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(54) Title  
**Acylated cyclodextrin derivatives**

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<b>(21) International Application Number:</b> PCT/US97/18105 <b>(22) International Filing Date:</b> 6 October 1997 (06.10.97) <b>(30) Priority Data:</b> 08/740,778 1 November 1996 (01.11.96) US <b>(71) Applicant (for all designated States except US):</b> POLY-MED [US/US]; 511 Westinghouse Road, Pendleton, SC 29670 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> SHALABY, Shalaby, W. [US/US]; 6309 Highway 187, Anderson, SC 29625 (US). CORBETT, Joel, Thomas [US/US]; Apartment 27, 807 College Avenue, Clemson, SC 29631 (US). <b>(74) Agent:</b> TSAO, Y., Rocky; Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> ACYLATED CYCLODEXTRIN DERIVATIVES  <b>(57) Abstract</b>  A cyclodextrin derivative, wherein at least 60 percent of the free hydroxy groups of said cyclodextrin are acylated with acyl groups where at least one of said acyl groups comprise a free carboxylic group.		

## ACYLATED CYCLODEXTRIN DERIVATIVES

### Background of the Invention

In 1904, Schardinger first characterized  
5 cyclodextrins as cyclic oligosaccharides. The  $\alpha$ ,  $\beta$ , and  
 $\gamma$ -cyclodextrins, which consist of six, seven, and eight  
glucose units, respectively, are the most common natural  
cyclodextrins.

Cyclodextrins have been used as inclusion  
10 complexes by complexing with a guest compound or molecule  
as a host compound or molecule. Such inclusion complexes  
have been used to mask the bitter taste or unpleasant  
odor of a guest compound, to solubilize a hardly soluble  
guest compound, to enlarge the stability of a guest  
15 compound against heat, light, or air, to stabilize  
emulsions, or as a sustained release preparation using a  
hydrophobic alkylated cyclodextrin. See U.S. Patent No.  
4,869,904. However, no one has attempted to make  
carboxyacylated cyclodextrins, or used such cyclodextrin  
20 derivatives to form ionic sustained release compositions.

### Summary of the Invention

In one aspect, the present invention features a  
cyclodextrin derivative, wherein at least 60 percent of  
the free hydroxy groups of said cyclodextrin are  
25 acylated with acyl groups where at least one of said  
acyl groups comprises a free carboxylic group;

said cyclodextrin is  $\alpha$ -cyclodextrin,  $\beta$ -  
cyclodextrin, or  $\gamma$ -cyclodextrin; and

30 said acyl groups are selected from  $\text{COE}_1$ ,  
where  $\text{E}_1$  is selected from the group consisting of  
 $\text{C}_{2-32}$  carboxy alkyl,  $\text{C}_{3-32}$  carboxy alkenyl,  $\text{C}_{7-37}$   
carboxyaryl,  $\text{C}_{8-38}$  carboxyaryl alkyl, and  $\text{C}_{9-39}$   
carboxyaryl alkenyl and  $\text{COE}_2$ , where  $\text{E}_2$  is selected  
35 from the group consisting of  $\text{C}_{1-30}$  alkyl,  $\text{C}_{2-30}$   
alkenyl,  $\text{C}_{6-36}$  aryl,  $\text{C}_{7-37}$  arylalkyl, and  $\text{C}_{8-38}$  aryl  
alkenyl, wherein at least one of said acyl group is  
 $\text{COE}_1$ .



In a preferred embodiment, between 10 and 80 (e.g., between 30 and 80) percent of the free hydroxy groups of the cyclodextrin are acylated with COE<sub>1</sub> and between 10 and 80 (e.g., between 15 and 60) percent of the cyclodextrin are acylated with COE<sub>2</sub>. In still a further preferred embodiment, E<sub>1</sub> and C<sub>2-10</sub> carboxy alkyl (e.g., COE<sub>1</sub> is CO(CH<sub>2</sub>)<sub>n</sub>COOH (where n = 2-3)) and E<sub>2</sub> is C<sub>1-10</sub> alkyl (e.g., COE<sub>2</sub> is CO(CH<sub>2</sub>)<sub>n</sub>CH<sub>3</sub> (where n = 0-5)).

