

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property

Organization

International Bureau

(43) International Publication Date

23 January 2020 (23.01.2020)



(10) International Publication Number

WO 2020/015722 A1

(51) International Patent Classification:

C07K 16/28 (2006.01) *A61P 31/00* (2006.01)

A61K 39/395 (2006.01) *A61P 37/00* (2006.01)

A61P 35/00 (2006.01)

Published:

— with international search report (Art. 21(3))

— with sequence listing part of description (Rule 5.2(a))

(21) International Application Number:

PCT/CN2019/096679

(22) International Filing Date:

19 July 2019 (19.07.2019)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

PCT/CN2018/096206

19 July 2018 (19.07.2018)

CN

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(81) Designated States (*unless otherwise indicated, for every*

kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (*unless otherwise indicated, for every*

kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(54) Title: ANTI-PD-1 ANTIBODIES, DOSAGES AND USES THEREOF

(57) Abstract: Provided are anti-PD-1 antibodies or fragments thereof. Methods of using the antibodies or fragments thereof for treating and diagnosing diseases such as cancer, infection or immune disorders are also provided.



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ANTI-PD-1 ANTIBODIES, DOSAGES AND USES THEREOF**RELATED APPLICATIONS**

[1] This application claims priority benefit of, and priority to PCT Application No. PCT/CN2018/096206 filed July 19, 2018. The contents of the application are incorporated herein by reference in their entirety.

SUBMISSION OF SEQUENCE LISTING ON ASCII TEXT FILE

[2] The content of the following submission on ASCII text file is incorporated herein by reference in its entirety: a computer readable form (CRF) of the Sequence Listing (file name: 792572000940SEQLIST.TXT, date recorded: July 17, 2019, size: 36 KB).

BACKGROUND

[3] The cDNA of programmed cell death 1 (PD-1) was isolated in 1992 from a murine T cell hybridoma and a hematopoietic progenitor cell line undergoing apoptosis. Genetic ablation studies showed that deficiencies in PD-1 resulted in different autoimmune phenotypes in various mouse strains. PD-1-deficient allogeneic T cells with transgenic T cell receptors (TCRs) exhibited augmented responses to alloantigens, indicating that the PD-1 on T cells plays a negative regulatory role in response to antigen.

[4] Several studies contributed to the discovery of the molecules that interact with PD-1. In 1999, the B7 homolog one (B7-H1, also called programmed death-ligand 1 [PD-L1]) was identified independently from PD-1 using molecular cloning and human expressed-sequence tag database searches based on its homology with B7 family molecules and it was shown that B7-H1 acts as an inhibitor of human T cell responses in vitro. These two independent lines of studies merged one year later when Freeman, Wood and Honjo's laboratories showed that B7-H1 (hereafter referred to as PD-L1) is a binding and functional partner of PD-1. Next it was determined that PD-L1-deficient mice (PD-L1 KO mice) were prone to the induction of autoimmune diseases although this strain of mice did not spontaneously develop such diseases. It becomes clear later that the PD-L1/PD-1 interaction plays a dominant role in the suppression of T cell responses in vivo, especially in the tumor microenvironment.

[5] The instant initial study showed that tumor-associated PD-L1 facilitates apoptosis of activated T cells (Dong H. et al. Tumor-associated B7-H1 promotes T-cell apoptosis: a potential mechanism of immune evasion. *Nature medicine*. 2002;8(8):793-800) and also stimulates IL-10 production in human peripheral blood T cells (Dong H, et al., B7-H1, a third member of the B7 family, co-stimulates T-cell proliferation and interleukin-10 secretion. *Nature medicine*. 1999;5(12):1365-9) to mediate immune suppression. It is now known that the effects of PD-L1 on immune suppression are far more complicated. In addition to T cell apoptosis and IL-10 induction, PD-L1 can also induce T cell dysfunction through a variety of mechanisms. The PD pathway was also shown to promote T cell anergy *in vitro* and *in vivo*.

[6] Recently, the FDA approved two PD-1 mAbs to treat human cancers, one from Bristol-Myers Squibb (Opdivo, nivolumab, MDX-1106, BMS-936558, ONO-4538) and the other from Merck (Keytruda, pembrolizumab, lambrolizumab, MK-3475). Additionally, multiple mAbs to either PD-1 or PD-L1 are under active development in hundreds of clinical trials involving thousands of patients. Thus far, anti-PD therapy generates significant clinical benefits by inducing regression of advanced and metastatic tumors and improved survival. More importantly, anti-PD therapy can have durable effects, tolerable toxicity, and show to be applicable to a broad spectrum of cancer types, especially in solid tumors. These clinical findings further validate the principles of the PD pathway blockade and put anti-PD therapy in a unique category distinct from personalized or tumor type-specific therapy. Due to its distinct and non-overlapping mechanism with other cancer therapies, anti-PD therapy is on the way to combine with nearly all cancer treatment methods in an attempt to further amplify therapeutic efficacy. In addition to the combination with various cancer immunotherapy approaches such as cancer vaccine, costimulation and coinhibition antibody and

adoptive cell therapy, various clinical trials are also initiated to combine anti-PD therapy with chemotherapy, radiotherapy and targeted therapy.

[7] Anti-PD therapy has taken center stage in immunotherapies against human cancer, especially for solid tumors. This therapy is distinct from the prior immune therapeutic agents which largely aim to boost systemic immune responses or to generate de novo immunity against cancer; instead, anti-PD therapy modulates immune responses at the tumor site, targets tumor-induced immune defects, and repairs ongoing immune responses. While the clinical success of anti-PD therapy for the treatment of a variety of human cancers has validated this approach, we are still learning from this pathway and the associated immune responses, which will aid in the discovery and design of new clinically applicable approaches in cancer immunotherapy.

SUMMARY

[8] The present disclosure provides anti-PD-1 antibodies that exhibited excellent binding and inhibitory activities on PD-1 proteins. One of the tested ones even showed stronger binding activities than two regulatorily proved anti-PD-1 antibody products.

[9] In accordance with one embodiment of the present disclosure, therefore, provided is an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of: (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGGYYVMDY (SEQ ID NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6); (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); and (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNDNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18).

[10] In some embodiments, the antibody or fragment of the present disclosure further comprises a heavy chain constant region, a light chain constant region, an Fc region, or the combination thereof. In some embodiments, the light chain constant region is a kappa or lambda chain constant region.

[11] The antibody or fragment thereof of can be an isotype of IgG, IgM, IgA, IgE or IgD, in some embodiments. In some embodiments, the isotype is IgG1, IgG2, IgG3 or IgG4. In some embodiments, the antibody or fragment thereof is a chimeric antibody, a humanized antibody, or a fully human antibody.

[12] In some embodiments, the antibody or fragment thereof comprises a heavy chain variable region comprising the amino acid sequence of SEQ ID NO: 35, SEQ ID NO: 37, SEQ ID NO: 39, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 35, SEQ ID NO: 37, or SEQ ID NO: 39. In some embodiments, the antibody or fragment thereof of comprises a light chain variable region comprising the amino acid sequence of SEQ ID NO: 41, SEQ ID NO: 43, SEQ ID NO: 45, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 41, SEQ ID NO: 43, or SEQ ID NO: 45.

[13] In another embodiment, the present disclosure provides an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of: (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGGYYVMDY (SEQ ID

NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6); (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSYG (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNDYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18); and (d) HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 as shown in (a)-(c) but at least one of which includes one, two, or three amino acid addition, deletion, conservative amino acid substitution or the combinations thereof.

[14] In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but one of which includes a conservative amino acid substitution. In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but two of which each includes a conservative amino acid substitution. In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but three of which each includes a conservative amino acid substitution.

[15] In some embodiments, the present disclosure provides a polynucleotide comprising a nucleotide sequence encoding the antibody or fragment thereof described herein. In some embodiments, the polynucleotide comprises a nucleotide sequence encoding a heavy chain of the antibody or fragment thereof described herein. For example, the heavy chain may comprise an amino acid sequence selected from the group consisting of SEQ ID Nos: 19, 23, 27, 31, 35, 37, and 39, or a variant thereof. For example, the nucleotide sequence may comprise a nucleotide sequence selected from the group consisting of 20, 24, 28, 32, 36, 38, and 40, or a variant thereof.

[16] Alternatively, in some embodiment, the polynucleotide comprises a nucleotide sequence encoding the light chain of the antibody or fragment thereof described herein. For example, the light chain may comprise an amino acid sequence selected from the group consisting of SEQ ID Nos: 21, 25, 29, 33, 41, 43, and 45, or a variant thereof. For example, the nucleotide sequence may comprise a nucleotide sequence selected from the group consisting of 22, 26, 30, 34, 42, 44, and 46, or a variant thereof.

[17] In some embodiment, the polynucleotide comprises a nucleotide sequence encoding an amino acid sequence selected from the group consisting of SEQ ID Nos: 1-18.

[18] Also provided, in one embodiment, is a nucleic acid construct comprising a polynucleotide described herein. In some embodiments, the nucleic acid construct further comprises one or more control sequences operatively linked to the polynucleotide. In some embodiments, the one or more control sequences direct the production of the antibody or fragment thereof described herein in a suitable host. In some embodiments, the one or more control sequences are selected from a promoter, an enhancer, a stop signal, a signal peptide, a leader, a transcription terminator, and any combinations thereof. For example, the one or more control sequences may be a promoter.

[19] Also provided, in one embodiment, is a vector comprising a nucleic acid construct described herein. In some embodiment, the vector is an expression vector. In some embodiment, the vector is selected from a plasmid, a cosmid, a virus, a minichromosome, and an artificial chromosome.

[20] Also provided, in one embodiment, is a host cell comprising a nucleic acid construct described herein or a vector described herein. In some embodiment, the nucleic acid construct or vector is integrated into the host cell genome. In some embodiment, the nucleic acid construct or vector exists as an extrachromosomal entity. The host cell may be a prokaryotic cell. The host cell may be a eukaryotic host cell. For example, the host cell may be a mammalian cell. For example, the host cell may be a human cell.

[21] Also provided, in one embodiment, is a fermentation medium comprising an antibody or fragment thereof described herein, a polynucleotide described herein, a nucleic acid construct described herein, a vector described herein, or a host cell described herein.

[22] Also provided, in one embodiment, is a composition comprising the antibody or fragment thereof of the present disclosure and a pharmaceutically acceptable carrier. Still further provided, in one embodiment, is an isolated cell comprising one or more polynucleotide encoding the antibody or fragment thereof.

[23] Uses and methods are also provided. In one embodiment, provided is a use of the antibody or fragment thereof of the present disclosure for the manufacture of a medicament for the treatment of cancer. The cancer can be selected from the group consisting of bladder cancer, liver cancer, colon cancer, rectal cancer, endometrial cancer, leukemia, lymphoma, pancreatic cancer, small cell lung cancer, non-small cell lung cancer, breast cancer, urethral cancer, head and neck cancer, gastrointestinal cancer, stomach cancer, esophageal cancer, ovarian cancer, renal cancer, melanoma, prostate cancer and thyroid cancer. Also provided is a method of treating cancer in a patient in need thereof, comprising administering to the patient the antibody or fragment thereof of the present disclosure.

[24] In another embodiment, the present disclosure provides a method of treating cancer or infection in a patient in need thereof, comprising (a) treating a cell, *in vitro*, with the antibody or fragment thereof of the present disclosure; and (b) administering the treated cell to the patient. In some embodiments, the cell is a T cell.

[25] In another embodiment, provided is a use of the antibody or fragment thereof of any one of the present disclosure for the manufacture of a medicament for the treatment of an infection. In some embodiments, the infection is viral infection, bacterial infection, fungal infection or infection by a parasite.

[26] In yet another embodiment, provided is a use of the antibody or fragment thereof of the present disclosure for the manufacture of a medicament for the treatment of an immune disorder. In some embodiments, the immune disorder is selected from the group consisting of infection, endotoxic shock associated with infection, arthritis, rheumatoid arthritis, asthma, COPD, pelvic inflammatory disease, Alzheimer's Disease, inflammatory bowel disease, Crohn's disease, ulcerative colitis, Peyronie's Disease, coeliac disease, gallbladder disease, Pilonidal disease, peritonitis, psoriasis, vasculitis, surgical adhesions, stroke, Type I Diabetes, Lyme disease, arthritis, meningoencephalitis, autoimmune uveitis, immune mediated inflammatory disorders of the central and peripheral nervous system, multiple sclerosis, lupus and Guillain-Barré syndrome, Atopic dermatitis, autoimmune hepatitis, fibrosing alveolitis, Grave's disease, IgA nephropathy, idiopathic thrombocytopenic purpura, Meniere's disease, pemphigus, primary biliary cirrhosis, sarcoidosis, scleroderma, Wegener's granulomatosis, pancreatitis, trauma, graft-versus-host disease, transplant rejection, ischaemic diseases, myocardial infarction, atherosclerosis, intravascular coagulation, bone resorption, osteoporosis, osteoarthritis, periodontitis, hypochlorhydria, and infertility related to lack of fetal-maternal tolerance.

[27] In some aspects, provided is a method treating a cancer, an infection, or an immune disorder in a patient in need thereof, comprising administering to the patient one or more doses of an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein each dose is about 1mg/kg to about 10mg/kg. In some embodiments, each dose is about 1mg/kg, about 3mg/kg, or about 10mg/kg. In some embodiments, each dose is 3 mg/kg.

[28] In some embodiments according to any one of the methods described herein, the antibody or fragment thereof comprises: a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of: (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGYYYVMDY (SEQ ID NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6); (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSYG (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); and (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1:

ENVNDNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18). In some embodiments according to any one of the methods described herein, the antibody or fragment thereof further a heavy chain constant region, a light chain constant region, an Fc region, or the combination thereof. In some embodiments, the antibody or fragment thereof comprises a light chain constant region, wherein the light chain constant region is a kappa or lambda chain constant region. In some embodiments, the isotype is IgG1, IgG2, IgG3 or IgG4. In some embodiments, the antibody or fragment thereof is a chimeric antibody, a humanized antibody, or a fully human antibody. In some embodiments, the antibody or fragment thereof is a humanized antibody.

[29] In some embodiments according to any one of the methods described herein, the antibody or fragment thereof comprises a heavy chain variable region comprising the amino acid sequence of SEQ ID NO: 35, SEQ ID NO: 37, SEQ ID NO: 39, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 35, SEQ ID NO: 37, or SEQ ID NO: 39. In some embodiments, the antibody or fragment thereof comprises the amino acid sequence of SEQ ID NO: 41, SEQ ID NO: 43, SEQ ID NO: 45, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 41, SEQ ID NO: 43, or SEQ ID NO: 45.

[30] In some embodiments according to any one of the methods described herein, the antibody or fragment thereof comprises: a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of: (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGSGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGGYYVMDY (SEQ ID NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKDVPW (SEQ ID NO: 6); (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNDNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18); and (d) HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 as shown in (a)-(c) but at least one of which includes one, two, or three amino acid addition, deletion, conservative amino acid substitution or the combinations thereof. In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but one of which includes a conservative amino acid substitution. In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but two of which includes a conservative amino acid substitution. In some embodiments, the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but three of which includes a conservative amino acid substitution.

[31] In some embodiments according to any one of the methods described herein, the method is for treating a cancer, and the cancer is selected from the group consisting of bladder cancer, liver cancer, colon cancer, rectal cancer, endometrial cancer, leukemia, lymphoma, pancreatic cancer, small cell lung cancer, non-small cell lung cancer, breast cancer, urethral cancer, head and neck cancer, gastrointestinal cancer, stomach cancer, esophageal cancer, ovarian cancer, renal cancer, melanoma, prostate cancer and thyroid cancer.

[32] In some embodiments according to any one of the methods described herein, the method is for treating an infection, wherein the infection is viral infection, bacterial infection, fungal infection or infection by a parasite. In some embodiments, the infection is hepatitis. In some embodiments, the hepatitis is selected from hepatitis A, hepatitis B, hepatitis C, hepatitis D, and hepatitis E.

[33] In some embodiments according to any one of the methods described herein, the method is for treating an immune disorder, and the immune disorder is selected from the group consisting of infection, endotoxic shock associated with infection, arthritis, rheumatoid arthritis, asthma, COPD, pelvic inflammatory disease, Alzheimer's Disease, inflammatory bowel disease, Crohn's disease, ulcerative colitis,

Peyronie's Disease, coeliac disease, gallbladder disease, Pilonidal disease, peritonitis, psoriasis, vasculitis, surgical adhesions, stroke, Type I Diabetes, lyme disease, arthritis, meningoencephalitis, autoimmune uveitis, immune mediated inflammatory disorders of the central and peripheral nervous system, multiple sclerosis, lupus and Guillain-Barr syndrome, Atopic dermatitis, autoimmune hepatitis, fibrosing alveolitis, Grave's disease, IgA nephropathy, idiopathic thrombocytopenic purpura, Meniere's disease, pemphigus, primary biliary cirrhosis, sarcoidosis, scleroderma, Wegener's granulomatosis, pancreatitis, trauma, graft-versus-host disease, transplant rejection, ischaemic diseases, myocardial infarction, atherosclerosis, intravascular coagulation, bone resorption, osteoporosis, osteoarthritis, periodontitis, hypochlorhydria, and infertility related to lack of fetal-maternal tolerance.

BRIEF DESCRIPTION OF THE DRAWINGS

- [34] **FIG. 1** shows that all five hPD-1 mAb isotypes can bind to hPD-1 with high specificity.
- [35] **FIG. 2** shows that anti-hPD-1 does not bind with hB7-1, hPD-L1, hB7-H3, hB7-H4 and hCD137.
- [36] **FIG. 3** shows that hPD-1 mAb can bind to both human and cynomolgus monkey cell PD-1 proteins without displaying cross-binding to mPD-1.
- [37] **FIG. 4** shows that hPD-1 mAbs can have a blocking effect on the binding of hPD-1 to hPD-L1 dependant on dosage.
- [38] **FIG. 5A and FIG. 5B** show abrogating and blocking effects of hPD-1 mAbs when observed in a competitive-binding environment.
- [39] **FIG. 6** shows the results of gel electrophoresis analysis confirming RACE products.
- [40] **FIG. 7** shows the ability of recombinant DNA antibodies to bind PD-1 (A), and their blocking effect on the binding ability of PD-1 to PD-L1 (B).
- [41] **FIG. 8** shows that nine humanized antibodies displayed various binding affinities to PD-1 including both higher and lower than the parental antibody.
- [42] **FIG. 9** shows that humanized antibodies can have a blocking effect on the binding ability of PD-1 to PD-L1.
- [43] **FIG. 10A and FIG. 10B** show that humanized antibodies can have a blocking effect on the binding ability of PD-1 to PD-L2.
- [44] **FIG. 11** shows that humanized mAbs augment cytotoxicity of allo CD8⁺ CTL cells against cancer cells *in vitro*.
- [45] **FIG. 12** shows proliferative response of MLR to anti-hPD-1 antibodies.
- [46] **FIG. 13** shows IL-2 and IFN γ expression profile in MLR culture supernatants.
- [47] **FIG. 14A and FIG. 14B** show that the expression of PD-L1 on lymphocytes was inhibited by PD-1 mAbs.
- [48] **FIG. 15** shows *in vivo* antitumor activity of humanized PD-1 antibody.
- [49] **FIG. 16** presents a comparison of the antibodies binding affinity and kinetics.
- [50] **FIG. 17** shows comparison of PD-1 antibodies in PD-1/PD-L1 blockade.

[51] FIG. 18 shows comparison of mAbs to augment cytotoxicity of allo CD8⁺ CTL cells against cancer cells *in vitro*.

[52] FIG. 19 shows test articles' binding to hPD-1 or mPD-1 (ELISA assays).

[53] FIG. 20 shows test articles' binding against hPD-1- or cPD-1-expressing CHOK1 cells using flow cytometry.

[54] FIG. 21 shows the blocking activities of test articles on hPD-L1 (left) and hPD-L2 (right) binding to hPD-1-expressing CHOK1 cells.

[55] FIG. 22 shows IL-2 (left) and IFN- γ (right) levels in human MLR assays.

[56] FIG. 23 shows IFN- γ levels in engineered tumor and T cell co-culture assays.

[57] FIG. 24 shows epitope binding by ELISA results (upper panel) and schematics on epitope overlaps of different test articles (lower panel).

[58] FIG. 25 shows the binding of Nivolumab (upper panels) or TY101-04-T3-05 (lower panels) antibodies to a recombinant hPD-1 at the low immobilization level (60 RU, left panels) and high immobilization level (960RU, right panels).

DETAILED DESCRIPTION

Definitions

[59] It is to be noted that the term “a” or “an” entity refers to one or more of that entity; for example, “an antibody,” is understood to represent one or more antibodies. As such, the terms “a” (or “an”), “one or more,” and “at least one” can be used interchangeably herein.

[60] As used herein, the term “polypeptide” is intended to encompass a singular “polypeptide” as well as plural “polypeptides,” and refers to a molecule composed of monomers (amino acids) linearly linked by amide bonds (also known as peptide bonds). The term “polypeptide” refers to any chain or chains of two or more amino acids, and does not refer to a specific length of the product. Thus, peptides, dipeptides, tripeptides, oligopeptides, “protein,” “amino acid chain,” or any other term used to refer to a chain or chains of two or more amino acids, are included within the definition of “polypeptide,” and the term “polypeptide” may be used instead of, or interchangeably with any of these terms. The term “polypeptide” is also intended to refer to the products of post-expression modifications of the polypeptide, including without limitation glycosylation, acetylation, phosphorylation, amidation, derivatization by known protecting/blocking groups, proteolytic cleavage, or modification by non-naturally occurring amino acids. A polypeptide may be derived from a natural biological source or produced by recombinant technology, but is not necessarily translated from a designated nucleic acid sequence. It may be generated in any manner, including by chemical synthesis.

[61] The term “isolated” as used herein with respect to cells, nucleic acids, such as DNA or RNA, refers to molecules separated from other DNAs or RNAs, respectively, that are present in the natural source of the macromolecule. The term “isolated” as used herein also refers to a nucleic acid or peptide that is substantially free of cellular material, viral material, or culture medium when produced by recombinant DNA techniques, or chemical precursors or other chemicals when chemically synthesized. Moreover, an “isolated nucleic acid” is meant to include nucleic acid fragments which are not naturally occurring as fragments and would not be found in the natural state. The term “isolated” is also used herein to refer to cells or polypeptides which are isolated from other cellular proteins or tissues. Isolated polypeptides is meant to encompass both purified and recombinant polypeptides.

[62] As used herein, the term “recombinant” as it pertains to polypeptides or polynucleotides intends a form of the polypeptide or polynucleotide that does not exist naturally, a non-limiting example of which can be created by combining polynucleotides or polypeptides that would not normally occur together.

[63] “Homology” or “identity” or “similarity” refers to sequence similarity between two peptides or between two nucleic acid molecules. Homology can be determined by comparing a position in each sequence which may be aligned for purposes of comparison. When a position in the compared sequence is occupied by the same base or amino acid, then the molecules are homologous at that position. A degree of homology between sequences is a function of the number of matching or homologous positions shared by the sequences. An “unrelated” or “non-homologous” sequence shares less than 40% identity, though preferably less than 25% identity, with one of the sequences of the present disclosure.

[64] A polynucleotide or polynucleotide region (or a polypeptide or polypeptide region) has a certain percentage (for example, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 %, 98 % or 99 %) of “sequence identity” to another sequence means that, when aligned, that percentage of bases (or amino acids) are the same in comparing the two sequences. This alignment and the percent homology or sequence identity can be determined using software programs known in the art, for example those described in Ausubel *et al.* eds. (2007) Current Protocols in Molecular Biology. Preferably, default parameters are used for alignment. One alignment program is BLAST, using default parameters. In particular, programs are BLASTN and BLASTP, using the following default parameters: Genetic code = standard; filter = none; strand = both; cutoff = 60; expect = 10; Matrix = BLOSUM62; Descriptions = 50 sequences; sort by = HIGH SCORE; Databases = non-redundant, GenBank + EMBL + DDBJ + PDB + GenBank CDS translations + SwissProtein + SPupdate + PIR. Biologically equivalent polynucleotides are those having the above-noted specified percent homology and encoding a polypeptide having the same or similar biological activity.

[65] The term “an equivalent nucleic acid or polynucleotide” refers to a nucleic acid having a nucleotide sequence having a certain degree of homology, or sequence identity, with the nucleotide sequence of the nucleic acid or complement thereof. A homolog of a double stranded nucleic acid is intended to include nucleic acids having a nucleotide sequence which has a certain degree of homology with or with the complement thereof. In one aspect, homologs of nucleic acids are capable of hybridizing to the nucleic acid or complement thereof. Likewise, “an equivalent polypeptide” refers to a polypeptide having a certain degree of homology, or sequence identity, with the amino acid sequence of a reference polypeptide. In some aspects, the sequence identity is at least about 70%, 75%, 80%, 85%, 90%, 95%, 98%, or 99%. In some aspects, the equivalent polypeptide or polynucleotide has one, two, three, four or five addition, deletion, substitution and their combinations thereof as compared to the reference polypeptide or polynucleotide. In some aspects, the equivalent sequence retains the activity (*e.g.*, epitope-binding) or structure (*e.g.*, salt-bridge) of the reference sequence.

[66] Hybridization reactions can be performed under conditions of different “stringency”. In general, a low stringency hybridization reaction is carried out at about 40°C in about 10 x SSC or a solution of equivalent ionic strength/temperature. A moderate stringency hybridization is typically performed at about 50°C in about 6 x SSC, and a high stringency hybridization reaction is generally performed at about 60°C in about 1 x SSC. Hybridization reactions can also be performed under “physiological conditions” which is well known to one of skill in the art. A non-limiting example of a physiological condition is the temperature, ionic strength, pH and concentration of Mg^{2+} normally found in a cell.

[67] A polynucleotide is composed of a specific sequence of four nucleotide bases: adenine (A); cytosine (C); guanine (G); thymine (T); and uracil (U) for thymine when the polynucleotide is RNA. Thus, the term “polynucleotide sequence” is the alphabetical representation of a polynucleotide molecule. This alphabetical representation can be input into databases in a computer having a central processing unit and used for bioinformatics applications such as functional genomics and homology searching. The term “polymorphism” refers to the coexistence of more than one form of a gene or portion thereof. A portion of a gene of which there are at least two different forms, *i.e.*, two different nucleotide sequences, is referred to as

a “polymorphic region of a gene”. A polymorphic region can be a single nucleotide, the identity of which differs in different alleles.

[68] The terms “polynucleotide” and “oligonucleotide” are used interchangeably and refer to a polymeric form of nucleotides of any length, either deoxyribonucleotides or ribonucleotides or analogs thereof. Polynucleotides can have any three-dimensional structure and may perform any function, known or unknown. The following are non-limiting examples of polynucleotides: a gene or gene fragment (for example, a probe, primer, EST or SAGE tag), exons, introns, messenger RNA (mRNA), transfer RNA, ribosomal RNA, ribozymes, cDNA, dsRNA, siRNA, miRNA, recombinant polynucleotides, branched polynucleotides, plasmids, vectors, isolated DNA of any sequence, isolated RNA of any sequence, nucleic acid probes and primers. A polynucleotide can comprise modified nucleotides, such as methylated nucleotides and nucleotide analogs. If present, modifications to the nucleotide structure can be imparted before or after assembly of the polynucleotide. The sequence of nucleotides can be interrupted by non-nucleotide components. A polynucleotide can be further modified after polymerization, such as by conjugation with a labeling component. The term also refers to both double- and single-stranded molecules. Unless otherwise specified or required, any embodiment of this disclosure that is a polynucleotide encompasses both the double-stranded form and each of two complementary single-stranded forms known or predicted to make up the double-stranded form.

[69] The term “encode” as it is applied to polynucleotides refers to a polynucleotide which is said to “encode” a polypeptide if, in its native state or when manipulated by methods well known to those skilled in the art, it can be transcribed and/or translated to produce the mRNA for the polypeptide and/or a fragment thereof. The antisense strand is the complement of such a nucleic acid, and the encoding sequence can be deduced therefrom.

[70] As used herein, an “antibody” or “antigen-binding polypeptide” refers to a polypeptide or a polypeptide complex that specifically recognizes and binds to an antigen. An antibody can be a whole antibody and any antigen binding fragment or a single chain thereof. Thus the term “antibody” includes any protein or peptide containing molecule that comprises at least a portion of an immunoglobulin molecule having biological activity of binding to the antigen. Examples of such include, but are not limited to a complementarity determining region (CDR) of a heavy or light chain or a ligand binding portion thereof, a heavy chain or light chain variable region, a heavy chain or light chain constant region, a framework (FR) region, or any portion thereof, or at least one portion of a binding protein.

[71] The terms “antibody fragment” or “antigen-binding fragment”, as used herein, is a portion of an antibody such as F(ab')₂, F(ab)₂, Fab', Fab, Fv, scFv and the like. Regardless of structure, an antibody fragment binds with the same antigen that is recognized by the intact antibody. The term “antibody fragment” includes aptamers, spiegelmers, and diabodies. The term “antibody fragment” also includes any synthetic or genetically engineered protein that acts like an antibody by binding to a specific antigen to form a complex.

[72] A “single-chain variable fragment” or “scFv” refers to a fusion protein of the variable regions of the heavy (V_H) and light chains (V_L) of immunoglobulins. In some aspects, the regions are connected with a short linker peptide of ten to about 25 amino acids. The linker can be rich in glycine for flexibility, as well as serine or threonine for solubility, and can either connect the N-terminus of the V_H with the C-terminus of the V_L, or vice versa. This protein retains the specificity of the original immunoglobulin, despite removal of the constant regions and the introduction of the linker. ScFv molecules are known in the art and are described, *e.g.*, in US patent 5,892,019.

[73] The term antibody encompasses various broad classes of polypeptides that can be distinguished biochemically. Those skilled in the art will appreciate that heavy chains are classified as gamma, mu, alpha, delta, or epsilon (γ , μ , α , δ , ϵ) with some subclasses among them (*e.g.*, γ 1- γ 4). It is the nature of this chain that determines the “class” of the antibody as IgG, IgM, IgA, IgG, or IgE, respectively. The immunoglobulin subclasses (isotypes) *e.g.*, IgG₁, IgG₂, IgG₃, IgG₄, IgG₅, etc. are well characterized and are known to confer

functional specialization. Modified versions of each of these classes and isotypes are readily discernable to the skilled artisan in view of the instant disclosure and, accordingly, are within the scope of the instant disclosure. All immunoglobulin classes are clearly within the scope of the present disclosure, the following discussion will generally be directed to the IgG class of immunoglobulin molecules. With regard to IgG, a standard immunoglobulin molecule comprises two identical light chain polypeptides of molecular weight approximately 23,000 Daltons, and two identical heavy chain polypeptides of molecular weight 53,000-70,000. The four chains are typically joined by disulfide bonds in a “Y” configuration wherein the light chains bracket the heavy chains starting at the mouth of the “Y” and continuing through the variable region.

[74] Antibodies, antigen-binding polypeptides, variants, or derivatives thereof of the disclosure include, but are not limited to, polyclonal, monoclonal, multispecific, human, humanized, primatized, or chimeric antibodies, single chain antibodies, epitope-binding fragments, *e.g.*, Fab, Fab' and F(ab')₂, Fd, Fvs, single-chain Fvs (scFv), single-chain antibodies, disulfide-linked Fvs (sdFv), fragments comprising either a VK or VH domain, fragments produced by a Fab expression library, and anti- idiotypic (anti-Id) antibodies (including, *e.g.*, anti-Id antibodies to LIGHT antibodies disclosed herein). Immunoglobulin or antibody molecules of the disclosure can be of any type (*e.g.*, IgG, IgE, IgM, IgD, IgA, and IgY), class (*e.g.*, IgG1, IgG2, IgG3, IgG4, IgA1 and IgA2) or subclass of immunoglobulin molecule.

[75] Light chains are classified as either kappa or lambda (K, λ). Each heavy chain class may be bound with either a kappa or lambda light chain. In general, the light and heavy chains are covalently bonded to each other, and the “tail” portions of the two heavy chains are bonded to each other by covalent disulfide linkages or non-covalent linkages when the immunoglobulins are generated either by hybridomas, B cells or genetically engineered host cells. In the heavy chain, the amino acid sequences run from an N-terminus at the forked ends of the Y configuration to the C-terminus at the bottom of each chain.

[76] Both the light and heavy chains are divided into regions of structural and functional homology. The terms “constant” and “variable” are used functionally. In this regard, it will be appreciated that the variable domains of both the light (VK) and heavy (VH) chain portions determine antigen recognition and specificity. Conversely, the constant domains of the light chain (CK) and the heavy chain (CH1, CH2 or CH3) confer important biological properties such as secretion, transplacental mobility, Fc receptor binding, complement binding, and the like. By convention the numbering of the constant region domains increases as they become more distal from the antigen-binding site or amino- terminus of the antibody. The N-terminal portion is a variable region and at the C-terminal portion is a constant region; the CH3 and CK domains actually comprise the carboxy-terminus of the heavy and light chain, respectively.

[77] As indicated above, the variable region allows the antibody to selectively recognize and specifically bind epitopes on antigens. That is, the VK domain and VH domain, or subset of the complementarity determining regions (CDRs), of an antibody combine to form the variable region that defines a three dimensional antigen-binding site. This quaternary antibody structure forms the antigen-binding site present at the end of each arm of the Y. More specifically, the antigen-binding site is defined by three CDRs on each of the VH and VK chains (*i.e.* CDR-H1, CDR-H2, CDR-H3, CDR-L1, CDR-L2 and CDR-L3). In some instances, *e.g.*, certain immunoglobulin molecules derived from camelid species or engineered based on camelid immunoglobulins, a complete immunoglobulin molecule may consist of heavy chains only, with no light chains. *See, e.g.*, Hamers-Casterman *et al.*, *Nature* 363:446-448 (1993).

[78] In naturally occurring antibodies, the six “complementarity determining regions” or “CDRs” present in each antigen-binding domain are short, non-contiguous sequences of amino acids that are specifically positioned to form the antigen-binding domain as the antibody assumes its three dimensional configuration in an aqueous environment. The remainder of the amino acids in the antigen-binding domains, referred to as “framework” regions, show less inter-molecular variability. The framework regions largely adopt a β-sheet conformation and the CDRs form loops which connect, and in some cases form part of, the β-sheet structure. Thus, framework regions act to form a scaffold that provides for positioning the CDRs in correct orientation by inter-chain, non-covalent interactions. The antigen-binding domain formed by the positioned CDRs defines a surface complementary to the epitope on the immunoreactive antigen. This complementary

surface promotes the non-covalent binding of the antibody to its cognate epitope. The amino acids comprising the CDRs and the framework regions, respectively, can be readily identified for any given heavy or light chain variable region by one of ordinary skill in the art, since they have been precisely defined (*see* “Sequences of Proteins of Immunological Interest,” Kabat, E., *et al.*, U.S. Department of Health and Human Services, (1983); and Chothia and Lesk, *J. Mol. Biol.*, 196:901-917 (1987)).

