ Å}$ $b = 5.1358(2) \text{ Å}$ $c = 28.4299(8) \text{ Å}$	$\alpha = 90.00 \text{ deg.}$ $\beta = 93.692(2) \text{ deg.}$ $\gamma = 90.00 \text{ deg.}$
Volume	2870.36(16) Å <sup>3</sup>	-
Z, Calculated density	4	1.505 Mg/m <sup>3</sup>
Absorption coefficient	1.676 mm <sup>-1</sup>	-
F(000)	1336	-
Crystal size	0.09 x 0.02 x 0.05 mm	-
Theta range for data collection	4.50 to 68.10 deg.	-
Limiting indices	-20 < h < 23, -6 < k < 5, -30 < l < 32	-
Reflections collected / unique	7195 / 3684 [R(int) = 0.0272]	-
Completeness	92.6 %	-
Refinement method	Full matrix least squares on F <sup>2</sup>	-
Data / restraints / parameters	3684 / 2 / 436	-
Goodness-of-fit on F <sup>2</sup>	1.033	-
Final R indices [I > 2sigma(I)]	R1 = 0.0818	wR2 = 0.2276
Absolute structure parameter	0.1(5)	
Largest diff. peak and hole	0.535 and -0.411 e.Å <sup>-3</sup>	-

- 5 [0111] The single crystal structure of Compound 1 maleate, shown in **Fig. 13**, confirms a sesqui-maleate salt, in which the imidazole nitrogen atom of the free base molecule is protonated. The absolute configurations of C1(S), C2(R) and C3(R) were determined with high probability as indicated by the absolute structure parameter of 0.1(5). The hydrogen bonding interactions are demonstrated in **Fig. 14**. The structure demonstrates a two-
- 10 dimensional structure in the *bc* plane. The free base molecules of Compound 1 and maleate anions are linked by inter-molecular interaction (N3…N3 2.797Å) and hydrogen bond (N2-H2…O4 2.760Å) along *b* axis. The tape structures are then linked by maleate anions via intermolecular interactions (N3…O7 3.008Å and N4…O7 2.715Å) and hydrogen bond (N1-H1…O8 2.659Å) along *c* axis to form a two-dimensional structure. Crystal packing of
- 15 Compound 1 Sesqui-Maleate salt is shown in **Fig. 15**. Theoretical PXRD pattern of Single Crystalline Form A\*\* of Compound 1 Sesqui-Maleate calculated using the MERCURY software is shown in **Fig. 16**.
- [0112] In another embodiment, provided herein is Crystalline Form A of Compound 1 Sesqui-Maleate. As shown in **Fig. 17**, Crystalline Form A's X-ray powder diffraction spectra typically

have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 17**):

**Table 3. X-ray Diffraction Pattern of Compound 1 Sesqui-Maleate (Crystalline Form A)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	8.27	9.90600	30.76
2	11.238	7.87344	7.95
3	17.881	4.96051	100
4	18.353	4.83408	11.84
5	18.569	4.77847	14.65
6	19.336	4.59064	24.76
7	20.757	4.27949	8.36
8	22.543	3.94417	9.52

5 **[0113]** In another embodiment, provided herein is Crystalline Form B of Compound 1 maleate. As shown in **Fig. 18**, Crystalline Form B’s X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 18**):

**Table 4. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form B)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	11.064	7.99711	25.70
2	15.830	5.59824	23.75
3	17.735	5.00106	60.43
4	18.433	4.81337	100.00
5	19.569	4.53629	49.28
6	22.298	3.98695	15.61
7	23.136	3.84440	11.05
8	28.772	3.10295	8.09

10 **[0114]** In another embodiment, provided herein is Crystalline Form C of Compound 1 maleate. As shown in **Fig. 19**, Crystalline Form C’s X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 19**):

**Table 5. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form C)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	3.088	28.60902	9.98
2	8.848	9.99908	46.62
3	11.189	7.90827	9.76

4	17.789	4.98615	100
5	18.537	4.78670	36.03
6	19.308	4.59714	14.97
7	20.139	4.40940	27.63
8	20.710	4.28897	14.07
9	21.851	4.06750	12.59
10	22.427	3.96427	24.96

**[0115]** In another embodiment, provided herein is Crystalline Form D of Compound 1 maleate. As shown in **Fig. 20**, Crystalline Form D's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 20**):

5 **Table 6. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form D)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	8.8814	9.95688	100
2	14.852	5.96478	62.23
3	16.662	5.32061	21.84
4	17.849	4.96938	63.17
5	19.866	4.46927	14.65
6	20.427	4.34779	23.50
7	20.875	4.25534	77.17
8	26.946	3.30884	10.36

**[0116]** In another embodiment, provided herein is Crystalline Form F of Compound 1 maleate. As shown in **Fig. 21**, Crystalline Form F's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 21**):

**Table 7. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form F)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	12.8950	6.86490	48.90
2	17.002	5.21500	100.00
3	18.539	4.78590	80.53
4	19.376	4.58097	25.72
5	20.526	4.32695	12.38
6	22.450	3.96024	26.20
7	24.109	3.69134	27.52

[0117] In another embodiment, provided herein is Crystalline Form G of Compound **1** maleate. As shown in **Fig. 22**, Crystalline Form G's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 22**):

**Table 8. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form G)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	3.351422	26.36357	14.43
2	5.638473	15.67420	27.54
3	6.998775	12.63047	67.95
4	10.329730	8.56388	29.94
5	10.919920	8.10232	23.42
6	11.694360	7.56744	16.36
7	12.362260	7.16005	22.10
8	13.112730	6.75191	30.97
9	13.984910	6.33272	28.84
10	14.943380	5.92863	16.07
11	16.407270	5.40281	74.55
12	17.376000	5.10373	18.05
13	18.558810	4.78103	16.17
14	19.308660	4.59702	31.06
15	20.132270	4.41078	100.00
16	21.012040	4.22805	22.15
17	21.881810	4.06192	23.11
18	23.564930	3.77547	44.67
19	24.154400	3.68465	51.53
20	25.587090	3.48149	13.17
21	26.364010	3.38063	21.61

5 [0118] In another embodiment, provided herein is Crystalline Form H of Compound **1** maleate. As shown in **Fig. 23**, Crystalline Form H's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 23**):

**Table 9. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form H)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	6.294908	14.04108	9.99
2	9.040457	9.78209	10.48
3	10.074560	8.78021	24.60
4	11.204790	7.89696	11.80

5	12.692280	6.97462	14.26
6	14.473290	6.12011	14.35
7	16.101530	5.50471	36.24
8	16.622550	5.33332	17.30
9	17.855220	4.96781	29.67
10	18.097650	4.90181	32.61
11	18.501470	4.79572	41.68
12	19.042360	4.66070	36.42
13	20.114010	4.41474	100.00
14	21.917240	4.05543	16.94
15	22.433180	3.96331	18.58
16	23.879340	3.72646	18.50
17	25.098100	3.54820	15.92
18	26.213960	3.39964	12.23
19	28.673350	3.11340	10.18

**[0119]** In another embodiment, provided herein is Crystalline Form I of Compound 1 maleate.

As shown in **Fig. 24**, Crystalline Form I's X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 24**):

**Table 10. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form I)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	3.086569	28.62517	15.12
2	5.525770	15.99363	47.95
3	6.845361	12.91319	33.34
4	10.789930	8.19964	14.63
5	11.528000	7.67627	16.64
6	13.741150	6.44451	12.46
7	16.140190	5.49161	100.00
8	16.329510	5.42837	53.02
9	17.837260	4.97277	17.37
10	19.805120	4.48289	19.75
11	21.490820	4.13492	29.27
12	23.778480	3.74204	24.24
13	24.430650	3.64361	10.93
14	28.340390	3.14921	14.75

**[0120]** In another embodiment, provided herein is Crystalline Form J of Compound 1 maleate. As shown in **Fig. 25**, Crystalline Form J's X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 25**):

**Table 11. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form J)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	5.453070	16.20669	13.27
2	8.181307	10.80732	37.62
3	10.914730	8.10616	29.43
4	11.306440	7.82619	30.63
5	13.644550	6.48992	46.61
6	14.758270	6.00257	28.91
7	15.798340	5.60966	68.33
8	17.372670	5.10470	20.50
9	17.962700	4.93833	27.49
10	19.145700	4.63578	65.51
11	19.832200	4.47683	45.48
12	20.015630	4.43622	50.52
13	20.387440	4.35614	51.65
14	21.088800	4.21283	25.59
15	21.899390	4.05869	100.00
16	22.560170	3.94129	22.65
17	23.370140	3.80649	29.34
18	24.136010	3.68742	44.18
19	25.034500	3.55707	14.16
20	26.132190	3.41010	33.46
21	26.873870	3.31764	35.15
22	27.263660	3.27109	37.17
23	28.403150	3.14240	21.20
24	29.067850	3.07204	12.67
25	33.124220	2.70452	10.36
26	35.894930	2.50187	10.55

**[0121]** In another embodiment, provided herein is Crystalline Form K of Compound 1 maleate. As shown in **Fig. 26**, Crystalline Form K's X-ray powder diffraction spectra typically have the following peak diffraction angles (where “spacing” is shown as the “d-value” in **Fig. 26**):

**Table 12. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form K)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	3.115252	28.36168	18.53
2	8.922593	9.91104	19.50
3	9.344002	9.46499	13.34
4	11.217130	7.88830	20.74
5	16.682200	5.31439	15.77
6	17.929610	4.94737	63.98
7	18.580880	4.77540	75.23
8	18.842720	4.70963	66.62
9	19.380270	4.58020	32.04
10	20.168150	4.40301	100.00
11	21.857140	4.06644	45.71
12	22.422840	3.96512	62.91
13	23.410410	3.80004	32.07
14	23.929130	3.71882	36.53
15	24.623550	3.61550	14.68
16	26.159740	3.40657	37.04
17	27.437630	3.25074	23.90
18	28.503550	3.13156	17.59
19	29.361540	3.04197	13.34
20	30.382770	2.94201	10.52

[0122] In another embodiment, provided herein is Crystalline Form L of Compound 1 maleate. As shown in **Fig. 27**, Crystalline Form L's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 27**):

**Table 13. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form L)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	9.698117	9.12016	77.30
2	14.051610	6.30281	100.00

[0123] In another embodiment, provided herein is Crystalline Form M of Compound 1 maleate. As shown in **Fig. 28**, Crystalline Form M's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 28**):

**Table 14. X-ray Diffraction Pattern of Compound 1 maleate (Crystalline Form M)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity

1	5.034370	17.55360	12.31
2	9.305185	9.50438	13.27
3	11.256770	7.86061	27.54
4	14.880450	5.95356	11.84
5	15.926370	5.56486	19.75
6	17.354960	5.10987	30.82
7	18.013680	4.92447	72.36
8	18.719310	4.74040	61.47
9	19.383410	4.57946	43.82
10	20.210580	4.39386	100.00
11	22.125110	4.01780	70.61
12	23.388940	3.80347	42.17
13	24.313510	3.66090	42.06
14	25.384410	3.50883	55.22
15	26.475530	3.36665	60.01
16	27.463200	3.24777	45.10
17	28.476490	3.13447	29.51
18	29.269130	3.05137	22.64

**[0124]** In another embodiment, provided herein is Compound 1 maleate (1:1) Crystalline Form N. As shown in **Fig. 33**, Crystalline Form N's X-ray powder diffraction spectra typically have the following peak diffraction angles (where "spacing" is shown as the "d-value" in **Fig. 33**):

5 **Table 15. X-ray Diffraction Pattern of Compound 1 maleate (1:1) (Crystalline Form N)**

Peak#	Diffraction angle (2 theta)	Spacing	Relative intensity
1	3.295141	26.81374	29.53
2	6.606152	13.38023	31.10
3	9.875418	8.95682	37.94
4	11.729470	7.54487	10.71
5	13.142240	6.73681	18.08
6	15.234010	5.81617	12.66
7	16.564110	5.35201	61.06
8	17.935520	4.94575	21.08
9	18.719110	4.74045	49.78
10	19.339860	4.58967	65.12
11	19.929920	4.45510	45.61

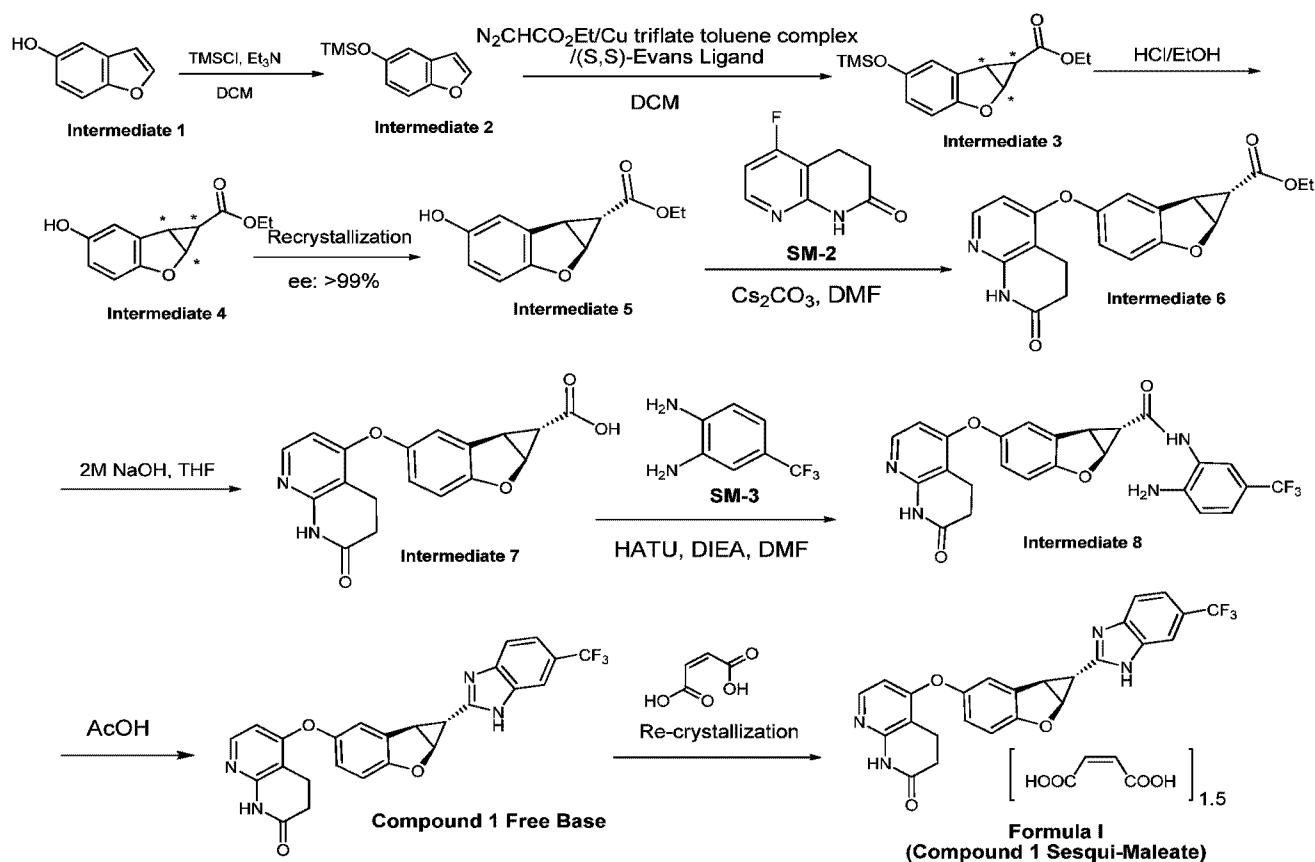
12	20.761080	4.27858	100.00
13	22.042190	4.03272	35.16
14	22.951470	3.87497	23.00
15	23.861870	3.72915	19.25
16	25.185120	3.53614	33.97
17	26.607380	3.35026	29.63
18	28.356330	3.14748	18.66
19	30.131030	2.96602	10.90
20	31.356510	2.85284	4.92
21	33.490100	2.67581	4.87
22	37.224360	2.41551	4.86

[0125] For Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N described above, only the main peaks (i.e., the most characteristic, significant, unique and/or reproducible peaks) are summarized; additional peaks may be obtained from the diffraction spectra by conventional methods. The main peaks described above can be reproduced within the margin 5 of error (+ or - 2 at the last given decimal place, or + or - 0.2 at the stated value).

[0126] In another aspect, provided herein is a method for preparing the compound of Formula (I) or Formula (II).

[0127] In one embodiment, provided herein is a Crystalline Form A\* of Compound 1 Sesqui-Maleate prepared or purified according to the procedures depicted in Scheme 1. The new 10 synthetic methods and crystallization/recrystallization processes disclosed herein overcome many issues associated with the processes reported previously, such as preparation of the key chiral intermediate with >99% optical purity, and provide many advantages over the existing processes. Notably, the methods disclosed herein are especially suitable for reproducible, commercial-scale manufacture of Compound 1 Sesqui-Maleate Salt in high quality and good 15 yields.

**Scheme 1**



[0128] Crystalline Forms of Compound 1 Sesqui-Maleate salt can be prepared by the following general method: Crystalline Form A\* of Compound 1 Sesqui-Maleate Salt is heated with a

solvent until completely dissolved. After filtration, cooling, crystallization, filtration and drying, the corresponding different crystalline forms are obtained. An example of crystallization process for preparing Crystalline Form A of Compound 1 Sesqui-Maleate Salt is described in the Example 3 (below). The crystallization described above can be carried out in a single solvent, a mixture of organic solvents, or a mixture of water and organic solvent(s). Suitable organic solvents for the crystallization can be selected from, but not limited to, low alkyl alcohols, ketones, ethers, esters, halogenated hydrocarbons, alkanes, halogenated benzene, aliphatic nitrile, and other aromatic solvents. Preferred solvents include, e.g., isopropanol, ethyl acetate, water, N,N-dimethylformamide, methanol, ethanol, acetone, acetonitrile, and mixtures thereof.

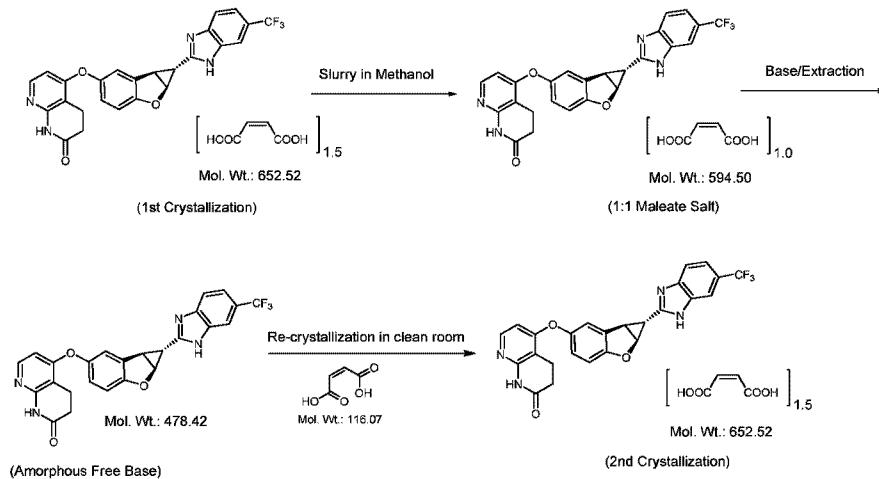
[0129] The free base of Compound 1 was obtained originally as an amorphous solid, which is slightly hygroscopic with 2.2% water gain from 0 to 80% RH. No form transformation was observed after dynamic vapor sorption (DVS). It displayed an un-birefringence phenomenon with an irregular shape from polarized light microscope.

[0130] Disclosed herein are hydrochloride, methanesulfonate, 2-hydroxyethanesulfonate, L-tartrate, maleate and oxalate salts of Compound 1, wherein the maleate salt, in particular

sesqui-maleate salt, was surprisingly discovered to possess the desired properties of a drug candidate. For example, Compound **1** did not appear to form a crystalline tartrate salt, and the oxalate salt has poor crystallinity. Though 2-hydroxyethanesulfonate, mesylate, and HCl salts showed relatively good solubility in water, HCl salt is chemically unstable with significant degradation after one week at 60°C and 40°C/75% RH, and 2-hydroxyethanesulfonate and mesylate salts are both hygroscopic with around 4% weight gain from 0 to 80% RH. 2-Hydroxyethanesulfonate and mesylate salts also showed degradations after one week at 60°C and 40°C/75% RH, and are thus expected to lack the desired long-term chemical stability. On the other hand, the sesqui-maleate salt (1:1.5 free base/maleic acid) has good crystallinity as demonstrated by the XRPD patterns disclosed herein, is slightly soluble in water, and did not show degradations after one week at 60°C and 40°C/75% RH, indicating possible long-term stability; therefore, the sesqui-maleate salt was chosen for the further development.

[0131] If desirable or necessary, the purity of Compound **1** Sesqui-Maleate salt could be further improved by converting the sesqui-maleate salt into the maleate salt (1:1 ratio of free base/acid) through a methanol-slurry process. The formation of 1:1 maleate crystalline salt caused an efficient expulsion of the impurities. The amorphous form of free base could also be manufactured in high purity by treatment of the 1:1 maleate salt with a base and then extraction with a solvent. The sesqui-maleate crystalline salt with much higher purity was prepared by treating the free base with maleic acid (Scheme 2).

20 **Scheme 2**



[0132] The term “low alkyl alcohols” herein includes straight-chain or branched-chain C<sub>1</sub>-C<sub>8</sub>, preferably C<sub>1</sub>-C<sub>6</sub>, more preferably C<sub>1</sub>-C<sub>4</sub>, alkyl alcohols. Specific examples include, but not limited to, methanol, ethanol, isopropanol, and butanol.

25 [0133] The term “about” as used herein, unless indicated otherwise, denotes that a number (e.g., temperature, pH, volume, etc.) can vary within ±10%, preferably within ±5%.

[0134] The crystallization of the crystalline forms of the present invention can also be conducted in an appropriate solvent system containing at least one solvent by evaporation of solvent, cooling and/or by addition of anti-solvents (solvents that are less able to solubilize the Compound 1 Sesqui-Maleate, including but not limited to those described herein) to achieve super-saturation in the solvent system.

[0135] Crystallization may be done with or without seed crystals, which is described in the present invention.

[0136] The individual crystalline forms provided by the present invention develop under specific conditions dependent on the particular thermodynamic and equilibrium properties of the crystallization process. Therefore, a person skilled in the art will know that the crystals formed are a consequence of the kinetic and thermodynamic properties of the crystallization process. Under certain conditions (e.g., solvent, temperature, pressure, and concentration of the compound), a particular crystalline form may be more stable than another crystalline form (or in fact more stable than any other crystalline forms). However, the relatively low thermodynamic stability of particular crystals may have advantageous kinetic stability. Additional factors other than kinetics, such as time, impurity distribution, stirring, and the presence or absence of seed crystals, etc., may also affect the crystalline form.

[0137] In another aspect, provided herein is pharmaceutical compositions each containing an effective amount of Compound 1 maleate in any of the above-described Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N in particular Crystalline Form A\* of Compound 1 Sesqui-Maleate and a pharmaceutically acceptable carrier. The active compound(s) can be 1-99% (by weight), preferably 1-70% (by weight), or more preferably 1-50% (by weight), or most preferably, 5-40% (by weight), of the composition.

[0138] The pharmaceutical compositions can be administrated orally in forms such as capsules, tablets, pills, powders, sustained release injection in such form as a sterile solution, suspension or emulsion; through a local treatment form such as paste, cream, or ointment; or via a rectal form such as suppositories. The pharmaceutical compositions may be in a unit dosage form that is suitable for precise dosing applications. In addition, the pharmaceutical compositions may include other active ingredients.

[0139] Suitable pharmaceutical carriers include water, various organic solvents and various inert diluents or fillers. If necessary, the pharmaceutical compositions may contain various additives, such as spices, adhesives and excipients. For oral administration, tablets and capsules can contain various excipients such as citric acid, a variety of disintegrating agents such as starch, alginic acids, and some silicates, and a variety of adhesives such as sucrose, gelatin and Arabic gum. In addition, lubricants including magnesium stearate and talc fillers are commonly used in the production of tablets. The same types of solid components can also

be used to formulate soft and hard gelatin capsules. When an aqueous suspension is needed for oral administration, the active compound can be mixed with a variety of sweeteners or flavoring agents, pigments or dye combinations. If necessary, a variety of emulsifiers can be employed or suspensions generated; diluents such as water, ethanol, propylene glycol, glycerin, or their combination can be utilized.

5 [0140] The above-described pharmaceutical compositions are preferably administrated orally.

[0141] The above-described pharmaceutical compositions are preferably in the capsule or tablet form.

[0142] In another aspect, provided herein is use of the compounds of the present application

10 (i.e., Compound 1 maleate and any of the above-described Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N) in the manufacture of medicaments that are useful for the treatment of cancers responsive to inhibition of Braf kinase or other kinases such EGFR, VEGFR, EPHA, EPHB, or the like.

[0143] In one embodiment, provided herein is use of the compounds of the present application

15 (i.e., Compound 1 maleate and any of the above-described Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N) in the manufacture of medicaments that are useful for the treatment or prevention of mammalian pancreatitis, kidney disease, cancer, angiogenesis, or angiogenesis-related diseases.

[0144] Compound 1 maleate and any of the Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I,

20 J, K, L, M and N or Compound 1 maleate (1:1) Crystalline Form N of the present application can be used to treat or prevent diseases selected from, but not limited to, tumor angiogenesis, chronic inflammatory disease such as rheumatoid arthritis, atherosclerosis, skin diseases such as psoriasis and scleroderma, diabetes-induced skin diseases, diabetic retinopathy, premature retinopathy, age-related degeneration stains, hemangioma, glioma, Kaposi internal tumor, ovarian cancer, breast cancer, lung cancer, pancreatic cancer, lymphoma, prostate, colon and skin tumors, and their complications. Among the mammals mentioned herein, human beings are preferred.

[0145] Target diseases for the above-described treatment methods are preferably selected from

30 B-RAF, NRAS and K-RAS mutant tumors such as B-RAF or NRAS or K-RAS mutant non-small cell lung cancer, colorectal cancer, endometrial cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, chest cancer, neck cancer, esophageal cancer, gastric cancer, colon cancer, rectal cancer, breast cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, lymphoma, or thyroid tumors, and their complications.

[0146] The above-described methods can be applied in combination with any chemical therapy

35 (for example, MEK inhibitors), biological therapy, or radiation therapy.

[0147] The dosage of the active ingredient or compound when administered will be determined by the individual needs of the patient to be treated, administration route, severity of disease or illness, dosing schedule, as well as evaluation and judgment of the designated doctor. However, based on the active compound, the preferred range of the effective dosage can be

- 5 approximately 0.01-120 mg daily per kilogram of body weight; or more preferably 0.1-10 mg per day per kilo gram of body weight in single or separate doses. In some cases, it is more suitable to apply the lower end of the above described dosage ranges, while in other cases the higher dosages may be used without causing harmful side effects.

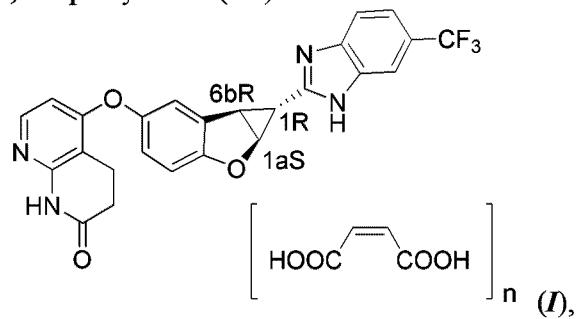
[0148] Another aspect of the present application is to provide Compound 1 Sesqui-Maleate for 10 clinical applications. In particular, the present invention relates to clinical treatment with Compound 1 maleate with the following treatment options for cancer patients: the dosage of Compound 1 maleate and/or Crystalline Form A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N can be 1-100 mg/ day with the administration frequency being 1-3 times a day; a preferred dosage is 5-50 mg/ day with the administration frequency being 1-3 times a day; a more 15 preferred dosage is 5-60 mg/day with the administration frequency being 1 time/ day; an even more preferred dosage is 10-50 mg/day with the administration frequency being 1 time/ day.

[0148a] The following embodiments are provided:

[**Embodiment 1**] A salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one, which is selected from hydrochloride salt, methanesulfonate salt, 2-hydroxyethanesulfonate salt, maleate salt and oxalate salt, wherein the salt is in solid-state.

[**Embodiment 2**] The salt of embodiment 1, which is in a crystalline form.

[**Embodiment 3**] A compound of Formula (I), which is a maleate salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one:



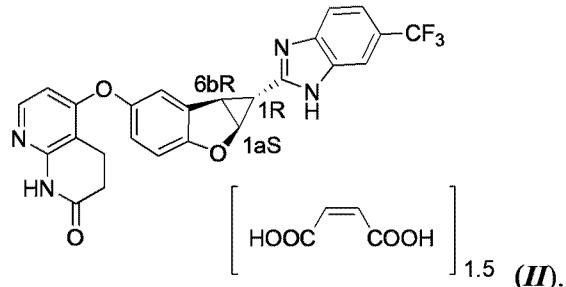
wherein n is a number from 0.3 to 1.5.

[**Embodiment 4**] The compound of embodiment 3, wherein n is a number selected from the group consisting of 0.5±0.05, 1.0±0.1, and 1.5±0.2.

30 [**Embodiment 5**] The compound of embodiment 3, wherein n is 0.5, 1.0, or 1.5.

**[Embodiment 6]** The compound of any one of embodiments 3 to 5, wherein the compound is in a crystalline form.

**[Embodiment 7]** The compound of embodiment 6, wherein  $n$  is 1.5, and the compound is a crystalline sesqui-maleate salt of 5-(((1R,1aS,6bR)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one of Formula:



**[Embodiment 8]** The compound of embodiment 7, which is in Crystalline Form A\* and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $6.3\pm0.2$ ,  $8.9\pm0.2$ ,  $9.4\pm0.2$ ,  $11.2\pm0.2$ ,  $12.6\pm0.2$ ,  $13.4\pm0.2$ ,  $17.9\pm0.2$ ,  $18.6\pm0.2$ ,  $18.8\pm0.2$ ,  $19.3\pm0.2$ ,  $20.1\pm0.2$ ,  $20.7\pm0.2$ ,  $21.2\pm0.2$ ,  $21.8\pm0.2$ ,  $22.4\pm0.2$ ,  $22.6\pm0.2$ ,  $23.3\pm0.2$ ,  $23.8\pm0.2$ ,  $24.7\pm0.2$ ,  $25.6\pm0.2$ ,  $26.1\pm0.2$ ,  $27.4\pm0.2$ ,  $28.3\pm0.2$ ,  $28.6\pm0.2$ ,  $29.0\pm0.2$ ,  $29.4\pm0.2$ , and  $30.4\pm0.2$  degrees.

**[Embodiment 9]** The compound of embodiment 7, which is in Crystalline Form A\*\* and is a single crystal characterized as follows:

Crystal system: monoclinic;

Space group: C2;

Unit cell dimensions:

$a = 19.7026(5)$  Å;

$b = 5.1350(2)$  Å;

$c = 28.4299(8)$  Å;

$\alpha = 90.00$  deg.;

$\beta = 93.692(2)$  deg.; and

$\gamma = 90.00$  deg.

