



- (51) International Patent Classification:  
G02B 5/02 (2006.01)
- (21) International Application Number:  
PCT/US2013/034208
- (22) International Filing Date:  
28 March 2013 (28.03.2013)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
13/442,311 9 April 2012 (09.04.2012) US
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- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

**Published:**

- with international search report (Art. 21(3))

(54) Title: OPTICAL ELEMENT INCLUDING TEXTURING TO CONTROL BEAM WIDTH AND COLOR MIXING

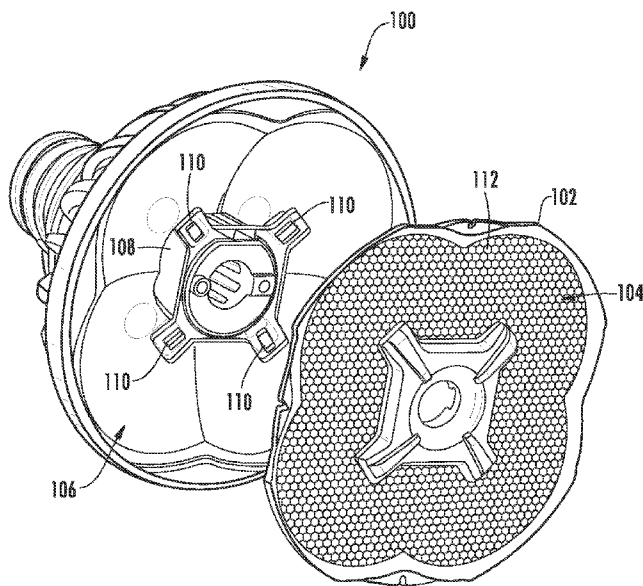


FIG. 1

(57) Abstract: A method (900) for providing an optical element (102, 1012, 1100, 1102) may include providing an optical feature (112) in the optical element (102, 1012, 1100, 1102) that spreads or distributes light passing through the optical element (102, 1012, 1100, 1102). The method (900) may also include providing a texturing (104, 200, 1104) in at least a portion of the optical feature (112) of the optical element (102, 1012, 1100, 1102).



**OPTICAL ELEMENT INCLUDING TEXTURING TO CONTROL BEAM  
WIDTH AND COLOR MIXING**

DESCRIPTION

Background

5           Aspects of the present invention relate to lighting, and more particularly to an optical element including texturing to control beam width and color mixing of light from a lighting device.

          Light emitting diodes (LEDs) are finding more and more applications in general lighting as a result of the increase in efficiency of LEDs. Because of the semiconductor  
10   bandgap nature of LEDs as a light source, LEDs can provide a wide range of color by either a single color LED or by mixing the light from several LEDs of different colors. One example is the True White technology, which combines a blue shifted yellow (BSY) and red LED in proper lumen proportion to achieve high efficacy white color light with a high color rendering index (CRI). For multi-color LED lighting, providing well mixed  
15   color is challenging. Uniform color mixing in directional LED lighting applications can also present difficulties.

          Typically the angular distribution of light emitted from an LED is close to Lambertian, which has a full width at half maximum (FWHM) beam angle of 120 degrees. Directional LED lighting preferably has a narrower beam angle, such as about  
20   12 degrees, about 25 degrees, or about 40 degrees, or other angles depending on the application. The collimation of the light is usually realized by a reflector or a total internal reflector (TIR). With multi-color LEDs, the light leaving the reflector or TIR optics are usually not well mixed and sometimes the beam profile is not smooth enough. The beam profile being smooth may be defined as the footprint of a beam of light on a

surface not having a patchy appearance or the brightness of the beam not being patchy or irregular within the beam footprint or beam profile.

### Disclosure of Invention

5 According to one aspect of the present invention, a method for providing an optical element may include providing an optical feature in the optical element that spreads or distributes light passing through the optical element. The method may also include providing a texturing in at least a portion of the optical feature of the optical element.

10 According to another aspect of the present invention, a method for providing a lighting device may include providing a light source and providing an optical element that receives and transmits light from the light source. The method may also include providing an optical feature in the optical element that spreads or distributes the light passing through the optical element. The method may additionally include providing a texturing in at least a portion of the optical feature.

15 According to another aspect of the present invention, an optical element may include an optical feature in the optical element that spreads or distributes light passing through the optical element. The optical element may also include a texturing in at least a portion of the optical feature.

20 According to another aspect of the present invention, an optical system for a lighting device may include a first optical element and an optical feature provided in the first optical element that spreads or distributes light passing through the first optical

element. The optical system may also include a second optical element and a texturing in at least a portion of the second optical element.

According to another aspect of the present invention, lighting device may include a light source and an optical element that receives and transmits light from the light source. The lighting device may also include an optical feature in the optical element that spreads or distributes the light passing through the optical element and a texturing in at least a portion of the optical feature.

### Brief Description of the Drawings

Figure 1 is an exploded perspective view of an example of a lighting device including a lens having a chosen texturing to control beam width and color mixing of light from the lighting device in accordance with an embodiment of the present invention.

Figure 2A is a detailed illustration of an example of a surface texturing feature, a multiplicity of which may be provided in a surface of a lens to control beam width and color mixing of light from a lighting device in accordance with an embodiment of the present invention.

Figure 2B is a table including specifications for surface texturing or finishing that may be applied to the surface texturing feature of Figure 2A in accordance with an embodiment of the present invention.

Figure 3 is a flow chart of an example of a method for providing a tool for fabricating a lens including a surface texturing to control beam width and color mixing

of light from a lighting device in accordance with an embodiment of the present invention.

Figures 4A-4C illustrate a sequence of exemplary stages in providing a tool for fabricating a lens including a surface texturing to control beam width and color mixing  
5 of light from a lighting device in accordance with an embodiment of the present invention.

Figure 5 is a chromaticity diagram illustrating a simulation of color spatial uniformity from a lens having a multiplicity of micro-optic lenses provided therein without any texturing of the lens or micro-optic lenses.

10 Figure 6 is an illustration of the color spatial uniformity corresponding to the chromaticity diagram in Figure 5.

Figure 7 is a chromaticity diagram illustrating a simulation of color spatial uniformity from a lens having a multiplicity of micro-optic lenses provided therein with industry standard surface texturing or finishing MT11020 of the lens or micro-optic  
15 lenses in accordance with an embodiment of the present invention.

Figure 8 is an illustration of the color spatial uniformity corresponding to the chromaticity diagram in Figure 7.

Figure 9 is a flow chart of an example of a method for providing a lighting device in accordance with an embodiment of the present invention.

20 Figure 10A is a perspective view of an example of a lighting system in accordance with an embodiment of the present invention.

Figure 10B is an exploded view of the major components of the lighting system of Figure 10A.

Figure 10C is a perspective view of the TIR optical element of the lighting system of Figure 10A.

5 Figure 10D is a perspective section view of the TIR optical element of Figure 10C.

Figure 10E is a side section view corresponding to the perspective section view of the TIR optical element of Figure 10D showing the light paths through the TIR optical element.

10 Figure 11 is a cross-sectional view of an example of an optical system including a main lens and another secondary lens or film having a chosen surface texturing provided therein disposed on at least one side of the main lens in accordance with an embodiment of the present invention.

#### Best Mode(s) for Carrying Out the Invention

15 Embodiments of the present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and  
20 complete, and will fully convey the scope of the invention to those skilled in the art. Like reference numerals refer to like elements throughout.

It will be understood that, although the terms first, second, etc. may be used herein to describe various elements, these elements should not be limited by these terms. These terms are only used to distinguish one element from another. For example, a first element could be termed a second element, and, similarly, a second element could be 5 termed a first element, without departing from the scope of the present invention. As used herein, the term “and/or” includes any and all combinations of one or more of the associated listed items.

It will be understood that when an element such as a layer, region or substrate is referred to as being “on” or extending “onto” another element, it can be directly on or 10 extend directly onto the other element or intervening elements may also be present. In contrast, when an element is referred to as being “directly on” or extending “directly onto” another element, there are no intervening elements present. It will also be understood that when an element is referred to as being “connected” or “coupled” to another element, it can be directly connected or coupled to the other element or 15 intervening elements may be present. In contrast, when an element is referred to as being “directly connected” or “directly coupled” to another element, there are no intervening elements present.

Relative terms such as “below” or “above” or “upper” or “lower” or “horizontal” or “vertical” may be used herein to describe a relationship of one element, layer or region 20 to another element, layer or region as illustrated in the figures. It will be understood that these terms are intended to encompass different orientations of the device in addition to the orientation depicted in the figures.

The terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting of the invention. As used herein, the singular forms “a”, “an” and “the” are intended to include the plural forms as well, unless the context clearly indicates otherwise. It will be further understood that the terms “comprises” “comprising,” “includes” and/or “including” when used herein, specify the presence of stated features, integers, steps, operations, elements, and/or components, but do not preclude the presence or addition of one or more other features, integers, steps, operations, elements, components, and/or groups thereof.

Unless otherwise defined, all terms (including technical and scientific terms) used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. It will be further understood that terms used herein should be interpreted as having a meaning that is consistent with their meaning in the context of this specification and the relevant art and will not be interpreted in an idealized or overly formal sense unless expressly so defined herein.

Figure 1 is an exploded perspective view of an example of a lighting device 100 having a lens 102 or optical element including a chosen texturing 104 or surface texturing to control beam width, color mixing or other characteristics of light from the lighting device 100 in accordance with an embodiment of the present invention. Examples of lighting devices in which the lens 102 including chosen texturing 104 may be used are disclosed and claimed in U.S. Patent Application Serial No. 13/167,351, filed June 23, 2011, and entitled “Retroreflective, Multi-Element Design for a Solid State Directional Lamp;” U.S. Patent Application Serial No. 13/167,387, filed June 23, 2011, and entitled “Hybrid Solid State Emitter Printed Circuit Court Board for Use in a Solid State Directional Lamp;” and U.S. Patent Application No. 13/167, 394, filed June 23,



2011, and entitled “Solid State Directional Lamp Including Retroreflective, Multi--  
Element Directional Lamp Optic.” Each of these patent applications is assigned to the  
same assignee as the present application and each is incorporated herein in its entirety by  
reference.

5           The lighting device 100 may include a reflector 106 or TIR and a lighting  
element assembly 108 may be mounted in the lighting fixture in Association with the  
reflector 106 to reflect light from the lighting element assembly 108. The lighting  
element assembly 108 may include a light source or a plurality of solid state light  
emitters 110 mounted to the lighting element assembly 108. The solid state light  
10 emitters may be LEDs. The lens 102 or optical element may be disposed or attached  
over the reflector 106 and enclosing the lighting element assembly 108.

