

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
15 April 2004 (15.04.2004)

PCT

(10) International Publication Number  
**WO 2004/030658 A1**

(51) International Patent Classification<sup>7</sup>: **A61K 9/48**,  
31/4439, 31/00, 31/663, 31/4402, 35/78, 31/355, A61P  
1/10, 19/10, 1/04

LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC,  
SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA,  
UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(21) International Application Number:  
PCT/US2003/020579

(22) International Filing Date: 27 June 2003 (27.06.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/415,187 1 October 2002 (01.10.2002) US

(71) Applicant (for all designated States except US): **BANNER  
PHARMACAPS, INC.** [US/US]; 4100 Mendenhall Oaks  
Parkway, Suite 301, High Point, NC 27265 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **HASSAN,  
EmadEldin M.** [EG/US]; 8 St. Croix Place #H, Greens-  
boro, NC 27410 (US). **FATMI, Aqeel A.** [US/US]; 3809  
Camden Falls Court, Greensboro, NC 27410 (US). **CHI-  
DAMBARAM, Nachiappan** [IN/US]; 4001 River Pointe  
Place, Apt. 3D, High Point, NC 27265 (US).

(74) Agents: **HICKS, Jack B.** et al.; Womble Carlyle San-  
dridge & Rice, PLLC, One Atlantic Center, Suite 3500,  
1201 West Peachtree Street, Atlanta, GA 30309 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted  
a patent (Rule 4.17(ii)) for the following designations AE,  
AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,  
CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES,  
FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,  
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,  
MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH,  
PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN,  
TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO  
patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG,  
ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU,  
TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE,  
DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT,  
RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to the applicant's entitlement to claim the priority of the  
earlier application (Rule 4.17(iii)) for all designations

**Published:**

- with international search report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: ENTERIC COMPOSITION FOR THE MANUFACTURE OF SOFT CAPSULE WALL

(57) Abstract: A gel mass is provided that is useful in manufacturing enteric soft or hard capsules, or enteric tablets without coating.



WO 2004/030658 A1

## ENTERIC COMPOSITION FOR THE MANUFACTURE OF SOFT CAPSULE WALL

**Field of the invention**

The present invention relates to enteric preparations, More particularly, the invention relates to enteric solid dosage forms made using a gel mass comprising a film-forming, water-soluble polymer, an acid-insoluble polymer, and, optionally, other ingredients such as plasticizers, colors, and flavors. The invention further provides a direct method for manufacturing enteric preparations without need for coating.

**Background of the invention**

The use and manufacture of enteric dosage forms are well known to skilled personnel. Such dosage forms have been explained and reviewed in reference works, *e.g.*, in Remington's Pharmaceutical Sciences, 18<sup>th</sup> edition, Mack Publishing Co., Easton, PA (1990). Enteric dosage forms are desirable, either to protect the content of the dosage form from the gastric conditions or to protect the gastric tissue from an irritant material contained in the enteric dosage form. A further use for enteric dosages is prevention of a lasting, unacceptable mouth odor resulting from ingestion of substances like garlic or fish oil. Enteric dosage forms are also used to provide slow or delayed release of a substance.

To fulfill the compendium definition requirement for enteric or gastro-resistant preparations, these preparations have to pass specific compendia tests. The enteric or gastro-resistant property is obtained only if the enteric dosage form does not dissolve or disintegrate in gastric acidity for a specified amount of time (usually two hours in 0.1 N hydrochloric acid at 37° C). Further, the enteric dosage forms must release their contents in simulated intestinal environments (*e.g.*, in buffers of pH values at about 6.8 within certain time periods). Detailed evaluation techniques are described in national and international pharmacopoeia such as United States Pharmacopoeia.

The majority, if not all, of the enteric dosage forms currently in use are produced by a film-coating process, where a thin film layer of acid-insoluble (enteric) polymer is accumulated on the surface of an pre-manufactured dosage form. Dosage forms coated in this manner have been mainly tablets and, to a lesser extent, hard or soft capsules. The enteric coating method involves spraying of an aqueous or organic solution or a suspension

of enteric polymers onto tumbling or moving tablets or capsules, accompanied by drying using hot air.

Enteric dosage forms made by coating suffer from various process-related problems and defects that affect their performance or appearance. For example, "orange peel" surface formation, also known as surface roughness, mottling, or lack of surface homogeneity may result. And more seriously, coat integrity failure may occur, such as in cases of cracking or flaking off of the coating. All coatings present inherent problems, including possible uneven distribution of the coating ingredients, which can easily happen under the multivariate coating process.