10 In another aspect, the invention features a copolymer comprising the cyclodextrin derivative described above, wherein the cyclodextrin derivative comprises at least one free hydroxy group which is acylated with a polyester comprising hydroxy acid  
15 monomers. In one embodiment, the copolymer has an average molecular weight of between 500 - 40,000 daltons (e.g., 500 - 10,000). In a further embodiment, the polyester comprises hydroxy acid monomers selected from the group consisting of lactic acid, glycolic acid,  
20 hydroxy caproic acid, or any optically active isomer thereof. Such polyesters can be manufactured by reacting said cyclodextrin derivative with lactide, glycolide, caprolactone, p-dioxanone, trimethyl carbonate, or any optically active isomer thereof.

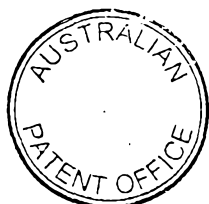
25 In still another aspect, the invention features a composition comprising the cyclodextrin derivative described above and a drug, the drug comprising at least one effective ionogenic amine, wherein at least 50 percent, by weight, of the drug present in the  
30 composition is ionically bonded to the cyclodextrin derivative. In one embodiment, the composition comprises between 1 and 30 (e.g., between 10 and 20) percent, by weight, of the drug. In a further embodiment, the drug is a polypeptide. In still a further embodiment, the  
35 polypeptide comprises between 4 and 200 amino acids



(e.g., between 4 and 50 amino acids). Examples of the polypeptide include somatostatin, bombesin, calcitonin, amylin, parathyroid hormone, parathyroid hormone related protein, gastrin releasing peptide, luteinizing hormone releasing hormone, growth hormone, growth hormone releasing factor, interferons, erythropoietin, granulocyte colony stimulating factor, granulocyte macrophage colony stimulating factor, pituitary adenylate cyclase activating polypeptide, vasoactive intestinal peptide, thyrotropin releasing hormone, corticotropin releasing hormone, Acetyl-Ser-Asp-Lys-Pro, arginine vasopressin, angiotensin, and any fragments, agonists, or antagonists thereof.

In yet another aspect, the invention features a composition comprising the copolymer described above and a drug, the drug comprising at least one effective ionogenic amine, wherein at least 50 percent, by weight, of the drug present in the composition is ionically bonded to the cyclodextrin derivative. In one embodiment, the composition comprises between 1 and 30 (e.g., between 10 and 20) percent, by weight, of the drug. In a further embodiment, the drug is a polypeptide.

As used herein, "lower alkyl" is intended to include both branched and straight-chain saturated aliphatic hydrocarbon groups. Examples of lower alkyl groups include methyl, ethyl, propyl, isopropyl, butyl, t-butyl, isobutyl, sec-butyl, and the like. "Lower alkenyl" groups include those branched and straight chain aliphatic hydrocarbon groups having one or several double bonds. Examples of alkenyl groups include vinyl, allyl, isopropenyl, butenyl, pentenyl, hexenyl, 1-propenyl, 2-butenyl, 2-methyl-2-butenyl, isoprenyl, and the like. All alkyl, alkenyl, and alkynyl groups are noncyclic.



As used herein, "aryl" is intended to include any stable monocyclic, bicyclic, or tricyclic carbon ring(s) of up to 7 members in each ring, wherein at least one ring is aromatic. Examples of aryl groups include  
5 phenyl, naphthyl, anthracenyl, biphenyl, tetrahydronaphthyl, indanyl, phenanthrenyl, and the like. The term "carboxy" is meant to include the recited chemical group (e.g., alkyl, alkenyl, aryl, arylalkyl, arylalkenyl) substituted with 1 to 3 carboxy groups.