As described in more detail herein, the lens 102 may include an optical feature  
112 provided or formed in the lens 102 or optical element that spreads or distributes light  
passing through the lens 102. In accordance with an embodiment of the invention, the  
15 optical feature 112 may be a micro-optics array including a multiplicity of micro lenses.  
The texturing 104 may be provided or formed in at least a portion of the optical feature  
of the lens 102 or optical element. The texturing 104 may be provided in at least one  
surface of the lens 102. The texturing 104, or the texturing in association with the  
optical feature 112 or micro-optics array, may be adapted or chosen to provide at least  
20 one of a predetermined beam width or beam angle, a predetermined color mixing or  
color uniformity, and a predetermined beam shape smoothing of light passing through  
the lens 102 or optical element. As described in more detail herein, the texturing 104  
and the optical feature 112 may be selected or chosen in coordination with one another to  
control at least these predetermined characteristics of the light or light beam passing

through the lens and to provide the desired light characteristics depending upon the lighting application. As described below, the texturing 104 and the optical feature 112 may be selected or chosen in coordination with one another to satisfy Energy Star color spatial uniformity specifications or requirements.

5           In accordance with the embodiment illustrated in Figure 1, the reflector 106 collimates the light emitted from the solid state light emitters 110 or LEDs into a light beam having a preset beam angle smaller than a beam angle desired from the lighting device 100. The micro-optics array 112 is provided or formed on one surface of the lens 102 to spread the light into a beam angle relatively smaller than a desired or  
10           predetermined beam angle from the lighting device 100. The texturing 104, which may be applied to the micro-optics lens surface by controlled roughing, provides diffusivity of the light beam as it passes through the micro-optics lens 102 and broadens the light beam by additional degrees. The combination of the micro-optics and the diffusive surface of the lens 102 spread the incident beam to the desired or predetermined beam angle.

15           The lens 102 may be molded from a transparent plastic material or similar material. In the molding process, the shape and roughness of the lens 102 may be defined by the tool used to mold the lens 102. Accordingly, a predetermined micro-lens shape may be cut into the tool. The tool may then be etched with the chosen surface texturing. An example of a method for providing or forming a tool for fabricating a lens  
20           including a chosen surface texturing in accordance with an embodiment of the present invention will be described with reference to Figure 3. Also, Figures 4A-4C illustrate an example of a sequence of stages in providing an exemplary tool or mold for fabricating a lens including surface texturing. The chosen surface texturing may be an industry standard surface finishing texture, such as a Mold-Tech (MT) series surface finishing

texturing (MT11010, MT11020, etc.) or other surface finishing texture. Mold-Tech is a trademark of Standex International Corporation in the United States, other countries or both. An example of an industry standard surface finishing texture 200 is illustrated in Figure 2A.

5           Figure 2A is a detailed illustration of an example of a surface texturing feature, a multiplicity of which may be provided or formed in a surface of a lens or optical element to control beam width, color mixing and beam shape smoothing of light from a lighting device in accordance with an embodiment of the present invention. Figure 2B is a table 202 including depth and draft specifications for different industry standard surface  
10   texturing or finishing that may be used for the surface texturing feature in Figure 2A.

          Figure 3 is a flow chart of an example of a method 300 for providing a tool for fabricating a lens or optical element including a chosen texturing to control beam width and color mixing of light from a lighting device in accordance with an embodiment of the present invention. In block 302, a tool may be provided including an operating face  
15   structure for fabricating a lens with a surface having a selected optical feature, characteristic or characteristics. As previously discussed, the selected optical feature may be a micro-optics array formed in a selected surface of the lens to provide a predetermined spread or distribution of a light beam passing through the lens. The lens may be formed from a moldable material, such as a plastic or other moldable material.  
20   Accordingly a mold may be formed including an operating face to mold the lens including the predetermined micro-optics array on at least one selected surface of the lens to spread the light into a preset beam angle smaller than a desired or predetermined beam angle from a finished lens.

In block 304, the operating face structure of the tool used to provide the lens may be etched or otherwise configured to create a selected pattern in the operating face structure of the tool to provide or apply controlled surface roughing or a chosen surface texturing or finish in at least one surface of the lens. The operating face structure of the tool may be etched or configured to apply a selected industry standard surface finishing texture to the lens or to the selected optical feature or micro-optics array. The chosen surface texturing may be applied to the lens in a uniform pattern or in some other pattern, such as a random pattern depending upon the desired diffusivity or other characteristics of the light passing through the lens. The controlled surface roughing or chosen surface texturing may be applied to the micro-optics array to cause the desired or predetermined beam angle or width, predetermined color mixing or uniformity, a predetermined beam smoothing or other desired characteristics of the light passing through the lens. The texturing may be provided in at least a portion of the optical feature or micro-optics array. In another embodiment, the texturing may be provided in selected ones of the multiplicity of micro lenses of the micro-optics array.

In block 306, the predetermined optical characteristics and chosen surface texturing may be combined or coordinated to spread the light beam passing through the lens to the desired or predetermined beam angle and to provide the desired or predetermined color mixing or uniformity of the light beam. Accordingly, the optical characteristics or selected optical feature and chosen surface texturing or roughing may be controlled or selected in coordination with one another to provide the predetermined beam width, color mixing or color uniformity and beam shape smoothing.

In block 308, the tool or mold may be used to repeatedly provide lenses including the selected optical feature or structure and chosen surface roughing or texturing to

provide or cause the predetermined beam width, color mixing and beam shape smoothing characteristics.

While the operations or steps in Figure 3 are illustrated and described in a certain sequence, the present invention is not intended to be limited by the sequence or order  
5 illustrated. The steps and operations may be performed in any order unless otherwise specified. Some operations or steps may also be performed simultaneously or combined.

Figures 4A-4C illustrate an example of a sequence of stages in providing or forming an exemplary tool 400 for fabricating a lens including a chosen surface texturing to control beam width and color mixing of light from a lighting device in accordance  
10 with an embodiment of the present invention. Only a portion of the exemplary tool 400 for fabricating the lens is illustrated in Figures 4A-4C for purposes of clarity and to show more detail of the process for providing the tool 400 and the tool itself. In accordance with an embodiment of the invention, the tool 400 may be a mold for molding a plastic or other moldable material. The sequence of exemplary stages are similar to the  
15 operations in the method 300 described with reference to Figure 3.

In Figure 4A, a piece of material 402 may be provided for forming the tool 400. The material may be any sort of material suitable for forming a mold for molding a plastic or other moldable material.

In Figure 4B, the piece of material 402 may be cut or formed to include an  
20 operating face structure 404 for fabricating the lens with a surface having a selected optical feature or characteristics. As previously described, the selected optical feature may be a micro-optics array or other optical feature to provide a predetermined spread or distribution of a light beam passing through the lens.

In Figure 4C, the operating face structure 404 of the tool 400 may be etched or otherwise formed to create a selected pattern 406 in the operating face structure 404 of the tool 400 to cause controlled surface roughing or to create a chosen surface texturing or finish in at least one surface of the lenses to be formed by the tool 400 or mold. As previously discussed, the controlled surface roughing or chosen surface texturing may be a selected industry standard surface finishing texture similar to that illustrated in Figure 2A and the specification for different industry standard texturing numbers shown in the table 202 in Figure 2B. Other types of surface roughing or surface texturing may also be used depending upon the particular application or lighting characteristics desired.

The surface roughing or texturing may be chosen or selected in combination with other lens parameters or optical features to meet or satisfy Energy Star color spatial specifications or requirements for directional lamps, such as for example lamp types BR, ER, K, MR, PAR, R or other type directional lamps. The current Energy Star color spatial uniformity requirement provides that the variation of chromaticity within the beam angle shall be within 0.006 from a weighted average point on a International Commission on Illumination (French Commission Internationale d'éclairage (CIE)) CIE 1976 ( $u',v'$ ) diagram. The angular chromaticity measurements shall be made at the center and edge of the beam. The measurements shall be made in at least two vertical planes 90 degrees apart. Results shall be averaged from the different vertical planes. It should be noted that the Environmental Protection Agency (EPA) apparently has a specification in draft form that proposes to reduce the variation of chromaticity from 0.006 to 0.004.

Figure 5 is a chromaticity diagram 500 illustrating a simulation of color spatial uniformity of a beam of light from a lens having a multiplicity of micro-optic lenses

provided or formed therein without any surface texturing of the lens or micro-optic lenses. The color chromaticity diagram 500 is for a 25 degree beam of light shining on a flat or planar surface. As illustrated in the diagram 500, the variation in chromaticity exceeds more than about 0.004 in many places within the beam. Figure 6 is an illustration of the color spatial uniformity graph for the beam of light corresponding to the chromaticity diagram 500 in Figure 5.

In contrast to Figures 5 and 6, Figure 7 is a chromaticity diagram 700 illustrating a simulation of color spatial uniformity of a beam of light from a lens including a multiplicity of micro-optic lenses provided therein and with standard surface texturing or finishing MT11020 of the lens or micro-optic lenses in accordance with an embodiment of the present invention. The color chromaticity diagram 700 also represents a 25 degree beam of light shining on a flat or planar surface. As illustrated in the chromaticity diagram 700, the variation in chromaticity is less than about 0.004 in many places within the beam. Figure 8 is an illustration of the color spatial uniformity graph 800 corresponding to the chromaticity diagram 700 in Figure 7.

Figure 9 is a flow chart of an example of a method 900 for providing a lighting device in accordance with an embodiment of the present invention. The method 900 may be used to fabricate a lighting device similar to the exemplary lighting device 100 described with reference to Figure 1. In block 902, a lens or optical element may be provided including a selected optical feature. The lens may be provided or molded using a tool or mold provided by the method 300 of Figure 3 or may be a tool or mold similar to that described with reference to Figures 4A-4C. Similar to that previously described the selected optical feature may be a micro-optics array that provides a selected spread or distribution of light passing through the lens. The micro-optics array may spread the

light passing through the lens into a preset beam angle smaller than a desired or predetermined beam angle of the finished lens.

In block 904, a texturing may be provided or formed in at least a portion of the optical feature of the optical element or lens or at least a portion of the lens. The texturing may be formed in at least one surface of the optical element or lens. The texturing may be provided in a portion of the micro-optics array or in selected micro lenses of the micro-optics array. In another embodiment, the chosen surface texturing may be provided on another or opposite surface of the lens from the selected optical feature of micro-optics array. Similar to that previously described, the texturing may be an industry standard surface finishing texture or other surface texture.

In accordance with another embodiment of the present invention, the texturing may be provided in a film and the film may be attached to at least one surface of the lens or optical element. In a further embodiment, the texturing may be provided in another lens or optical element, such as for example, a plain lens without any other optical features or a lens with other optical features provided in at least one side to provide a certain desired lighting effect. This secondary lens or optical element with the texturing may then be placed in coordination or alignment with the main lens including the selected optical feature. An example of an optical element 1100 and another optical element 1102, having a texturing 1104, being placed in association with one another is illustrated in Figure 11. Referring also to Figure 11, the other optical element 1102 may be another lens or film. The other optical element 1102 or film includes a chosen surface texturing 1104 provided therein. The other optical element 1102 or film may be placed on at least one side of the first optical element 1100 in accordance with an embodiment of the present invention. Accordingly, the optical element 1102 may be disposed on one



side or the other side of the optical element 1100. In another embodiment, optical elements 1102 may be disposed on both sides of the optical element 1100 to provide the desired optical lighting characteristics and ease of manufacturing. The optical element 1100 may include a selected optical feature, such as a micro-optics array similar to that  
5 previously described.

