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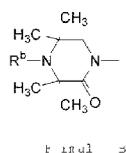
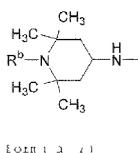
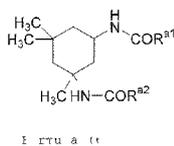
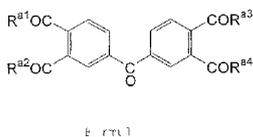
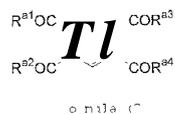
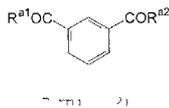
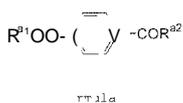
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(54) Title: POLYMER COMPOSITIONS WITH IMPROVED BARRIER PROPERTIES AGAINST THE PERMEATION OF OXYGEN



(57) Abstract: The present invention relates to polymer compositions comprising (a) a polymer containing hydroxyl alkylene repeating units and (b) an additive selected from compounds of the formulae (1) to (6) wherein R<sup>a1</sup>, R<sup>a2</sup>, R<sup>a3</sup> and R<sup>a4</sup> can be the same or different and independently represent a group selected from the moieties having formula (A) or formula (B), wherein R<sup>b</sup> is selected from hydrogen, Cus alkyl, Cus alkoxy and -CO-Ci-4 alkyl.

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## **Polymer compositions with improved barrier properties against the permeation of oxygen**

### **Field of the Invention**

The invention relates to polymer compositions comprising polymers containing hydroxy alkylene repeating units such as ethylene -vinyl alcohol copolymers (EVOH) and polyvinyl alcohol (PVOH) which have improved barrier properties against the permeation of oxygen.

### **Background of the Invention**

Polymer compositions comprising EVOH and/or PVOH have been commonly used in order to impart oxygen barrier properties to polymer compositions. Oxygen barrier properties reduce the oxygen permeation through said polymer composition. A reduced oxygen permeation is an important feature of polymer compositions to be used in the field of packaging materials, in particular in packaging materials used for packaging of goods prone to deterioration by the action of oxygen such as food products, pharmaceutical products, and products for diagnostic purposes.

One of the advantages of using polymers such as EVOH and/or PVOH instead of other materials imparting oxygen barrier properties such as thin metal foil or vapour-deposited layers of inorganic oxides is that polymer processing techniques such as extrusion, injection molding, film blowing etc. are available which allow to produce articles with closed, pin-hole-free surfaces. However, EVOH and PVOH suffer from the drawbacks of being water-sensitive. On the other hand, the use of thick layers in order to improve oxygen barrier properties is less favourable due to the high costs of the material and its opaque appearance. In order to maintain the oxygen barrier properties of an EVOH and/or PVOH film, it is usually necessary to coat or laminate said films with

moisture-barrier materials such as polyolefins. Providing such moisture-barrier layers, i.e. manufacturing a multilayer film having an inner layer containing EVOH and/or PVOH, implies increased complexity of the manufacturing process. The individual layers of said multilayer film have to be assembled in such a manner that problems like a separation of the individual layers (delamination) during use of the film is prevented.

In order to accomplish this, it is necessary to select; the polymers from which the moisture barrier layers are prepared such that no compatibility problems with EVOH and/or PVOH arises in order to avoid delamination. For this reason, polyolefins have been commonly used as moisture-barrier layers .

Furthermore, processing steps such as co-extrusion, heat-laminating etc. of the individual thin layers have to be applied which implies that more complex manufacturing equipment is required. Furthermore, providing a layer in a thickness sufficient to impart satisfactory moisture-barrier properties also implies an increase of the material costs besides technical constraints with respect to the multilayer film.

Hence, it is desirable to improve the oxygen barrier properties of polymers containing hydroxyl alkylene repeating units, in particular polymers containing hydroxyl ethylene repeating units such as EVOH or PVOH, in terms of their stability against moisture such that in comparison to commonly known barrier films the thickness of the moisture-barrier layers can be reduced. It is even more desirable to improve the oxygen barrier properties of the afore-mentioned polymers in terms of their stability against moisture to such an extent that no moisture-barrier layers are required.

