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(54) Title: RESPIRATORY ASSISTANCE DEVICE AND SYSTEM AND METHOD

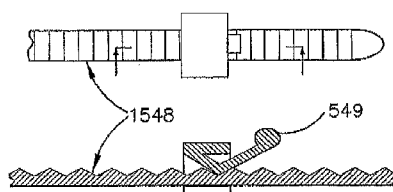


FIG. 6

(57) Abstract: A pressurized air delivery device, system and method provide respiratory assistance to individuals, particularly infant humans. The device comprises nasal conduits or prongs which are in fluid communication with respective supply conduits separately supply each nasal conduit with a breathable gas such as air or oxygen. The size of the nasal conduits or prongs may be chosen according to the size of the individual's nares and the spacing between nasal conduits or prongs may be adjustable.

RESPIRATORY ASSISTANCE DEVICE AND SYSTEM AND METHOD

FIELD OF THE INVENTION

- 5 THIS INVENTION relates to delivery of continuous positive airway pressure to an individual, such as an infant, to thereby provide respiratory assistance.

BACKGROUND

Newborn infants particularly those born premature often have a need for
10 respiratory support, ranging from increased ambient oxygen, through continuous positive airways pressure (CPAP) and endotracheal ventilation to, rarely, extracorporeal membrane oxygenation (ECMO). Infants born before 30 weeks of completed gestation almost invariably need a period of CPAP or ventilation to survive.

The commonest reason for premature newborn infants to require respiratory
15 support is due to a lack of surfactant, a protein which helps to keep the smallest of the lungs air spaces open. Treatment for this disease process has consisted of CPAP since it was realised in the mid 1970's that CPAP treatment greatly improved infants rates of survival.

Whilst replacement of surfactant via an endotracheal tube has been available in
20 Australia since the early 1990's, this requires infants to be intubated and ventilated. However intubation and ventilation, itself, can damage infants' lungs and there has been a shift in the last 5 – 10 years towards CPAP, both as a modality of support post extubation and a primary support in its own right, for the majority of infants with respiratory distress.

25 CPAP may be delivered via a multitude of machine – patient interfaces. The best devices utilise "short binasal" prongs designed to snugly fit into the nares of infants, thereby allowing the pressure to be delivered to the infant whilst minimising the resistance of the device to the infants' work of breathing.

Current "short binasal" prongs are designed with a connection between the two
30 prongs which insert into the infants nose. This connection may cause pressure on the nasal septum, which in extreme cases can lead to necrosis and require plastic surgery to

correct. This problem is exacerbated by the mechanism by which these prongs are fixed to the infants head and face by means of hats, bonnets or headbands and the relatively bulky tubing and prongs in use.

Although the currently available devices come in a variety of sizes, both for
5 prong size and separation between the prongs, each prong set is typically of a fixed prong size and separation.

Any discussion of documents, acts, materials, devices, articles or the like which has been included in the present specification is solely for the purpose of providing a context for the present invention. It is not to be taken as an admission that any or all of
10 these matters form part of the prior art base or were common general knowledge in the field relevant to the present invention as it existed before the priority date of each claim of this application.

SUMMARY

15 The invention is broadly directed to providing respiratory assistance to an individual, typically an infant, by way of a device, system and/or method that delivers pressurized air to the nares of the individual, to alleviate one or more of the aforementioned deficiencies of the prior art.

In one aspect, the invention provides a pressurized air delivery device for
20 providing respiratory assistance to an individual, said device comprising a plurality of nasal conduits in fluid communication with respective supply conduits connectable to a source of pressurized, breathable gas.

In another aspect, the invention provides a pressurized air delivery system for providing respiratory assistance to an individual, said system comprising a plurality of
25 flexible nasal conduits in fluid communication with respective supply conduits connected to a source of pressurized, breathable gas.

In yet another aspect, the invention provides a method of providing respiratory assistance to an individual, said method including the step of delivering pressurized air to the nose of said individual through a plurality of nasal conduits in fluid
30 communication with respective supply conduits connected to a source of pressurized, breathable gas.

Preferably, according to the aforementioned aspects, the individual is an infant human.

Suitably, continuous positive airway pressure is delivered to an individual by way of a plurality of conduits respectively locatable in the nares of an individual, 5 without engaging, bearing against or otherwise contacting the nasal septum. Advantageously, continuous positive airway pressure is delivered to the individual without providing a hat, bonnet, headband or other separate means for attachment to the individual.

In a preferred embodiment, the nasal conduits are of a size adapted to fit the 10 nares of the individual. In a particularly preferred embodiment the nasal conduits are interchangeable in size.

Preferably the nasal conduits are formed of a material that is more rigid than the supply conduits.

In certain embodiments, the nasal conduits may each comprise an inlet and an 15 outlet, said outlet facilitating exhaust of exhaled gas to atmosphere.

Preferably, the nasal conduits further comprise respective valves to control oxygen flow to the user.

Suitably, the device and/or system further comprises a spacer to maintain a desired spacing or distance between the nasal conduits.