**[Embodiment 10]** The compound of embodiment 7, which is in Crystalline Form A and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $8.3\pm0.2$ ,  $11.2\pm0.2$ ,  $17.9\pm0.2$ ,  $18.4\pm0.2$ ,  $18.6\pm0.2$ ,  $19.3\pm0.2$ ,  $20.8\pm0.2$ , and  $22.5\pm0.2$  degrees.

**[Embodiment 11]** The compound of embodiment 3, which is in Crystalline Form B and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks

having 2 $\theta$  angle values independently selected from the group consisting of: 11.1±0.2, 15.8±0.2, 17.7±0.2, 18.4±0.2, 19.6±0.2, 22.3±0.2, 23.1±0.2, and 28.8±0.2 degrees.

**[Embodiment 12]** The compound of embodiment 3, which is in Crystalline Form C and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks

5 having 2 $\theta$  angle values independently selected from the group consisting of: 3.1±0.2, 8.8±0.2, 11.2±0.2, 17.8±0.2, 18.5±0.2, 19.3±0.2, 20.1±0.2, 20.7±0.2, 21.9±0.2, and 22.4±0.2 degrees.

**[Embodiment 13]** The compound of embodiment 3, which is in Crystalline Form D and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 8.9±0.2, 14.9±0.2,

10 16.7±0.2, 17.8±0.2, 19.9±0.2, 20.4±0.2, 20.9±0.2, and 26.9±0.2 degrees.

**[Embodiment 14]** The compound of embodiment 3, which is in Crystalline Form F and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 12.9±0.2, 17.0±0.2, 18.5±0.2, 19.4±0.2, 20.5±0.2, 22.5±0.2, and 24.1±0.2 degrees.

15 **[Embodiment 15]** The compound of embodiment 3, which is in Crystalline Form G and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.4±0.2, 5.6±0.2, 7.0±0.2, 10.3±0.2, 10.9±0.2, 11.7±0.2, 12.4±0.2, 13.1±0.2, 14.0±0.2, 14.9±0.2, 16.4±0.2, 17.4±0.2, 18.6±0.2, 19.3±0.2, 20.1±0.2, 21.0±0.2, 21.9±0.2, 23.6±0.2, 24.2±0.2, 25.6±0.2, and 26.4±0.2 degrees.

**[Embodiment 16]** The compound of embodiment 3, which is in Crystalline Form H and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 6.3±0.2, 9.0±0.2, 10.1±0.2, 11.2±0.2, 12.7±0.2, 14.5±0.2, 16.1±0.2, 16.6±0.2, 17.9±0.2, 18.1±0.2, 18.5±0.2, 19.0±0.2, 20.1±0.2, 21.9±0.2, 22.4±0.2, 23.9±0.2, 25.1±0.2, 26.2±0.2, and 28.7±0.2 degrees.

25 **[Embodiment 17]** The compound of embodiment 3, which is in Crystalline Form I and is characterized by a powder X-ray diffraction pattern comprising five or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.1±0.2, 5.5±0.2, 6.8±0.2, 10.8±0.2, 11.5±0.2, 13.7±0.2, 16.1±0.2, 16.3±0.2, 17.8±0.2, 19.8±0.2, 21.5±0.2, 23.8±0.2, 24.4±0.2, and 28.3±0.2 degrees.

30 **[Embodiment 18]** The compound of embodiment 3, which is in Crystalline Form J and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 5.5±0.2, 8.2±0.2, 10.9±0.2, 11.3±0.2, 13.6±0.2, 14.8±0.2, 15.8±0.2, 17.4±0.2, 18.0±0.2, 19.1±0.2, 19.8±0.2, 20.0±0.2, 20.4±0.2, 21.1±0.2, 21.9±0.2, 22.6±0.2, 23.4±0.2, 24.1±0.2, 25.0±0.2, 26.1±0.2, 26.9±0.2, 27.3±0.2, 28.4±0.2, 29.1±0.2, 33.1±0.2, and 35.9±0.2 degrees.

**[Embodiment 19]** The compound of embodiment 3, which is in Crystalline Form K and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 20 angle values independently selected from the group consisting of: 3.1±0.2, 8.9±0.2, 9.3±0.2, 11.2±0.2, 16.7±0.2, 17.9±0.2, 18.6±0.2, 18.8±0.2, 19.4±0.2, 20.2±0.2, 21.9±0.2,

- 5 22.4±0.2, 23.4±0.2, 23.9±0.2, 24.6±0.2, 26.2±0.2, 27.4±0.2, 28.5±0.2, 29.4±0.2, and 30.4±0.2 degrees.

**[Embodiment 20]** The compound of embodiment 3, which is in Crystalline Form M and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 20 angle values independently selected from the group consisting of: 5.0±0.2, 9.3±0.2,

- 10 11.3±0.2, 14.9±0.2, 15.9±0.2, 17.4±0.2, 18.0±0.2, 18.7±0.2, 19.4±0.2, 20.2±0.2, 22.1±0.2, 23.4±0.2, 24.3±0.2, 25.4±0.2, 26.5±0.2, 27.5±0.2, 28.5±0.2, and 29.3±0.2 degrees.

**[Embodiment 21]** The compound of embodiment 3, substantially characterized by a powder X-ray diffraction pattern selected from the group consisting of **Fig. 2** for Crystalline Form A\*, **Fig. 16** for Crystalline Form A\*\*, **Fig. 17** for Crystalline Form A, **Fig. 18** for Crystalline Form

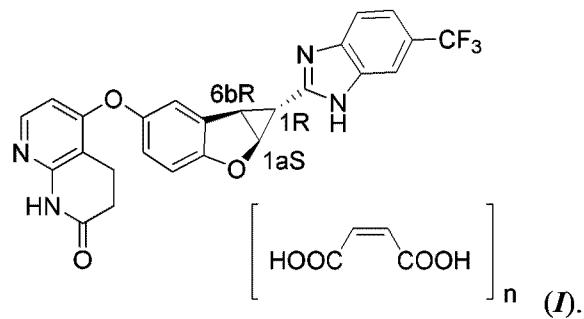
- 15 **19** for Crystalline Form C, **Fig. 20** for Crystalline Form D, **Fig. 21** for Crystalline Form F, **Fig. 22** for Crystalline Form G, **Fig. 23** for Crystalline Form H, **Fig. 24** for Crystalline Form I, **Fig. 25** for Crystalline Form J, **Fig. 26** for Crystalline Form K, and **Fig. 28** for Crystalline Form M.

**[Embodiment 22]** A compound of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-

- 20 benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one Maleate (1:1), which is in crystalline form N and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 20 angle values independently selected from the group consisting of: 3.30±0.2, 6.61±0.2, 9.88±0.2, 11.73±0.2, 13.14±0.2, 15.23±0.2, 16.56±0.2, 17.94±0.2, 18.72±0.2, 19.34±0.2, 19.93±0.2, 20.76±0.2, 22.04±0.2, 22.95±0.2, 23.86±0.2, 25.19±0.2, 26.61±0.2, 28.36±0.2, 30.13±0.2, 31.36±0.2, 33.49±0.2, and 37.22±0.2.

**[Embodiment 23]** The compound according to embodiment [Embodiment 77], which substantially characterized by a powder X-ray diffraction pattern as shown in **Fig. 33** for crystalline form N.

- 30 **[Embodiment 24]** A method for preparing a crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt of Formula (I), comprising any one of the following procedures:



- (a) dissolving free base or a salt other than maleate of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one in a solvent or solvent mixture to form a solution or suspension; mixing the resultant solution or suspension with maleic acid to form a mixture; and precipitating out 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form A\*, A\*\*, A, B, C, D, F, G, H, or I;
- (b) dissolving or suspending 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate salt in a solvent or solvent mixture; and precipitating out 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form A\*, A\*\*, A, B, C, D, F, G, H, or I;
- (c) storing a crystalline 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt for an extended period to obtain a target crystalline form K or M; and
- (e) exposing a crystalline or amorphous 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt to a vapor of a solvent to obtain a target crystalline form J.

**[Embodiment 25]** The method of embodiment [Embodiment 79], wherein said procedure (a) or (b) further comprises one or more procedures independently selected from heating, filtering to remove undissolved impurities, distilling solvent, adding a counter solvent or solvent mixture, adding crystal seeds, adding precipitaion inducing agent(s), cooling, precipitating, and filtering to collect the crystalline product.

**[Embodiment 26]** The method of embodiment [Embodiment 79] or [Embodiment 80], comprising said procedure (a) or (b), wherein the solvent or solvent mixture is selected from the group consisting of water, lower alkyl alcohols, ketones, ethers, esters, lower aliphatic carboxylic acids, lower aliphatic nitriles, optionally halogenated aromatic solvents, and combinations thereof.

**[Embodiment 27]** The method of embodiment [Embodiment 79] or [Embodiment 80], wherein the solvent is isopropanol, ethanol, methanol, acetone, THF, 1,4-dioxane, acetic acid, acetonitrile, water, or a combination thereof.

**[Embodiment 28]** The method of embodiment [Embodiment 79], comprising said procedure

- 5 (a), wherein said free base is an isolated and purified free base, an isolated but unpurified free base, or a crude reaction product containing the free base.

**[Embodiment 29]** The method of embodiment [Embodiment 79], comprising said procedure (c), wherein said extended period is at least three days, at least one week, or at least two weeks.

**[Embodiment 30]** The method of embodiment [Embodiment 79], comprising said procedure

- 10 (d), wherein said elevated temperature is at least 40 °C, at least 60 °C, at least 80 °C, or at least 100 °C, but lower than decomposition temperature of the sesqui-maleate salt.

**[Embodiment 31]** The method of embodiment [Embodiment 79], comprising said procedure (e), wherein said vapor is a vapor of acetic acid.

**[Embodiment 32]** The method of embodiment [Embodiment 79], wherein:

- 15 1) said procedure (a) or (b) comprises using isopropanol-water (v/v > 60/40) as the solvent to produce Crystalline Form A\*;
- 2) said procedure (a) or (b) comprises using acetone as the solvent to produce Crystalline Form A\*\*;
- 20 3) said procedure (a) or (b) comprises using an i-PrOH-water (v:v=4:1) mixture as the solvent to produce Crystalline Form A;
- 4) said procedure (a) or (b) comprises using 1,4-dioxane as the solvent to produce Crystalline Form B;
- 25 5) said procedure (a) or (b) comprises using ethanol as the solvent to produce Crystalline Form C;
- 6) said procedure (a) or (b) comprises using methanol as the solvent to produce Crystalline Form D;
- 30 7) said procedure (a) or (b) comprises using an acetonitrile-water (v:v=1:1) mixture as the solvent to produce Crystalline Form F;
- 8) said procedure (a) or (b) comprises using an acetic acid-water mixture as the solvent to produce Crystalline Form G;
- 35 9) said procedure (a) or (b) comprises using tetrahydrofuran (THF) as the solvent to produce Crystalline Form H;
- 10) said procedure (a) or (b) comprises using an i-PrOH-water (v:v=3:1) mixture as the solvent to produce Crystalline Form I;
- 11) said procedure (c) comprises storing Crystalline Form D at ambient temperature for two weeks to produce Crystalline Form K;

- 12) said procedure (c) comprises storing Crystalline Form J at ambient temperature for two weeks to produce Crystalline Form M; and
- 13) said procedure (e) comprises interaction of Crystalline Form A with acetic acid vapor to produce Crystalline Form J.
- 5   **[Embodiment 33]** A pharmaceutical composition comprising the compound according to any one of embodiments 3 to [Embodiment 78] and a pharmaceutically acceptable carrier.
- [Embodiment 34]** The pharmaceutic composition of embodiment [Embodiment 88], wherein the pharmaceutical composition is suitable for oral administration.
- 10   **[Embodiment 35]** The pharmaceutical composition of embodiment [Embodiment 88], wherein the pharmaceutical composition is in the form of tablet or capsule.
- [Embodiment 36]** The pharmaceutical composition of embodiment [Embodiment 88], wherein the unit dosage of the tablet or capsule is 5-80 mg.
- [Embodiment 37]** The pharmaceutical composition of embodiment [Embodiment 88], wherein the weight percentage of the compound in the pharmaceutical composition is 1-99%.
- 15   **[Embodiment 38]** Use of a compound according to any one of embodiments 3 to 23 or a pharmaceutical composition according to any one of embodiments 33 to 37 in the manufacture of a medicament for treating or preventing cancer in a subject.
- [Embodiment 39]** The use of embodiment 38, wherein the cancer is selected from the group consisting of brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, and thyroid tumors.
- 20   **[Embodiment 40]** The use of embodiment 38, wherein the cancer is selected from the group consisting of BRAF mutant brain cancer, NRAS mutant brain cancer, KRAS mutant brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, and thyroid tumors.
- 25   **[Embodiment 41]** The use of embodiment [Embodiment 96], wherein the administered dosage of the compound according to any one of embodiments [Embodiment 57] to [Embodiment 78] is 1-100 mg/day, and the administration frequency is one to three times a day.
- 30   **[Embodiment 42]** The use of embodiment [Embodiment 96], wherein the administered dosage of the compound according to any one of embodiments [Embodiment 57] to [Embodiment 78] is 5-50 mg/day, and the administration frequency is one to three times a day.
- 35   **[Embodiment 43]** The use of embodiment [Embodiment 96], wherein the administered dosage of the compound according to any one of embodiments [Embodiment 57] to [Embodiment 78] is 10-40 mg/day, and the administration frequency is one time a day.

**[Embodiment 44]** The use of any one of embodiments 38 to [Embodiment 98], wherein said compound is 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate in a crystalline form selected from the group consisting of Crystalline Forms A\*, A\*\*,

5 A, B, C, D, F, G, H, I, J, K, L, M and N.

**[Embodiment 45]** A process for preparing a crystalline form A\*, A\*\*, or A of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate comprising:

- 10 a) mixing at about 50°C a mixture of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one and maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or
- 15 b) mixing at about 50°C 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one with a mixture or a suspension or a solution of maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or
- 20 c) mixing at about 50°C a mixture or a suspension or a solution of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one in a mixed solvent of i-PrOH and H<sub>2</sub>O with maleic acid,

wherein in each of (a), (b) and (c), the amount of i-PrOH is greater than 40 vol% in terms of the total volume of i-PrOH and water.

**[Embodiment 46]** The process according to embodiment [Embodiment 101], wherein in each of (a), (b) and (c), the amount of i-PrOH is greater than 60vol%, and preferably 90vol% in terms of the total volume of i-PrOH and water.

**[Embodiment 47]** The process according to embodiment [Embodiment 101], wherein the mixed solvent is replaced with i-PrOH.

**[Embodiment 48]** The process according to any one of embodiments [Embodiment 101] to 47, further comprising adding some crystal seeds into the resultant mixture after cooling to room temperature, and then letting the mixture stand for a certain duration.

**[Embodiment 49]** A process for preparing a crystalline form N of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate (1:1) comprising mixing a crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-

1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate with methanol.

**[Embodiment 50]** The process according to embodiment [Embodiment 105], wherein the mixing is performed with stirring.

- 5   **[Embodiment 51]** The process according to any one of embodiments 49 or 50, wherein the crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate is any one selected from the group consisting of Crystalline Forms A\*, A\*\*, and A.
- 10   **[Embodiment 52]** A compound according to any one of embodiments 3 to 23 or a pharmaceutical composition according to any one of embodiments 33 to 37 for use in treating or preventing cancer in a subject.
- 15   **[Embodiment 53]** Use of a compound according to any one of embodiments 3 to 23 or a pharmaceutical composition according to any one of embodiments 33 to 37 for treating or preventing cancer in a subject.
- 20   **[0149]** The following synthetic methods, specific examples, and efficacy tests further describe certain aspects of the present invention. They shall not limit or restrict the scope of the present invention in any way.

20

## EXAMPLES

- 25   **[0150]** The examples below are intended to be exemplary and efforts have been made to ensure accuracy with respect to numbers used (for example, amounts, temperature, etc.), but some experimental errors and deviations should be accounted for. Unless indicated otherwise, temperature is in degrees Centigrade. Reagents were purchased from commercial suppliers such as Sigma-Aldrich, Alfa Aesar, or TCI, and were used without further purification unless otherwise indicated.

- 30   **[0151]** Unless otherwise indicated, the reactions set forth below were performed under a positive pressure of nitrogen or argon or with a drying tube in anhydrous solvents; the reaction flasks were fitted with rubber septa for the introduction of substrates and reagents via syringe; and glassware was oven dried and/or heat dried.

- 35   **[0152]** Unless otherwise indicated, column chromatography purification was conducted on a Biotage system (Manufacturer: Dyax Corporation) having a silica gel column or on a silica SepPak cartridge (Waters), or was conducted on a Teledyne Isco CombiFlash purification system using prepacked silica gel cartridges.

[0153]  $^1\text{H}$  NMR spectra and  $^{13}\text{C}$  NMR were recorded on a Varian instrument operating at 400 MHz using DMSO-d<sub>6</sub> as solvent.

[0154] X-ray intensity data from a colorless plate-like crystal were measured at 173(2) K using aBruker APEX-II CCD diffractometer (Cu K $\alpha$  radiation,  $\lambda = 1.54178 \text{ \AA}$ ). Polarized light 5 microscopic picture was captured at room temperature.

[0155] In the following examples, the abbreviations below may be used:

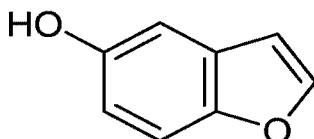
AcOH	Acetic acid
ACN	Acetonitrile
API	Active pharmaceutical ingredient, hereinafter sometimes referred to as Compound 1
Aq	Aqueous
Brine	Saturated aqueous sodium chloride solution
Bn	Benzyl
BnBr	Benzyl Bromide
CH <sub>2</sub> Cl <sub>2</sub>	Dichloromethane
DMF	N,N-Dimethylformamide
Dppf	1,1"-bis(diphenylphosphino)ferrocene
DBU	1,8-diazabicyclo[5.4.0]undec-7-ene
DIEA or DIPEA	N,N-diisopropylethylamine
DMAP	4-N,N-dimethylaminopyridine
DMF	N,N-dimethylformamide
DMSO	Dimethyl sulfoxide
EtOAc	Ethyl acetate
EtOH	Ethanol
Et <sub>2</sub> O or ether	Diethyl ether
g	grams
h or hr	hour
HATU	O-(7-Azabenzotriazol-1-yl)-N,N,N',N'-tetramethyluronium hexafluorophosphate
HCl	Hydrochloric acid
HPLC	High-performance liquid chromatography
IPA	2-propanol
i-PrOH	Isopropyl alcohol
Mg	milligrams
mL	milliliters
mmol	millimole

MeCN	Acetonitrile
MeOH	Methanol
min	minutes
ms or MS	Mass spectrum
Na <sub>2</sub> SO <sub>4</sub>	Sodium sulfate
PE	petroleum ether
PPA	Polyphosphoric acid
Rt	Retention time
Rt or rt	Room temperature
TBAF	Tetra-butyl ammonium fluoride
TBSCl	tert-Butyldimethylsilyl chloride
TFA	Trifluoroacetic acid
THF	tetrahydrofuran
TLC	thin layer chromatography
TMSCl	Trimethylsilyl chloride
µL	microliters

**Example 1: Formation of salts of Compound 1 with various salt-forming agents**

**Example 1A: Preparation of free base of Compound 1 and Crystalline Form A\* of Compound 1 Sesqui-Maleate**

5    **Step 1: Synthesis of Intermediate 1**

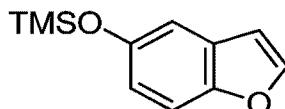


**Intermediate 1**

[0156] To a stirred solution of EtONa (154 kg) in DMF (989 kg) was added EtSH(68.6 kg) at an inner temperature  $\leq 35^{\circ}\text{C}$  under nitrogen protection. The mixture was stirred for 60~90min 10 at the inner temperature  $\leq 35^{\circ}\text{C}$ . 5-Methoxybenzofuran (58.75 kg) in DMF (55.0 kg) was added. The mixture was heated to 110-130 $^{\circ}\text{C}$ , stirred for 45hrs, and then concentrated under vacuum below 90 $^{\circ}\text{C}$ . After the mixture was cooled to 10~20 $^{\circ}\text{C}$ , 2N HCl (1326 kg) was added dropwise, followed by addition of EtOAc (531 kg) and H<sub>2</sub>O<sub>2</sub> (129 kg) at the inner temperature  $\leq 35^{\circ}\text{C}$ . The mixture was stirred for 30~60min. After separation of the organic layer, the aqueous phase 15 was extracted with EtOAc. The combined organic phase was washed with saturated brine twice, and then the solvent was evaporated to dryness. MeOH and a solution of NaOH (44.5 kg) in water (185 kg) were added dropwise into the residue below 40 $^{\circ}\text{C}$ . The mixture was stirred for 5-7 hrs at 30~40 $^{\circ}\text{C}$ . Active carbon (74 kg) wet up with water (77 kg) was added. The

mixture was stirred for 4-6 hrs at 30~40°C and filtered; and the filter cake was washed with MeOH and water. DCM was charged into the filtrate and pH was adjusted to 1 with 35% aq. HCl below 40°C. The aqueous phase was extracted with DCM, and the organic phase was washed with 25% NaCl and concentrated below 40 °C. The residue was used in the next step 5 directly. <sup>1</sup>H NMR (400 MHz, DMSO-*d*6) δ 9.14 (s, 1H), 7.86 (d, *J* = 2.0 Hz, 1H), 7.36 (d, *J* = 8.8 Hz, 1H), 6.94 (d, *J* = 2.4 Hz, 1H), 6.79 (dd, *J* = 2.0, 0.9 Hz, 1H), 6.74 (dd, *J* = 8.8, 2.4 Hz, 1H) ppm. MS: M/e 135 (M+1)<sup>+</sup>.

Step 2: Synthesis of Intermediate 2

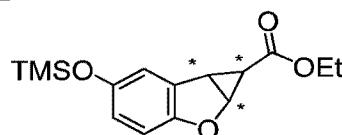


10

**Intermediate 2**

[0157] To a stirred solution of benzofuran-5-ol (Intermediate 1, 33.1 kg) and Et<sub>3</sub>N (50.8 kg) in DCM (155 kg) was added dropwise a solution of TMSCl(30.4 kg) in DCM (50 kg) at -5 to 0 °C. The mixture was warmed to 0~10°C and stirred at the temperature for 2 hours (IPC checked INT-1/INT-2=37.4%). The mixture was cooled to between -5 and 0°C and was added 15 dropwise a solution of TMSCl(10.6 kg) in DCM (8 kg), and then the mixture was warmed to 0~10°C and stirred at the temperature for 1h. The mixture was concentrated below 40°C, and to the mixture was added n-heptane. The mixture was stirred for 20-30mins and filtered, and the cake was washed with n-heptane. The solvent was distilled out from the filtrate to obtain a crude Intermediate 2 (INT-2%: 62.7%, KF: 0.01%). To a stirred solution of the crude 20 Intermediate 2 above and Et<sub>3</sub>N (8.6 kg) in DCM (149 kg) was added dropwise a solution of TMSCl(9.0 kg) in DCM (10 kg) at -5 to 0°C. The mixture was warmed to 0~10°C and stirred at the temperature for 1h (TLC showed the reaction was finished). The reaction mixture was concentrated below 40°C, and to the mixture was added n-heptane. The mixture was stirred for 20-30 mins and then filtered, and the cake was washed with n-heptane. The solvent was 25 distilled out from the filtrate to obtain Intermediate 2 (41.5 kg, INT-2 %: 98.1%) as a colorless oil. <sup>1</sup>H NMR (400 MHz, DMSO-*d*6) δ 7.69 (d, *J* = 2.0 Hz, 1H), 7.21 (d, *J* = 8.8 Hz, 1H), 6.84 (d, *J* = 2.5 Hz, 1H), 6.61 (d, *J* = 2.0 Hz, 1H), 6.56 (dd, *J* = 8.8, 2.5 Hz, 1H), 0.00 (s, 9H) ppm.

Step 3: Synthesis of Intermediate 3



30

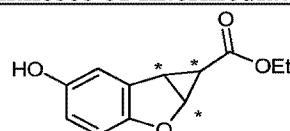
**Intermediate 3**

[0158] Copper (I) triflate (2:1 complex with toluene, 0.41 kg) and (S, S)-Evans Ligand (0.552 kg) were stirred in DCM (160 kg) at ambient temperature under N<sub>2</sub> atmosphere for 1 -2 hrs.

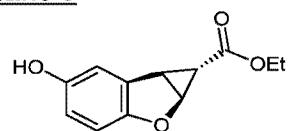
Intermediate 2 (37.0 kg) was added, followed by a slow addition of ethyl diazoethanoate (58 kg) in DCM (450 kg) at 20~30°C. The reaction was stirred for 0.5~1h at 20~30°C (IPC: INT-2/INT-3≤0.2%, residual N<sub>2</sub>CHCO<sub>2</sub>Et: 0.05%≤1.0%). A solution of EDTA disodium (0.05 mol/L, 150 kg) was added to the reaction mixture for 40~50min at 20~30°C in three times.

- 5 The organic phase was washed with 25% aqueous NaCl at 20~30°C in two times and concentrated below 30°C. The residue was distilled under reduced pressure and crude Intermediate 3 (36.26 kg, 84.5%) was collected at 120~144°C. The crude compound included the endo- enantiomer which could be removed in the next step. <sup>1</sup>H NMR (400 MHz, DMSO-*d*6) δ 6.79 (d, *J* = 2.4 Hz, 1H), 6.59 (d, *J* = 8.4 Hz, 1H), 6.42 (dd, *J* = 8.4, 2.4 Hz, 1H), 4.95 (dd, *J* = 5.4, 1.0 Hz, 1H), 3.08 (dd, *J* = 5.4, 3.2 Hz, 1H), 1.02 (dd, *J* = 3.1, 1.2 Hz, 1H), 0.00 (s, 9H) ppm.
- 10

Steps 4 and 5: Syntheses of Intermediate 5 and Intermediate 6



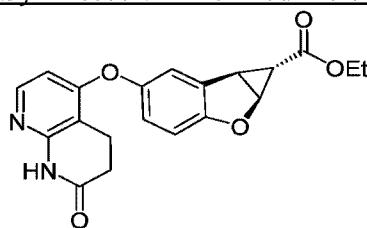
**Intermediate 5**



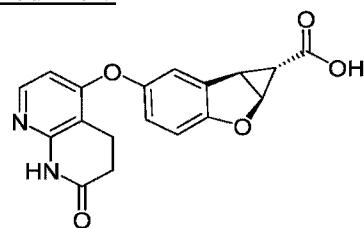
**Intermediate 6**

- [0159] To a solution of Intermediate 4 (36.3 kg) in MeOH (108 kg) was added a solution of HCl/MeOH(5M, 0.11 kg) at 20~30°C, and the mixture was stirred for 2-3hrs (IPC: L/M: 0.5%, chiral purity 90.0%). Et<sub>3</sub>N(0.22 kg) was added dropwise at 20~30°C. The mixture was concentrated and the residue was diluted with n-heptane/EtOAc (4:1) and then concentrated. After adjusting the temperature to 10~20°C and stirring for 2~4hrs at 10~20°C, the mixture was filtered to give a wet product (Intermediate 5: 94.0%, chiral purity: 90.5%). <sup>1</sup>H NMR (400 MHz, DMSO-*d*6) δ 9.05 (s, 1H), 6.89 (d, *J* = 2.4 Hz, 1H), 6.72 (d, *J* = 8.4 Hz, 1H), 6.55 (dd, *J* = 8.4, 2.4 Hz, 1H), 5.11 (dd, *J* = 5.4, 1.0 Hz, 1H), 3.27 (dd, *J* = 5.4, 3.0 Hz, 1H), 1.19 - 1.17 (m, 1H) ppm. The crude product was slurried with n-heptane/EtOAc (20:1) three times to give a light yellow solid, which was dried for 12~16hrs at 40~50°C to give 16.55 kg product (Intermediate 6: 98.6%; chiral purity: 99.3%).

Steps 6 and 7: Syntheses of Intermediate 7 and Intermediate 8



**Intermediate 7**

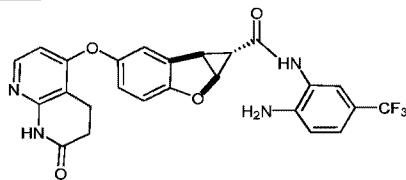


**Intermediate 8**

- [0160] To a solution of Intermediate 6 (14 kg) and 5-fluoro-3,4-dihydro-1,8-naphthyridin-2(1H)-one (SM2, 11.2kg) in DMF (66kg) was added Cs<sub>2</sub>CO<sub>3</sub> (26kg) at 40~60°C, and the mixture was warmed to 110~120°C and stirred for 3hrs at 110~120°C. The reaction pH was

adjusted to 6 with acetic acid (12.0 kg) at 25~35°C. Water (520kg) was added and the mixture was stirred for 1~2hrs. After filtration, the solid was slurried with EA (78kg) to get a wet product (the purity: (Intermediate 7 + Intermediate 8) %: 98%). An aqueous sodium hydroxide solution (125kg, 2M) was added to a stirred solution of the wet product in THF (240kg) and 5 stirred for 2~3hrs at 20~30°C (IPC: INT-7/INT-8: 0.9%). The mixture was adjusted to pH 4~5 with 4N HCl (37kg) at 20~30°C and then stirred for 0.5~1h. The mixture was concentrated at below 50°C and a solid precipitated out of the solution. After filtration, the wet product was re-slurried in THF at 35~45°C for 1~2hrs, and then filtered. The resultant wet product was dried for 40hrs at 45~65°C to give the title compound Intermediate 8 (18.95kg: chemical purity 99%, 10 chiral purity 100%). <sup>1</sup>H NMR (400 MHz, DMSO-*d*6) δ 12.59 (s, 1H), 10.43 (s, 1H), 7.92 (d, *J* = 5.8 Hz, 1H), 7.29 (d, *J* = 2.4 Hz, 1H), 6.97 (d, *J* = 8.8 Hz, 1H), 6.93 (dd, *J* = 8.8, 2.4 Hz, 1H), 6.21 (d, *J* = 5.8 Hz, 1H), 5.21 (dd, *J* = 5.4, 1.0 Hz, 1H), 3.27 – 3.25 (m, 1H), 2.89 (t, *J* = 7.8 Hz, 2H), 2.51 (d, *J* = 8.8 Hz, 2H), 1.19 (dd, *J* = 3.0, 1.0 Hz, 1H) ppm. MS: M/e 339 (M+1)<sup>+</sup>.

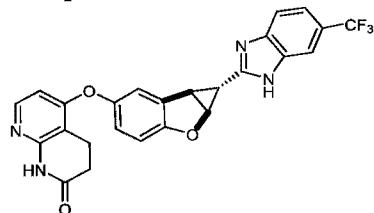
Step 8: Synthesis of Intermediate 9



**Intermediate 9**

15 [0161] A solution of Intermediate 8(13.3kg), DIPEA (16kg) and HATU (18.1kg) in DMF (167kg) was added dropwise into a mixture of 4-(trifluoromethyl)benzene-1,2-diamine (SM3, 7.6 kg) in DMF(74 kg) at 0~15°C. The mixture was stirred at 20~25°C for 4~6hrs(IPC: INT-9/INT-9: not detected). Active carbon(5.3kg) in DMF(7.5kg) was added into the reaction mixture, stirred for 2~4hrs at 40~45°C, and then filtered. Water (846kg) was added dropwise into the filtrate at 15~30°C, and a solid precipitated out of the solution when stirred for 1~2hrs. The precipitate was filed and slurried in EtOH at 20~30°C for 2-4hrs. After filtration, the wet product was dried for 37hrs at 45~60°C to obtain the title compound Intermediate 9 (17.60 kg: 95.5%).