The foregoing problems of enteric coatings are shared by all enteric dosage forms such as tablets and capsules. However, the problems faced during coating of capsules are even more critical, due to the delicate and heat sensitive nature of the soft elastic capsule shell. Both hard and soft capsules can easily undergo agglomeration and distortion due to the heat-sensitive shell composition. Moreover, the smoothness and elasticity of the capsule surface make it difficult to form an intact adhering enteric coat without careful sub-coating step to improve the surface for coating. A further disadvantage of enteric coating for soft capsules is the loss of the normally shiny and clear appearance of capsule gelatin shells. The elegant, clear gelatin shell has been a significant reason for soft capsule popularity and acceptance. In addition to the undesirable surface texture modifications usually caused by coating, most accepted aqueous enteric polymer preparations result in opaque capsules.

While different attempts have been tried to improve the manufacture of enteric soft gelatin capsules via coating, the inherent disadvantages in the coating process amplify the necessity for a better way of manufacturing enteric soft capsules, ideally, without coating. Enteric coatings (both sub-coating and over-coatings) and enteric polymers are discussed or suggested generally in U.S. Pat. Nos. 4,518,433, 4,816,259, and 5,330,759. None of these references, however, teach or suggest the invention as set forth herein.

### **Summary of the invention**

One aspect of this invention is a gel mass composition that can be used to manufacture enteric oral dosage forms such as tablets or capsules, without the need of coating. A second aspect of this invention is a filled enteric soft capsule that does not

require enteric coating or cross-linking to gain its enteric character. According to this aspect of the invention, fill materials can be hydrophilic or hydrophobic in nature. Fill materials can also be liquid, solid or a combination thereof. A third aspect of this invention is a soft capsule enteric shell composition that contains a film-forming polymer, an acid-insoluble polymer, and, optionally, plasticizers, colors, and/or other conventionally accepted pharmaceutical additives. The gel mass composition of the invention avoids significant loss of physical strength or viscosity of the film-forming polymer.

A fourth aspect of this invention is a process of preparing a gel mass from which the enteric capsule shell is made. According to the process of this aspect of the invention, an alkali is used at a level allowing dissolution of the acid-insoluble polymer without negatively affecting the film-forming polymer integrity, its physical strength, or its viscosity. A fifth aspect of this invention is a process of manufacturing filled enteric soft capsules using cast gel mass and a rotary die encapsulation machine, such as that disclosed in U.S. Patents 5,146,730 and 5,459,983, incorporated herein by reference and commonly owned by the assignee of this application. A sixth aspect of this invention is production of clear enteric soft capsules. A seventh aspect of this invention is the production of transparent, yet colored, enteric soft capsules. An eighth aspect of the invention is the use of the foregoing aspects for delivering food and medicine.

The gel mass compositions of this invention are further useful to manufacture enteric solid oral dosage forms such as tablets, and hard and soft capsules without the conventional coating technique. A preferred method of manufacturing enteric tablets with this invention is to use enrobement techniques and a rotary die as described in US Patent Nos. 6,482,516 and 5,459,983, and 5,146,730, all fully incorporated herein by reference. Gel compositions of this invention are also useful to make clear or opaque hard capsules using regular equipment known in the art.

Accordingly, the present invention relates to a gel mass composition comprising a film-forming, water-soluble polymer, an acid-insoluble polymer, and, optionally, at least one plasticizer, an optional coloring agent and an aqueous solvent. The gel mass is useful in manufacturing enteric soft or hard capsules, or enteric tablets without coating.

The film-forming, water-soluble polymer can be of proteinaeous nature, such as gelatin. In one embodiment, the gelatin is extracted from animal bones or skins, and has about 100 to about 250 blooms.

In another embodiment, the film-forming, water-soluble polymer can be of carbohydrate nature such as hydroxypropyl methylcellulose or methyl cellulose. The acid-insoluble polymer can also be selected from the group consisting of acrylic and methacrylic acid copolymers, cellulose acetate esters such as phthalate, butyrate,  
5 hydroxypropyl methyl cellulose phthalate, and salts thereof.