20 Preferably, the spacer is adjustable in order to select a desired spacing or distance between the nasal conduits.

In one preferred embodiment, said respective nasal prongs are maintained at a desired distance apart by an adjustable spacer that comprises a sliding rod or a band that comprises teeth or serrations. Typically, the adjustable spacer comprises an 25 adjustment member, such as a lever, ratchet, turnbuckle, or cam, although without limitation thereto.

In a particularly preferred embodiment, the spaces comprises a band comprising teeth, ridges or serrations releasably engageable by a cam or lever.

Throughout this specification, unless the context requires otherwise, the words 30 "comprise", "comprises" and "comprising" will be understood to imply the inclusion of

a stated integer or group of integers but not the exclusion of any other integer or group of integers.

BRIEF DESCRIPTION OF THE DRAWINGS

5 A preferred embodiment of the invention will now be described by way of example only, with reference to the accompanying figures in which:

FIG. 1 shows an embodiment where nasal prongs being held at a desired distance apart by rare earth magnets;

FIG. 2 shows an embodiment where nasal prongs are held at a desired distance
10 apart by a ratchet mechanism;

FIG. 3 shows an embodiment where nasal prongs are held at a desired distance apart by a turnbuckle system;

FIG. 4 shows an embodiment where nasal prongs are spaced apart by a sliding rod mechanism;

15 FIG. 5 shows side and top views of different sized nasal prongs spaced apart by a sliding rod mechanism;

FIG. 6 shows side and top views of different sized prongs spaced apart by a sliding locking flat band mechanism;

FIG. 7 shows nasal prongs spaced apart by a sliding locking flat band
20 mechanism that is adjustable by a cam mechanism;

FIG. 8 shows an example of the attachment of nasal prongs to an air supply conduit; and

FIG. 9 shows a nasal prong comprising an inlet and outlet for exhalation.

DETAILED DESCRIPTION

25

Referring to FIG. 1, device 10 comprises respective nasal conduits 20A, 20B in fluid communication with respective air and/or oxygen supply conduits 30A, 30B. Nasal conduits 20A, 20B respectively comprise arms 21A, 21B normal to air supply conduits 30A, 30B and elbows 22A, 22B which respectively terminate in nasal prongs
30 23A, 23B. Preferably nasal conduits 20A, 20B are formed of a material that is more

rigid (e.g. polymethylmethacrylate or polycarbonate) than the supply conduits which are of a relatively flexible tubing material (e.g. polyurethane).

In use, air and/or oxygen supply conduits 30A, 30B are connected to a source of pressurized air, oxygen or other gas suitable for assisting human respiration (not shown). The direction of pressurized air flow from the source is indicated by solid arrows.

According to this embodiment, spacer 40 comprises magnetic poles 41A, 41B on bridge 42, which respectively interact with magnetic poles 24A, 24B on nasal prongs 23A, 23B to maintain nasal prongs 23A, 23B at a desired separation. Typically, although not exclusively, magnetic poles 41A, 41B, 24A and 24B are produced by rare earth magnets (e.g. lanthanides such as neodymium or samarium, although without limitation thereto) located on the outside of the nares.

Referring to an embodiment shown in FIG. 2, device 110 comprises spacer 140 comprising adjustable ratchet 142 which maintains nasal prongs 123A, 123B at a desired separation.

An embodiment shown in FIG. 3 shows nasal prongs 223A, 223B adjustably movable (indicated by solid horizontal arrows) to a desired distance apart by spacer 240 comprising turnbuckle 243 that is adjustable by winding wheel 244 in either direction (indicated by solid arrows).

In another embodiment of device 310 shown in FIG. 4, nasal prongs 323A, 323B are held at a desired distance apart by spacer 340 that is adjustable by way of sliding rod mechanism 345 that is adjustable by pushing button 346 to release sliding rod 347 and thereby finding the correct distance between nasal prongs 323A, 323B.

Referring now to FIG. 5, an embodiment of device 410 is shown similar to that shown in FIG. 4, wherein nasal prongs 423A, 423B are of reduced diameter compared to those in FIG. 4, demonstrating that nasal prongs 23A, 23B may be of varying, interchangeable sizes.

FIG. 6 shows an embodiment where nasal prongs 523A, 523B are held at a desired distance apart by spacer 540 comprising slidable band 548 that comprises teeth, serrations or ridges 1548 releasably engageable by lever 549. Lever 549 engages teeth, serrations or ridges 1548 of flat band 548 to releasably maintain the desired distance

between prongs 523A, 523B and thereby allow adjustment of the spacing between prongs 523A, 523B. Preferably, slidable band 548 is located underneath arms 521A, 521B of nasal conduits 520A, 520B (relative to an individual's nares) to maximize the distance between slidable band 548 and the individual's nasal septum. This should
5 eliminate or at least minimize any potential contact between slidable flat band 548 and the individual's nasal septum.