Step 9: Syntheses of free base of Compound 1

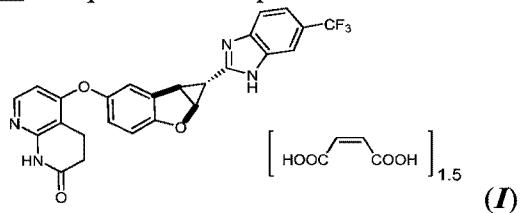


**free base of Compound 1**

25 [0162] A solution of Intermediate 9 (17 kg) and water (1.5 kg) in AcOH(360 kg) was stirred at 65-70°C for 20hrs (IPC: R/S≤1.0%). The mixture was concentrated to dryness at below 55°C,

and active carbon (17 kg) with MeOH(32 kg) was added to the residue. The mixture was stirred for 1h at about 50°C. After filtration, the filtration was concentrated to remove the solvent at below 45°C. EA(160kg) and water(330 kg) were added to the residue, followed with an aqueous solution of NaOH(2mol/L) until pH to 8-9 at 20-30°C. The organic layer was 5 separated, and extracted the aqueous phase with EA. The combined organic phase was washed with water twice, concentrated to dryness to obtain Compound 1 in the form of free base. <sup>1</sup>H-NMR (600 MHz, DMSO-*d*<sub>6</sub>) δ 12.84 (s, 1H), 10.47 (s, 1H), 7.98 (d, *J* = 5.8 Hz, 1H), 7.86 (d, *J* = 1.2 Hz, 1H), 7.69 (m, 1H), 7.48 (t, *J* = 6.2 Hz, 1H), 7.38 (d, *J* = 2.6 Hz, 1H), 7.08 (d, *J* = 8.7 Hz, 1H), 7.02 (dd, *J* = 8.7, 2.6 Hz, 1H), 6.29 (d, *J* = 5.8 Hz, 1H), 5.43 (dd, *J* = 5.4, 1.2 Hz, 1H), 10 3.55 (dd, *J* = 5.3, 3.3 Hz, 1H), 2.95 (t, *J* = 7.7 Hz, 2H), 2.55 (t, *J* = 7.7 Hz, 2H), 1.97 (d, *J* = 1.3 Hz, 1H) ppm.

**[0163] Step 10: Syntheses of Compound 1 Sesqui-Maleate**



**Compound 1 Sesqui-Maleate**

**[0164]** IPA (83 kg) was added to the residue of Step 9. Maleic acid (5 kg) in water (29 kg) was added into the mixture and stirred for 4hrs at about 50°C, then cooled to 35°C and stirred 15 for 12hrs at that temperature. The resultant solid was filtered, dried at 40~60°C, and micronized in a micronizer to give a white powder (Compound 1 Sesqui-Maleate Salt, 8.36 kg) with particle sizes of D90=4.1μm, D10=1.5μm, D50=2.4μm. The powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form A\*, see **Fig. 2**. <sup>1</sup>H-NMR spectra for Crystalline Form A\* of Compound 1 Sesqui-Maleate is shown in **Fig. 29**. 20 <sup>13</sup>C-NMR spectra for Crystalline Form A\* of Compound 1 Sesqui-Maleate is shown in **Fig. 30**.

**[0165]** The solubility study showed a much better aqueous solubility (0.020 mg/mL) of Crystalline Form A\* of Compound 1 Sesqui-Maleate was obtained than that (<LOQ at 0.001 mg/mL) of the free base of Compound 1.

**[0166]** Crystalline Form A\* of Compound 1 Sesqui-Maleate was found to be non-hygroscopic 25 with only 0.1801% water uptake exposed to 95% RH as shown in **Fig. 31**.

**Example 1B: Preparation of salts of Compound 1 with other salt-forming agents**

**[0167]** Around 150 mg of free base of Compound 1 was dissolved in a 40 mL glass vial with 2 mL of IPA individually. A solution of appropriate salt-forming agents in IPA (The salt-forming agents were pre-dissolved in IPA, for those salt-forming agents which could not be dissolved, the suspensions were heated to be dissolved) according to the molar ratio listed in 30

Table 16 was slowly titrated into free base solution respectively on the magnetic stirrer, and then kept stirring at room temperature for 24 hours to precipitate out solid. If no solid was obtained, an anti-solvent (e.g., heptane) was added slowly into the solution to get the precipitation. The centrifugal solid was determined by XRPD to determine if new crystalline form was obtained, and then dried under vacuum at 40°C overnight for further characterization. API and pure solid acid suspended in IPA were used as API control and pure solid salt-forming agent control to distinguish salt/co-crystal formation and polymorphism of API or solid salt-forming agents.

[0168] In addition to formation of crystalline salts with maleic acid, solids of crystalline forms were also found from the following five acids including hydrochloric acid (Fig. 3), methanesulfonic acid (Fig. 4), 2-hydroxyethanesulfonic acid (Fig. 5), L-tartaric acid (Fig. 6), the true tartrate salt may not be formed and it is the just the polymorph of the free base since no chemical shift was detected for tartrate), and oxalic acid (Fig. 7), which showed different crystal form from free base, API control and solid acid control. Among the formed crystalline salts, 2-hydroxyethanesulfonate and maleate have better crystallinity than the other four (hydrochloric acid, methanesulfonic acid, L-tartaric acid, and oxalic acid).

[0169] The solids obtained from other salt-forming agents were all amorphous form (Fig. 8, Fig. 9, Fig. 10) or the same form with pure solid salt-forming agents (Fig. 11 and Fig. 12), suggesting free form or amorphous salts or the mixture of salt-forming agents with free form was obtained.

**Table 16. Summary of formation of the salts of Compound 1 with various salt-forming agents**

Hydrochloric acid	1:1	White suspension	No	Crystalline
Sulfuric acid	1:1	Jelly like	No	Amorphous
Phosphoric acid	1:1	White suspension	No	Amorphous
Methanesulfonic acid	1:1	Jelly like	No	Crystalline
2-hydroxyethanesulfonic acid	1:1	White suspension	No	Crystalline
Benzenesulfonic acid	1:1	Jelly like	No	Amorphous
Glycolic acid	1:1	White precipitation	Yes	Amorphous
L-lactic acid	1:1	White precipitation	Yes	Amorphous
Fumaric acid	1:1	White precipitation	Yes	Amorphous
L-tartaric acid	1:1	Jelly like	No	Crystalline
Citric acid	1:1	White precipitation	Yes	Amorphous
L-malic acid	1:1	White precipitation	Yes	Amorphous
Succinic acid	1:1	White precipitation	Yes	Amorphous

Hippuric acid	1:1	No precipitation	Yes	Amorphous
Adipic acid	1:1	White precipitation	Yes	Crystalline (Adipic acid)
Benzoic acid	1:1	White precipitation	Yes	Amorphous
Gentisic acid	1:1	White precipitation	Yes	Amorphous
Malonic acid	1:1	White precipitation	Yes	Amorphous
Ethanedisulfonic acid	1:1	White suspension	No	Amorphous
Toluenesulfonic acid	1:1	Jelly like	No	Amorphous
Oxalic acid	1:1	White suspension	No	Crystalline
Nicotinic acid	1:1	White suspension	No	Crystalline (Nicotinic acid)
Nicotinamide	1:1	White precipitation	Yes	Amorphous
Saccharin	1:1	White precipitation	Yes	Amorphous

**Example 2: Preparation of Single Crystalline Form A\*\* of Compound 1 Sesqui-Maleate**

[0170] A single crystal growth screening was conducted under 94 different conditions by varying solvent, temperature, and recrystallization methods, from which single crystals suitable for structure determination were obtained by slow cooling in acetone.

**Example 3: Preparation of Crystalline Form A of Compound 1 Sesqui-Maleate**

[0171] The free base of Compound 1 (145 g) was combined with 63.5 g of maleic acid (1.8 equivalent) in 1750 mL of *i*-PrOH/H<sub>2</sub>O (V:V = 4:1). The mixture was refluxed until all the solids were dissolved. The clear solution was cooled to rt, some crystal seeds were added, and then the mixture was let stand for 24 hrs. White solids were precipitated and filtered. The filter cake was washed with about 500 mL of *i*-PrOH/H<sub>2</sub>O (V:V = 4:1) and dried under high vacuum at 50 °C for 48 hrs to give the title product (112 g) as crystalline crystals. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form A; see **Fig. 17**. TGA result indicated a weight loss of 0.3 wt% up to 120 °C. DSC result showed a melting endotherm at 194.2 °C (onset temperature). <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of 1/1.5 of the free base/maleic acid (see **Fig. 34**).

[0172] Example 1A is directed to the mass production of the crystalline form of Compound 1 Sesqui-Maleate (i.e., designated as Form A\*) and Example 3 is directed to laboratory preparation of the crystalline form of Compound 1 Sesqui-Maleate (i.e., designated as Form A). The powder X-ray diffraction pattern, <sup>1</sup>H-NMR spectra, and other techniques show the consistency between the two crystalline forms of Crystalline Form A\* and Crystalline Form A.

**Example 4: Preparation of Crystalline Form B of Compound 1 maleate**

[0173] Crystalline Form B of Compound **1** maleate was prepared via cooling a 1,4-dioxane solution of Crystalline Form A of Sesqui-Maleate from 60 °C to 5 °C at a rate of 0.1 °C/min for crystallization. Procedures: weigh 20.0 mg of Form A solids into a 3-mL glass vial, and add 1mL of 1,4-dioxane into the vial; stir the mixture at 50 °C magnetically with a speed of 800 5 RPM; filter the sample after equilibrated at 60 °C for 2 hrs using 0.45 µm Nylon membrane; and cool the filtrate at a rate of 0.1 °C/min from 60 °C to 5 °C. The sample was stored at 5 °C before isolation. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form B; see **Fig. 18**. TGA result indicated a weight loss of 15.1 wt% up to 150 °C (contained molecules of crystal 1,4-dioxane solvate/hydrate). DSC result showed 10 multiple overlapped endotherms (181.4 and 189.6 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1.2 of the free base/maleic acid (see **Fig. 35**).

#### **Example 5: Preparation of Crystalline Form C of Compound **1** maleate**

[0174] Crystalline Form C of Compound **1** maleate was prepared via cooling an ethanol solution of Compound **1** from 60 °C to 5 °C at a rate of 0.1 °C/min for crystallization. Procedures: weigh 21.3 mg of Form A solids into a 3-mL glass vial, and add 1mL of ethanol to the vial; stir the mixture at 50 °C magnetically with a speed of 800 RPM; filter the sample after equilibrated at 60 °C for 2 hrs using 0.45 µm Nylon membrane; and cool the filtrate at a rate of 20 0.1 °C/min from 60 °C to 5 °C. The sample was stored at 5 °C before isolation. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form C; see **Fig. 19**. TGA result indicated a weight loss of 7.0 wt% up to 180 °C (contained molecules of crystal hydrate). DSC result showed four endotherms (107.5, 162.7, 179.3 and 196.0 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1.3 of the free 25 base/maleic acid (see **Fig. 36**).

#### **Example 6: Preparation of Crystalline Form D of Compound **1** maleate**

[0175] Crystalline Form D of Compound **1** Maleate was prepared via slow evaporation of MeOH solution of Crystalline Form A of Compound **1** Sesqui-Maleate in the presence of [dmin]CF<sub>3</sub>COO ionic liquid at RT. Procedures: weigh 150.2 mg of Form A solids into a 20-mL 30 glass vial, and add 10.2 mL of MeOH into the vial; filter the mixture to get a saturated stoke solution using 0.45µm Nylon membrane; add 2.8 mg of [dmin]CF<sub>3</sub>COO ionic liquid into the 1-mL MeOH stock solution; and stir at RT to induce precipitation. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form D; see **Fig. 20**. TGA result indicated a weight loss of 6.3 wt% up to 120 °C (contained molecules of crystal hydrate). 35 DSC result showed two endotherms (75.8 and 161.4 °C) before decomposition. <sup>1</sup>H-NMR

spectrum showed a stoichiometrical ratio of around 1/1 of the free base/maleic acid (see **Fig. 37**).

**Example 7: Preparation of Crystalline Form F of Compound 1 maleate**

- 5 [0176] Crystalline Form F of Compound **1** Maleate was prepared via crystallization of Crystalline Form A of Compound **1** Sesqui-Maleate from an ACN/H<sub>2</sub>O (1:1, v/v) solution. Procedures: weigh 18.9 mg of Form A solids into a 3-mL glass vial, and add 1.5 mL of ACN/H<sub>2</sub>O (1:1, v/v); and add about 2 mg of polymer mixture (polyvinyl pyrrolidone (PVP), polyvinyl alcohol (PVA), polyvinylchloride (PVC), polyvinyl acetate (PVAC), hypromellose (HPMC), 10 and methyl cellulose (MC) in a mass ratio of 1:1:1:1:1) into the suspension and stir at RT to induce precipitation.
- 15 [0177] A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form F; see **Fig. 21**. TGA result indicated a weight loss of 3.2 wt% up to 130 °C (contained molecules of ACN solvate/hydrate). DSC result showed three endotherms (62.4, 156.9 and 169.3 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/0.5 of the free base/maleic acid (see **Fig. 38**).

**Example 8: Preparation of Crystalline Form G of Compound 1 maleate**

- 20 [0178] Crystalline Form G of Compound **1** maleate was prepared via adding water into acetic acid solution of Crystalline Form A of Compound **1** Sesqui-Maleate. Procedures: weigh 20.2 mg of Form A solids into a 20-mL glass vial; add 1.0 mL of acetic acid into the vial and stir at RT to get a clear solution; and add 5 mL of water into the solution stepwise to induce precipitation.
- 25 [0179] A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form G; see **Fig. 22**. TGA result indicated a weight loss of 11.0 wt% up to 120 °C (contained molecules of crystal hydrate). DSC result showed four endotherms (84.3, 126.9, 139.4 and 187.3 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/0.5 of the free base/maleic acid (see **Fig. 39**).

30 **Example 9: Preparation of Crystalline Form H of Compound 1 maleate**

- [0180] Crystalline Form H of Compound **1** maleate was prepared via slurring Crystalline Form A of Compound **1** Sesqui-Maleate in THF at RT. Procedures: weigh 17.7 mg of Form A solids into a 1.5-mL vial, and add 0.3 mL of THF into the vial to get a suspension; and stir the mixture at RT magnetically with a speed of 800 RPM for 3 days. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form H; see **Fig. 23**. TGA result indicated a two step weight loss of 13.0 wt% up to 150 °C (contained molecules of

crystal THF solvate/hydrate). DSC result showed 2 endotherms (124.5 and 178.0 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1 of the free base/maleic acid (see **Fig. 40**).

5 **Example 10: Preparation of Crystalline Form I of Compound 1 maleate**

[0181] Crystalline Form I of Compound 1 maleate was prepared via slow evaporation of an IPA/H<sub>2</sub>O (3:1, v/v) solution of Crystalline Form A of Compound 1 Sesqui-Maleate at RT. Procedures: weigh 13.8 mg of Form A solids into a 3-mL glass vial, and add 1.5 mL of IPA/H<sub>2</sub>O (3:1, v/v) into the vial to obtain a clear solution; evaporate solvent from the solution 10 at RT to induce precipitation. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form I; see **Fig. 24**. TGA result indicated a two step weight loss of 20.7 wt% up to 180 °C (contained molecules of crystal IPA solvate/hydrate). DSC result showed four overlapped endotherms (85.8, 115.1 and 138.2 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/0.5 of the free 15 base/maleic acid (see **Fig. 41**).

**Example 11: Preparation of Crystalline Form J of Compound 1 maleate**

[0182] Crystalline Form J of Compound 1 maleate was prepared via interaction between the solids of Crystalline Form A of Compound 1 maleate and acetic acid vapor. Procedures: weigh 20 14.2 mg of Form A solids into a 3-mL glass vial; seal the 3-mL vial into a 20-mL glass vial containing 2 mL of acetic acid; and keep the system at RT for 8 days, allowing the vapor to interact with solids. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form J; see **Fig. 25**. TGA result indicated a weight loss of 19.3 wt% up to 130 °C (contained molecules of crystal acetic acid solvate/hydrate). DSC result showed three 25 endotherms (102.9, 155.7 and 187.7 °C) before decomposition. <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1 of the free base/maleic acid (see **Fig. 42**).

**Example 12: Preparation of Crystalline Form K of Compound 1 maleate**

[0183] Crystalline Form K of Compound 1 maleate was obtained after storage of Crystalline 30 Form D of Compound 1 Maleate at ambient condition for two weeks. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form K; see **Fig. 26**. TGA result indicated a weight loss of 4.2 wt% up to 150 °C (contained molecules of crystal hydrate). DSC result showed two endotherms (59.6 and 163.5 °C) before melting at 186.6 °C (peak temperature). <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1 of the 35 free base/maleic acid (see **Fig. 43**).

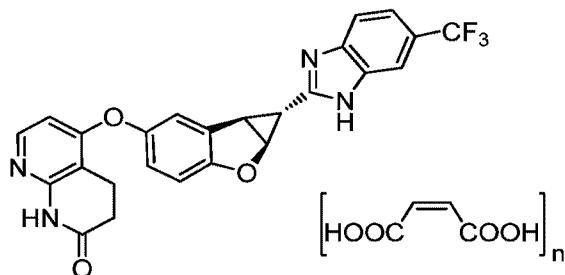
**Example 13: Preparation of Crystalline Form L of Compound 1 maleate**

[0184] Crystalline Form L of Compound 1 Maleate was obtained via heating Crystalline Form G of Compound 1 maleate to 140 °C and cooling to RT. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form L; see **Fig. 27**. TGA result

5 indicated a weight loss of 3.9 wt% up to 120 °C (contained molecules of crystal hydrate). DSC result showed one endotherm (141.1 °C, onset temperature) before melting at 183.9 °C (peak temperature). <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/0.3 of the free base/maleic acid (see **Fig. 44**).

**Example 14: Preparation of Crystalline Form M of Compound 1 maleate**

[0185] Crystalline Form M of Compound 1 maleate was obtained after storage of Crystalline Form J of Compound 1 maleate at ambient condition for two weeks. A powder X-ray diffraction pattern method was used to characterize the structure of Crystalline Form L; see **Fig. 28**. TGA result indicated a weight loss of 1.4 wt% up to 140 °C (contained molecules of crystal acetic acid hydrate/hydrate). DSC result showed multiple endotherms (123.0, 156.5, 171.9, 15 176.1 and 195.3 °C). <sup>1</sup>H-NMR spectrum showed a stoichiometrical ratio of around 1/1.3 of the free base/maleic acid (see **Fig. 45**).

**Example 15: Screening of Conditions for Formation of Compound 1 maleate and Sesqui-****Maleate****Condition 1: Compound 1 reacted with Maleic acid in EtOAc.**

[0186] To a stirred solution of Compound 1 (47.8 mg) in EtOAc (5 mL) was added a solution of Maleic acid in EtOAc (0.2 mol/L, v mL) at rt. The mixture was stirred for 30 min. The 25 solid was filtered and dried under high vacuum to give the title salt. Surprisingly, when 0.5, 0.9, 1.8, or 3.0 eq. of malic acid was used, a crystalline Compound 1 Sesqui-Maleate (1:1.5) salt was obtained under all the conditions.

**Condition 2: Compound 1 reacted with Maleic acid in EtOH.**

30 [0187] Procedure: A mixture of Compound 1 and maleic acid in EtOH was refluxed for 20 min until all solids dissolved. The solution was cooled to ambient temperature and stand for 3

hours. A white solid precipitated out, and was filtered and dried under high vacuum to give the title compound. It was surprisingly discovered that when one equivalent of malic acid was used, a crystalline Compound **1** maleate (1:1) salt was obtained; but when the amount of malic acid was increased to 1.3, 1.5, or 3.5 eq., all the conditions gave a crystalline Compound **1**

5 Sesqui-Maleate (1:1.5) salt as the product.

Condition 3: Compound **1** reacted with Maleic acid in MeOH.

[0188] Procedure: A mixture of Compound **1** and maleic acid in MeOH was refluxed for 20 min until all the solids dissolved. The solution was cooled to ambient temperature and let

10 stand for 3 hours. A white solid precipitated out, and was filtered and dried under high vacuum to give a Compound **1** maleate (1:1) salt. Surprisingly, using MeOH as solvent, when 1.0, 1.5, or 3.5 eq. of maleic acid was used, the product was consistently a powdery Compound **1** maleate (1:1) salt.

15 Condition 4: Compound **1** reacted with Maleic acid in i-PrOH/water.

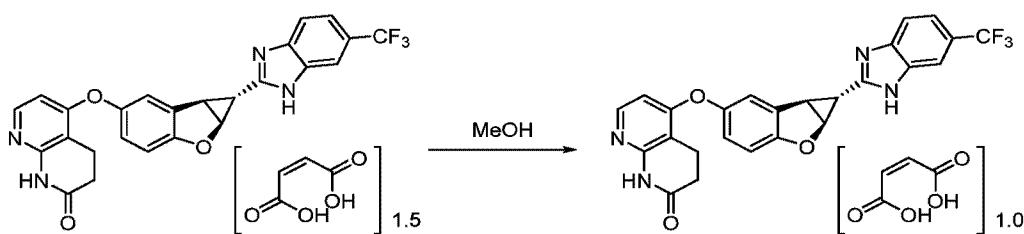
[0189] Procedure: A mixture of Compound **1** (145 g, 0.3 mol) and maleic acid (63 g, 0.54 mol) in a mixed solvent (i-PrOH:H<sub>2</sub>O=4:1 by volume, 1.7 L) was refluxed until all the solid was dissolved. The mixture was allowed to cool to room temperature, some crystal seeds were added to the solution, and the mixture was let stand for 24 hours. A white crystal precipitated 20 out and was filtered. The filter cake was washed with 500 mL of the mixed solvent, dried under high vacuum at 50 °C for 48 hours to give Compound **1** Sesqui-Maleate Salt (112 g, 56.7%) as crystalline needles. Interestingly, when 40 vol% i-PrOH was used as solvent, a crystalline Compound **1** hemi-maleate (1:0.5) salt was obtained; whereas when 60vol% i-PrOH, 90vol% i-PrOH, or 100% i-PrOH was used as solvent, all the conditions gave a crystalline 25 Compound **1** Sesqui-Maleate (1:1.5) salt.

Condition 5: Compound **1** reacted with Maleic acid in other solvents.

[0190] When other solvents (THF, Acetone, DME, 1,4-dioxane) were used, according to similar procedures described above, the product obtained was consistently a Compound **1**

30 Sesqui-Maleate (1:1.5) salt.

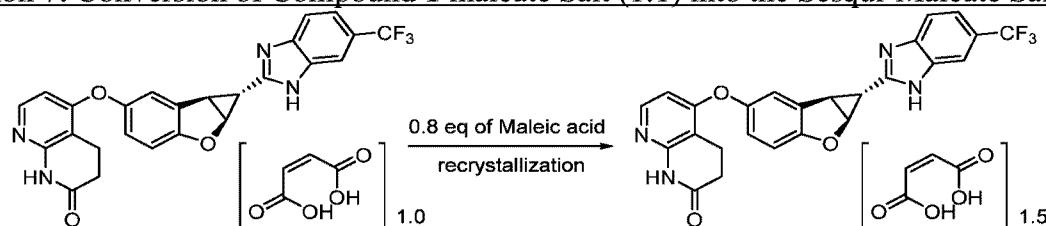
Condition 6: Conversion of Compound **1** Sesqui-Maleate Salt (1:1.5) into the Maleate Salt (1:1).



[0191] A crystal of Compound 1 Sesqui-Maleate Salt (1.0 g, 1.53 mmol) was suspended in MeOH (20 mL) and the mixture was stirred at rt for 1 day. The white solid was filtered. The filter cake was washed with MeOH (10 mL), and dried under infra red lamp at 50°C for 16 hrs 5 to give Compound 1 maleate Salt (1:1) (820 mg, 90%) as a white crystalline solid. <sup>1</sup>H NMR (400 MHz, dmso) δ 10.50 (s, 1H), 7.97 (d, *J* = 5.6 Hz, 1H), 7.86 (s, 1H), 7.68 (d, *J* = 8.4 Hz, 1H), 7.47 (dd, *J* = 8.4, 1.2 Hz, 1H), 7.38 (d, *J* = 2.4 Hz, 1H), 7.08 (d, *J* = 8.8 Hz, 1H), 7.01 (dd, *J* = 8.8, 2.4 Hz, 1H), 6.31 – 6.24 (m, 3H), 5.43 (dd, *J* = 5.2, 1.2 Hz, 1H), 3.55 (dd, *J* = 5.2, 3.2 Hz, 1H), 2.94 (t, *J* = 7.6 Hz, 2H), 2.54 (t, *J* = 7.6 Hz, 2H), 1.97 (dd, *J* = 3.2, 1.2 Hz, 1H) 10 (detailed spectrum see **Fig. 32**).

[0192] A powder X-ray diffraction pattern study was used to determine the structure the resultant white crystalline solid under Condition 6 and named as Compound 1 maleate (1:1) Crystalline Form N. (**Fig. 33**)

15 Condition 7: Conversion of Compound 1 maleate Salt (1:1) into the Sesqui-Maleate Salt.



[0193] A mixture of Compound 1 maleate salt (1:1) (4.3 g) and maleic acid (0.67 g, 0.8 eq of maleic acid) in a mixture of i-PrOH/H<sub>2</sub>O (4:1, v/v, 50 mL) was refluxed for 1 hour. A small amount of solid remained. Another 5 mL of the mixed solvent was added and the resulted 20 mixture was refluxed for 30 minutes, and all the solids dissolved. The mixture was allowed to cool to rt and was then let stand for 16 hours. A white crystal precipitated out and was filtered. The filter cake was washed with 20 mL of the mixed solvent, dried under infrared lamp for 24 hours to give the Compound 1 Sesqui-Maleate (3.2 g, 68%) salt as crystalline needles.

25 Example 16

[0194] Impurity expulsion of Compound 1 API: 28.5 Kg of the 1<sup>st</sup> crystalized sesqui-maleate (chemical purity = 98.82%) was stirred with methanol at 45-50 °C for 4-5 h. The slurry mixture was then cooled at 20-30 °C for 3-4 h, filtered to give a wet cake (30.3 Kg; chemical purity = 99.76%). The wet cake was mixed with ethyl acetate and water, treated with aqueous

sodium carbonate to pH = 7-8 and then washed with water. The free base of Compound 1 (16.2 Kg, chemical purity = 99.81%) in ethyl acetate was obtained. Ethyl acetate was replaced by i-propanol through distillation. The free base in i-PrOH was stirred with aqueous solution of maleic acid and crystal seeds of the sesqui-maleate. The mixture was stirred at 40-50 °C for 5 a few hours and at 20-30 °C for a few hours. The resulting solid was filtered to give the 2<sup>nd</sup> crystallized sesqui-maleate salt (19.6 Kg; Chemical Purity = 99.82%; optical purity = 100%).

**Example 17: Stability Study of Salts or Crystalline Forms of Compound 1**

**Example 17A: Short-term Stability Study**

- 10 [0195] A short term stability study was conducted to evaluate the HCl salt, mesylate salt, 2-hydroxyethanesulfonate salt and maleate salt.
- [0196] Weighed about 2 mg (For XRPD: 10 mg) of each of the above salts in a 40 mL glass vial, separately. The free base form was used as control. The closed samples were capped and sealed with parafilm, while the opened samples were covered by aluminum foil with pinhole.
- 15 All the samples were stored in stability chamber or dry oven at the corresponding conditions (60°C and 40°C/75% RH) for 1 week.
- [0197] The HCl salt appeared to be light yellow powder at both conditions after 1 week, the appearance of 2-hydroxyethanesulfonate mesylate and maleate had no change with visual observation. The XRPD results showed that no crystal form transformation was detected for 20 all salt samples.
- [0198] The chemical stability results were summarized in Table 17. Variable degradation was detected with the increase of TRS (HCl salt > 2-hydroxyethanesulfonate > mesylate >maleate >free base). By contrast, temperature stress condition might have greater impact than humidity stress condition for salt formation of Compound 1, since transmission 25 Raman spectroscopy (TRS) of salt samples increased more at 60°C.

**Table 17. Summary of short-term stability study**

Salt Sample	TRS %		
	0 day	60°C	40°C/75% RH
API free base	0.12	0.12	0.11
HCl salt	0.66	8.76	2.76
2-hydroxyethanesulfonate	0.08	1.16	0.51
Mesylate	0.11	0.55	0.14
Crystalline Form A* of Compound 1	0.08	0.17	0.10
Sesqui-Maleate			

**Example 17B: Long-term Stability Study**

[0199] The long-term stability studies of Crystalline Form A\* of Compound 1 Sesqui-Maleate showed there was no significant chemical purity change occurred when stored at 25°C/60%RH for up to 18 months (Assay w/w: T0 = 99.1% and T18 = 98.8%) and at 40°C / 75%RH condition for up to 6 months (Assay w/w: T0 = 99.1% and T6 = 98.9%). The results 5 even showed that Crystalline Form A\* of Compound 1 Sesqui-Maleate still kept the purity of 98.5% after 24 month stability study at 25 C/75% RH. In addition, no crystal form and optical purity changes were observed when stored at 25°C/60%RH for up to 18 months and at 40°C / 75%RH condition for up to 6 months.

## 10 Efficacy Tests

### Test 1: Inhibition and Selectivity of the Kinases by Compound 1 Sesqui-Maleate (Crystalline Form A\* tested)

#### Methods:

##### Raf kinase enzymatic assays:

[0200] Crystalline Form A\* of Compound 1 Sesqui-Maleate was tested against recombinant B-Raf (V600E) (PV3849), C-Raf (Y340D/Y341D) (PV3805) and wild-type Braf (PV3848) from Life Technologies in kinase activity assays based on homogeneous time-resolved fluorescence (HTRF) methodology (Cisbio Bioassays). The assays were carried out in a reaction mixture containing RAF kinases, ATP at KM concentration, GST-tagged MEK1 (K97R) and 15 Crystalline Form A\* of Compound 1 Sesqui-Maleate or DMSO in buffer containing 25 mM Tris pH7.4, 10 mM MgCl<sub>2</sub>, 0.5 mM EGTA, 0.5 mM Na<sub>3</sub>VO<sub>4</sub>, 5 mM beta-glycerophosphate, 0.01% Triton X-100, 2.5 mM DTT and 0.1% BSA. The kinase was incubated with Crystalline Form A\* of Compound 1 Sesqui-Maleate for 1 hr at room temperature (RT) and the reaction 20 was initiated by the addition of ATP and GST-MEK1 (Full-length protein with K97R mutation, recombinant protein purified from bacterial expression system). After reaction at RT for 1 hr, stop/detection solution was added according to the manufacturer's instruction (Cisbio 25 Bioassays). The stop/detection solution contained Eu3+ cryptate-conjugated anti-phospho MEK1/2 (Ser217/221) rabbit polyclonal antibody and d2-conjugated anti-GST mouse monoclonal antibody in buffer containing 25 mM Tris pH7.4, 400 mM KF, 50 mM EDTA, 0.1% 30 BSA and 0.01% Triton X-100. The TR-FRET signals (ratio of fluorescence emission at 665 nm over emission at 620 nm with excitation at 337 nm wavelength) were measured on a PHERAstar FS plate reader (BMG Labtech) after 1.5 hr incubation. Phosphorylation of MEK1 led to the binding of anti-phospho-MEK1/2 antibody to GST-MEK1 protein that place 35 fluorescent donor (Eu3+ cryptate) in close proximity to the acceptor d2 on the anti-GST antibody, thus resulting in a high degree of fluorescence resonance energy transfer from the donor fluorophore (at 620 nm) to the acceptor fluorophore (at 665 nm). The IC50 for

Crystalline Form A\* of Compound 1 Sesqui-Maleate was derived from fitting the dose-response % inhibition data to the four-parameter logistic model by Graphpad Prism software.

