

DYNAMIC RECONFIGURABLE MICROVALVE PROTECTION DEVICE**CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This application is related to US Serial No 14/330,456, filed July 14, 2014, which is a continuation-in-part of US Serial No. 14/259,293, filed April 23, 2014, both of which are hereby incorporated by reference herein in their entireties.

[0002] This application is related to US Patent No. 8,500,775 and US Patent No. 8,696,698, which are hereby incorporated by reference herein in their entireties.

BACKGROUND OF THE INVENTION**1. Field of Invention**

[0003] The present invention relates generally to a valve for performing a medical embolizing treatment, and particularly to a valve that increases penetration of a treatment agent into targeted blood vessels and reduces reflux of the treatment agent into non-targeted vessels.

2. State of the Art

[0004] Embolization, chemo-embolization, and radio-embolization therapy are often clinically used to treat a range of diseases, such as hypervascular liver tumors, uterine fibroids, secondary cancer metastasis in the liver, pre-operative treatment of hypervascular meningiomas in the brain and bronchial artery embolization for hemoptysis. An embolizing agent may be embodied in different forms, such as beads, liquid, foam, or glue placed into an arterial vasculature. The beads may be uncoated or coated. Where the beads are coated, the coating may be a chemotherapy agent, a radiation agent or other therapeutic agent. When it is desirable to embolize a small blood vessel, small bead sizes (e.g., 10 μ m - 100 μ m) are utilized. When a larger vessel is to be embolized, a larger bead size (e.g., 100 μ m - 900 μ m) is typically chosen.

[0005] While embolizing agent therapies which are considered minimally or limited invasive have often provided good results, they have a small incidence of non-targeted embolization which can lead to adverse events and morbidity. Infusion with an infusion microcatheter allows bi-directional flow. That is, the use of a microcatheter to infuse an embolic agent allows blood and the infused embolic agent to move forward in addition to allowing blood and the embolic agent to be pushed backward (reflux). Reflux of a therapeutic agent causes non-target damage to surrounding healthy organs. In interventional oncology embolization procedures, the goal is to bombard a cancer tumor with either radiation or

chemotherapy. It is important to maintain forward flow throughout the entire vascular tree in the target organ in order to deliver therapies into the distal vasculature, where the therapy can be most effective. This issue is amplified in hypovascular tumors or in patients who have undergone chemotherapy, where slow flow limits the dose of therapeutic agent delivered and reflux of agents to non-target tissue can happen well before the physician has delivered the desired dose.

[0006] The pressure in a vessel at multiple locations in the vascular tree changes during an embolic infusion procedure. Initially, the pressure is high proximally, and decreases over the length of the vessel. Forward flow of therapy occurs when there is a pressure drop. If there is no pressure drop over a length of vessel, therapy does not flow downstream. If there is a higher pressure at one location, such as at the orifice of a catheter, the embolic therapy flows in a direction toward lower pressure. If the pressure generated at the orifice of an infusion catheter is larger than the pressure in the vessel proximal to the catheter orifice, some portion of the infused embolic therapy travels up stream (reflux) into non-target vessels and non-target organs. This phenomenon can happen even in vessels with strong forward flow if the infusion pressure (pressure at the orifice of the catheter) is sufficiently high.

[0007] During an embolization procedure, the embolic agents clog distal vessels and block drainage of fluid into the capillary system. This leads to an increase in the pressure in the distal vasculature. With the increased pressure, there is a decrease in the pressure gradient and therefore flow slows or stops in the distal vasculature. Later in the embolization procedure, larger vessels become embolized and the pressure increases proximally until there is a system that effectively has constant pressure throughout the system. The effect is slow flow even in the larger vessels, and distally the embolic agent no longer advances into the target (tumor).

[0008] In current clinical practice with an infusion catheter, the physician attempts to infuse embolics with pressure that does not cause reflux. In doing this, the physician slows the infusion rate (and infusion pressure) or stops the infusion completely. The clinical impact of current infusion catheters and techniques is two fold: low doses of the therapeutic embolic is delivered and there is poor distal penetration into the target vessels.

[0009] Additionally, reflux can be a time-sensitive phenomenon. Sometimes, reflux occurs as a response to an injection of the embolic agent, where the reflux occurs rapidly (e.g., in the time-scale of milliseconds) in a manner which is too fast for a human operator to respond. Also, reflux can happen momentarily, followed by a temporary resumption of forward flow in the blood vessel, only to be followed by additional reflux.