[79] In the case where there are two or more definitions of a term which is used and/or accepted within the art, the definition of the term as used herein is intended to include all such meanings unless explicitly stated to the contrary. A specific example is the use of the term “complementarity determining region” (“CDR”) to describe the non-contiguous antigen combining sites found within the variable region of both heavy and light chain polypeptides. This particular region has been described by Kabat *et al.*, U.S. Dept. of Health and Human Services, “Sequences of Proteins of Immunological Interest” (1983) and by Chothia *et al.*, *J. Mol. Biol.* 196:901-917 (1987), which are incorporated herein by reference in their entireties. The CDR definitions according to Kabat and Chothia include overlapping or subsets of amino acid residues when compared against each other. Nevertheless, application of either definition to refer to a CDR of an antibody or variants thereof is intended to be within the scope of the term as defined and used herein. The appropriate amino acid residues which encompass the CDRs as defined by each of the above cited references are set forth in the table below as a comparison. The exact residue numbers which encompass a particular CDR will vary depending on the sequence and size of the CDR. Those skilled in the art can routinely determine which residues comprise a particular CDR given the variable region amino acid sequence of the antibody.

[80] The heavy and light chain variable domains can be combined in various pair-wise combinations to generate a number of anti-PD-L1 antibody moieties. Exemplary sequences of anti-PD-L1 antibodies are provided in Tables 1 and 3C. The exemplary CDR, VH and VK sequences as shown in Table 1 are delimited according to the INTERNATIONAL IMMUNOGENETICS INFORMATION SYSTEM® (IMGT). *See*, for example, Lefranc, MP *et al.*, *Nucleic Acids Res.*, 43:D413-422 (2015), the disclosure of which is incorporated herein by reference in its entirety. Those skilled in the art will recognize that many algorithms are known for prediction of CDR positions in antibody heavy chain and light chain variable regions, and antibody agents comprising CDRs from antibodies described herein, but based on prediction algorithms other than IMGT, are within the scope of this invention. Table 3C lists exemplary CDR sequences under various other numbering schemes and/or definitions.

[81]

	Kabat	Chothia	Contact	Abm
CDR-H1	31-35	26-32	30-35	26-35
CDR-H2	50-65	52-56	47-58	50-58
CDR-H3	95-102	95-102	93-101	95-102
CDR-L1	24-34	26-32	30-36	24-34
CDR-L2	50-56	52-56	46-55	50-56
CDR-L3	89-97	89-97	89-96	89-97

[82] Kabat *et al.* also defined a numbering system for variable domain sequences that is applicable to any antibody. One of ordinary skill in the art can unambiguously assign this system of “Kabat numbering” to any variable domain sequence, without reliance on any experimental data beyond the sequence itself. As used herein, “Kabat numbering” refers to the numbering system set forth by Kabat *et al.*, U.S. Dept. of Health and Human Services, “Sequence of Proteins of Immunological Interest” (1983).

[83] In addition to table above, the Kabat number system describes the CDR regions as follows: CDR-H1 begins at approximately amino acid 31 (*i.e.*, approximately 9 residues after the first cysteine residue), includes approximately 5-7 amino acids, and ends at the next tryptophan residue. CDR-H2 begins at the fifteenth residue after the end of CDR-H1, includes approximately 16-19 amino acids, and ends at the next

arginine or lysine residue. CDR-H3 begins at approximately the thirty third amino acid residue after the end of CDR-H2; includes 3-25 amino acids; and ends at the sequence W-G-X-G, where X is any amino acid. CDR-L1 begins at approximately residue 24 (*i.e.*, following a cysteine residue); includes approximately 10-17 residues; and ends at the next tryptophan residue. CDR-L2 begins at approximately the sixteenth residue after the end of CDR-L1 and includes approximately 7 residues. CDR-L3 begins at approximately the thirty third residue after the end of CDR-L2 (*i.e.*, following a cysteine residue); includes approximately 7-11 residues and ends at the sequence F or W-G-X-G, where X is any amino acid.

[84] Antibodies disclosed herein may be from any animal origin including birds and mammals. Preferably, the antibodies are human, murine, donkey, rabbit, goat, guinea pig, camel, llama, horse, or chicken antibodies. In another embodiment, the variable region may be chondrichthoid in origin (*e.g.*, from sharks).

[85] As used herein, the term “heavy chain constant region” includes amino acid sequences derived from an immunoglobulin heavy chain. A polypeptide comprising a heavy chain constant region comprises at least one of: a CH1 domain, a hinge (*e.g.*, upper, middle, and/or lower hinge region) domain, a CH2 domain, a CH3 domain, or a variant or fragment thereof. For example, an antigen-binding polypeptide for use in the disclosure may comprise a polypeptide chain comprising a CH1 domain; a polypeptide chain comprising a CH1 domain, at least a portion of a hinge domain, and a CH2 domain; a polypeptide chain comprising a CH1 domain and a CH3 domain; a polypeptide chain comprising a CH1 domain, at least a portion of a hinge domain, and a CH3 domain, or a polypeptide chain comprising a CH1 domain, at least a portion of a hinge domain, a CH2 domain, and a CH3 domain. In another embodiment, a polypeptide of the disclosure comprises a polypeptide chain comprising a CH3 domain. Further, an antibody for use in the disclosure may lack at least a portion of a CH2 domain (*e.g.*, all or part of a CH2 domain). As set forth above, it will be understood by one of ordinary skill in the art that the heavy chain constant region may be modified such that they vary in amino acid sequence from the naturally occurring immunoglobulin molecule.

[86] The heavy chain constant region of an antibody disclosed herein may be derived from different immunoglobulin molecules. For example, a heavy chain constant region of a polypeptide may comprise a CH1 domain derived from an IgG₁ molecule and a hinge region derived from an IgG₃ molecule. In another example, a heavy chain constant region can comprise a hinge region derived, in part, from an IgG₁ molecule and, in part, from an IgG₃ molecule. In another example, a heavy chain portion can comprise a chimeric hinge derived, in part, from an IgG₁ molecule and, in part, from an IgG₄ molecule.

[87] As used herein, the term “light chain constant region” includes amino acid sequences derived from antibody light chain. Preferably, the light chain constant region comprises at least one of a constant kappa domain or constant lambda domain.

[88] A “light chain-heavy chain pair” refers to the collection of a light chain and heavy chain that can form a dimer through a disulfide bond between the CL domain of the light chain and the CH1 domain of the heavy chain.

[89] As previously indicated, the subunit structures and three dimensional configuration of the constant regions of the various immunoglobulin classes are well known. As used herein, the term “VH domain” includes the amino terminal variable domain of an immunoglobulin heavy chain and the term “CH1 domain” includes the first (most amino terminal) constant region domain of an immunoglobulin heavy chain. The CH1 domain is adjacent to the VH domain and is amino terminal to the hinge region of an immunoglobulin heavy chain molecule.

[90] As used herein the term “CH2 domain” includes the portion of a heavy chain molecule that extends, *e.g.*, from about residue 244 to residue 360 of an antibody using conventional numbering schemes (residues 244 to 360, Kabat numbering system; and residues 231-340, EU numbering system; *see* Kabat *et al.*, U.S. Dept. of Health and Human Services, “Sequences of Proteins of Immunological Interest” (1983). The CH2 domain is unique in that it is not closely paired with another domain. Rather, two N-linked branched carbohydrate chains are interposed between the two CH2 domains of an intact native IgG molecule. It is

also well documented that the CH3 domain extends from the CH2 domain to the C-terminal of the IgG molecule and comprises approximately 108 residues.

[91] As used herein, the term “hinge region” includes the portion of a heavy chain molecule that joins the CH1 domain to the CH2 domain. This hinge region comprises approximately 25 residues and is flexible, thus allowing the two N-terminal antigen-binding regions to move independently. Hinge regions can be subdivided into three distinct domains: upper, middle, and lower hinge domains (Roux *et al.*, *J. Immunol* 161:4083 (1998)).

[92] As used herein the term “disulfide bond” includes the covalent bond formed between two sulfur atoms. The amino acid cysteine comprises a thiol group that can form a disulfide bond or bridge with a second thiol group. In most naturally occurring IgG molecules, the CH1 and CK regions are linked by a disulfide bond and the two heavy chains are linked by two disulfide bonds at positions corresponding to 239 and 242 using the Kabat numbering system (position 226 or 229, EU numbering system).

[93] As used herein, the term “chimeric antibody” will be held to mean any antibody wherein the immunoreactive region or site is obtained or derived from a first species and the constant region (which may be intact, partial or modified in accordance with the instant disclosure) is obtained from a second species. In certain embodiments the target binding region or site will be from a non-human source (*e.g.* mouse or primate) and the constant region is human.

[94] As used herein, “percent humanization” is calculated by determining the number of framework amino acid differences (*i.e.*, non-CDR difference) between the humanized domain and the germline domain, subtracting that number from the total number of amino acids, and then dividing that by the total number of amino acids and multiplying by 100.

[95] By “specifically binds” or “has specificity to,” it is generally meant that an antibody binds to an epitope via its antigen-binding domain, and that the binding entails some complementarity between the antigen-binding domain and the epitope. According to this definition, an antibody is said to “specifically bind” to an epitope when it binds to that epitope, via its antigen-binding domain more readily than it would bind to a random, unrelated epitope. The term “specificity” is used herein to qualify the relative affinity by which a certain antibody binds to a certain epitope. For example, antibody “A” may be deemed to have a higher specificity for a given epitope than antibody “B,” or antibody “A” may be said to bind to epitope “C” with a higher specificity than it has for related epitope “D.”

[96] As used herein, the terms “treat” or “treatment” refer to both therapeutic treatment and prophylactic or preventative measures, wherein the object is to prevent or slow down (lessen) an undesired physiological change or disorder, such as the progression of cancer. Beneficial or desired clinical results include, but are not limited to, alleviation of symptoms, diminishment of extent of disease, stabilized (*i.e.*, not worsening) state of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, and remission (whether partial or total), whether detectable or undetectable. “Treatment” can also mean prolonging survival as compared to expected survival if not receiving treatment. Those in need of treatment include those already with the condition or disorder as well as those prone to have the condition or disorder or those in which the condition or disorder is to be prevented.

[97] By “subject” or “individual” or “animal” or “patient” or “mammal,” is meant any subject, particularly a mammalian subject, for whom diagnosis, prognosis, or therapy is desired. Mammalian subjects include humans, domestic animals, farm animals, and zoo, sport, or pet animals such as dogs, cats, guinea pigs, rabbits, rats, mice, horses, cattle, cows, and so on.

[98] As used herein, phrases such as “to a patient in need of treatment” or “a subject in need of treatment” includes subjects, such as mammalian subjects, that would benefit from administration of an antibody or composition of the present disclosure used, *e.g.*, for detection, for a diagnostic procedure and/or for treatment.

Anti-PD-1 Antibodies

[99] The present disclosure provides anti-PD-1 antibodies with high affinity to the human PD-1 protein. The tested antibodies exhibited potent binding and inhibitory activities and are useful for therapeutic and diagnostics uses. Further, one of the humanized antibodies tested (TY101) exhibited significantly higher binding affinities than two FDA approved anti-hPD-1 antibodies.

[100] One embodiment of the present disclosure, therefore, provides an anti-PD-1 antibody or fragment thereof, which antibody or fragment thereof can specifically bind to a human Programmed death 1 (PD-1) protein.

[101] In accordance with one embodiment of the present disclosure, provided is an antibody that includes the heavy chain and light chain variable domains with the CDR regions as one of the CDR groups in **Table 1**.

Table 1. Sequences of the CDR regions

CDR Groups	Sequences (SEQ ID NO:)
CDR group 1	HCDR1: GFTFSSYT (1) HCDR2: ISHGGGDT (2) HCDR3: ARHSGYERGYYYVMDY (3) LCDR1: ESVDYYGFSF (4) LCDR2: AAS (5) LCDR3: QQSKEVPW (6)
CDR group 2	HCDR1: GYTFTSYT (7) HCDR2: INPTTGYT (8) HCDR3: ARDDAYYSGY (9) LCDR1: ENIYSNL (10) LCDR2: AAK (11) LCDR3: QHFWGTPWT (12)
CDR group 3	HCDR1: GFAFSSYD (13) HCDR2: ITIGGGTT (14) HCDR3: ARHRYDYFAMDN (15) LCDR1: ENVDNYGINF (16) LCDR2: VSS (17) LCDR3: QQSKDVPW (18)

[102] For instance, in one embodiment, provided is an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGYYYVMDY (SEQ ID NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6).

[103] For instance, in one embodiment, provided is an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12).

[104] For instance, in one embodiment, provided is an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof

comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18).

[105] As demonstrated in the experimental examples, the antibodies that contained these CDR regions, whether mouse, humanized or chimeric, had potent PD-1 binding and inhibitory activities. Further computer modeling indicated that certain residues within the CDR can be modified to retain or improve the property of the antibodies. In some embodiments, an anti-PD-1 antibody of the present disclosure includes the VH and VL CDR as listed in Table 1, with one, two or three further modifications. Such modifications can be addition, deletion or substitution of amino acids.

[106] In some embodiments, the modification is substitution at no more than one hot spot position from each of the CDRs. In some embodiments, the modification is substitution at one, two or three such hot spot positions. In one embodiment, the modification is substitution at one of the hot spot positions. Such substitutions, in some embodiments, are conservative substitutions.

[107] A “conservative amino acid substitution” is one in which the amino acid residue is replaced with an amino acid residue having a similar side chain. Families of amino acid residues having similar side chains have been defined in the art, including basic side chains (*e.g.*, lysine, arginine, histidine), acidic side chains (*e.g.*, aspartic acid, glutamic acid), uncharged polar side chains (*e.g.*, glycine, asparagine, glutamine, serine, threonine, tyrosine, cysteine), nonpolar side chains (*e.g.*, alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, tryptophan), beta-branched side chains (*e.g.*, threonine, valine, isoleucine) and aromatic side chains (*e.g.*, tyrosine, phenylalanine, tryptophan, histidine). Thus, a nonessential amino acid residue in an immunoglobulin polypeptide is preferably replaced with another amino acid residue from the same side chain family. In another embodiment, a string of amino acids can be replaced with a structurally similar string that differs in order and/or composition of side chain family members.

[108] Non-limiting examples of conservative amino acid substitutions are provided in the table below, where a similarity score of 0 or higher indicates conservative substitution between the two amino acids.

Amino Acid Similarity Matrix

	C	G	P	S	A	T	D	E	N	Q	H	K	R	V	M	I	L	F	Y	W
W	-8	-7	-6	-2	-6	-5	-7	-7	-4	-5	-3	-3	2	-6	-4	-5	-2	0	0	17
Y	0	-5	-5	-3	-3	-3	-4	-4	-2	-4	0	-4	-5	-2	-2	-1	-1	7	10	
F	-4	-5	-5	-3	-4	-3	-6	-5	-4	-5	-2	-5	-4	-1	0	1	2	9		
L	-6	-4	-3	-3	-2	-2	-4	-3	-3	-2	-2	-3	-3	2	4	2	6			
I	-2	-3	-2	-1	-1	0	-2	-2	-2	-2	-2	-2	-2	4	2	5				
M	-5	-3	-2	-2	-1	-1	-3	-2	0	-1	-2	0	0	2	6					
V	-2	-1	-1	-1	0	0	-2	-2	-2	-2	-2	-2	-2	4						
R	-4	-3	0	0	-2	-1	-1	-1	0	1	2	3	6							
K	-5	-2	-1	0	-1	0	0	0	1	1	0	5								
H	-3	-2	0	-1	-1	-1	1	1	2	3	6									
Q	-5	-1	0	-1	0	-1	2	2	1	4										
N	-4	0	-1	1	0	0	2	1	2											
E	-5	0	-1	0	0	0	3	4												
D	-5	1	-1	0	0	0	4													
T	-2	0	0	1	1	3														
A	-2	1	1	1	2															
S	0	1	1	1																
P	-3	-1	6																	
G	-3	5																		
C	12																			

Conservative Amino Acid Substitutions

For Amino Acid	Substitution With
Alanine	D-Ala, Gly, Aib, β -Ala, L-Cys, D-Cys
Arginine	D-Arg, Lys, D-Lys, Orn D-Orn
Asparagine	D-Asn, Asp, D-Asp, Glu, D-GluGln, D-Gln
Aspartic Acid	D-Asp, D-Asn, Asn, Glu, D-Glu, Gln, D-Gln
Cysteine	D-Cys, S-Me-Cys, Met, D-Met, Thr, D-Thr, L-Ser, D-Ser
Glutamine	D-Gln, Asn, D-Asn, Glu, D-Glu, Asp, D-Asp
Glutamic Acid	D-Glu, D-Asp, Asp, Asn, D-Asn, Gln, D-Gln
Glycine	Ala, D-Ala, Pro, D-Pro, Aib, β -Ala
Isoleucine	D-Ile, Val, D-Val, Leu, D-Leu, Met, D-Met
Leucine	Val, D-Val, Met, D-Met, D-Ile, D-Leu, Ile
Lysine	D-Lys, Arg, D-Arg, Orn, D-Orn
Methionine	D-Met, S-Me-Cys, Ile, D-Ile, Leu, D-Leu, Val, D-Val
Phenylalanine	D-Phe, Tyr, D-Tyr, His, D-His, Trp, D-Trp
Proline	D-Pro
Serine	D-Ser, Thr, D-Thr, allo-Thr, L-Cys, D-Cys
Threonine	D-Thr, Ser, D-Ser, allo-Thr, Met, D-Met, Val, D-Val
Tyrosine	D-Tyr, Phe, D-Phe, His, D-His, Trp, D-Trp
Valine	D-Val, Leu, D-Leu, Ile, D-Ile, Met, D-Met

[109] Non-limiting examples of VH are provided in SEQ ID NO: 27, SEQ ID NO: 31, SEQ ID NO: 35, SEQ ID NO: 37, and SEQ ID NO: 39. SEQ ID NO: 27 is a murine VH. SEQ ID NO: 31 is VH of a chimeric antibody, and SEQ ID NO: 35, SEQ ID NO: 37, and SEQ ID NO: 39 are humanized.

[110] Non-limiting examples of VL are provided in SEQ ID NO: 29, SEQ ID NO: 33, SEQ ID NO: 41, SEQ ID NO: 43, and SEQ ID NO: 45. SEQ ID NO: 29 is a murine VL. SEQ ID NO: 33 is VL of a chimeric antibody, and SEQ ID NO: 41, SEQ ID NO: 43, and SEQ ID NO: 45 are humanized.

[111] In some embodiments, the anti-PD-1 antibody of the present disclosure includes a VH of in SEQ ID NO: 27, SEQ ID NO: 31, SEQ ID NO: 35, SEQ ID NO: 37, or SEQ ID NO: 39, a VL of SEQ ID NO: 29, SEQ ID NO: 33, SEQ ID NO: 41, SEQ ID NO: 43, or SEQ ID NO: 45, or their respective biological equivalents. A biological equivalent of a VH or VL is a sequence that includes the designated amino acids while having an overall 80%, 85%, 90%, 95%, 98% or 99% sequence identity. A biological equivalent of SEQ ID NO: 27, for instance, can be a VH that has an overall 80%, 85%, 90%, 95%, 98% or 99% sequence identity to SEQ ID NO: 27 but retains the CDRs.

[112] It will also be understood by one of ordinary skill in the art that antibodies as disclosed herein may be modified such that they vary in amino acid sequence from the naturally occurring binding polypeptide from which they were derived. For example, a polypeptide or amino acid sequence derived from a designated protein may be similar, *e.g.*, have a certain percent identity to the starting sequence, *e.g.*, it may be 60%, 70%, 75%, 80%, 85%, 90%, 95%, 98%, or 99% identical to the starting sequence.

[113] In certain embodiments, the antibody comprises an amino acid sequence or one or more moieties not normally associated with an antibody. Exemplary modifications are described in more detail below. For example, an antibody of the disclosure may comprise a flexible linker sequence, or may be modified to add a functional moiety (*e.g.*, PEG, a drug, a toxin, or a label).

[114] Antibodies, variants, or derivatives thereof of the disclosure include derivatives that are modified, *i.e.*, by the covalent attachment of any type of molecule to the antibody such that covalent attachment does not prevent the antibody from binding to the epitope. For example, but not by way of limitation, the antibodies can be modified, *e.g.*, by glycosylation, acetylation, pegylation, phosphorylation, phosphorylation, amidation, derivatization by known protecting/blocking groups, proteolytic cleavage, linkage to a cellular ligand or other protein, etc. Any of numerous chemical modifications may be carried out by known techniques, including, but not limited to specific chemical cleavage, acetylation, formylation, metabolic synthesis of tunicamycin, etc. Additionally, the antibodies may contain one or more non-classical amino acids.

[115] In some embodiments, the antibodies may be conjugated to therapeutic agents, prodrugs, peptides, proteins, enzymes, viruses, lipids, biological response modifiers, pharmaceutical agents, or PEG.

[116] The antibodies may be conjugated or fused to a therapeutic agent, which may include detectable labels such as radioactive labels, an immunomodulator, a hormone, an enzyme, an oligonucleotide, a photoactive therapeutic or diagnostic agent, a cytotoxic agent, which may be a drug or a toxin, an ultrasound enhancing agent, a non-radioactive label, a combination thereof and other such agents known in the art.

[117] The antibodies can be detectably labeled by coupling it to a chemiluminescent compound. The presence of the chemiluminescent-tagged antigen-binding polypeptide is then determined by detecting the presence of luminescence that arises during the course of a chemical reaction. Examples of particularly useful chemiluminescent labeling compounds are luminol, isoluminol, theromatic acridinium ester, imidazole, acridinium salt and oxalate ester.

[118] The antibodies can also be detectably labeled using fluorescence emitting metals such as ¹⁵²Eu, or others of the lanthanide series. These metals can be attached to the antibody using such metal chelating groups as diethylenetriaminepentaacetic acid (DTPA) or ethylenediaminetetraacetic acid (EDTA).

Techniques for conjugating various moieties to an antibody are well known, *see, e.g.*, Arnonet *et al.*, “Monoclonal Antibodies For Immunotargeting Of Drugs In Cancer Therapy”, in *Monoclonal Antibodies And Cancer Therapy*, Reisfeld *et al.* (eds.), pp. 243-56 (Alan R. Liss, Inc. (1985); Hellstrom *et al.*, “Antibodies For Drug Delivery”, in *Controlled Drug Delivery* (2nd Ed.), Robinson *et al.*, (eds.), Marcel Dekker, Inc., pp. 623- 53 (1987); Thorpe, “Antibody Carriers Of Cytotoxic Agents In Cancer Therapy: A Review”, in *Monoclonal Antibodies '84: Biological And Clinical Applications*, Pinchera *et al.* (eds.), pp. 475-506 (1985); “Analysis, Results, And Future Prospective Of The Therapeutic Use Of Radiolabeled Antibody In Cancer Therapy”, in *Monoclonal Antibodies For Cancer Detection And Therapy*, Baldwin *et al.* (eds.), Academic Press pp. 303-16 (1985), and Thorpe *et al.*, “The Preparation And Cytotoxic Properties Of Antibody-Toxin Conjugates”, *Immunol. Rev.* (52:119-58 (1982)).

Bi-functional Molecules

[119] PD-1 is an immune checkpoint molecule and is also a tumor antigen. As a tumor antigen targeting molecule, an antibody or antigen-binding fragment specific to PD-1 can be combined with a second antigen-binding fragment specific to an immune cell to generate a bispecific antibody.

[120] In some embodiments, the immune cell is selected from the group consisting of a T cell, a B cell, a monocyte, a macrophage, a neutrophil, a dendritic cell, a phagocyte, a natural killer cell, an eosinophil, a basophil, and a mast cell. Molecules on the immune cell which can be targeted include, for example, CD3, CD16, CD19, CD28, and CD64. Other examples include PD-1, CTLA-4, LAG-3 (also known as CD223), CD28, CD122, 4-1BB (also known as CD137), TIM3, OX-40 or OX40L, CD40 or CD40L, LIGHT, ICOS/ICOSL, GITR/GITRL, TIGIT, CD27, VISTA, B7H3, B7H4, HEVM or BTLA (also known as CD272), killer-cell immunoglobulin-like receptors (KIRs), and CD47. Specific examples of bispecificity include, without limitation, PD-L1/PD-1, PD-1/LAG3, PD-1/TIGIT, and PD-1/CD47.

[121] As an immune checkpoint inhibitor, an antibody or antigen-binding fragment specific to PD-1 can be combined with a second antigen-binding fragment specific to a tumor antigen to generate a bispecific antibody. A “tumor antigen” is an antigenic substance produced in tumor cells, *i.e.*, it triggers an immune response in the host. Tumor antigens are useful in identifying tumor cells and are potential candidates for use in cancer therapy. Normal proteins in the body are not antigenic. Certain proteins, however, are produced or overexpressed during tumorigenesis and thus appear “foreign” to the body. This may include normal proteins that are well sequestered from the immune system, proteins that are normally produced in extremely small quantities, proteins that are normally produced only in certain stages of development, or proteins whose structure is modified due to mutation.

[122] An abundance of tumor antigens are known in the art and new tumor antigens can be readily identified by screening. Non-limiting examples of tumor antigens include EGFR, Her2, EpCAM, CD20, CD30, CD33, CD47, CD52, CD133, CD73, CEA, gpA33, Mucins, TAG-72, CIX, PSMA, folate-binding protein, GD2, GD3, GM2, VEGF, VEGFR, Integrin, α V β 3, α 5 β 1, ERBB2, ERBB3, MET, IGF1R, EPHA3, TRAILR1, TRAILR2, RANKL, FAP and Tenascin.

[123] In some aspects, the monovalent unit has specificity to a protein that is overexpressed on a tumor cell as compared to a corresponding non-tumor cell. A “corresponding non-tumor cell” as used here, refers to a non-tumor cell that is of the same cell type as the origin of the tumor cell. It is noted that such proteins are not necessarily different from tumor antigens. Non-limiting examples include carcinoembryonic antigen (CEA), which is overexpressed in most colon, rectum, breast, lung, pancreas and gastrointestinal tract carcinomas; heregulin receptors (HER-2, *neu* or *c-erbB-2*), which is frequently overexpressed in breast, ovarian, colon, lung, prostate and cervical cancers; epidermal growth factor receptor (EGFR), which is highly expressed in a range of solid tumors including those of the breast, head and neck, non-small cell lung and prostate; asialoglycoprotein receptor; transferrin receptor; serpin enzyme complex receptor, which is expressed on hepatocytes; fibroblast growth factor receptor (FGFR), which is overexpressed on pancreatic ductal adenocarcinoma cells; vascular endothelial growth factor receptor (VEGFR), for anti-angiogenesis gene therapy; folate receptor, which is selectively overexpressed in 90% of nonmucinous ovarian

carcinomas; cell surface glycolyx; carbohydrate receptors; and polymeric immunoglobulin receptor, which is useful for gene delivery to respiratory epithelial cells and attractive for treatment of lung diseases such as Cystic Fibrosis. Non-limiting examples of bispecificity in this respect include PD-1/EGFR, PD-1/Her2, PD-1/CD33, PD-1/CD133, PD-1/CEA and PD-1/VEGF.

[124] Different format of bispecific antibodies are also provided. In some embodiments, each of the anti-PD-1 fragment and the second fragment each is independently selected from a Fab fragment, a single-chain variable fragment (scFv), or a single-domain antibody. In some embodiments, the bispecific antibody further includes a Fc fragment.

[125] Bifunctional molecules that include not just antibody or antigen binding fragment are also provided. As a tumor antigen targeting molecule, an antibody or antigen-binding fragment specific to PD-1, such as those described here, can be combined with an immune cytokine or ligand optionally through a peptide linker. The linked immune cytokines or ligands include, but not limited to, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-10, IL-12, IL-13, IL-15, GM-CSF, TNF- α , CD40L, OX40L, CD27L, CD30L, 4-1BBL, LIGHT and GITRL. Such bi-functional molecules can combine the immune checkpoint blocking effect with tumor site local immune modulation.

Polynucleotides Encoding the Antibodies and Fragments thereof

[126] In some embodiments, the present disclosure provides a polynucleotide comprising a nucleotide sequence encoding the antibody or fragment thereof described herein.

[127] The present application further provides polynucleotides that encode one or more chains of the antibodies (e.g., anti-PD-1 antibodies) described herein. In some embodiments, a nucleic acid molecule comprises a polynucleotide that encodes a heavy chain or a light chain of an antibody (e.g., anti-PD-1 antibody). In some embodiments, a nucleic acid molecule comprises both a polynucleotide that encodes a heavy chain and a polynucleotide that encodes a light chain, of an antibody (e.g., anti-PD-1 antibody). In some embodiments, a first nucleic acid molecule comprises a first polynucleotide that encodes a heavy chain and a second nucleic acid molecule comprises a second polynucleotide that encodes a light chain. In some embodiments, a nucleic acid molecule encoding a fragment (e.g., anti-PD-1 fragment) is provided.

[128] In some such embodiments, the heavy chain and the light chain are expressed from one nucleic acid molecule, or from two separate nucleic acid molecules, as two separate polypeptides. Therefore, in some embodiments, the polynucleotide comprises a nucleotide sequence encoding a heavy chain of the antibody or fragment thereof described herein. For example, the heavy chain may comprise an amino acid sequence selected from the group consisting of SEQ ID Nos: 19, 23, 27, 31, 35, 37, and 39, or a variant thereof. For example, the nucleotide sequence may comprise a nucleotide sequence selected from the group consisting of 20, 24, 28, 32, 36, 38, and 40, or a variant thereof. For example, the heavy chain may comprise an amino acid sequence that is at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 99.5% or 100% identical to an amino acid sequence selected from the group consisting of SEQ ID Nos: 19, 23, 27, 31, 35, 37, and 39. For example, the nucleotide sequence may comprise a nucleotide sequence that is at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 99.5% or 100% identical to a nucleotide sequence selected from the group consisting of 20, 24, 28, 32, 36, 38, and 40, or a variant thereof. In some embodiment, the variant is different from a sequence described herein in a portion outside the CDR.

[129] Alternatively, in some embodiment, the polynucleotide comprises a nucleotide sequence encoding the light chain of the antibody or fragment thereof described herein. For example, the light chain may comprise an amino acid sequence selected from the group consisting of SEQ ID Nos: 21, 25, 29, 33, 41, 43, and 45, or a variant thereof. For example, the nucleotide sequence may comprise a nucleotide sequence selected from the group consisting of 22, 26, 30, 34, 42, 44, and 46, or a variant thereof. For example, the light chain may comprise an amino acid sequence that is at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 99.5% or 100% identical to an amino acid sequence selected from the group

consisting of SEQ ID Nos: 21, 25, 29, 33, 41, 43, and 45. For example, the nucleotide sequence may comprise a nucleotide sequence that is at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 99.5% or 100% identical to a nucleotide sequence selected from the group consisting of 22, 26, 30, 34, 42, 44, and 46, or a variant thereof. In some embodiment, the variant is different from a sequence described herein in a portion outside the CDR.

[130] In some embodiment, the polynucleotide comprises a nucleotide sequence encoding a CDR. For example, in some embodiment, the polynucleotide comprises a nucleotide sequence encoding an amino acid sequence selected from the group consisting of SEQ ID Nos: 1-18, or a variant thereof. For example, in some embodiment, the polynucleotide comprises a nucleotide sequence encoding an amino acid sequence that is at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 99.5% or 100% identical to an amino acid sequence selected from the group consisting of SEQ ID Nos: 1-18.

[131] In some embodiments, a polynucleotide encoding a heavy chain or light chain of an antibody (*e.g.*, anti-PD-1 antibody) comprises a nucleotide sequence that encodes a leader sequence, which, when translated, is located at the N terminus of the heavy chain or light chain. The leader sequence may be the native heavy or light chain leader sequence, or may be another heterologous leader sequence.

[132] In some embodiment, the present disclosure provides a nucleic acid construct comprising a polynucleotide described herein. The nucleic acid construct may further comprise one or more control sequences operatively linked to the polynucleotide.

[133] Nucleic acid molecules may be constructed using recombinant DNA techniques conventional in the art. In some embodiments, a nucleic acid molecule is an expression vector that is suitable for expression in a selected host cell.

[134] Therefore, the present disclosure also provides a nucleic acid construct comprising a polynucleotide described herein. The nucleic acid construct may be prepared using any method described herein.

[135] In some embodiments, the nucleic acid construct further comprises one or more control sequences operatively linked to the polynucleotide. In some embodiments, the one or more control sequences direct the production of the antibody or fragment thereof described herein in a suitable host. In some embodiments, the one or more control sequences are selected from a promoter, an enhancer, a stop signal, a signal peptide, a leader, a transcription terminator, and any combinations thereof. For example, the one or more control sequences may be a promoter.

[136] In some embodiments, the nucleic acid construct further comprises a promoter operably linked to the polynucleotide. In some embodiments, the polynucleotide corresponds to a gene, wherein the promoter is a wild-type promoter for the gene.

[137] The present disclosure further provides a vector comprising a nucleic acid construct described herein.

[138] Vectors comprising polynucleotides that encode the heavy chains and/or light chains of any one of the antibodies described herein (*e.g.*, anti-PD-1 antibodies) are provided. Vectors comprising polynucleotides that encode any of the antibody fragment described herein are also provided. Such vectors include, but are not limited to, DNA vectors, phage vectors, viral vectors, retroviral vectors, *etc.* In some embodiments, the vector is selected from the group consisting of a vector, plasmid, bacteriophage, cosmid, S factor, retro element, retrovirus, virus, artificial chromosome (YAC, BAC or MAC), mini chromosome and a chromosome.

[139] In some embodiments, a vector comprises a first polynucleotide sequence encoding a heavy chain and a second polynucleotide sequence encoding a light chain. In some embodiments, the heavy chain and light chain are expressed from the vector as two separate polypeptides. In some embodiments, the heavy chain and light chain are expressed as part of a single polypeptide, such as, for example, when the antibody is an scFv.