US 2002/0022144 A1 and US 2004/0058178 A1 describe the concept of blocking the hydroxyl groups of an EVOH polymer in order to improve the oxygen barrier properties by reacting said hydroxyl groups with an aldehyde such that an acetyl moiety is formed. It is evident that carrying out said reaction involves additional time and efforts, in particular as the reaction is carried out by either dissolving or suspending the EVOH copolymer, said aldehyde and a catalyst in an appropriate solvent such as acetic acid, water, ethanol or a mixture thereof, which solvent has to be removed after completion of the reaction. Said procedure requires time, reaction equipment and the supply of materials such as said aldehyde and solvents. Furthermore, dissolving the polymeric material in a concentration sufficiently high in order to ensure a satisfactory reaction rate and the subsequent removal of the solvents can be cumbersome.

The object of the present invention is to improve the oxygen barrier properties of polymers containing hydroxyl alkylene repeating units, in particular polymers containing hydroxyl ethylene repeating units such as EVOH or PVOH, in a simple and efficient manner.

Said object is accomplished in the manner described herein below .

### **Description of the Invention**

Surprisingly, it was found that the object of the invention can be achieved by providing a composition comprising

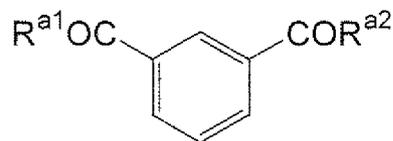
- (a) a polymer containing hydroxyl alkylene repeating units in an amount of at least 10 % relative to the total number of repeating units present in the polymer (a)

and

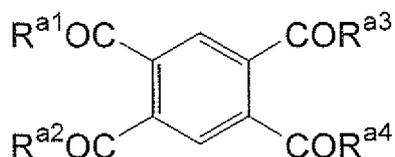
(b) 0.1 to 5% by weight relative to said polymer (a) of at least one additive selected from the compounds represented by the following formulae (1) to (6)



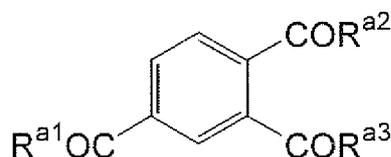
Formula (1)



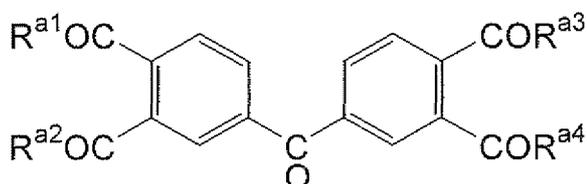
Formula (2)



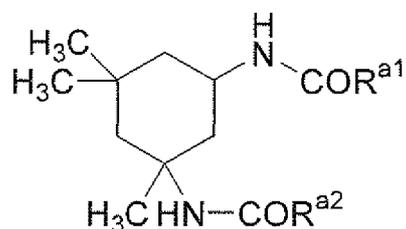
Formula (3)



Formula (4)



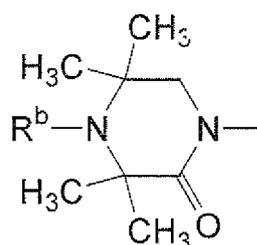
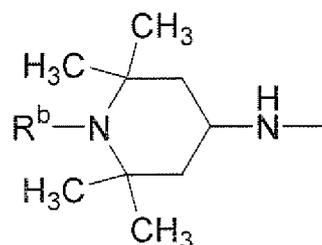
Formula (5)



Formula (6)

wherein

R<sup>a1</sup>, R<sup>a2</sup>, R<sup>a3</sup> and R<sup>a4</sup> can be the same or different and independently represent a group selected from the moieties having formula (A) or formula (B),



Formula (A)

Formula (B)

wherein

$R^b$  is selected from hydrogen,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxy and  $-CO-C_{1-4}$  alkyl.