In an embodiment shown in FIG. 7, nasal prongs 623A, 623B are held at an appropriate distance apart by spacer 640 comprising slidable band 648 that comprises teeth, serrations or ridges 1648 releasably engageable by cam 650. Cam 650
10 releasably engages teeth, serrations or ridges 1648 of slidable band 648 to releasably maintain the desired distance between prongs 623A, 623B and thereby allow adjustment of the spacing between prongs 623A, 623B. As in the embodiment described in FIG. 6, slidable band 648 is ideally located underneath arms 621A, 621B of nasal conduits 620A, 620B (relative to an individual's nares) to maximize the
15 distance between slidable band 648 and the individual's nasal septum.

It should also be appreciated that slidable band 540, 640 is shown as a "flat" band in FIGS 6 and 7. However, in certain embodiments slidable band 540, 640 may be curved to facilitate a more comfortable fit on the individual.

FIG. 8 shows an example of one way in which nasal conduit 720A is attached to
20 air supply conduit 730A. In this example, arm 721A is pushed onto air supply conduit 730A and barbs 770A, 770B are employed to hold arm 721A in place on air supply conduit 730A.

Referring to FIG. 9, there is shown an embodiment wherein device 810
25 comprises nasal prong 823 having inlet 870 and outlet 880, which each operate as "one-way" valves. Inlet 870 comprises leaflet 871 which moves between open position 872 and closed position 873 to enable a one-way flow of air to the nares. Outlet 880 comprises leaflet 881 which moves between closed position 882 and open position 883 to enable a one-way flow of exhaled gas from the nares to atmosphere via aperture 884. Screen 890 may also be provided to prevent accidental inhalation of leaflets 871 and/or
30 881.

It will be appreciated the present invention provides continuous positive airway pressure to an individual, typically an infant human, without engaging or otherwise contacting the nasal septum in a manner that causes irritation or discomfort to the user. Air, oxygen or other breathable gas is supplied via separate air supply conduits and
5 nasal conduits, rather than via a common air supply conduit into separate nasal conduits. This eliminates the portion of the common air supply conduit that contacts and irritates the nasal septum. Furthermore, the nasal prongs may be provided in a variety of interchangeably different sizes to suit the size of the nares of the user, which also assists the objective of reducing the level of irritation and discomfort..

10 Another advantage of the present invention is that the device needs limited fixation, (even just a piece of tape may suffice) rather than the bonnets, hats, headbands *etc.* required with prior art devices. Furthermore, many prior art devices require significant nursing expertise to attach and supervise in use. The present invention can be fitted and supervised by any person familiar with standard nasal cannulae.

15 In summary, the present invention provides improved nasal septal protection, ease of attachment, ease of nursing care, reduced weight and bulk, the ability to adapt nasal conduit size to different nare sizes (sometimes if a child has been nasally intubated, one nare is larger than the other), and variable separation between respective conduits.

20 Throughout this specification, the aim has been to describe the preferred embodiments of the invention without limiting the invention to any one embodiment or specific collection of features. Various changes and modifications may be made to the embodiments described and illustrated without departing from the present invention.