[0010] Figure 1 shows a conventional (prior art) embolization treatment in the hepatic artery 106. Catheter 101 delivers embolization agents (beads) 102 in a hepatic artery 106, with a goal of embolizing a target organ 103. It is important that the forward flow (direction arrow 107) of blood is maintained during an infusion of embolization agents 102 because the forward flow is used to carry embolization agents 102 deep into the vascular bed of target organ 103.

[0011] Embolization agents 102 are continuously injected until reflux of contrast agent is visualized in the distal area of the hepatic artery. Generally, since embolization agents 102 can rarely be visualized directly, a contrast agent may be added to embolization agents 102. The addition of the contrast agent allows for a visualization of the reflux of the contrast agent (shown by arrow 108), which is indicative of the reflux of embolization agents 102. The reflux may, undesirably, cause embolization agents 102 to be delivered into a collateral artery 105, which is proximal to the tip of catheter 101. The presence of embolization agents 102 in collateral artery 105 leads to non-target embolization in a non-target organ 104, which may be the other lobe of the liver, the stomach, small intestine, pancreas, gall bladder, or other organ.

[0012] Non-targeted delivery of the embolic agent may have significant unwanted effects on the human body. For example, in liver treatment, non-targeted delivery of the embolic agent may have undesirable impacts on other organs including the stomach and small intestine. In uterine fibroid treatment, the non-targeted delivery of the embolic agent may embolize one or both ovaries leading to loss of menstrual cycle, subtle ovarian damage that may reduce fertility, early onset of menopause and in some cases substantial damage to the ovaries. Other unintended adverse events include unilateral deep buttock pain, buttock necrosis, and uterine necrosis.

[0013] Often, interventional radiologists try to reduce the amount and impact of reflux by slowly releasing the embolizing agent and/or by delivering a reduced dosage. The added time, complexity, increased x-ray dose to the patient and physician (longer monitoring of the patient) and potential for reduced efficacy make the slow delivery of embolization agents suboptimal. Also, reducing the dosage often leads to the need for multiple follow-up treatments. Even when the physician tries to reduce the amount of reflux, the local flow conditions at the tip of the catheter change too fast to be controlled by the physician, and therefore rapid momentary reflux conditions can happen throughout infusion.

[0014] US Patent No. 8,696,698, previously incorporated herein, describes a microvalve infusion system for infusing an embolic agent to a treatment site in a manner that overcomes many of the issues previously identified with infusion using an infusion catheter alone.

Referring to prior art Figs. 2A and 2B, the microvalve infusion system 200 includes a dynamically adjustable filter valve 202 coupled to the distal end of a delivery catheter 204. The delivery catheter and filter valve extend within an outer catheter 206. The filter valve 202 is naturally spring biased by its construction of filamentary elements 208 to automatically partially expand within a vessel when it is deployed from the outer catheter 206, and is coated with a polymer coating 210 that has a pore size suitable to filter an embolic therapeutic agent. More particularly, the filter valve 202 has an open distal end 212 and is coupled relative to the delivery catheter 204 such that an embolic agent infused through the delivery catheter 204 and out of the distal orifice 214 of the delivery catheter 204 exits within the interior 216 of the filter valve. In view of this construction, upon infusion, an increase in fluid pressure results within the filter valve and causes the filter valve 202 to open, extend across a vessel, and thereby prevent reflux of the infused embolic agent. In addition, as the fluid is pressurized through the delivery catheter and into the filter valve, the downstream pressure in the vessel is increased which facilitates maximum uptake into the target tissue for therapeutically delivered agents. Further, the filter valve is responsive to local pressure about the valve which thereby enables substantially unrestricted forward flow of blood in the vessel, and reduces or stops reflux (regurgitation or backward flow) of embolization agents which are introduced into the blood.

[0015] However, the devices in US Patent No. 8,696,698 have certain issues that may not always be advantageous. In various disclosed figures 44, the devices shown have a large distal diameter which limits trackability in tortuous branching vasculature. The distal end of the device in a collapsed, undeployed state is defined by the size of an outer catheter 206, which can be significantly larger than the outer diameter delivery catheter 204 that supports the filter valve 202 and significantly larger than the outer diameter of a guidewire (not shown) used to guide the microvalve to the target location within the vessel. As such, tracking the filter valve into the smaller vascular branches does not have a desired reliability. In addition, once the device is tracked to a treatment location, deployment of the filter valve requires that the frictional force between the filter valve and the outer catheter be overcome. Overcoming such forces can potentially abrade the polymer coating on the filter valve. Improvements to such designs were provided in other figures disclosed in US Patent No. 8,696,698, so that the outer diameter of the distal aspect of the device is reduced in size to in a manner that would facilitate tracking. However, once any of the embodiments of filter valve 202 in US Patent No. 8,696,698 are shown in the open configuration, they assume the shape of an open frustocone, which allows refluxing therapeutic embolic agent to enter the valve. This may lead to

therapeutic agent remaining in the filter valve, particularly under conditions of slow forward flow within the vessel, which potentially could result in incomplete dosing.