- [140] In some embodiments, the vector is an expression vector. In some embodiments, expression vectors containing a nucleic acid sequence encoding a polypeptide described herein (or an active derivative or fragment thereof), operably linked to at least one regulatory sequence. Many expression vectors are commercially available, and other suitable vectors can be readily prepared by the skilled artisan. "Operably linked" is intended to mean that the nucleotide sequence is linked to a regulatory sequence in a manner which allows expression of the nucleic acid sequence. Regulatory sequences are art-recognized and are selected to produce the polypeptide or active derivative or fragment thereof. Accordingly, the term "regulatory sequence" includes promoters, enhancers, and other expression control elements which are described in Goeddel, *Gene Expression Technology: Methods in Enzymology* 185, Academic Press, San Diego, CA (1990). For example, the native regulatory sequences or regulatory sequences native to organism can be employed.
- [141] In some embodiments, a first vector comprises a polynucleotide that encodes a heavy chain and a second vector comprises a polynucleotide that encodes a light chain. In some embodiments, the first vector and second vector are transfected into host cells in similar amounts (such as similar molar amounts or similar mass amounts). In some embodiments, a mole- or mass-ratio of between 5:1 and 1:5 of the first vector and the second vector is transfected into host cells. In some embodiments, a mass ratio of between 1:1 and 1:5 for the vector encoding the heavy chain and the vector encoding the light chain is used. In some embodiments, a mass ratio of 1:2 for the vector encoding the heavy chain and the vector encoding the light chain is used.
- [142] In some embodiments, a vector is selected that is optimized for expression of polypeptides in a host cell such as CHO or CHO-derived cells, or in NSO cells. Exemplary vectors are described, *e.g.*, in Running Deer *et al.*, *Biotechnol. Prog.* 20:880-889 (2004).
- [143] Therefore, the present disclosure also provides a host cell comprising a nucleic acid construct described herein or a vector described herein.
- [144] In some embodiment, the nucleic acid construct or vector is integrated into the host cell genome. In some embodiment, the nucleic acid construct or vector exists as an extrachromosomal entity. The host cell may be a prokaryotic cell. The host cell may be a eukaryotic host cell. For example, the host cell may be a mammalian cell. For example, the host cell may be a human cell.
- [145] In some embodiments, the antibodies described herein (*e.g.*, anti-PD-1 antibodies) may be expressed in prokaryotic cells, such as bacterial cells; or in eukaryotic cells, such as fungal cells (such as yeast), plant cells, insect cells, and mammalian cells. Such expression may be carried out, for example, according to procedures known in the art. Exemplary eukaryotic cells that may be used to express polypeptides include, but are not limited to, COS cells, including COS 7 cells; 293 cells, including 293-6E cells; CHO cells, including CHO-S, DG44, Lec13 CHO cells, and FUT8 CHO cells; PER.C6[®] cells (Crucell); and NSO cells. In some embodiments, the antibodies described herein (*e.g.*, anti-PD-1 antibodies) may be expressed in yeast. See, *e.g.*, U.S. Publication No. US 2006/0270045 A1. In some embodiments, a particular eukaryotic host cell is selected based on its ability to make desired post-translational modifications to the heavy chains and/or light chains of the antibody. For example, in some embodiments, CHO cells produce polypeptides that have a higher level of sialylation than the same polypeptide produced in 293 cells.
- [146] Introduction of one or more nucleic acids into a desired host cell may be accomplished by any method, including but not limited to, calcium phosphate transfection, DEAE-dextran mediated transfection, cationic lipid-mediated transfection, electroporation, transduction, infection, *etc.* Non-limiting exemplary methods are described, *e.g.*, in Sambrook *et al.*, *Molecular Cloning, A Laboratory Manual*, 3rd ed. Cold Spring Harbor Laboratory Press (2001). Nucleic acids may be transiently or stably transfected in the desired host cells, according to any suitable method.
- [147] The invention also provides host cells comprising any of the polynucleotides or vectors described herein. In some embodiments, the invention provides a host cell comprising an anti-PD-1 antibody. Any

host cells capable of over-expressing heterologous DNAs can be used for the purpose of isolating the genes encoding the antibody, polypeptide or protein of interest. Non-limiting examples of mammalian host cells include but not limited to COS, HeLa, and CHO cells. See also PCT Publication No. WO 87/04462. Suitable non-mammalian host cells include prokaryotes (such as *E. coli* or *B. subtilis*) and yeast (such as *S. cerevisiae*, *S. pombe*; or *K. lactis*). In some embodiments, the host cell is a prokaryotic cell. In some embodiments, the host cell is a bacterial cell. In some embodiments, the cell is a eukaryotic cell. In some embodiments, the host cell is a yeast cell. In some embodiments, the host cell is an animal cell. In some embodiments, the host cell is a mammalian cell. In some embodiments, the host cell is a CHO cell.

- [148] In some embodiments, the antibody is produced in a cell-free system. Non-limiting exemplary cell-free systems are described, *e.g.*, in Sitaraman *et al.*, *Methods Mol. Biol.* 498: 229-44 (2009); Spirin, *Trends Biotechnol.* 22: 538-45 (2004); Endo *et al.*, *Biotechnol. Adv.* 21: 695-713 (2003).
- [149] The antibodies (*e.g.*, anti-PD-1 antibodies) may be purified by any suitable method. Such methods include, but are not limited to, the use of affinity matrices or hydrophobic interaction chromatography. Suitable affinity ligands include the ROR1 ECD and ligands that bind antibody constant regions. For example, a Protein A, Protein G, Protein A/G, or an antibody affinity column may be used to bind the constant region and to purify an antibody comprising an Fc fragment. Hydrophobic interactive chromatography, for example, a butyl or phenyl column, may also be suitable for purifying some polypeptides such as antibodies. Ion exchange chromatography (*e.g.* anion exchange chromatography and/or cation exchange chromatography) may also be suitable for purifying some polypeptides such as antibodies. Mixed-mode chromatography (*e.g.* reversed phase/anion exchange, reversed phase/cation exchange, hydrophilic interaction/anion exchange, hydrophilic interaction/cation exchange, *etc.*) may also be suitable for purifying some polypeptides such as antibodies. Many methods of purifying polypeptides are known in the art.
- [150] The present disclosure further provides a culture medium comprising any antibody or fragment thereof, polynucleotide, nucleic acid construct, vector, and/or host cell described herein. In some embodiments, there is provided a culture medium prepared using any method described herein.
- [151] In some embodiments, the medium comprises hypoxanthine, aminopterin, and/or thymidine (*e.g.*, HAT medium). In some embodiments, the medium does not comprise serum. In some embodiments, the medium comprises serum. In some embodiments, the medium is a D-MEM or RPMI-1640 medium.
- [152] Methods of making antibodies are well known in the art and described herein. In certain embodiments, both the variable and constant regions of the antigen-binding polypeptides of the present disclosure are fully human. Fully human antibodies can be made using techniques described in the art and as described herein. For example, fully human antibodies against a specific antigen can be prepared by administering the antigen to a transgenic animal which has been modified to produce such antibodies in response to antigenic challenge, but whose endogenous loci have been disabled. Exemplary techniques that can be used to make such antibodies are described in U.S. patents: 6,150,584; 6,458,592; 6,420,140 which are incorporated by reference in their entireties.
- [153] In certain embodiments, the prepared antibodies will not elicit a deleterious immune response in the animal to be treated, *e.g.*, in a human. In one embodiment, antigen-binding polypeptides, variants, or derivatives thereof of the disclosure are modified to reduce their immunogenicity using art-recognized techniques. For example, antibodies can be humanized, primatized, deimmunized, or chimeric antibodies can be made. These types of antibodies are derived from a non-human antibody, typically a murine or primate antibody, that retains or substantially retains the antigen-binding properties of the parent antibody, but which is less immunogenic in humans. This may be achieved by various methods, including (a) grafting the entire non-human variable domains onto human constant regions to generate chimeric antibodies; (b) grafting at least a part of one or more of the non-human complementarity determining regions (CDRs) into a human framework and constant regions with or without retention of critical framework residues; or (c)

transplanting the entire non-human variable domains, but “cloaking” them with a human-like section by replacement of surface residues. Such methods are disclosed in Morrison *et al.*, *Proc. Natl. Acad. Sci. USA* 57:6851-6855 (1984); Morrison *et al.*, *Adv. Immunol.* 44:65-92 (1988); Verhoeyen *et al.*, *Science* 239:1534-1536 (1988); Padlan, *Molec. Immun.* 25:489-498 (1991); Padlan, *Molec. Immun.* 31:169-217 (1994), and U.S. Pat. Nos.: 5,585,089, 5,693,761, 5,693,762, and 6,190,370, all of which are hereby incorporated by reference in their entirety.

[154] De-immunization can also be used to decrease the immunogenicity of an antibody. As used herein, the term “de-immunization” includes alteration of an antibody to modify T-cell epitopes (*see, e.g.*, International Application Publication Nos.: WO/9852976 A1 and WO/0034317 A2). For example, variable heavy chain and variable light chain sequences from the starting antibody are analyzed and a human T-cell epitope “map” from each V region showing the location of epitopes in relation to complementarity-determining regions (CDRs) and other key residues within the sequence is created. Individual T-cell epitopes from the T-cell epitope map are analyzed in order to identify alternative amino acid substitutions with a low risk of altering activity of the final antibody. A range of alternative variable heavy and variable light sequences are designed comprising combinations of amino acid substitutions and these sequences are subsequently incorporated into a range of binding polypeptides. Typically, between 12 and 24 variant antibodies are generated and tested for binding and/or function. Complete heavy and light chain genes comprising modified variable and human constant regions are then cloned into expression vectors and the subsequent plasmids introduced into cell lines for the production of whole antibody. The antibodies are then compared in appropriate biochemical and biological assays, and the optimal variant is identified.

[155] The binding specificity of antigen-binding polypeptides of the present disclosure can be determined by *in vitro* assays such as immunoprecipitation, radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA).

[156] Alternatively, techniques described for the production of single-chain units (U.S. Pat. No. 4,694,778; Bird, *Science* 242:423-442 (1988); Huston *et al.*, *Proc. Natl. Acad. Sci. USA* 55:5879- 5883 (1988); and Ward *et al.*, *Nature* 334:544-554 (1989)) can be adapted to produce single-chain units of the present disclosure. Single-chain units are formed by linking the heavy and light chain fragments of the Fv region via an amino acid bridge, resulting in a single-chain fusion peptide. Techniques for the assembly of functional Fv fragments in *E. coli* may also be used (Skerra *et al.*, *Science* 242: 1038-1041 (1988)).

[157] Examples of techniques which can be used to produce single-chain Fvs (scFvs) and antibodies include those described in U.S. Pat. Nos. 4,946,778 and 5,258,498; Huston *et al.*, *Methods in Enzymology* 203:46-88 (1991); Shu *et al.*, *Proc. Natl. Sci. USA* 90:1995-1999 (1993); and Skerra *et al.*, *Science* 240:1038-1040 (1988). For some uses, including *in vivo* use of antibodies in humans and *in vitro* detection assays, it may be preferable to use chimeric, humanized, or human antibodies. A chimeric antibody is a molecule in which different portions of the antibody are derived from different animal species, such as antibodies having a variable region derived from a murine monoclonal antibody and a human immunoglobulin constant region. Methods for producing chimeric antibodies are known in the art. *See, e.g.*, Morrison, *Science* 229:1202 (1985); Oi *et al.*, *BioTechniques* 4:214 (1986); Gillies *et al.*, *J. Immunol. Methods* 125:191-202 (1989); U.S. Pat. Nos. 5,807,715; 4,816,567; and 4,816,397, which are incorporated herein by reference in their entirety.

[158] Humanized antibodies are antibody molecules derived from a non-human species antibody that bind the desired antigen having one or more complementarity determining regions (CDRs) from the non-human species and framework regions from a human immunoglobulin molecule. Often, framework residues in the human framework regions will be substituted with the corresponding residue from the CDR donor antibody to alter, preferably improve, antigen-binding. These framework substitutions are identified by methods well known in the art, *e.g.*, by modeling of the interactions of the CDR and framework residues to identify framework residues important for antigen-binding and sequence comparison to identify unusual framework residues at particular positions. (*See, e.g.*, Queen *et al.*, U.S. Pat. No. 5,585,089; Riechmann *et al.*, *Nature* 332:323 (1988), which are incorporated herein by reference in their entirety.) Antibodies can be

humanized using a variety of techniques known in the art including, for example, CDR-grafting (EP 239,400; PCT publication WO 91/09967; U.S. Pat. Nos. 5,225,539; 5,530,101; and 5,585,089), veneering or resurfacing (EP 592,106; EP 519,596; Padlan, *Molecular Immunology* 28(4/5):489-498 (1991); Studnicka *et al.*, *Protein Engineering* 7(6):805-814 (1994); Roguska. *et al.*, *Proc. Natl. Sci. USA* 91:969-973 (1994)), and chain shuffling (U.S. Pat. No. 5,565,332, which is incorporated by reference in its entirety).

[159] Completely human antibodies are particularly desirable for therapeutic treatment of human patients. Human antibodies can be made by a variety of methods known in the art including phage display methods using antibody libraries derived from human immunoglobulin sequences. *See also*, U.S. Pat. Nos. 4,444,887 and 4,716,111; and PCT publications WO 98/46645, WO 98/50433, WO 98/24893, WO 98/16654, WO 96/34096, WO 96/33735, and WO 91/10741; each of which is incorporated herein by reference in its entirety.

[160] Human antibodies can also be produced using transgenic mice which are incapable of expressing functional endogenous immunoglobulins, but which can express human immunoglobulin genes. For example, the human heavy and light chain immunoglobulin gene complexes may be introduced randomly or by homologous recombination into mouse embryonic stem cells. Alternatively, the human variable region, constant region, and diversity region may be introduced into mouse embryonic stem cells in addition to the human heavy and light chain genes. The mouse heavy and light chain immunoglobulin genes may be rendered non-functional separately or simultaneously with the introduction of human immunoglobulin loci by homologous recombination. In particular, homozygous deletion of the JH region prevents endogenous antibody production. The modified embryonic stem cells are expanded and microinjected into blastocysts to produce chimeric mice. The chimeric mice are then bred to produce homozygous offspring that express human antibodies. The transgenic mice are immunized in the normal fashion with a selected antigen, *e.g.*, all or a portion of a desired target polypeptide. Monoclonal antibodies directed against the antigen can be obtained from the immunized, transgenic mice using conventional hybridoma technology. The human immunoglobulin transgenes harbored by the transgenic mice rearrange during B-cell differentiation, and subsequently undergo class switching and somatic mutation. Thus, using such a technique, it is possible to produce therapeutically useful IgG, IgA, IgM and IgE antibodies. For an overview of this technology for producing human antibodies, *see* Lonberg and Huszar *Int. Rev. Immunol.* 73:65-93 (1995). For a detailed discussion of this technology for producing human antibodies and human monoclonal antibodies and protocols for producing such antibodies, *see, e.g.*, PCT publications WO 98/24893; WO 96/34096; WO 96/33735; U.S. Pat. Nos. 5,413,923; 5,625,126; 5,633,425; 5,569,825; 5,661,016; 5,545,806; 5,814,318; and 5,939,598, which are incorporated by reference herein in their entirety. In addition, companies such as Abgenix, Inc. (Freemont, Calif.) and GenPharm (San Jose, Calif.) can be engaged to provide human antibodies directed against a selected antigen using technology similar to that described above.

[161] Completely human antibodies which recognize a selected epitope can also be generated using a technique referred to as "guided selection." In this approach a selected non-human monoclonal antibody, *e.g.*, a mouse antibody, is used to guide the selection of a completely human antibody recognizing the same epitope. (Jesperset *al.*, *Bio/Technology* 72:899-903 (1988). *See also*, U.S. Patent No. 5,565,332, which is incorporated by reference in its entirety.)

[162] In another embodiment, DNA encoding desired monoclonal antibodies may be readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of murine antibodies). The isolated and subcloned hybridoma cells serve as a preferred source of such DNA. Once isolated, the DNA may be placed into expression vectors, which are then transfected into prokaryotic or eukaryotic host cells such as *E. coli* cells, simian COS cells, Chinese Hamster Ovary (CHO) cells or myeloma cells that do not otherwise produce immunoglobulins. More particularly, the isolated DNA (which may be synthetic as described herein) may be used to clone constant and variable region sequences for the manufacture antibodies as described in Newman *et al.*, U.S. Pat. No. 5,658,570, filed January 25, 1995, which is incorporated by reference herein. Essentially, this entails extraction of RNA from the selected cells, conversion to cDNA, and amplification by PCR using Ig specific primers. Suitable primers for this purpose are also described in U.S. Pat. No.

5,658,570. As will be discussed in more detail below, transformed cells expressing the desired antibody may be grown up in relatively large quantities to provide clinical and commercial supplies of the immunoglobulin.

[163] Additionally, using routine recombinant DNA techniques, one or more of the CDRs of the antigen-binding polypeptides of the present disclosure, may be inserted within framework regions, *e.g.*, into human framework regions to humanize a non-human antibody. The framework regions may be naturally occurring or consensus framework regions, and preferably human framework regions (*see, e.g., Chothia et al., J. Mol. Biol.* 278:457-479 (1998) for a listing of human framework regions). Preferably, the polynucleotide generated by the combination of the framework regions and CDRs encodes an antibody that specifically binds to at least one epitope of a desired polypeptide, *e.g.*, LIGHT. Preferably, one or more amino acid substitutions may be made within the framework regions, and, preferably, the amino acid substitutions improve binding of the antibody to its antigen. Additionally, such methods may be used to make amino acid substitutions or deletions of one or more variable region cysteine residues participating in an intrachain disulfide bond to generate antibody molecules lacking one or more intrachain disulfide bonds. Other alterations to the polynucleotide are encompassed by the present disclosure and within the skill of the art.

[164] In addition, techniques developed for the production of "chimeric antibodies" (Morrison *et al.*, *Proc. Natl. Acad. Sci. USA*:851-855 (1984); Neuberger *et al.*, *Nature* 372:604-608 (1984); Takeda *et al.*, *Nature* 314:452-454 (1985)) by splicing genes from a mouse antibody molecule, of appropriate antigen specificity, together with genes from a human antibody molecule of appropriate biological activity can be used. As used herein, a chimeric antibody is a molecule in which different portions are derived from different animal species, such as those having a variable region derived from a murine monoclonal antibody and a human immunoglobulin constant region.

[165] Yet another highly efficient means for generating recombinant antibodies is disclosed by Newman, *Biotechnology* 10: 1455-1460 (1992). Specifically, this technique results in the generation of primatized antibodies that contain monkey variable domains and human constant sequences. This reference is incorporated by reference in its entirety herein. Moreover, this technique is also described in commonly assigned U.S. Pat. Nos. 5,658,570, 5,693,780 and 5,756,096 each of which is incorporated herein by reference.

[166] Alternatively, antibody-producing cell lines may be selected and cultured using techniques well known to the skilled artisan. Such techniques are described in a variety of laboratory manuals and primary publications. In this respect, techniques suitable for use in the disclosure as described below are described in *Current Protocols in Immunology*, Coligan *et al.*, Eds., Green Publishing Associates and Wiley-Interscience, John Wiley and Sons, New York (1991) which is herein incorporated by reference in its entirety, including supplements.

[167] Additionally, standard techniques known to those of skill in the art can be used to introduce mutations in the nucleotide sequence encoding an antibody of the present disclosure, including, but not limited to, site-directed mutagenesis and PCR-mediated mutagenesis which result in amino acid substitutions. Preferably, the variants (including derivatives) encode less than 50 amino acid substitutions, less than 40 amino acid substitutions, less than 30 amino acid substitutions, less than 25 amino acid substitutions, less than 20 amino acid substitutions, less than 15 amino acid substitutions, less than 10 amino acid substitutions, less than 5 amino acid substitutions, less than 4 amino acid substitutions, less than 3 amino acid substitutions, or less than 2 amino acid substitutions relative to the reference variable heavy chain region, CDR-H1, CDR-H2, CDR-H3, variable light chain region, CDR-L1, CDR-L2, or CDR-L3. Alternatively, mutations can be introduced randomly along all or part of the coding sequence, such as by saturation mutagenesis, and the resultant mutants can be screened for biological activity to identify mutants that retain activity.

Cancer Treatment

[168] As described herein, the antibodies, variants or derivatives of the present disclosure may be used in certain treatment and diagnostic methods.

[169] The present disclosure is further directed to antibody-based therapies which involve administering the antibodies of the disclosure to a patient such as an animal, a mammal, and a human for treating one or more of the disorders or conditions described herein. Therapeutic compounds of the disclosure include, but are not limited to, antibodies of the disclosure (including variants and derivatives thereof as described herein) and nucleic acids or polynucleotides encoding antibodies of the disclosure (including variants and derivatives thereof as described herein).

[170] The antibodies of the disclosure can also be used to treat or inhibit cancer. PD-1 can be overexpressed in tumor cells. Tumor-derived PD-1 can bind to PD-L1 on immune cells thereby limiting antitumor T-cell immunity. Results with small molecule inhibitors, or monoclonal antibodies targeting PD-1 in murine tumor models, indicate that targeted PD-1 therapy is an important alternative and realistic approach to effective control of tumor growth. As demonstrated in the experimental examples, the anti-PD-1 antibodies activated the adaptive immune response machinery, which can lead to improved survival in cancer patients.

[171] Accordingly, in some embodiments, provided are methods for treating a cancer in a patient in need thereof. The method, in one embodiment, entails administering to the patient an effective amount of an antibody of the present disclosure. In some embodiments, at least one of the cancer cells (e.g., stromal cells) in the patient expresses, over-express, or is induced to express PD-1. Induction of PD-1 expression, for instance, can be done by administration of a tumor vaccine or radiotherapy.

[172] Tumors that express the PD-1 protein include those of bladder cancer, non-small cell lung cancer, renal cancer, breast cancer, urethral cancer, colorectal cancer, head and neck cancer, squamous cell cancer, Merkel cell carcinoma, gastrointestinal cancer, stomach cancer, oesophageal cancer, ovarian cancer, renal cancer, and small cell lung cancer. Accordingly, the presently disclosed antibodies can be used for treating any one or more such cancers.

[173] Cellular therapies, such as chimeric antigen receptor (CAR) T-cell therapies, are also provided in the present disclosure. A suitable cell can be used, that is put in contact with an anti-PD-1 antibody of the present disclosure (or alternatively engineered to express an anti-PD-1 antibody of the present disclosure). Upon such contact or engineering, the cell can then be introduced to a cancer patient in need of a treatment. The cancer patient may have a cancer of any of the types as disclosed herein. The cell (e.g., T cell) can be, for instance, a tumor-infiltrating T lymphocyte, a CD4⁺ T cell, a CD8⁺ T cell, or the combination thereof, without limitation.

[174] In some embodiments, the cell was isolated from the cancer patient him- or her-self. In some embodiments, the cell was provided by a donor or from a cell bank. When the cell is isolated from the cancer patient, undesired immune reactions can be minimized.

[175] Additional diseases or conditions associated with increased cell survival, that may be treated, prevented, diagnosed and/or prognosed with the antibodies or variants, or derivatives thereof of the disclosure include, but are not limited to, progression, and/or metastases of malignancies and related disorders such as leukemia (including acute leukemias (e.g., acute lymphocytic leukemia, acute myelocytic leukemia (including myeloblastic, promyelocytic, myelomonocytic, monocytic, and erythroleukemia)) and chronic leukemias (e.g., chronic myelocytic (granulocytic) leukemia and chronic lymphocytic leukemia)), polycythemia vera, lymphomas (e.g., Hodgkin's disease and non-Hodgkin's disease), multiple myeloma, Waldenstrom's macroglobulinemia, heavy chain disease, and solid tumors including, but not limited to, sarcomas and carcinomas such as fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chordoma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyo sarcoma, colon carcinoma, pancreatic cancer, breast cancer, thyroid cancer, endometrial cancer, melanoma, prostate cancer, ovarian

cancer, prostate cancer, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilm's tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, menangioma, melanoma, neuroblastoma and retinoblastoma.

Treatment of Infections and Immune Disorders

[176] As demonstrated in the experimental examples, the antibodies of the present disclosure can activate immune response which can then be useful for treating infections.

[177] Infection is the invasion of an organism's body tissues by disease-causing agents, their multiplication, and the reaction of host tissues to these organisms and the toxins they produce. An infection can be caused by infectious agents such as viruses, viroids, prions, bacteria, nematodes such as parasitic roundworms and pinworms, arthropods such as ticks, mites, fleas, and lice, fungi such as ringworm, and other macroparasites such as tapeworms and other helminths. In one aspect, the infectious agent is a bacterium, such as Gram negative bacterium. In one aspect, the infectious agent is virus, such as DNA viruses, RNA viruses, and reverse transcribing viruses. Non-limiting examples of viruses include Adenovirus, Coxsackievirus, Epstein-Barr virus, Hepatitis A virus, Hepatitis B virus, Hepatitis C virus, Herpes simplex virus, type 1, Herpes simplex virus, type 2, Cytomegalovirus, Human herpesvirus, type 8, HIV, Influenza virus, Measles virus, Mumps virus, Human papillomavirus, Parainfluenza virus, Poliovirus, Rabies virus, Respiratory syncytial virus, Rubella virus, Varicella-zoster virus.

[178] Also provided, in some embodiments, are methods or uses of the antibody or fragment thereof for the treatment of an immune disorder. Non-limiting examples of immune disorder include infection, endotoxic shock associated with infection, arthritis, rheumatoid arthritis, asthma, COPD, pelvic inflammatory disease, Alzheimer's Disease, inflammatory bowel disease, Crohn's disease, ulcerative colitis, Peyronie's Disease, coeliac disease, gallbladder disease, Pilonidal disease, peritonitis, psoriasis, vasculitis, surgical adhesions, stroke, Type I Diabetes, Lyme disease, arthritis, meningoencephalitis, autoimmune uveitis, immune mediated inflammatory disorders of the central and peripheral nervous system, multiple sclerosis, lupus and Guillain-Barré syndrome, Atopic dermatitis, autoimmune hepatitis, fibrosing alveolitis, Grave's disease, IgA nephropathy, idiopathic thrombocytopenic purpura, Meniere's disease, pemphigus, primary biliary cirrhosis, sarcoidosis, scleroderma, Wegener's granulomatosis, pancreatitis, trauma, graft-versus-host disease, transplant rejection, ischaemic diseases, myocardial infarction, atherosclerosis, intravascular coagulation, bone resorption, osteoporosis, osteoarthritis, periodontitis, hypochlorhydria, and infertility related to lack of fetal-maternal tolerance.

[179] The antibodies of the present disclosure can also be used to treat an infectious disease caused by a microorganism, or kill a microorganism, by targeting the microorganism and an immune cell to effect elimination of the microorganism. In one aspect, the microorganism is a virus including RNA and DNA viruses, a Gram positive bacterium, a Gram negative bacterium, a protozoa or a fungus.

[180] For example, the microorganism is a hepatitis virus. Non-limiting examples of hepatitis virus may include Hepatitis A virus (HAV), Hepatitis B virus (HBV), Hepatitis C virus (HCV), Hepatitis D virus (HDV), and Hepatitis E virus (HEV).

[181] Without wishing to be bound by any particular theory, the antibody or fragment thereof described herein may block immune checkpoint of the PD-1/PD-L1 axis, thus relieve T cells from immunosuppression in hepatitis patients.

[182] In some embodiments, the antibody or fragment thereof described herein induces T cell proliferation in a subject with hepatitis virus infection.

[183] In some embodiments, the antibody or fragment thereof described herein induces cytokine production by T cells in a subject with hepatitis virus infection. Non-limiting cytokines that may be produced by T cells include IL-1, IL-18, TNF-alpha, CD70, IL-2, IFN-alpha, IFN-gamma, IL-6, IL-11, IL-31, IL-10, IL-17, and TGF-beta. In some embodiments, the cytokine is IL-2. In some embodiments, the cytokine is IFN-gamma.

[184] In some embodiments, the antibody or fragment thereof described herein increases T cell cytotoxicity in a subject with hepatitis virus infection.

[185] In some embodiments, the antibody or fragment thereof described herein reduces one or more serological indicators in a subject with hepatitis virus infection. Any suitable serological indicator may be used. For example, for HAV, the serological indicator may be anti-HAV IgM. For HBV, the serological indicator may be HBsAg, HBeAg, or anti-HBc IgM. For HCV, the serological indicator may be anti-HCV. For HDV, the serological indicator may be anti-HDV IgM or anti-HDV IgG. For HEV, the serological indicator may be anti-HEV IgM.

[186] In some embodiments, the antibody or fragment thereof described herein reduces viral load in a subject with hepatitis virus infection. For example, the viral load may be measured as the amount of viral DNA or RNA in the subject. In some embodiments, the antibody or fragment thereof described herein reduces HBV DNA in a subject with HBV infection. In some embodiments, the antibody or fragment thereof described herein reduces HCV RNA in a subject with HCV infection.

[187] In some embodiments, the antibody or fragment thereof described herein reduces an aminotransferase activity in a subject with hepatitis virus infection. The aminotransferase may be any suitable aminotransferase, as long as its increase indicates hepatitis or hepatitis virus infection. For example, the aminotransferase may be an alanine aminotransferase (ALT). For example, the aminotransferase may be an aspartate aminotransferase (AST).

[188] In some embodiments, the increase may be an increase of about 1 % to about 50 folds. In some embodiments, the increase may be an increase of at least about 1 %. In some embodiments, the increase may be an increase of at most about 50 folds. In some embodiments, the increase may be an increase of about 1 % to about 2 %, about 1 % to about 5 %, about 1 % to about 10 %, about 1 % to about 20 %, about 1 % to about 50 %, about 1 % to about 2 folds, about 1 % to about 3 folds, about 1 % to about 500 %, about 1 % to about 10 folds, about 1 % to about 50 folds, about 2 % to about 5 %, about 2 % to about 10 %, about 2 % to about 20 %, about 2 % to about 50 %, about 2 % to about 2 folds, about 2 % to about 3 folds, about 2 % to about 5 folds, about 2 % to about 10 folds, about 2 % to about 50 folds, about 5 % to about 10 %, about 5 % to about 20 %, about 5 % to about 50 %, about 5 % to about 2 folds, about 5 % to about 3 folds, about 5 % to about 5 folds, about 5 % to about 10 folds, about 5 % to about 50 folds, about 10 % to about 20 %, about 10 % to about 50 %, about 10 % to about 2 folds, about 10 % to about 3 folds, about 10 % to about 5 folds, about 10 % to about 10 folds, about 10 % to about 50 folds, about 20 % to about 50 %, about 20 % to about 2 folds, about 20 % to about 3 folds, about 20 % to about 5 folds, about 20 % to about 10 folds, about 20 % to about 50 folds, about 50 % to about 2 folds, about 50 % to about 3 folds, about 50 % to about 5 folds, about 50 % to about 10 folds, about 50 % to about 50 folds, about 2 folds to about 3 folds, about 2 folds to about 5 folds, about 2 folds to about 10 folds, about 2 folds to about 50 folds, about 3 folds to about 5 folds, about 3 folds to about 10 folds, about 3 folds to about 50 folds, about 5 folds to about 10 folds, about 5 folds to about 50 folds, or about 10 folds to about 50 folds. In some embodiments, the increase may be an increase of about 1 %, about 2 %, about 5 %, about 10 %, about 20 %, about 50 %, about 2 folds, about 3 folds, about 5 folds, about 10 folds, or about 50 folds. In some embodiments, the increase may be an increase of more than about 1 %, about 2 %, about 5 %, about 10 %, about 20 %, about 50 %, about 2 folds, about 3 folds, about 5 folds, about 10 folds, or about 50 folds.

[189] In some embodiments, the reduction may be a reduction of about 1 % to about 90 %. In some embodiments, the reduction may be a reduction of at least about 1 %. In some embodiments, the reduction may be a reduction of at most about 90 %. In some embodiments, the reduction may be a reduction of about

1 % to about 2 %, about 1 % to about 5 %, about 1 % to about 10 %, about 1 % to about 15 %, about 1 % to about 20 %, about 1 % to about 30 %, about 1 % to about 40 %, about 1 % to about 50 %, about 1 % to about 70 %, about 1 % to about 80 %, about 1 % to about 90 %, about 2 % to about 5 %, about 2 % to about 10 %, about 2 % to about 15 %, about 2 % to about 20 %, about 2 % to about 30 %, about 2 % to about 40 %, about 2 % to about 50 %, about 2 % to about 70 %, about 2 % to about 80 %, about 2 % to about 90 %, about 5 % to about 10 %, about 5 % to about 15 %, about 5 % to about 20 %, about 5 % to about 30 %, about 5 % to about 40 %, about 5 % to about 50 %, about 5 % to about 70 %, about 5 % to about 80 %, about 5 % to about 90 %, about 10 % to about 15 %, about 10 % to about 20 %, about 10 % to about 30 %, about 10 % to about 40 %, about 10 % to about 50 %, about 10 % to about 70 %, about 10 % to about 80 %, about 10 % to about 90 %, about 15 % to about 20 %, about 15 % to about 30 %, about 15 % to about 40 %, about 15 % to about 50 %, about 15 % to about 70 %, about 15 % to about 80 %, about 15 % to about 90 %, about 20 % to about 30 %, about 20 % to about 40 %, about 20 % to about 50 %, about 20 % to about 70 %, about 20 % to about 80 %, about 20 % to about 90 %, about 30 % to about 40 %, about 30 % to about 50 %, about 30 % to about 70 %, about 30 % to about 80 %, about 30 % to about 90 %, about 40 % to about 50 %, about 40 % to about 70 %, about 40 % to about 80 %, about 40 % to about 90 %, about 50 % to about 70 %, about 50 % to about 80 %, about 50 % to about 90 %, about 70 % to about 80 %, about 70 % to about 90 %, or about 80 % to about 90 %. In some embodiments, the reduction may be a reduction of about 1 %, about 2 %, about 5 %, about 10 %, about 15 %, about 20 %, about 30 %, about 40 %, about 50 %, about 70 %, about 80 %, or about 90 %. In some embodiments, the reduction may be a reduction of more than about 1 %, about 2 %, about 5 %, about 10 %, about 15 %, about 20 %, about 30 %, about 40 %, about 50 %, about 70 %, about 80 %, or about 90 %.

[190] A specific dosage and treatment regimen for any particular patient will depend upon a variety of factors, including the particular antibodies, variant or derivative thereof used, the patient's age, body weight, general health, sex, and diet, and the time of administration, rate of excretion, drug combination, and the severity of the particular disease being treated. Judgment of such factors by medical caregivers is within the ordinary skill in the art. The amount will also depend on the individual patient to be treated, the route of administration, the type of formulation, the characteristics of the compound used, the severity of the disease, and the desired effect. The amount used can be determined by pharmacological and pharmacokinetic principles well known in the art.

[191] Methods of administration of the antibodies, variants or include but are not limited to intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, epidural, and oral routes. The antigen-binding polypeptides or compositions may be administered by any convenient route, for example by infusion or bolus injection, by absorption through epithelial or mucocutaneous linings (*e.g.*, oral mucosa, rectal and intestinal mucosa, etc.) and may be administered together with other biologically active agents. Thus, pharmaceutical compositions containing the antigen-binding polypeptides of the disclosure may be administered orally, rectally, parenterally, intracisternally, intravaginally, intraperitoneally, topically (as by powders, ointments, drops or transdermal patch), buccally, or as an oral or nasal spray.

[192] The term "parenteral" as used herein refers to modes of administration which include intravenous, intramuscular, intraperitoneal, intrasternal, subcutaneous and intra-articular injection and infusion.

[193] Administration can be systemic or local. In addition, it may be desirable to introduce the antibodies of the disclosure into the central nervous system by any suitable route, including intraventricular and intrathecal injection; intraventricular injection may be facilitated by an intraventricular catheter, for example, attached to a reservoir, such as an Ommaya reservoir. Pulmonary administration can also be employed, *e.g.*, by use of an inhaler or nebulizer, and formulation with an aerosolizing agent.

[194] It may be desirable to administer the antibodies polypeptides or compositions of the disclosure locally to the area in need of treatment; this may be achieved by, for example, and not by way of limitation, local infusion during surgery, topical application, *e.g.*, in conjunction, with a wound dressing after surgery, by injection, by means of a catheter, by means of a suppository, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, or

fibers. Preferably, when administering a protein, including an antibody, of the disclosure, care must be taken to use materials to which the protein does not absorb.