10 Other features and advantages of the present invention will be apparent from the detailed description of the invention, and from the claims.

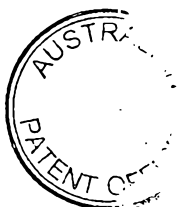
Throughout this specification and the claims, the words "comprise", "comprises" and "comprising" are  
15 used in a non-exclusive sense, except where the context requires otherwise.

#### Description of the Invention

It is believed that one skilled in the art can, based on the description herein, utilize the present  
20 invention to its fullest extent. The following specific embodiments are, therefore, to be construed as merely illustrative, and not limitative of the remainder of the disclosure in any way whatsoever.

25 Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Also, all publications, patent applications, patents, and other references mentioned herein are incorporated by reference.

30 Example 1: Preparation of Acylated  $\beta$ -Cyclodextrin (ACD)  
Cyclodextrin (Amaizo, American Maize Products Corp. Hammond, IN) was dried at 90°C under reduced pressure (0.1 mm Hg) to a constant weight. Dried cyclodextrin (CD) was then transferred to a reaction flask equipped for stirring. After purging with argon, the CD was heated at 50°C for 30 min. at 0.1 mm Hg,



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cooled to 25°C, and repurged with dry argon. Calculated amount of the acylating reagent, as a liquid anhydride (except in ADC No. 1 where the anhydrides were dissolved in 15 ml of acetic acid), containing a catalytic amount  
5 (i.e., 1 percent by weight) of p-toluene sulfonic acid (except in ACD No. 1 and ACD No. 2 where 1 percent H<sub>2</sub>SO<sub>4</sub> was used instead of p-toluene sulfonic acid) was transferred to the reaction flask, mixed under a dry argon atmosphere, and then heated. The amount of CD and  
10 acylating agents used as well as the heating scheme is described in Table I. At the conclusion of the reaction, the resulting mixture was allowed to cool slightly and then poured on to a vigorously stirring ice-water mixture. The resulting precipitate was filtered, rinsed  
15 several times with cold water, and air dried. The product was then isolated and dried until constant weight under vacuum, first at 25°C and then 50°C. The product was characterized for equivalent weight, as reported in Table I, by measuring titratable carboxylic acid  
20 functionally using benzyl alcohol solution of the product and potassium hydroxide in benzyl alcohol with bromophenol red as an indicator.

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TABLE I. Preparation and Properties of Acylated  $\beta$ -Cyclodextrins (ACD)

ACD No.	REACTANTS <sup>1</sup>	HEATING SCHEME Temp (°C) / Time (min.)	EQUIVALENT WEIGHT (Da)
5	1 51.0g CD, 55.0g A, 28.7g S	95/180	1104
	2 25.5g CD, 28.1g A, 14.4g S	95/135	874
	3 12.8g CD, 9.69g A, 10.8g G	95/120	561
	4 12.8g CD, 18.0g P, 8.20g G	95/15; 100/30; and 105/30	829
	5 12.7g CD, 12.2g P, 10.7g G	90/60	657
10	6 12.7g CD, 10.1g P, 13.9g G	70/20	524
	7 12.7g CD, 10.1g P, 13.9g G	60/10 and 65/30	511
	8 12.7g CD, 12.3g B, 13.9g G	60/10 and 65/45	574
	9 12.7g CD, 8.90g B, 20.5g G	65/10 and 60/50	401
	10 12.7g CD, 5.31g B, 20.4g G	65/5 and 60/50	346

<sup>1</sup> CD is  $\beta$ -Cyclodextrin, A is Acetic Anhydride, P is Propionic Anhydride, B is Butyric Anhydride, S is Succinic Anhydride, and G is Glutaric Anhydride

#### Example 2: Grafting Acylated Cyclodextrin (G-ACD) with Lactones

Predetermined amounts of the above acylated cyclodextrin derivative (ACD), lactone or mixture of lactones, and a catalytic amount (i.e., <0.2 percent by weight) of stannous octoate were transferred to a dry polymerization flask equipped for stirring, under an inert dry atmosphere. The amount of ACD and lactones used are described in Table II. The mixture was then heated under vacuum at about 45°C for 30 min., cooled to room temperature, and then purged with dry argon. The reactants were then heated while stirring as described in Table II. At the conclusion of the reaction, the temperature was lowered to about 110°C, and vacuum was applied for 0.5 to 1 hr. to remove distillable volatiles.