The shell composition of the invention can contain at least one plasticizer selected from the from the group consisting of sorbitol, glycerol, polyethylene glycol, poly-alcohols with 3 to 6 carbon atoms, citric acid, citric acid esters such as triethyl citrate, and combinations thereof.

10 The solvent used can be water or aqueous solution of alkalis, such as ammonia, sodium hydroxide, potassium hydroxide, ethylene diamine, hydroxylamine, tri-ethanol amine, or hydroalcoholic solutions of the same. The alkali can adjusted such that the final pH of the gel mass is less than or equal to about 9.0 pH units. In another embodiment, the alkali is adjusted such that the pH does not exceed 8.5. In yet another embodiment, the  
15 alkali is adjusted such that the pH does not exceed 8.0. The alkali can be a volatile alkali such as ammonia or ethylene-diamine.

Generally, it is noted that the compositions of the invention are characterized by a consistency compatible with desirable process handling, such as rotary die processing for capsule formation. Without wishing to be bound by a particular theory, it appears that the  
20 compositions of the invention avoid undue degradation of the film-forming, water-soluble polymer by avoiding excessively alkaline conditions during processing.

The invention also relates to a process of manufacturing a shell composition into soft capsules. The process includes preparing a solution comprising a film-forming, water-soluble polymer and an acid-insoluble polymer and mixing with appropriate plasticizers to  
25 form a gel mass; casting gel mass into films or ribbons using heat-controlled drums or surfaces; and manufacturing a soft capsule using rotary die technology. The thickness of the films or ribbons is from about 0.015 inches to about 0.050 inches. In one embodiment, the thickness is about 0.020 inches.

The moisture content of the shell composition can be from about 2% to about 10%.  
30 The moisture content can also be from about 4% to about 8%. The moisture content of particular embodiments is about 8%.

The invention also relates to an enteric gel composition having an enteric polymer

to film-former polymer ratio of from about 20:80 to about 45:55.

The invention also relates to an enteric gel composition having a plasticizer to polymer ratio from about 10% to about 50% of the polymer weight. In one embodiment, the ratio is about 25%.

5 Capsules prepared in accordance with the methods of the invention can be used to contain a hydrophilic fill solution or suspension containing polyethylene glycol. Alternatively, the fill composition can be a hydrophobic solution or suspension, such as vegetable oils or shortening, or waxes, or combinations thereof.

10 The present invention provides enteric gel mass compositions that do not require cross-linking to achieve the desired enteric properties. Cross-linking treatments can cause potential toxicity concerns, and can lead to an uncontrolled process which lead to a product subject to deterioration over time. Further, such cross-linking adds an additional step to the manufacturing process.

## 15 Detailed Description of the Invention

This invention discloses a composition, process of manufacture and use of enteric oral solid dosage forms, namely tablets, and hard and soft capsules that do not require a coating or cross-linking in order to possess enteric properties. The gel mass of this invention can be made by mixing a film-forming polymer with an acid-insoluble polymer, and plasticizing materials to form a homogeneous mixture, in presence of a solvent.

20 In a one embodiment, the invention provides an acid-insoluble polymer within the film-former mass that renders the total mass an enteric material, at relatively low concentrations of the acid-insoluble polymer (from about 8% to about 20% of the total wet gel mass) and without the need of excessive amounts of alkali, thus avoiding degradation or weakening of the film-forming polymer. In one embodiment, the ratio of acid-insoluble polymer to film-forming polymer is greater than about 1:4.

30 Films made by casting the final gel mass do not dissolve or disintegrate in acids, such as 0.1 M hydrochloric acid, despite the fact that the majority of shell ingredients (more than 50%) normally dissolve in, or are miscible with, acids. Enteric films made using the disclosed compositions remain substantially intact in hydrochloric acid. Further, enteric films of this invention reduce migration of small molecules such as methylene blue through them in acidic environments. In another embodiment, the final gel mass provides

films of increased strength without substantially compromising film elasticity. Moreover, casting films according to the invention are able to be sealed at normal temperature range typically used for making softgel capsules (from about 80° F to about 105° F) or can be used to surround or enrobe tablets to make them enteric. The gel masses can also be cast  
5 around pins to form two-piece hard capsules as illustrated in Remington's Pharmaceutical Sciences, 18<sup>th</sup> edition, published by Mack Publishing Co., Easton, PA (1990)