In the following, the components of said composition of the present invention will be explained in more detail.

### **Polymer containing Hydroxyl Alkylene Repeating Units**

Polymer (a) as present in the composition according to the present invention is characterised in that it contains hydroxyl alkylene repeating units. Said hydroxyl alkylene repeating units can be hydroxyl ethylene, 1-hydroxyl propylene, and 1-hydroxyl n-butylene.

Said hydroxyl alkylene repeating units can be of a single type or several types of hydroxyl alkylene repeating units can be present.

Polymer (a) as present in the composition according to the present invention can have various general constitutions:

- (i) It can exclusively consist of one type of hydroxyl alkylene repeating units .
- (ii) It can consist of two or more types of hydroxyl alkylene repeating units.
- (iii) It can consist of one type of hydroxyl alkylene repeating units and repeating units of at least one type other than hydroxyl alkylene repeating units,
- (iv) It can consist of two or more types of hydroxyl alkylene repeating units and repeating units of at least one type other than hydroxyl alkylene repeating units .

The number of hydroxyl alkylene repeating units relative to the total number of repeating units present in the polymer (a) used in the present invention can generally vary over a broad range. However, the presence of hydroxyl alkylene repeating units is of importance for the oxygen barrier properties of the polymer. Therefore, it is essential that a specific minimum content of the hydroxyl alkylene repeating units is present in the polymer in order to impart oxygen barrier properties to the composition of the present invention.

When a repeating unit of a type other than hydroxyl alkylene repeating units is contained in polymer (a), the lower limit for the number of hydroxyl alkylene repeating units in polymer (a) generally is 10 % relative to the total number of repeating units present in the polymer. Preferably, it is 15 %, more preferably it is 30 %, even more preferably it is 50 % and most preferably it is 70 %.

Said repeating unit other than a hydroxyl alkylene repeating unit can be for example ethylene, n-propylene, i-propylene, 2-methylpropylene, n-butylene, and i-butylene.

In a preferred embodiment, said repeating unit is ethylene.

In a more preferred embodiment, said repeating unit is ethylene and is combined with hydroxyl ethylene as the hydroxyl alkylene repeating unit.

In a most preferred embodiment, the composition comprises as said polymer (a) ethylene-hydroxyl ethylene copolymer, to which it is also referred as ethylene-vinyl alcohol copolymer (EVOH), wherein the content of hydroxyl ethylene repeating units is in the range of 10 % to less than 100 %, preferably in a range of 15 % to less than 100 %, more preferred in a range of from 30 % to less than 100%, even more preferably in a range of from 50 % to less than 100%, still more preferably

in a range of from 65% to less than 100 % and most preferably in a range from 75% to less than 100 %.

In another preferred embodiment, no repeating unit of a type other than a specific hydroxyl alkylene repeating unit is contained in polymer (a) such that the content of said hydroxyl alkylene repeating unit is 100 % and the polymer is hence a homopolymer .

In a particularly preferred embodiment, no repeating unit of a type other than hydroxyl ethylene repeating units is contained in polymer (a), i.e. only hydroxyl ethylene repeating units are present in polymer (a) and the polymer is hence a homopolymer such that the content of hydroxyl ethylene repeating units is 100 %. In this case, the polymer can also be referred to as polyvinyl alcohol (PVOH) .

Polymer (a) is not particularly limited with respect to its molecular weight as long as the polymer is suitable for the intended purpose of the composition, i.e. for instance for the formation of films. This implies that the molecular weight has to be in such a range that dimensional stability of the composition under the conditions of the intended use is ensured.

### **Additive**

In the present invention, a suitable additive is any compound having a substructure resulting from a combination of a moiety represented by one of the above formulae (1) to (6) with a moiety  $R^a$  as represented by formulae (A) or (B) .