[195] In another embodiment, the antibodies or composition can be delivered in a vesicle, in particular a liposome (*see* Langer, 1990, *Science* 249:1527-1533; Treat *et al.*, in *Liposomes in the Therapy of Infectious Disease and Cancer*, Lopez-Berestein and Fidler (eds.), Liss, New York, pp. 353-365 (1989); Lopez-Berestein, *ibid.*, pp. 317-327; *see generally ibid.*)

[196] In yet another embodiment, the antigen-binding polypeptide or composition can be delivered in a controlled release system. In one embodiment, a pump may be used (*see* Sefton, 1987, *CRC Crit. Ref. Biomed. Eng.* 14:201; Buchwald *et al.*, 1980, *Surgery* 88:507; Saudek *et al.*, 1989, *N. Engl. J. Med.* 321:574). In another embodiment, polymeric materials can be used (*see* Medical Applications of Controlled Release, Langer and Wise (eds.), CRC Pres., Boca Raton, Fla. (1974); Controlled Drug Bioavailability, Drug Product Design and Performance, Smolen and Ball (eds.), Wiley, New York (1984); Ranger and Peppas, J., 1983, *Macromol. Sci. Rev. Macromol. Chem.* 23:61; *see also* Levy *et al.*, 1985, *Science* 228:190; During *et al.*, 1989, *Ann. Neurol.* 25:351; Howard *et al.*, 1989, *J. Neurosurg.* 71:105). In yet another embodiment, a controlled release system can be placed in proximity of the therapeutic target, *i.e.*, the brain, thus requiring only a fraction of the systemic dose (*see, e.g.*, Goodson, in *Medical Applications of Controlled Release*, supra, vol. 2, pp. 115-138 (1984)). Other controlled release systems are discussed in the review by Langer (1990, *Science* 249:1527-1533).

[197] In a specific embodiment where the composition of the disclosure comprises a nucleic acid or polynucleotide encoding a protein, the nucleic acid can be administered *in vivo* to promote expression of its encoded protein, by constructing it as part of an appropriate nucleic acid expression vector and administering it so that it becomes intracellular, *e.g.*, by use of a retroviral vector (*see* U.S. Pat. No. 4,980,286), or by direct injection, or by use of microparticle bombardment (*e.g.*, a gene gun; Biolistic, Dupont), or coating with lipids or cell-surface receptors or transfecting agents, or by administering it in linkage to a homeobox-like peptide which is known to enter the nucleus (*see, e.g.*, Joliot *et al.*, 1991, *Proc. Natl. Acad. Sci. USA* 88:1864-1868), etc. Alternatively, a nucleic acid can be introduced intracellularly and incorporated within host cell DNA for expression, by homologous recombination.

[198] The amount of the antibodies of the disclosure which will be effective in the treatment, inhibition and prevention of an inflammatory, immune or malignant disease, disorder or condition can be determined by standard clinical techniques. In addition, *in vitro* assays may optionally be employed to help identify optimal dosage ranges. The precise dose to be employed in the formulation will also depend on the route of administration, and the seriousness of the disease, disorder or condition, and should be decided according to the judgment of the practitioner and each patient's circumstances. Effective doses may be extrapolated from dose-response curves derived from *in vitro* or animal model test systems.

[199] In some aspects there is provided a method of treating a cancer, an infection, or an immune disorder in a patient in need thereof, comprising administering to the patient one or more doses of an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein each dose is about 1 mg/kg to about 10 mg/kg. In some embodiments, the dosage is about 1 mg/kg. In some embodiments, the dosage is about 10 mg/kg. In some embodiments, the dosage is about 3 mg/kg. In some embodiments, the isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1) comprises: a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of: (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGYYYVMDY (SEQ ID NO: 3), LCDR1: ESVDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6); (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ

ID NO: 12); (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18); and (d) HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 as shown in (a)-(c) but at least one of which includes one, two, or three amino acid addition, deletion, conservative amino acid substitution or the combinations thereof.

[200] As a general proposition, the dosage administered to a patient of the antigen-binding polypeptides of the present disclosure is typically 0.1 mg/kg to 100 mg/kg of the patient's body weight, between 0.1 mg/kg and 20 mg/kg of the patient's body weight, or 1 mg/kg to 10 mg/kg of the patient's body weight.

[201] For example, in some embodiments, the dosage is between 0.1 mg/kg and 20 mg/kg of the patient's body weight, or 1 mg/kg to 10 mg/kg of the patient's body weight. In some embodiments, the dosage is about 1 mg/kg to about 2 mg/kg, about 2 mg/kg to about 3 mg/kg, about 3 mg/kg to about 4 mg/kg, about 4 mg/kg to about 5 mg/kg, about 5 mg/kg to about 6 mg/kg, about 6 mg/kg to about 7 mg/kg, about 7 mg/kg to about 8 mg/kg, about 8 mg/kg to about 9 mg/kg, or about 9 mg/kg to about 10 mg/kg. In some embodiments, the dosage is about 1 mg/kg, about 2 mg/kg, about 3 mg/kg, about 4 mg/kg, about 5 mg/kg, about 6 mg/kg, about 7 mg/kg, about 8 mg/kg, about 9 mg/kg, or about 10 mg/kg. In some embodiments, the dosage is about 1 mg/kg, about 3 mg/kg, or about 10 mg/kg. In some embodiments, the dosage is about 3 mg/kg.

[202] For example, in some embodiments, the dosage is about 0.001 mg/kg to about 1,000 mg/kg. In some embodiments, the dosage is at least about 0.001 mg/kg. In some embodiments, the dosage is at most about 1,000 mg/kg. In some embodiments, the dosage is about 0.001 mg/kg to about 0.01 mg/kg, about 0.001 mg/kg to about 0.05 mg/kg, about 0.001 mg/kg to about 0.1 mg/kg, about 0.001 mg/kg to about 0.5 mg/kg, about 0.001 mg/kg to about 1 mg/kg, about 0.001 mg/kg to about 5 mg/kg, about 0.001 mg/kg to about 10 mg/kg, about 0.001 mg/kg to about 50 mg/kg, about 0.001 mg/kg to about 100 mg/kg, about 0.001 mg/kg to about 500 mg/kg, about 0.001 mg/kg to about 1,000 mg/kg, about 0.01 mg/kg to about 0.05 mg/kg, about 0.01 mg/kg to about 0.1 mg/kg, about 0.01 mg/kg to about 0.5 mg/kg, about 0.01 mg/kg to about 1 mg/kg, about 0.01 mg/kg to about 5 mg/kg, about 0.01 mg/kg to about 10 mg/kg, about 0.01 mg/kg to about 50 mg/kg, about 0.01 mg/kg to about 100 mg/kg, about 0.01 mg/kg to about 500 mg/kg, about 0.01 mg/kg to about 1,000 mg/kg, about 0.05 mg/kg to about 0.1 mg/kg, about 0.05 mg/kg to about 0.5 mg/kg, about 0.05 mg/kg to about 1 mg/kg, about 0.05 mg/kg to about 5 mg/kg, about 0.05 mg/kg to about 10 mg/kg, about 0.05 mg/kg to about 50 mg/kg, about 0.05 mg/kg to about 100 mg/kg, about 0.05 mg/kg to about 500 mg/kg, about 0.05 mg/kg to about 1,000 mg/kg, about 0.1 mg/kg to about 0.5 mg/kg, about 0.1 mg/kg to about 1 mg/kg, about 0.1 mg/kg to about 5 mg/kg, about 0.1 mg/kg to about 10 mg/kg, about 0.1 mg/kg to about 50 mg/kg, about 0.1 mg/kg to about 100 mg/kg, about 0.1 mg/kg to about 500 mg/kg, about 0.1 mg/kg to about 1,000 mg/kg, about 0.5 mg/kg to about 1 mg/kg, about 0.5 mg/kg to about 5 mg/kg, about 0.5 mg/kg to about 10 mg/kg, about 0.5 mg/kg to about 50 mg/kg, about 0.5 mg/kg to about 100 mg/kg, about 0.5 mg/kg to about 500 mg/kg, about 0.5 mg/kg to about 1,000 mg/kg, about 1 mg/kg to about 5 mg/kg, about 1 mg/kg to about 10 mg/kg, about 1 mg/kg to about 50 mg/kg, about 1 mg/kg to about 100 mg/kg, about 1 mg/kg to about 500 mg/kg, about 1 mg/kg to about 1,000 mg/kg, about 5 mg/kg to about 10 mg/kg, about 5 mg/kg to about 50 mg/kg, about 5 mg/kg to about 100 mg/kg, about 5 mg/kg to about 500 mg/kg, about 5 mg/kg to about 1,000 mg/kg, about 10 mg/kg to about 50 mg/kg, about 10 mg/kg to about 100 mg/kg, about 10 mg/kg to about 500 mg/kg, about 10 mg/kg to about 1,000 mg/kg, about 50 mg/kg to about 100 mg/kg, about 50 mg/kg to about 500 mg/kg, about 50 mg/kg to about 1,000 mg/kg, about 100 mg/kg to about 500 mg/kg, about 100 mg/kg to about 1,000 mg/kg, or about 500 mg/kg to about 1,000 mg/kg. In some embodiments, the dosage is about 0.001 mg/kg, about 0.01 mg/kg, about 0.05 mg/kg, about 0.1 mg/kg, about 0.5 mg/kg, about 1 mg/kg, about 5 mg/kg, about 10 mg/kg, about 50 mg/kg, about 100 mg/kg, about 500 mg/kg, or about 1,000 mg/kg. In some embodiments, the dosage is more than about 0.001 mg/kg, about 0.01 mg/kg, about 0.05 mg/kg, about 0.1 mg/kg, about 0.5 mg/kg, about 1 mg/kg, about 5 mg/kg, about 10 mg/kg, about 50 mg/kg, about 100 mg/kg, about 500 mg/kg, or about 1,000 mg/kg.

[203] For example, in some embodiments, the dosage is about 0.01 mg/kg to about 100 mg/kg. In some embodiments, the dosage is at least about 0.01 mg/kg. In some embodiments, the dosage is at most about 100 mg/kg. In some embodiments, the dosage is about 0.01 mg/kg to about 0.02 mg/kg, about 0.01 mg/kg to

about 0.05 mg/kg, about 0.01 mg/kg to about 0.1 mg/kg, about 0.01 mg/kg to about 0.2 mg/kg, about 0.01 mg/kg to about 0.5 mg/kg, about 0.01 mg/kg to about 1 mg/kg, about 0.01 mg/kg to about 2 mg/kg, about 0.01 mg/kg to about 5 mg/kg, about 0.01 mg/kg to about 10 mg/kg, about 0.01 mg/kg to about 50 mg/kg, about 0.01 mg/kg to about 100 mg/kg, about 0.02 mg/kg to about 0.05 mg/kg, about 0.02 mg/kg to about 0.1 mg/kg, about 0.02 mg/kg to about 0.2 mg/kg, about 0.02 mg/kg to about 0.5 mg/kg, about 0.02 mg/kg to about 1 mg/kg, about 0.02 mg/kg to about 2 mg/kg, about 0.02 mg/kg to about 5 mg/kg, about 0.02 mg/kg to about 10 mg/kg, about 0.02 mg/kg to about 50 mg/kg, about 0.02 mg/kg to about 100 mg/kg, about 0.05 mg/kg to about 0.1 mg/kg, about 0.05 mg/kg to about 0.2 mg/kg, about 0.05 mg/kg to about 0.5 mg/kg, about 0.05 mg/kg to about 1 mg/kg, about 0.05 mg/kg to about 2 mg/kg, about 0.05 mg/kg to about 5 mg/kg, about 0.05 mg/kg to about 10 mg/kg, about 0.05 mg/kg to about 50 mg/kg, about 0.05 mg/kg to about 100 mg/kg, about 0.1 mg/kg to about 0.2 mg/kg, about 0.1 mg/kg to about 0.5 mg/kg, about 0.1 mg/kg to about 1 mg/kg, about 0.1 mg/kg to about 2 mg/kg, about 0.1 mg/kg to about 5 mg/kg, about 0.1 mg/kg to about 10 mg/kg, about 0.1 mg/kg to about 50 mg/kg, about 0.1 mg/kg to about 100 mg/kg, about 0.2 mg/kg to about 0.5 mg/kg, about 0.2 mg/kg to about 1 mg/kg, about 0.2 mg/kg to about 2 mg/kg, about 0.2 mg/kg to about 5 mg/kg, about 0.2 mg/kg to about 10 mg/kg, about 0.2 mg/kg to about 50 mg/kg, about 0.2 mg/kg to about 100 mg/kg, about 0.5 mg/kg to about 1 mg/kg, about 0.5 mg/kg to about 2 mg/kg, about 0.5 mg/kg to about 5 mg/kg, about 0.5 mg/kg to about 10 mg/kg, about 0.5 mg/kg to about 50 mg/kg, about 0.5 mg/kg to about 100 mg/kg, about 1 mg/kg to about 2 mg/kg, about 1 mg/kg to about 5 mg/kg, about 1 mg/kg to about 10 mg/kg, about 1 mg/kg to about 50 mg/kg, about 1 mg/kg to about 100 mg/kg, about 2 mg/kg to about 5 mg/kg, about 2 mg/kg to about 10 mg/kg, about 2 mg/kg to about 50 mg/kg, about 2 mg/kg to about 100 mg/kg, about 5 mg/kg to about 10 mg/kg, about 5 mg/kg to about 50 mg/kg, about 5 mg/kg to about 100 mg/kg, about 10 mg/kg to about 50 mg/kg, about 10 mg/kg to about 100 mg/kg, or about 50 mg/kg to about 100 mg/kg. In some embodiments, the dosage is about 0.01 mg/kg, about 0.02 mg/kg, about 0.05 mg/kg, about 0.1 mg/kg, about 0.2 mg/kg, about 0.5 mg/kg, about 1 mg/kg, about 2 mg/kg, about 5 mg/kg, about 10 mg/kg, about 50 mg/kg, or about 100 mg/kg. In some embodiments, the dosage is more than about 0.01 mg/kg, about 0.02 mg/kg, about 0.05 mg/kg, about 0.1 mg/kg, about 0.2 mg/kg, about 0.5 mg/kg, about 1 mg/kg, about 2 mg/kg, about 5 mg/kg, about 10 mg/kg, about 50 mg/kg, or about 100 mg/kg.

[204] The antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of 0.5 mg to 5000 mg, from 1 mg to 1000 mg, or from 5 mg to 100 g by weight of the antigen-binding polypeptides of the present disclosure.

[205] In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.1 mg to about 10,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of at least about 0.1 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of at most about 10,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.1 mg to about 0.5 mg, about 0.1 mg to about 1 mg, about 0.1 mg to about 5 mg, about 0.1 mg to about 10 mg, about 0.1 mg to about 50 mg, about 0.1 mg to about 100 mg, about 0.1 mg to about 500 mg, about 0.1 mg to about 1,000 mg, about 0.1 mg to about 5,000 mg, about 0.1 mg to about 10,000 mg, about 0.5 mg to about 1 mg, about 0.5 mg to about 5 mg, about 0.5 mg to about 10 mg, about 0.5 mg to about 50 mg, about 0.5 mg to about 100 mg, about 0.5 mg to about 500 mg, about 0.5 mg to about 1,000 mg, about 0.5 mg to about 5,000 mg, about 0.5 mg to about 10,000 mg, about 1 mg to about 5 mg, about 1 mg to about 10 mg, about 1 mg to about 50 mg, about 1 mg to about 100 mg, about 1 mg to about 500 mg, about 1 mg to about 1,000 mg, about 1 mg to about 5,000 mg, about 1 mg to about 10,000 mg, about 5 mg to about 10 mg, about 5 mg to about 50 mg, about 5 mg to about 100 mg, about 5 mg to about 500 mg, about 5 mg to about 1,000 mg, about 5 mg to about 5,000 mg, about 5 mg to about 10,000 mg, about 10 mg to about 50 mg, about 10 mg to about 100 mg, about 10 mg to about 500 mg, about 10 mg to about 1,000 mg, about 10 mg to about 5,000 mg, about 10 mg to about 10,000 mg, about 50 mg to about 100 mg, about 50 mg to about 500 mg, about 50 mg to about 1,000 mg, about 50 mg to about 5,000 mg, about 50 mg to about 10,000 mg, about 100 mg to about 500 mg, about 100 mg to about 1,000 mg, about 100 mg to about 5,000 mg, about 100 mg to about 10,000 mg, about 500 mg to about 1,000 mg, about 500 mg to about 5,000 mg, about 500 mg to about 10,000 mg, about 1,000 mg to about 5,000 mg, about 1,000 mg to about 10,000 mg, or about 5,000 mg to about 10,000 mg. In some embodiments, the

antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.1 mg, about 0.5 mg, about 1 mg, about 5 mg, about 10 mg, about 50 mg, about 100 mg, about 500 mg, about 1,000 mg, about 5,000 mg, or about 10,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of more than about 0.1 mg, about 0.5 mg, about 1 mg, about 5 mg, about 10 mg, about 50 mg, about 100 mg, about 500 mg, about 1,000 mg, about 5,000 mg, or about 10,000 mg.

[206] In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.5 mg to about 5,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of at least about 0.5 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of at most about 5,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.5 mg to about 1 mg, about 0.5 mg to about 2 mg, about 0.5 mg to about 5 mg, about 0.5 mg to about 10 mg, about 0.5 mg to about 20 mg, about 0.5 mg to about 50 mg, about 0.5 mg to about 100 mg, about 0.5 mg to about 200 mg, about 0.5 mg to about 1,000 mg, about 0.5 mg to about 2,000 mg, about 0.5 mg to about 5,000 mg, about 1 mg to about 2 mg, about 1 mg to about 5 mg, about 1 mg to about 10 mg, about 1 mg to about 20 mg, about 1 mg to about 50 mg, about 1 mg to about 100 mg, about 1 mg to about 200 mg, about 1 mg to about 1,000 mg, about 1 mg to about 2,000 mg, about 1 mg to about 5,000 mg, about 2 mg to about 5 mg, about 2 mg to about 10 mg, about 2 mg to about 20 mg, about 2 mg to about 50 mg, about 2 mg to about 100 mg, about 2 mg to about 200 mg, about 2 mg to about 1,000 mg, about 2 mg to about 2,000 mg, about 2 mg to about 5,000 mg, about 5 mg to about 10 mg, about 5 mg to about 20 mg, about 5 mg to about 50 mg, about 5 mg to about 100 mg, about 5 mg to about 200 mg, about 5 mg to about 1,000 mg, about 5 mg to about 2,000 mg, about 5 mg to about 5,000 mg, about 10 mg to about 20 mg, about 10 mg to about 50 mg, about 10 mg to about 100 mg, about 10 mg to about 200 mg, about 10 mg to about 1,000 mg, about 10 mg to about 2,000 mg, about 10 mg to about 5,000 mg, about 20 mg to about 50 mg, about 20 mg to about 100 mg, about 20 mg to about 200 mg, about 20 mg to about 1,000 mg, about 20 mg to about 2,000 mg, about 20 mg to about 5,000 mg, about 50 mg to about 100 mg, about 50 mg to about 200 mg, about 50 mg to about 1,000 mg, about 50 mg to about 2,000 mg, about 50 mg to about 5,000 mg, about 100 mg to about 200 mg, about 100 mg to about 1,000 mg, about 100 mg to about 2,000 mg, about 100 mg to about 5,000 mg, about 200 mg to about 1,000 mg, about 200 mg to about 2,000 mg, about 200 mg to about 5,000 mg, about 1,000 mg to about 2,000 mg, about 1,000 mg to about 5,000 mg, or about 2,000 mg to about 5,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of about 0.5 mg, about 1 mg, about 2 mg, about 5 mg, about 10 mg, about 20 mg, about 50 mg, about 100 mg, about 200 mg, about 1,000 mg, about 2,000 mg, or about 5,000 mg. In some embodiments, the antigen-binding polypeptides of the present disclosure may be formulated in a unit dosage form of more than about 0.5 mg, about 1 mg, about 2 mg, about 5 mg, about 10 mg, about 20 mg, about 50 mg, about 100 mg, about 200 mg, about 1,000 mg, about 2,000 mg, or about 5,000 mg.

[207] The unit dosage form may be administered 1, 2, 3, 4, 5, or more times per day, daily, every two days, every three days, twice a week, every four days, every five days, every six days, weekly, every two weeks, every three weeks, every month, or more frequently or infrequently, or any interval therebetween.

[208] Generally, human antibodies have a longer half-life within the human body than antibodies from other species due to the immune response to the foreign polypeptides. Thus, lower dosages of human antibodies and less frequent administration is often possible. Further, the dosage and frequency of administration of antibodies of the disclosure may be reduced by enhancing uptake and tissue penetration (*e.g.*, into the brain) of the antibodies by modifications such as, for example, lipidation.

[209] The methods for treating an infectious or malignant disease, condition or disorder comprising administration of an antibody, variant, or derivative thereof of the disclosure are typically tested *in vitro*, and then *in vivo* in an acceptable animal model, for the desired therapeutic or prophylactic activity, prior to use in humans. Suitable animal models, including transgenic animals, are well known to those of ordinary skill in the art. For example, *in vitro* assays to demonstrate the therapeutic utility of antigen-binding polypeptide

described herein include the effect of an antigen-binding polypeptide on a cell line or a patient tissue sample. The effect of the antigen-binding polypeptide on the cell line and/or tissue sample can be determined utilizing techniques known to those of skill in the art, such as the assays disclosed elsewhere herein. In accordance with the disclosure, *in vitro* assays which can be used to determine whether administration of a specific antigen-binding polypeptide is indicated, include *in vitro* cell culture assays in which a patient tissue sample is grown in culture, and exposed to or otherwise administered a compound, and the effect of such compound upon the tissue sample is observed.

[210] Various delivery systems are known and can be used to administer an antibody of the disclosure or a polynucleotide encoding an antibody of the disclosure, *e.g.*, encapsulation in liposomes, microparticles, microcapsules, recombinant cells capable of expressing the compound, receptor-mediated endocytosis (*see, e.g.*, Wu and Wu, 1987, *J. Biol. Chem.* 262:4429-4432), construction of a nucleic acid as part of a retroviral or other vector, etc.

Diagnostic Methods

[211] Over-expression of PD-1 is observed in certain tumor samples, and patients having PD-1-over-expressing cells are likely responsive to treatments with the anti-PD-1 antibodies of the present disclosure. Accordingly, the antibodies of the present disclosure can also be used for diagnostic and prognostic purposes.

[212] A sample that preferably includes a cell can be obtained from a patient, which can be a cancer patient or a patient desiring diagnosis. The cell be a cell of a tumor tissue or a tumor block, a blood sample, a urine sample or any sample from the patient. Upon optional pre-treatment of the sample, the sample can be incubated with an antibody of the present disclosure under conditions allowing the antibody to interact with a PD-1 protein potentially present in the sample. Methods such as ELISA can be used, taking advantage of the anti-PD-1 antibody, to detect the presence of the PD-1 protein in the sample.

[213] Presence of the PD-1 protein in the sample (optionally with the amount or concentration) can be used for diagnosis of cancer, as an indication that the patient is suitable for a treatment with the antibody, or as an indication that the patient has (or has not) responded to a cancer treatment. For a prognostic method, the detection can be done at once, twice or more, at certain stages, upon initiation of a cancer treatment to indicate the progress of the treatment.

Compositions

[214] The present disclosure also provides pharmaceutical compositions. Such compositions comprise an effective amount of an antibody, and an acceptable carrier. In some embodiments, the composition further includes a second anticancer agent (*e.g.*, an immune checkpoint inhibitor).

[215] In a specific embodiment, the term “pharmaceutically acceptable” means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. Further, a “pharmaceutically acceptable carrier” will generally be a non-toxic solid, semisolid or liquid filler, diluent, encapsulating material or formulation auxiliary of any type.

[216] The term “carrier” refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Water is a preferred carrier when the pharmaceutical composition is administered intravenously. Saline solutions and aqueous dextrose and glycerol solutions can also be employed as liquid carriers, particularly for injectable solutions. Suitable pharmaceutical excipients include starch, glucose, lactose, sucrose, gelatin, malt, rice, flour, chalk, silica gel, sodium stearate, glycerol monostearate, talc, sodium chloride, dried skim milk, glycerol, propylene, glycol, water, ethanol and the like. The composition, if desired, can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents such as

acetates, citrates or phosphates. Antibacterial agents such as benzyl alcohol or methyl parabens; antioxidants such as ascorbic acid or sodium bisulfite; chelating agents such as ethylenediaminetetraacetic acid; and agents for the adjustment of tonicity such as sodium chloride or dextrose are also envisioned. These compositions can take the form of solutions, suspensions, emulsion, tablets, pills, capsules, powders, sustained-release formulations and the like. The composition can be formulated as a suppository, with traditional binders and carriers such as triglycerides. Oral formulation can include standard carriers such as pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, magnesium carbonate, etc. Examples of suitable pharmaceutical carriers are described in Remington's Pharmaceutical Sciences by E. W. Martin, incorporated herein by reference. Such compositions will contain a therapeutically effective amount of the antigen-binding polypeptide, preferably in purified form, together with a suitable amount of carrier so as to provide the form for proper administration to the patient. The formulation should suit the mode of administration. The parental preparation can be enclosed in ampoules, disposable syringes or multiple dose vials made of glass or plastic.

[217] In an embodiment, the composition is formulated in accordance with routine procedures as a pharmaceutical composition adapted for intravenous administration to human beings. Typically, compositions for intravenous administration are solutions in sterile isotonic aqueous buffer. Where necessary, the composition may also include a solubilizing agent and a local anesthetic such as lignocaine to ease pain at the site of the injection. Generally, the ingredients are supplied either separately or mixed together in unit dosage form, for example, as a dry lyophilized powder or water free concentrate in a hermetically sealed container such as an ampoule or sachette indicating the quantity of active agent. Where the composition is to be administered by infusion, it can be dispensed with an infusion bottle containing sterile pharmaceutical grade water or saline. Where the composition is administered by injection, an ampoule of sterile water for injection or saline can be provided so that the ingredients may be mixed prior to administration.

[218] The compounds of the disclosure can be formulated as neutral or salt forms. Pharmaceutically acceptable salts include those formed with anions such as those derived from hydrochloric, phosphoric, acetic, oxalic, tartaric acids, etc., and those formed with cations such as those derived from sodium, potassium, ammonium, calcium, ferric hydroxides, isopropylamine, triethylamine, 2-ethylamino ethanol, histidine, procaine, etc.

EXAMPLES

Example 1: Generation of human monoclonal antibodies against human PD-1

Cloning of full-length human PD-1 cDNA

[219] Human T lymphocytes were isolated from human peripheral blood lymphocytes (PBMC) with MACS beads (MiltenyiBiotec). Total RNA was extracted from human T cells with RNeasy Mini Kit (QIAGEN) and cDNA was obtained by reverse-transcription PCR (SuperScript First-Strand Synthesis System, Invitrogen). Full length cDNA encoding the hPD-1 was generated by RT-PCR using the sense primer (5'-CTGTCTAGAATGCAGATCCCCACAGGCGCC, SEQ ID NO: 47) and anti-sense primer (5'-GGATCCTCAGAGGGGCCAAGAGCAGT, SEQ ID NO: 48) from human T cell mRNA. The sequences were verified by DNA sequencing and comparing with NCBI database (NM-005018.2).

[220] Establish hPD-1 stable expression cell line: After digestion with XbaI and BamH I, the hPD-1 PCR fragments were cloned into pcDNA3.1(-) vector (Invitrogen). Then pcDNA-hPD-1 full-length plasmids were transfected into Chinese hamster ovary (CHO) cells using lipofectamine 2000 (Invitrogen). Cell lines stably expressing hPD-1(CHO/hPD-1) were selected by G418 and screened by flow cytometry.

[221] Production of human PD-1Ig fusion protein: The cDNA of hPD-1mIg and hPD-1hIg fusion protein containing extracellular domain of hPD-1 was amplified by PCR from pcDNA-hPD-1 full-length by specific primers. The PCR fragments digested with EcoR I and Bgl II were fused to CH2-CH3 domain of

mouse IgG2a heavy chain in the expression plasmid pmIgG or human IgG1 heavy chain in the expression plasmid pHlgG (H Dong et al. Nat Med. 1999; 5:1365-1369). The protein in the culture supernatant was purified by a protein A Sepharose column (HiTrap Protein A HP, GE healthcare). The purified protein was confirmed by SDA-PAGE electrophoresis.

[222] Generation of Monoclonal Antibody: The 8-10 weeks old female Balb/c mice were immunized subcutaneously (s.c.) at multiple sites with 200 μ l of emulsion comprising 100 μ g of hPD-1mIg fusion protein and complete Freund's adjuvant (CFA) (Sigma-Aldrich). 3 weeks later mice were immunized with 50-100 μ g of protein with incomplete Freund's adjuvant (IFA) (Sigma-Aldrich) by s.c. for a total of three times. Mice were bled 2 weeks after each immunization for serum titer testing. When the titer is sufficient, the mice were boosted with 60 μ g of protein in PBS by intraperitoneal injection (i.p.). The hybridomas were obtained by fusing immunized mouse spleen cells and SP2/0-Ag14 myeloma cell line (from ATCC). Boosted mouse was sacrificed by carbon dioxide and spleen was harvested aseptically. Whole spleen was dissociated into single-cell suspensions and red blood cells were lysed by ACK buffer. SP2/0-Ag14 myeloma and spleen cells were mixed at 1:1 ratio in a 50 ml conical centrifuge tubes. After centrifuge, supernatant was discarded and cell fusion was performed with 50% polyethylene glycol (Roche). The fused cells were cultured for 8-10 days in HAT selection medium, the hybridoma culture supernatants were screened for binding to hPD-1 expressing cells with a high throughput transfection and screening systems (S Yao et al. Immunity. 2011; 34(5):729-40) and the positive clones were confirmed by flow cytometry analysis. The subcloning of positive hybridoma was performed using the limiting dilution technique for at least 5 times to achieve a purely monoclonal culture.

Example 2: Characterization of PD-1 monoclonal antibodies

[223] Isotype of the MAbs: The isotype of mAbs was identified using Mouse Immunoglobulin Isotyping Kit (BD Biosciences). All the five PD-1 mAbs were identified to be IgG1 isotype and κ chain.

[224] The binding specificity of anti-hPD-1: The CHO cells that express hPD-1(CHO/hPD-1) on surface were used to determine the specificity of PD-1mAbs by flow cytometry. CHO/hPD-1 cells were incubated anti-PD-1 mAbs on ice. After incubation, the cells were washed and further incubated with anti-mIgG-APC (eBiosciences). Flow cytometry analysis was performed using a FACSVerse (BD Biosciences). The data showed that all the five hPD-1 mAbs bound with high specificity to hPD-1 (**Figure 1**). To exclude the possibility that the hPD-1 mAbs bind other proteins, CHO cells transfected hB7-1, hPD-L1, hB7-H3, hB7-H4, hCD137 or other protein molecules were stained with anti-hPD-1 mAb by flow cytometric analysis. These cells were also stained with their respective positive antibodies respectively as positive control. The data demonstrated that anti-PD-1 mAb did not bind these tested proteins (**Figure2**)

[225] Species cross reactivity: To assess the species-specificities of the anti-hPD-1mAbs, the peripheral blood mononuclear cell (PBMC) of cynomolgus monkey (from Guangdong landau Biotechnology Company) were isolated from peripheral blood with Ficoll (Sigma-Aldrich). The PBMC were suspended in RPMI 1640 medium containing 10% FCS and put into a 24 well-plate which pre-coated 1 μ g/ml of anti-hCD3. The cells cultured for two days. The cells were stained with anti-hPD-1 first. After wash, the cells were stained with anti-mIgG-APC and CD3-FITC; CD8-PerCP for flow cytometry analysis. In addition, the cross reactivity of the mAbs to mouse PD-1 was determined by flow cytometry using mouse PD-1 transfected CHO cells (CHO/mPD-1).

[226] The data demonstrated that anti-hPD-1mAb can bind to PD-1 protein on both human and cynomolgus monkey T cell, no cross-binding was found to mouse PD-1 (**Figure 3**).

[227] Ligand blockade: To examine the blockade of ligand binding, 100 ng of hPD-1hIg fusion protein were pre-incubated with indicated dose of mAb (400, 300, 200, 100, 50 ng/10 μ l) or control Ig for 30 min at 4°C, then used to stain CHO/hB7-H1 cells. The cells were washed and further stained with goat anti-hIgG-APC. The blocking effect was assessed with flow cytometry.

[228] The data demonstrated that anti-hPD-1mAbs 1 and 2 (Ab1 and Ab2) have no effect on ligand blockade. Ab3, Ab4 and Ab5 can block the hPD-1 fusion protein bind to hPD-L1 in a dose-dependent manner (**Figure 4**).

[229] **Competitive binding assay:** Competitive binding assay was performed to investigate where these mAbs recognize same or different binding sites of hPD-1 protein. CHO/hPD-1 cells were pre-incubated with excessive amount (10 μ g) of five PD-1 mAbs respectively at 4°C for 30 min. After wash, the cells were incubated 50 ng of different biotin labeled mAbs at 4°C for 20 min. The binding effect of mAbs was measured using flow cytometry analysis.

[230] Flow cytometry analysis showed that Ab4 and Ab5 completely abrogated each other binding to hPD-1 proteins, a saturated dose of Ab3 had partly block effect to Ab4 and Ab5 binding and Ab1 and Ab2 had no block effect on binding of Ab4 and Ab5 to hPD-1 (**Figure 5A and Figure 5B**). Therefore, the binding sites on PD-1 for Ab4 and Ab5 may overlap. Ab1 or Ab2 and Ab4 or Ab5 binds to PD-1 through different interfaces, which also validated by ligand blockade test.

Example 3: Sequencing of anti-PD-1 antibody-producing hybridomas and antibody humanization

[231] **Sequencing of anti-PD-1 antibody-producing hybridomas:** 1 $\times$ 10⁷ hybridoma cells were harvest and washed with PBS. Messenger RNAs were extracted from hybridomas using RNeasy Mini Kit (Qiagen). RACE-Ready first-Strand cDNAs were synthesized using SMARTer RACE cDNA Amplification Kit (Clontech). Following reverse transcription, 5' RACE PCR reactions were performed with ready cDNA as template and with 5' universal primer (UPM) provided by the kit and 3' gene specific primers (GSP1) designed by the mouse IgG1 heavy chain variable region and κ light chain gene sequences. RACE products were determined by gel electrophoresis analysis (**Figure 6**). PCR productions were cloned into a T vector using Zero Blunt TOPO PCR Cloning Kit (Invitrogen). After transformation, the plasmids were verified by sequencing analysis. The antibody gene fragments were analyzed by using VBASE2 (<http://www.vbase2.org>). The sequences are disclosed in the (**Table 2**).