Examples of film-former polymers that are useful in this invention can be of natural origin, preferably gelatin, or of synthetic nature such as hydroxypropyl methyl cellulose. Examples of acid-insoluble polymers are cellulose acetate phthalate (CAP), cellulose  
10 acetate butyrate, hydroxypropyl methyl cellulose phthalate, algenic acid salts such as sodium or potassium alginate, shellac, acrylic acid-methacrylic acid copolymers (available under the trade name of EUDRAGIT (Rohm America Inc., Piscataway, NJ) as powder or 30% aqueous dispersion, or under the trade name of EASTACRYL as 30% dispersion (Eastman Chemical Company, Kingsport, TN), and sodium alginate. Acrylic-methacrylic  
15 acid copolymers are particularly stable and may be preferred in some embodiments. Acid-insoluble polymers specifications are detailed in the United States Pharmacopoeia.

Useful plasticizers according to the invention are glycerol, sorbitol, polyethylene glycol, citric acid, citric acid esters, such as tri-ethyl citrate, or combinations thereof. The composition ratio between the film-former and the acid-insoluble polymer is adjusted so  
20 that the gel mass can be made into soft capsules. Without being limited to any mechanism of performance, it has been discovered that enteric capsules can be made with an acid-insoluble polymer comprising as little as about 8% of the total content of the wet shell mass, and as high as about 30% of the total wet shell mass. The weight ratio range of acid-insoluble polymer/film-former polymer is from about 25% to about 50%. The range can be  
25 from about 30% to about 40%.

In one embodiment of the process aspect of the invention, enteric gel masses can be made by dissolving the acid-insoluble polymer powder in aqueous solution of an alkali such as ammonia, sodium hydroxide, or potassium hydroxide, or liquid amines such as tri-ethanol amine or ethylene diamine. The amount of alkali is adjusted to give a final pH  
30 value of the gel mass less than or equal to about 9.0 pH units. In one embodiment, the final pH does not exceed 8.5. Volatile alkalis such as ammonia and ethylene diamine are preferred. The film-former can then be wetted by the plasticizer and mixed with the acid-

insoluble gel to make a final homogeneous mix in a heat-controlled vessel and can be degassed by using vacuum. The alkali concentrations do not require an additional step such as heating or neutralizing with acid in order to neutralize the gel mass or the finished capsules.

5 In another embodiment of the process aspect of the invention, the enteric gel can be made by using a ready-made aqueous dispersion of the acid-insoluble polymer by adding alkaline materials such as ammonium, sodium, or potassium hydroxides or other alkalis that will cause the acid-insoluble polymer to dissolve, such as triethanol amine or ethylene diamine or a combination thereof. The plasticizer-wetted, film-forming polymer can then  
10 be mixed with the solution of the acid-insoluble polymer. In a third embodiment of the process aspect of this invention, acid-insoluble polymers in the form of salts of the above-mentioned bases or alkalis can be dissolved directly in water and mixed with the plasticizer-wetted, film-forming polymer.

The enteric composition of the invention, comprising a film-forming polymer (*e.g.*,  
15 gelatin or a synthetic polymer) and at least one enteric, acid-insoluble polymer, can be used to contain a fill that is liquid, semi-solid, or solid.

## EXAMPLES

### 20 Example 1 Enteric gel mass

A gel mass was made according to the formula below. The acid-insoluble polymer, EUDRAGIT L 100 was dissolved in the water-alkali vehicle, and triethyl citrate was then added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol, and added to the enteric polymer solution, mixed for 2 hours and kept  
25 overnight at 60°C.

Gelatin	36.00%
EUDRAGIT L 100	9.00%
Glycerol	18.00%
Triethyl citrate	0.90%
Ammonium Hydroxide (30% w/v)	2.40%
Water	33.70%



**Example 2 Enteric soft capsules with oil-based fill**

Gel mass of Example 1 was cast as a ribbon with 0.03" thickness on a cold drum (10° C to 13° C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration testing as per the requirement of current USP.

**Example 3 Enteric soft capsules with oil-based fill**

Gel mass of Example 1 was cast into a ribbon with 0.03" thickness on a cold drum (10° C to 13° C). This ribbon is utilized for encapsulation of medium chain tryiglyceride oil using 12 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 4 Enteric soft capsules with oil-based fill**

Gel mass of Example 1 was casted as a ribbon with 0.03" thickness on a cold drum (10° C to 13° C). This ribbon is utilized for encapsulation of medium chain tryiglyceride oil using 20 oblong die. The capsules were dried and subjected for dissolution / disintegration analysis as per the requirements of current USP.