In the relation to said formulae (1) to (6), a C<sub>1-6</sub> alkyl group as represented by  $R^b$  is a group selected from methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, sec-butyl, tert-butyl, n-pentyl, 1-methylbutyl, 2-methylbutyl, 3-methylbutyl, 1,1-dimethylpropyl, 1,2-dimethylpropyl, 2,2-dimethylpropyl,

n-hexyl, 1-methylpentyl, 2-methylpentyl, 3-methylpentyl, 4-methylpentyl, 1,1-dimethylbutyl, 1,2-dimethylbutyl, 1,3-dimethylbutyl, 2,3-dimethylbutyl, 2,2-dimethylbutyl, 3,3-dimethylbutyl, 1-ethylbutyl, 2-ethylbutyl, 1-ethyl-2-methylpropyl, 1,1,2-trimethylpropyl, and 1,2,2-trimethylpropyl .

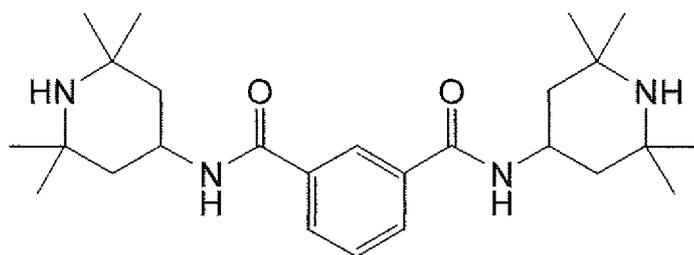
A  $C_{1-6}$  alkoxy group as represented by  $R^P$  is a  $C_{1-6}$  alkyl group as described hereinabove that is linked to the nitrogen atom of the moiety represented by  $R^a$  by means of an oxygen atom.

A  $-CO-C_{1-4}$  alkyl group as represented by  $R^b$  is a  $C_{1-4}$  alkyl group as described hereinabove that is linked to the nitrogen atom of the moiety represented by  $R^a$  by means of a  $-CO-$  group .

In a preferred embodiment of the present invention, the additive is a compound containing the substructure represented by above formula (1) or (2), wherein  $R^{a1}$  and  $R^{a2}$  independently are a moiety selected from above formula (A) and (B), wherein  $R^b$  is selected from hydrogen, methyl, ethyl,  $CHO-$ , methyl- $CO-$ , and ethyl- $CO-$ .

In a more preferred embodiment, the additive is a compound containing the substructure represented by above formula (2), wherein  $R^{a1}$  and  $R^{a2}$  both are a moiety represented by above formula (A), wherein  $R^b$  is selected from hydrogen, methyl, ethyl,  $CHO-$ , methyl- $CO-$ , and ethyl- $CO-$  .

A particularly preferred additive is a compound containing the substructure represented by above formula (2), wherein  $R^{a1}$  and  $R^{a2}$  both are a moiety represented by above formula (A), wherein  $R^b$  is hydrogen, i.e.  $N,N^1$ -bis {2,2,6,6-tetramethyl-4-piperidyl} -1,3-benzenedicarboxamide (formula 7) . Said additive is available under the trade name Nylostab®-SEED® from Clariant.



Formula 7

Generally, the additive can be contained in an amount ranging from 0.1 to 5% by weight, relative to the amount of polymer (a) .

Preferably, the additive can be contained in an amount ranging from 0.15 to 3% by weight. More preferably, the additive is contained in an amount ranging from 0.2 to 2% by weight. Most preferably, the additive is contained in an amount ranging from 0.3 to 0.5 % by weight.

In a preferred embodiment of the present invention, the composition comprises EVOH as said polymer (a) and the additive represented by above formula (7), wherein the content of hydroxyl ethylene repeating units is as described hereinabove .

In another preferred embodiment of the present invention, the composition comprises PVOH as said polymer (a) and the additive represented by above formula (7).

