(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date 26 August 2004 (26.08.2004)

PCT

(10) International Publication Number WO 2004/071614 A3

(51) International Patent Classification⁷: **B01D 11/00**, 12/00, 17/00, C02F 1/26, 1/26, 1/44, B01F 7/00, 13/00, 15/00, A62C 5/02, B29B 9/00, A61K 9/14

(21) International Application Number:

PCT/US2004/002915

(22) International Filing Date: 2 February 2004 (02.02.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/445,954 7 February 2003 (07.02.2003) US 10/691,113 22 October 2003 (22.10.2003) US

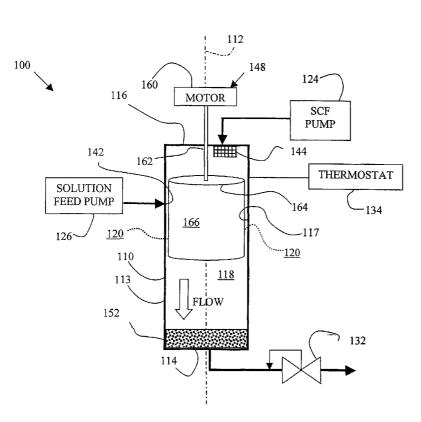
- (71) Applicant: FERRO CORPORATION [US/US]; 1000 Lakeside Avenue, Cleveland, OH 44114 (US).
- (72) Inventors: SHEKUNOV, Boris, Y.; 115 Woodland Trace, Aurora, OH 44202 (US). CHATTOPADHYAY,

Pratibhash; 7729 C Oakhill Road, North Royalton, OH 44133 (US). **SEITZINGER**, **Jeffrey**, **S.**; 314 Lazzaro Drive, Broadview Heights, OH 44147 (US).

- (74) Agent: CLARK, Kenneth, A.; Rankin, Hill, Porter & Clark LLP, 925 Euclid Avenue, Suite 700, Cleveland, OH 44115-1405 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR PRODUCING PARTICLES VIA SUPERCRITICAL FLUID PROCESSING



(57) Abstract: An apparatus and method for producing particles using supercritical fluid with enhanced mixing. The process includes a vessel having an inner surface defining A high-speed shear a chamber. or turbulent mixer is incorporated inside the vessel in order to create a region of enhanced mixing (mixing zone). A supercritical fluid pump communicates with the first inlet, and supplies supercritical fluid into the mixing zone through the first inlet. A solution pump communicates with the second inlet, and supplies solution into the mixing zone through the second inlet. A mixer assembly includes a motor drive and a rotor. The rotor is in the mixing zone and can mix the solution and the supercritical fluid. Particles are produced when the solution and the supercritical fluid are pumped into the mixing zone while the rotor is The design of the mixer mixing. and the direction of the flow of materials into the chamber creates a plug flow in the mixing zone. The plug flow allows the particles to be

removed from the mixing zone as soon as they are precipitated. Because of the high intensity homogeneous mixing and plug flow configuration, the particle uniformity is enhanced and production of composite particles facilitated.

WO 2004/071614 A3



Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- with international search report
- (88) Date of publication of the international search report: 14 April 2005

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/02915

A. CLASSIFICATION OF SUBJECT MATTER IPC(7): B01D 11/00, 12/00, 17/00; C02F 1/26, 1/44; B01F 7/00, 13/00, 15/00; A62C 5/02; B29B 9/00; A61K 9/14			
US CL: 210/511, 634, 639; 366/279, 282, 348; 239/8-10; 264/5, 8, 11, 12; 424/489 According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED			
Minimum documentation searched (classification system followed by classification symbols) U.S.: 210/511, 634, 639; 366/279, 282, 348; 239/8-10; 264/5, 8, 11, 12; 424/489			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB: supercritical, particles, particulate, solvent, rotor			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where ap		Relevant to claim No.
Х	US 6,471,392 B1 (HOLL et al.) 29 October 2002, see col. 13, lines 28-34; col. 3, line 27-col. 4, lines 20, 28-34; col. 7, lines 32-38 and 58-61, see precipitation; col. 11, lines 59-62; col. 8, lines 6-9.		
X, E	US 2004/0115123 A1 (ZHOU et al.) 17 June 2004, see para. 39; pg 2, para. 27; pg. 3, para. 34; pg. 4, para. 41 and figs. 3-5b. US 2004/0091546 A1 (JOHNSON et al.) 13 May 2004, see pg. 3, para. 21, 34-35; pg. 6, para. 58; pgs. 7-8, para. 66, 68; pg. 4, para. 37-38, 41 and 58; pg. 5, para. 41, 45. US 2003/0066800 A1 (SAIM et al.) 10 April 2003, see pg. 3, para. 25; pg. 8, para 89, 91; pg. 9, para. 75, 90, 100.		1-20
X, E			1-20
Y, P			1-19
A, E	US 2004/0031754 A1 (PESIRI et al.) 19 February 20	004, see entire document.	1-20
	documents are listed in the continuation of Box C.	See patent family annex.	
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance 		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" earlier ap	plication or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be consider when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination	
"O" document	referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in the	e art
	priority date claimed		
Date of the actual completion of the international search 22 November 2004 (22.11.2004)		Date of mailing of the international search report 2004	
		Authorized officer	///
Mail Stop PCT, Attn: ISA/US		RICHARD CRISPINO Lind Will	
P.O. Box 1450		Telephone No. 571-272-1700	
Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230			