**Kinase assays for EGFR, EPHA2, PDGFR-beta, TXK, FLT3, VEGFR2, RET, BTK, ITK,**

5 **TEC and SRC**

[0201] Crystalline Form A\* of Compound 1 Sesqui-Maleate was tested against recombinant EGFR/EPHA2/BTK (from Carna Biosciences), ITK/TEC/SRC/PDGFR-beta/TXK/FLT3/VEGFR2/RET (from Life Technologies), and HER2 (aa676-1255, recombinant protein purified from insect expression system) in HTRF KinEASE-TK assay from Cisbio Bioassays according to the manufacturer's instructions. The assay was carried out in a reaction mixture containing kinases, ATP at KM concentration, biotinylated peptide substrate (61TK0BLC, Cisbio Bioassys) and Crystalline Form A\* of Compound 1 Sesqui-Maleate. The kinase was incubated with Crystalline Form A\* of Compound 1 Sesqui-Maleate at RT for 1 hr or 2 hr (for EGFR) and the reaction was initiated by the addition of ATP and substrate. After reaction, stop/detection solution was added according to the manufacturer's instruction. The stop/detection solution contained Eu+ cryptate conjugated antiphosphotyrosine antibody (61T66kLB, CisBio Bioassys) and Streptavidin-XL665 (610SAXLB, CisBio Bioassys) in buffer containing 25 mM Tris pH7.4, 400 mM KF, 50 mM EDTA, 0.01% BSA, 0.01% Triton X-100. The TR-FRET signals (ratio of fluorescence emission at 665 nm over emission at 620 nm with excitation at 337 nm wavelength) were recorded on a PHERAstar FS plate reader (BMG Labtech) after 1 hr incubation. Phosphorylation of biotinylated peptide substrate led to the binding of both Eu+ cryptate conjugated antiphosphotyrosine antibody and streptavidin-XL665 to the biotinylated peptide. The close proximity of the donor (Eu+ cryptate) and acceptor (XL665) fluorophore led to a high degree of fluorescence resonance energy transfer from the donor fluorophore (at 620 nm) to the acceptor fluorophore (at 665 nm). The IC<sub>50</sub> for Crystalline Form A\* of Compound 1 Sesqui-Maleate was derived from fitting the dose-response % inhibition data to the four-parameter logistic model by Graphpad Prism software.

30 **Results:**

[0202] Compound 1 Sesqui-Maleate (Crystalline Form A\*) is a potent and reversible inhibitor of V600E mutant B-RAF, wild-type B-RAF, and C-RAF enzymes. In addition, among the following kinases that have been tested thus far, Compound 1 Sesqui-Maleate potently inhibits EGFR, EPHA2, PDGFR-beta, FLT3, VEGFR2 and RET, has weak inhibition for HER2, SRC and TXK and no inhibition for BTK, ITK and TEC. Many of these kinases that Compound 1 Sesqui-Maleate inhibits have been identified as potential targets for anticancer therapy and as

such may increase the potential efficacy, as well as the potential applicability of Compound 1 Sesqui-Maleate to a wide range of tumors

**Table 18. Enzymatic Inhibition Activities of Crystalline Form A\* of Compound 1 Sesqui-Maleate.**

Enzyme	IC50 (nM)	Enzyme	IC50 (nM)
BRAF V600E (416-766)	26	TEC	>10000
CRAF Y340,341D	3.5	HER2	2573
WT-BRAF	69	SRC	917
WT-EGFR	44	PDGFR-beta	17
EPHA2	61	BTK	>10,000
ITK	>10,000	TXK	4800
FLT3	25	RET	18
VEGFR2	22		

**Test 2: *In Vitro* Growth Inhibition of Human Tumor Cell Lines by Compound 1 Sesqui-Maleate (Crystalline Form A\* tested)**

**Methods:**

- [0203] A375, Sk-Mel-28, HT29, Colo205, A431, and HCC827 cell lines were purchased from American Type Culture Collection. All the cell lines used in the cellular assays were cultured in the designated medium supplemented with 10% fetal bovine serum (FBS, Thermo Scientific), 100 units/mL penicillin (Gibco), 0.1 mg/mL streptomycin (Gibco) and in a humidified 37 °C environment with 5% CO<sub>2</sub>. Cell lines were reinstated from frozen stocks laid down within three passages from the original cells purchased and passaged no more than 30 times.
- [0204] Cellular phospho-ERK and phospho-EGFR were measured using a TR-FRET-based method. Cells were seeded at 3×10<sup>4</sup> per well of a 96-well plate and left to attach for 16 hours. Growth medium was then replaced with 100 μL of medium containing no serum. Cells were then treated with a 10-point titration of compound. After 1 h of compound treatment, 50 μL of lysis buffer (Cisbio) were added to each well. Plates were then incubated at room temperature with shaking for 30 min. A total of 16 μL of cell lysate from each well of a 96-well plate was transferred to a 384-well small volume white plate. Lysate from each well was incubated with 2 μL of Eu3+- or Tb3+- cryptate (donor) labeled anti-ERK or anti-EGFR antibody (Cisbio) and 2 μL of D2 (acceptor) labeled anti-phospho-ERK or anti-phospho-EGFR antibody (Cisbio) for 2 h at room temperature. FRET signals were measured using a PHERAstar FS reader (BMG

Labtech). IC<sub>50</sub> values for ERK or EGFR phosphorylation was determined using GraphPad Prism software.

**[0205]** The growth-inhibitory activity of compounds in a panel of melanoma, colon, breast and lung cancer cells was determined using CellTiter-Glo luminescent cell viability assay

5 (Promega). The number of cells seeded per well of a 96-well plate was optimized for each cell line to ensure logarithmic growth over the 3 days treatment period. Cells were left to attach for 16 hours and then treated with a 10-point dilution series in duplicate. Following a 3-day exposure to the compound, a volume of CellTiter-Glo reagent equal to the volume of cell culture medium present in each well was added. Mixture was placed on an orbital shaker for 2 10 minutes to allow cell lysing, followed by 10 minutes incubation at room temperature to allow development and stabilization of luminescent signal. Luminescent signal was measured using PHERAstar FS reader (BMG Labtech). EC<sub>50</sub> values for cell viability were determined with GraphPad Prism software.

15 **Results:**

**[0206]** Cellular assays have confirmed that Compound 1 Sesqui-Maleate inhibits a number of direct signaling intermediates downstream of RAFs. For example, Compound 1 Sesqui-Maleate inhibited ERK phosphorylation with an IC<sub>50</sub> of 32 and 77 nM in B-RAF V600E mutant driven melanoma A375 and Sk-Mel-28 cells. It also inhibits ERK phosphorylation with an IC<sub>50</sub> of 103 and 53 nM in B-RAF V600E mutant driven HT29 and Colo205 colorectal cancer cells. Additionally, Compound 1 Sesqui-Maleate inhibits EGFR phosphorylation with an IC<sub>50</sub> of 385 and 161 nM in EGFR overexpressing A431 and EGFR mutant HCC827 lung cancer cell lines. Compound 1 Sesqui-Maleate has been assessed for its anti-proliferation activity in a panel of cancer cell lines. It showed antiproliferative activity against a number of 20 cell lines harboring B-RAF mutation (Table 19).

**Table 19. Crystalline Form A\* of Compound 1 Sesqui-Maleate inhibited BRAF V600E-driven cell proliferation.**

Cell line	BRAF status	Compound 1 EC <sub>50</sub> ( mean ± SD)	N
A375	V600E	137 ± 0.5	2
Sk-Mel-28	V600E	251 ± 54	2
HT-29	V600E	138 ± 13	2
Colo-205	V600E	147 ± 0.6	2

30 **Test 3: In Vivo Pharmacology of Crystalline Form A\* of Compound 1 Sesqui-Maleate Tumor Transplantation Methods:**

0 [0207] Human cancer cells were inoculated subcutaneously in the right axilla region of  
 BALB/C nude mice to generate tumor xenografts. On the day of implantation, the cell culture  
 medium was replaced with fresh medium. Three hours later, the media was removed and the  
 cells were collected and re-suspended in cold (4 °C) PBS prior to inoculation. The right axilla  
 region of each mouse was cleaned with 70% ethanol prior to cell inoculation. Each animal was  
 5 injected subcutaneously with desired cells in 200 µl of cell suspension in the right front flank  
 via a 26-gauge needle. After implantation tumor volumes were measured twice weekly in two  
 dimensions using a calliper. Tumor volume was calculated using the formula:  $V = 0.5 \times (a \times b^2)$   
 where a and b are the long and short diameters of the tumor, respectively.

1 [0208] For in vivo efficacy studies, when the average tumor size reaches 100~200 mm<sup>3</sup>,  
 5 animals were assigned into desired number of groups with 6-10 mice per group using a  
 stratified randomization procedure. The groups consisted of a control group (no drug  
 treatment), and different dose levels of Crystalline Form A\* of Compound 1 Sesqui-Maleate  
 treatment groups (ranged from 1-20 mg/kg). All doses were based on free-base weight. Drugs  
 10 were administered by oral gavage once (qd) or twice (bid) daily; dosing frequency depended on  
 the individual case for tumor growth. Treatments were administered by oral gavage (p.o.) in a  
 volume of 10 ml/kg body weight. Body weight was assessed immediately before dosing and  
 the volume dosed was adjusted accordingly. Individual body weights and tumor volumes were  
 determined twice weekly, with mice being monitored daily for clinical signs of toxicity for the  
 2 duration of the study. Mice were euthanized using carbon dioxide once their tumor volume  
 5 reached  $\geq 2000$  mm<sup>3</sup>, the tumor was ulcerated, or body weight loss exceeded 20%. All group  
 data was analyzed using the t test. If the p value was  $<0.05$ , it was considered to be statistically  
 significant.

5 [0209] Tumor growth inhibition (TGI) was calculated using the following formula:

$$\% \text{ growth inhibition} = 100 \times \left( 1 - \left( \frac{(\text{treated } t) - (\text{treated } t_0)}{(\text{placebo } t) - (\text{placebo } t_0)} \right) \right)$$

2

treated t = treated tumor volume at time t

treated t<sub>0</sub> = treated tumor volume at time 0

placebo t = placebo tumor volume at time t

10

placebo t<sub>0</sub> = placebo tumor volume at time 0

3 [0210] For PD study, when the average tumor size reaches 140-900 mm<sup>3</sup>, animals were  
 assigned into desired number of groups with 4 mice per group using a stratified randomization  
 procedure. The groups consisted of a control group (no drug treatment), and different dose  
 levels of Crystalline Form A\* of Compound 1 Sesqui-Maleate treatment groups (ranged from  
 10 1-20 mg/kg). All doses were based on free-base weight. Drugs were administered by oral  
 3 gavage once (qd) or twice (bid) daily. All treatments were administered by oral gavage (p.o.)

in a volume of 10 ml/kg body weight. Body weight was assessed immediately before dosing and the volume dosed was adjusted accordingly. Mice were euthanized using carbon dioxide at desired time points after dosing. Tumor tissues were dissected immediately after euthanization and snap-frozen into the tube pre-filled with MP beads using liquid nitrogen and 5 stored at -80 °C prior to p-ERK assay. Five hundred microliters of complete lysis buffer was added to the frozen tumors with MP beads. Homogenization of tumor tissues was performed in MP homogenization unit and lysates were then spun down at 13,000 rpm for 10 min at 4 °C to remove insoluble material. Two microgram of protein lysates were used to measure phosphorylated ERK1/2 level by AlphaScreen® SureFire® p-ERK1/2 assay (PerkinElmer).

10

**Results:**

[0211] Compound 1 Sesqui-Maleate (Crystalline Form A\*) has potent kinase inhibitory activity against B-RAF V600E, antiproliferative activity in cell-based assays and antitumor activity in xenograft models. Compound 1 Sesqui-Maleate (Crystalline Form A\*) has marked 15 antitumor activity against human A375 melanoma (B-RAF V600E mutation), LOX melanoma (B-RAF V600E mutation), Colo205 colorectal carcinoma (B-RAF V600E mutation), HT29 colorectal carcinoma(B-RAF V600E mutation), WiDr colorectal carcinoma (B-RAF V600E mutation), HCC827 lung carcinoma (EGFR mutation), and A431 epidermoid carcinoma (EGFR overexpression) xenografts in nude mice. In addition, Compound 1 Sesqui-Maleate 20 (Crystalline Form A\*) showed remarkable antitumor activity in human Calu-6 lung adenocarcinoma (K-RAS mutation) tumors when combined with docetaxel or the MEK inhibitor, selumetinib (AZD6244).

[0212] Oral administration of Crystalline Form A\* of Compound 1 Sesqui-Maleate resulted in 25 time-dependent and dose-dependent inhibition of ERK1/2 phosphorylation in A375 xenografts in mice. Inhibition of p-ERK levels in tumor tissues correlates well with plasma and tumor drug concentrations of Compound 1. Additionally, Compound 1 Sesqui-Maleate (Crystalline Form A\*) and MEK inhibitor AZD6244 demonstrated a strong synergistic effect on inhibiting p-ERK in Calu-6 K-RAS mutant non-small cell lung adenocarcinoma xenograft models.

30 **Test 5: Toxicology of Compound 1 Sesqui-Maleate (Crystalline Form A\*)**

[0213] A comprehensive nonclinical toxicity study program, including 28-day GLP studies in rats and dogs and several investigational studies, was conducted for the evaluation of the 35 preclinical safety of Compound 1 Sesqui-Maleate (Crystalline Form A\*). These studies took account the available regulatory guidance for preclinical development of anticancer drugs. In these studies, Compound 1 Sesqui-Maleate demonstrated a favorable toxicology and safety pharmacology profile. Safety pharmacological test results showed that Compound 1 Sesqui-

Maleate (Crystalline Form A\*) had no effect on respiration, blood pressure and circulatory function, and no effect on autonomic nervous system activity or the central nervous system. Additional toxicity testing results showed no teratogenic, mutagenic and reproductive toxicity

5 **Test 6: Pharmacokinetics of Crystalline Form A\* of Compound 1 Sesqui-Maleate**

[0214] The fully-validated LC-MS/MS method was well used for the pharmacokinetic (PK) studies of Crystalline Form A\* of Compound 1 Sesqui-Maleate in Sprague-Dawley rats and beagle dogs following single- and multiple-dose administrations.

10 [0215] Crystalline Form A\* of Compound 1 Sesqui-Maleate has high oral bioavailability in both rats (51% to 102%) and dogs (41% to 82%). Its elimination half-lives ranged from 4.4 to 9.4 hours in rats and 3.3 to 4.9 hours in dogs after oral administration.

15 [0216] The kinetics was linear over the dose range of 0.5 to 15 mg/kg in rats and 1.5 to 15 mg/kg in dogs. After multiple dosing, a slight accumulation (~2-fold) was observed in rats. This slight accumulation was statistically significant in female rats, but not in male rats. No accumulation was noted after multiple dosing in dogs.

**Test 7: ADME of Crystalline Form A\* of Compound 1 Sesqui-Maleate**

20 [0217] Compound 1 was widely distributed to various tissues, but was low in brain tissue, indicating the drug does not easily cross the blood-brain barrier. No significant induction effect on P450 enzymes in rat liver was found, no inhibitory activity on drug metabolism enzymes was found for Compound 1 Sesqui-Maleate, except for weak inhibition on CYP2C8 (IC<sub>50</sub> = 1.03 μM) and CYP2C19 (IC<sub>50</sub> = 1.96 μM). CYP3A was the major CYP isoform responsible for Compound 1 metabolism while CYP1A2, CYP2C8, CYP2C9, CYP2C19, and CYP2D6 contribute to the metabolism of Compound 1 to a lesser extent.

25

**Test 8: Pharmacokinetic Comparison of the Crystalline Form A\* of Compound 1 Sesqui-Maleate and Free Base**

30 [0218] Drugs and reagents: Crystalline Form A\* of Compound 1 Sesqui-Maleate with particle sizes of D<sub>90</sub>=4.1um, D<sub>10</sub>=1.5um, D<sub>50</sub>=2.4um after micronization. The material content (purity) was not less than 98.0%.

[0219] Experiment animals: Beagle dogs, male and female.

35 [0220] Pharmaceutical preparation: Weigh the appropriate amount of each substance and disperse in 0.5% sodium carboxymethyl cellulose (for the free base of Compound 1) or 0.5% methyl cellulose solution(for Compound 1 Sesqui-Maleate). Prepare a suspension at the desired concentration of Compound 1. All the doses and concentrations of Compound 1 were calculated with free base in this study.

Administration and sample collection: The dosing solutions will be freshly prepared prior to dose administration. The actual body weights and actual volume injected will be recorded accordingly. The dogs were fasted overnight and were allowed to intake food four hours after dosing. Each suspension was administrated orally to dogs at a dose ranged from 0.5 to

- 5 15mg/kg. Blood samples (~1.0 mL) will be collected at pre-dose and at different times up to 36 hours postdosing via cephalic vein plexus. Whole blood will be processed by centrifugation and plasma samples will be collected and kept at freezer prior to analysis. Plasma samples were processed by protein precipitation. Concentrations of Compound 1 in the plasma samples were determined using a validated liquid chromatography-tandem mass spectrometry (LC-MS/MS) 10 method. The plasma concentration-time data were analyzed using a non-compartmental model using Pharsight WinNonlin.

**Table 20. The  $C_{max}$  and area under the concentration-time curve for Compound 1 in free base and salts.**

Compound 1	Oral Dose (mg/kg)	$C_{max}$ (ng/mL)	Dose-Normalized $AUC_{0-\infty}$ (ng·h·kg·mL <sup>-1</sup> ·mg <sup>-1</sup> )
Free Base (Lyophilized Amorphous Form)	1	257	1512
HCl salt of Compound 1	1	470	3640
Sesqui-Maleate (Micronized Crystalline Form A*)	0.5	342	4178
	1	607	2960
	1.5	861	3726
	4.5	2884	4390
	15	5307	2841

- 15 [0221] The above experiment showed that the  $C_{max}$  (ng/mL) and  $AUC_{0-\infty}$  (ng·h/mL) of Crystalline Form A\* of Compound 1 Sesqui-Maleate were as high as approximately 2-3 times that of the free base form. Therefore, Crystalline Form A\* of Compound 1 Sesqui-Maleate has significantly better relative bioavailability than the free base of Compound 1 (amorphous form).

20 **Test 9: Clinical Trials**

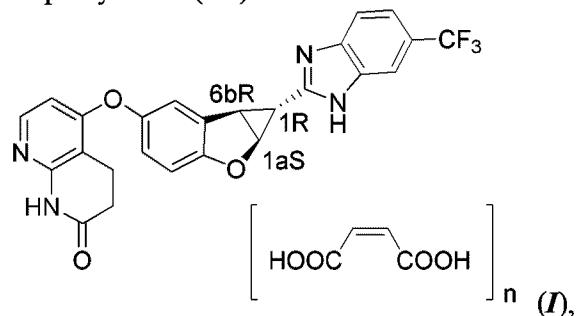
- [0222] Using Crystalline Form A\* of Compound 1 Sesqui-Maleate to prepare capsules, a Phase I clinical safety study was completed on 25 subjects administered single doses of 5, 10, 20, 30, 40 and 50 mg. The results showed that 5-50 mg single doses were safe and well tolerated. The Compound 1 treatment caused partial responses in BRAF V600E melanoma 25 patients, partial responses in BRAF V600E PTC patients and some anti-tumor activities in BRAF V600E CRC patients. 16 KRAS cancer patients were also recruited and treated, 3 PR

and at least 12 patients survived more than 2.2 months (a mean PFS reported for KRAS cancer patients without treatment, see *Oncotarget*, **5**, 19, 2014). These preliminary data demonstrated that Compound 1 Sesqui-Maleate (Crystalline Form A\*) was effective in the treatment of Braf- and K-ras mutant cancers.

- 5 [0223] The foregoing examples and description of certain embodiments should be taken as illustrating, rather than as limiting the present invention as defined by the claims. As will be readily appreciated, numerous variations and combinations of the features set forth above can be utilized without departing from the present invention as set forth in the claims. All such variations are intended to be included within the scope of the present invention. All references  
10 cited are incorporated herein by reference in their entireties.
- [0224] It is to be understood that, if any prior art publication is referred to herein, such reference does not constitute an admission that the publication forms a part of the common general knowledge in the art in any country.
- [0225] In the claims which follow and in the preceding description of the invention, except  
15 where the context requires otherwise due to express language or necessary implication, the word “comprise” or variations such as “comprises” or “comprising” is used in an inclusive sense, i.e., to specify the presence of the stated features but not to preclude the presence or addition of further features in various embodiments of the invention.
- [0226] The disclosures of all publications, patents, patent applications and published patent  
20 applications referred to herein by an identifying citation are hereby incorporated herein by reference in their entirety.

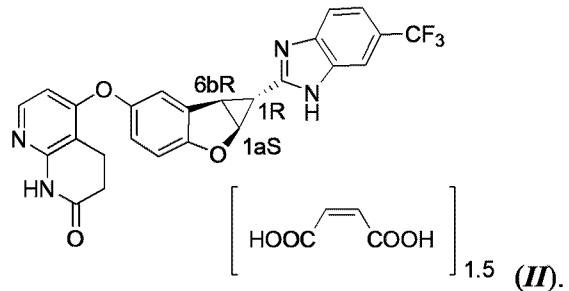
## CLAIMS

1. A salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one, which is selected from hydrochloride salt, methanesulfonate salt, 2-hydroxyethanesulfonate salt, maleate salt and oxalate salt, wherein the salt is in solid-state.
2. The salt of Claim 1, which is in a crystalline form.
3. A compound of Formula (I), which is a maleate salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one:



wherein  $n$  is a number from 0.3 to 1.5.

4. The compound of Claim 3, wherein  $n$  is a number selected from the group consisting of  $0.5 \pm 0.05$ ,  $1.0 \pm 0.1$ , and  $1.5 \pm 0.2$ .
5. The compound of Claim 3, wherein  $n$  is 0.5, 1.0, or 1.5.
6. The compound of any one of Claims 3 to 5, wherein the compound is in a crystalline form.
7. The compound of Claim 6, wherein  $n$  is 1.5, and the compound is a crystalline sesquimaleate salt of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one of Formula:



8. The compound of claim 7, which is in Crystalline Form A\* and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of:  $6.3 \pm 0.2$ ,  $8.9 \pm 0.2$ ,  $9.4 \pm 0.2$ ,

11.2±0.2, 12.6±0.2, 13.4±0.2, 17.9±0.2, 18.6±0.2, 18.8±0.2, 19.3±0.2, 20.1±0.2, 20.7±0.2, 21.2±0.2, 21.8±0.2, 22.4±0.2, 22.6±0.2, 23.3±0.2, 23.8±0.2, 24.7±0.2, 25.6±0.2, 26.1±0.2, 27.4±0.2, 28.3±0.2, 28.6±0.2, 29.0±0.2, 29.4±0.2, and 30.4±0.2 degrees.

9. The compound of Claim 7, which is in Crystalline Form A\*\* and is a single crystal characterized as follows:

Crystal system: monoclinic;

Space group: C2;

Unit cell dimensions:

$a = 19.7026(5)$  Å;

$b = 5.1350(2)$  Å;

$c = 28.4299(8)$  Å;

$\alpha = 90.00$  deg.;

$\beta = 93.692(2)$  deg.; and

$\gamma = 90.00$  deg.

10. The compound of Claim 7, which is in Crystalline Form A and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of: 8.3±0.2, 11.2±0.2, 17.9±0.2, 18.4±0.2, 18.6±0.2, 19.3±0.2, 20.8±0.2, and 22.5±0.2 degrees.

11. The compound of Claim 3, which is in Crystalline Form B and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of: 11.1±0.2, 15.8±0.2, 17.7±0.2, 18.4±0.2, 19.6±0.2, 22.3±0.2, 23.1±0.2, and 28.8±0.2 degrees.

12. The compound of Claim 3, which is in Crystalline Form C and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of: 3.1±0.2, 8.8±0.2, 11.2±0.2, 17.8±0.2, 18.5±0.2, 19.3±0.2, 20.1±0.2, 20.7±0.2, 21.9±0.2, and 22.4±0.2 degrees.

13. The compound of Claim 3, which is in Crystalline Form D and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of: 8.9±0.2, 14.9±0.2, 16.7±0.2, 17.8±0.2, 19.9±0.2, 20.4±0.2, 20.9±0.2, and 26.9±0.2 degrees.

14. The compound of Claim 3, which is in Crystalline Form F and is characterized by a powder X-ray diffraction pattern comprising three or more diffraction peaks having  $2\theta$  angle values independently selected from the group consisting of: 12.9±0.2, 17.0±0.2, 18.5±0.2, 19.4±0.2, 20.5±0.2, 22.5±0.2, and 24.1±0.2 degrees.

15. The compound of Claim 3, which is in Crystalline Form G and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.4±0.2, 5.6±0.2, 7.0±0.2, 10.3±0.2, 10.9±0.2, 11.7±0.2, 12.4±0.2, 13.1±0.2, 14.0±0.2, 14.9±0.2, 16.4±0.2, 17.4±0.2, 18.6±0.2, 19.3±0.2, 20.1±0.2, 21.0±0.2, 21.9±0.2, 23.6±0.2, 24.2±0.2, 25.6±0.2, and 26.4±0.2 degrees.

16. The compound of Claim 3, which is in Crystalline Form H and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 6.3±0.2, 9.0±0.2, 10.1±0.2, 11.2±0.2, 12.7±0.2, 14.5±0.2, 16.1±0.2, 16.6±0.2, 17.9±0.2, 18.1±0.2, 18.5±0.2, 19.0±0.2, 20.1±0.2, 21.9±0.2, 22.4±0.2, 23.9±0.2, 25.1±0.2, 26.2±0.2, and 28.7±0.2 degrees.

17. The compound of Claim 3, which is in Crystalline Form I and is characterized by a powder X-ray diffraction pattern comprising five or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.1±0.2, 5.5±0.2, 6.8±0.2, 10.8±0.2, 11.5±0.2, 13.7±0.2, 16.1±0.2, 16.3±0.2, 17.8±0.2, 19.8±0.2, 21.5±0.2, 23.8±0.2, 24.4±0.2, and 28.3±0.2 degrees.

18. The compound of Claim 3, which is in Crystalline Form J and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 5.5±0.2, 8.2±0.2, 10.9±0.2, 11.3±0.2, 13.6±0.2, 14.8±0.2, 15.8±0.2, 17.4±0.2, 18.0±0.2, 19.1±0.2, 19.8±0.2, 20.0±0.2, 20.4±0.2, 21.1±0.2, 21.9±0.2, 22.6±0.2, 23.4±0.2, 24.1±0.2, 25.0±0.2, 26.1±0.2, 26.9±0.2, 27.3±0.2, 28.4±0.2, 29.1±0.2, 33.1±0.2, and 35.9±0.2 degrees.

19. The compound of Claim 3, which is in Crystalline Form K and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.1±0.2, 8.9±0.2, 9.3±0.2, 11.2±0.2, 16.7±0.2, 17.9±0.2, 18.6±0.2, 18.8±0.2, 19.4±0.2, 20.2±0.2, 21.9±0.2, 22.4±0.2, 23.4±0.2, 23.9±0.2, 24.6±0.2, 26.2±0.2, 27.4±0.2, 28.5±0.2, 29.4±0.2, and 30.4±0.2 degrees.

20. The compound of Claim 3, which is in Crystalline Form M and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 5.0±0.2, 9.3±0.2, 11.3±0.2, 14.9±0.2, 15.9±0.2, 17.4±0.2, 18.0±0.2, 18.7±0.2, 19.4±0.2, 20.2±0.2, 22.1±0.2, 23.4±0.2, 24.3±0.2, 25.4±0.2, 26.5±0.2, 27.5±0.2, 28.5±0.2, and 29.3±0.2 degrees.

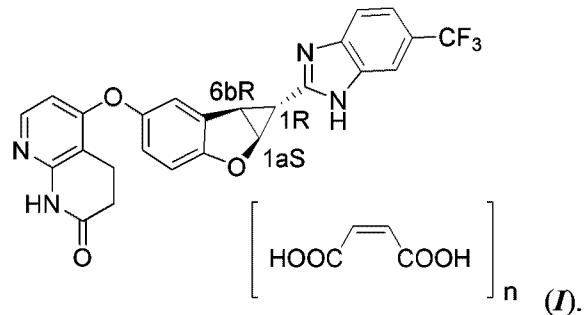
21. The compound of Claim 3, substantially characterized by a powder X-ray diffraction pattern selected from the group consisting of **Fig. 2** for Crystalline Form A\*, **Fig. 16** for Crystalline Form A\*\*, **Fig. 17** for Crystalline Form A, **Fig. 18** for Crystalline Form B, **Fig. 19**

for Crystalline Form C, **Fig. 20** for Crystalline Form D, **Fig. 21** for Crystalline Form F, **Fig. 22** for Crystalline Form G, **Fig. 23** for Crystalline Form H, **Fig. 24** for Crystalline Form I, **Fig. 25** for Crystalline Form J, **Fig. 26** for Crystalline Form K, and **Fig. 28** for Crystalline Form M.

22. A compound of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one Maleate (1:1), which is in crystalline form N and is characterized by a powder X-ray diffraction pattern comprising seven or more diffraction peaks having 2 $\theta$  angle values independently selected from the group consisting of: 3.30±0.2, 6.61±0.2, 9.88±0.2, 11.73±0.2, 13.14±0.2, 15.23±0.2, 16.56±0.2, 17.94±0.2, 18.72±0.2, 19.34±0.2, 19.93±0.2, 20.76±0.2, 22.04±0.2, 22.95±0.2, 23.86±0.2, 25.19±0.2, 26.61±0.2, 28.36±0.2, 30.13±0.2, 31.36±0.2, 33.49±0.2, and 37.22±0.2.

23. The compound according to Claim 22, which substantially characterized by a powder X-ray diffraction pattern as shown in **Fig. 33** for crystalline form N.