SUMMARY OF THE INVENTION

[0016] An infusion device is provided that includes an outer catheter, and inner infusion catheter extending through the outer catheter, and a dynamically adjustable filter valve coupled to both of the outer and inner catheters. The filter valve is formed from a naturally spring-biased filamentary construction that is biased to radially expand and has a proximal end and a distal end. The proximal end of the filter valve is coupled to a distal end of the outer catheter, and the distal end of the filter valve is coupled to a distal end of the inner catheter. The filter valve has a closed filtering distal portion, with the proximal and distal portions of the valve separate by the circumference about the maximum diameter of the filter valve. The inner infusion catheter is configured to deliver a therapeutic embolic agent distal of the closed distal portion of the filter valve.

[0017] The filter valve can be manually displaced between open and closed configurations by longitudinally displacing the distal end of the inner catheter relative to the distal end of the outer catheter. By displacing the inner catheter distally relative to the outer catheter, the filter valve is moved into a collapsed configuration, suitable for delivery to the treatment site. In the collapsed configuration, the tip is tapered and assumes a form that has excellent trackability over a guidewire to be advanced to a treatment site. To deploy the filter valve, the inner catheter is retracted relative to the outer catheter to cause the filter valve to reconfigure, resulting in radial expansion toward a vessel wall. In addition, the spring-bias of the valve also operates to radial expand the filter valve, particularly when subject to a pressure differential on opposing sides of the filter valve. In a preferred aspect of the invention, the proximal portion of the filter valve has a different radial expansion force than the distal portion of the filter valve. More preferably, the proximal portion has a substantially greater radial expansion force than the distal portion. Once the filter valve is in a deployed open configuration, i.e., with the distal tip in a retracted position relative to the delivery position, the filter valve is dynamically responsive to local pressure about the filter valve. Under the dynamically responsive operation, substantially unrestricted forward flow of blood in the vessel

is permitted, while backflow is prevented to stop reflux of the therapeutic agent within the vessel.

[0018] Upon retrieval of the infusion device at the end of the procedure the inner catheter can be further retracted into the outer catheter (such that the filter valve is substantially inverted and received within the outer catheter) to thereby capture and contain any therapeutic agent remaining on the filter valve.

BRIEF DESCRIPTION OF DRAWINGS

[0019] Prior art Figure 1 shows a conventional embolizing catheter in a hepatic artery with embolizing agent refluxing into a non-targeted organ.

[0020] Prior art Figures 2A and 2B are schematic figures of a prior art filter valve device shown in an undeployed configuration and a deployed configuration, respectively.

[0021] Figures 3A and 3B are schematic figures of an exemplary embodiment of a therapeutic filter valve device in a deployed state and an undeployed state, respectively.

[0022] Figure 4 is a schematic view of a shape of the distal end of a deployed filter valve device.

[0023] Figure 5 is a schematic view of another shape of the distal end of a deployed filter valve device.

[0024] Fig. 6A-6D are broken schematic diagrams of the exemplary embodiment of the filter valve device of Figs. 3A and 3B, in use, with the distal end of the device illustrated positioned within a vessel.

[0025] Fig. 7 is perspective distal end photographic view of the distal end of the filter valve device in a deployed configuration.

[0026] Figs. 8A-8C are schematic views of the distal end of the filter valve device in non-deployed and deployed configurations, indicating the respective positions of radio-opaque marker bands.

[0027] Fig. 9 is a graph indicating the variable pressure control distal of the filter valve device.

[0028] Figs. 10A-10C are schematic views of the deployed filter valve device, using variable pressure control to selectively infuse primary and branch vessels.

[0029] Fig. 11 is a schematic distal end view of an alternate coating construct for the filter valve device.

[0030] Fig. 12 is a schematic distal end view of another coating construct for the filter valve device.

[0031] Fig. 13 is a schematic distal end view of yet another alternate coating construct for the filter valve device.