**Example 5 Enteric soft capsules with oil-based fill containing a biphosphonate**

Alendronate Sodium	1.54%
Hydrogenated Soybean Oil	7.45%
Soybean Oil	83.55%

Hydrogenated soybean oil was melted at 65°C with soybean oil and to this mixture, Alendronate sodium was added and mixed using homogenizer at 1000 rpm for 5 minutes.

Gel mass of Example 1 was cast as a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of above mentioned fill using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 6 Enteric soft capsules with hydrophilic fill**

Alendronate Sodium	1.26%
Polyethylene glycol (3350)	4.94%
Polyethylene glycol (400)	93.80%

Polyethylene glycol was melted at 55<sup>0</sup>C with polyethylene glycol (400) and to this mixture, Alendronate sodium was added and mixed using a homogenizer at 1000 rpm for 5 minutes.

Gel mass of Example 1 was cast into a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of above mentioned fill using 7.5 oval die. Capsules were dried and subjected to dissolution/disintegration analysis as per the requirements of current USP.

#### **Example 7 Enteric soft capsules with a hydrophilic/hydrophobic fill**

Alendronate Sodium	1.26%
Water	10.00%
Hydrogenated Soybean Oil	7.47%
Vegetable Shortening	7.47%
Soybean Oil	73.50%

Alendronate sodium was dissolved in water (part 1). Hydrogenated soybean oil and vegetable shortening was melted at 65°C with soybean oil (part 2). Part 1 and 2 is mixed and passed five times through the MICROFLUIDIZER<sup>®</sup> at 10,000 psi.

Gel mass of example 1 was casted as a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of above mentioned Alendronate fill using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

#### **Example 8 Enteric gel mass**

A gel mass was made according to the formula below. The acid-insoluble polymer, EUDRAGIT L 100 was dissolved in the water-alkali vehicle, triethyl citrate was then added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol. And added to the enteric polymer solution, mixed for 2 hours and was kept overnight at 60<sup>0</sup>C.

Gelatin	36.00%
EUDRAGIT L 100	9.00%
Glycerol	18.00%
Triethyl citrate	0.90%
Sodium Hydroxide	2.80%
Water	33.30%

**Example 9 Enteric soft capsules with oil-based fill**

Gel mass of Example 6 was cast into a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 12 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 10 Enteric soft capsules with oil-based fill**

Gel mass of example 6 was cast into a ribbon with 0.025" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 12 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 11 Enteric soft capsules with oil-based fill**

Gel mass of example 6 was cast into a ribbon with 0.020" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 12 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 12 Enteric soft capsules with oil-based fill**

Gel mass of example 6 was cast into a ribbon with 0.015" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 12 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 13 Enteric gel mass**

A gel mass was made according to the formula below. The acid-insoluble polymer, EUDRAGIT L 100 was dissolved in the water-alkali vehicle, triethyl citrate was then

added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol. And added to the enteric polymer solution, mixed for 2 hours and was kept overnight at 60°C.

Gelatin	31.50%
EUDRAGIT L 100	13.50%
Glycerol	17.55%
Triethyl citrate	1.35%
Ammonium Hydroxide (30% w/v)	3.60%
Water	32.50%

5

**Example 14 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.050" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 7.5 oval die. The capsules were dried and subjected for  
10 dissolution/disintegration analysis as per the requirement of current USP.

**Example 15 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.045" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain  
15 tryiglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 16 Enteric soft capsules with oil-based fill**

Gel mass of example 13 was cast into a ribbon with 0.040" thickness on a cold  
20 drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 17 Enteric soft capsules with oil-based fill**

25 Gel mass of Example 13 was cast into a ribbon with 0.035" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of medium chain tryiglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 18 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.030" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of medium chain tryglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 19 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.025" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of medium chain tryglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 20 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.020" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of medium chain tryglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 21 Enteric soft capsules with oil-based fill**

Gel mass of Example 13 was cast into a ribbon with 0.015" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of medium chain tryglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 22 Enteric soft capsules with oil-based fill containing garlic**

Garlic extract was mixed with soybean oil at 200 rpm using a propeller mixer.