CLAIMS

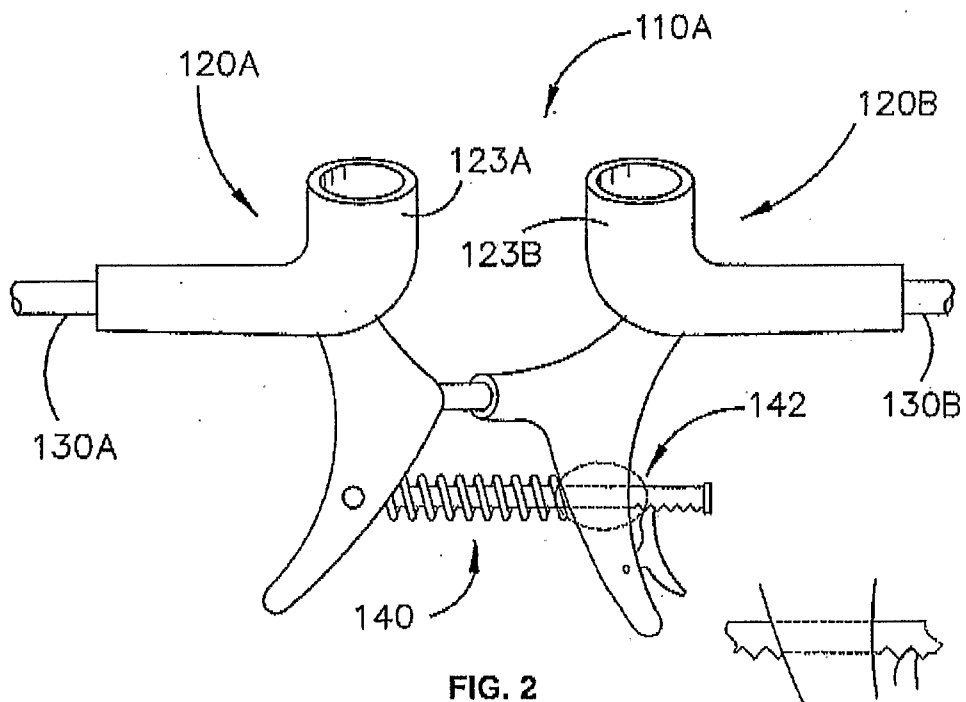
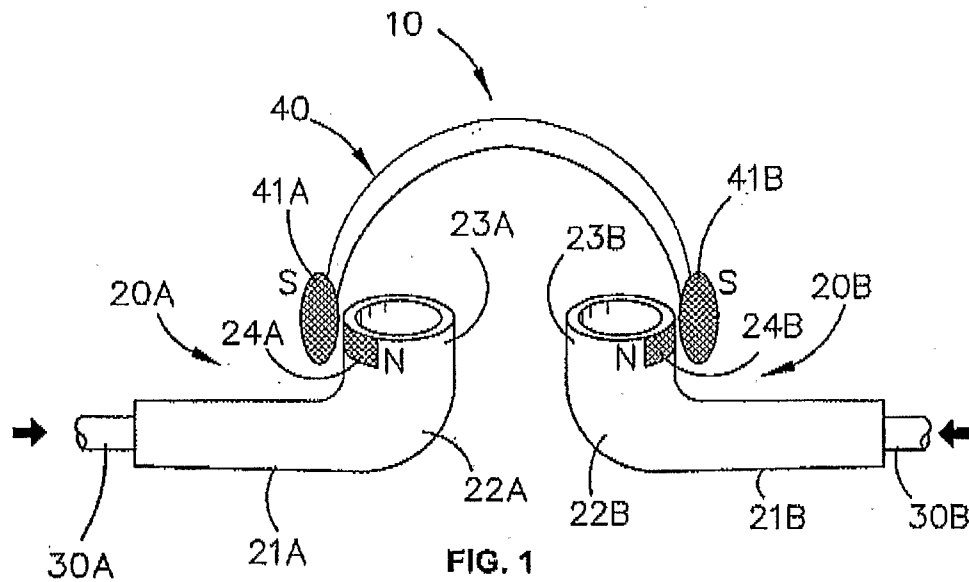
1. A pressurized air delivery device for providing respiratory assistance to an individual, said device comprising a plurality of nasal conduits in fluid communication
5 with respective supply conduits connectable to a source of pressurized, breathable gas.
2. A pressurized air delivery system for providing respiratory assistance to an individual, said system comprising a plurality of flexible nasal conduits in fluid communication with respective supply conduits connected to a source of pressurized, breathable gas.
- 10 3. The device of Claim 1 or the system of Claim 2, further comprising a spacer to maintain a desired spacing or distance between the nasal conduits.
4. The device or system of Claim 3, wherein the spacer is adjustable in order to select a desired spacing or distance between the nasal conduits.
5. The device or system of Claim 4, wherein said adjustable spacer
15 comprises an adjustment member.
6. The device or system of Claim 4, wherein the adjustment member comprises a lever, ratchet or cam.
7. The device or system of any one of Claims 1-6, wherein the nasal conduits are formed of a material that is more rigid than the supply conduits.
- 20 8. The device or system of any one of Claims 1-7, wherein the nasal conduits are of interchangeable sizes.
9. The device or system of any one of Claims 1-8, wherein the nasal conduits each further comprise a valve.
10. The device of any one of Claims 1-9, wherein each said nasal conduit
25 comprises an inlet and an outlet, said outlet facilitating exhaust of exhaled gas to atmosphere.
11. The device or system of any preceding claim, wherein the breathable gas is air or oxygen.
12. A method of providing respiratory assistance to an individual, said method
30 including the step of delivering pressurized air to the nose of said individual through a