The selected optical feature and the chosen surface texturing may be selected or chosen in coordination with one another to provide at least one of a predetermined beam width or angle, a predetermined color mixing or color uniformity, a predetermined beam shape smoothing or other beam characteristics when light passes through the lens.  
10 Accordingly, these characteristics of the light or light beam passing through the lens may be controlled by the selection of the selected optical feature and the chosen surface texturing. The chosen surface texturing may be provided in the micro-optics array.

Referring back to Figure 9, in block 906, other lighting device components may be assembled. For example, a lighting element assembly, such as assembly 108 in  
15 Figure 1, may be assembled in association with a reflector, such as reflector 106 in Figure 1.

In block 908, the lens may be disposed or attached over the reflector and enclosing the lighting element assembly to complete the lighting device.

Figure 10A is a perspective view of an example of a lighting system 1000 in  
20 accordance with another embodiment of the present invention. The lighting system 1000 is disclosed and claimed in U.S. Patent Application Serial No. 13/307,444, filed November 30, 2011, and entitled "Optical Arrangement for a Solid-State Lighting System" which is assigned to the same assignee as the present application and is

incorporated herein in its entirety by reference. In accordance with an embodiment of the present invention and as disclosed in more detail herein, the lighting system 1000 may be modified to include lenses having surface texturing similar to that previously described to control beam width and color mixing. The surface texturing may be  
5 selected to provide color mixing to meet Energy Star color spatial uniformity specifications or requirements previously described.

Referring also to Figure 10B, Figure 10B is an exploded view of the major components of the lighting system 1000 of Figure 10A. The lighting system 1000 may be an LED-based, solid-state replacement for a standard, MR16 halogen lamp. The  
10 lighting system 1000 or solid state lamp may include a TIR optical element 1012, which has three lobes 1012a, 1012b and 1012c. Each lobe corresponds to an LED light source 1024. Each light source in this example embodiment may include four LED chips. Lamp 1000 also includes a heat sink 1014 that may be made of aluminum or other thermally conductive material and may comprise a plurality of fins 1014a for dissipating  
15 heat to the ambient environment.

A power supply 1018 is provided that includes electrical components to provide the proper voltage and current to the LED light sources 1024 within lamp 1000. The power supply 1018 may be contained in a housing that is connected to the heat sink 1014. Connection pins 1020 provide a standard connection to power rails, which may be  
20 AC or DC supply rails. The lamp may also be used as a solid-state replacement for a standard, PAR type incandescent bulb. In such an application the lamp 1000 would include an Edison type base in place of pins 1020. Other connectors may be used to provide power to the lamp 1000 in other applications

A diffuse, white, highly reflective secondary reflector 1022 may be provided within the heat sink structure 1014 of lamp 1000, so that the secondary reflector 1022 is substantially adjacent to but spaced a small air gap apart from the sidewalls of TIR optical element 1012. Secondary reflector 1022 is molded or thermoformed into the  
5 desired shape to fit together with the heat sink portion of the lamp and TIR optical element 1012. The secondary reflector 1022 may be made of many different materials, including materials that are made reflective by application of a powder coating, reflective paint, or the like. The air gap between the TIR optical element 1012 and the highly reflective secondary reflector 1022 serves to insure that the internal reflectivity of the  
10 optical element 1012 is not interfered with by the secondary reflector 1022. However, light that escapes by transmission from the TIR optical element 1012 is efficiently reflected back into the TIR optical element 1012 for another opportunity to eventually be transmitted or reflected from the exit surface 1038 of the optical element 1012.

A mounting surface 1021 is provided inside the lamp 1000 for mounting the LED  
15 light sources 1024. In the illustrated embodiment three LED light sources 1024 are arranged in an array so that each light source corresponds to a lobe 1012a, 1012b, and 1012c of the optical element 1012. A recess or slot 1026 is provided in the mounting surface 1021 and a corresponding recess or slot 1027 is provided in the base 1029 of heat sink 1014. The slots 1026 and 1027 are aligned when the mounting surface 1021 is  
20 mounted to the base of the heat sink 1014. The recesses or slots 1026 and 1027 receive a mating projection 1035 provided on the optical element 1012 to seat the TIR optical element 1012, for aligning the LED light sources 1024 and the TIR optical element 1012. Alternatively, a plurality of projections 1029 may be provided, for example around the periphery of the optical element 1012, that engage a plurality of mating recesses or slots

provided on the mounting surface 1021 and/or heat sink 1014 as shown in Figure 10C.

Secondary reflector 1022 includes a hole or holes 1023 through which light passes from LED light sources 1024 into the TIR optical element 1012, and through which the projection passes so that the projections 1029 and/or 1035 can seat properly with the  
5 recesses of the mounting surface 1021 and/or the heat sink 1014. A retention ring, not shown, may be used to clamp the various portions of the lamp together and hold the optical element 1012 in the housing or heat sink 1014.

Various arrangements and types of LED light sources 1024 emitting various colors of light can be used with embodiments of the invention. The embodiment of the  
10 LED light source 1024 shown in Figure 10B may include four LED chips or dies (hereinafter “chips”) packaged on a submount or mounting surface 1021 with a lens (not shown). At least one of the LED chips may be a red-emitting LED, and at least one of other LED chip may be a blue-shifted yellow LED device. The blue-shifted yellow LED device may be packaged with a local phosphor to provide blue-shifted yellow LED  
15 devices. Such a blue-shifted yellow plus red (BSY+R) system is used to create substantially white light. In some embodiments, the red LEDs, when illuminated, emit light having dominant wavelength from 605 to 630nm. In some embodiments, the LED chips for the BSY devices emit blue light having a dominant wavelength from 440 to 480  
20 nm. The phosphor packaged with the blue LEDs when excited by the impinging blue light, may emit light having a dominant wavelength from 560 to 580 nm. This is but one example of light sources that can be used with embodiments of the present invention. Various numbers and types of LEDs can be combined. Further examples and details of mixing colors of light using solid state emitters can be found in U.S. Patent 7,213,940, which is incorporated herein by reference.

In the illustrated embodiment, the TIR optical element 1012 is shown with three lobes 1012a, 1012b, 1012c where each lobe corresponds to an LED light source 1024. Each light source 1024 may include a plurality of LED chips. Referring also to Figures 10C and 10D, the TIR optical element 1012 has an exit surface 1038 that comprises a first portion 1043 that comprises a flat substrate with a microlens or micro-optics array for diffusing light and a second portion that comprises discrete lenses 1040a, 1040b and 1040c arranged in a one to one relationship with the LED light sources 1024. The lenses 1040a, 1040b and 1040c each have an exit surface 1045 through which the light exits the lenses. In the illustrated embodiment each lobe 1012a, 1012b and 1012c comprises a lens 1040a, 1040b and 1040c arranged such that one lens corresponds to and is arranged in line with one of the LED light sources 1024. The TIR optical element 1012 and the heat sink 1014 do not have to be provided with a lobed configuration provided that the lenses 1040a, 1040b and 1040c are provided on the TIR optical element 1012 in a one-to-one corresponding relationship to the LED light sources 1024. The lenses 1040a, 1040b and 1040c also include recessed, curved entrance surfaces 1042 that receive light from one of the LED light sources 1024 and that transmit light to the corresponding exit surfaces 1045 of lenses 1040a, 1040b and 1040c. While a single TIR optical element 1012 is shown, multiple TIR elements may be used.

Referring also to Figure 10E, Figure 10E is a side section view corresponding to the perspective section view of the TIR optical element of Figure 10D showing the light paths through the TIR optical element 1012. Light from the LED light source 1024 is directed as shown in Figure 10E where one lens 1040a, having an entry surface 1042, an exit surface 1045 and surrounding portion of the TIR optical element 1012, is shown. Each of the lenses 1040a, 1040b and 1040c operates in substantially an identical manner

such that specific reference will be made to lens 1040a. A portion of the light A from light source 1024 is emitted directly into the entrance surface 1042, exits from exit surface 1045 and is focused by the lens 1040a to create a beam of collimated light. A further portion of the light B is directed onto the TIR surface of the TIR optical element 1012 where it is reflected toward exit surface 1038. The light may exit from the microlens 1043. The microlens 1043 mixes the light and disperses the light to overlap with the light exiting from lenses 1040a – 1040c. Light that escapes from the TIR optical element 1012 may be reflected back into the TIR optical element by secondary reflector 1022 where it also may exit through the microlens 1043 and lens 1040a.

Typically, the angular distribution of light emitted from an LED light source 1024 is close to Lambertian, which has Full Width at Half Maximum (FWHM) beam angle of 120 degrees. The TIR optical element 1012 as described herein may be used in directional lighting to collimate the light at a narrow beam angle such as between 12 and 60 degrees.

The lenses 1040a, 1040b and 1040c may be formed as faceted domed lenses to disperse the light in a manner that mixes the light and eliminates dark spots in the projected light. Round dome lenses are known for collimating light in directional lighting applications. One problem with round dome lenses is that the light projected from a plurality of LED chips may show up as distinct light areas separated by darker areas. For example, in a system that uses four LED chips light may be projected as four relatively distinct squares of light separated by darker, unlit lines. The faceted lenses 1040a, 1040b, 1040c better mix light exiting the lamp and eliminate the dark spots or lines to create a more uniform, better shaped beam. Additionally, the faceted lenses 1040a, 1040b and 1040c may be provided or formed with a chosen surface texturing

similar to that described herein, such as a standard surface finishing texture, for example an MT series surface finishing texturing (MT11010, MT11020, etc.) or other surface finishing texture to control beam width, color mixing, beam shape smoothing or other optical parameters.

5           Each faceted lens 1040a, 1040b, 1040c includes a plurality of facets 1050 on the entrance surface 1042 and/or exit surface 1045 that are disposed relative to the LED light sources 1024 such that light from each light source 1024 is mixed with light from other ones of the light sources 1024. The facets 1050 are disposed such that they are asymmetrically arranged with respect to the associated LED light source 1024 such that  
10   the light from each of the light sources is dispersed in an asymmetrical manner. The facets 1050 are arranged such that the lenses collimate the light beam. Each facet 1050 may be a planar surface or the facets may be slightly convex or concave in shape. In the embodiment of Figures 10A-10E, the facets 1050 are provided on the exit surfaces 1045. However, in another embodiment such as that described in U.S. Patent Application Serial  
15   No. 13/307,444, the facets 1050 may be provided on the entrance surfaces 1042. The facets may be provided on either the entrance surfaces 1042 of the lenses 1040a, 1040b, 1040c or the exit surfaces 1045 of the lenses 1040a, 1040b, and 1040c. Moreover, both the exit surfaces and the entrance surfaces of each of the lenses 1040a, 1040b, and 1040c as described in U.S. Patent Application 13/307,444.

20           In accordance with embodiments of the present invention, any combination of the entrance surface 1042, the exit surface 1045 and the facets 1050 of the lenses 1040a, 1040b and 1040c may include surface texturing as described herein to control beam width, color mixing, beam shape smoothing and/or to satisfy Energy Star chromaticity specifications. For example, either only the entrance surface 1042 or the exit surface

1045 may include surface texturing. In another embodiment both surfaces may have surface texturing. In another embodiment either the entrance surface 1042 or the exit surface 1045 or both, and each of the facets 1050 of the lenses 1040a, 1040b and 1040c may have surface texturing. Either the entrance surface, exit surface or both surfaces of  
5 each facet 1050 may have surface texturing. In a further embodiment, only the facets of the lenses 1040a, 1040b and 1040c may include surface texturing.