[0032] Fig. 14 is a schematic distal end view of a braid angle construct for any of the filter valve devices.

[0033] Fig. 15 is a schematic distal end view of another construct of for a filter valve device.

[0034] Fig. 16 is a schematic distal end view of yet another construct for a filter valve device.

[0035] Figs. 17A-17C are schematic views of the distal end of still yet another construct for a filter valve device in non-deployed, partially deployed, and fully deployed configurations.

[0036] Fig. 18 is a distal end view of the filter valve device of Figs. 17A-17C, illustrating one arrangement for the wire filaments in the distal portion of the filter valve.

[0037] Fig. 19 is a distal end view of a filter valve device, showing an alternate arrangement for the wire filaments in the distal portion of the filter valve.

[0038] Fig. 20 is a schematic view of another embodiment of a therapeutic filter valve device in a state prior to preparation for introduction into a patient.

[0039] Fig. 21 is a schematic view of the therapeutic filter valve device of Fig. 20, collapsed within an introducer sleeve for deployment into a patient.

[0040] Fig. 22 is a schematic view of the device of Fig. 20 deployed within a vessel.

[0041] Fig. 23 is a schematic view of the device of Fig. 20 deployed within a vessel and dynamically reconfigured when subject to relatively higher pressure at a distal portion thereof as a result of infusion of an infusate under pressure through the device.

[0042] Fig. 24 is schematic view of another embodiment of a therapeutic filter valve device in a state prior to preparation for introduction into a patient.

[0043] Fig. 24A is a schematic view of the device of Fig. 24 collapsed within an introducer sleeve for deployment into a patient.

[0044] Fig. 25 is a schematic view of the device of Fig. 24 deployed within a vessel.

[0045] Fig. 26 is a schematic view of the device of Fig. 24 deployed within the vessel and dynamically reconfigured when subject to relatively higher pressure at a distal portion thereof as a result of infusion of an infusate under pressure through the device.

[0046] Fig. 27 is schematic view of another embodiment of a therapeutic filter valve device.

[0047] Fig. 28 is schematic view of another embodiment of a therapeutic filter valve device in a state prior to preparation for introduction into a patient.

[0048] Figs. 29 and 30 are schematic views of alternate configurations of the device of Fig. 28 deployed within a vessel.

[0049] Fig. 31 is a schematic view of the device of Fig. 28 infusing an infusate at a target location.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0050] With reference to the human body and components of the devices and systems described herein which are intended to be hand-operated by a user, the terms “proximal” and “distal” are defined in reference to the user’s hand, with the term “proximal” being closer to the user’s hand, and the term “distal” being further from the user’s hand, unless alternate definitions are specifically provided.

[0051] A first exemplary embodiment of a microvalve device 300 according to the invention is seen in Figures 3A and 3B. It is noted that respective portions of the system illustrated in Figs. 3A and 3B are not shown proportional to their intended size, but rather that the distal portion is illustrated significantly enlarged for purposes of explanation. (Other embodiments herein are similarly illustrated with a significantly enlarged distal portion for purposes of explanation.) As shown in Fig. 3A, the device 300 includes a flexible outer catheter 302 having a proximal end 304 and a distal end 306, a flexible inner delivery catheter 308 extending through and longitudinally displaceable relative to the outer catheter 304 and having a proximal end 310 and a distal end 312, and a filter valve 314 coupled to the distal ends 306, 312 of the outer and inner catheters 304, 308. The proximal end 310 of the inner catheter

is preferably mounted to a hub 316 with a rigid tubular coupling member 318. The tubular coupling member 318 is preferably a stainless steel hypotube or similar structure. An infusion lumen 320 is defined from the hub 316 through to the distal end 312 of the inner catheter and is adapted for delivery of a therapeutic agent, including an embolizing agent, from outside the body of the patient (not shown) to a target vessel (artery or vein) in the patient. The proximal end 304 of the outer catheter 302 preferably includes a side arm port 322 that is in fluid communication with an annular space 324 formed between the inner and outer catheters 304, 308 and extending into the interior of the filter valve 314, and to flush the annular space 324 of the filter valve. Flushing such space, such as with a lubricant, including saline, operates to reduce friction between the inner and outer catheter to facilitate longitudinal movement therebetween.