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The polymerization flask was cooled to room temperature and purged with argon. The grafted copolymer product (G-ACD) was isolated, dissolved in acetone, and then precipitated in ice water. The precipitate was filtered and air dried. The resulting powder was further dried under vacuum to a constant weight. The product was characterized for equivalent weight, as reported in Table II, by measuring titratable carboxylic acid functionality.

TABLE II. Preparation of Lactone-Grafted ACD (G-ACD)

G-ACD No.	REACTANTS <sup>1</sup>	HEATING SCHEME Temp (°C) / Time (Hr.)	EQUIVALENT WEIGHT (Da)
1	2.50 ACD No. 4, 7.90g L, 2.10g G	150/6	2060
2	2.50 ACD No. 3, 7.90g L, 2.10g G	150/7	1055
3	4.00 ACD No. 7, 6.32g L, 1.68g G	150/6.5	1100
4	2.67 ACD No. 7, 6.32g L, 1.68g G	150/10.5	1148
5	3.30 ACD No. 7, 6.27g CL, 0.34g G	150/10	1072
6	2.66 ACD No. 8, 6.32g L, 1.68g G	150/7	945
7	5.34 ACD No. 9, 12.65g L, 3.37gG	150/3.5	681

<sup>1</sup> G is glycolide, L is D,L-Lactide, and CL is  $\epsilon$ -Caprolactone

Example 3: Preparation of Polypeptide Composition  
Containing of Acylated  $\beta$ -Cyclodextrin (U-CON)

Predetermined amount of the above acylated  $\beta$ -cyclodextrin (ACD) was dissolved in a minimum volume of acetone (from 5-15 weight/volume percent) and filtered through a micro-syringe with 0.45  $\mu$ m porous filter. The filtrate was cooled and a 1N sodium hydroxide aqueous solution was added to neutralize carboxylic groups in the

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ACD. A concentrated, cold solution (3-15 weight/volume percent) of the acetate salts of the polypeptides Lanreotide™ (D-Nal-c[Cys-Tyr-D-Trp-Lys-Val-Cys]-Thr-NH<sub>2</sub>; Kinerton Ltd., Dublin, Ireland) or Decapeptyl™ (pGlu-His-Trp-Ser-Tyr-D-Trp-Leu-Arg-Pro-Gly-NH<sub>2</sub>; Kinerton Ltd.) was added to acetone solution of the neutralized ACD dropwise with stirring. The product was left at 25°C for 0.5-1 hr. and then precipitated in a stirring ice-water bath. The resulting precipitate was filtered, rinsed thoroughly with water, and air dried. The product was then isolated and dried under vacuum to constant weight at room temperature. The weight of the dried composition was determined, and the composition was characterized for percent content of peptide, as measured by elemental analysis of the percent of nitrogen present in the composition (Quantitative Technologies, Inc., Whitehouse, NJ), as reported in Table III. The particulate product was reduced in size by grinding to achieve an average particle size of about 100  $\mu$  before storage under reduced pressure.