Table 2. Sequences of Murine antibodies

Name (SEQ ID NO:)	Sequence (underlined bold shows CDR)
Murine Ab2 VH (19)	SQVQLQQSGAELARPGASVKMSCKASGYTFSTSYTMHWWKQRPQGGLWIGYINPTTGYTNYNQKFQDKANPTTGYTNYNQKFQDKATLTADKSSSTAYMQLSSLTSEDSAVYYCARDDAYYSGYWGQGTTLTVSS
Murine Ab2 VH (20)	TCCCAGGTCCAGCTGCAGCAGTCTGGGGCTGAACTGGCAAGACCTGGGGCCTCAGTGAAGATGTCCTGCAAGGCTTCTGGCTACACCTTTACTAGTTACACGATGCACTGGGTAAACAGAGGCCTGGACAGGCTCTGGAATGGATTGGATACATTAATCCTACTACTGGTTATACTAATTACAATCAGAAGTTCAAGGACAAGGCCACATTGACTGCAGACAAATCCTCCAGCACAGCCTACATGCAATTGAGCAGCCTGACATCTGAGGACTCTGCAGTCTATTACTGTGCAAGAGATGATGCTTACTACTCGGGCTACTGGGGCCAAGGCACCACTCTCACAGTCTCCTCA
Murine Ab2 VK (21)	DIQMTQSPASLSVSVGETVTITCRASENIYSNLAWYRQKQKSPQLLVYAAKNLADGVPSRFSGSGGTQYSLKINSLQSEDFGSYYCQHFWGTPWTFGGGTKLEIKR
Murine Ab2 VK (22)	GACATCCAGATGACTCAGTCTCCAGCCTCCCTATCTGTATCTGTGGGAGAACTGTCACCATCACATGTCGAGCAAGTGAGAATATTTACAGTAATTTAGCATGGTATCGGCAGAAACAGGGAAAATCTCCTCAGCTCCTGGTCTATGCTGCAAAAACTTAGCAGATGGTGTGCCATCAAGTTTCAGTGGCAGTGGATCAGGCACACAGTATTCCTCAAGATCAACAGCCTGCAGTCTGAAGATTTTGGGAGTTATTACTGTCAACATTTTGGGGTACTCCGTGGACGTTCCGGTGGAGGCACCAAGCTGGAAATCAAACGG
Murine Ab3 VH (23)	VQLVESGGGLVKPGGSLKLSCAASGFAFSSYDMSWVRQTPEKRLVWVAYITIGGGTTYSDTVKRLVWVAYITIGGGTTYSDTVKGRFTISRDNKNTLYLQMSSLKSEDTAMYYCARHRYDYFAMDNWGHGTSVTVSS
Murine Ab3 VH (24)	GAAGTGCAGCTGGTGGAGTCGGGGGGAGGCTTAGTGAAGCCTGGAGGGTCCCTGAACTCTCCTGTGCAGCCTCTGGATTGCGTTTCAGTAGCTATGACATGTCTTGGGTTGCCAGACT

	CCGGAGAAGAGGCTGGTGTGGGTCGCATACATTACTATTGGTGGTGGCACCACCTACTAT TCAGACACTGTGAAGGGCCGATTACCATCTCCAGAGACAATGCCAAGAACCCTGTAC CTGCAAATGAGCAGTCTGAAGTCTGAGGACACAGCCATGTATTACTGTGCAAGACATAGG TACGATTACTTCGCTATGGACAACCTGGGGTCATGGAACCTCAGTCACCGTCTCCTCA
Murine Ab3 VK (25)	DIVLTQSPASLAVSLEHRATISQAS <u>ENV</u> <u>DNYGINF</u> MNWFQHKPAQPPQLLIY <u>VSS</u> NIGS GVPAKFSGSGSGTDFSLNIHPMEEDDTAMYFC <u>QQSKDVPW</u> TFSGGTKLEIKR
Murine Ab3 VK (26)	GACATTGTGCTGACCCAATCTCCAGCTTCTTTGGCTGTGTCTCTAGAGCACAGGGCCACC ATCTCCTGCCAAGCCAGCGAAAATGTTGATAATTATGGCATTAAATTTTATGAAGTGGTTC CAACACAAACCAGCACAGCCACCCCAACTCCTCATCTATGTTTCATCCAACCTAGGATCC GGGGTCCCTGCCAAGTTTAGTGGCAGTGGGTCTGGAACAGACTTCAGCCTCAACATCCAT CCTATGGAAGAAGATGATACTGCAATGTATTTCTGTGAGCAAAGTAAGGACGTTCCGTGG ACGTTTCAGTGGAGGCACCAAACCTGGAATCAAACGG
Murine Ab4 VH (27)	EVKLVESGGGLVQPGGSLKLSCAAS <u>GFTFSSY</u> TMSWIRQTPEKRLEWVAYI <u>SHGGGDT</u> YY PDTVKGRFTISRDNANTLYLQMSLKSSEDAMYFC <u>CARHSGYERGY</u> YYVMDYWGQGTSTV VSS
Murine Ab4 VH (28)	GAAGTGAAGTTGGTGGAGTCTGGGGGAGGTTTAGTGCAGCCTGGAGGGTCCCTGAAACTC TCCTGTGCAGCCTCTGGATTCACTTTAGTAGCTATACCATGTCTTGGATTGCGCCAGACT CCAGAGAAGAGGCTGGAGTGGGTGCGCATACATTAGTCATGGTGGTGGTGCACCTACTAT CCAGACACTGTAAAGGGCCGATTACCATCTCCAGGGACAATGCCAAGAACCCTGTAC CTGCAAATGAGCAGTCTGAAGTCTGAGGACACGGCCATGTATTACTGTGCAAGACATAGT GGTTACGAGAGGGGATATTACTATGTTATGGATTACTGGGGTCAAGGAACCTCAGTCACC GTCTCCTCA
Murine Ab4 VK (29)	DIVLTQFPTSLAVSLGQRATISCRAS <u>ESVDYYGFSF</u> INWFQOKPGQPPKLLIY <u>YASN</u> QGS GVPARFGSGSGTDFSLNIHPMEEDDTAMYFC <u>QQSKDVPW</u> TFGGGTKLEIK
Murine Ab4 VK (30)	GACATTGTGCTGACCCAATTTCCAACCTCTTTGGCTGTGTCTCTAGGGCAGAGGGCCACC ATCTCCTGCAGAGCCAGCGAAAGTGTGATTACTATGGCTTTAGTTTTATAAACTGGTTC CAACAGAAACCAGGACAGCCACCCAACTCCTCATCTATGCTGCATCCAACCAGGGATCC GGGGTCCCTGCCAGGTTTGGTGGCAGTGGGTCTGGGACAGACTTCAGCCTCAACATCCAT CCTATGGAGGAGGATGATACTGCAATGTATTTCTGTGAGCAAAGTAAGGAGGTTCCGTGG ACGTTTCGGTGGAGGCACCAAGCTGGAATCAAACGG

[232] Protein expression and function determination of recombinant antibody: To ensure the correctness of the recombinant antibody sequence, the full length sequences of recombinant antibody heavy chain and light chain were cloned into pcDNA3.1 vectors respectively and transiently transfected HEK 293T cells. The proteins from cell culture supernatant were purified with protein G sepharose column (GE healthcare) for function assess.

[233] The cytometry analysis data demonstrated that the recombinant antibodies can bind hPD-1 protein and can block the hPD-1 fusion protein bind to PD-L1 protein (**Figure 7, panels A, B**)

[234] Anti-human PD-1 antibodies humanization: Humanization was performed based on the variable heavy chain (VH) and variable light chain (VL) sequences of anti-hPD-1 hybridomas. Generally, a mouse-human chimeric mAb which composed of parental mouse VH and VL sequences and human IgG4-S228P constant region and human κ chain were constructed firstly. After identifying the character of chimeric antibody, three VL and three VL humanized sequences were designed and used to make the nine humanized antibodies. The sequences list in (**Tables 3A and 3B**).

Table 3A. Chimeric antibody (human IgG4-S228P backbone)

Name (SEQ ID NO:)	Sequence (underlined bold shows CDR)
Chimeric heavy chain (31)	EVKLVESGGGLVQPGGSLKLSCAAS <u>GFTFSSY</u> TMSWIRQTPEKRLEWVAYI <u>SHGGGDT</u> YY PDTVKGRFTISRDNANTLYLQMSLKSSEDAMYFC <u>CARHSGYERGY</u> YYVMDYWGQGTSTV VSSASTKGPSVFPLAPCSRSTSESTAALGCLVKDYFPEPTVSWNSGALTSGVHTFPAVL QSSGLYSLSSVTVPSSSLGKTYYTCNVDHKPSNTKVDKRVESKYGPPCPPCPAPEFLGG PSVFLFPPKPKDTLMISRTPEVTCVVVDVSQEDPEVQFNWYVDGVEVHNAKTKPREEQFN

	STYRVVSVLTVLHQDWLNGKEYKCKVSNKGLPSSIEKTIISKAKGQPREPQVYTLPPSQEE MTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSFFLYSRLTVDKSRW QEGNVFSCSVMEALHNHYTQKSLSLGLK
Chimeric heavy chain (32)	CGAAGTGAAGTTGGTGGAGTCTGGGGGAGGTTTAGTGCAGCCTGGAGGGTCCCTGAAACT CTCCTGTGCAGCCTCTGGATTCACTTTCACTAGCTATACCATGTCTTGGATTGCGCAGAC TCCAGAGAAGAGGCTGGAGTGGGTCGCATACATTAGTCATGGTGGTGGTGACACCTACTA TCCAGACACTGTAAAGGGCCGATTCCACCATCTCCAGGGACAATGCCAAGAACACCCTGTA CCTGCAAAATGAGCAGTCTGAAGTCTGAGGACACGGCCATGTATTACTGTGCAAGACATAG TGTTACGAGAGGGGATATTACTATGTTATGGATTACTGGGGTCAAGGAACCTCAGTCAC CGTCTCCTCAGCTAGCACCAAGGGCCCCAGCGTGTTCCTCTCGCTCCCTGCAGCCGGAG CACATCCGAGAGCACCGCTGCTCTGGGCTGTCTCGTGAAGGACTACTTCCCTGAACCCGT CACCGTCAGCTGGAATAGCGGCGCCCTGACATCCGGCGTCCACACATTCCCCGTGTCTCT GCAGAGCAGCGGCCTGTACAGCCTGAGCTCCGTGGTCAACCGTGCCTAGCAGCAGCCTGGG AACAAAGACCTACACCTGCAACGTGGACCATAAGCCCTCCAACACCAAGGTGGACAAGCG GGTGAATCCAAGTATGGACCCCCCTGTCTCTTGCCTGCTCCTGAATTTCTCGGAGG CCCCCTCCGTCTTCTGTTCCTCCCCCAAGCCCAAGGACACCCCTGATGATCTCCCGACACC CGAAGTCACCTGCGTCTGGTGGATGTGAGCCAGGAAGATCCCAGGTGCAGTTCAACTG GTACGTGGACGGAGTGGAGGTGCATAACGCCAAAACCAAGCCCAGGGAAGAGCAGTTCAA CAGCACCTATCGGGTCTGTCTCGTGTCTCACCGTCTGCATCAGGATTGGCTCAACGGCAA GGAGTACAAGTGCAAGGTGTCCAACAAGGGCCTGCCCTCCTCCATCGAGAAGACCATCTC CAAGGCTAAGGGCCAACCTCGGGAGCCCCAAGTGTATACCTCCCTCCCAGCCAGGAGGA GATGACCAAGAATCAAGTGAGCCTGACCTGCCTCGTGAAGGGATTTTACCCCTCCGACAT CGCTGTGGAATGGGAAAGCAATGGCCAACCTGAGAACAATAACAAGACCACACCCCCCGT GCTGGACTCCGATGGCTCCTTCTTCTGTACAGCAGGCTGACCGTGGACAAATCCCGGTG GCAAGAGGGAAACGTGTTGAGCTGCTCCGTGATGCACGAGGCTCTCCACAACCACTACAC CCAGAAGAGCCTCTCCCTGAGCCTCGGCAAGTAGTAA
Chimeric light chain (33)	DIVLTQFPTSLAVSLGQRATISCRASESDYDGFSFINWFQKPGQPPKLLIYAASNQGS GVPARFGSGSGTDFSLNIHPMEEDDTAMYFCQOSKEVPWTFGGGKLEIKRTVAAPSVF IFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESVTEQQDSKSTYSL TSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC
Chimeric light chain (34)	AGACATTGTGCTGACCCAATTTCCAATTCTTTGGCTGTGTCTCTAGGGCAGAGGGCCAC CATCTCCTGCAGAGCCAGCGAAAGTGTGATTACTATGGCTTTAGTTTTATAAACTGGTT CCAACAGAAACCAGGACAGCCACCCAACTCCTCATCTATGCTGCATCCAACCAGGGATC CGGGGTCCCTGCCAGGTTTGGTGGCAGTGGGTCTGGGACAGACTTCAGCCTCAACATCCA TCCTATGGAGGAGGATGATACTGCAATGTATTTCTGTGAGCAAAGTAAGGAGGTTCCGTG GACGTTCCGTGGAGGCACCAAGCTGGAATCAAGCGGACCGTGGCCGCCCCCAGCGTGT CATCTTCCCTCCCAGCGACGAGCAGCTGAAGTCTGGCACCAGCCAGCGTGGTGTGCTGCT GAACAACCTTCTACCCCCGCGAGGCCAAGGTGCAGTGAAGGTGGACAACGCCCTGCAGAG CGGCAACAGCCAGGAGAGCGTGACCGAGCAACAGGACTCCAAGGACAGCACTACAGCCT GACCAACACCTGACCTGAGCAAGGCCGACTACGAGAAGCACAAGGTGTACGCTGCGA GGTGACCCACAGGACTGTCTAGCCCCGTGACCAAGAGCTTCAACCGGGGCGAGTGCTA A

Table 3B. Humanized heavy chain and light chain variable regions

Name (SEQ ID NO:)	Sequence (underlined bold shows CDR)
VH variant a (35)	EVQLVESGGGLVQPGGSLRLSCAAS <u>GTTFSSY</u> TMSWVRQAPGKLEWVS <u>YISHGGGDT</u> YY ADSVKGRFTISRDN SKNTLYLQMNSLR AEDTAVYYC <u>ARHSGYERGGYYVMDY</u> WGQGT LVT VSSA
VH variant a (36)	CGAAGTGCAGCTGGTGAATCTGGCGGCGGACTGGTGCAGCCTGGCGGATCTCTGAGACT GTCTGTGCCGCTCCGGCTTCACTTCTCCAGCTACACCATGTCTGGGTGCGACAGGC TCCTGGCAAGGGCCTGGAATGGGTGTCTTACATCTCTCACGGCGGAGGCGACACCTACTA CGCCGACTCTGTGAAGGGCCGGTTCACCATCTCCCCGGGACAACCTCCAAGAACACCCTGTA CCTGCAGATGAACTCCCTGCGGGCCGAGGACACCGCCGTGTACTACTGTGCTCGGCACTC TGGCTACGAGCGGGCTACTACTACGTGATGGACTACTGGGGCCAGGGCACCCCTCGTGAC CGTGTCTCTGCT
VH variant b (37)	EVQLVESGGGLVQPGGSLRLSCAAS <u>GTTFSSY</u> TMSWVRQAPGKLEWVS <u>YISHGGGDT</u> YY PDSVKGRFTISRDN SKNTLYLQMNSLR AEDTAVYYC <u>ARHSGYERGGYYVMDY</u> WGQGT LVT VSS

VH variant b (38)	CGAAGTGCAGCTGGTGAATCTGGCGGCGGACTGGTGCAGCCTGGCGGATCTCTGAGACTGTCTTGTGCCGCTCCGGCTTACCTTCTCCAGCTACACCATGTCTGGGTGCGACAGGCTCCTGGCAAGGGCCTGGAATGGGTGTCTACATCTCTCACGGCGGAGGCGACACCTACTACCCCGACTCTGTGAAGGGCCGGTTACCATCTCCCGGGACAACCTCAAGAACACCCGTGACCTGCAGATGAACCTCCCTGCGGGCCGAGGACACCGCCGTGTACTACTGTGCTCGGCACTCTGGCTACGAGCGGGGCTACTACTACGTGATGGACTACTGGGGCCAGGGCACCCCTCGTGACCGTGTCTCTGCT
VH variant c (39)	EVKLLSGLLVQPGGSLRLSCLAAAGFTFSSYTMSWVRQAPGKLEWVSYISHGGGDTYYPDSVKGRFTISRDNKGGDTYYPDSVKGRFTISRDNKNTLYLQMNLSLAEDTAVYYCARHSGYERGGYYVMDYWGKGTITVTVSSA
VH variant c (40)	GAAGTGAAGCTGCTGGAATCTGGCGGCGGACTGGTGCAGCCTGGCGGATCTCTGAGACTGTCTTGTGCCGCTCCGGCTTACCTTCTCCAGCTACACCATGTCTGGGTGCGACAGGCTCCTGGCAAGGGCCTGGAATGGGTGTCTACATCTCTCACGGCGGAGGCGACACCTACTACCCCGACTCTGTGAAGGGCCGGTTACCATCTCCCGGGACAACCTCAAGAACACCCGTGACCTGCAGATGAACCTCCCTGCGGGCCGAGGACACCGCCGTGTACTACTGTGCTCGGCACTCTGGCTACGAGCGGGGCTACTACTACGTGATGGACTACTGGGGCAAGGGCACCCGTGACCGTGTCTCTGCT
VK variant a (41)	DIVMTQSPDSLAVSLGERATINCKSSSEVDYYGFSFLNWFQQKPGQPPKLLIYAASNRESGVPDRFSGSGSGTDFTLTISLQAEDVAVYYCQQSKEVPWTFGQGTKLEIKR
VK variant a (42)	AGACATCGTGATGACCCAGTCCCCCGACTCCCTGGCTGTGTCTCTGGGCGAGAGAGCCACCATCAACTGCAAGTCTCCGAGTCCGTGGACTACTACGGCTTCTCCTTCTGAACTGGTTCCAGCAGAAGCCCGGCCAGCCCCCTAAGCTGCTGATCTACGCCGCCTCCAACCGCGAGTCTGGCGTGCCCGATAGATTCTCCGGCTCTGGCTCTGGCACCGACTTTACCCTGACCATCAGCTCCCTGCAGGCCGAGGATGTGGCCGTGTACTACTGCCAGCAGTCCAAAGAGGTGCCCTGACCTTCGGCCAGGGCACAAAGCTGGAATCAAGCGG
VK variant b (43)	DIVMTQSPDSLAVSLGERATINCKASSEVDYYGFSFLNWFQQKPGQPPKLLIYAASNRESGVPDRFSGSGSGTDFTLTISLQAEDVAVYYCQQSKEVPWTFGQGTKLEIKR
VK variant b (44)	AGACATCGTGATGACCCAGTCCCCCGACTCCCTGGCTGTGTCTCTGGGCGAGAGAGCCACCATCAACTGCAAGGCTCCGAGTCCGTGGACTACTACGGCTTCTCCTTCTGAACTGGTTCCAGCAGAAGCCCGGCCAGCCCCCTAAGCTGCTGATCTACGCCGCCTCCAACCGCGAGTCTGGCGTGCCCGATAGATTCTCCGGCTCTGGCTCTGGCACCGACTTTACCCTGACCATCAGCTCCCTGCAGGCCGAGGATGTGGCCGTGTACTACTGCCAGCAGTCCAAAGAGGTGCCCTGACCTTCGGCCAGGGCACAAAGCTGGAATCAAGCGG
VK variant c (45)	DIQLTQSPDSLAVSLGERATINCKASSEVDYYGFSFLNWFQQKPGQPPKLLIYAASNRSQSGVPDRFSGSGSGTDFTLTISLQAEDVAVYYCQQSKEVPWTFGQGTKLEIKR
VK variant c (46)	GACATCCAGCTGACCCAGTCCCCCGACTCCCTGTCTGTGTCTCTGGGCGAGAGAGCCACCATCAACTGCAAGGCTCCGAGTCCGTGGACTACTACGGCTTCTCCTTCTGAACTGGTTCCAGCAGAAGCCCGGCCAGCCCCCTAAGCTGCTGATCTACGCCGCCTCCAACCGCCAGTCTGGCGTGCCCGATAGATTCTCCGGCTCTGGCTCTGGCACCGACTTTACCCTGACCATCAGCTCCCTGCAGGCCGAGGATGTGGCCGTGTACTTCTGCCAGCAGTCCAAAGAGGTGCCCTGACCTTCGGCCAGGGCACAAAGCTGGAATCAAGCGG

Table 3C. Exemplary anti-PD-1 CDRs under various CDR definitions

Variant	Description	IMGT Sequences (SEQ ID NO:)	Kabat Sequences (SEQ ID NO:)	Chothia Sequence (SEQ ID NO:)	Abm Sequence (SEQ ID NO:)	Contact Sequence (SEQ ID NO:)
a	CDR-H1	GFTFSSYT (1)	SYTMS (49)	GFTFSSY (58)	GFTFSSYTMS (60)	SSYTMS (62)
a	CDR-H2	ISHGGGDT (2)	YISHGGGDTY YADSVKG (50)	SHGGGD (59)	YISHGGGDTY (61)	WVSYISHGGGDTY (63)
a	CDR-H3	ARHSGYERGGYYVMDY (3)	HSGYERGGYYVMDY (51)	HSGYERGGYYVMDY (51)	HSGYERGGYYVMDY (51)	ARHSGYERGGYYVMDY (64)

a	CDR-L1	ESVDYYGFSF (4)	KSESVDYYGFSFLN (52)	KSESVDYYGFSFLN (52)	KSESVDYYGFSFLN (52)	DYYGFSFLNW F (65)
a	CDR-L2	AAS (5)	AASNRES (53)	AASNRES (53)	AASNRES (53)	LLIYAASNRE (66)
a	CDR-L3	QQSKEVPW (6)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPW (6)
b	CDR-H1	GFTFSSYT (1)	SYTMS (49)	GFTFSSY (58)	GFTFSSYTMS (60)	SSYTMS (62)
b	CDR-H2	ISHGGGDT (2)	YISHGGGDTY YPDSVKG (55)	SHGGGD (59)	YISHGGGDTY (61)	WVSYISHGGG DTY (63)
b	CDR-H3	ARHSGYERGYYYVMD Y (3)	HSGYERGYYY VMDY (51)	HSGYERGYYY VMDY (51)	HSGYERGYYY VMDY (51)	ARHSGYERGY YYVMD (64)
b	CDR-L1	ESVDYYGFSF (4)	KSESVDYYGFSFLN (56)	KSESVDYYGFSFLN (56)	KSESVDYYGFSFLN (56)	DYYGFSFLNW F (65)
b	CDR-L2	AAS (5)	AASNRES (53)	AASNRES (53)	AASNRES (53)	LLIYAASNRE (66)
b	CDR-L3	QQSKEVPW (6)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPW (6)
c	CDR-H1	GFTFSSYT (1)	SYTMS (49)	GFTFSSY (58)	GFTFSSYTMS (60)	SSYTMS (62)
c	CDR-H2	ISHGGGDT (2)	YISHGGGDTY YPDSVKG (55)	SHGGGD (59)	YISHGGGDTY (61)	WVSYISHGGG DTY (63)
c	CDR-H3	ARHSGYERGYYYVMD Y (3)	HSGYERGYYY VMDY (51)	HSGYERGYYY VMDY (51)	HSGYERGYYY VMDY (51)	ARHSGYERGY YYVMD (64)
c	CDR-L1	ESVDYYGFSF (4)	KSESVDYYGFSFLN (56)	KSESVDYYGFSFLN (56)	KSESVDYYGFSFLN (56)	DYYGFSFLNW F (65)
c	CDR-L2	AAS (5)	AASNRQS (57)	AASNRQS (57)	AASNRQS (57)	LLIYAASNRQ (67)
c	CDR-L3	QQSKEVPW (6)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPWT (54)	QQSKEVPW (6)

Example 4: Characteristics and functions of humanized antibodies

[235] The binding activity of the humanized antibodies: CHO/hPD-1 cells were incubated with serially diluted mAbs. The binding effects of nine humanized antibodies to PD-1 protein were assessed using flow cytometry analysis and compared to chimeric parental antibody.

[236] The flow cytometry analysis results showed that the binding activity of some mutant combinations is higher than that parental antibody; some are same or slightly lower than parental antibody (**Figure 8**). The mutant combinations are listed in **Table 4** below.

Name	VH variant	VK variant
Variant 1	a	a

Variant 2	a	b
Variant 3 (TY101)	a	c
Variant 4	b	a
Variant 5	b	b
Variant 6	b	c
Variant 7	c	a
Variant 8	c	b
Variant 9	c	c

[237] The blocking ability of the humanized antibodies: The ability of the humanized antibodies to block the binding hPD-1 to hPD-L1 was measured. 100 ng of hPD1mIg were pre-incubated with different dose of humanized antibodies in 10 μ l of PBS for 30 min at 4°C then used to stain CHO/hB7-H1 cells. The cells were washed and further stained with goat anti mIgG-APC. The blocking effect was assessed with flow cytometry. Using a similar method, the ability of the humanized antibodies to block the binding hPD-1 to hPD-L2 was measured.

[238] The results showed that binding of hPD-1mIg to CHO/hPD-L1 cells was inhibited in a dose-dependent manner by all the humanized antibodies. Some mutant combinations have higher blocking capacity than chimeric parental antibody (**Figure 9**). The results also showed that the binding of hPD-1mIg to CHO/hPD-L2 cells was also blocked (**Figure 10A and Figure 10B**).

[239] Binding affinity and kinetics determination of the humanized antibodies: The binding affinity and kinetics of the humanized PD-1 mAbs interact with hPD-1 protein was assessed with Biacore T100 (GE Healthcare Life Sciences). The hPD-1mIg proteins were immobilized on the sensor chip CM5 by amine coupling. The filtrated humanized antibodies were diluted with HBS-EP Buffer pH7.4 (GE Healthcare Life Sciences) and subsequently injected over the hPD-1mIg-immobilized surface. Nine different concentrations were tested for each sample. Detailed binding kinetic parameters (association rate, K_a , dissociation rate, K_d , and affinity constant, K_D) can be determined by full kinetic analysis.

[240] The analysis data showed that there was no significant difference in the binding rate (K_a) between the mutant combinations and the chimeric parental antibody. Three mutant combinations (3, 6, 9) were close to the chimeric parental antibody at the dissociation rate (K_d). All the humanized antibodies have strong affinity with K_D values in the low nanomolar range (10^{-10} M). Two mutant combinations (3, 6) K_D values closed to the chimeric parental antibody (9.89×10^{-11} M) (**Table 4**).

Table 4. Binding affinity and kinetics determination of the humanized antibodies

	k_a (1/Ms)	K_d (1/s)	K_D (M)
Parental	2.88E+05	2.85E-05	9.89E-11
Variant 1	2.10E+05	6.15E-05	2.93E-10
Variant 2	2.10E+05	7.64E-05	3.63E-10
Variant 3	2.42E+05	2.33E-05	9.63E-11
Variant 4	1.19E+05	6.29E-05	3.38E-10
Variant 5	2.11E+05	6.94E-05	3.29E-10
Variant 6	2.48E+05	2.23E-05	8.98E-11
Variant 7	2.18E+05	6.48E-05	2.91E-10
Variant 8	2.22E+05	7.95E-05	3.58E-10
Variant 9	2.59E+05	3.20E-05	1.23E-10

[241] Enhancing effect of anti-PD-1 on allo CD8⁺ CTL killing PD-L1 positive tumor cells *in vitro*: Based on the antitumor mechanism of anti-PD-1 antibody, this example designed an *in vitro* model to determine the enhancing effect of anti-PD-1 antibodies to tumor cell killing by human allogeneic CD8⁺

cytotoxic lymphocytes (allo CD8⁺ CTL). Firstly, the CD8⁺ lymphocytes were isolated from human PBMC and cultured with irradiated human melanoma transfected hB7-1 cells (624 Mel/B7-1) to produce alloCD8⁺cyto CTL. Then allo CD8⁺ CTL cells were co-cultured with overnight-cultured 624 Mel/hPD-L1 tumor cells in a 96-well plate for 5 days in the presence of humanized antibodies or control Ig. The cells in plate wells were stained with 0.5% crystal violet and the plate was read with ELISA reader at 540 nm. The killing activity was calculated based on the survival of the tumor cells.

$$\% \text{ Cytotoxicity} = \frac{\text{Absorbance of 100 \% viable cell control wells} - \text{absorbance of test wells}}{\text{Absorbance of 100 \% viable cell control wells}} \times 100$$

[242] The results demonstrated that some mutant combinations could enhance the ability of allo CTL cells killing tumor cells *in vitro* (**Figure 11**).

[243] The best set of mutant combinations (Variant 3) was selected and the protein coding sequences were cloned into suitable expression vectors and were transferred into CHO cells to product anti-hPD-1 antibody that is referred to also as TY101.

Example 5: The characteristics of TY101 in cancer immunotherapy

[244] **Cytokine-enhanced mixed lymphocyte reaction (MLR) in PBMC.** Human peripheral bloodmononuclear cells (PBMCs) from healthy individuals were isolated by density gradient centrifugation using the Ficoll-Hypaque. PBMCs from healthy donor 1 were irradiated with X-rays at doses of 40 Gy as stimulator cells. T lymphocytes were isolated with human Pan T cell Isolation Kit (MiltenylBiotec) from healthy donor 2 as responder cells. Responder cells and stimulator cells were resuspended in complete RPMI media containing 10% FCS and seeded 2.5×10^5 responder cells and 1.25×10^5 stimulator cells (R/S=2) per well into 96-well plate in the presence of serial dilutions of TY101 or hIgG control. The cells were cultured at 37°C for 5 days in a humidified incubator with 5% CO₂. Proliferative activity of T cells was assessed by Cell Counting Kit-8 (Dojindo Molecular Technologies, Inc) on day 5. To detect cytokines, culture supernatants were collected on day 3 and day 5. Cytokine analysis was performed using the Human Th1/Th2/Th17 Cytometric Bead Array kit (CBA; BD Biosciences).

[245] The results demonstrated that T cells proliferative response upon to TY101 was similar to hIgG (**Figure 12**). Interestingly, the cytokines IL-2 and IFN γ production was significantly increased in the culture supernatant of MLR administered with TY 101 compared to hIgG (**Figure 13**).

[246] **Blocking the expression of PD-1 on T lymphocytes.** The expression of PD-L1 on tumor cells can induce PD-1 expression on tumor-infiltrating lymphocytes (TIL) in tumor microenvironment and trigger PD-1-dependent immune suppression. This example designed an *in vitro* model to determine if TY101 can inhibit the hPD-1 expression on human lymphocyte when culture with hPD-L1 transfected tumor cells. Human T lymphocytes isolated from human PBMC were cultured with human melanoma transfected hPD-L1 (624/hPD-L1) cells in the presence of 10 μ g/ml of TY101 or control IgG for 4 days. The expression of hPD-1 on lymphocytes was detected by flow cytometry.

[247] The results demonstrated that expression of PD-1 on lymphocytes was completely inhibited by the addition of TY101 compared to medium only and hIgG control (**Figure 14A and Figure 14B**).

[248] ***In vivo* antitumor activity of humanized PD-1 antibody:** The *in vivo* antitumor effect of TY101 was investigated. 8-week-old female human PD-1 knock-in mice (purchased from Shanghai Model Organisms Center, Inc.) were implanted subcutaneously (s.c) at right flank with MC38 transfected hPD-L1 (MC38/hPD-L1) tumor cells (1×10^6 /mouse) on day 0. TY101 or control Ig was administered (10mg/kg) via i.p. injection on day 6, day 9 and day 13. The tumor size and survival were monitored.

[249] All animals initially had detectable tumor (4-5mm by day 6). However, after treatment of mice bearing MC38/hPD-L1 tumors with TY101, a complete response occurred in 100% of the mice. Tumors in

all five mice treated with TY101 were regressed completely by day 25. In contrast, two of five mice treated control IgG developed progressively growing tumors. In another three mice treated control IgG, although tumors were also regressed on day 32, tumors in two mice were relapsed soon (**Figure15**). The results indicated that TY101 can enhance the antitumor efficacy *in vivo*.

Example 6. A comparison of functions of TY101 to commercial PD-1 antibodies

[250] This example selected two anti-hPD-1 antibodies that are currently approved for clinical treatment of cancer patients for comparison with TY101: Merck's Keytruda (pembrolizumab) and Bristol-Myers Squibb's Opdivo (nivolumab).

[251] **Antibody binding affinity and kinetics:** The affinity and kinetics of the TY101 was analyzed using the Biacore T200 instrument (GE Healthcare Life Sciences) and compared with two commercial antibodies. The hPD-1 mIg proteins were immobilized with low concentration (33RU) on the sensor chip CM5, the antibodies as an analyte (mobile phase) to detect the interaction. The data showed that the binding rates K_a of three antibodies are not significantly different. TY101 is slightly lower than the commercial antibodies. Dissociation rate K_d of TY101 is 10 times slower than two commercial antibodies and affinity K_D of TY101 is 4-7 times stronger than commercial antibodies. The results indicated that TY101 is indicative of a stronger binding (**Figure16**).

[252] **Comparison of PD-1 Antibodies in PD-1/PD-L1 Blockade:** The PD-1/PD-L1 blockade bioassay was assayed using PD-1/PD-L1 Blockade Bioassays Kit (Promega). Jurkat-PD1 cells at 1×10^5 cells/well were stimulated with overnight-cultured CHO-PD-L1 cells (culture started at 5×10^4 cells/well) in an opaque 96-well TC plate for 5 hours in the presence of serial dilutions (0-30 $\mu\text{g/ml}$) of TY101, Pembrolizumab, Nivolumab, or negative Ctrl hIgG4. After 5 hours of incubation, Jurkat-PD-1 cell activation was detected by measuring luciferase activity with ONE-Glo substrate (Promega) for relative light units (RLU) on a SpectraMAX L luminometer.

[253] The analysis data showed TY101 and two commercial antibodies can block the PD-1/PD-L1 pathway. The block effect of TY101 is similar to that of Pembrolizumab and better than that of Nivolumab (**Figure 17**).

[254] **Comparison of inhibitory effects of tumor cells growth *in vitro*:** As described previously, allo CD8^+ CTL cells were co-cultured with overnight-cultured 624 Mel/PD-L1 tumor cells in a 96-well plate for 5 days in the presence of different mAbs and control IgG. The cells were stained with 0.5% crystal violet and the plate was read with ELISA reader at 540 nm. The killing activity was calculated based on the survival of the tumor cells.

[255] The results showed that all three anti-PD-1 mAbs were able to enhance the tumor killing ability of the allo CD8^+ CTL. The enhance effect of TY101 was higher than that of two commercial antibodies (**Figure18**).

Example 7. Development clones for TY101 and their activities

[256] The sequence of TY101 was cloned into proprietary expression vectors and transfected CHO cells. Monoclonal cell lines were established by using ClonePix and/or limiting dilution. Multiple clones were established and antibodies produced by 3 such clones (TY101-01-09, TY101-04-T3-05 and TY101-4G1) were characterized.

Test antibodies binding against hPD-1 or mPD-1 proteins (ELISA)

[257] The bindings of the antibodies to hPD-1 and the cross-reactivity to mPD-1 proteins were tested by ELISA. A serial dilution of test antibodies were added to ELISA plates pre-coated with 1 $\mu\text{g/ml}$ hPD-1 or mPD-1. HRP conjugated goat anti-human IgG or goat anti-mouse IgG antibody was then added, followed by the addition of substrate Tetramethylbenzidine (TMB) and quantification with a SpectraMax Plus 384 Microplate Reader (Molecular Device, LLC., Sunnyvale, CA) at 450nm wavelength.

TY101 clones tested TY101-01-09, TY101-04-T3-05 and TY101-4G1 showed good binding to hPD-1 protein with EC50s in the range of 0.01–0.15 nM. The antibodies did not exhibit binding to mPD-1 protein (**Figure 19**).