[0052] A first radio-opaque marker band 326 is provided at the distal end 312 of the inner catheter 308, and a second preferably larger radio-opaque marker band 328 is provided at the distal end 306 of the outer catheter 302. A third radio-opaque marker band 330 is provided to the inner catheter 308 in a defined positional relationship relative to the second marker band 328. By example, the third marker band 330 may be co-longitudinally positioned with the second marker band 328 when the inner and outer catheters 302, 308 are positioned to cause the filter valve 314 to be in a deployed configuration, as shown in Fig. 3A and discussed below. Fig. 3B illustrates the microvalve device 300 in a non-deployed configuration and relative positioning of the three marker bands 326, 328, 330. During use of the device 300, the *in vivo* relative positions of the marker bands 326, 328, 330, viewed fluoscopically, indicates the displacement of the distal ends 306, 312 of the inner and outer catheters and the consequent configuration of the filter valve, as discussed in more detail below.

[0053] A handle 332 is optionally provided at or adjacent the proximal ends of the inner and outer catheters 302, 308 (including tubular coupling member 318) to controllably longitudinally displace the inner and outer catheters relative to each other. By way of example only, the handle 322 may include a standard slider assembly, e.g., in the form of a spool and shaft, that converts manual longitudinal movement of the user into a desired and controlled longitudinal displacement between the inner and outer catheters. As yet another alternative, the handle may include a rotation knob 334 connected to a lead screw that converts manual user rotational movement into a desired and controlled longitudinal displacement between the distal ends of the inner and outer catheters, such as shown by arrow 336 (Fig. 3B).

[0054] The inner catheter 308 is between two and eight feet long, and has an outer diameter of between 0.67 mm and 3 mm (corresponding to catheter sizes 2 French to 9 French), and is made from a liner made of fluorinated polymer such as polytetrafluoroethylene (PTFE) or fluorinated ethylene propylene (FEP), a braid made of metal such as stainless steel or titanium, or a polymer such as polyethylene terephthalate (PET) or liquid crystal polymer, and an outer coating made of a polyether block amide thermoplastic elastomeric resin such as PEBAX®, polyurethane, polyamide, copolymers of polyamide, polyester, copolymers of polyester, fluorinated polymers, such as PTFE, FEP, polyimides, polycarbonate or any other suitable material, or any other standard or specialty material used in making catheters used in the bloodstream.

[0055] The outer catheter 302 is comprised of polyurethane, polyamide, copolymers of polyamide, polyester, copolymers of polyester, fluorinated polymers, such as PTFE, FEP, polyimides, polycarbonate or any other suitable material. The outer catheter 302 may also contain a braid composed of metal such as stainless steel or titanium, or a polymer such as PET or liquid crystal polymer, or any other suitable material. The wall thickness of the outer catheter 302 is preferably in the range of 0.05 mm to 0.25 mm with a more preferred thickness of 0.1 mm – 0.15 mm.

[0056] The distal end 340 of the filter valve 314 is fused or otherwise fixedly coupled (both longitudinally and rotationally fixed) adjacent, but preferably slightly proximally displaced from, the distal end 312 of the inner catheter 308, and the proximal end 342 of the filter valve is fused or otherwise coupled at or adjacent the distal end 306 of the outer catheter 302.

[0057] The filter valve 314 is composed of one, two, or more metal (e.g., stainless steel or Nitinol) or polymer filaments 350, which form a substantially closed shape when deployed and not subject to outside forces. Where polymeric filaments are utilized, the filaments 350 may be composed of PET, polyethylene-naphthalate (PEN), liquid crystal polymer, fluorinated polymers, nylon, polyamide or any other suitable polymer. If desired, when polymeric filaments are utilized, one or more metal filaments may be utilized in conjunction with the polymeric filaments. According to one aspect of the invention, where a metal filament is utilized, it may be of radio-opaque material to facilitate tracking the filter valve 314 and its configuration within the body. In a deployed, expanded diameter configuration, the filter valve 314 is capable of being modified in shape by fluid forces. It is preferred that the filaments 350 not be bonded to each other between their ends so to enable the valve to rapidly automatically open

and close in response to dynamic flow conditions. The multiple filaments 350 of the filter valve are preferably braided and can move relative to each other between their ends. As discussed hereinafter, the filaments are spring biased (i.e., they have “shape memory”) to assume a desired crossing angle relative to each other so that the valve can self-assume a desired shape.