24. A method for preparing a crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt of Formula (I), comprising any one of the following procedures:



(a) dissolving free base or a salt other than maleate of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one in a solvent or solvent mixture to form a solution or suspension; mixing the resultant solution or suspension with maleic acid to form a mixture; and precipitating out 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form A\*, A\*\*, A, B, C, D, F, G, H, or I;

(b) dissolving or suspending 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate salt in a solvent or solvent mixture; and precipitating out 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-

dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt in a target crystalline form A\*, A\*\*, A, B, C, D, F, G, H, or I;

(c) storing a crystalline 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-

2(1H)-one maleate salt for an extended period to obtain a target crystalline form K or M; and

(e) exposing a crystalline or amorphous 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate salt to a vapor of a solvent to obtain a target crystalline form J.

**25.** The method of Claim 24, wherein said procedure (a) or (b) further comprises one or more procedures independently selected from heating, filtering to remove undissolved impurities, distilling solvent, adding a counter solvent or solvent mixture, adding crystal seeds, adding precipitation inducing agent(s), cooling, precipitating, and filtering to collect the crystalline product.

**26.** The method of Claim 24 or 25, comprising said procedure (a) or (b), wherein the solvent or solvent mixture is selected from the group consisting of water, lower alkyl alcohols, ketones, ethers, esters, lower aliphatic carboxylic acids, lower aliphatic nitriles, optionally halogenated aromatic solvents, and combinations thereof.

**27.** The method of Claim 24 or 25, wherein the solvent is isopropanol, ethanol, methanol, acetone, THF, 1,4-dioxane, acetic acid, acetonitrile, water, or a combination thereof.

**28.** The method of Claim 24, comprising said procedure (a), wherein said free base is an isolated and purified free base, an isolated but unpurified free base, or a crude reaction product containing the free base.

**29.** The method of Claim 24, comprising said procedure (c), wherein said extended period is at least three days, at least one week, or at least two weeks.

**30.** The method of Claim 24, comprising said procedure (d), wherein said elevated temperature is at least 40 °C, at least 60 °C, at least 80 °C, or at least 100 °C, but lower than decomposition temperature of the sesqui-maleate salt.

**31.** The method of Claim 24, comprising said procedure (e), wherein said vapor is a vapor of acetic acid.

**32.** The method of Claim 24, wherein:

1) said procedure (a) or (b) comprises using isopropanol-water (v/v > 60/40) as the solvent to produce Crystalline Form A\*;

2) said procedure (a) or (b) comprises using acetone as the solvent to produce Crystalline Form A\*\*;

- 3) said procedure (a) or (b) comprises using an i-PrOH-water (v:v=4:1) mixture as the solvent to produce Crystalline Form A;
- 4) said procedure (a) or (b) comprises using 1,4-dioxane as the solvent to produce Crystalline Form B;
- 5) said procedure (a) or (b) comprises using ethanol as the solvent to produce Crystalline Form C;
- 6) said procedure (a) or (b) comprises using methanol as the solvent to produce Crystalline Form D;
- 7) said procedure (a) or (b) comprises using an acetonitrile-water (v:v=1:1) mixture as the solvent to produce Crystalline Form F;
- 8) said procedure (a) or (b) comprises using an acetic acid-water mixture as the solvent to produce Crystalline Form G;
- 9) said procedure (a) or (b) comprises using tetrahydrofuran (THF) as the solvent to produce Crystalline Form H;
- 10) said procedure (a) or (b) comprises using an i-PrOH-water (v:v=3:1) mixture as the solvent to produce Crystalline Form I;
- 11) said procedure (c) comprises storing Crystalline Form D at ambient temperature for two weeks to produce Crystalline Form K;
- 12) said procedure (c) comprises storing Crystalline Form J at ambient temperature for two weeks to produce Crystalline Form M; and
- 13) said procedure (e) comprises interaction of Crystalline Form A with acetic acid vapor to produce Crystalline Form J.

33. A pharmaceutical composition comprising the compound according to any one of Claims 3 to 23 and a pharmaceutically acceptable carrier.

34. The pharmaceutic composition of Claim 33, wherein the pharmaceutical composition is suitable for oral administration.

35. The pharmaceutical composition of Claim 33, wherein the pharmaceutical composition is in the form of tablet or capsule.

36. The pharmaceutical composition of Claim 33, wherein the unit dosage of the tablet or capsule is 5-80 mg.

37. The pharmaceutical composition of Claim 33, wherein the weight percentage of the compound in the pharmaceutical composition is 1-99%.

38. Use of a compound according to any one of Claims 3 to 23 or a pharmaceutical composition according to any one of claims 33 to 37 in the manufacture of a medicament for treating or preventing cancer in a subject.

**39.** The use of Claim 38, wherein the cancer is selected from the group consisting of brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, and thyroid tumors.

**40.** The use of Claim 38, wherein the cancer is selected from the group consisting of BRAF mutant brain cancer, NRAS mutant brain cancer, KRAS mutant brain cancer, lung cancer, kidney cancer, bone cancer, liver cancer, bladder cancer, breast, head and neck cancer, ovarian cancer, melanoma, skin cancer, adrenal cancer, cervical cancer, colorectal cancer, lymphoma, and thyroid tumors.

**41.** The use of Claim 40, wherein the administered dosage of the compound according to any one of claims 3 to 23 is 1-100 mg/day, and the administration frequency is one to three times a day.

**42.** The use of Claim 40, wherein the administered dosage of the compound according to any one of Claims 3 to 23 is 5-50 mg/day, and the administration frequency is one to three times a day.

**43.** The use of Claim 40, wherein the administered dosage of the compound according to any one of Claims 3 to 23 is 10-40 mg/day, and the administration frequency is one time a day.

**44.** The use of any one of Claims 38 to 42, wherein said compound is 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate in a crystalline form selected from the group consisting of Crystalline Forms A\*, A\*\*, A, B, C, D, F, G, H, I, J, K, L, M and N.

**45.** A process for preparing a crystalline form A\*, A\*\*, or A of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate comprising:

- a) mixing at about 50°C a mixture of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one and maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or
- b) mixing at about 50°C 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one with a mixture or a suspension or a solution of maleic acid in a mixed solvent of i-PrOH and H<sub>2</sub>O, or
- c) mixing at about 50°C a mixture or a suspension or a solution of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-

cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one in a mixed solvent of i-PrOH and H<sub>2</sub>O with maleic acid,

wherein in each of (a), (b) and (c), the amount of i-PrOH is greater than 40 vol% in terms of the total volume of i-PrOH and water.

46. The process according to Claim 45, wherein in each of (a), (b) and (c), the amount of i-PrOH is greater than 60vol%, and preferably 90vol% in terms of the total volume of i-PrOH and water.

47. The process according to Claim 45, wherein the mixed solvent is replaced with i-PrOH.

48. The process according to any one of Claims 45 to 47, further comprising adding some crystal seeds into the resultant mixture after cooling to room temperature, and then letting the mixture stand for a certain duration.

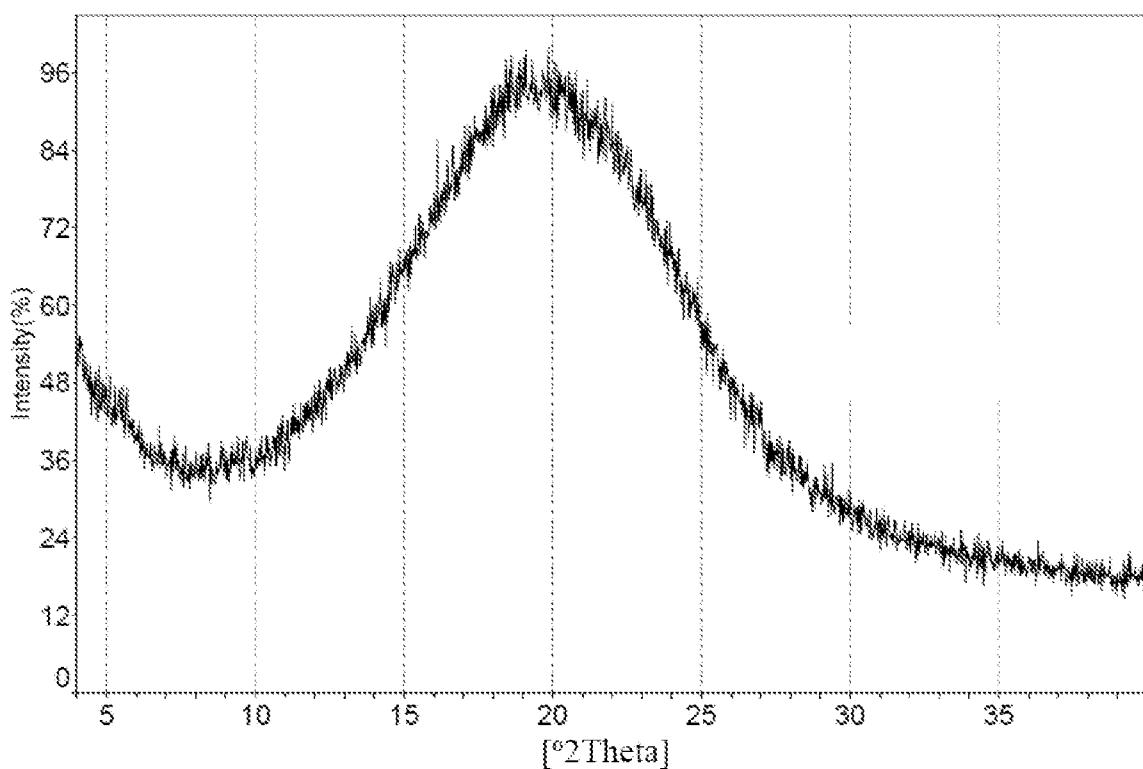
49. A process for preparing a crystalline form N of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one maleate (1:1) comprising mixing a crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate with methanol.

50. The process according to Claim 49, wherein the mixing is performed with stirring.

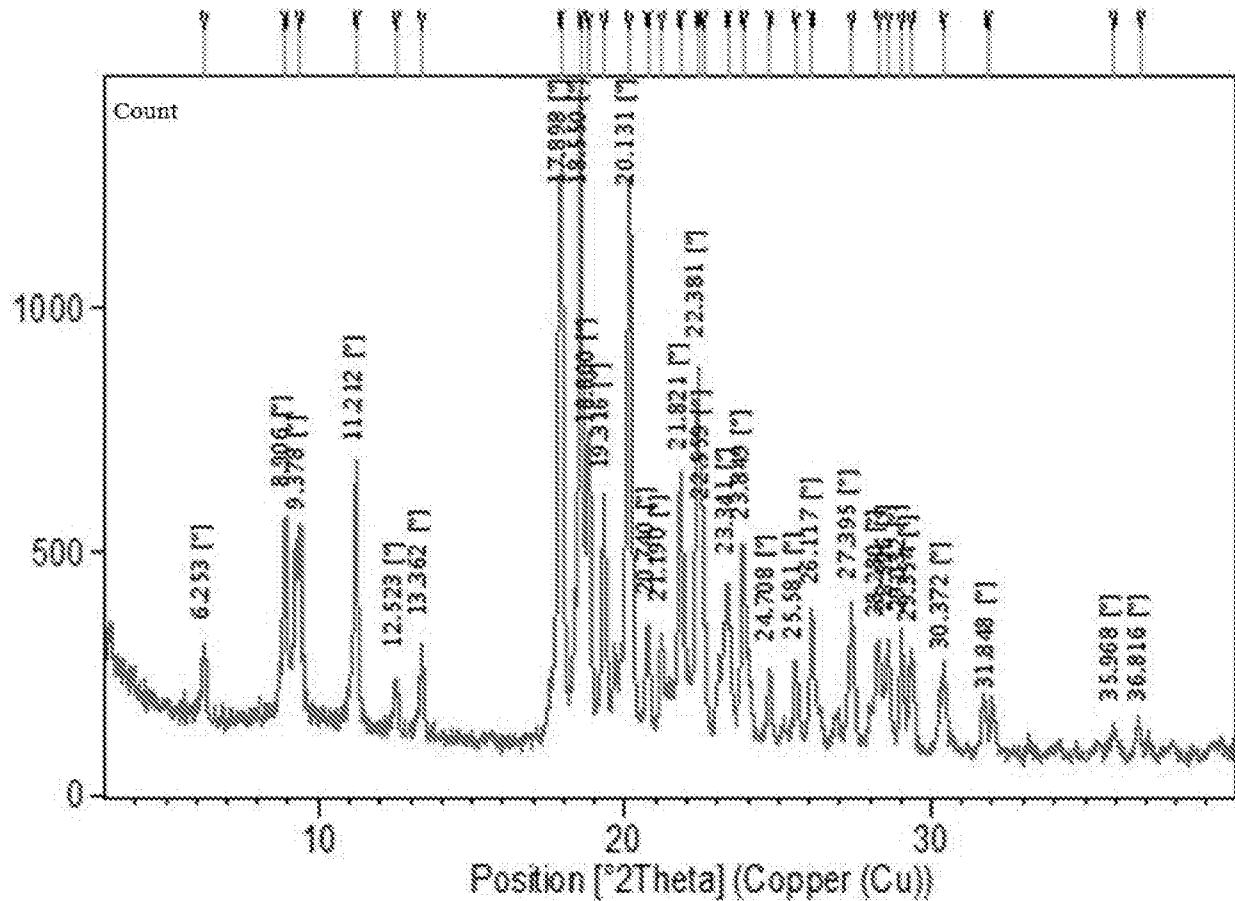
51. The process according to any one of Claims 49 or 50, wherein the crystalline form of 5-(((1R,1aS,6bR)-1-(6-(trifluoromethyl)-1H-benzo[d]imidazol-2-yl)-1a,6b-dihydro-1H-cyclopropa[b]benzofuran-5-yl)oxy)-3,4-dihydro-1,8-naphthyridin-2(1H)-one sesqui-maleate is any one selected from the group consisting of Crystalline Forms A\*, A\*\*, and A.

52. A compound according to any one of Claims 3 to 23 or a pharmaceutical composition according to any one of claims 33 to 37 for use in treating or preventing cancer in a subject.

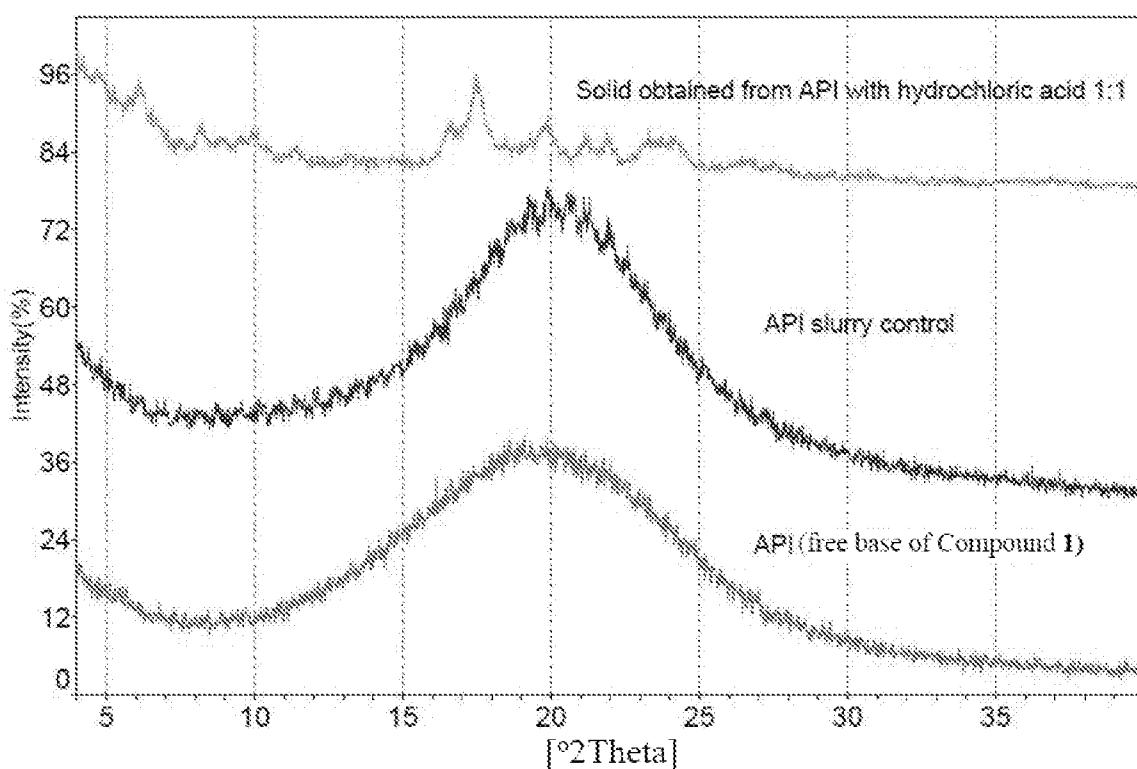
53. Use of a compound according to any one of Claims 3 to 23 or a pharmaceutical composition according to any one of claims 33 to 37 for treating or preventing cancer in a subject.