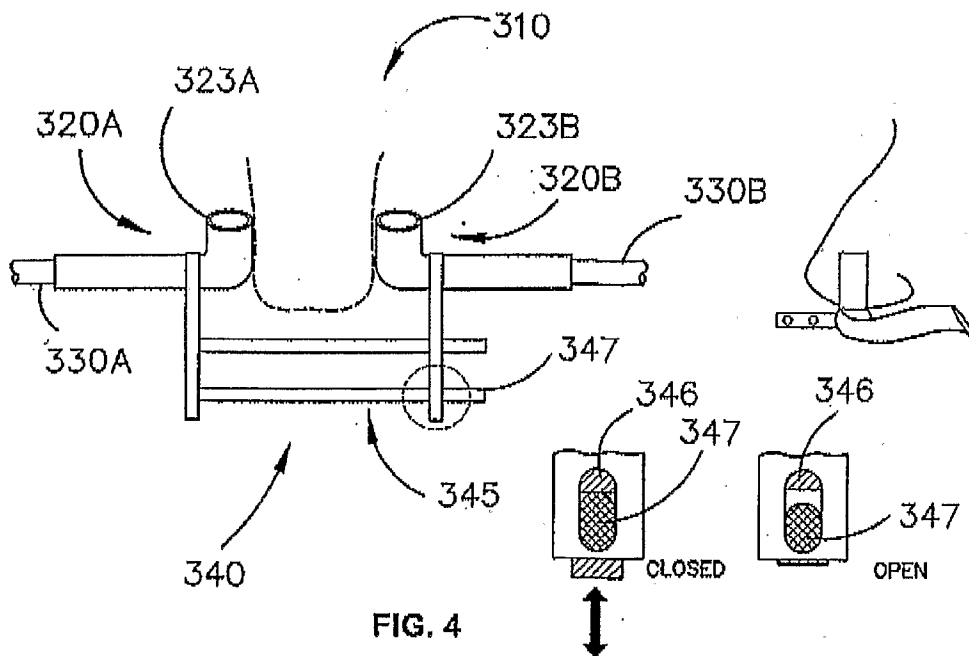
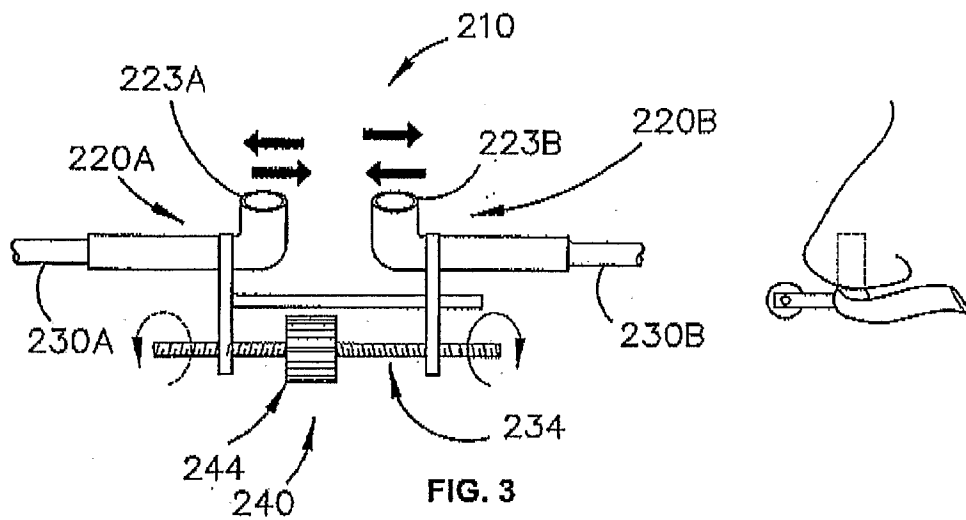
plurality of nasal conduits in fluid communication with respective conduits connected to a source of pressurized, breathable gas.

13. The method of Claim 12, wherein the individual is an infant human.

14. The method of Claim 12 or Claim 13, wherein continuous positive airway
5 pressure is delivered to an individual by way of a plurality of conduits respectively locatable in the nares of an individual, without engaging or otherwise contacting the nasal septum.