While the surface texturing feature of the present invention has been described with respect to application to the exemplary lighting device 100 in Figure 1 and lighting system 1000 in Figures 10A-10E, the surface texturing features described herein may  
10 also be applicable to other lighting devices and systems, and the invention is not intended to be limited by the exemplary lighting devices and systems described herein.

Although specific embodiments have been illustrated and described herein, those of ordinary skill in the art appreciate that any arrangement which is calculated to achieve the same purpose may be substituted for the specific embodiments shown and that the  
15 invention has other applications in other environments. This application is intended to cover any adaptations or variations of the present invention. The following claims are in no way intended to limit the scope of the invention to the specific embodiments described herein.



## CLAIMS

What is claimed is:

1. A method for providing an optical element, comprising:  
5 providing an optical feature in the optical element that spreads or distributes light passing through the optical element; and  
providing a texturing in at least a portion of the optical feature of the optical element.
2. The method of claim 1, wherein providing the texturing comprises providing at  
10 least one of a predetermined beam angle, a predetermined color mixing and a predetermined beam shape smoothing of light passing through the optical element.
3. The method of claim 1, wherein providing the texturing comprises providing a surface finishing texture in at least one surface of the optical feature.
4. The method of claim 1, wherein providing the optical feature comprises  
15 providing a micro-optics array in the optical element, wherein the micro-optics array comprises a multiplicity of micro lenses.
5. The method of claim 4, further comprising providing the texturing in at least a portion of the micro-optics array.
6. The method of claim 5, wherein providing the texturing in at least the portion of  
20 the micro-optics array comprises providing the texturing in a uniform pattern.
7. The method of claim 5, wherein providing the texturing in at least the portion of the micro-optics array comprises providing a type texturing to provide at least one of a

predetermined beam angle, a predetermined color mixing and a predetermined beam shape smoothing of the light passing through the optical element.

8. The method of claim 7, wherein providing the micro-optics array comprises providing a micro-optics array including a structure to spread the light passing through  
5 the optical element into a preset beam angle smaller than the predetermined beam angle.

9. The method of claim 4, further comprising providing the texturing in selected ones of the multiplicity of micro lenses.

10. The method of claim 1, wherein providing the texturing in at least a portion of the optical feature of the optical element comprises:

10 providing the texturing in a film; and  
placing the film in association with the optical element.

11. The method of claim 1, wherein providing the texturing in at least a portion of the optical feature of the optical element comprises:

providing the texturing in another optical element; and  
15 placing the other optical element relative to the optical element to pass light  
through both optical elements.

12. A method for providing a lighting device, comprising:

providing a light source;  
providing an optical element that receives and transmits light from the light  
20 source;

providing an optical feature in the optical element that spreads or distributes the light passing through the optical element; and

providing a texturing in at least a portion of the optical feature.

13. The method of claim 12, wherein providing the optical element comprises providing a total internal reflector (TIR).

14. The method of claim 13, wherein the TIR comprises an exit surface, the exit  
5 surface comprising a first portion including a micro-optics array and a second portion comprising at least one lens arranged in relationship with the light source.

15. The method of claim 14, wherein providing the texturing comprising providing the texturing in at least one of the micro-optics array and the at least one lens.

16. The method of claim 13, wherein the TIR comprises at least one lobe arranged in  
10 relationship with the light source, the at least one lobe comprising a lens to transmit light from the light source.

17. The lighting device of claim 16, wherein providing the texturing comprises providing the texturing in the lens.

18. An optical element, comprising:  
15 an optical feature in the optical element that spreads or distributes light passing through the optical element; and  
a texturing in at least a portion of the optical feature.

19. The optical element of claim 18, wherein the texturing provides at least one of a predetermined beam angle, a predetermined color mixing and a predetermined beam  
20 shape smoothing of light passing through the optical element.

20. The optical element of claim 18, wherein the texturing comprises a surface finishing texture.
21. The optical element of claim 18, wherein the optical feature comprises a micro-optics array, the micro-optics array comprising a multiplicity of micro lenses.
- 5 22. The optical element of claim 21, wherein the texturing is provided in at least a portion of the micro-optics array.
23. The optical element of claim 18, further comprising a total internal reflector (TIR).
24. The optical element of claim 23, wherein the TIR comprises an exit surface, the  
10 exit surface comprising a first portion including a micro-optics array and a second portion comprising at least one lens arranged in relationship with a solid state light emitter.
25. The optical element of claim 24, wherein the at least one lens comprises a plurality of facets.
- 15 26. The optical element of claim 24, wherein at least one of the micro-optics array and the at least one lens comprises the texturing.
27. The optical element of claim 23, wherein the TIR comprises at least one lobe, the at least one lobe comprising a lens.
28. The optical element of claim 27, wherein the lens comprises an entrance surface  
20 and an exit surface, and wherein the lens receives light from a solid state light emitter and transmits the light to the exit surface.

29. The optical element of claim 28, wherein the lens comprises a plurality of facets.
30. The optical element of claim 27, wherein the lens comprises the texturing.
31. A optical system for a lighting device, comprising:  
a first optical element;  
5 an optical feature provided in the first optical element that spreads or distributes  
light passing through the first optical element;  
a second optical element; and  
a texturing in at least a portion of the second optical element.
32. The lens system of claim 31, wherein the second optical element comprises a  
10 film, wherein the film is placed in association with the first optical element.
33. A lighting device, comprising:  
a light source;  
an optical element that receives and transmits light from the light source;  
an optical feature in the optical element that spreads or distributes the light  
15 passing through the optical element; and  
a texturing in at least a portion of the optical feature.
34. The lighting device of claim 33, wherein the light source comprises a solid state  
light emitter.
35. The lighting device of claim 33, further comprising a reflector to reflect light  
20 from the light source.

36. The lighting device of claim 33, wherein the optical element comprises a total internal reflector (TIR).
37. The lighting device of claim 36, wherein the TIR comprises an exit surface, the exit surface comprising a first portion including a micro-optics array and a second  
5 portion comprising at least one lens arranged in relationship with the light source.
38. The lighting device of claim 37, wherein at least one of the micro-optics array and the at least one lens comprises the texturing.
39. The lighting device of claim 36, wherein the light source comprises at least one solid state light emitter and the TIR comprises at least one lobe arranged in relationship  
10 with the at least one solid state light emitter, the at least one lobe comprising a lens to transmit light from the at least one solid state light emitter.
40. The lighting device of claim 39, wherein the lens comprises the texturing.

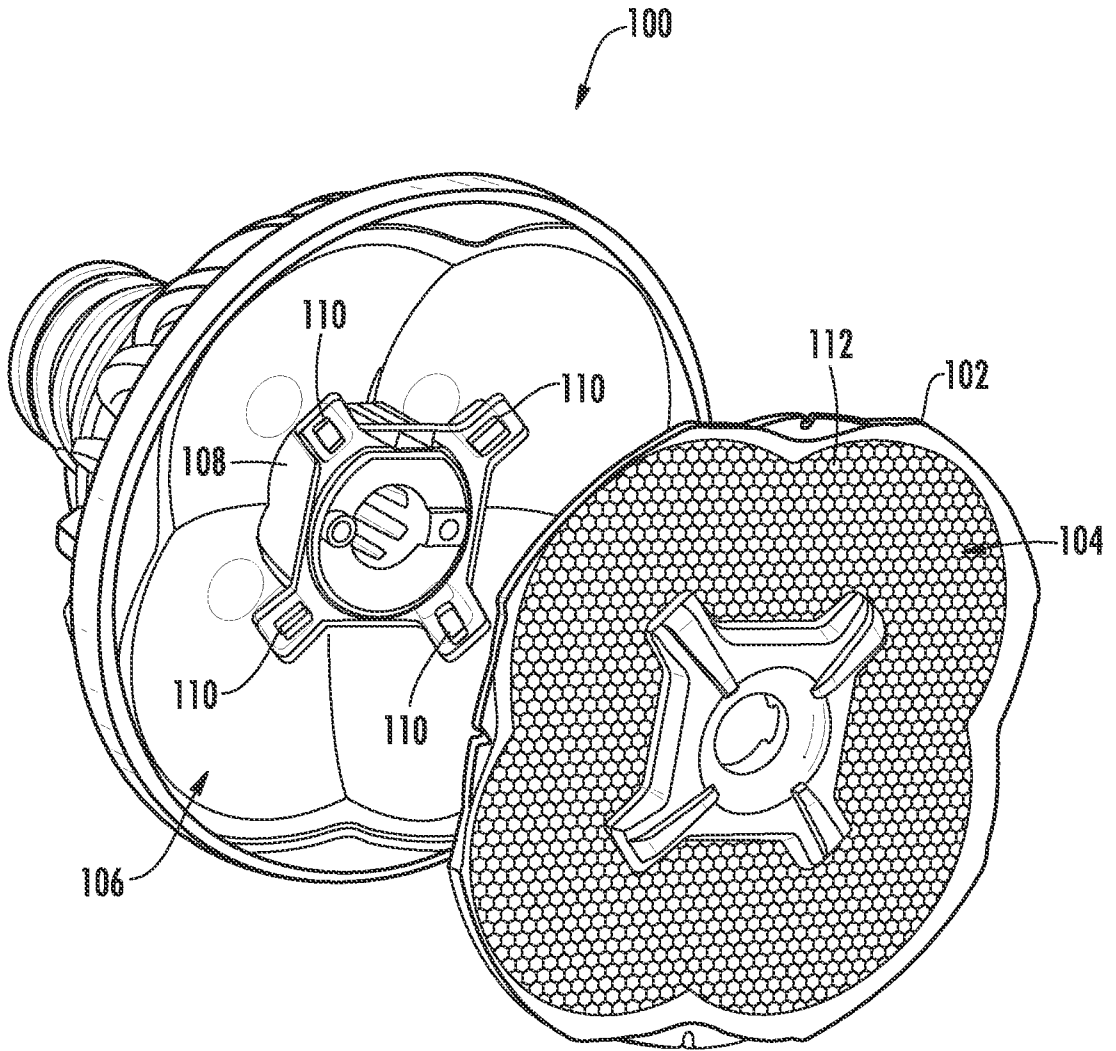


FIG. 1

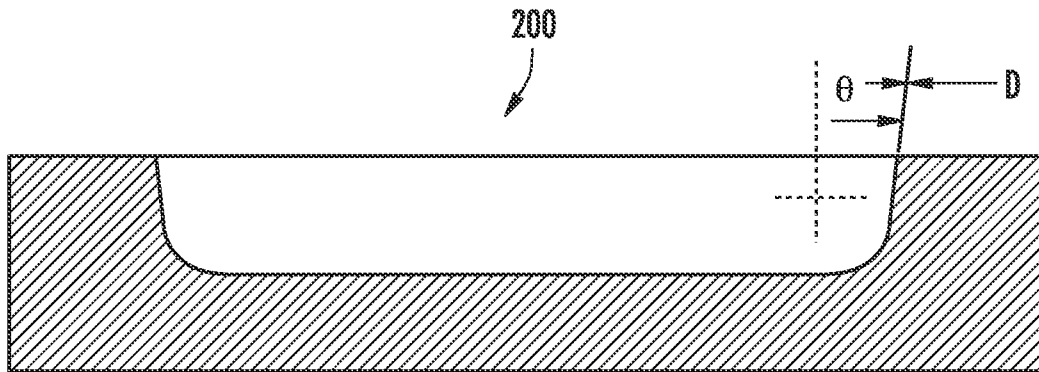


FIG. 2A



TEXTURING NO.	DEPTH (D)	DRAFT ANGLE ( $\theta$ )
MT-11000	0.0004"	1°
MT-11010	0.001"	1.5°
MT-11020	0.0015"	2.5°
MT-11030	0.002"	3°
MT-11040	0.003"	4.5°
MT-11050	0.0045"	6.5°