Garlic extract	0.32%
Soybean Oil	99.68%

Gel mass of Example 13 was cast into a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of above-mentioned garlic fill using

7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 23 Enteric soft capsules with oil-based fill containing Omeprazole**

Vitamin E TPGS and CREMOPHOR RH40 were melted with medium chain triglyceride at 40°C. Omeprazole was added to the above mixture and mixed well.

Omeprazole	7.40%
Medium chain triglyceride	63.00%
Vitamin E TPGS	7.40%
Cremophor RH 40	22.20%

Gel mass of Example 13 was casted as a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of above mentioned Omeprazole fill using 3 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 24 Enteric gel mass**

A gel mass was made according to the formula below. The acid-insoluble polymer, EUDRAGIT L 100 was dissolved in the water- alkali vehicle, triethyl citrate was then added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol. And added to the enteric polymer solution, mixed for 2 hours and was kept overnight at 60°C.

Gelatin	27.00%
EUDRAGIT L 100	18.00%
Glycerol	17.10%
Triethyl citrate	1.80%
Ammonium Hydroxide (30% w/v)	4.80%
Water	31.30%

**Example 25 Enteric soft capsules with oil-based fill**

Gel mass of Example 24 was cast into a ribbon with 0.030" thickness on a cold drum (10°C to 13°C). This ribbon is utilized for encapsulation of medium chain triglyceride oil using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirement of current USP.

**Example 26 Hydrophilic enteric soft capsules containing Bisacodyl**

Acetic acid was added to polyethylene glycol (400) and mixed well. Bisacodyl was added to the polyethylene glycol/acetic acid mixture and mixed using a propeller mixture  
5 at 60°C for 30 minutes (till completely dissolved).

Bisacodyl	1.40%
Acetic acid	1.00%
Polyethylene glycol (400)	97.60%

Gel mass of Example 23 was cast into a ribbon with 0.03" thickness on a cold drum (10°C to 13°C). This ribbon was utilized for encapsulation of above-mentioned Bisacodyl  
10 fill using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 27 Hydrophobic enteric soft capsules containing bisacodyl**

Vegetable shortening, hydrogenated vegetable oil, and beeswax were melted with  
15 soybean oil at 65°C. To this wax mixture, Bisacodyl was added and mixed using a propeller mixer and the mix is cooled back to room temperature.

Bisacodyl	1.40%
Vegetable Shortening	10.00%
Hydrogenated Soybean Oil	2.50%
Beeswax	2.50%
Soybean Oil	83.60%

Gel mass of Example 24 was cast into a ribbon with 0.03" thickness on a cold drum  
20 (10 to 13°C). This ribbon was utilized for encapsulation of above-mentioned Bisacodyl fill using 7.5 oval die. The capsules were dried and subjected for dissolution/disintegration analysis as per the requirements of current USP.

**Example 28 Methylene blue permeation studies using dialysis chamber**

25 Methylene blue (water soluble dye) was dissolved in 0.1 N HCl placed on one side of the chamber and plain 0.1 N HCl on the other side of the chamber separated using enteric gel mass according to Example 1. This setup was placed in shaking water bath at

37°C, samples were removed up to 2 hours at periodic time intervals of 10, 20, 30, 45, 60, 90 and 120 minutes.

Up to 60 minutes, no significant release of methylene blue was observed. After 60 minutes, the release was less than 10%.

5

**Example 29 Gel masses having various ratios of gelatin-to-polymer and different ribbon thicknesses**

Gel masses made based on Example 24 where the enteric polymer and gelatin  
10 comprise 45% by weight were manufactured having polymer to gelatin weight ratios of 1:19 (5%), 1:9 (10%) and 1:5.7(15%). The resulting gel masses were cast as films and characterized for enteric properties using USP disintegration and dissolution apparatus: 5, 10, and 15% polymer films failed the enteric disintegration test

These experiments suggest that both 5 and 10% polymer concentration may not be  
15 enough to withstand the acidic pH (enteric properties) whereas 20% passes dissolution but really too weak for disintegration. Therefore 20% polymer may be minimum effective level of EUDRAGIT polymer to achieve acceptable enteric properties.

An 80:20 gelatin-to-polymer ratio gel mass was manufactured to verify the  
feasibility in the pilot gel reactor. The resulting gel mass was encapsulated at different  
20 ribbon thickness such as 0.015", 0.025" and 0.030" with two different speeds such as 3.0 and 3.5 RPM.