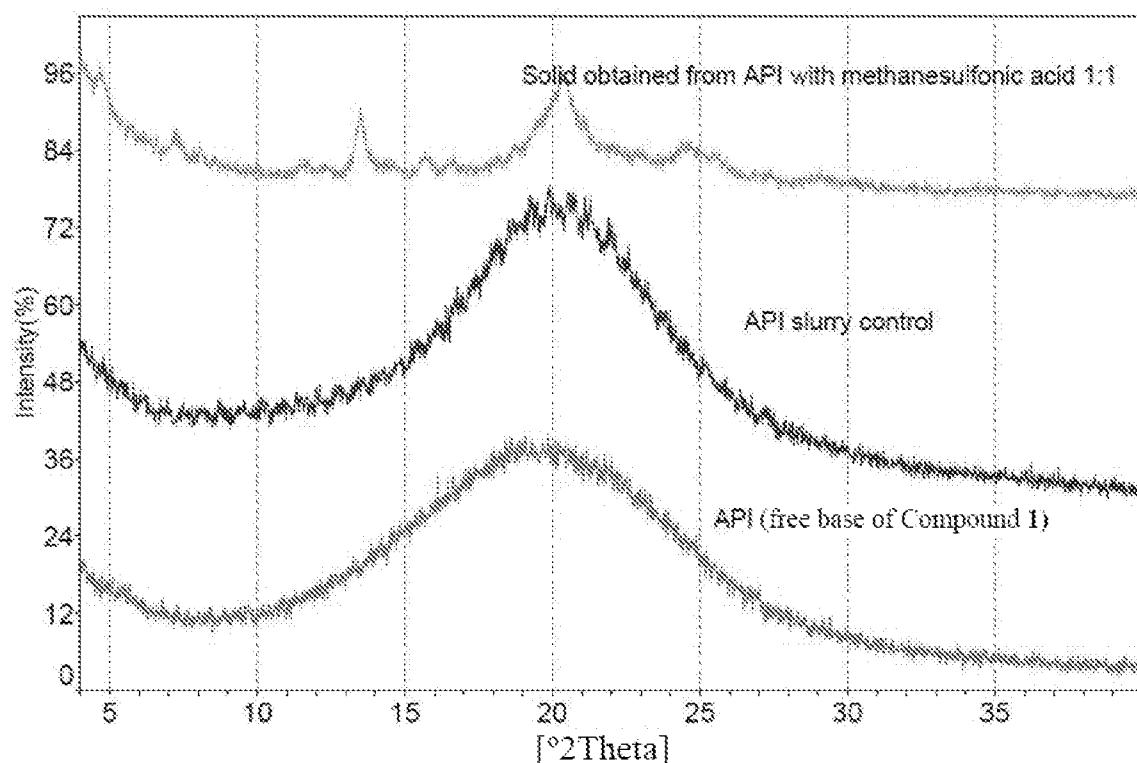
**Fig. 1**



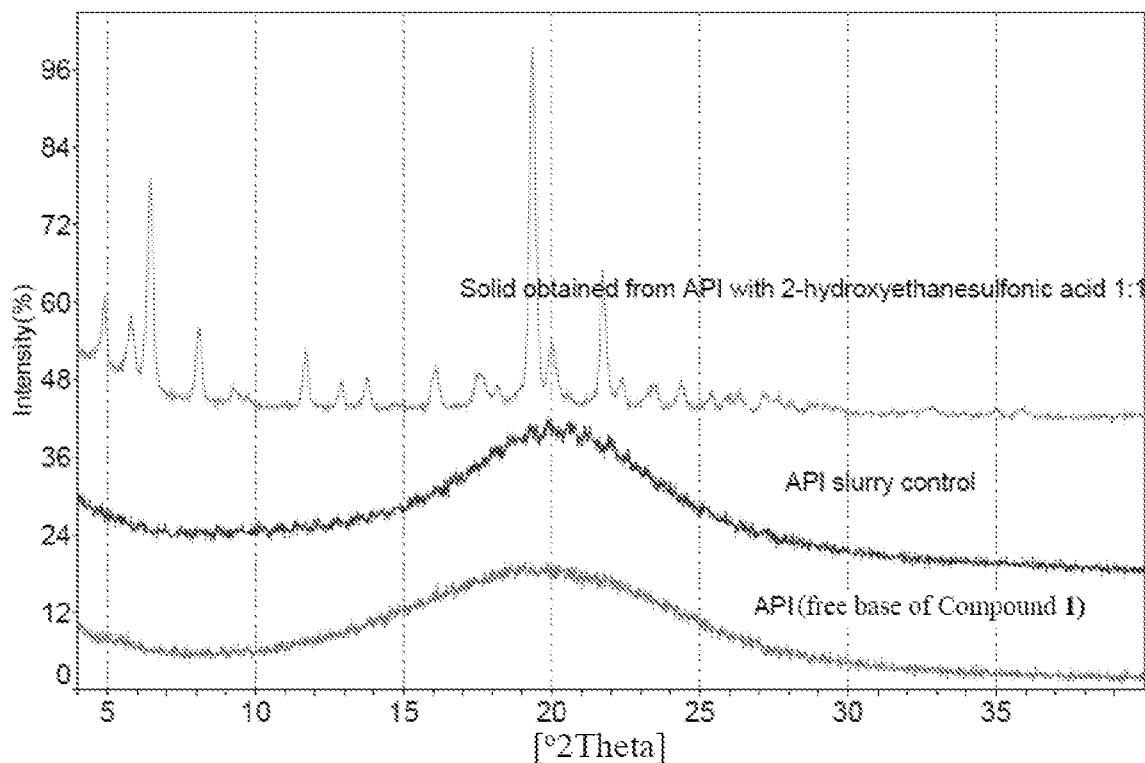
**Fig. 2**



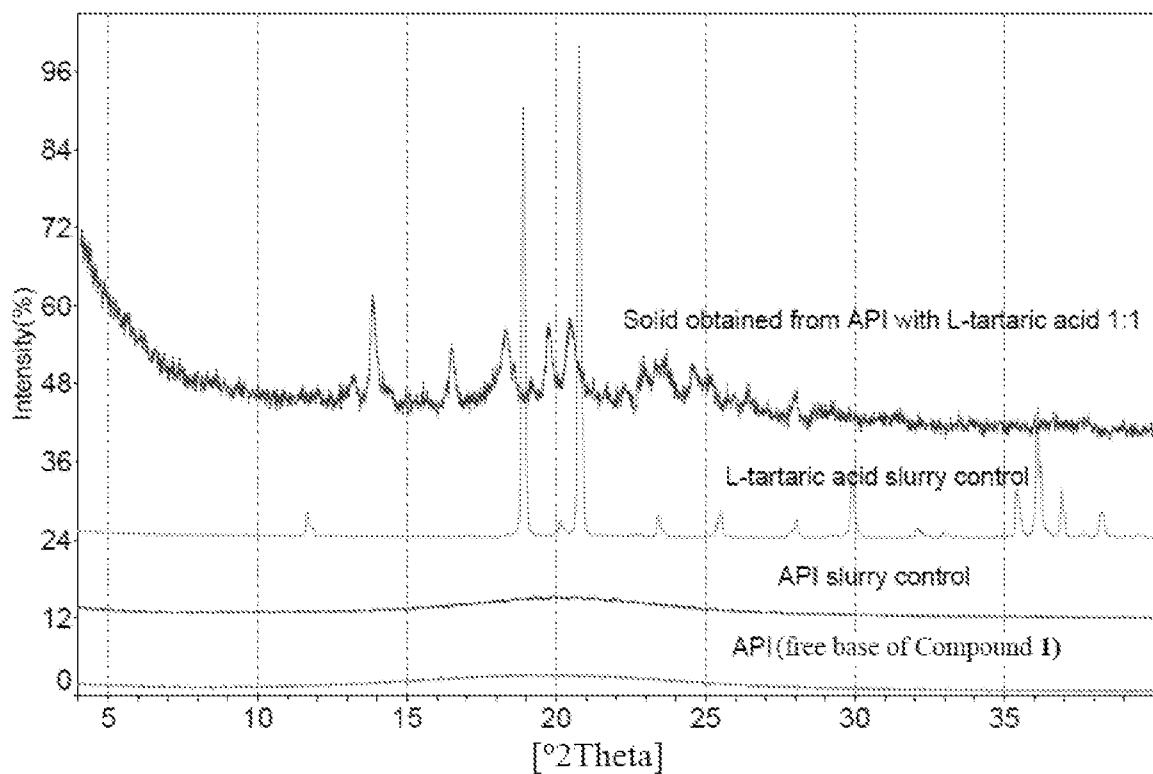
**Fig. 3**



**Fig. 4**



**Fig. 5**



**Fig. 6**

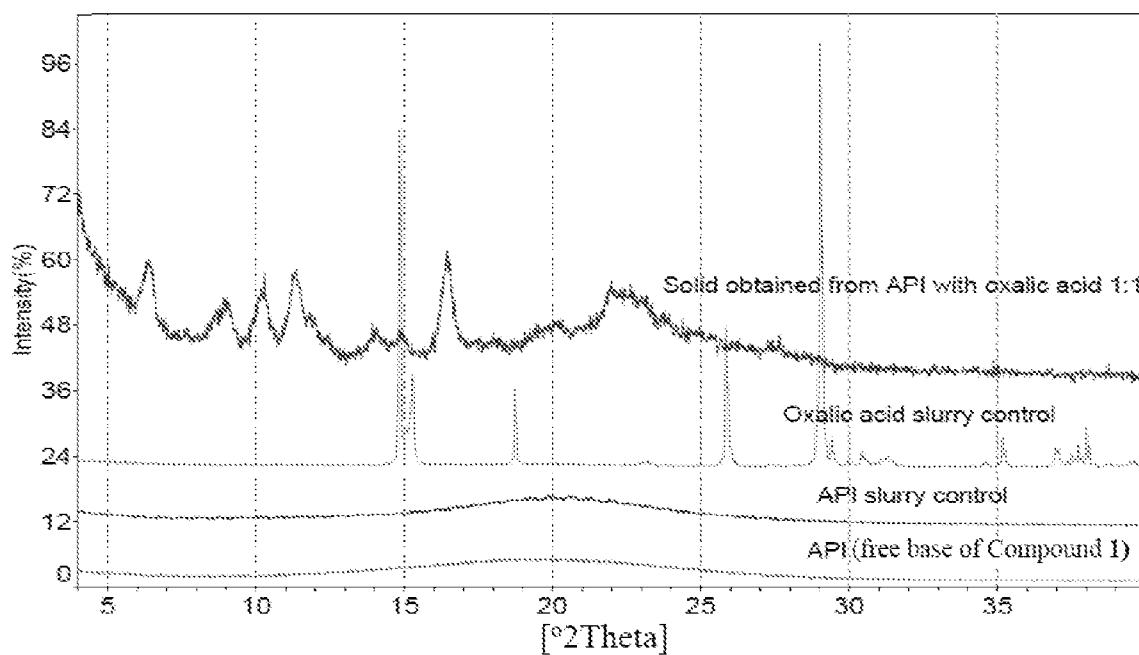


Fig. 7

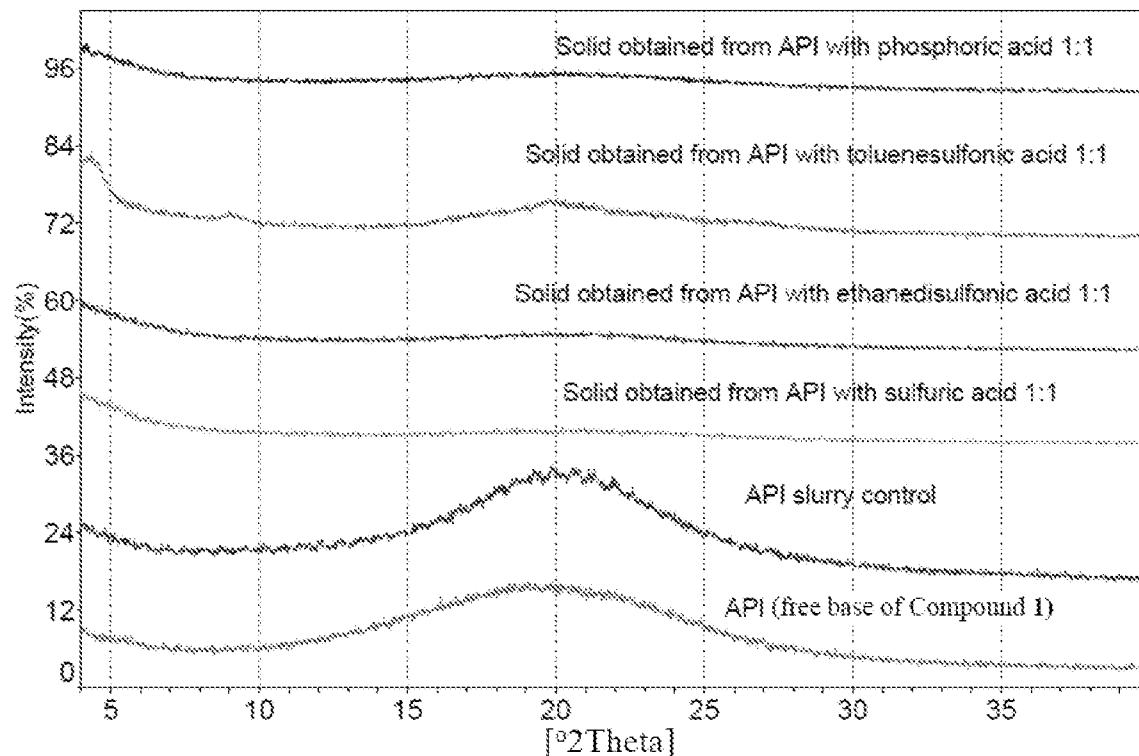
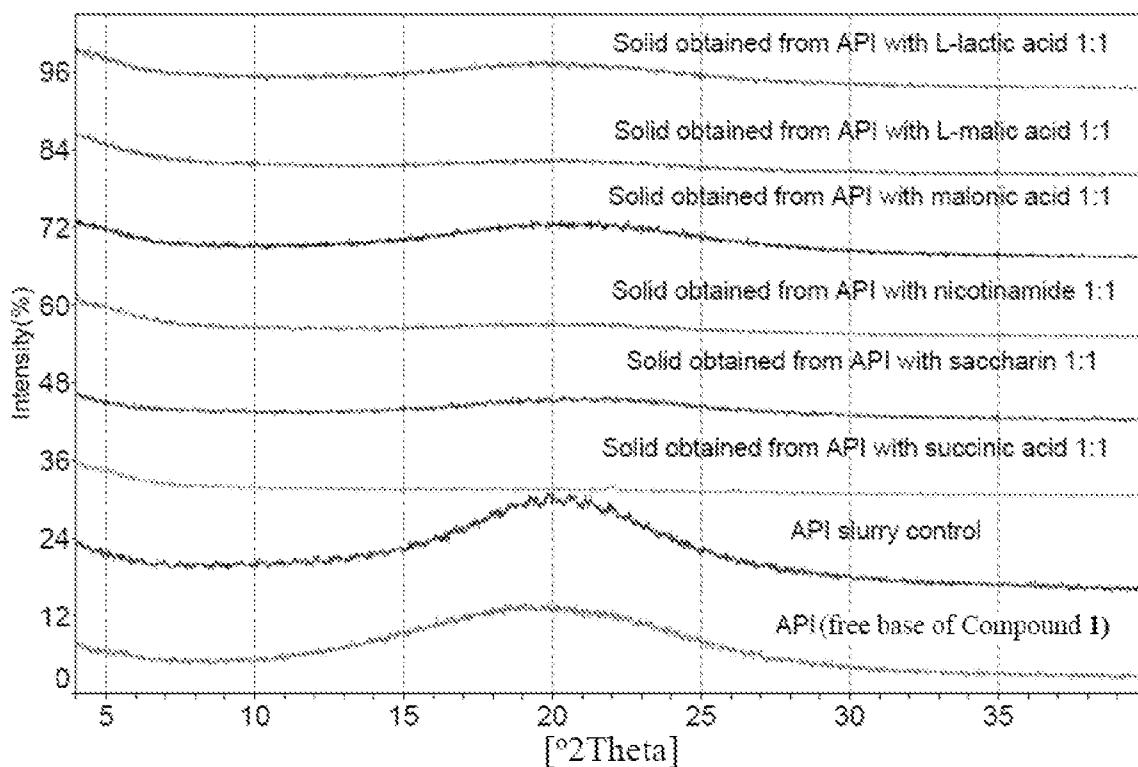
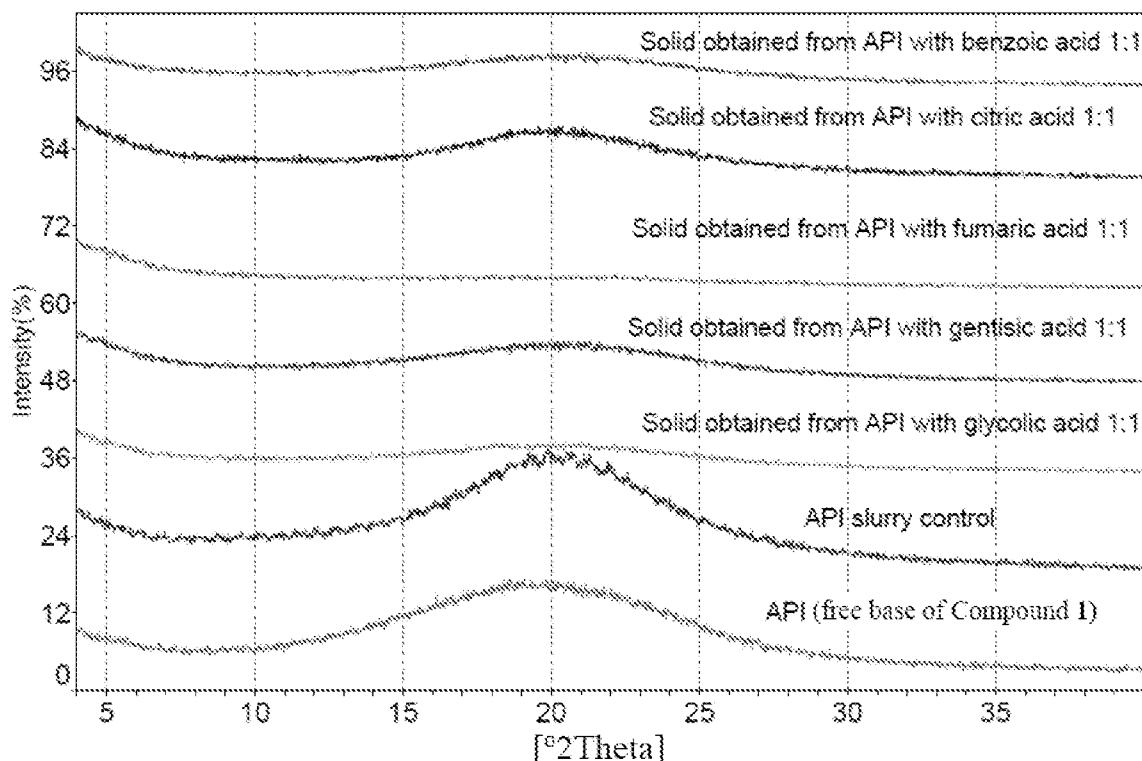
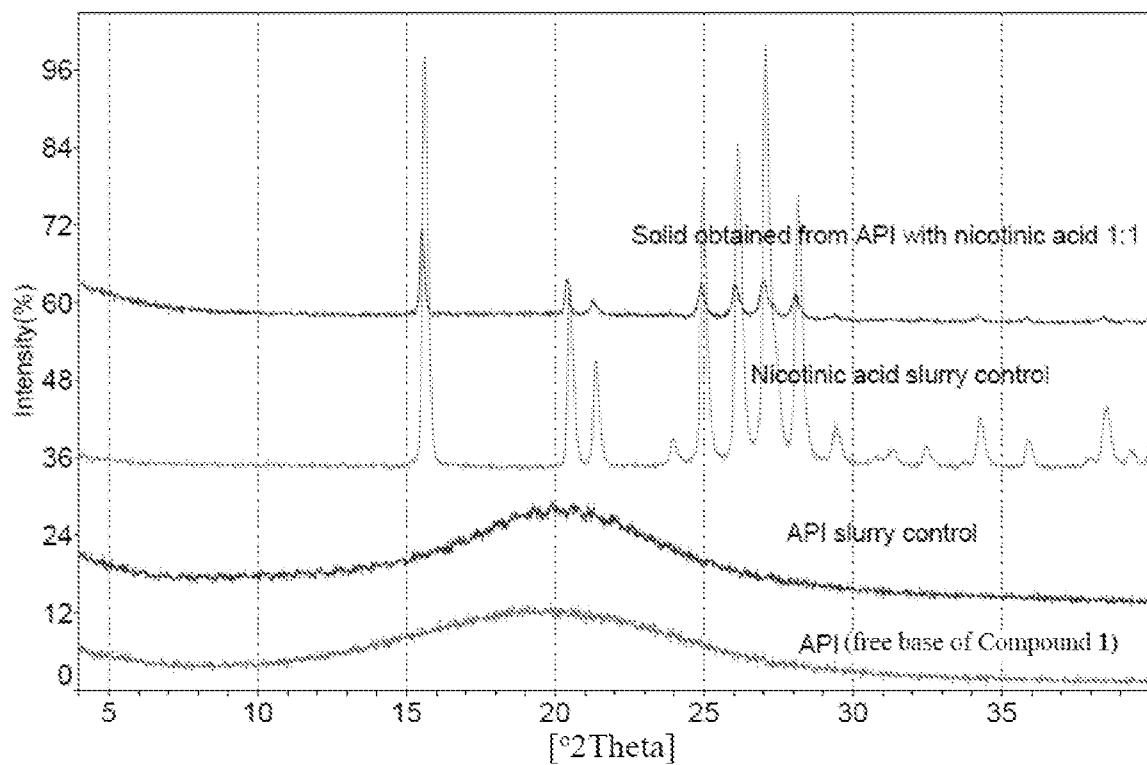
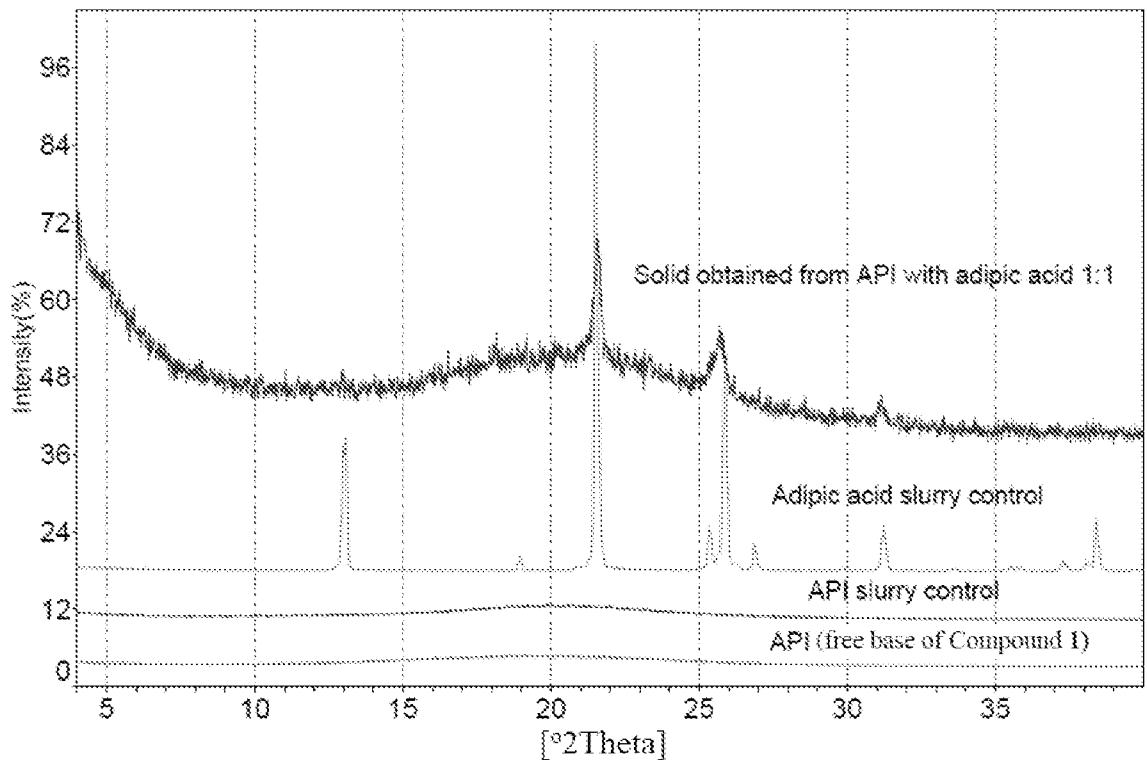


Fig. 8

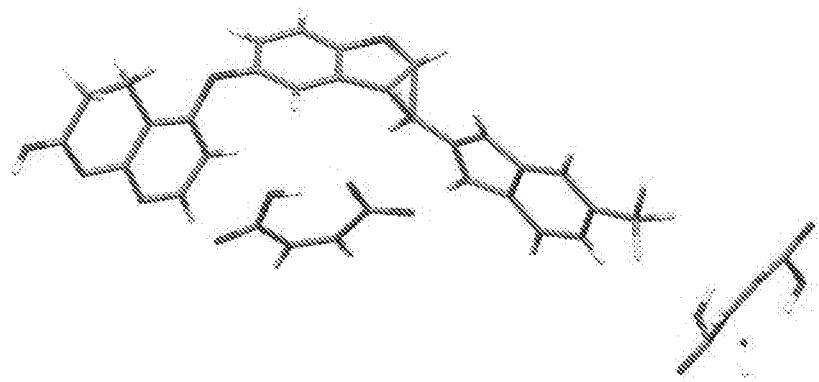
**Fig. 9****Fig. 10**



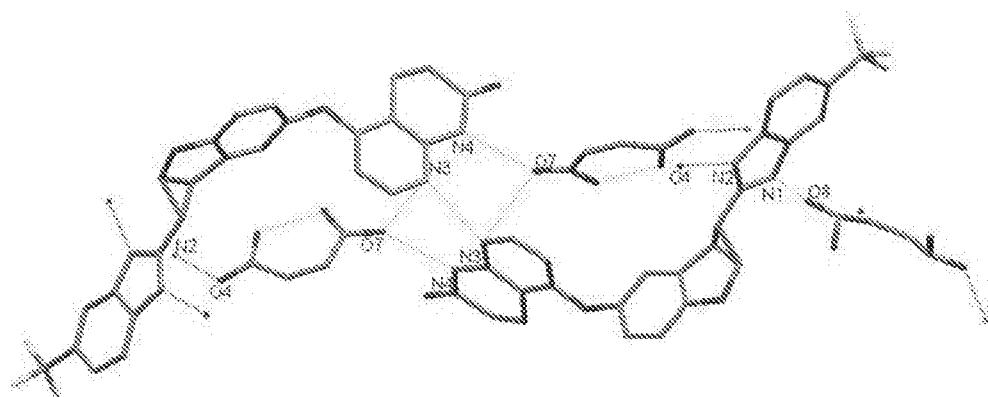
**Fig. 11**



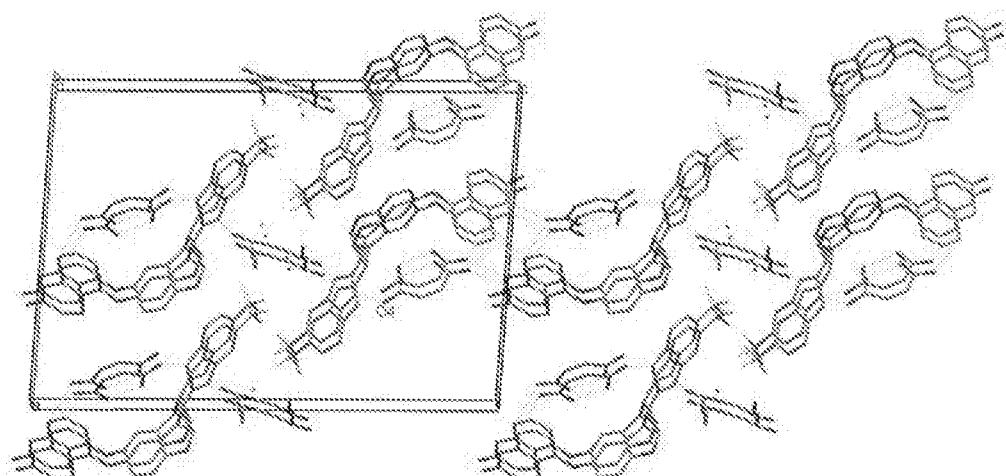
**Fig. 12**



**Fig. 13**



**Fig. 14**



**Fig. 15**

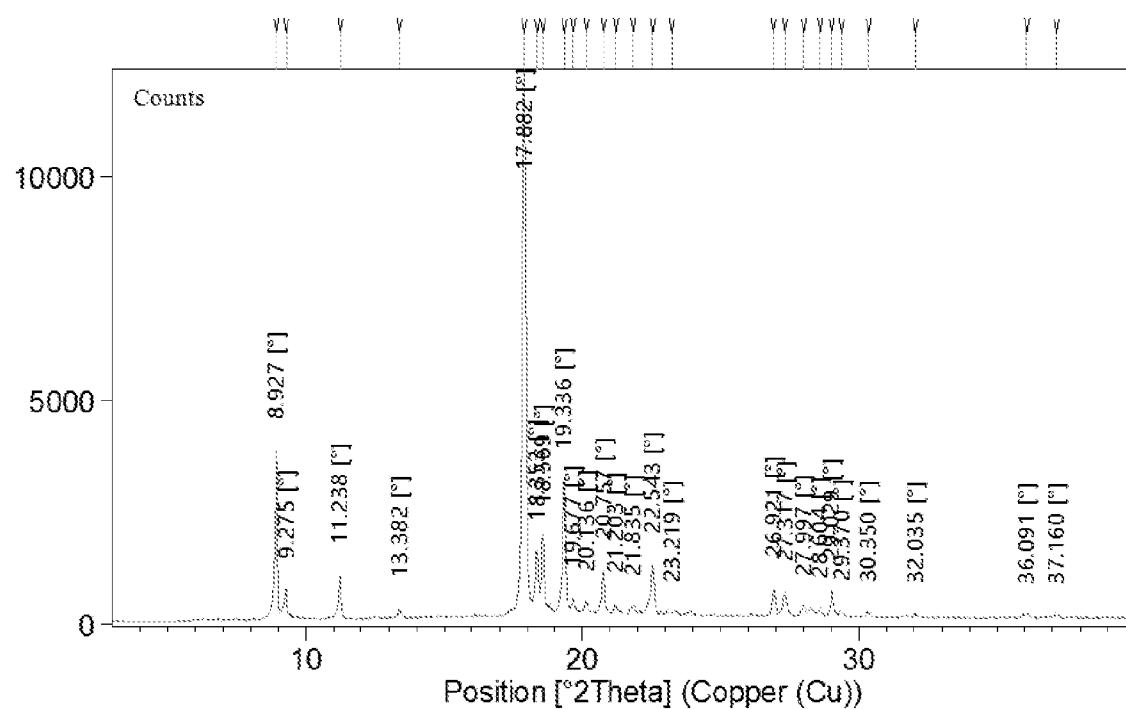
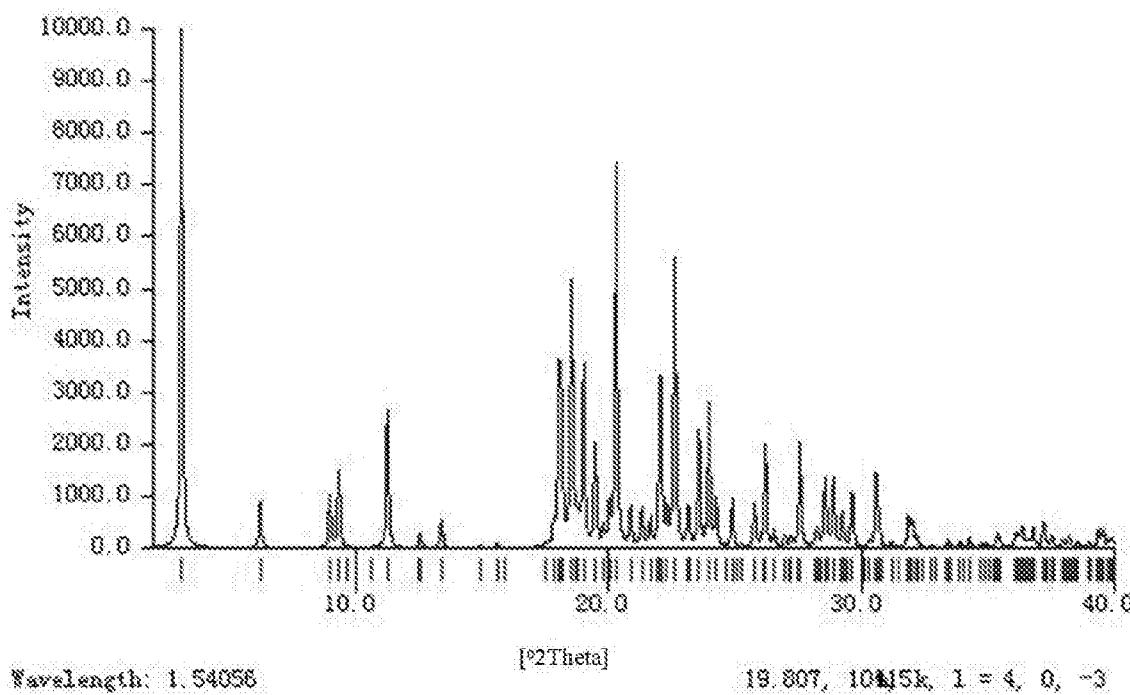
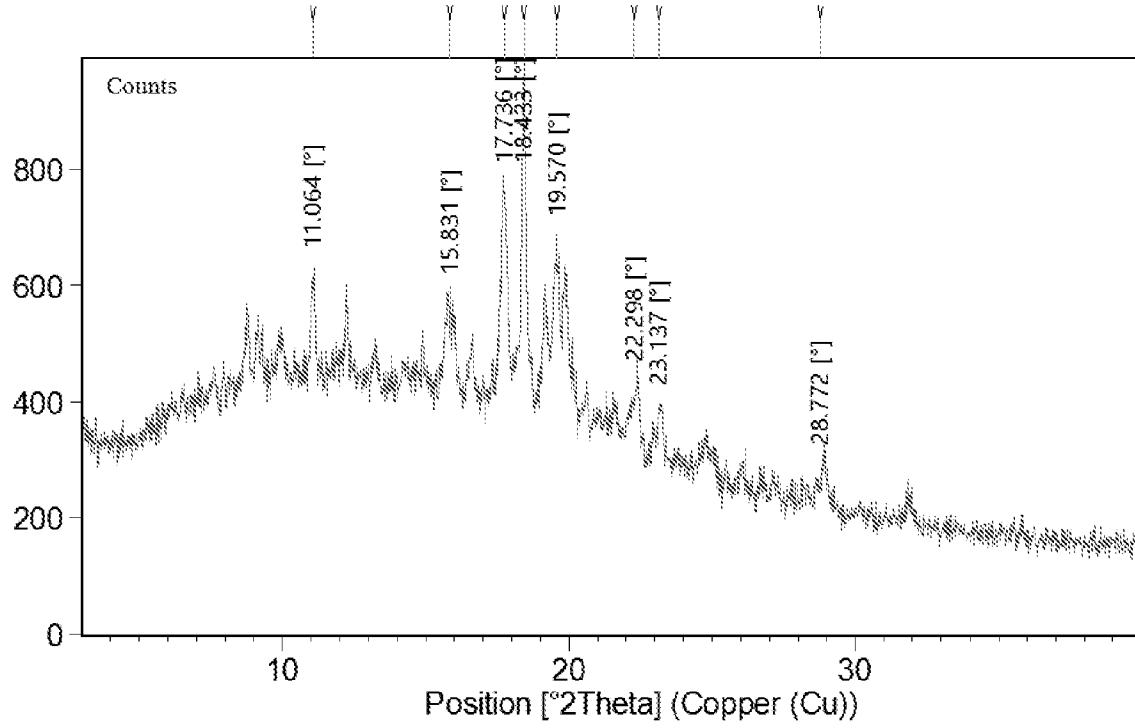
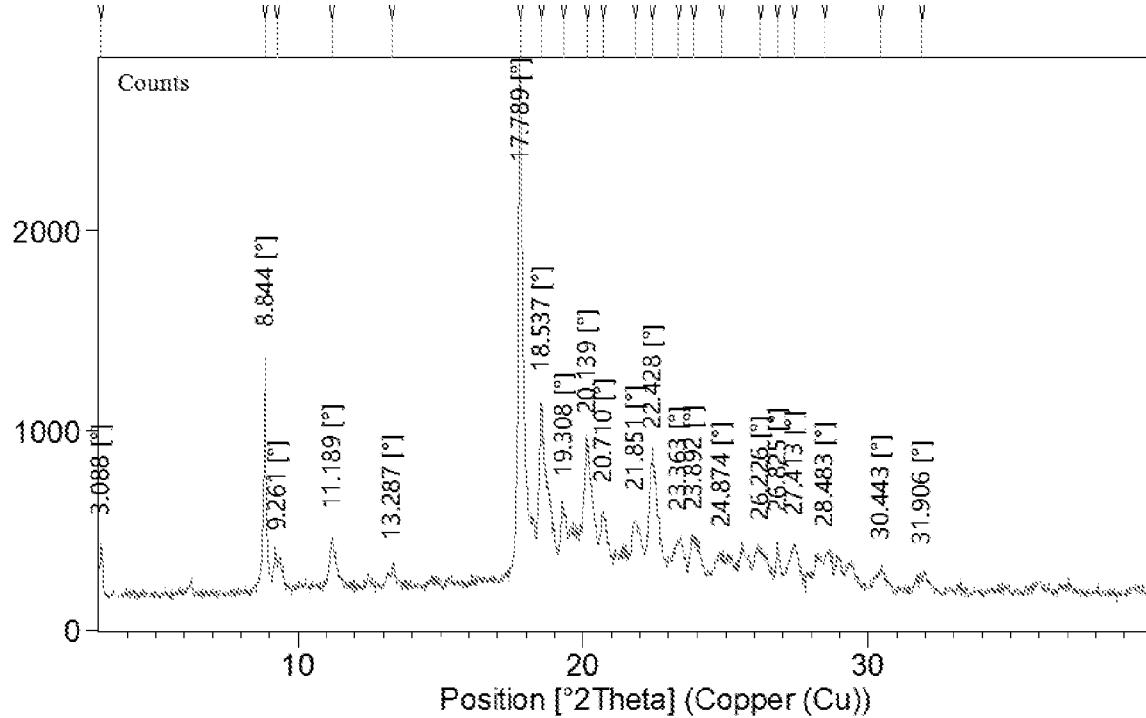
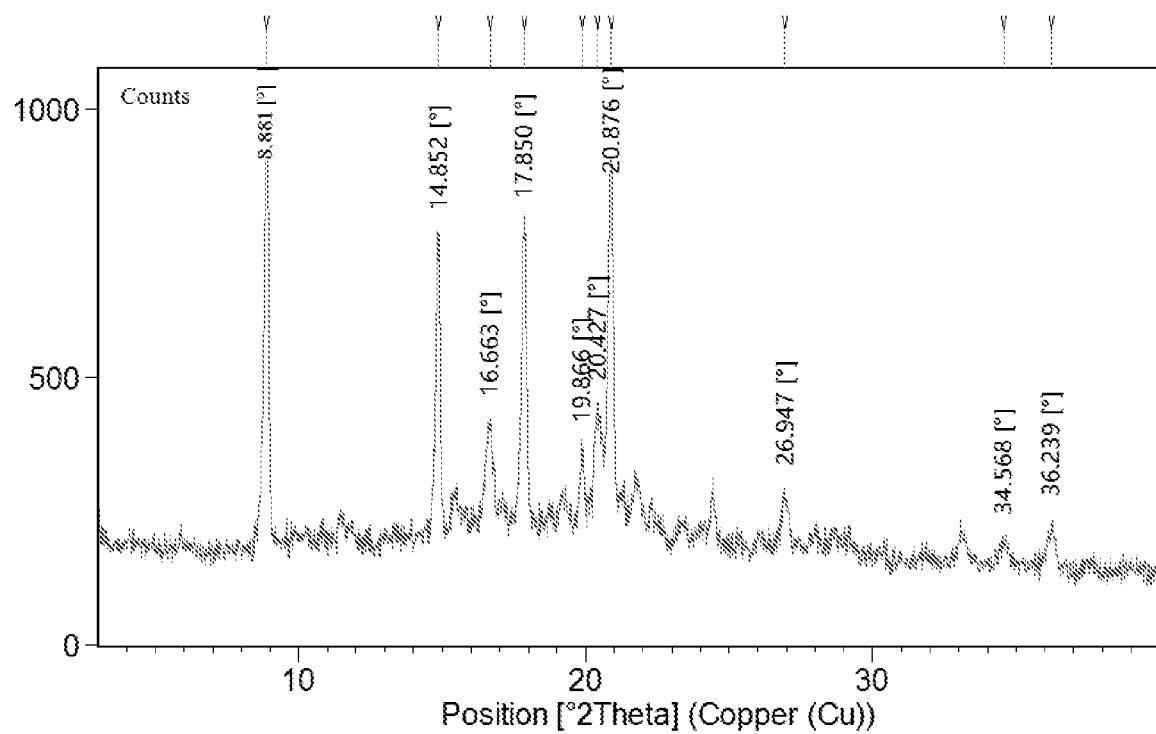