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TABLE III. Preparation and Properties of Polypeptide/Acylated  $\beta$ -Cyclodextrin Conjugates (U-CON)

	U-CON No.	REACTANTS <sup>1</sup>	PERCENT NITROGEN	PERCENT PEPTIDE
5	1	0.11g ACD No. 1, 0.01g L	0.54	3.84
	2	0.10g ACD No. 2, 0.02g L	1.40	9.96
	3	0.035 ACD No. 4, 0.016g L	1.42	10.11
	4	0.08g ACD No. 3, 0.028g L	2.47	17.58
10	5	0.074g ACD No. 5, 0.028g L	2.72	19.36
	6	0.819g ACD No. 5, 0.215g L	2.18	15.50
	7	0.811g ACD No. 5, 0.212g L	2.00	14.20
	8	0.803g ACD No. 4, 20.199g L	2.42	17.20
	9	3.00g ACD No. 5, 0.502g L	2.20	15.60
15	10	2.005g ACD No. 4, 0.500g D	2.49	17.70
	11	0.201g ACD No. 7, 0.051g D	2.19	12.10
	12	0.402g ACD No. 6, 0.131g D	3.75	20.72
	13	0.??? ACD No. 8, 0.202g D	3.68	20.33
	14	2.004g ACD No. 8, 0.671g L	1.45	10.32
20	15	0.601g ACD No. 9, 0.202g D	3.34	18.45
	16	1.2015g ACD No. 10, 0.401g D	4.45	24.58
	17	2.055g ACD No. 9, 0.671g L	2.57	18.29

<sup>1</sup> L is Lanreotide™ and D is Decapeptyl™

Example 4: Preparation of Polypeptide Conjugates of Lactone-Grafted Acylated  $\beta$ -Cyclodextrin (G-CON)

Predetermined amount of the grafted ACD (G-ACD) was converted to a peptide composition following the same procedure used in preparing the U-CON's in Example 3.

Reaction composition and characterization data of the different G-CON's are reported in Table IV.

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TABLE IV. Preparation and Properties of Polypeptide/  
Lactone-Grafted ACD Conjugates (G-CON)

G-CON No.	REACTANTS <sup>1</sup>	PERCENT NITROGEN	PERCENT PEPTIDE
1	0.079g G-ACD No. 1, 0.027g L	0.62	4.41
2	0.077g G-ACD No. 2, 0.028g L	1.00	7.12
3	1.00g G-ACD No. 3, 0.252g L	0.96	6.80
4	1.99g G-ACD No. 4, 0.502g L	0.74	5.30
6	0.202g G-ACD No. 4, 0.051g D	0.53	2.92
7	2.003g G-ACD No. 5, 0.5019g L	1.16	8.26
8	1.206g G-ACD No. 6, 0.4015g D	1.98	10.93
9	1.2035g G-ACD No. 7, 0.4036g D	3.56	19.67
10	2.0078g G-ACD No. 6, 0.6706g L	1.69	12.03

<sup>1</sup> L is Lanreotide™ and D is Decapeptyl™

EXTENDED SHEET

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Other Embodiments

5           It is to be understood that while the invention  
has been described in conjunction with the detailed  
description thereof, that the foregoing description is  
intended to illustrate and not limit the scope of the  
invention, which is defined by the scope of the appended  
10 claims. Other aspects, advantages, and modifications are  
within the claims.

What is claimed is:



APPENDED SHEET

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A cyclodextrin derivative, wherein at least 60 percent of the free hydroxy groups of said cyclodextrin are acylated with acyl groups where at least one of said acyl groups comprises a free carboxylic group;

said cyclodextrin is  $\alpha$ -cyclodextrin,  $\beta$ -cyclodextrin, or  $\gamma$ -cyclodextrin; and

said acyl groups are selected from COE<sub>1</sub>, where E<sub>1</sub> is selected from the group consisting of C<sub>2-32</sub> carboxy alkyl, C<sub>3-32</sub> carboxy alkenyl, C<sub>7-37</sub> carboxyaryl, C<sub>8-38</sub> carboxyaryl alkyl, and C<sub>9-39</sub> carboxyaryl alkenyl and COE<sub>2</sub>, where E<sub>2</sub> is selected from the group consisting of C<sub>1-30</sub> alkyl, C<sub>2-30</sub> alkenyl, C<sub>6-36</sub> aryl, C<sub>7-37</sub> arylalkyl, and C<sub>8-38</sub> aryl alkenyl, wherein at least one of said acyl group is COE<sub>1</sub>.