Test antibodies binding to hPD-1 and cPD-1 expressing CHOK1 cells (Flow cytometry)

[258] The bindings of the antibodies to hPD-1 and cross-reactivity to cynomolgus monkey PD-1 (cPD-1) were tested using hPD-1 or cPD-1 expressing CHOK1 cells by flow cytometry. CHOK1-hPD-1, CHOK1-cPD-1 and CHOK1 blank cells were incubated with a serial dilution of test articles followed by Alexa Fluor® 488 conjugated goat anti-human IgG (H+L) antibody, and analyzed using a FACSCanto II (BD Biosciences, San Jose, CA). TY101 clones tested TY101-01-09, TY101-04-T3-05 and TY101-4G1 showed good binding to CHOK1-hPD-1 with sub-nanomolar EC50s and to CHOK1-cPD-1 cells with single digit nanomolar EC50s (**Figure 20**).

Test antibodies blocking activity on hPD-1/hPD-L1 or hPD-1/hPD-L2 binding (Flow cytometry)

[259] These antibodies were further tested for their abilities to block hPD-1/hPD-L1 as well as hPD-1/hPD-L2 binding, which would be the key for potential effectiveness in cancer patient treatments. CHOK1-hPD-1 cells were incubated with a serial dilution of test articles mixed with Biotin-hPD-L1 or Biotin-hPD-L2. The cells were then incubated with Alexa 488 labeled Streptavidin and analyzed using a FACSCanto II. Anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 blocked the binding of hPD-L1 to hPD-1-expressing CHOK1 cells with 1.15-1.47nM IC50s. They also blocked hPD-L2 binding to hPD-1-expressing CHOK1 cells with 1.52-2.33nM IC50s (**Figure 21**).

Human mixed leukocyte reaction (MLR) assay to test the effect of antibodies on T cells

[260] The effects of these antibodies on T cell functions were tested in human MLR assays with T cells isolated from 2 donors. Adherent PBMCs (mostly monocytes; isolated from donor 1 and plated in cell culture dish to allow to adhere) were cultured in the presence of 100 ng/mL of recombinant human (rh) GM-CSF and 50 ng/mL of rhIL-4 for 5 days with half volume of medium refreshed after 3 days and 1 µg/mL LPS added on day 6. At day 7, the resulting cells (mostly mature DCs) were harvested and treated with mitomycin C. CD3⁺ T cells were isolated from donors 2 and 3 by EasySep™ Human T Cell Isolation Kit (negative selection, STEMCELL Technologies). DCs and T cells were co-cultured in the presence of 3 concentrations (5, 0.5, 0.05 µg/ml) of test antibodies for 5 days. The supernatants were harvested after 3 days to determine IL-2 levels and after 5 days (100 µL) to determine IFN-γ levels. Anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 promoted the secretion of IL-2 and IFN-γ by cells from both donors in a dose-dependent manner when compared to isotype control hIgG4 (**Figure 22**).

Engineered tumor cell-human T cell coculture assay to test the effect of antibodies on T cells

[261] The effects of these antibodies on T cell functions were also tested in engineered tumor cell-human T cell co-culture assay using T cells isolated from 4 different donors. CD3⁺ T cells were isolated from PBMCs of the 4 donors by EasySep™ Human T Cell Isolation Kit. Engineered tumor cells Hep3B-OS8-hPDL1, which are Hep3B cells (KCLB, catalog #:88064) engineered to stably express OS8 (anti-CD3 single chain variable fragment (scFv)) as well as hPD-L1, were treated with mitomycin C and co-cultured with CD3⁺ T cells in the presence of 3 concentrations (5, 0.5, 0.05 µg/ml) of test antibodies for 3 days and culture supernatants were harvested to determine IFN-γ levels. Anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 promoted the secretion of IFN-γ by cells from all 4 donors in a dose-dependent manner when compared to isotype control hIgG4 (**Figure 23**).

Example 8. TY101 clones showed better binding affinities compared to FDA approved anti-hPD-1 antibodies

Competitive ELISA to test antibody epitope overlaps

[262] Whether these antibodies bind to the same epitopes as the FDA approved anti-hPD-1 antibodies Nivolumab or Pembrolizumab were tested in a competitive ELISA assay. A serial dilution of competing antibodies and Biotin-hPD-1 were added to ELISA plates pre-coated with 1 µg/ml a test antibody. HRP conjugated streptavidin was then added, followed by the addition of substrate TMB and quantification with a SpectraMax Plus 384 Microplate Reader at 450nm wavelength. Anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 almost completely blocked the binding of each other to hPD-1, suggests they shared similar epitopes. The 3 antibodies also blocked the binding of Nivolumab and Pembrolizumab to hPD-1 nearly completely (93% to 94%), while Nivolumab and Pembrolizumab only partially blocked the binding of these antibodies to hPD-1 (77%-78% for Nivolumab and 46%-49% for Pembrolizumab). These data suggest that TY101-01-09, TY101-04-T3-05 and TY101-4G1 antibodies bind to epitopes different from those of Nivolumab and Pembrolizumab, and they may have higher affinity to hPD-1 than Nivolumab and Pembrolizumab (**Figure 24**).

Binding affinity of test antibodies to hPD-1 determined by SPR

[263] To get an accurate measurement of the binding affinities to hPD-1, antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 as well as Nivolumab and Pembrolizumab were analyzed with SPR. Human PD-1 ECD protein was immobilized on CM5 sensor chip for different length of time to achieve low immobilization level (at 60 RU) in flow cell 3 and high immobilization level (960 RU) in flow cell 4. Serially diluted (0, 1.5625, 3.125, 6.25, 12.5, 25 and 50 nM) antibodies were injected into flow cells. The association time were 180s and dissociation time were 600s (for Nivolumab and Pembrolizumab) or 1500s (for TY101-01-09, TY101-04-T3-05 and TY101-4G1). After signals of both the reference (flow cell 1) and the zero concentrations being subtracted from that of samples, binding kinetics was calculated using Biacore T200 evaluation software version 1.0 and a 1:1 binding model for curve fitting. There was no binding of control human IgG4 to the hPD-1. Based on data from the low immobilization level of hPD-1 (~60 RU; Table 3; Figure 23), the rates of association to human PD-1 by anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 was slightly lower than those of Nivolumab and Pembrolizumab (by 2-4 folds). The rates of dissociation from human PD-1 of these 3 antibodies were slower than those of Nivolumab and Pembrolizumab by 12 to 30 folds, resulting in their affinity 4-8 folds better than those of Nivolumab and Pembrolizumab (lower K_D corresponds to better affinity, and vice versa; Table 3). The binding affinities of anti-hPD-1 antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 to hPD-1 were also tested at high immobilization level of hPD-1. Antibodies TY101-01-09, TY101-04-T3-05 and TY101-4G1 showed very slow disassociation rates with minimal disassociation observed even after 1500s of disassociation time (Figure 23). The data suggested the binding affinities of TY101-01-09, TY101-04-T3-05 and TY101-4G1 were better than those of Nivolumab and Pembrolizumab, mostly due to slow disassociation rate (**Figure 25**).

Example 9. Study of Dosing and Pharmacokinetics of TY101 in Cynomolgus monkeys.

[264] To evaluate the pharmacokinetics of TY101, a total of 18 Cynomolgus monkeys were assigned to 3 groups with 3/sex/group. TY101 was injected by intravenous infusion at the dose levels of 1, 3, and 10 mg/kg respectively. Each dosing was administered through the right hind limb using injection pumps. The infusion rate was 30 mL/kg/h. Dose volume was 10 mL/kg. A validated ELISA method was used for quantitation of TY101 in animal plasma. Blood samples of approximately 1 mL with EDTA-K2 anticoagulants for pharmacokinetic analysis were collected from left hind limb subcutaneous vein of each monkey at pre-dose, immediate completion of infusion (± 1 min), and 2, 6, 24 (D2), 48 (D3), 72 (D4), 96 (D5), 120 (D6), 168 (D8), 240 (D11), 336 (D15), 408 (D18), 504 (D22), 576 (D25), and 672 (D29) hours after start of infusion in Groups 1 to 3. The blood samples were used for the preparations of plasma for pharmacokinetic analysis.

[265] The PK parameters of TY101 are shown in the table below:

Dosage	Gender	$t_{1/2}$ h	T_{max} h	C_{max} $\mu\text{g/mL}$	$AUC_{(0-672h)}$ $\text{h} \cdot \mu\text{g/mL}$	AUC_{inf} $\text{h} \cdot \mu\text{g/mL}$	V_d mL/kg	CI mL/h/kg	MRT h	C_{max} ratio	$AUC_{(0-672h)}$ ratio
1mg/kg	♂	Mean	153.53	0.33	23.80	4255.30	4614.27	49.52	0.23	195.00	—
		SD	33.86	0.00	3.01	1254.81	1215.81	11.55	0.07	42.14	—
	♀	Mean	160.62	0.89	21.63	2557.59	3476.92	65.14	0.29	115.89	—
		SD	61.00	0.96	4.08	330.49	568.46	13.84	0.05	14.39	—
3 mg/kg	♂	Mean	176.87	2.00	72.65	13153.36	14868.89	48.22	0.21	203.86	3.05
		SD	120.00	0.00	10.80	1956.02	2895.52	27.20	0.05	12.92	—
	♀	Mean	95.05	2.22	61.67	10353.29	10625.10	39.27	0.28	194.42	2.85
		SD	40.23	3.27	4.99	808.64	664.57	17.67	0.02	14.27	4.05
10 mg/kg	♂	Mean	220.26	0.33	261.62	44497.84	54125.93	54.87	0.21	203.12	10.99
		SD	123.00	0.00	9.91	11981.63	20275.76	16.11	0.10	69.70	10.46
	♀	Mean	199.43	0.89	198.30	39538.31	42856.30	67.29	0.24	219.39	9.17
		SD	35.58	0.96	24.35	6001.59	7685.37	4.61	0.05	12.86	15.46

[266] C_{max} ratio = Mean C_{max} at mid dose or high dose / Mean C_{max} at low dose

[267] Area under the curve (AUC) ratio = Mean $AUC_{(0-672h)}$ at mid dose or high dose / Mean $AUC_{(0-672h)}$ at low dose

[268] TY101 basically presented linear dynamic characteristics in Cynomolgus monkeys following single intravenous infusion at 1, 3 and 10 mg/kg. Mean C_{max} and $AUC_{(0-672h)}$ values generally increased in proportion to doses over 1-10 mg/kg. No apparent sex difference was observed in pharmacokinetic characteristics of TY101 over 1-10 mg/kg following single intravenous infusion.

[269] Under similar conditions, we also compared the PK profiles of TY101 and OPDIVO® (Bristol-Myers Squibb) at 3mg/kg. The data were summarized in the following table.

group	gender	$T_{1/2}$ h	T_{max} h	C_{max} $\mu\text{g/mL}$	$AUC_{(0-672h)}$ $\text{h} \cdot \mu\text{g/mL}$	AUC_{inf} $\text{h} \cdot \mu\text{g/mL}$	V_d mL/kg	CI mL/h/kg	MRT h	C_{max} ratio	$AUC_{(0-672h)}$ ratio
TY101 3 mg/kg	♂	Mean	226.70	0.33	76.59	12203.89	15595.46	63.05	0.19	181.10	0.99
		SD	41.36	0.00	2.44	1016.76	338.36	12.85	0.00	67.02	1.22
		CV%	18.25	0.00	3.19	8.33	2.17	20.38	2.17	37.01	—
	♀	Mean	111.65	1.17	75.35	12729.44	12993.14	37.02	0.24	161.81	1.11
		SD	36.39	1.18	1.94	3888.20	3840.41	1.18	0.07	60.79	1.26
		CV%	32.59	101.02	2.57	30.54	29.56	3.19	29.56	37.57	—
Opdivo 3 mg/kg	♂	Mean	191.96	0.33	77.29	9997.33	14088.48	55.89	0.23	130.30	—
		SD	121.24	0.00	0.33	268.79	5111.70	16.96	0.08	10.35	—
		CV%	63.16	0.00	0.43	2.69	36.28	30.35	36.28	7.95	—
	♀	Mean	146.58	0.33	67.81	10127.26	11384.49	56.09	0.27	161.61	—
		SD	1.38	0.00	3.67	2614.53	1240.57	6.63	0.03	50.05	—
		CV%	0.94	0.00	5.41	25.82	10.90	11.83	10.90	30.97	—

C_{max} ratio = Mean C_{max} of TY101 / Mean C_{max} of OPDIVO®.

AUC ratio = Mean $AUC_{(0-672h)}$ of TY101 / Mean $AUC_{(0-672h)}$ of OPDIVO®.

[270] TY101 and OPDIVO® demonstrated comparable PK profiles at 3 mg/kg.

* * *

[271] The present disclosure is not to be limited in scope by the specific embodiments described which are intended as single illustrations of individual aspects of the disclosure, and any compositions or methods which are functionally equivalent are within the scope of this disclosure. It will be apparent to those skilled in the art that various modifications and variations can be made in the methods and compositions of the present disclosure without departing from the spirit or scope of the disclosure. Thus, it is intended that the present disclosure cover the modifications and variations of this disclosure provided they come within the scope of the appended claims and their equivalents.

[272] All publications and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

CLAIMS

What is claimed is:

1. A method of treating a cancer, an infection, or an immune disorder in a patient in need thereof, comprising administering to the patient one or more doses of an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein each dose is about 1mg/kg to about 10mg/kg.
2. The method of claim 1, wherein each dose is about 1mg/kg, about 3mg/kg, or about 10mg/kg.
3. The method of claim 2, wherein each dose is 3mg/kg.
4. The method of any one of claims 1-3, wherein the antibody or fragment thereof comprises: a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of:
 - (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGGYYVMDY (SEQ ID NO: 3), LCDR1: ESDVYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6);
 - (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); and
 - (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVVDNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18).
5. The method of claim 4, wherein the antibody or fragment thereof further a heavy chain constant region, a light chain constant region, an Fc region, or the combination thereof.
6. The method of claim 5 or 6, wherein the antibody or fragment thereof comprises a light chain constant region, wherein the light chain constant region is a kappa or lambda chain constant region.
7. The method of any one of claims 1-6, wherein the antibody or fragment thereof is of an isotype of IgG, IgM, IgA, IgE or IgD.
8. The method of claim 7, wherein the isotype is IgG1, IgG2, IgG3 or IgG4.
9. The method of any one of claims 1-8, wherein the antibody or fragment thereof is a chimeric antibody, a humanized antibody, or a fully human antibody.
10. The method of claim 9, wherein the antibody or fragment thereof is a humanized antibody.
11. The method of claim any one of claims 1-10, wherein the antibody or fragment thereof comprises a heavy chain variable region comprising the amino acid sequence of SEQ ID NO: 35, SEQ ID NO: 37, SEQ

ID NO: 39, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 35, SEQ ID NO: 37, or SEQ ID NO: 39.

12. The method of any one of claims 1-10, wherein the antibody or fragment thereof comprises the amino acid sequence of SEQ ID NO: 41, SEQ ID NO: 43, SEQ ID NO: 45, or an amino acid sequence having at least 95% sequence identity to SEQ ID NO: 41, SEQ ID NO: 43, or SEQ ID NO: 45.

13. The method of any one of claims 1-3, wherein the antibody or fragment thereof comprises: a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of:

(a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGCGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGGYYVMDY (SEQ ID NO: 3), LCDR1: ESDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6);

(b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12);

(c) HCDR1: GFASFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVNDYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18); and

(d) HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 as shown in (a)-(c) but at least one of which includes one, two, or three amino acid addition, deletion, conservative amino acid substitution or the combinations thereof.

14. The method of claim 13, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but one of which includes a conservative amino acid substitution.

15. The method of claim 13, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but two of which each includes a conservative amino acid substitution.

16. The method of claim 13, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are as shown in any one of (a)-(c) but three of which each includes a conservative amino acid substitution.

17. The method of any one of claims 1-16, wherein the method is for treating a cancer, wherein the cancer is selected from the group consisting of bladder cancer, liver cancer, colon cancer, rectal cancer, endometrial cancer, leukemia, lymphoma, pancreatic cancer, small cell lung cancer, non-small cell lung cancer, breast cancer, urethral cancer, head and neck cancer, gastrointestinal cancer, stomach cancer, esophageal cancer, ovarian cancer, renal cancer, melanoma, prostate cancer and thyroid cancer.

18. The method of any one of claims 1-16, wherein the method is for treating an infection, wherein the infection is viral infection, bacterial infection, fungal infection or infection by a parasite.

19. The method of any one of claims 1-16, wherein the method is for treating an immune disorder, wherein the immune disorder is selected from the group consisting of infection, endotoxic shock associated

with infection, arthritis, rheumatoid arthritis, asthma, COPD, pelvic inflammatory disease, Alzheimer's Disease, inflammatory bowel disease, Crohn's disease, ulcerative colitis, Peyronie's Disease, coeliac disease, gallbladder disease, Pilonidal disease, peritonitis, psoriasis, vasculitis, surgical adhesions, stroke, Type I Diabetes, lyme disease, arthritis, meningoencephalitis, autoimmune uveitis, immune mediated inflammatory disorders of the central and peripheral nervous system, multiple sclerosis, lupus and Guillain-Barr syndrome, Atopic dermatitis, autoimmune hepatitis, fibrosing alveolitis, Grave's disease, IgA nephropathy, idiopathic thrombocytopenic purpura, Meniere's disease, pemphigus, primary biliary cirrhosis, sarcoidosis, scleroderma, Wegener's granulomatosis, pancreatitis, trauma, graft-versus-host disease, transplant rejection, ischaemic diseases, myocardial infarction, atherosclerosis, intravascular coagulation, bone resorption, osteoporosis, osteoarthritis, periodontitis, hypochlorhydria, and infertility related to lack of fetal-maternal tolerance.

20. The method of any one of claims 1-16, wherein the method is for treating an infection, wherein the infection is hepatitis.

21. The method of claim 21, wherein the hepatitis is selected from hepatitis A, hepatitis B, hepatitis C, hepatitis D, and hepatitis E.

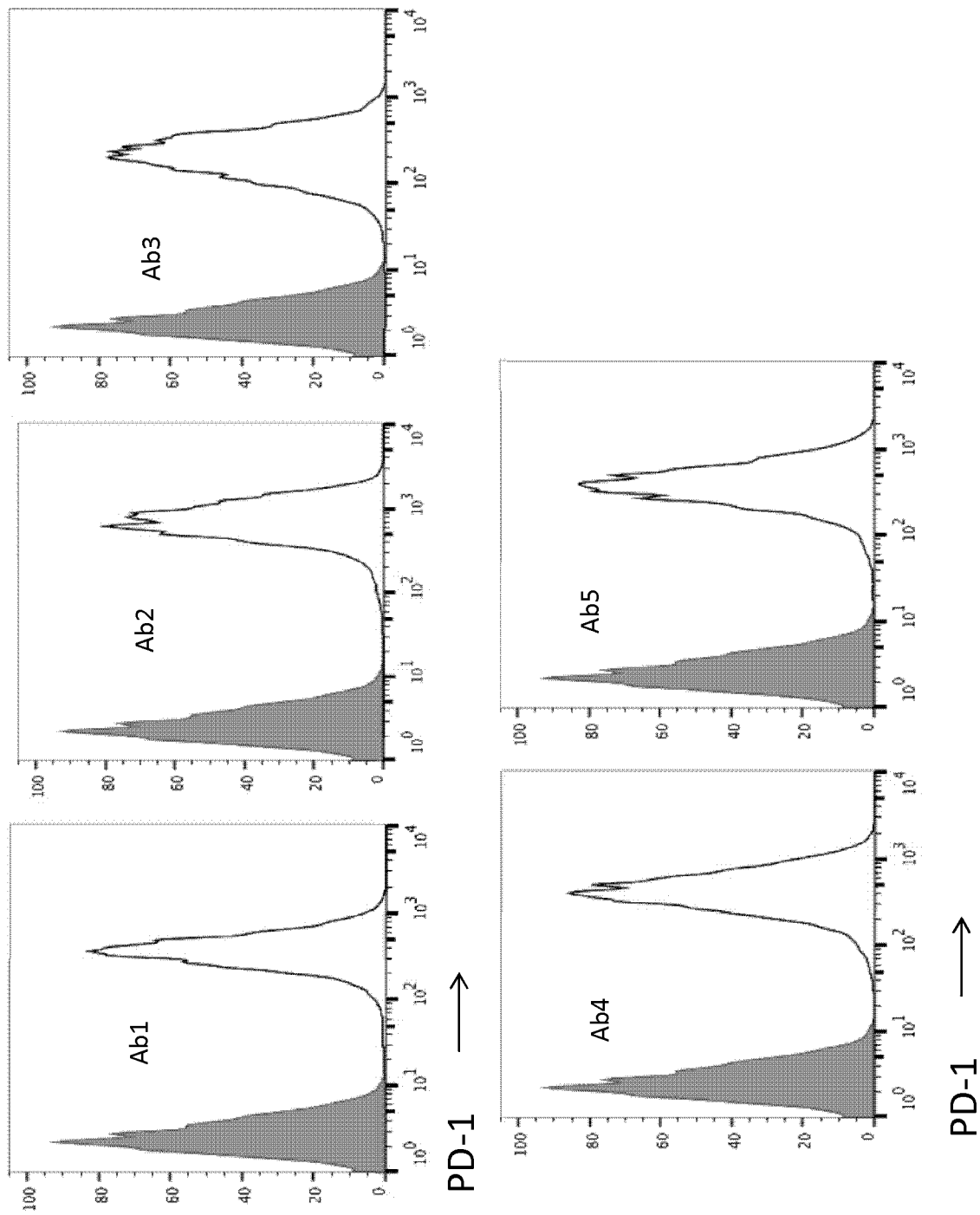


FIG. 1

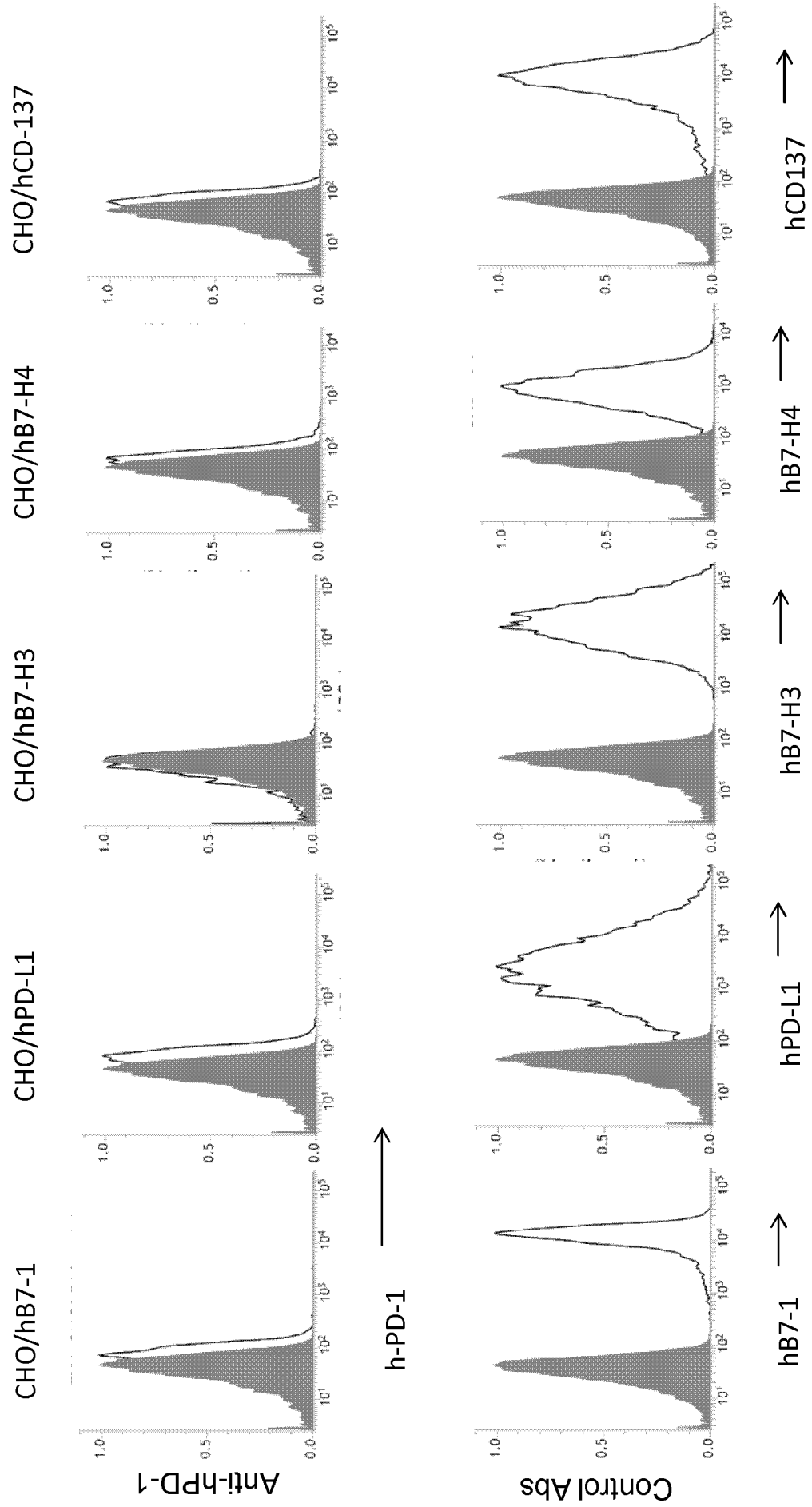


FIG. 2

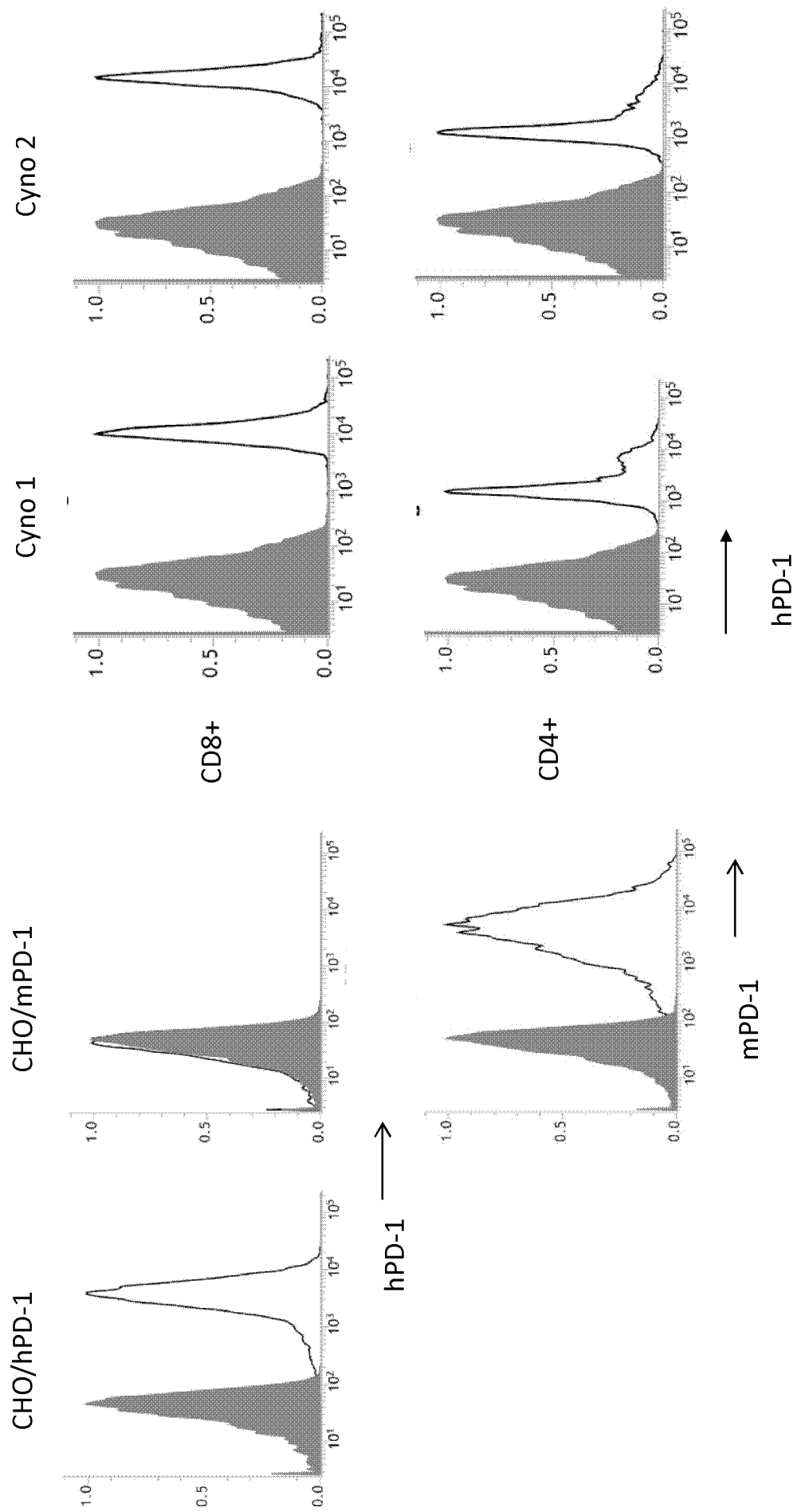


FIG. 3

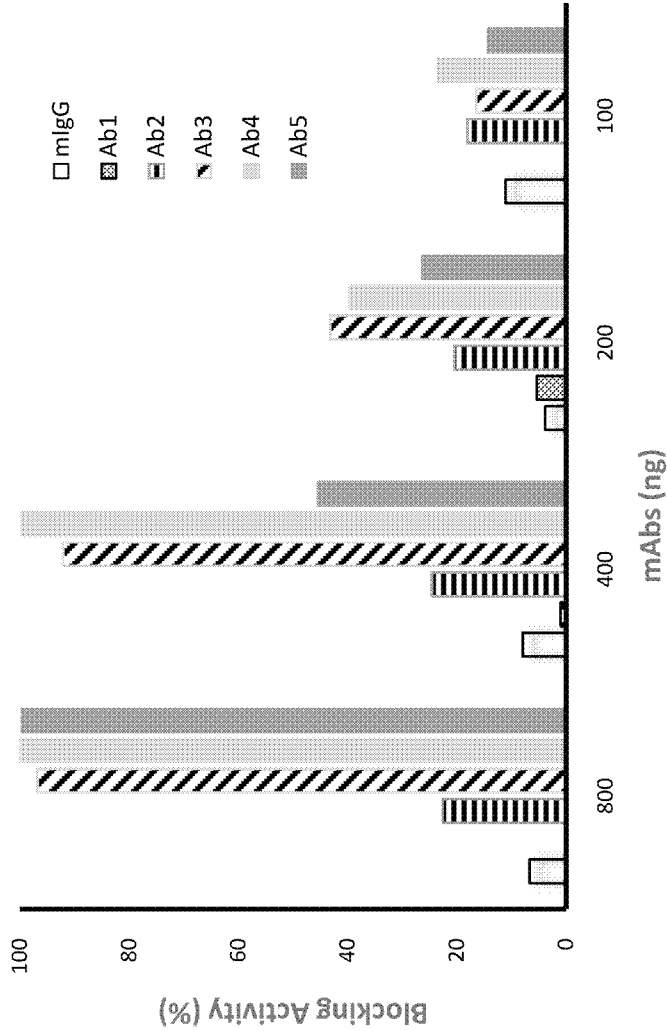


FIG. 4

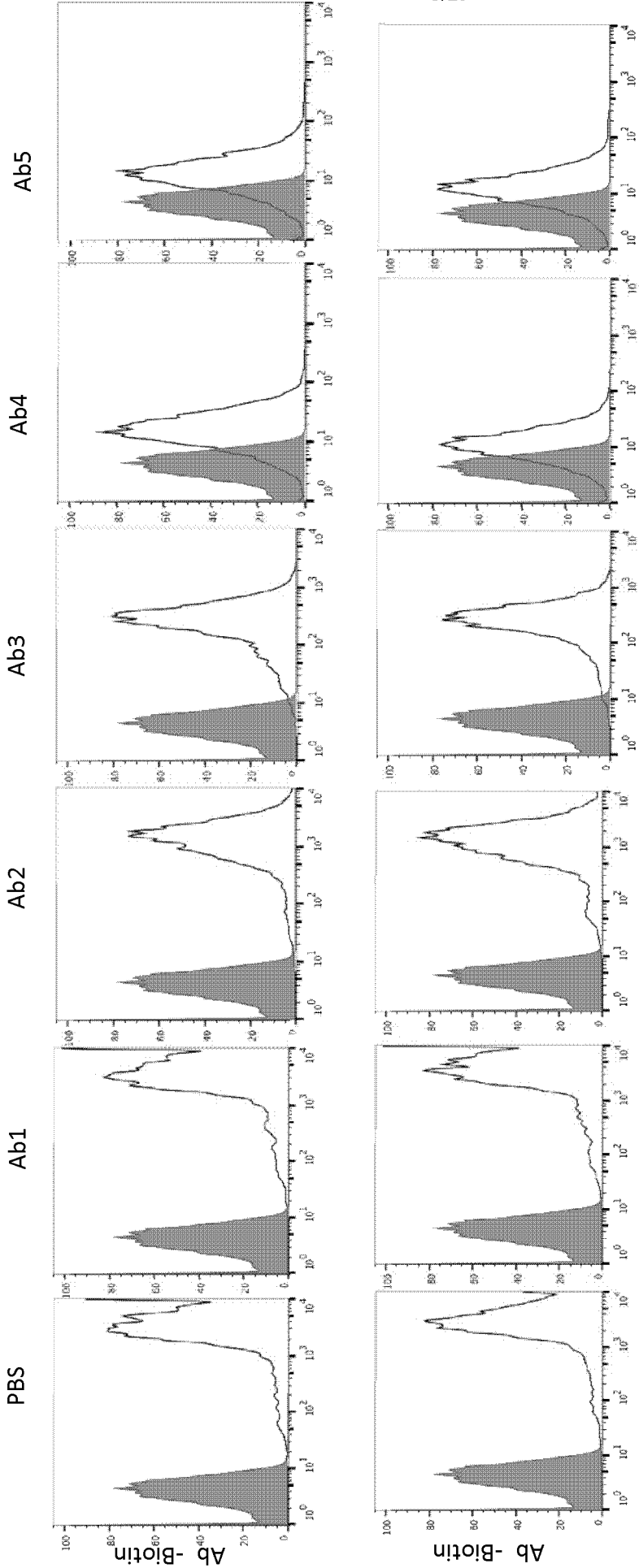


FIG. 5A

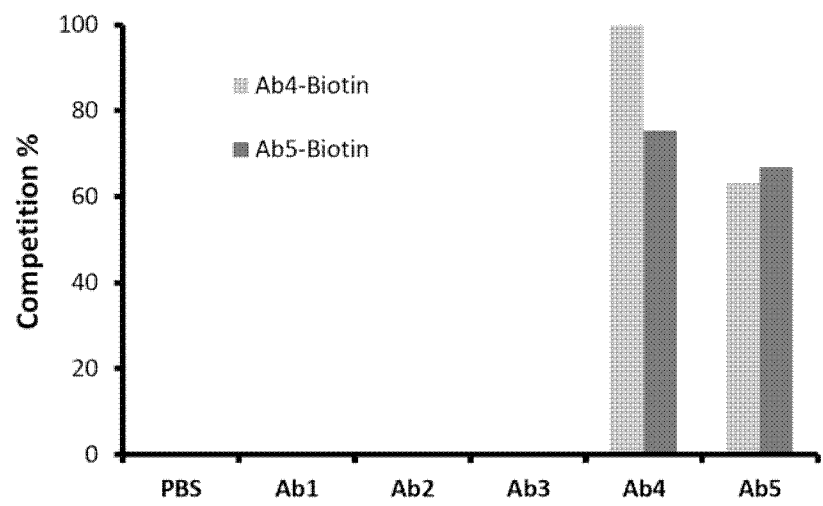


FIG 5B

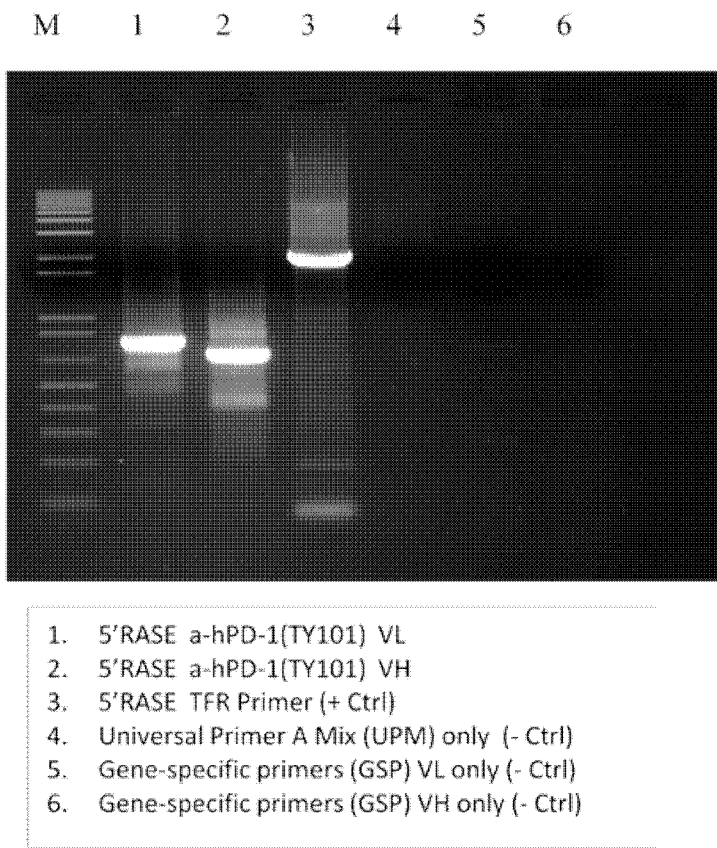
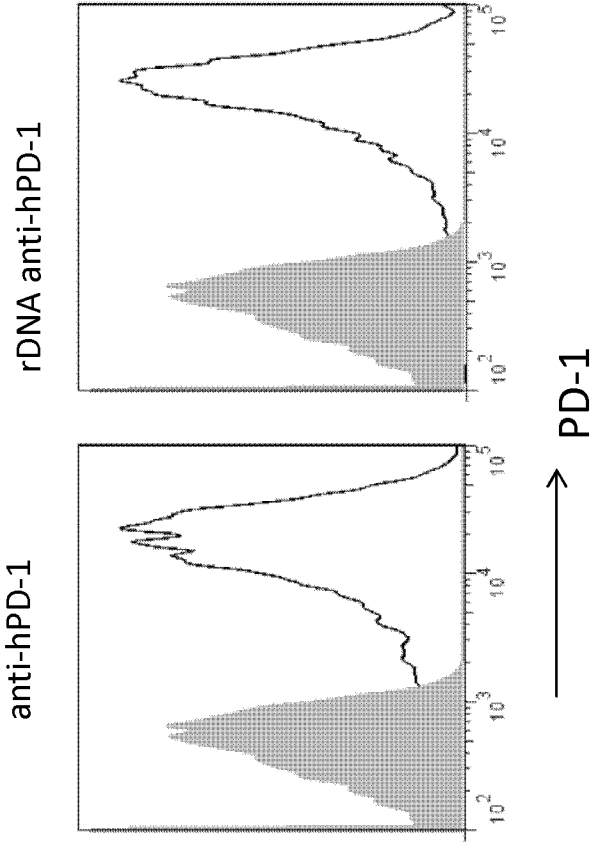