FIG. 2B



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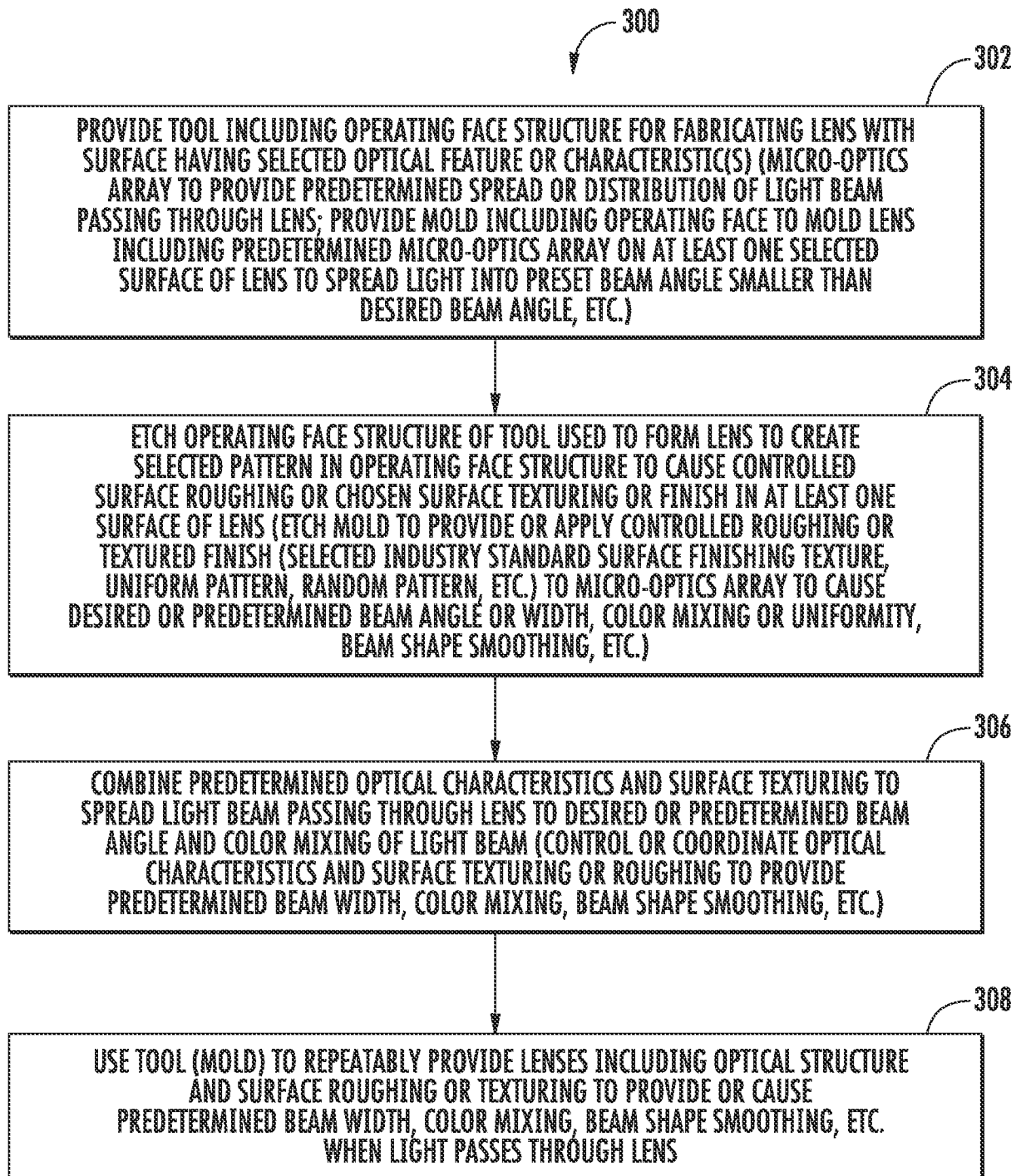


FIG. 3

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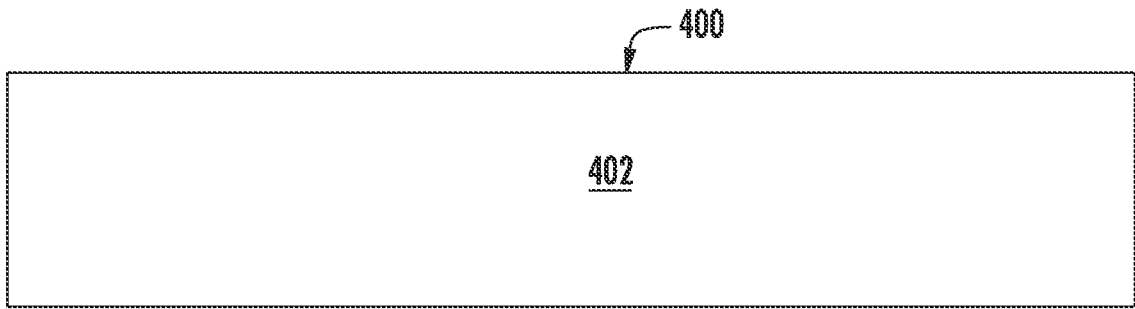