15. The method of any one of Claims 12-14, using the device or system of any one of Claims 1-11.





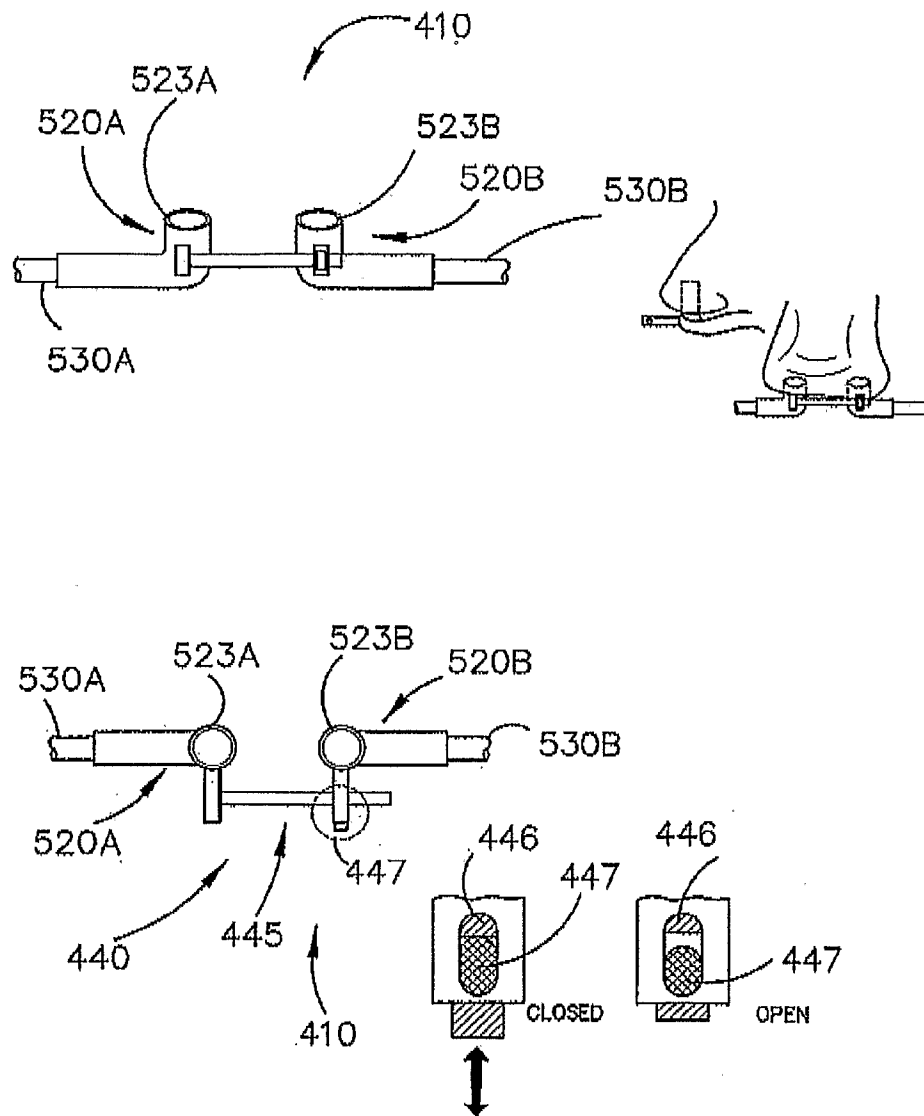