The 80:20 compositions with ammonium hydroxide, using EUDRAGIT  
formulations passed the enteric tests at. After 3 months stability at 40°C / 75% RH, the  
80:20 composition were of border quality. The 70:30 ammonium hydroxide formulations  
25 with 0.035" 0.040", 0.045" and 0.050" ribbon thickness were attempted 0.035" ribbon thickness capsules, exhibited no swelling and stayed intact in SGF: they dissolved within 25 minutes in SIF. The 0.040" ribbon thickness capsules exhibited no swelling and stayed intact in SGF: they dissolved between 30 and 60 minutes in SIF. Thinner ribbons were also used for the same formula as shown in Table 1.

30



Table 1: Example Parameter and Testing Summary

No.	Parameters				USP Enteric Disintegration Test	
	Gelatin: Eudragit® [A to B]	Eudragit® solubilizer	Capsule size	Ribbon thickness	Acid	pH 6.8
1	70:30	NH <sub>4</sub> OH	7.5 oval	0.030"	Pass Intact capsules	Pass Disappeared in 30 minutes
2	70:30	NH <sub>4</sub> OH	7.5 oval	0.025"	Pass Intact capsules	Pass Disappeared in 30 minutes
3	70:30	NH <sub>4</sub> OH	7.5 oval	0.015"	Pass Intact capsules	Pass Disappeared in 15 minutes

5 **Example 30 Enteric gel mass containing cellulose acetate phthalate (CAP) and using ammonium hydroxide as the alkali solubilizer**

A gel mass was made according to the formula below. The acid-insoluble polymer, (CAP) was dissolved in the water-alkali vehicle, and triethyl citrate was then added. The  
10 film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol, and added to the enteric polymer solution, mixed for 2 hours and kept overnight at 60°C.

15	Cellulose Acetate Phthalate as 30% W/V dispersion	
	CPD – 30 -----	13.30%
	Gelatin -----	31.50%
	Triethyl Citrate -----	1.35%
	Glycerol -----	17.55%
	Ammonium Hydroxide -----	1.48%
20	Water -----	34.82%

**Example 31 Enteric gel mass containing cellulose acetate phthalate (CAP) and using sodium hydroxide as the alkali solubilizer**

25 A gel mass was made according to the formula below. The acid-insoluble polymer, CAP was dissolved in the water-alkali vehicle, and triethyl citrate was then added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol, and added to the enteric polymer solution, mixed for 2 hours and kept overnight at 60°C.

	Cellulose Acetate Phthalate as 30% W/V dispersion	
	CPD – 30 -----	13.18%
	Gelatin -----	31.50%
5	Triethyl Citrate -----	1.35%
	Glycerol -----	17.55%
	Sodium Hydroxide -----	1.60%
	Water -----	34.82%

#### 10 **Example 32 Enteric gel with shellac**

A gel mass was made according to the formula below. The acid-insoluble polymer shellac was dissolved in the water-alkali vehicle, and triethyl citrate was then added. The film-forming polymer, gelatin (lime bone, 150 bloom) was mixed with the plasticizer, glycerol, and added to the enteric polymer solution, mixed for 2 hours and kept overnight  
 15 at 60°C.

	Ammoniated Shellac (25% W/V solution) -----	36.00%
	Gelatin -----	36.00%
	Glycerol -----	18.00%
20	Triethyl citrate -----	0.90%
	Water -----	9.10%

#### **Example 33 Preparation of enteric aspirin**

25 Enteric aspirin tablets were made using the enteric gel mass of Example 24 and a rotary die machine as per the process described in US Patent Nos. 5,459,983, 5,146,730 and 6,482,516.

What is claimed is:

1. A gel mass composition comprising
  - a) a film-forming, water-soluble polymer,
  - b) an acid-insoluble polymer; and
  - c) an aqueous solvent; and, optionally,
  - d) at least one plasticizer; and, optionally,
  - e) a coloring agent.
2. The gel mass composition of claim 1, wherein the film-forming, water-soluble polymer is proteinaeous.
3. The gel mass composition of claim 2, wherein the proteinaeous film-forming, water-soluble polymer is gelatin.
4. The gel mass composition of claim 3, wherein the gelatin is extracted from animal bones or skins, and has about 100 to about 250 blooms.
5. The gel mass composition of claim 1, wherein the film-forming, water-soluble polymer is a carbohydrate.
6. The gel mass composition of claim 5, wherein the carbohydrate is selected from the group consisting of hydroxypropyl methylcellulose and methyl cellulose.
7. The gel mass composition of claim 1, wherein the film-forming, water-soluble polymer is selected from the group consisting of acrylic and methacrylic acid copolymers, cellulose acetate esters such as phthalate, butyrate, hydroxypropyl methyl cellulose phthalate, and salts thereof.
8. The gel mass composition of claim 1, further comprising at least one plasticizer selected from the group consisting of sorbitol, glycerol, polyethylene glycol, polyalcohols with 3 to 6 carbon atoms, citric acid, citric acid esters, triethyl citrate, and

combinations thereof.