FIG. 4A

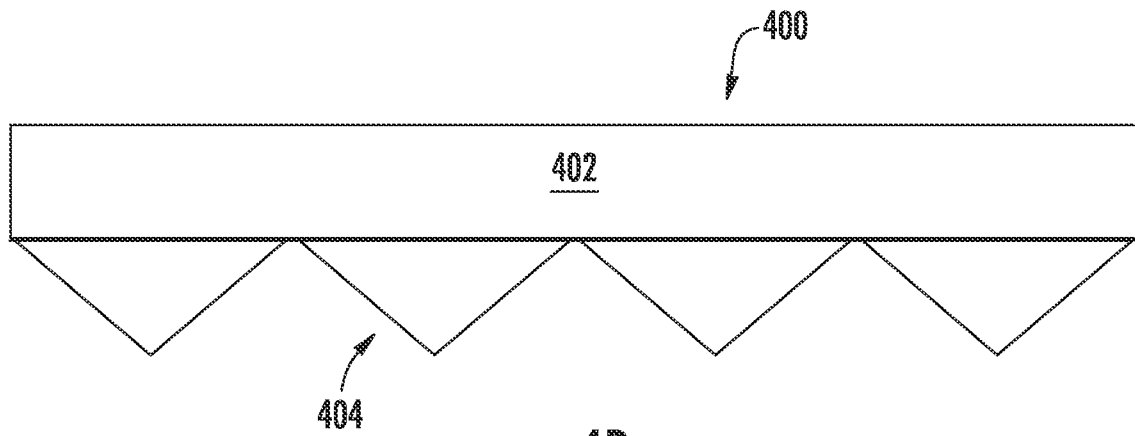


FIG. 4B

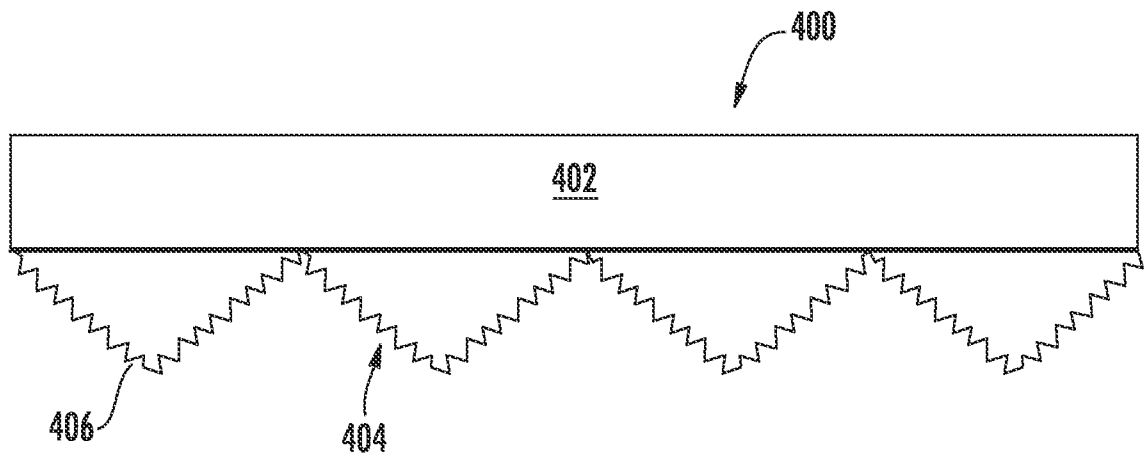


FIG. 4C



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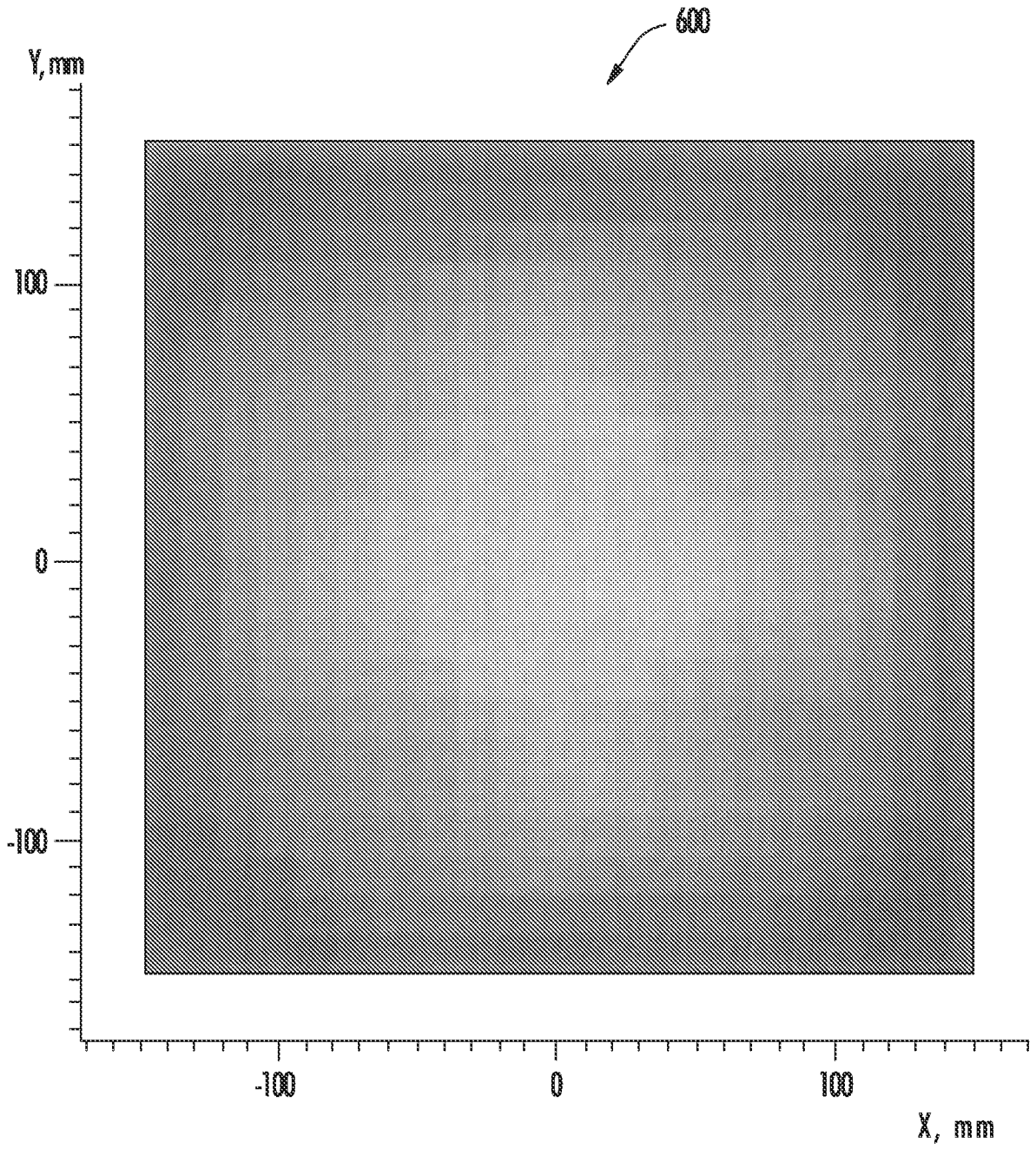


FIG. 6

700

0	0	0	0	0	0	0.002956	0.00259	0.003739	0.003544	0.003801	0.004689	0.002611	0	0	0	0	0	0	0
0	0	0	0	0.002446	0.002647	0.001455	0.001356	0.002176	0.001115	0.001649	0.002915	0.001656	0	0	0	0	0	0	0
0	0	0.000584	0.001042	0.003861	0.001248	0.002268	0.00276	0.001064	0.000393	0.001579	0.000745	0.000681	0.001551	0.01474	0	0	0	0	0
0	0	0.001408	0.000676	0.001262	0.002364	0.000303	0.001927	0.001162	0.00071	0.001542	0.001339	0.001032	0.001209	0.003656	0	0	0	0	0
0	0.002468	0.000707	0.002105	0.001572	0.001726	0.001301	0.000919	0.003204	0.002063	0.002163	0.001791	0.002187	0.000401	0.001129	0.002969	0	0	0	0
0.00127	0.00142	0.000294	0.000783	0.000832	0.003019	0.00181	0.001233	0.001071	0.00108	0.001504	0.000455	0.000752	0.000109	0.002628	0.001883	0.001697	0	0	0
0.00145	0.00259	0.004077	0.001331	0.00144	0.002409	0.001797	0.001071	0.002093	0.001469	0.002092	0.001656	0.000904	0.001099	0.000908	0.000815	0.002501	0	0	0
0.001091	0.000496	0.00142	0.000796	0.0013	0.001869	0.001194	0.0004	0.001649	0.000863	0.001968	0.000905	0.001166	0.001561	0.002366	0.001769	0.000837	0	0	0
0.001609	0.0005	0.001152	0.002435	0.001296	6.18E-05	0.001545	0.002026	0.00167	0.001994	0.002284	0.000684	0.000618	0.000369	0.000803	0.001798	0.001323	0	0	0
0.003819	0.00063	0.000675	0.001729	0.000806	0.001674	0.0007	0.001192	0.001047	0.002293	0.001272	0.002345	0.001825	0.001122	0.000448	0.001024	0.001615	0	0	0
0.001027	0.000989	0.001225	0.001964	0.001432	0.001409	0.002113	0.001598	0.001133	0.002959	0.002295	0.002655	0.001119	0.000856	0.001412	0.001522	0.0004091	0	0	0
0.003021	0.00293	0.000818	0.002181	0.002558	0.001014	0.001854	0.003068	0.002098	0.002281	0.00163	0.001688	0.000899	0.001332	0.000361	0.001617	0.001762	0	0	0
0	0.000739	0.002211	0.002363	0.000662	0.001519	0.001504	0.000894	0.00221	0.001594	0.002316	0.001351	0.000961	0.000878	0.000499	0.001697	0	0	0	0
0	0	0.001508	0.00206	0.000778	0.002602	0.001182	0.000186	0.001588	0.001351	0.001688	0.000574	0.000522	0.004935	0.000535	0	0	0	0	0
0	0	0.000602	0.001042	0.001291	0.001246	0.001201	0.000294	0.001789	0.001782	0.000808	3.42E-05	0.0012	0.000868	0.000504	0	0	0	0	0
0	0	0	0	0.001045	0.002113	0.001199	0.001578	0.000764	0.000975	0.001397	0.003793	0.001138	0	0	0	0	0	0	0
0	0	0	0	0	0	0.003245	0.002489	0.00132	0.001781	0.001315	0.001525	0.003364	0	0	0	0	0	0	0

FIG. 7

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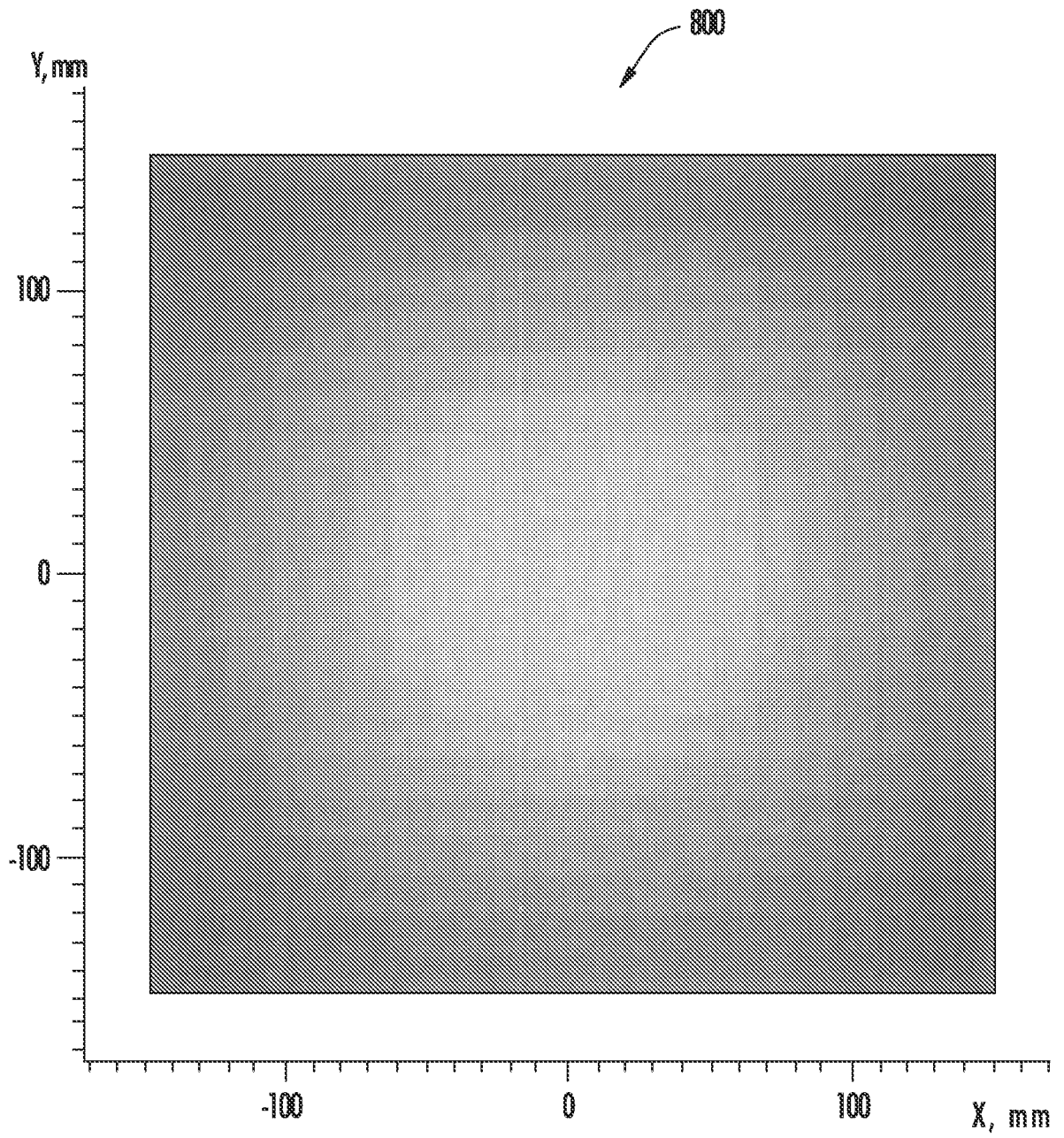