The composition of the present invention may further comprise common additives such as stabilizers against thermooxidative and light-Induced degradation, UV-absorbers, fillers like mica, processing additives, colorants, clay-based additives ("nanocomposites" ) in amount of 0.005 to 5 % by weight, relative to said polymer (a) .

**Method for Preparing the Composition according to the present invention**

The composition of the present invention can be prepared by any conventional polymer blending technique such as mixing in an extruder or static mixer, preferably in a high speed mixer. The conditions of blending such as temperature and the settings of any mixing means such as the configuration of an extruder, e.g. barrel length, length to diameter ratio, temperature profile, screw settings etc., are selected in accordance with the components to be blended, i.e. the settings required for blending said polymer and said additive .

In the case where the composition comprises EVOH or PVOH as said polymer (a) and a compound of above formula (7) as said additive, it is also preferred to use a high-output twin screw extruder at barrel temperatures in a range from 260 to 280 °C .

**Packaging material**

The composition according to the present invention can be processed into packaging materials such as films, bags, pouches, tubes, cylinders, bottles, canisters etc. wherein the particular shape of the material is appropriately selected depending on the intended purpose of the goods to be packaged.

The composition of the present invention is particularly useful for packaging goods that are prone to a deterioration of desirable properties under the action of oxygen. In this respect, pharmaceuticals or diagnostics can be mentioned which are usually packaged in cylinders, bottles, pouches, canister etc.

Furthermore, food can be mentioned which is usually packaged in films, bags and pouches.

Depending on the conditions of the intended use, the packaging material can comprise an essentially continuous layer of the composition according to the present invention which is laminated on one or both sides with one or more layers of a different material in order to impart desirable properties to the composite. For instance, a layer of a polyolefin can be provided on one or both sides of said layer as a moisture barrier layer in order to provide supplementary protection against moisture. In comparison to conventional oxygen barrier layers containing EVOH and/or PVOH, any layer providing for supplementary protection against moisture can have a significantly lower thickness.

The provision of a layer for supplementary protection against moisture can be contemplated in particular if the packaging material is to be used in an environment of high humidity. For instance, some foods such as raw meat, fish, vegetables, fruits and the like will lead to an atmosphere within the packaging material that has a high humidity content or is even saturated in humidity.

When the packaging material is to be used for packaging pharmaceuticals or diagnostics, in particular if said goods are in solid form, the humidity content of the atmosphere within the packaging material will usually be remote from saturation. In this case, an additional moisture barrier layer might not be necessary. Nevertheless, one or more additional layers can be provided, for instance in order to provide for desirable mechanical properties.

#### **Method for preparing the said packaging material**

The above-described packaging material can be prepared by standard polymer engineering techniques commonly employed for

imparting a shape to thermoplastic polymer compositions. Such techniques include blow-moulding, injection moulding, for instance in order to form canisters, containers, bottles, tubes cylinders etc. If a layer of the composition according to the present invention is to laminated on one or both sides with another layer of a thermoplastic polymer composition, techniques such as co-injection or co-moulding could be employed .

Packaging materials such as films, bags, pouches etc. can be prepared by techniques such as blow-moulding, extruding and melt-stretching, rolling or calendaring in a unidirectional or bidirectional manner.

### **Examples**

In the following, the present invention is illustrated by means of examples .

Granular EVOH (EVAL F 101 B , available from EVAL Europe, a subsidiary company of Kuraray Co., Ltd.) having a content of ethylene repeating units of 32 % was dried at 100 °C for 4 hours. Then the dried EVOH was mechanically mixed with Nylostab® S-EED® powder (tradename, available from Clariant) using a twin screw extruder type Brabender Plasticorder DSK 42/7 at a temperature of 280 °C and a screw speed of 50 rpm. Thus, granular compositions having different concentrations of Nylostab® S-EED® as indicated in Table 1 hereinbelow were prepared which were dried again at 100 °C for 4 hours. From the obtained compositions plaques having a thickness of 1 mm were prepared by compression molding at a temperature of 280 °C .