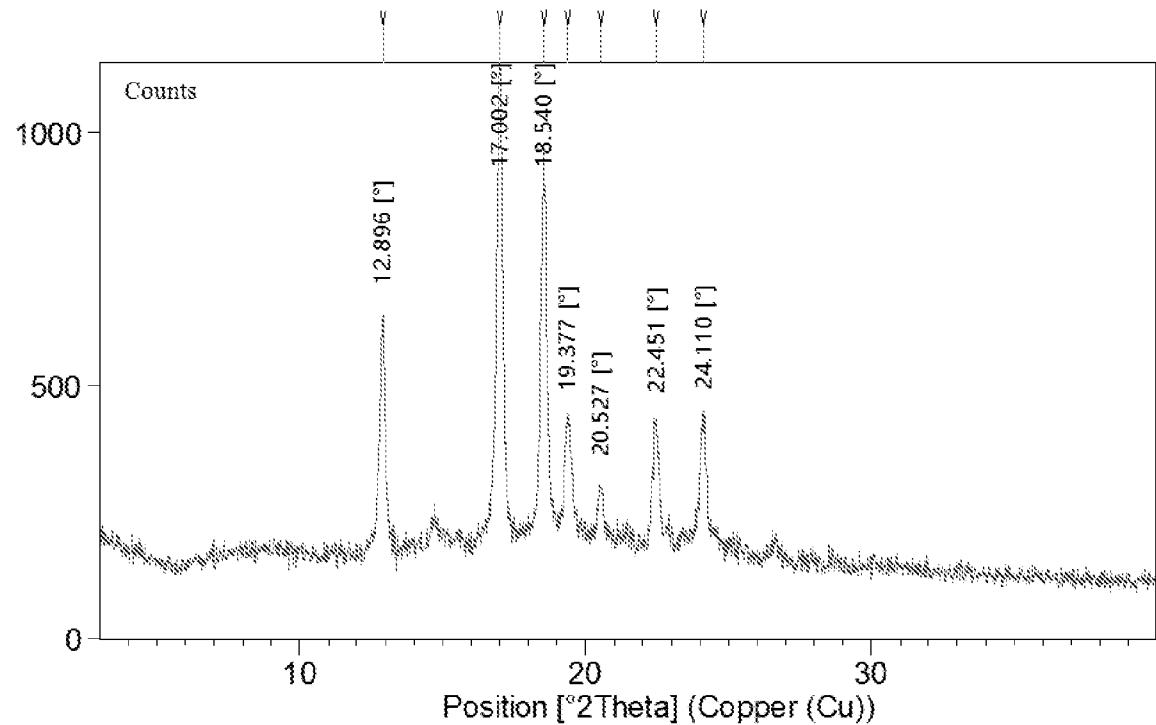
Fig. 17

9/22

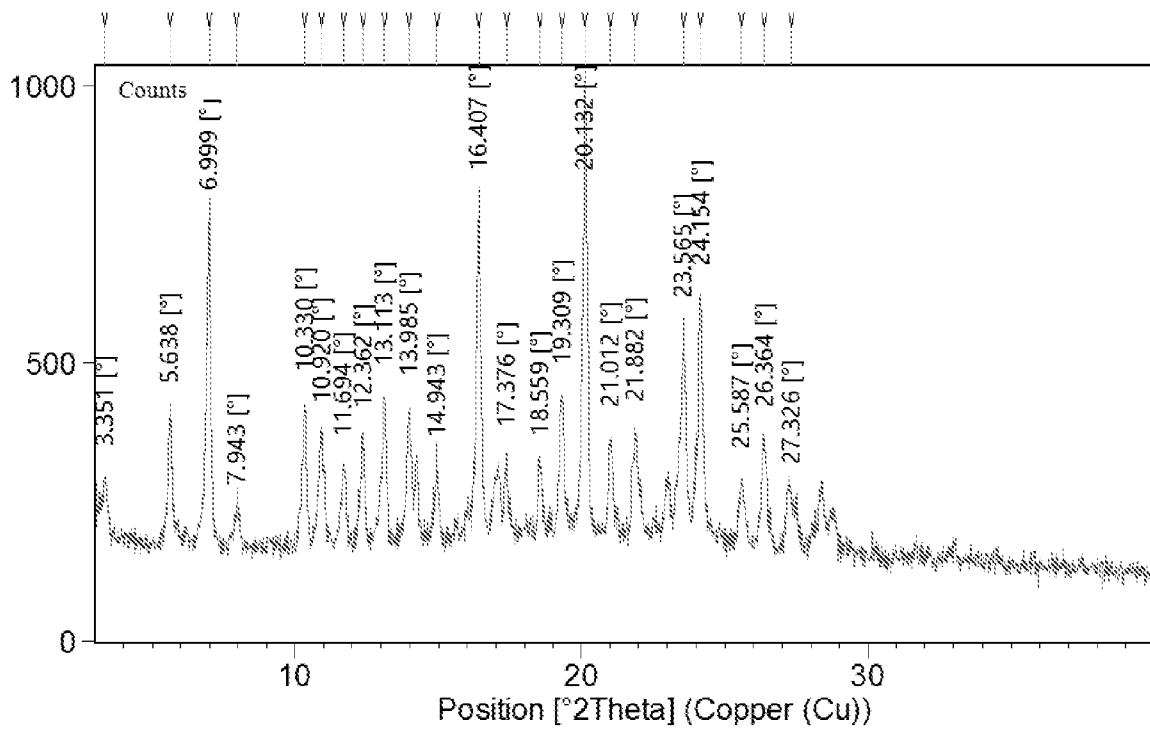
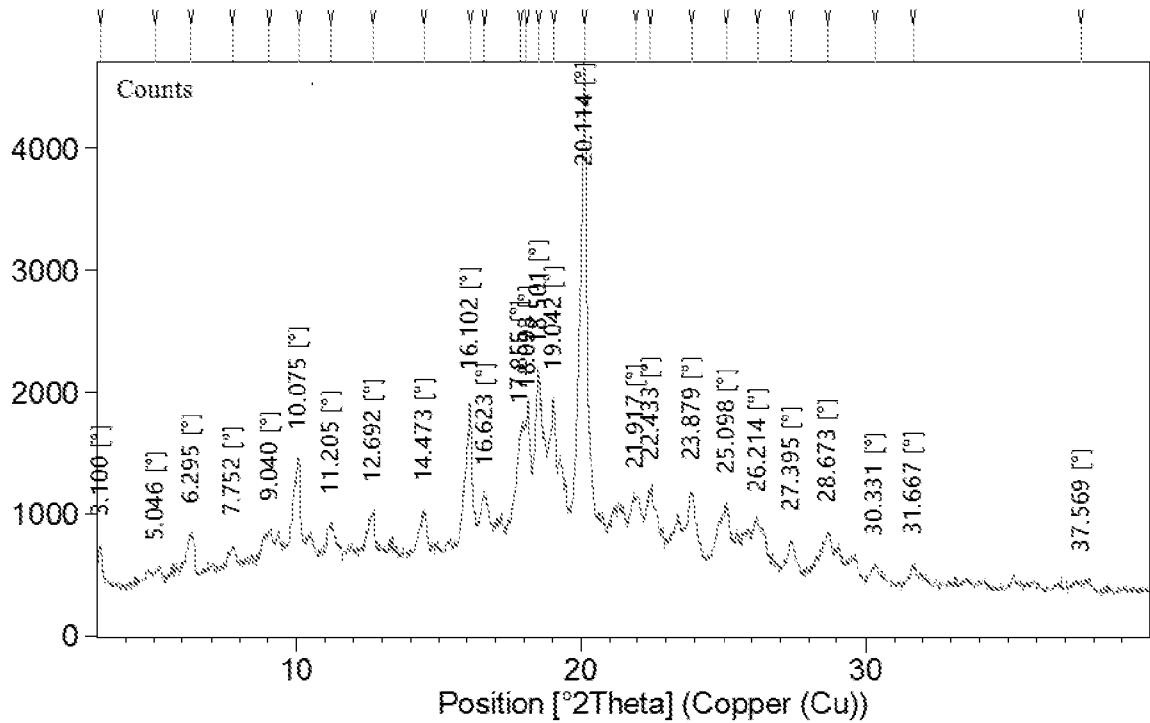
**Fig. 18****Fig. 19**

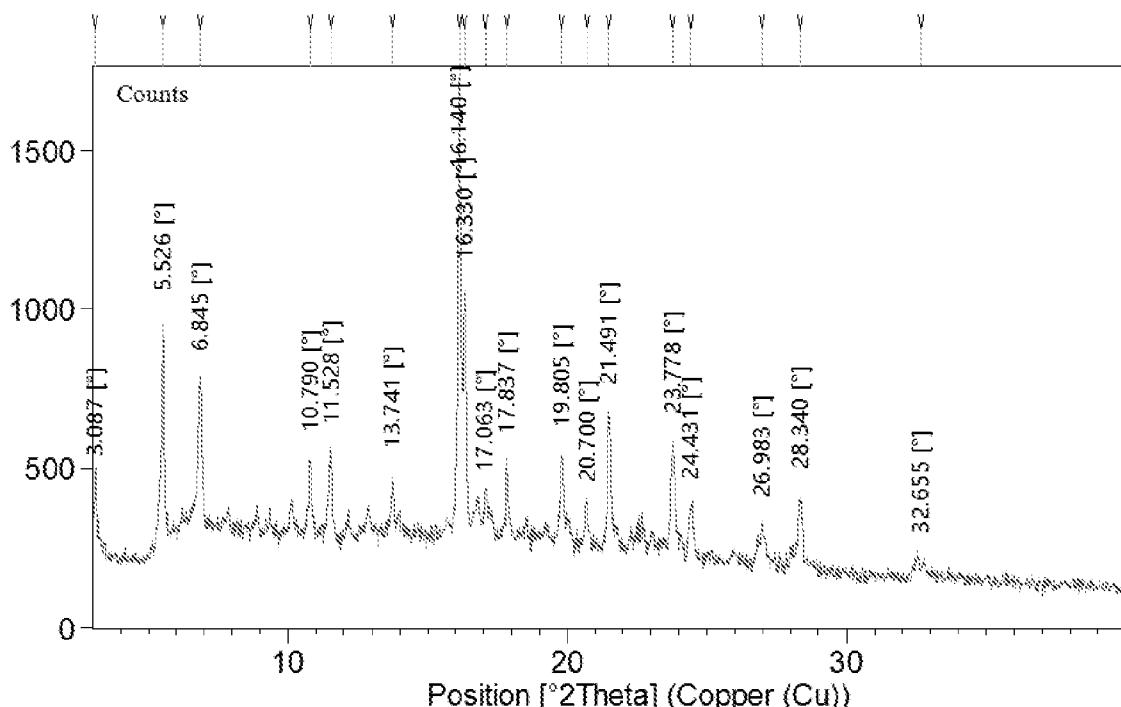
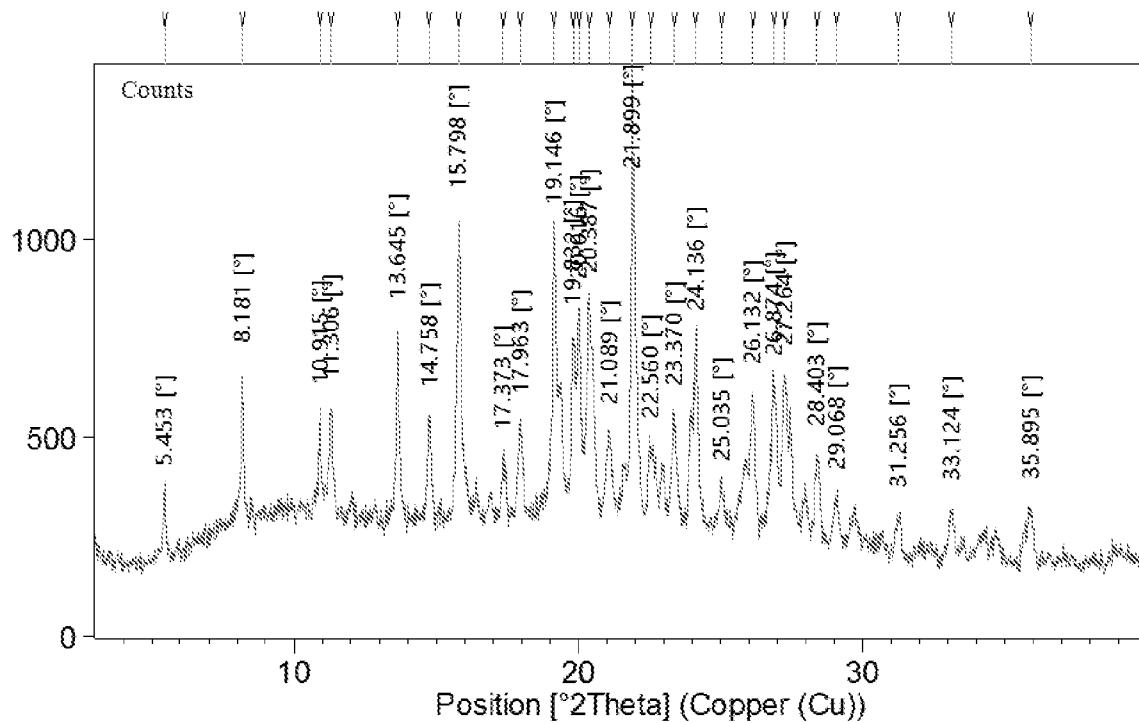


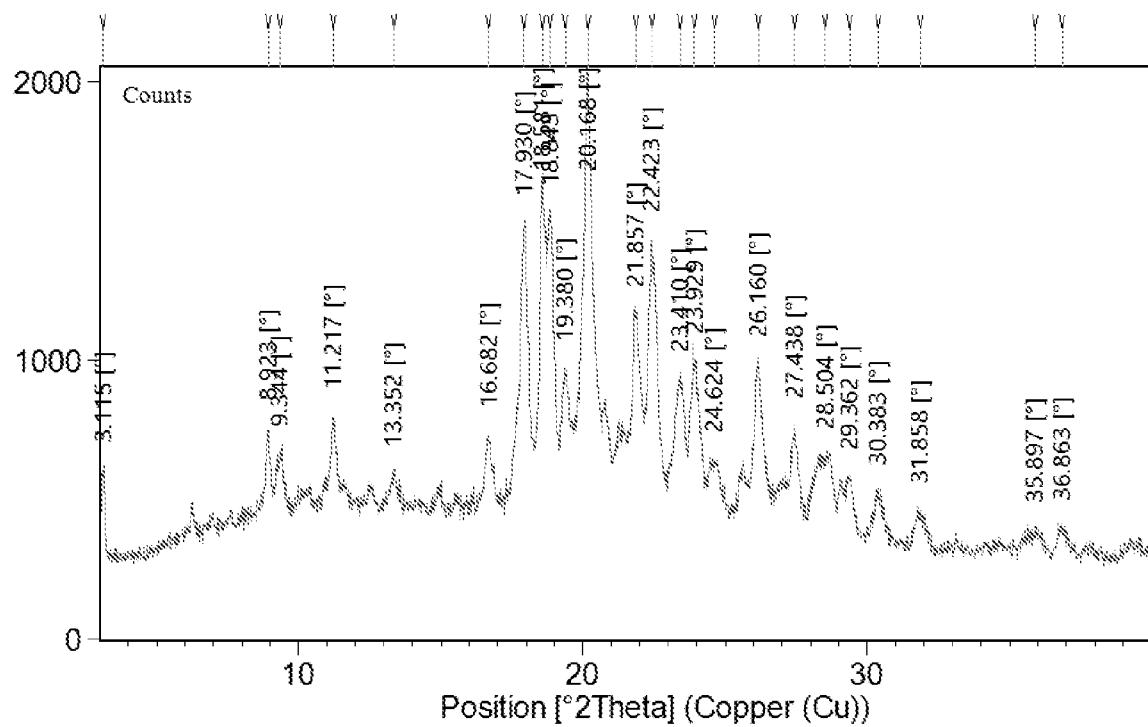
**Fig. 20**



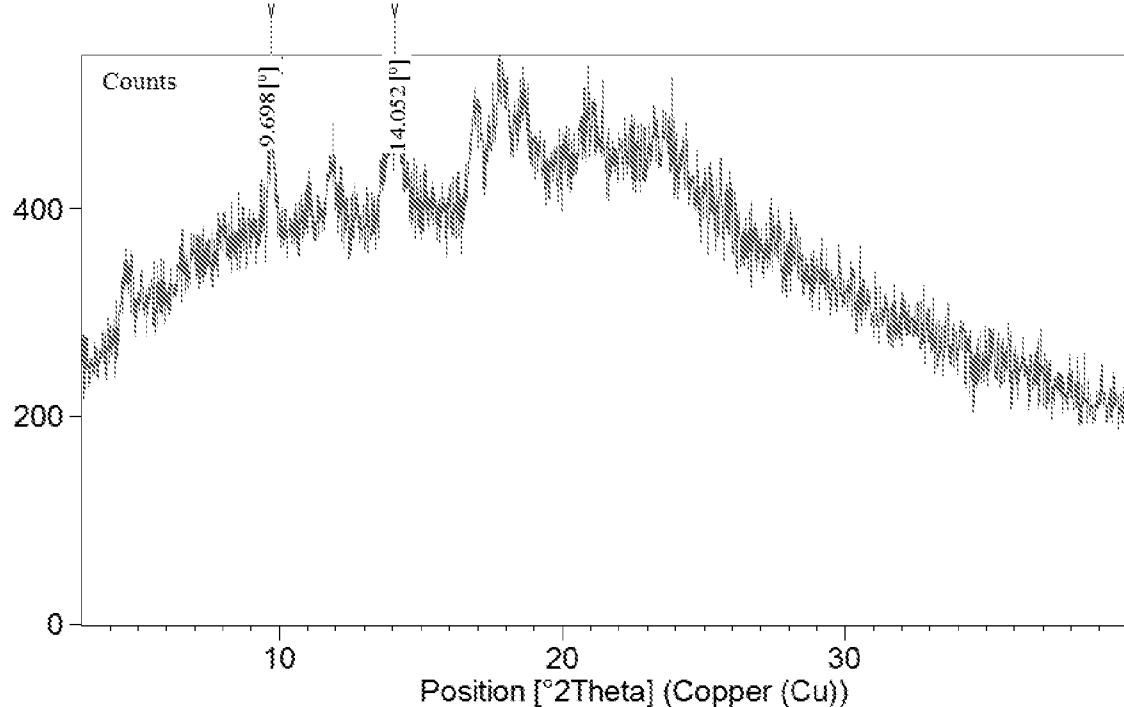
**Fig. 21**

**Fig. 22****Fig. 23**

**Fig. 24****Fig. 25**



**Fig. 26**



**Fig. 27**

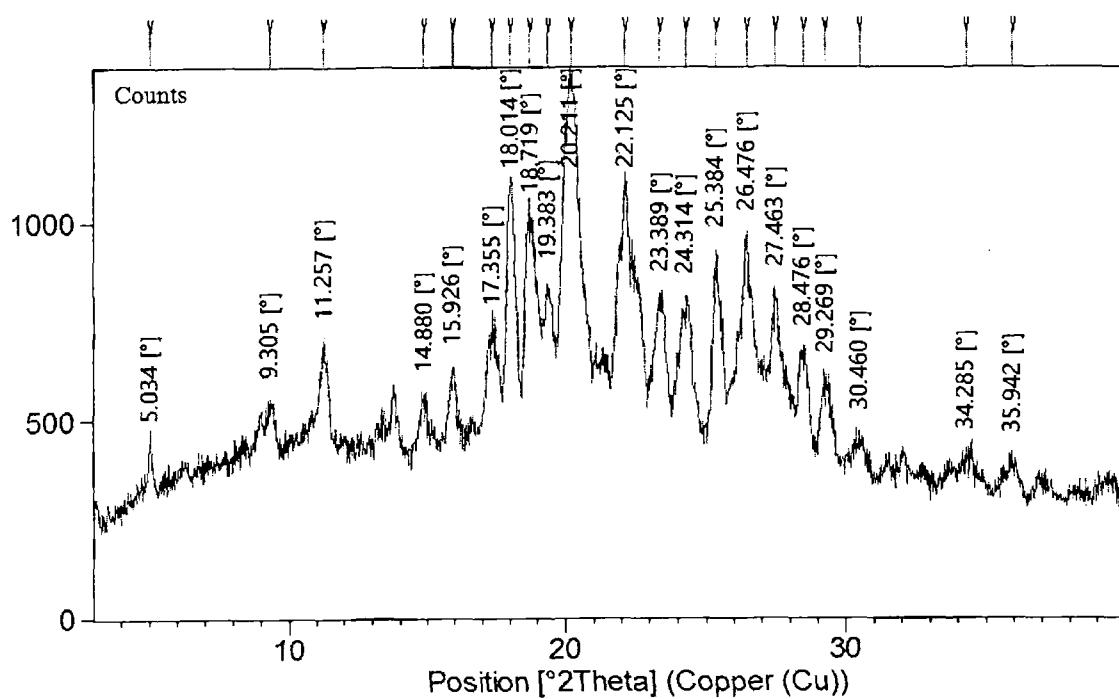
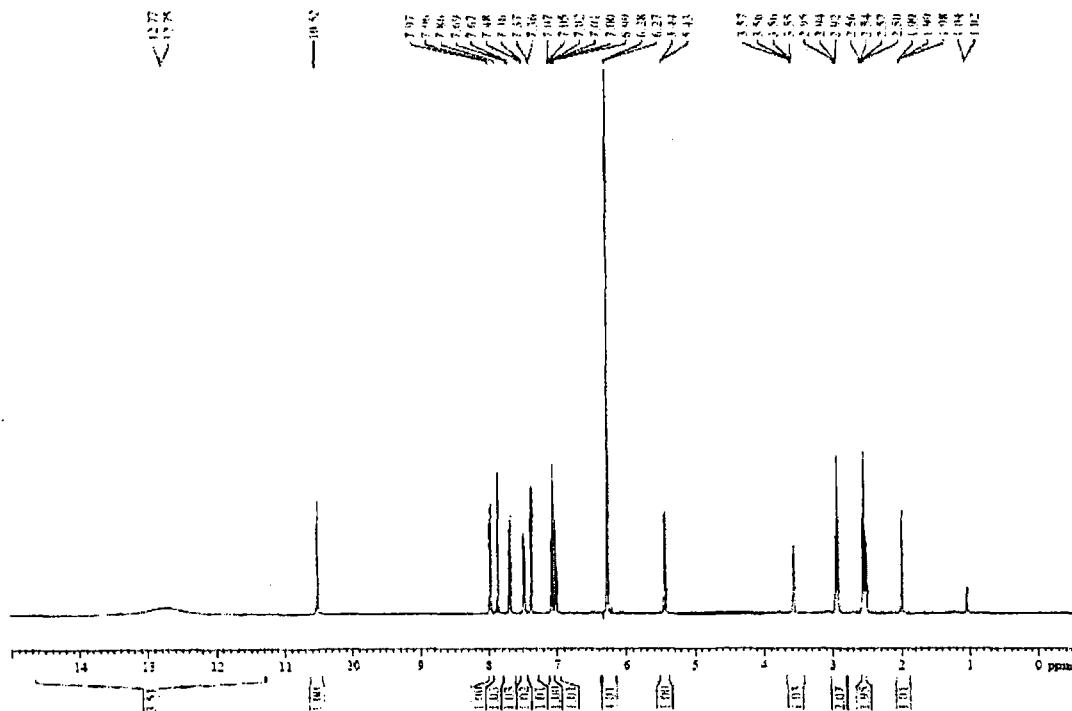


Fig. 28



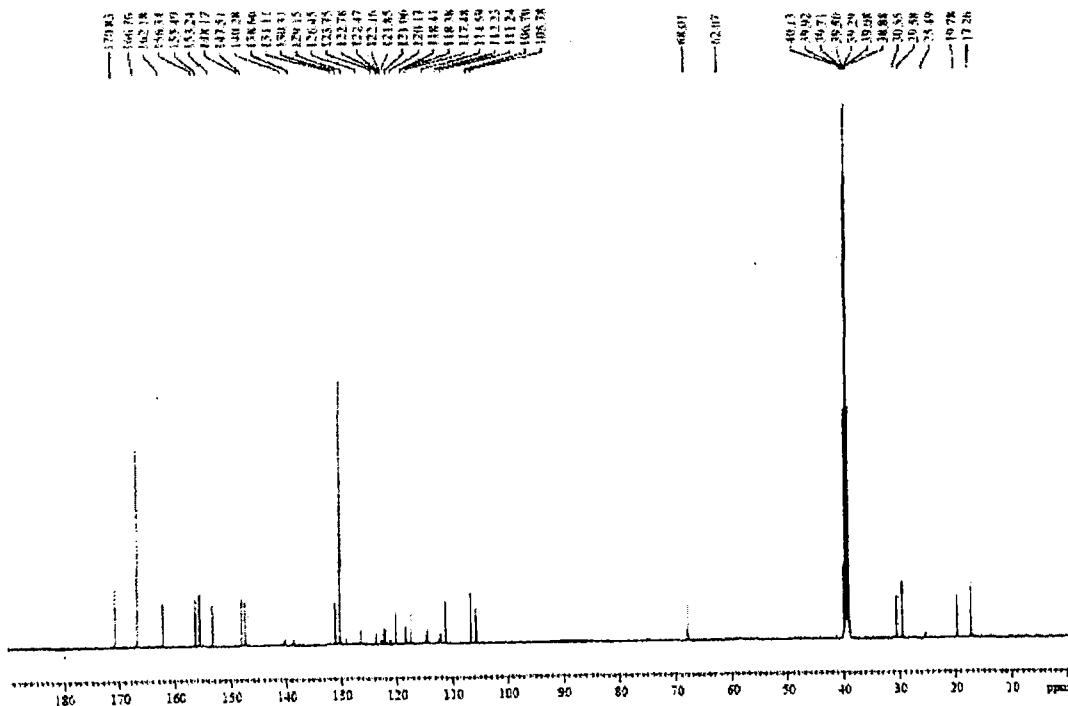
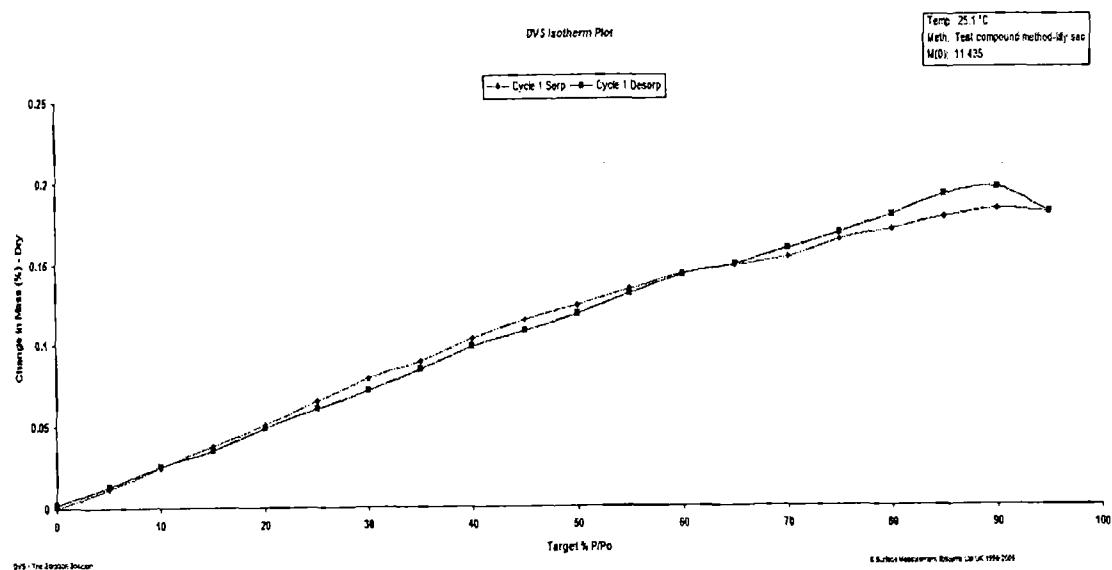