FIG. 8

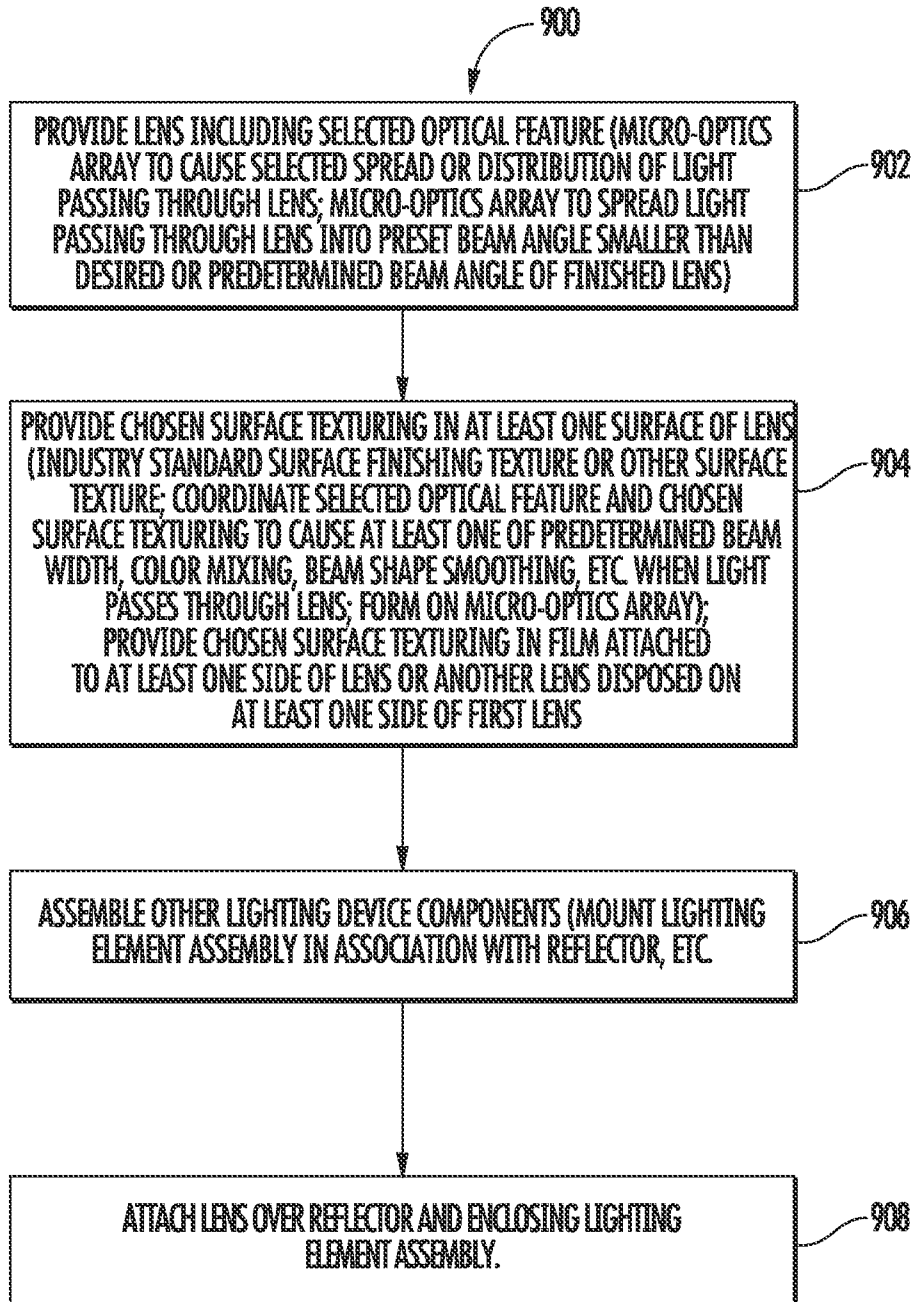


FIG. 9

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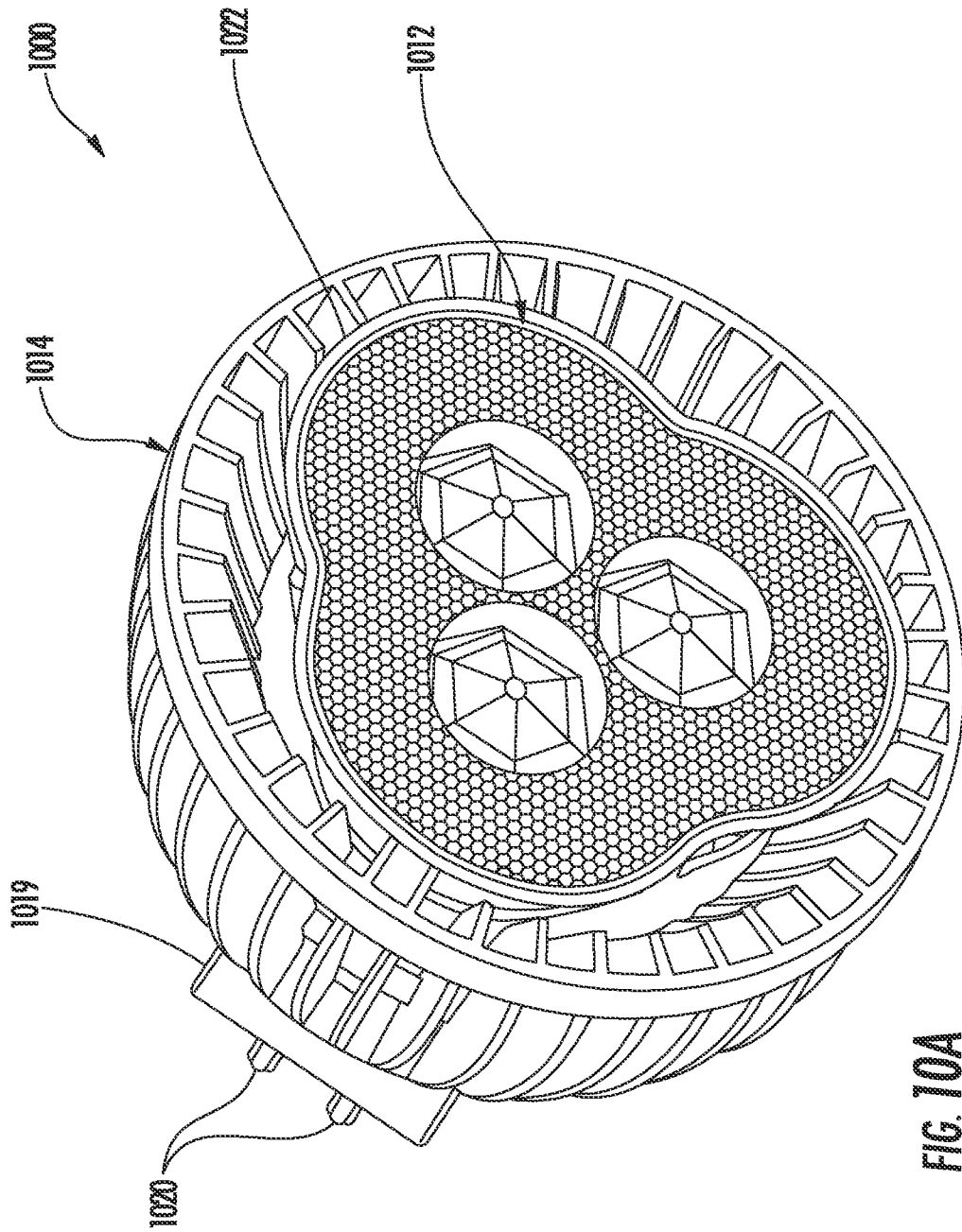