These plaque samples were stored for 3 days at ambient temperature of 22 °C and 50 % relative humidity. Subsequently, oxygen permeability of each of the plaques was determined.

Oxygen permeability was determined by means of the Systec method at 22 °C and 60 % relative humidity as described by K.G. Gatos, L. Szazdi, B. Pukansky, J. Karger-Kocsis in Macromolecular Rapid Communications, 2005, vol. 26 (11), page 915 ("Controlling the De-Intercalation in Hydrogenated Nitrxle Rubber (HNBR) /Organo-Montmorillonite Nanocomposites by Curing with Peroxide") .

Table 1

concentration of Nylostab S-EED [% by weight]	Oxygen permeation	
	absolute [cm <sup>3</sup> /m <sup>2</sup> /day]	relative %
0.0	42.4	100.0
0.1	27.1	63.9
0.2	4.47	10.5
0.3	4.52	10.7
0.5	5.72	13.5
0.8	3.99	9.4

The above results show a clear decrease of the oxygen permeability of EVOH by the addition of Nylostab® S-EED® at a concentration as low as 0.1 % by weight.

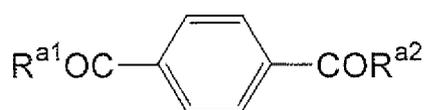
## Claims

1. Composition comprising

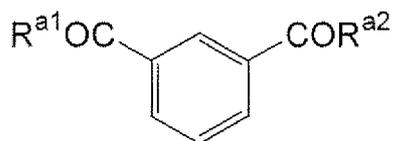
(a) a polymer containing hydroxyl alkylene repeating units in an amount of at least 10 % relative to the total number of repeating units present in the polymer (a),

and

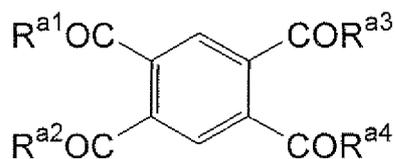
(b) 0.1 to 5 % by weight relative to said polymer (a) of at least one additive selected from the compounds represented by the following formulae (1) to (6)



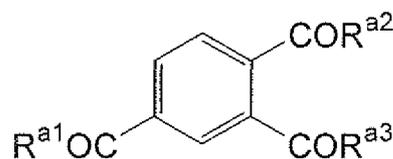
Formula (1)



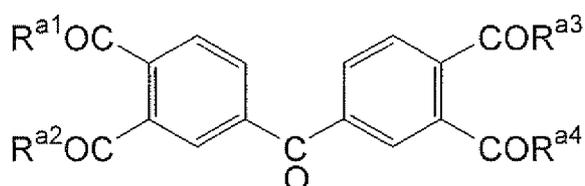
Formula (2)



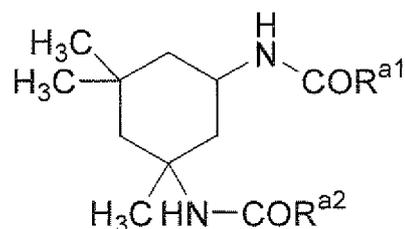
Formula (3)



Formula (4)



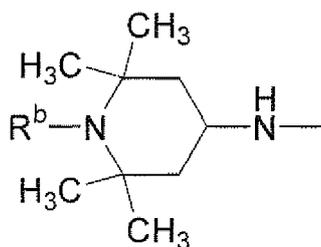
Formula (5)



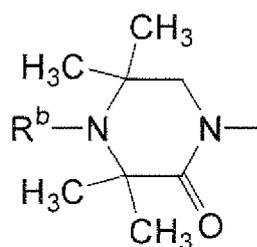
Formula (6)

wherein

$R^{a1}$ ,  $R^{a2}$ ,  $R^{a3}$  and  $R^{a4}$  can be the same or different and independently represent a group selected from the moieties having formula (A) or formula (B),



Formula (A)



Formula (B)

wherein

$R^b$  is selected from hydrogen,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxy and  $-CO-C_{1-4}$  alkyl.