2. A cyclodextrin derivative of claim 1, wherein between 10 and 80 percent of said free hydroxy groups of said cyclodextrin are acylated with COE<sub>1</sub>, and between 10 and 80 percent of said cyclodextrin are acylated with COE<sub>2</sub>.

3. A cyclodextrin derivative of claim 2, wherein COE<sub>1</sub> is CO(CH<sub>2</sub>)<sub>n</sub>COOH (where n = 2-3) and COE<sub>2</sub> is CO(CH<sub>2</sub>)<sub>n</sub>CH<sub>3</sub> (where n = 0-5) and said cyclodextrin is  $\beta$ -cyclodextrin.

4. A copolymer comprising said cyclodextrin derivative of claim 1, wherein said cyclodextrin derivative comprises at least one free hydroxy group which is acylated with a polyester comprising hydroxy acid monomers.

5. A copolymer of claim 4, wherein said copolymer has an average molecular weight of between 500 - 40,000 daltons.



6. A copolymer of claim 5, wherein said polyester comprises hydroxy acid monomers selected from the group consisting of lactic acid, glycolic acid, hydroxy caproic acid, or any optically active isomer thereof.

7. A copolymer comprising said cyclodextrin derivative of claim 3, wherein said cyclodextrin derivative comprises at least one free hydroxy group which is acylated with a polyester comprising hydroxy acids.

8. A copolymer of claim 7, wherein said polyester comprises hydroxy acid monomers selected from the group consisting of lactic acid, glycolic acid, hydroxy caproic acid, or any optically active isomer thereof and said copolymer has an average molecular weight of between 500 - 40,000 daltons.

9. A composition comprising said cyclodextrin derivative of claim 1 and a drug, said drug comprising at least one effective ionogenic amine, wherein at least 50 percent, by weight, of said drug present in said composition is ionically bonded to said cyclodextrin derivative.

10. A composition of claim 9, wherein said composition comprises between 1 and 30 percent, by weight, of said drug.

11. A composition of claim 10, wherein said drug is a polypeptide.

12. A composition of claim 11, wherein said polypeptide comprises between 4 and 50 amino acids.



13. A composition of claim 12, wherein said polypeptide is somatostatin, LHRH, calcitonin, or an analog thereof.

14. A composition comprising said copolymer of claim 4 and a drug, said drug comprising at least one effective ionogenic amine, wherein at least 50 percent, by weight, of said drug present in said composition is ionically bonded to said cyclodextrin derivative.

15. A composition of claim 14, wherein said composition comprises between 1 and 30 percent, by weight, of said drug.

16. A composition of claim 15, wherein said drug is a polypeptide.

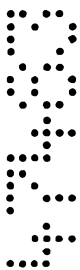
17. A composition of claim 16, wherein said polypeptide comprises between 4 and 50 amino acids.

18. A composition of claim 17, wherein said polypeptide is somatostatin, LHRH, calcitonin, or an analog thereof.

19. A composition according to claim 13, wherein said somatostatin analog is D-Nal-c[Cys-Tyr-D-Trp-Lys-Val-Cys]-Thr-NH<sub>2</sub>.

20. A composition according to claim 13, wherein said LHRH analog is pGlu-His-Trp-Ser-Tyr-D-Trp-Leu-Arg-Pro-Gly-NH<sub>2</sub>.

21. A composition according to claim 18, wherein said somatostatin analog is D-Nal-c[Cys-Tyr-D-Trp-Lys-Val-Cyc]-Thr-NH<sub>2</sub>.





22. A composition according to claim 18,  
wherein said LHRH analog is pGlu-His-Trp-Ser-Tyr-D-Trp-  
Leu-Arg-Pro-Gly-NH<sub>2</sub>.

Dated this 17th day of October 2000

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