FIG. 6

A. Binding activity of rDNA antibody



B. Blocking ability of rDNA antibody

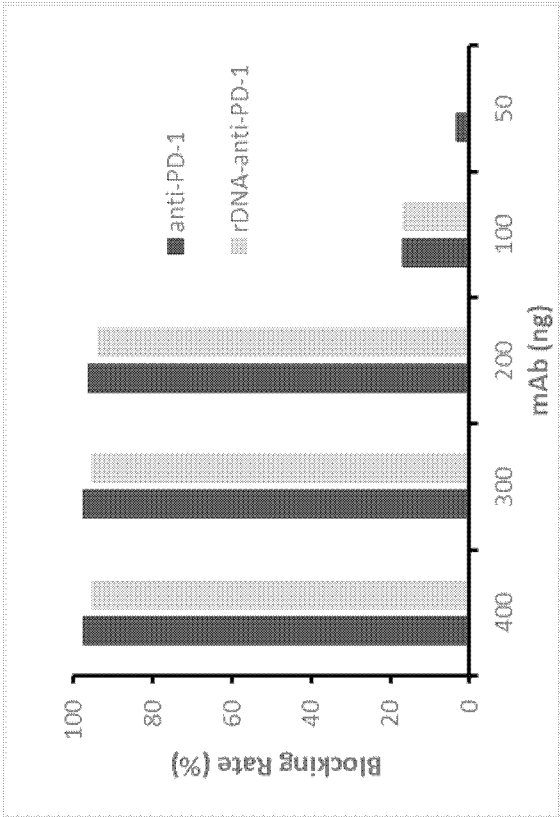


FIG. 7

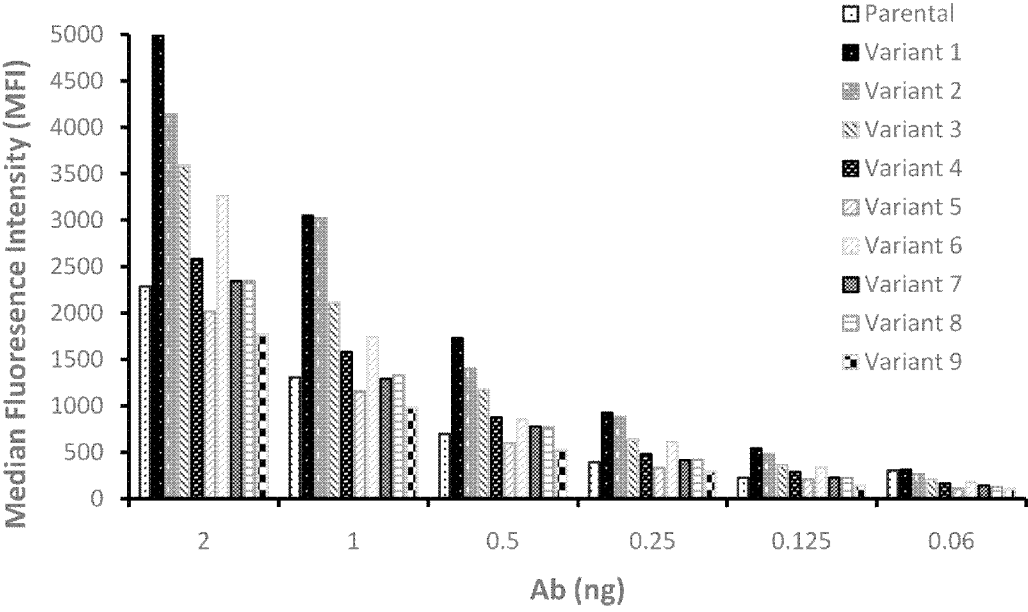


FIG. 8

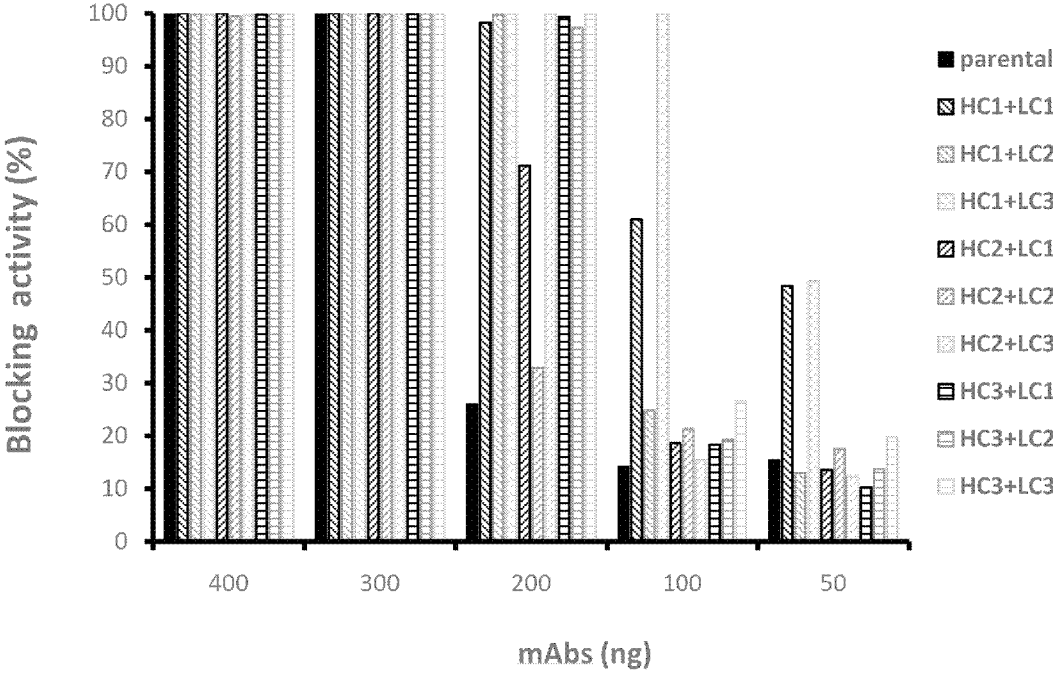
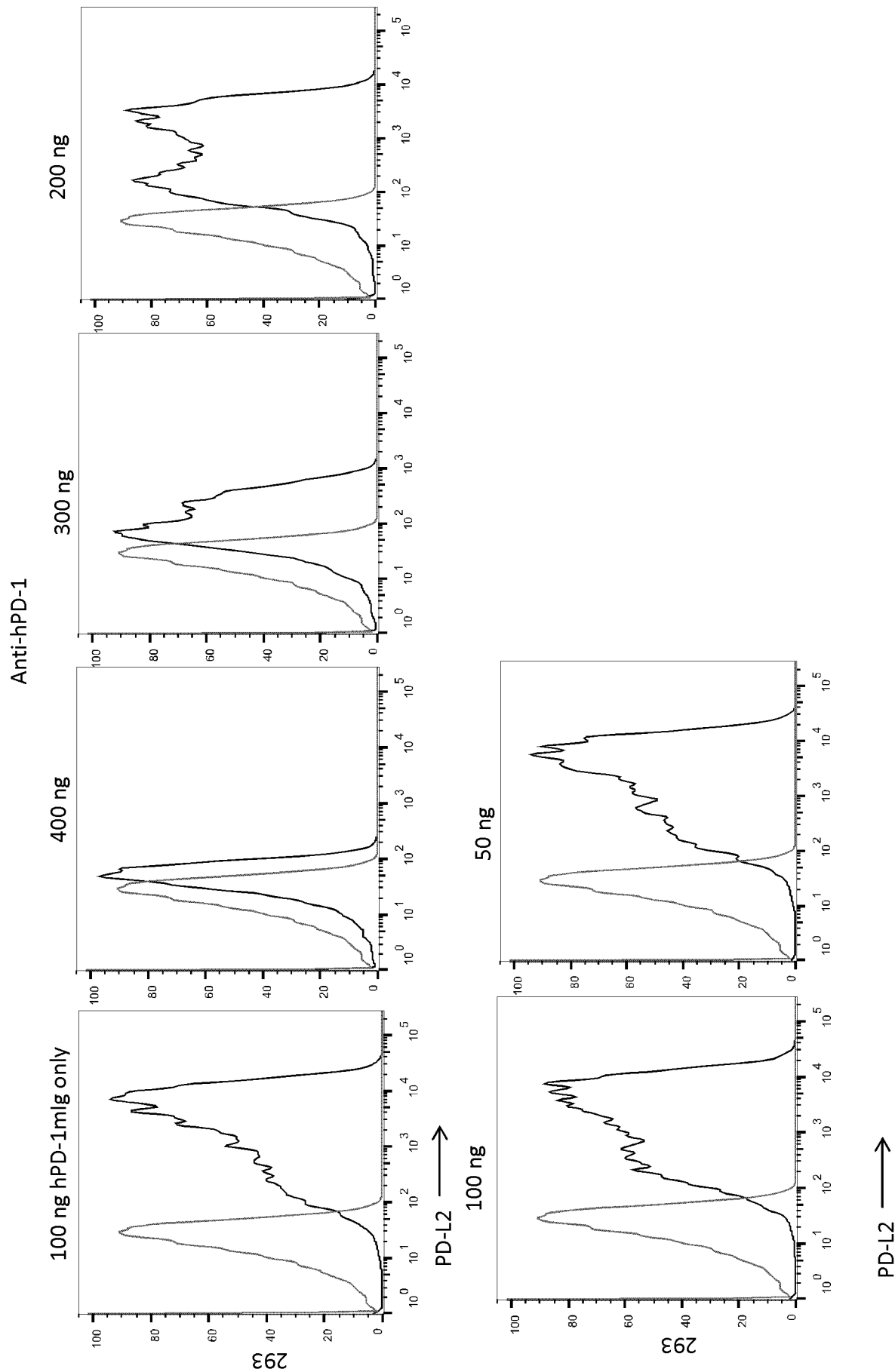


FIG. 9



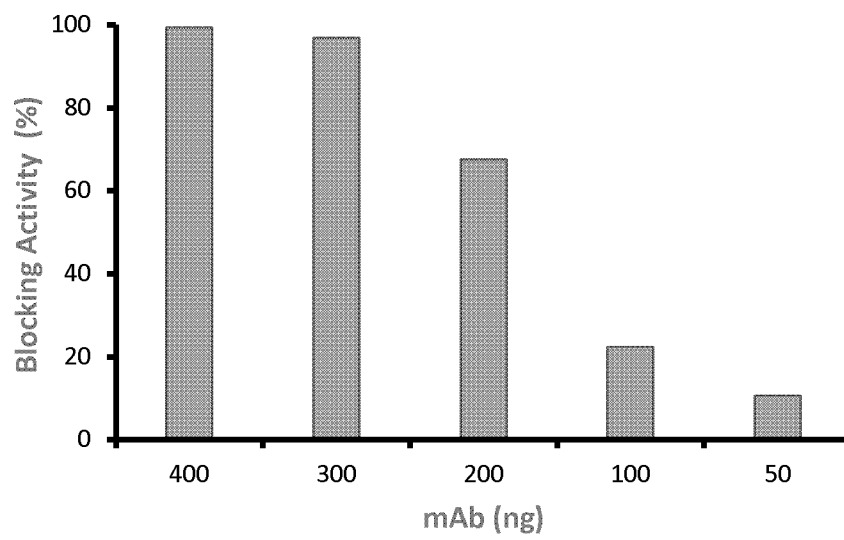


FIG. 10B

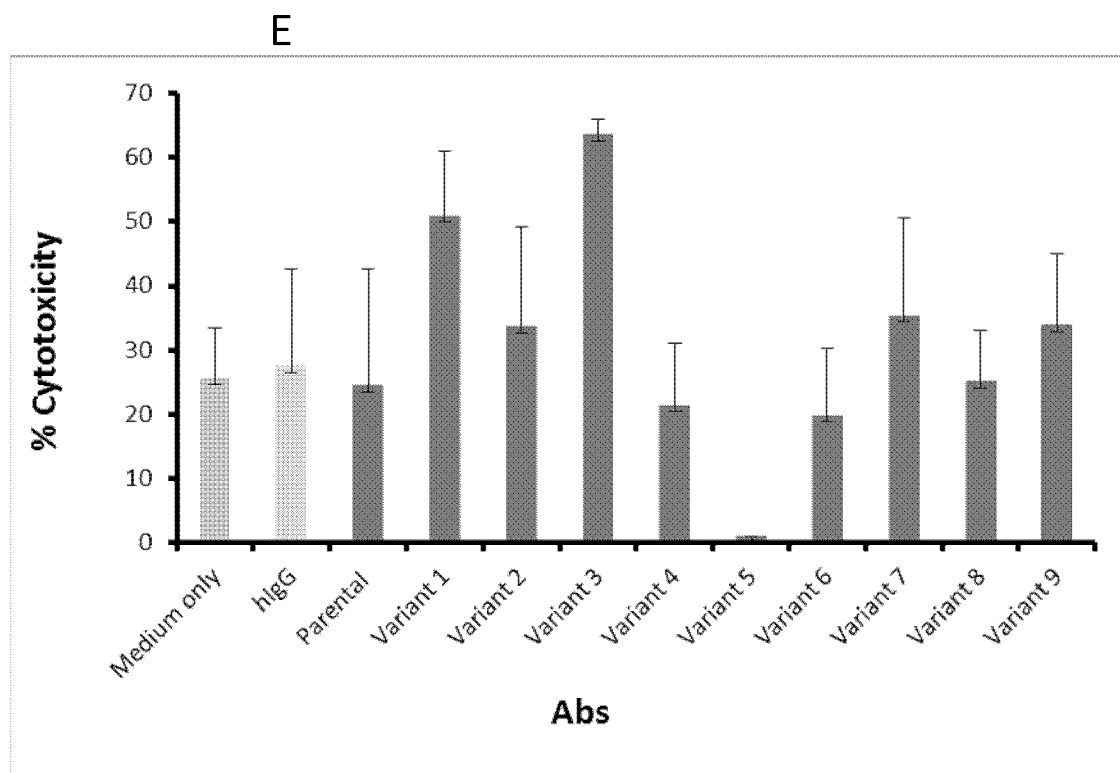


FIG. 11

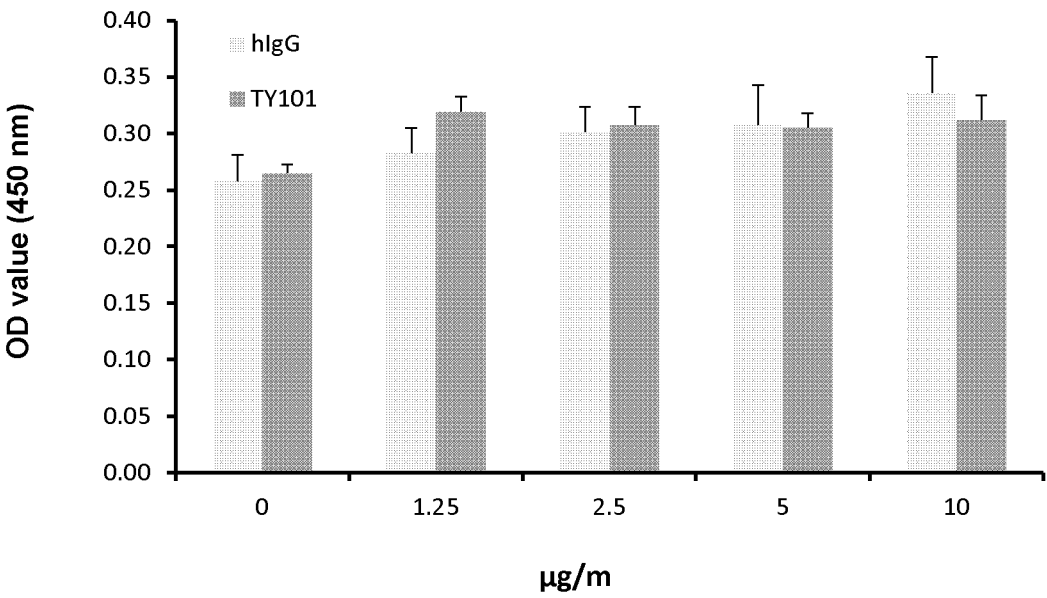


FIG. 12

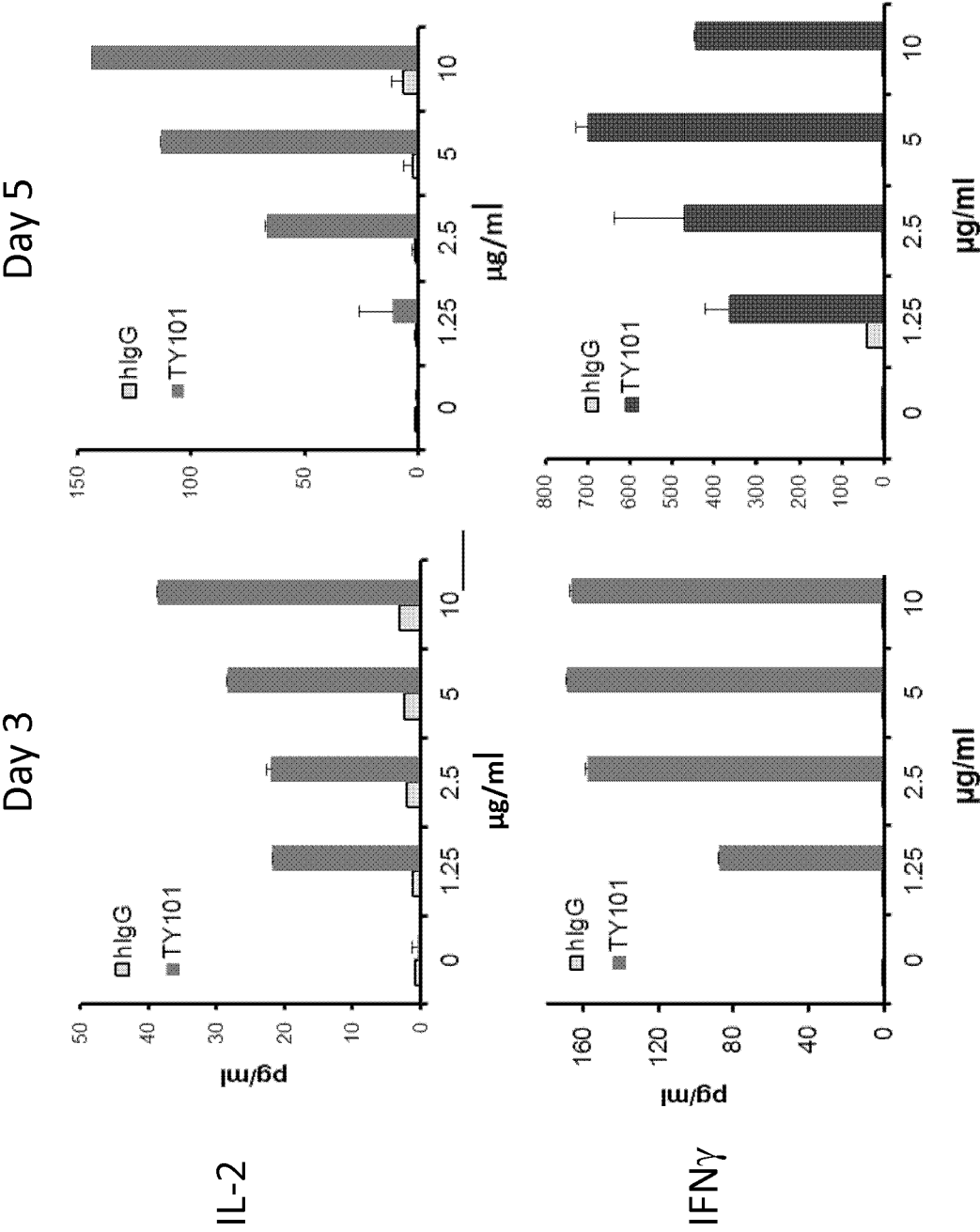
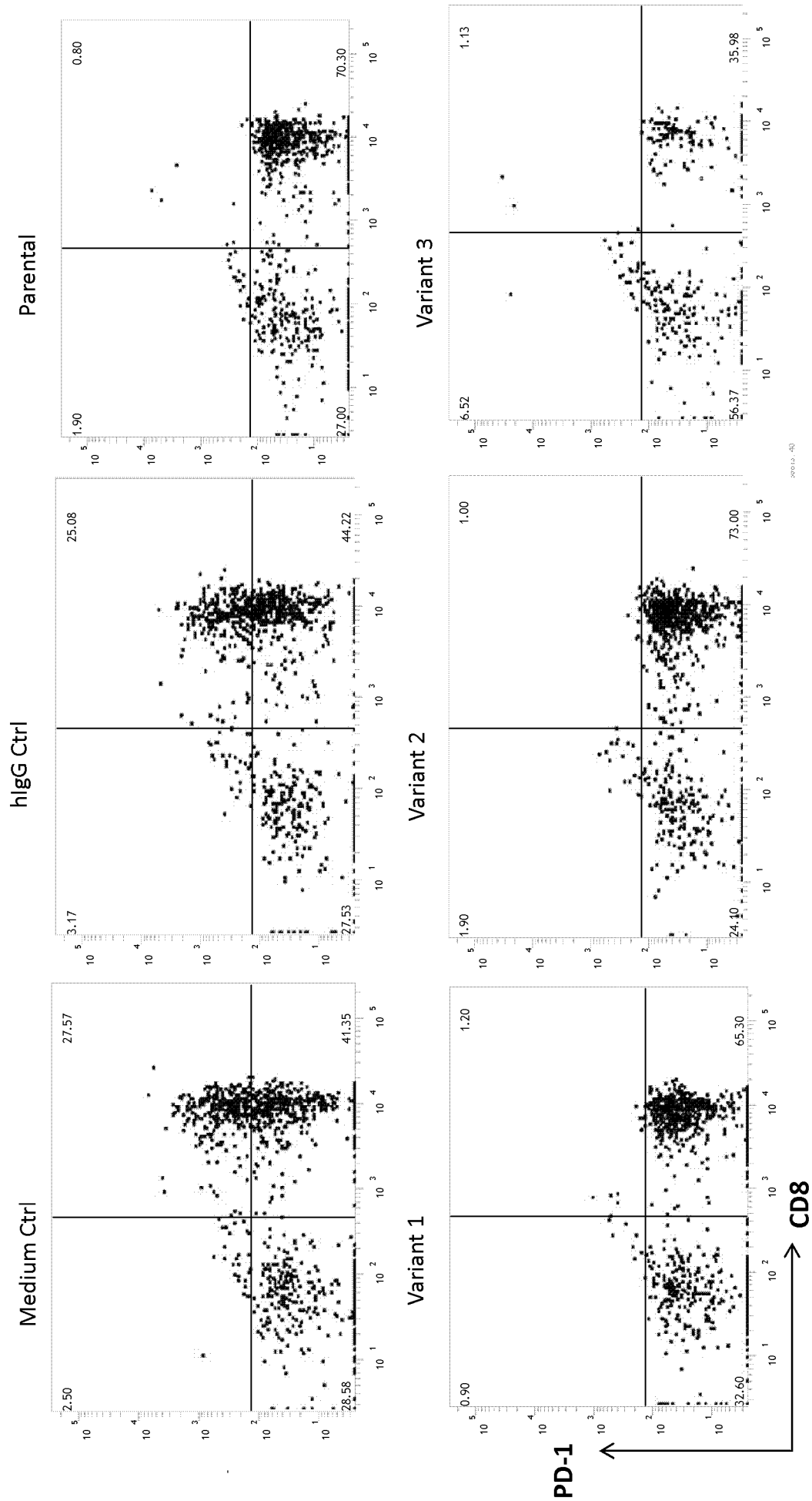