2. Composition according to claim 1, wherein the amount of said hydroxyl alkylene repeating units is at least 80 % relative to the total number of repeating units present in the polymer (a).

3. Composition according to claim 1 or claim 2, wherein said hydroxyl alkylene repeating units in said polymer (a) are hydroxyl ethylene repeating units.

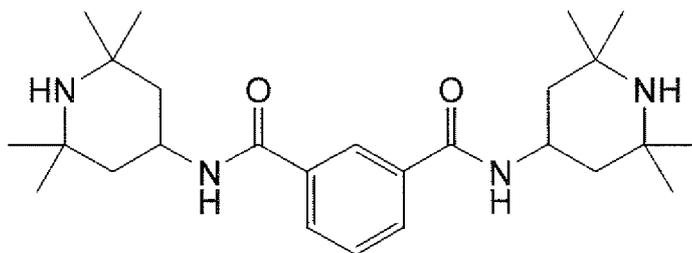
4. Composition according to claim 3, wherein said polymer (a) is a copolymer consisting of hydroxyl ethylene repeating units and ethylene repeating units.

5. Composition according to claim 4, wherein the amount of hydroxyl ethylene repeating units is 75 % to less than 100 % relative to the total number of repeating units present in the polymer (a).

6. Composition according to claim 3, wherein the amount of said hydroxyl ethylene repeating units is 100 % relative to the total number of repeating units present in the polymer (a).

7. Composition according to any of claims 1 to 6, wherein said additive is a compound represented by formula (1) or (2), wherein both  $R^{a1}$  and  $R^{a2}$  represent a moiety having formula (A).

8. Composition according to claim 7, wherein said additive is N,N'-bis(2,2,6,6-tetramethyl-4-piperidyl)-1,3-benzenedicarboxamide as represented by the following formula



9. Composition according to any of claims 1 to 8, wherein said additive is contained in an amount of 0.3-0.5 % by weight relative to said polymer (a).

10. Packaging material comprising the composition according to any of claims 1 to 9.

11. Packaging material according to claim 10 in a form selected from, a film, bag, pouch, tube, cylinder, bottle, canister.

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2009/065815

**A. CLASSIFICATION OF SUBJECT MATTER**  
 INV. C08K5/3435 C08K5/3462 C08L23/08 C08L29/04

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**  
 Minimum documentation searched (classification system followed by classification symbols)  
 C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)  
 EPO-Internal , WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	US 2003/234386 A1 (KROHNKE CHRISTOPH [DE] ET AL) 25 December 2003 (2003-12-25)	1-3,6
A	paragraphs [0001], [0037] - [0040], [0066], [0069], [0082], [0145]; claims 1,3,4,13; examples	4-5,7-11
A	US 4 289 830 A (KNOTT II JACK E) 15 September 1981 (1981-09-15) claims 1-11; table I	1-11
A	US 4 611 019 A (LUTZMANN H HARALD [US] ET AL) 9 September 1986 (1986-09-09) column 2, line 59 - column 3, line 12; claim 1 column 3, lines 47-67	1-11

**D** Further documents are listed in the continuation of Box C  See patent family annex

\* Special categories of cited documents

<p>'A' document defining the general state of the art which is not considered to be of particular relevance</p> <p>'E' earlier document but published on or after the international filing date</p> <p>L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>'O' document referring to an oral disclosure, use, exhibition or other means</p> <p>'P' document published prior to the international filing date but later than the priority date claimed</p>	<p>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>'Y' document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art</p> <p>'&amp;' document member of the same patent family</p>
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Date of the actual completion of the international search	Date of mailing of the international search report
10 March 2010	17/03/2010

Name and mailing address of the ISA/ European Patent Office, P B 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel (+31-70) 340-2040, Fax (+31-70) 340-3016	Authorized officer  Behm, Sonja
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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No <b>PCT/EP2009/065815</b>
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