Fig. 30

## Hygroscopicity (Moisture sorption) by DVS



DVS isotherm plot of Crystalline Form A\* of Compound 1 Sesqui-Maleate

Fig. 31

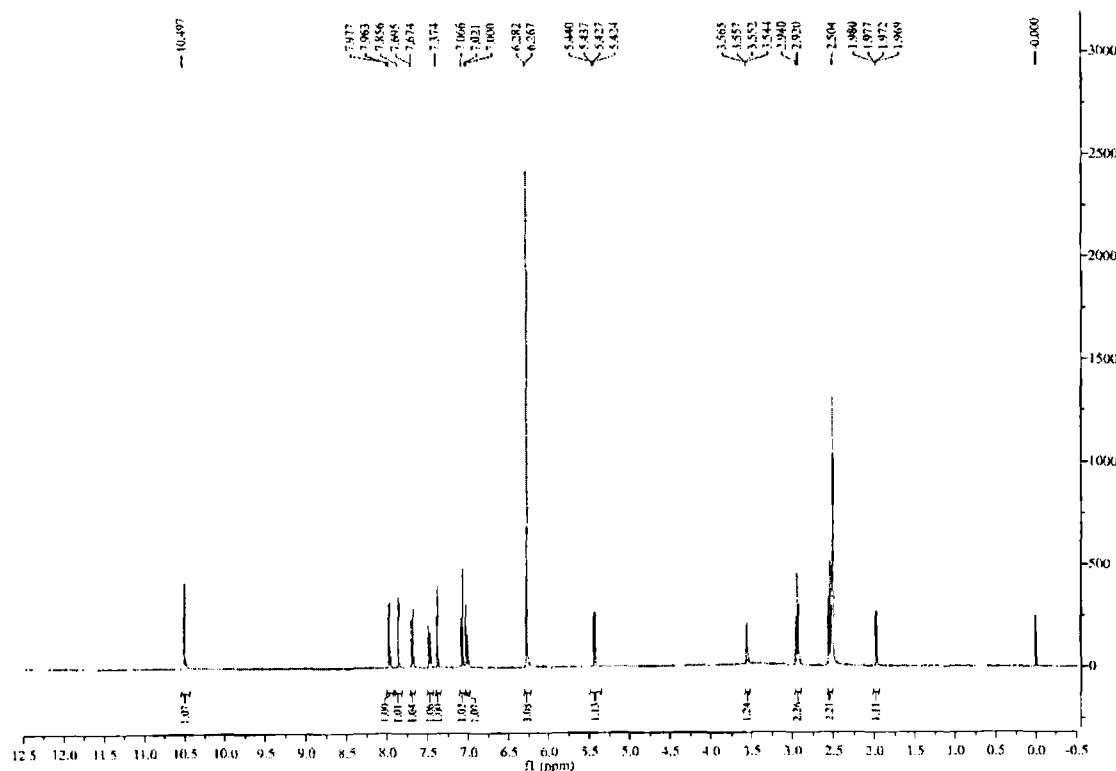


Fig. 32

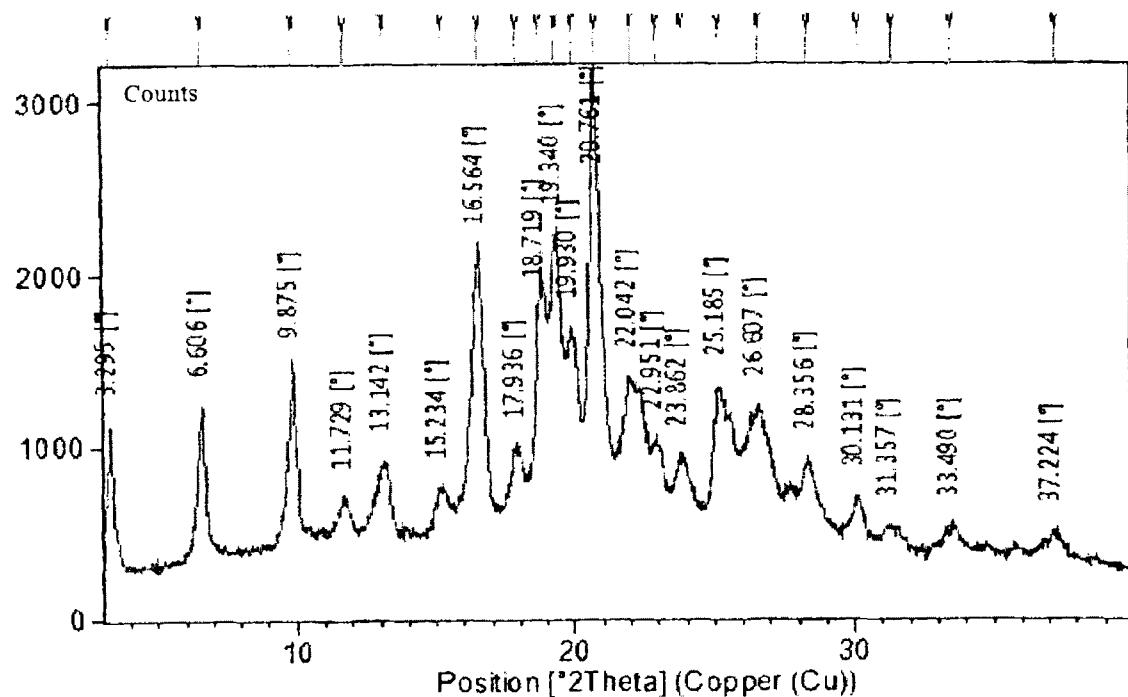


Fig. 33

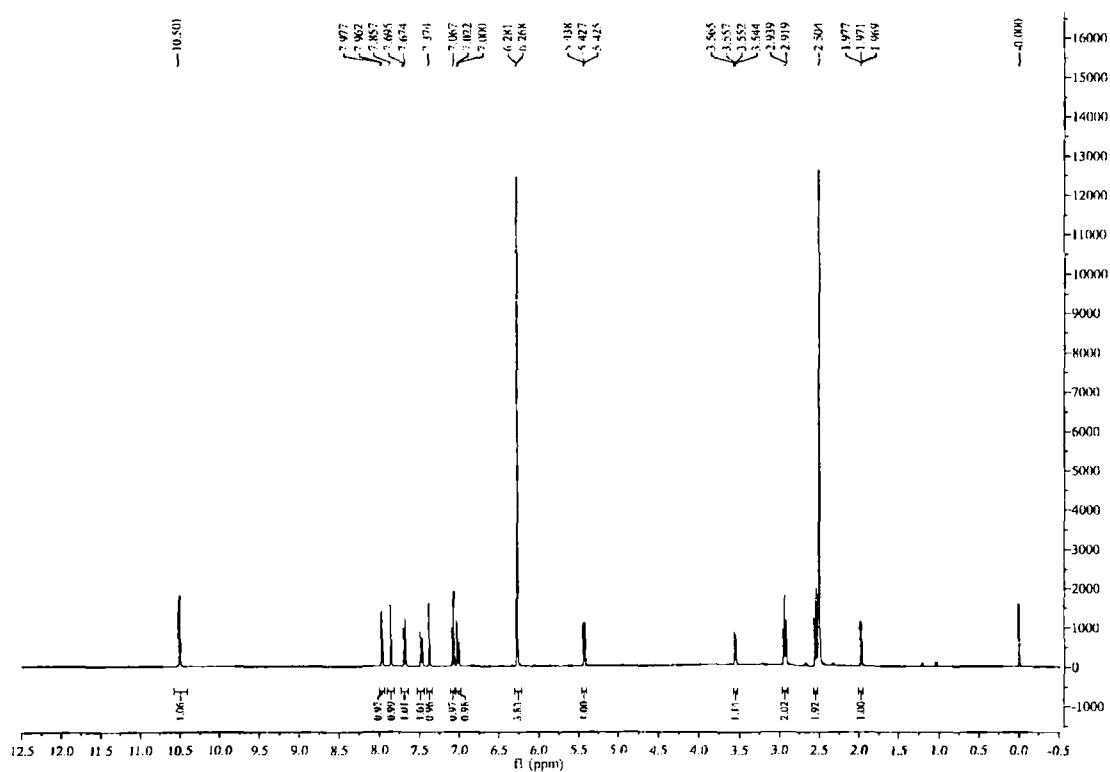


Fig. 34

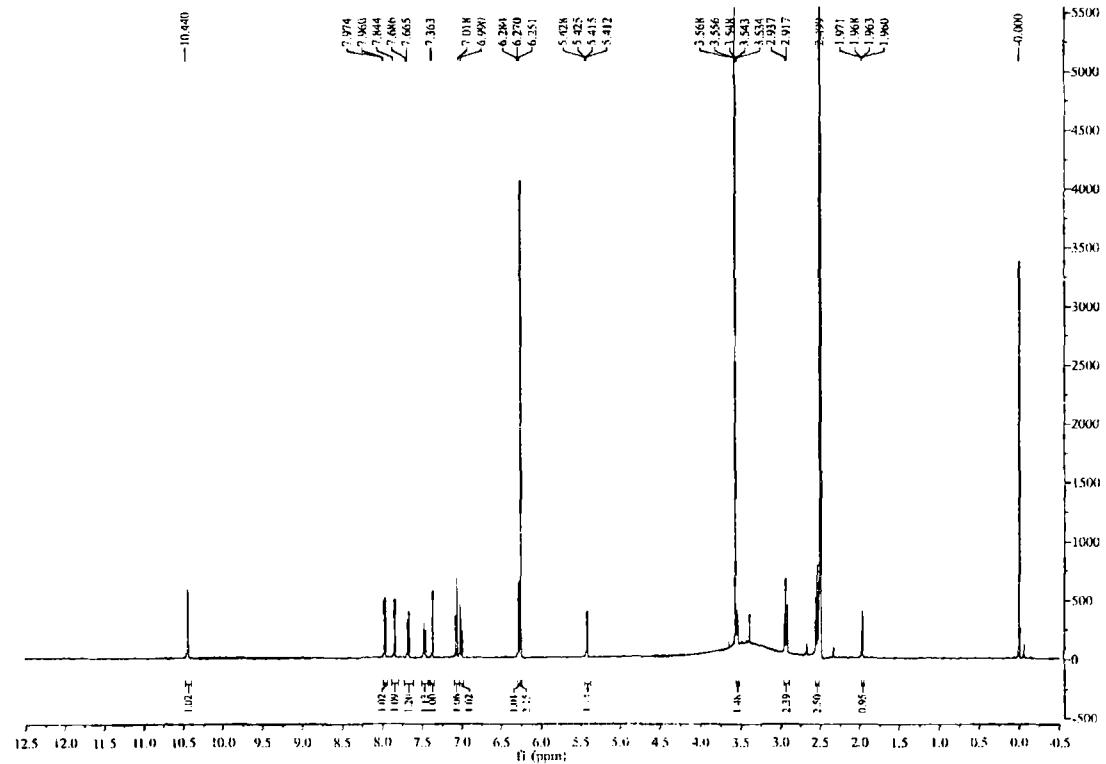


Fig. 35

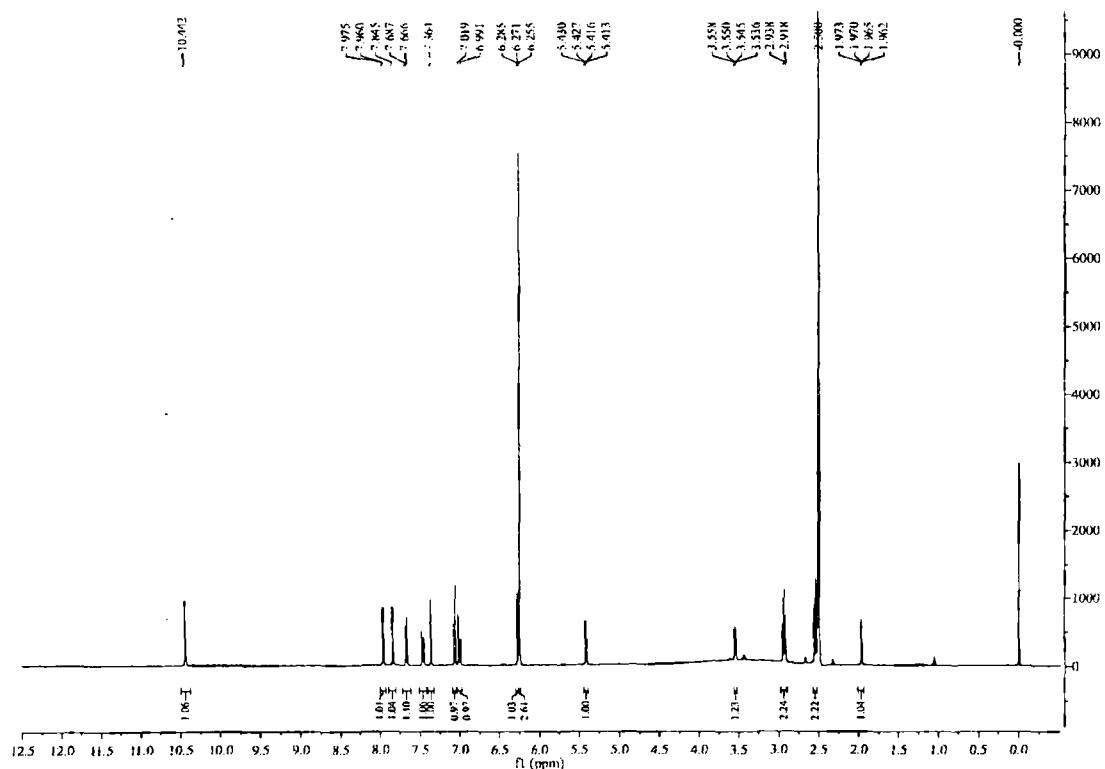


Fig. 36

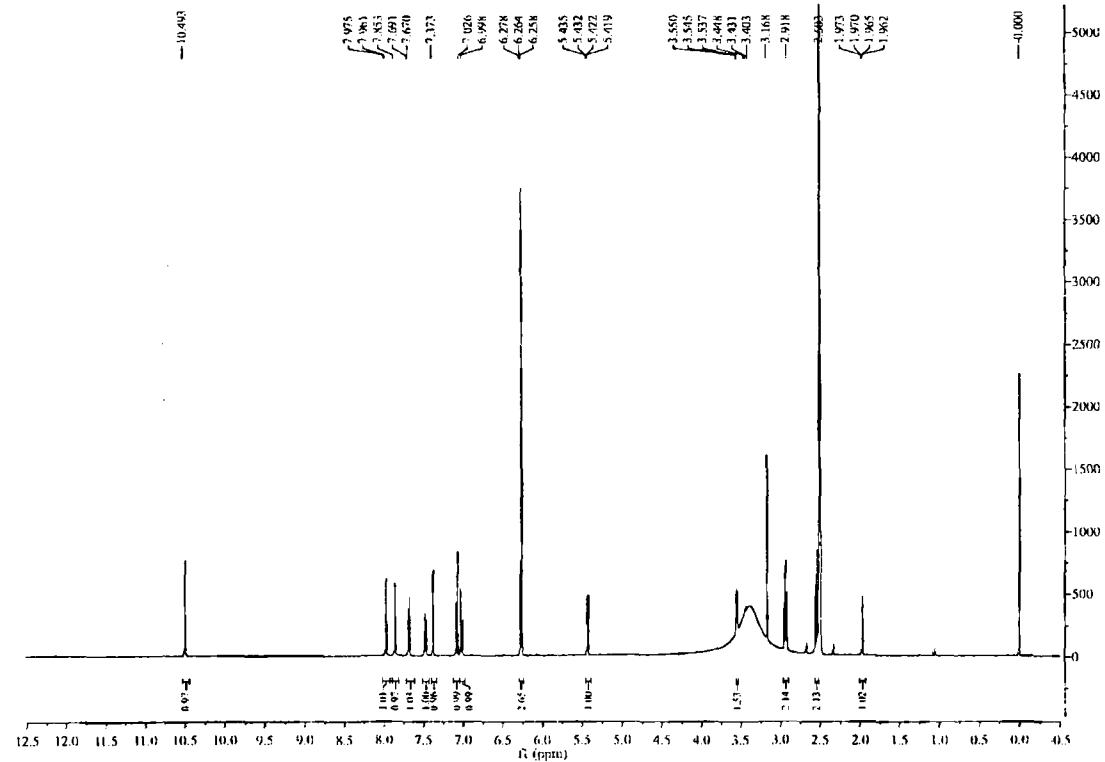


Fig. 37

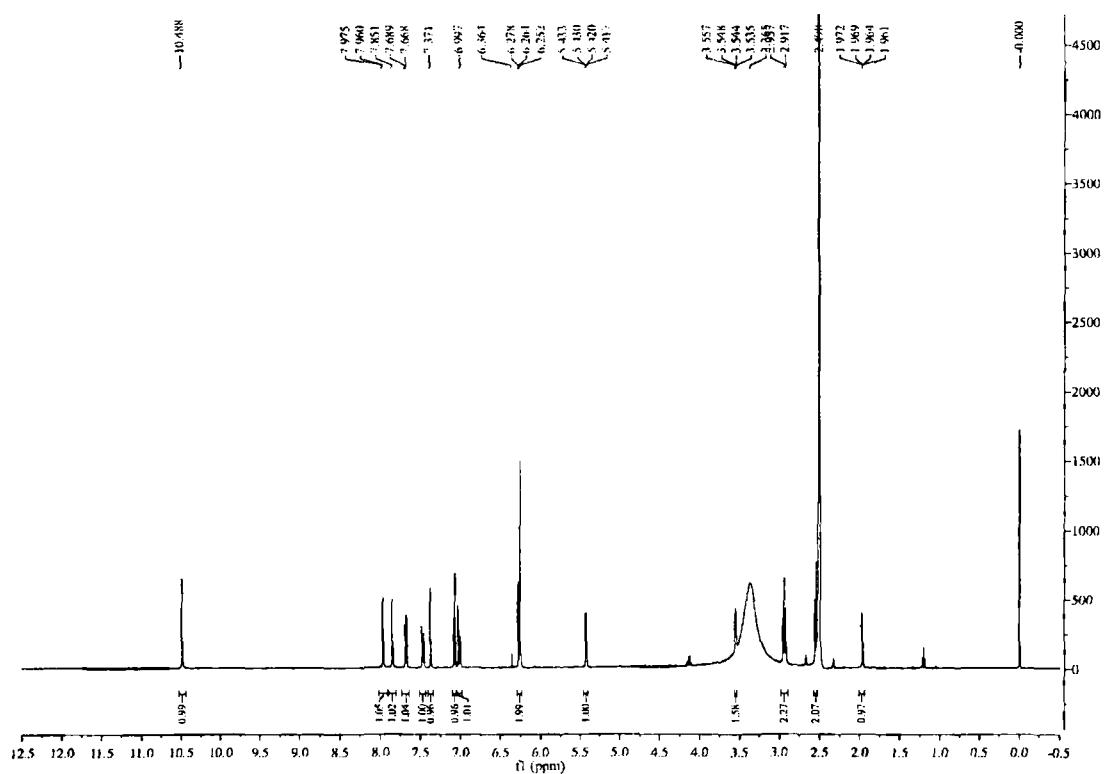


Fig. 38

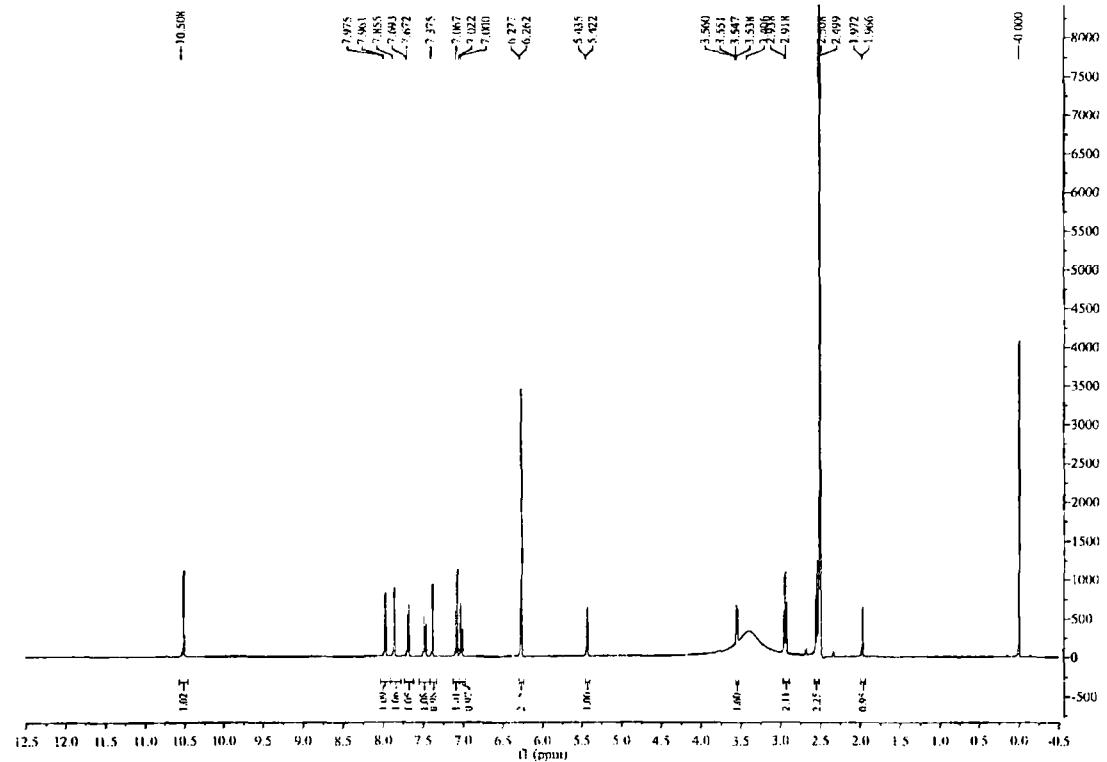


Fig. 39

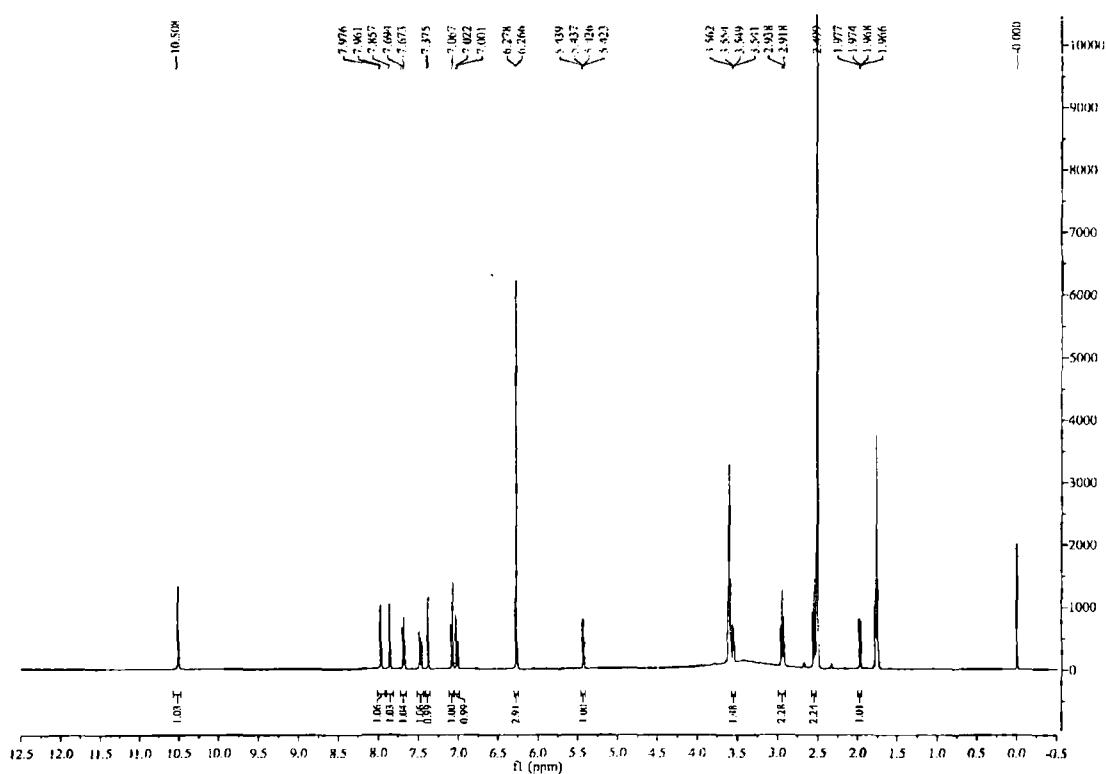
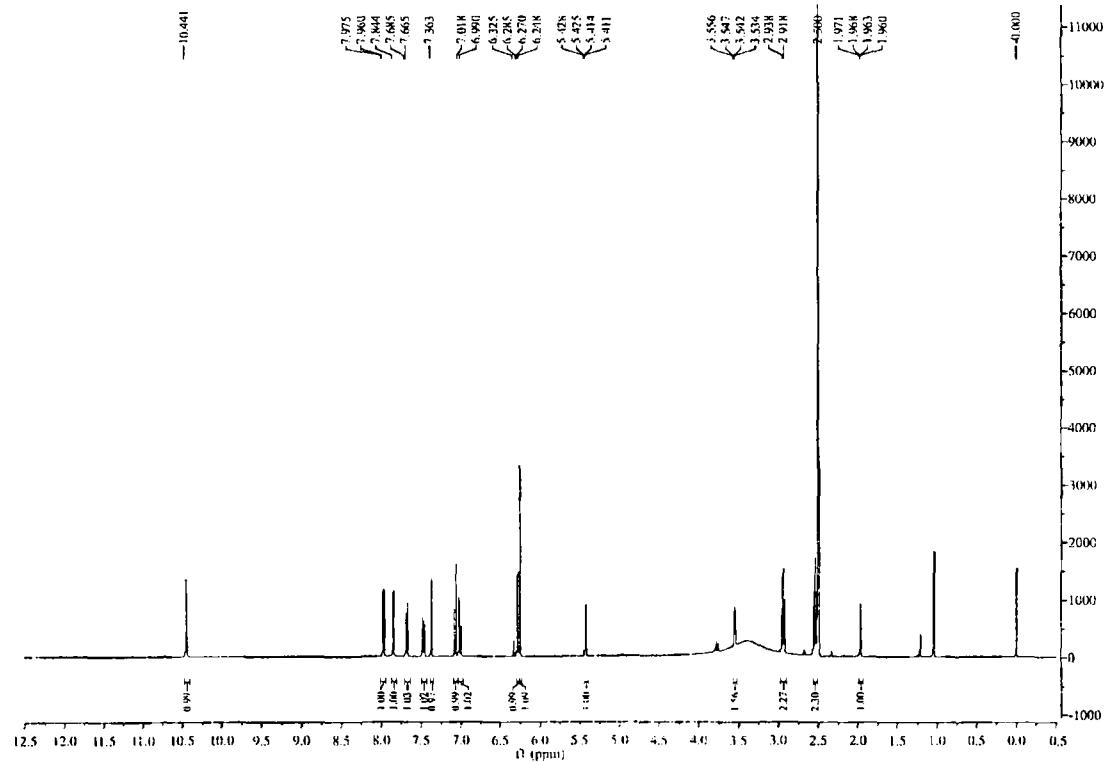


Fig. 40



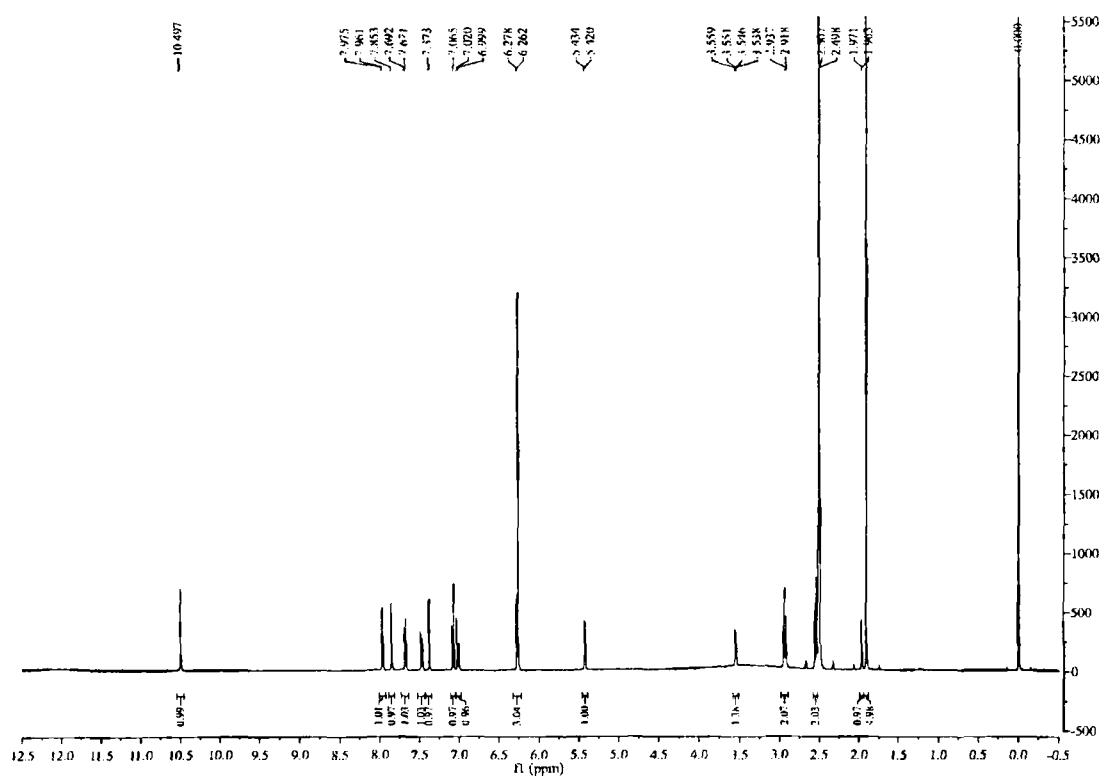


Fig. 42

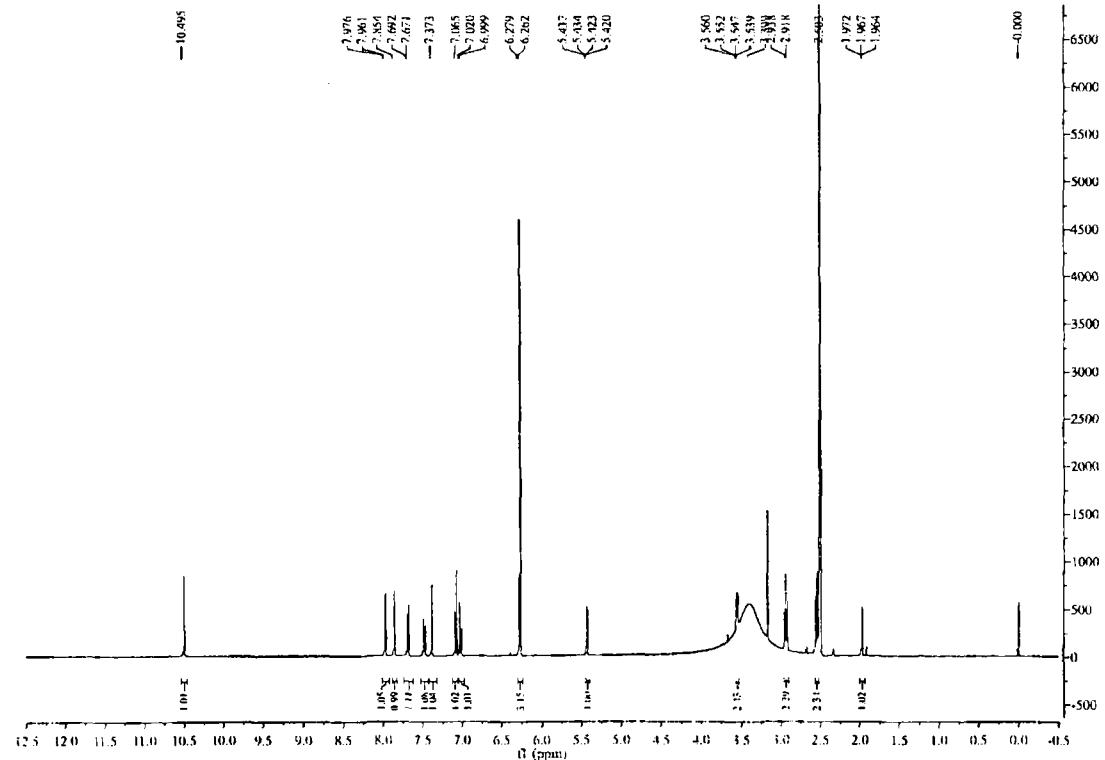


Fig. 43

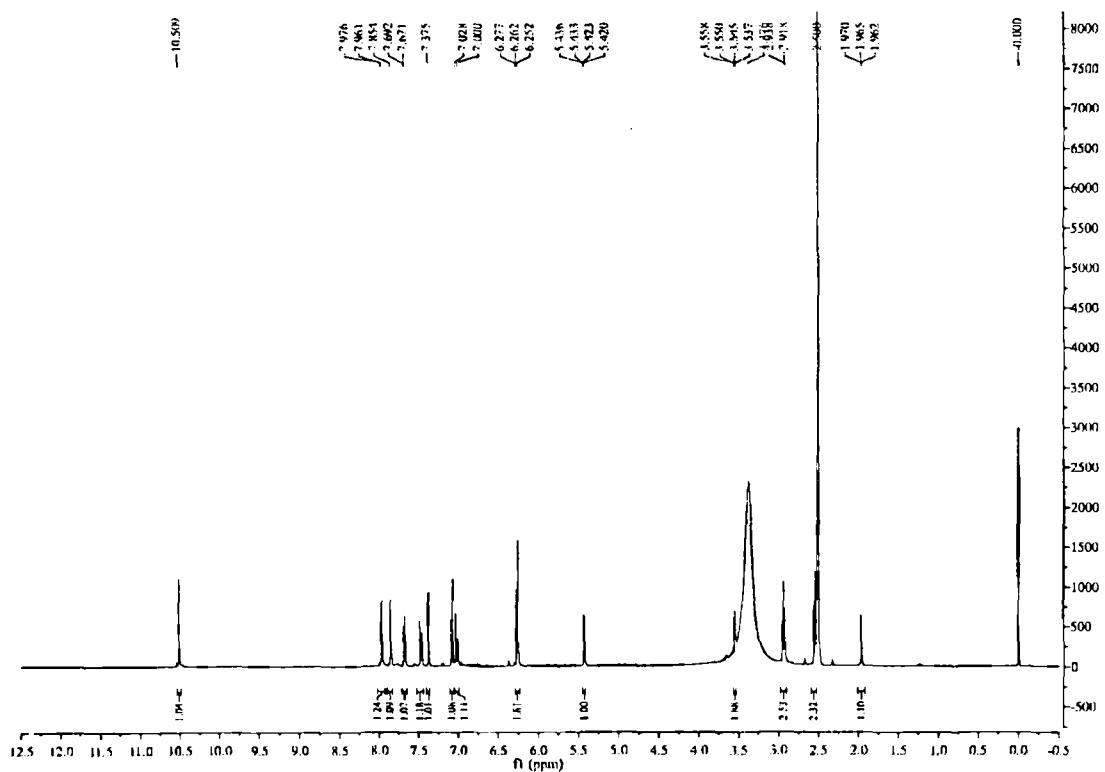


Fig. 44

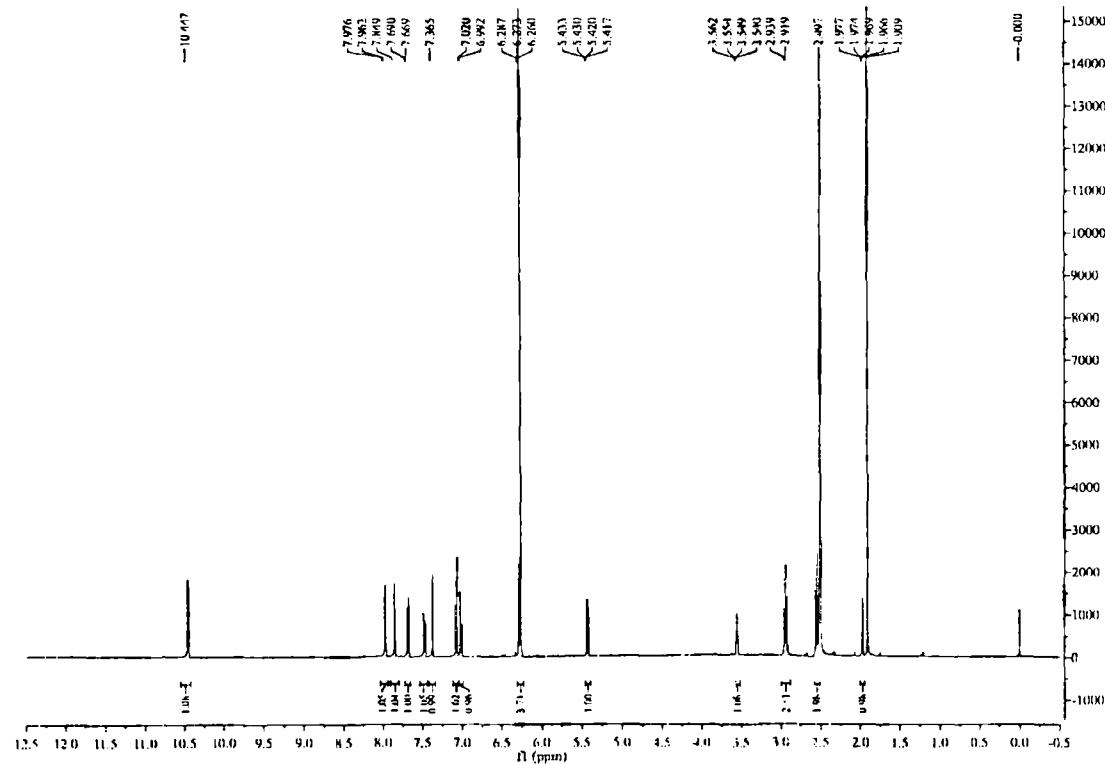


Fig. 45