9. The gel mass composition of claim 1, wherein the aqueous solvent is water.
10. The gel mass composition of claim 1, wherein the aqueous solvent is an alkaline aqueous solution.
11. The gel mass composition of claim 1, wherein the alkaline aqueous solution comprises an alkali selected from the group consisting of ammonia, sodium hydroxide, potassium hydroxide, ethylenediamine, hydroxylamine, and tri-ethanolamine.
12. The gel mass composition of claim 1, wherein the alkaline aqueous solution comprises a volatile alkali.
13. The gel mass composition of claim 12, wherein the volatile alkali is selected from the group consisting of ammonia and ethylenediamine.
14. The gel mass composition of claim 11, wherein the aqueous solution is a hydroalcoholic solution.
15. The gel mass composition of claim 10, where the final pH of the gel mass is less than or equal to about 9.0 pH units.
16. The gel mass composition of claim 10, where the final pH of the gel mass is less than or equal to about 8.5.
17. A gel mass composition comprising
  - a) a film-forming, water-soluble polymer,
  - b) an acid-insoluble polymer; and
  - c) an aqueous solvent;wherein the moisture content of the gel mass composition is from about 2% to

about 10%.

18. The gel mass composition of claim 20, wherein the moisture content is from about 4% to about 8%.
19. The gel mass composition of claim 20, wherein the moisture content is about 8%.
20. A gel mass composition comprising
  - a) a film-forming, water-soluble polymer,
  - b) an acid-insoluble polymer; and
  - c) an aqueous solvent;wherein the ratio of acid-insoluble polymer to film-former polymer is from about 20:80 to about 45:55 by weight.
21. A gel mass composition comprising
  - a) a film-forming, water-soluble polymer,
  - b) an acid-insoluble polymer;
  - c) an aqueous solvent; and
  - d) a plasticizer,wherein the ratio of plasticizer to film-forming, water-soluble polymer is from about 1:9 to about 1:1 by weight.
22. The gel mass composition of claim 24, wherein the ratio of plasticizer to film-forming, water-soluble polymer is about 1:3 by weight.
23. An enteric soft capsule shell composition, comprising
  - a) a film-forming, water-soluble polymer,
  - b) an acid-insoluble polymer; and
  - c) an aqueous solvent;wherein the moisture content of the gel mass composition is from about 2% to about 10%, and the ratio of acid-insoluble polymer to film-former polymer is from

about 20:80 to about 45:55 by weight.

24. A process of manufacturing a shell composition into soft capsules, the process comprising
  - a) preparing a solution comprising a film-forming, water-soluble polymer and an acid-insoluble polymer and mixing with appropriate plasticizers to form a gel mass;
  - b) casting the gel mass into films or ribbons using heat-controlled drums or surfaces; and
  - c) forming a soft capsule using rotary die technology.
25. The process of claim 17, wherein the thickness of the films or ribbons is from about 0.015 inches to about 0.050 inches.
26. The process of claim 17, wherein the thickness of the films or ribbons is about 0.020 inches.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/20579

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K9/48 A61K31/4439 A61K31/00 A61K31/663 A61K31/4402  
A61K35/78 A61K31/355 A61P1/10 A61P19/10 A61P1/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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X	<p>WO 01 24780 A (VENKATESWARA RAO PAVULURI ;KHADGAPATHI PODILI (IN); NATCO PHARMA L) 12 April 2001 (2001-04-12) the whole document page 1, line 5-15 page 3, line 6-11 page 5, line 20 -page 6, line 7 page 7, line 1-10 - line 35-40 examples 2,5,6,9 claims 1-4,6-8</p> <p style="text-align: center;">--- -/--</p>	1-26

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

10 October 2003

Date of mailing of the international search report

21/10/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Luangkhot, N

# INTERNATIONAL SEARCH REPORT

International Application No

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