FIG. 13



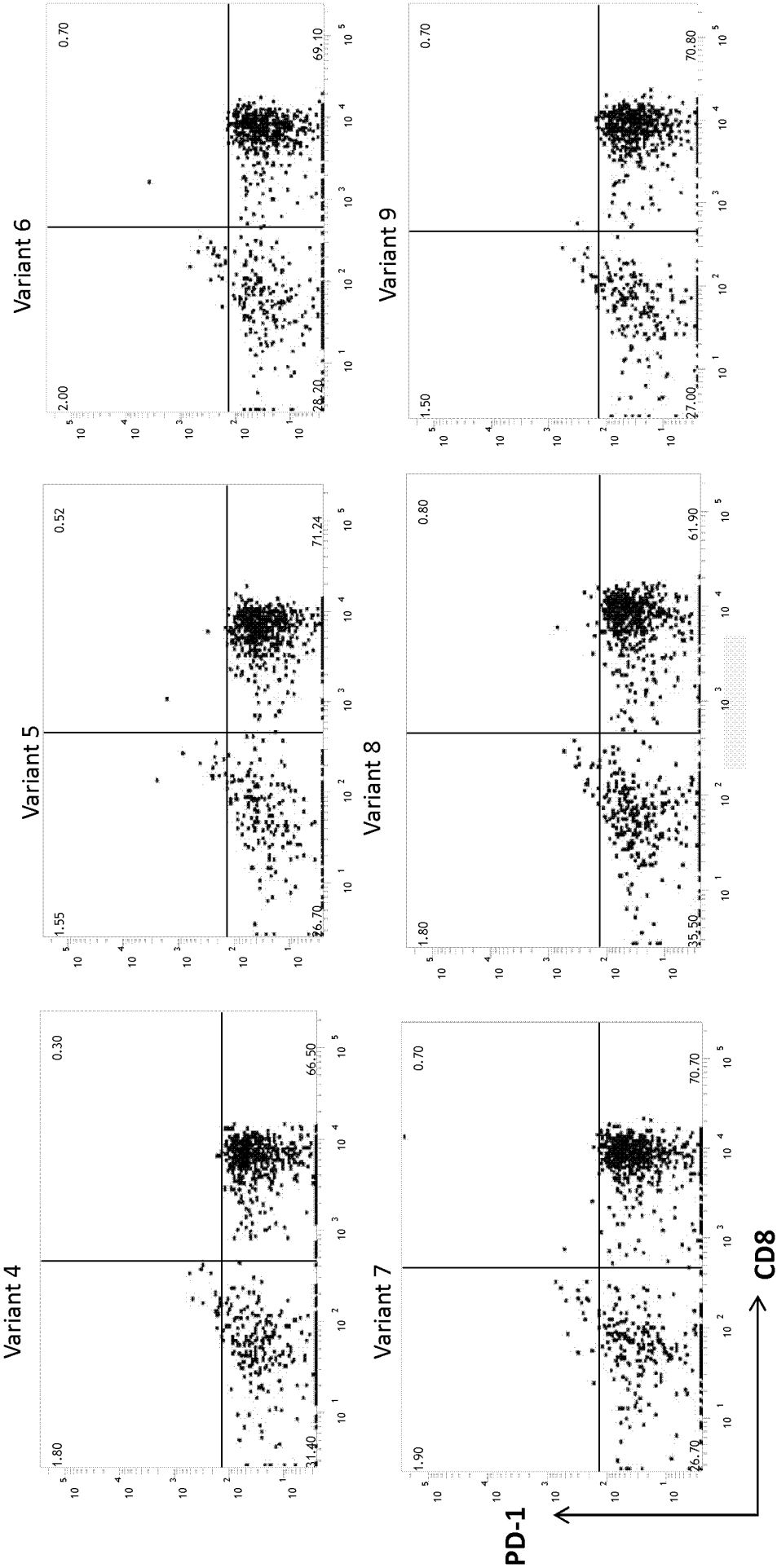


FIG.14B

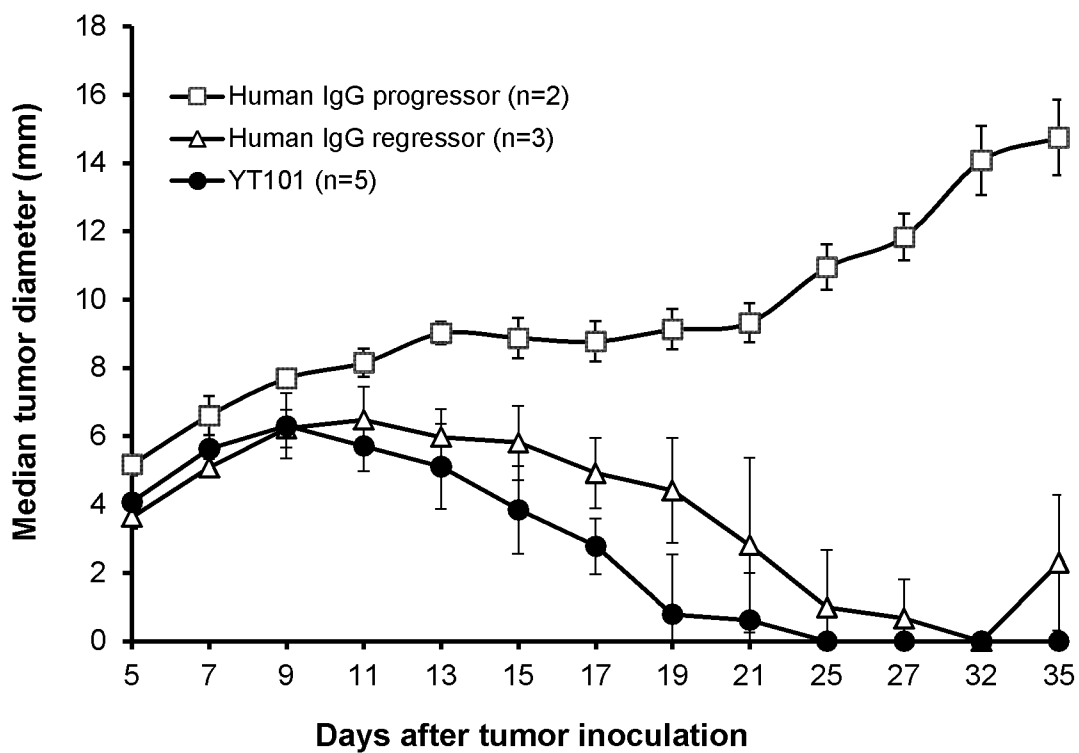


FIG. 15

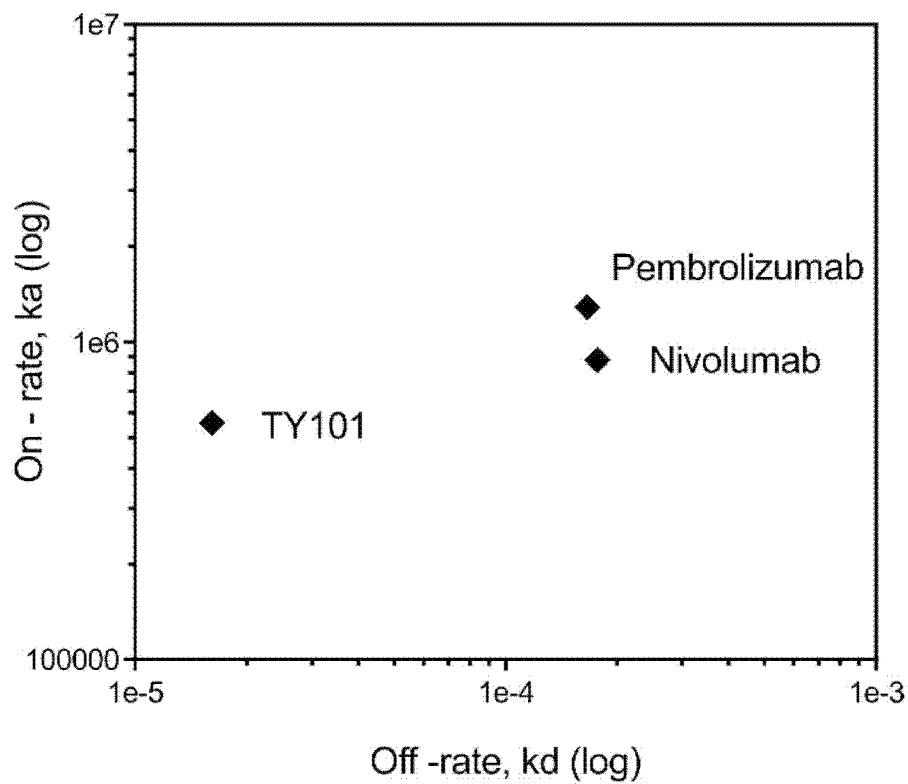


FIG. 16

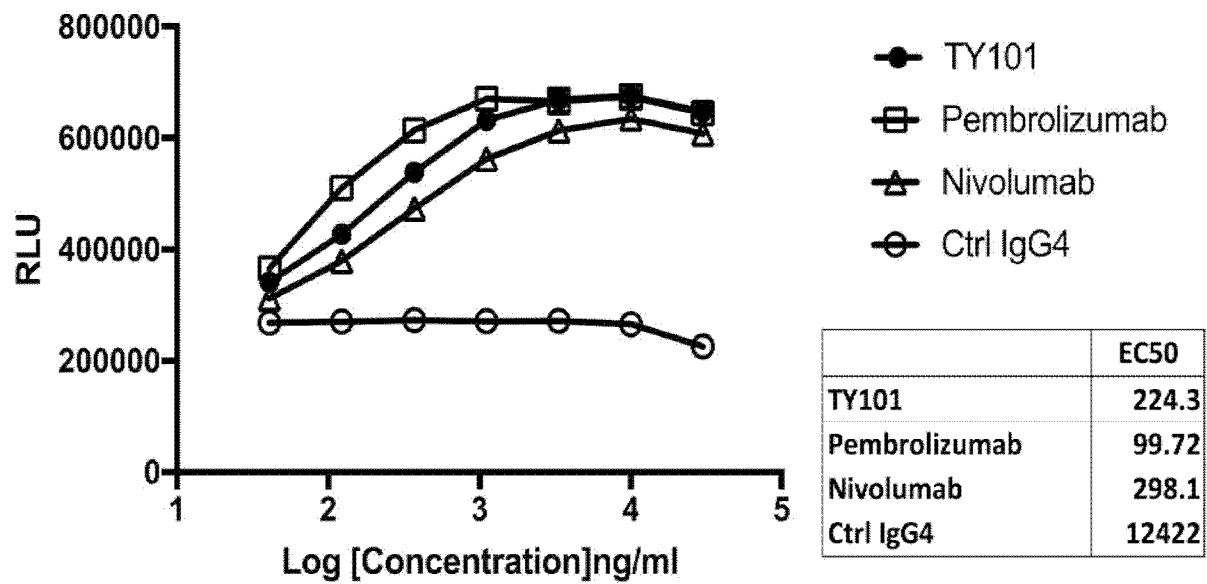


FIG. 17

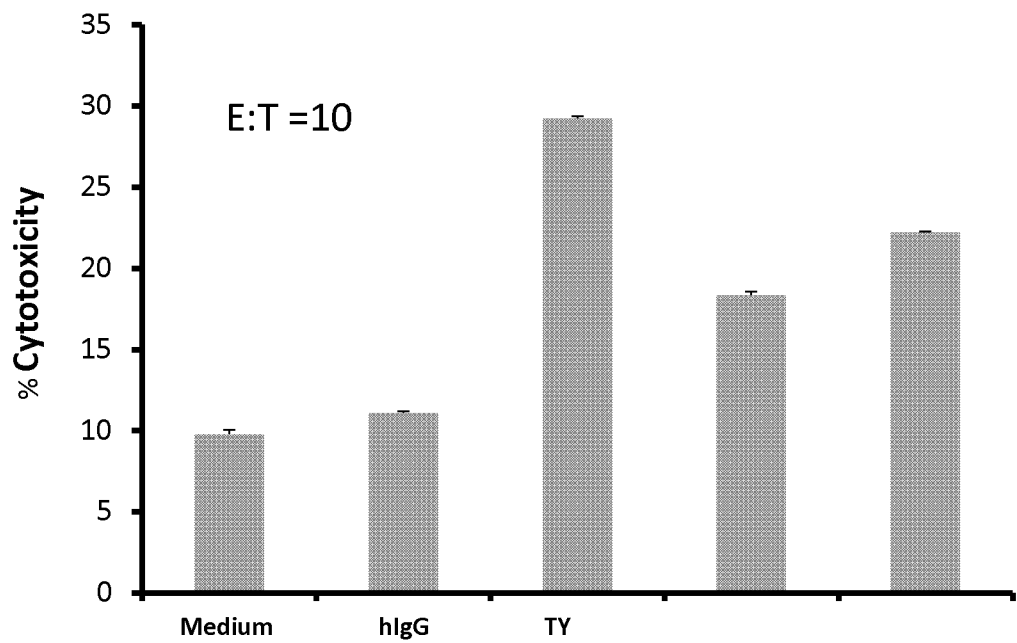


FIG. 18

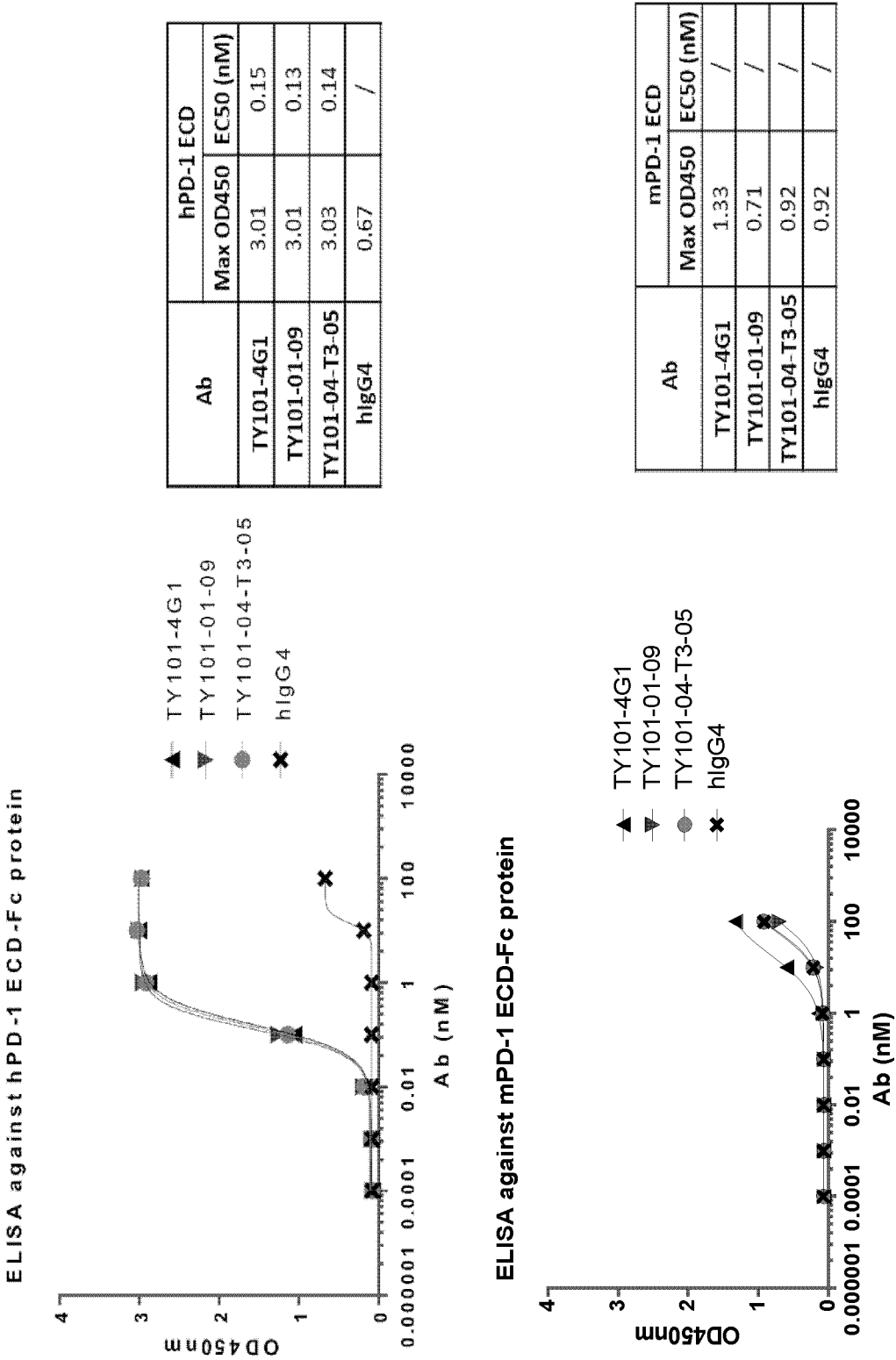


FIG. 19

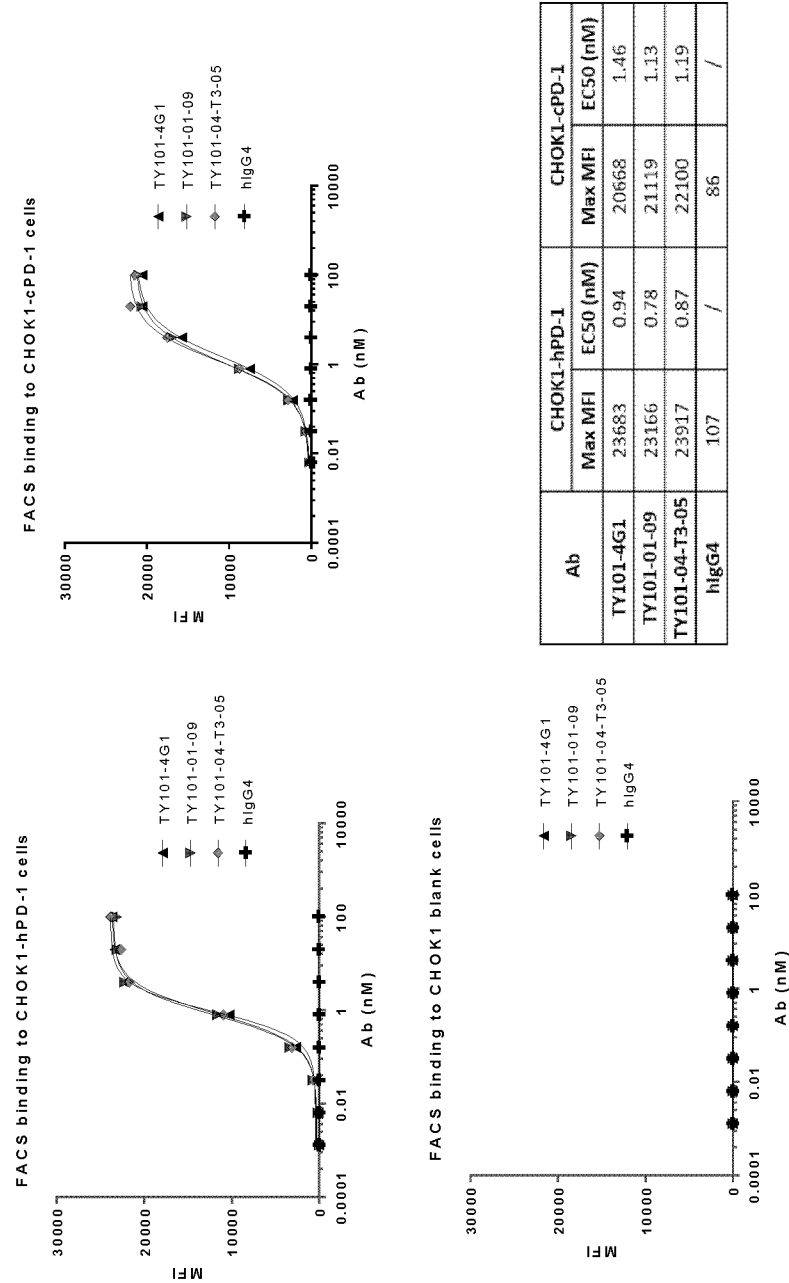
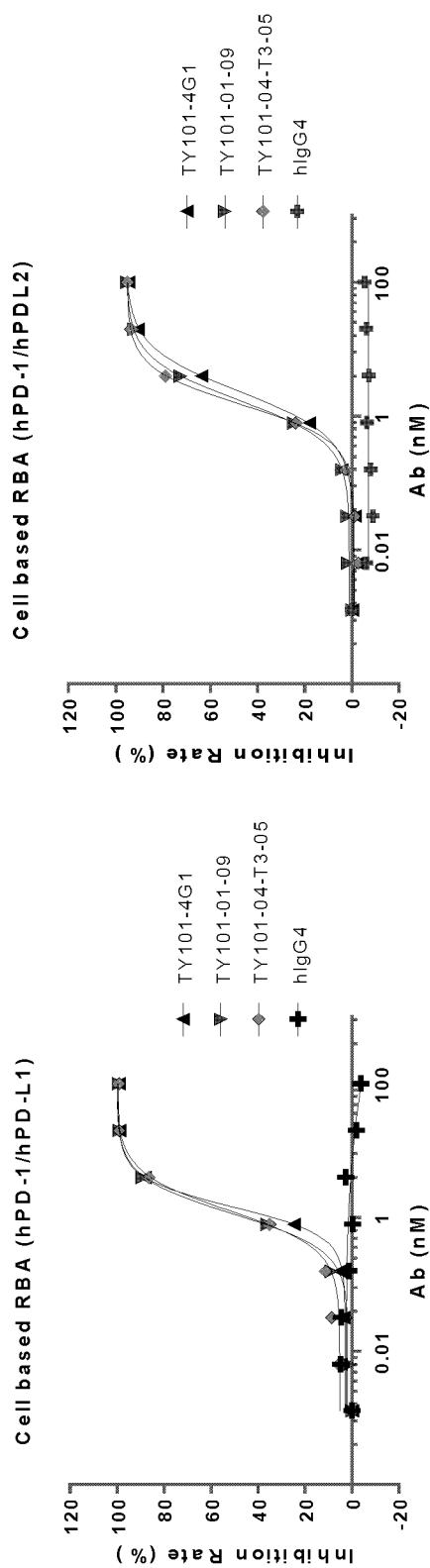


FIG. 20



Antibody	hPD-1/hPD-L1		hPD-1/hPD-L2	
	Inhibition rate	IC50 (nM)	Inhibition rate	IC50 (nM)
TY101-4G1	99.5%	1.47	94.7%	2.33
TY101-01-09	99.5%	1.15	95.4%	1.76
TY101-04-T3-05	99.5%	1.30	95.3%	1.52
hlgG4	4.9%	/	-5.5%	/

FIG. 21

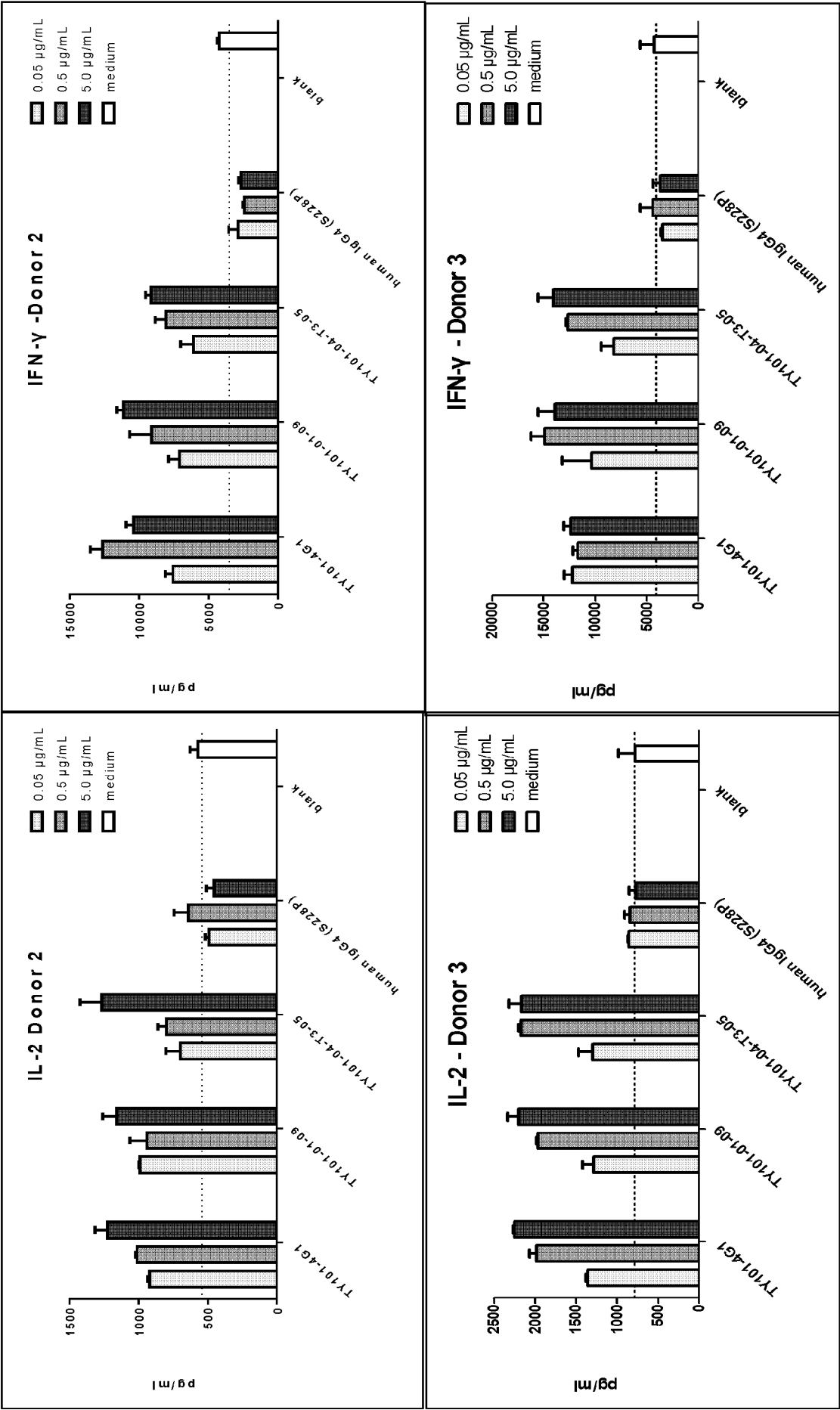


FIG. 22

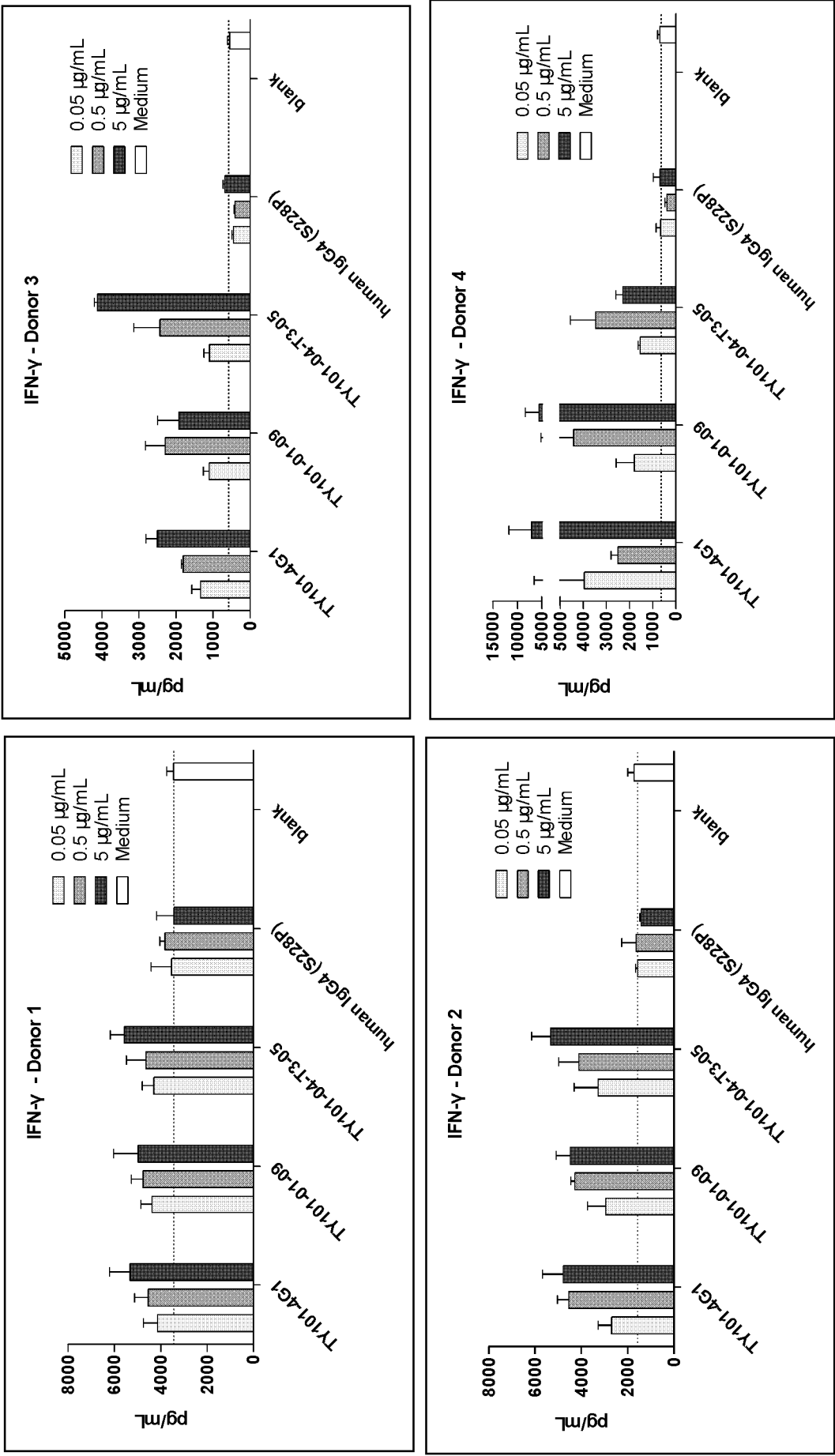


FIG. 23

Inhibition (%)		Competitive Abs (15µg/ml)			
		TY101-01-09	TY101-04-T3-05	TY101-4G1	Pembrolizumab
Coating Abs (1µg/ml)	TY101-01-09	94	94	94	49
	TY101-04-T3-05	94	95	94	46
	TY101-4G1	95	95	95	48
	Nivolumab	93	94	94	64
	Pembrolizumab	93	94	94	94

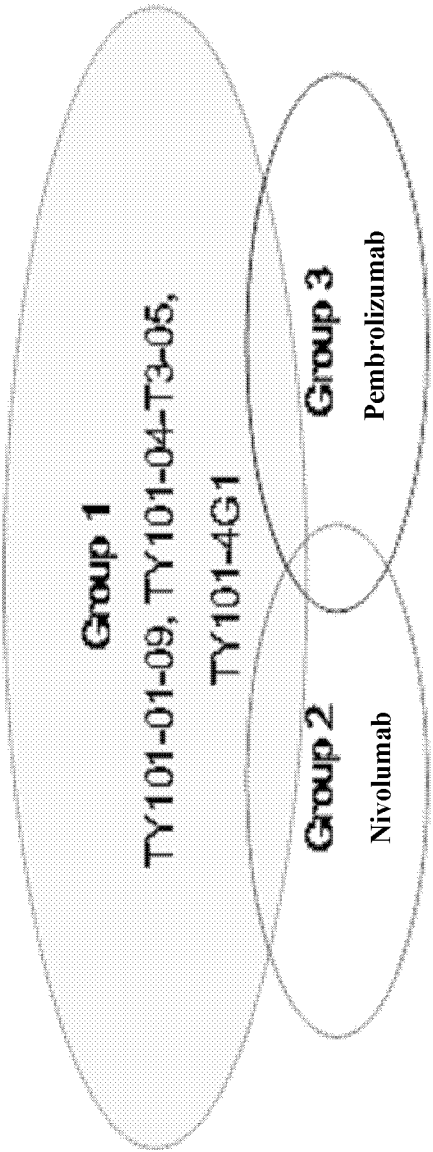


FIG.24

23 / 23

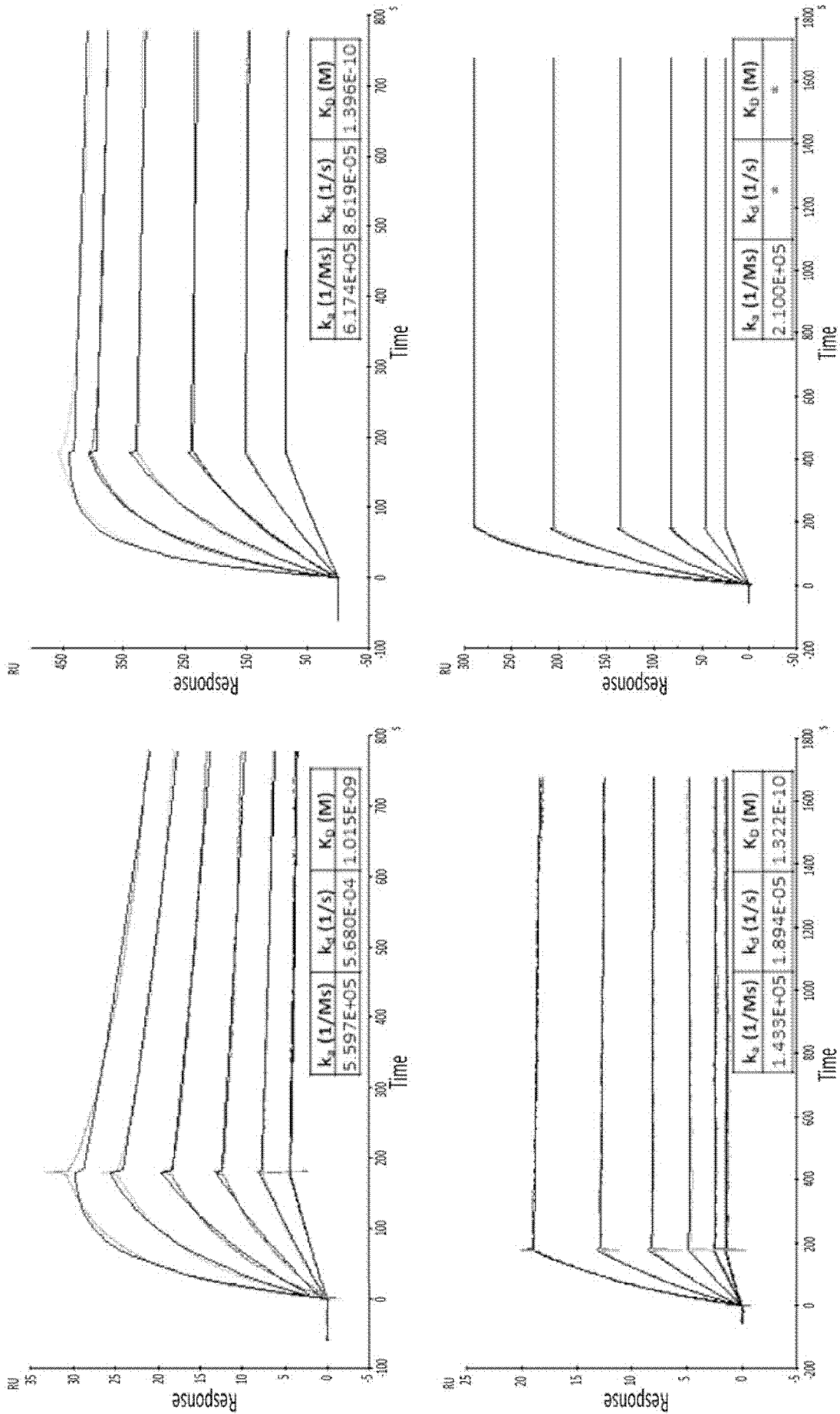


FIG. 25

INTERNATIONAL SEARCH REPORT

International application No.

PCT/CN2019/096679

A. CLASSIFICATION OF SUBJECT MATTER

C07K 16/28(2006.01)i; A61K 39/395(2006.01)i; A61P 35/00(2006.01)i; A61P 31/00(2006.01)i; A61P 37/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C07K; A61K; A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI; SIPOABS; CNABS; CNKI; NCBI; ISI Web of knowledge; ELSEVIER and keywords: PD-1, programmed cell death 1, antibody, etc. ; GenBank; EMBL; Retrieving System for Biological Sequence of Chinese Patent and searched sequences: SEQ ID NOs: 1-18

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2017019846 A1 (MACROGENICS INC) 02 February 2017 (2017-02-02) claims 1-27, description, paragraphs 0391-0418	the subject-matter expected in Box No. II
A	CN 108203464 A (GENSCRIPT NANJING CO LTD) 26 June 2018 (2018-06-26) claims 1-25	the subject-matter expected in Box No. II
A	WO 2018053106 A1 (ABBVIE BIOTHERAPEUTICS INC) 22 March 2018 (2018-03-22) the abstract	the subject-matter expected in Box No. II

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

“A” document defining the general state of the art which is not considered to be of particular relevance

“E” earlier application or patent but published on or after the international filing date

“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

“O” document referring to an oral disclosure, use, exhibition or other means

“P” document published prior to the international filing date but later than the priority date claimed

“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

“X” document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

“&” document member of the same patent family

Date of the actual completion of the international search

09 October 2019

Date of mailing of the international search report

25 October 2019

Name and mailing address of the ISA/CN

National Intellectual Property Administration, PRC
6, Xitucheng Rd., Jimen Bridge, Haidian District, Beijing
100088
China

Authorized officer

YANG,Zhenyu

Facsimile No. (86-10)62019451

Telephone No. 86-(10)-62412204

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **1-21**
because they relate to subject matter not required to be searched by this Authority, namely:
 - [1] PCT Rule 39.1(iv) - Method for treatment of the human or animal body by surgery or therapy.
 - [2] The international search report is based on the subject-matter that could reasonably be expected to be claimed, i.e.,
 - [3] An isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are selected from the group consisting of:
 - [4] (a) HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGYYYVMDY (SEQ ID NO: 3), LCDR1: ESVDDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6);
 - [5] (b) HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12); and
 - [6] (c) HCDR1: GFAFSSYD (SEQ ID NO: 13), HCDR2: ITIGGGTT (SEQ ID NO: 14), HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15), LCDR1: ENVDDNYGINF (SEQ ID NO: 16), LCDR2: VSS (SEQ ID NO: 17), LCDR3: QQSKDVPW (SEQ ID NO: 18).
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

- [1] Invention 1, an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are: HCDR1: GFTFSSYT (SEQ ID NO: 1), HCDR2: ISHGGGDT (SEQ ID NO: 2), HCDR3: ARHSGYERGYYYVMDY (SEQ ID NO: 3), LCDR1: ESVDDYYGFSF (SEQ ID NO: 4), LCDR2: AAS (SEQ ID NO: 5), LCDR3: QQSKEVPW (SEQ ID NO: 6);
- [2] Invention 2, an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are: HCDR1: GYTFTSYT (SEQ ID NO: 7), HCDR2: INPTTGYT (SEQ ID NO: 8), HCDR3: ARDDAYYSGY (SEQ ID NO: 9), LCDR1: ENIYSNL (SEQ ID NO: 10), LCDR2: AAK (SEQ ID NO: 11), LCDR3: QHFWGTPWT (SEQ ID NO: 12);
- [3] Invention 3, an isolated antibody or fragment thereof having specificity to a human programmed cell death protein 1 (PD-1), wherein the antibody or fragment thereof comprises a heavy chain variable region comprising

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

heavy chain complementarity determining regions HCDR1, HCDR2, and HCDR3, and a light chain variable region comprising light chain complementarity determining regions LCDR1, LCDR2, and LCDR3, wherein the HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 are: HCDR1: GFAFSSYD (SEQ ID NO: 13) , HCDR2: ITIGGGTT (SEQ ID NO: 14) , HCDR3: ARHRYDYFAMDN (SEQ ID NO: 15) , LCDR1: ENVDNYGINF (SEQ ID NO: 16) , LCDR2: VSS (SEQ ID NO: 17) , LCDR3: QQSKDVPW (SEQ ID NO: 18).

- [4] The same or corresponding technical feature of inventions 1-3 is an isolated antibody having specificity to PD-1. Such a technical feature has been disclosed by the prior art (e.g. WO2017019846 A1). It follows that the same or corresponding technical feature of inventions 1-3 does not make a contribution over the prior art and can not be considered as a special technical feature within the meaning of PCT Rule 13.2. The application, hence does not meet the requirements of unity of invention as defined in PCT Rules 13.1.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.

PCT/CN2019/096679

Patent document cited in search report			Publication date (day/month/year)	Patent family member(s)			Publication date (day/month/year)
WO	2017019846	A1	02 February 2017	SG	10201906059V	A	27 August 2019
				JP	2019055948	A	11 April 2019
				CN	108976300	A	11 December 2018
				TW	201710292	A	16 March 2017
				CN	107847574	A	27 March 2018
				US	2019127467	A1	02 May 2019
				CO	2018000867	A2	19 April 2018
				EP	3456346	A1	20 March 2019
				AU	2016298227	A8	08 March 2018
				MX	2018001227	A	26 March 2018
				WO	2017019846	A8	25 January 2018
				IL	257216	D0	29 March 2018
				PH	12018500232	A1	29 August 2018
				CA	2993948	A1	02 February 2017
				CL	2018000254	A1	20 July 2018
				EP	3328419	A1	06 June 2018
				KR	20180034588	A	04 April 2018
				KR	20180093127	A	20 August 2018
				JP	2018524394	A	30 August 2018
				CR	20180062	A	25 May 2018
				AU	2016298227	A1	22 February 2018
				EP	3328419	A4	26 December 2018
				AU	2016298227	A2	13 September 2018
				PE	11512018	A1	17 July 2018
				EA	201890296	A1	31 August 2018
				AU	2018214151	A1	30 August 2018
CN	108203464	A	26 June 2018	None			
WO	2018053106	A1	22 March 2018	IL	265179	D0	30 May 2019
				AU	2017326329	A1	21 March 2019
				DO	P2019000056	A	16 June 2019
				KR	20190045938	A	03 May 2019
				US	2018148513	A1	31 May 2018
				US	9914783	B1	13 March 2018
				CN	109789205	A	21 May 2019
				BR	112019004998	A2	04 June 2019
				EP	3512547	A1	24 July 2019
				CO	2019003154	A2	21 May 2019
				CL	2019000628	A1	07 June 2019
				CA	3035932	A1	22 March 2018
				US	2018072810	A1	15 March 2018