FIG. 10A



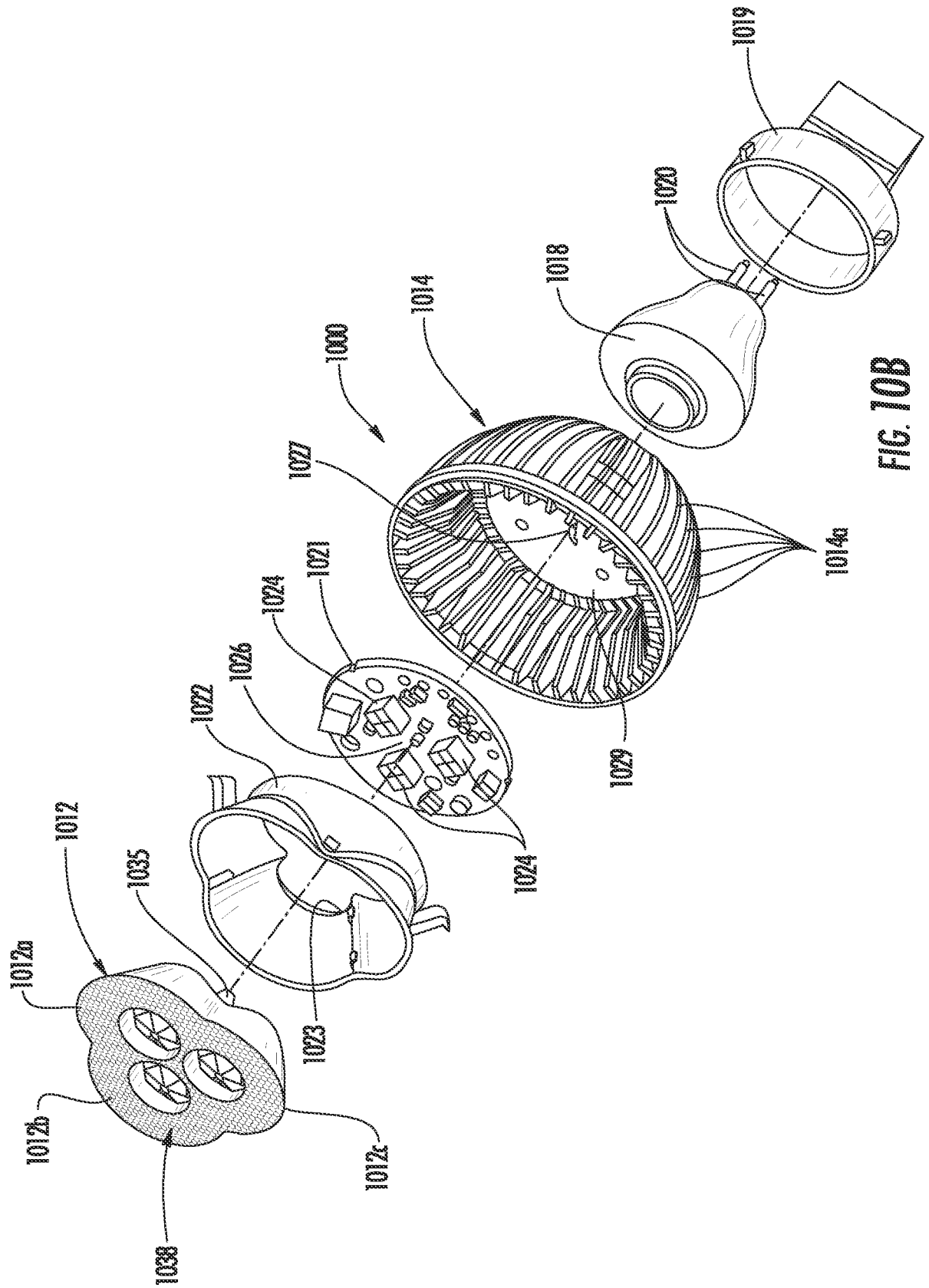


FIG. 10B

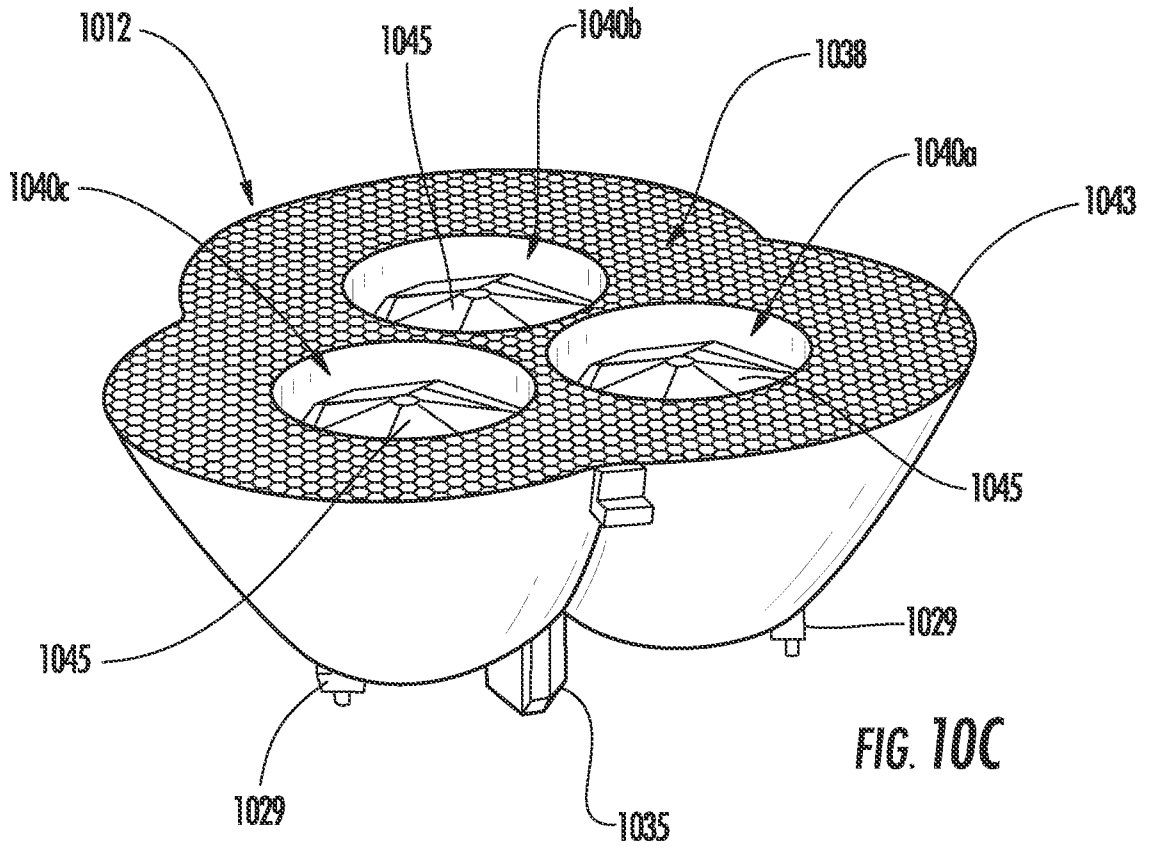


FIG. 10C

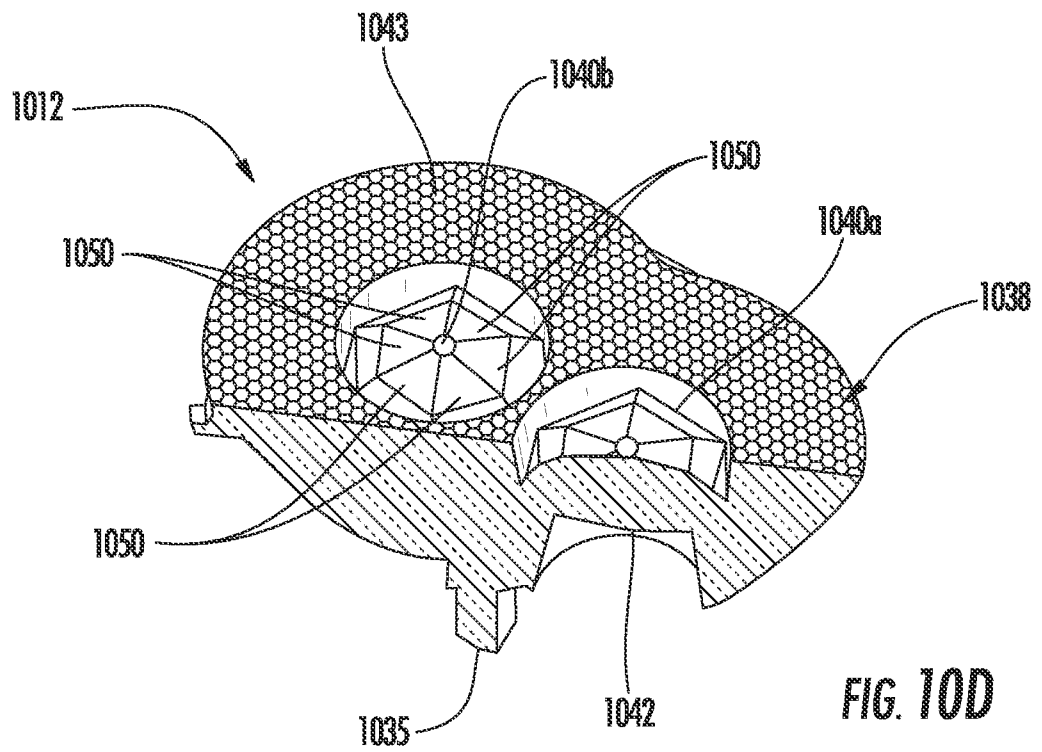


FIG. 10D

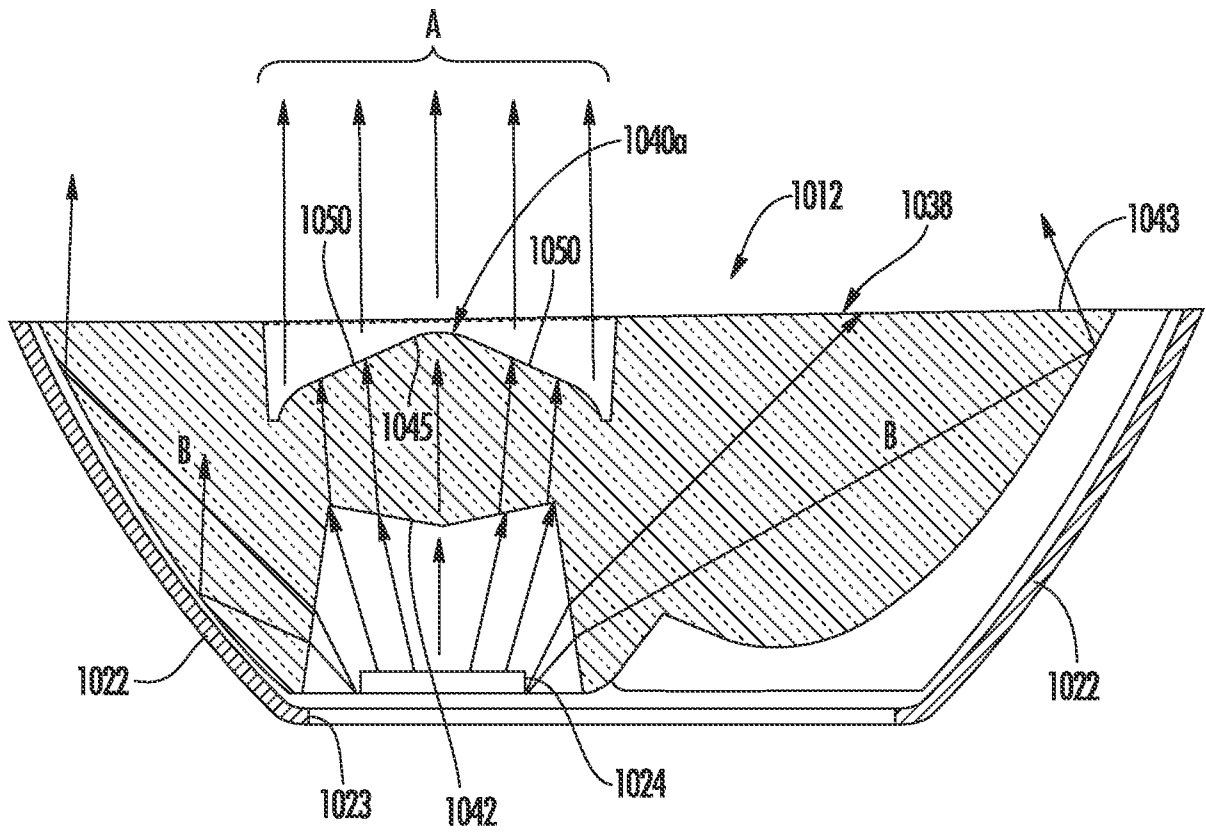
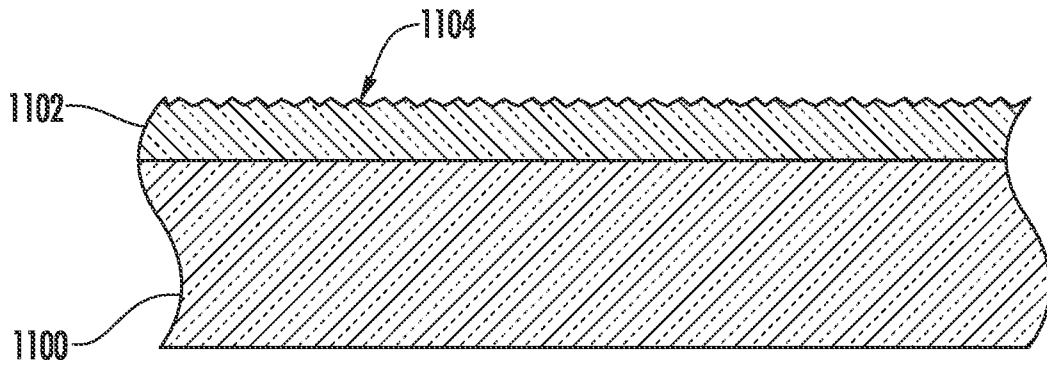


FIG. 10E



**FIG. 11**

# INTERNATIONAL SEARCH REPORT

International application No PCT/US2013/034208
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<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. G02B5/02 ADD.  According to International Patent Classification (IPC) or to both national classification and IPC				
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) G02B  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, INSPEC, WPI Data				
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	US 2010/226127 A1 (BIGLIATTI CLAUDIA [IT] ET AL) 9 September 2010 (2010-09-09) paragraph [0065] - paragraph [0066]; figure 7	1-40		
X	----- US 2011/234580 A1 (WANG KONG-HUA [TW] ET AL) 29 September 2011 (2011-09-29) paragraph [0100]; figures 21a,21b	1-40		
X	----- US 2009/269550 A1 (KUO HSU YU-CHEN [TW]) 29 October 2009 (2009-10-29) abstract; figures 6-7	1-40		
X	----- US 6 270 697 B1 (MYERS JAMES R [US] ET AL) 7 August 2001 (2001-08-07) abstract	1-40		
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<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <span style="margin-left: 100px;"><input checked="" type="checkbox"/> See patent family annex.</span>				
* Special categories of cited documents :  <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; vertical-align: top;">                     "A" document defining the general state of the art which is not considered to be of particular relevance                      "E" earlier application or patent but published on or after the international filing date                      "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)                      "O" document referring to an oral disclosure, use, exhibition or other means                      "P" document published prior to the international filing date but later than the priority date claimed                 </td> <td style="width: 50%; border: none; vertical-align: top;">                     "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention                      "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone                      "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art                      "&amp;" document member of the same patent family                 </td> </tr> </table>			"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family			
Date of the actual completion of the international search	Date of mailing of the international search report			
24 June 2013	03/07/2013			
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Lehtiniemi, Henry			

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2013/034208

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 2 474 921 A (SUTTON-VANE MARK [GB]) 4 May 2011 (2011-05-04) abstract; claim 18 -----	1, 12, 18, 33

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/US2013/034208
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			EP 2394193 A1	14-12-2011
			JP 2012517037 A	26-07-2012
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