FIG. 5

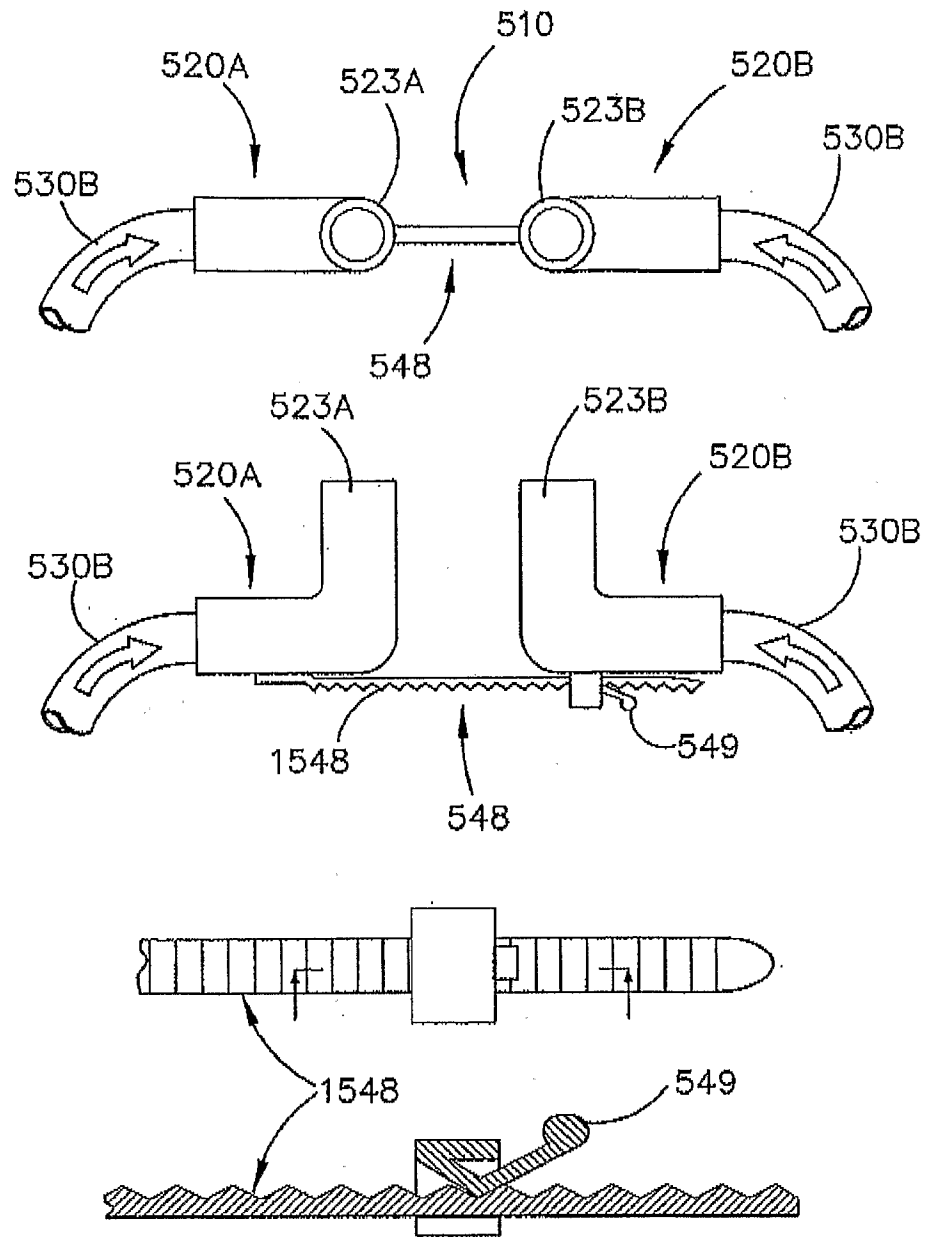
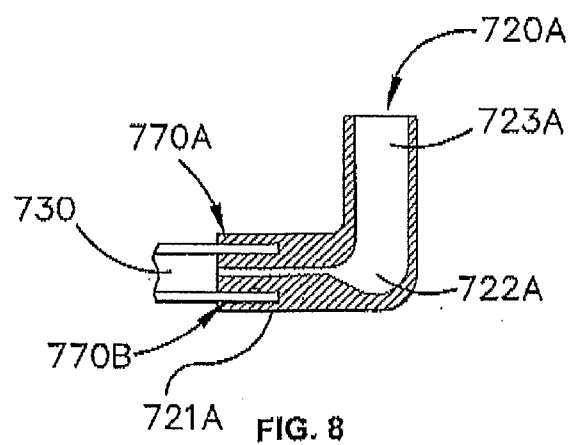
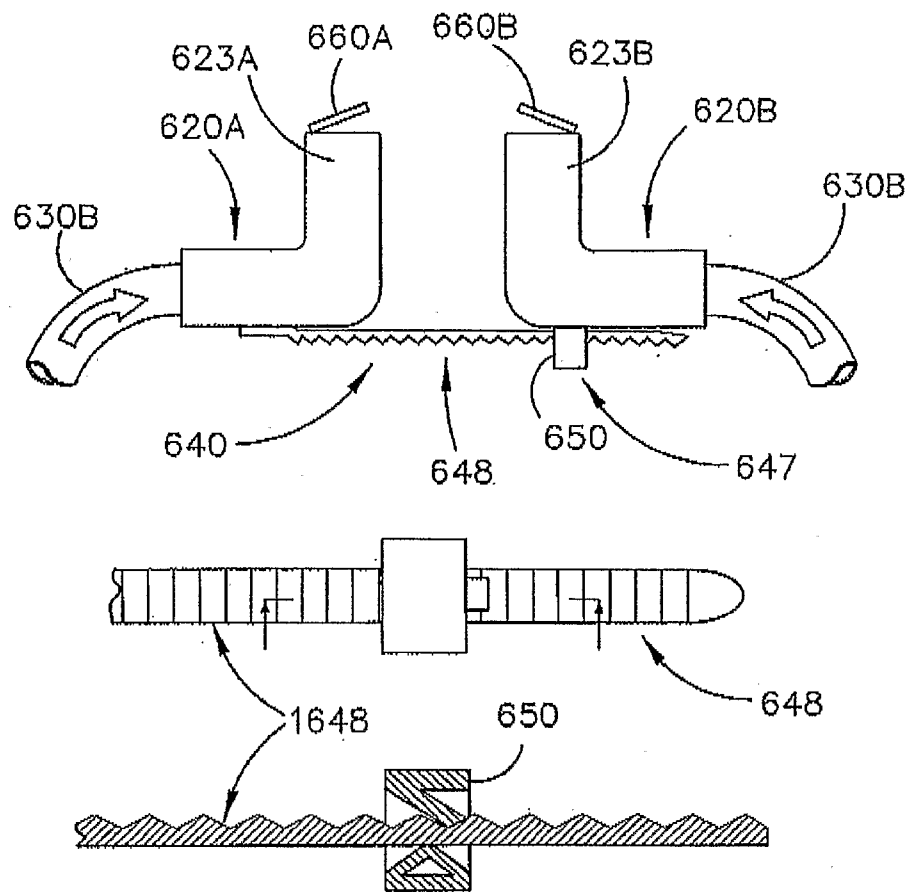


FIG. 6



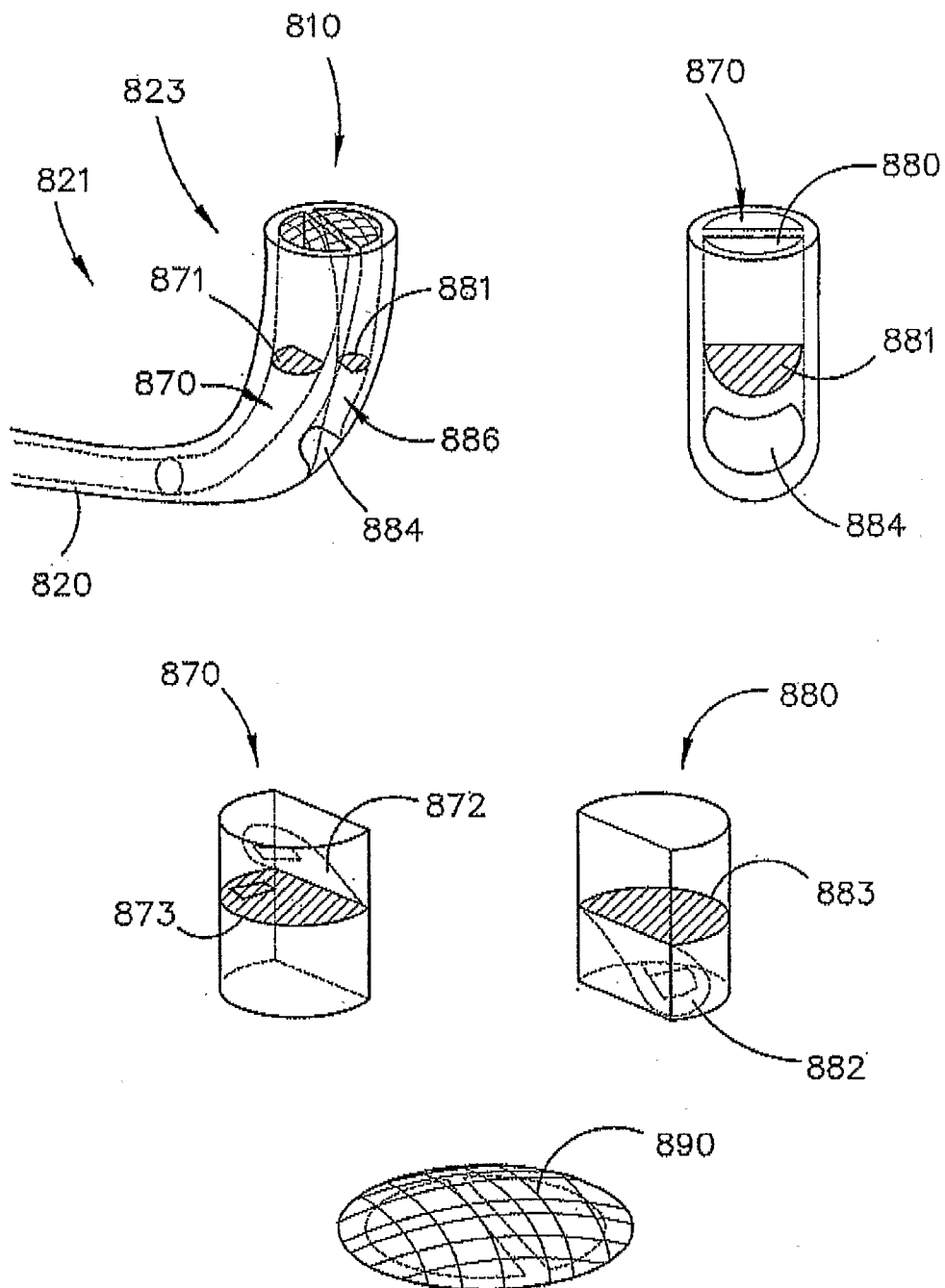


FIG. 9

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2009/001519

A. CLASSIFICATION OF SUBJECT MATTER		
Int. Cl.		
A61M 16/00 (2006.01)		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPODOC, WPI - IPC A61M16/-, A62B7/-, A62B9/-, and NASAL, NOSTRIL, NARE, CONDUIT, CANNULA, ADJUST, CHANGE and like keywords.		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 191406973 (HUMPHRIES) 30 July 1914 Page 1, lines 5-7, 34-40; page 2, lines 1-3, 9-10, 14-25	1, 3-5, 8-12, 15
Y	Figure 4	6, 9
X	US 4278082 A (BLACKMER) 14 July 1981 Column 1, lines 6-10; column 3, lines 34-64 Figures 3, 4, 7, 8	1-4, 11, 12, 15
X	WO 1992/020392 A1 (CALOR AIR SEPARATION LIMITED) 26 November 1992 Page 1, lines 1-2; page 3, lines 4-10; Page 14, lines 13-23 Figure 8	1-5, 11, 12, 15
X	US 5269296 A (LANDIS) 14 December 1993 Abstract; column 1, 5, 6, 9 Figures 2, 3	1, 3, 4, 7, 11-13, 15
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 28 January 2010		Date of mailing of the international search report 29 JAN 2010
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. +61 2 6283 7999		Authorized officer Wan Kit Chan AUSTRALIAN PATENT OFFICE (ISO 9001 Quality Certified Service) Telephone No : +61 2 6283 2974

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2009/001519

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	US 2002/0046755 A1 (De VOSS) 25 April 2002 Abstract; paragraph [0018, 0024, 0027, 0061, 0064] Figures 5, 7, 13	1-5, 10-13, 15
X	US 2004/0244804 A1 (OLSEN et al.) 9 December 2004 Abstract Paragraph [0056, 0057, 0059]	1, 2, 8, 11-15
Y	US 5097827 A (IZUMI) 24 March 1992 Column 1, 2, 5 Figures 7-9	6
Y	WO 2006/063339 A2 (VENTUS MEDICAL INC.) 15 June 2006 Abstract; paragraph [0141] Figure 4, 23	9

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU2009/001519

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member		
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US	4278082	NONE			
WO	9220392	NONE			
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WO	2007146207	WO	2008061250	WO	2008061252
WO	2008109873	WO	2009117012	WO	2009117400
ZA	200705402				

Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.

END OF ANNEX