[0058] In the device shown in Fig. 3A, the assumed shape is substantially spherical, though as described hereinafter the shape can be substantially frustoconical. (For purposes herein the term “substantially spherical” should be understood to include not only a sphere, but a generally rounded shape including a spherical portion or a rounded oblong shape 314a, such as shown in Fig. 4, or a portion thereof. For purposes herein the term “substantially frustoconical” should be understood to include not only a generally truncated cone, but a truncated hyperboloid, a truncated paraboloid, and any other shape 314b which starts from a circular proximal end 342b at the distal end 306 of the outer catheter 302 and diverges therefrom and returns to close back down at the distal end 340b of the filter valve adjacent the distal end 312 of the inner catheter 308, as shown in Fig. 5). In all embodiments, the shape of the filter valve 314 is closed down at or adjacent the respective ends 306, 312 of the outer and inner catheters 302, 308, and can be defined by a proximal hemispherical portion 346 and a distal hemispherical portion 348, or two conical portions, or a proximal spherical portion and a distal conical portion, or a proximal conical portion and a distal spherical portion, or any of the preceding with an intervening shaped portion therebetween, which are joined together at preferably the largest diameter ends of the respective portions. As such, it is appreciated that the proximal and distal portions 346, 348 of the filter valve 314 are not required to be longitudinally symmetrical, and may be asymmetrical, in construction, which is apparent in the non-deployed configuration of the filter valve 314 shown in Fig. 3B. The joined proximal and distal portions each may have filaments oriented at a different braid angle, discussed below. In addition, the proximal and distal portions may be joined mechanically via the ends of the filaments, or by the filter material, which is discussed in more detail below.

[0059] The filter valve 314 is designed to be manually reconfigured between non-deployed and deployed configurations by movement of the inner and outer catheters relative to each other, wherein in each of the non-deployed and deployed configurations the distal end of the filter valve extends outside and distally of the distal end of the outer catheter. As shown in Figs. 3B and 6A, in the non-deployed configuration, the filter valve 314 is provided with a smaller maximum diameter suitable for tracking the device over a guidewire 360 (Fig. 6A)

through the vessels 362 to a treatment site. The inner catheter 308 is displaced distally relatively to the outer catheter 302 (in the direction of arrow 380) to stretch or otherwise present the filter valve in an elongate configuration having a tapered tip which facilitates trackability over the guidewire 360. In this collapsed, non-deployed configuration, the inner catheter 308 is preferably pushed as distal as possible relative to the outer catheter 302. In a preferred embodiment, the non-deployed elongated configuration of the filter valve tapers distally over at least 50%, and preferably at least 75%, of its length.

[0060] Then, referring to Fig. 6B, once the filter valve is positioned at the treatment site in the vessel 362, the inner catheter 308 can be retracted relative to the outer catheter 302 (in the direction of arrow 382) to expand the filter valve 314 and cause the filter valve to assume (initially) a partially deployed configuration within the vessel in which the filter valve does not seal against the vessel wall 362. In this configuration, both upstream and downstream fluid flow passed the filter valve is possible based on relative fluid pressure at the proximal and distal sides of the filter valve. Alternatively or thereafter, as shown in Fig. 6C, the inner catheter 308 can be further retracted relative to the outer catheter 302 (as indicated by arrow 384) to more fully expand the filter valve 314 to seal against the vessel wall 362. This configuration of the filter valve 314 is also shown in Fig. 7. When retracted into the configuration shown in Fig. 6B, the proximal end of the filter valve 314 forms a distal facing plane or concave surface 368 (with it being understood that in the non-deployed configuration of the filter valve presents a distal facing convex or convexly conical surface), while the proximal facing surface remains unmodified in shape and is generally a smooth convex surface. Then, with the filter valve deployed, embolization agents 388 are delivered under pressure distally through and out of the inner catheter, distal of the filter valve, and into the vessel. Delivery of the embolization agents in this manner will result in a downstream pressure change that initially causes higher pressure distal of the filter valve than upstream of the filter valve rapidly sealing to the vessel wall and directing all infusion pressure downstream. In this open position, the filter valve stops embolization agents from traveling upstream past the filter valve in a proximal 'reflux' direction. In addition, because the filter valve is a closed shape and delivers the embolic distal of the filter valve, 100% of the dose delivered is provided to the patient; i.e., without the potential for any of the dose to remain within the filter valve. Further, the shape of the proximal surface of the deployed filter valve presents reduced resistance to blood passing the filter valve in the downstream direction when pressure is higher at the proximal surface than at the distal surface of the filter valve, but presents a distal facing surface

at a different orientation and one that is substantially perpendicular to the vessel wall and has significant resistance to flow in the upstream direction so as to prevent reflux.

[0061] Turning now to Figs. 8A-8C, the above described radio-opaque first, second and third marker bands 326, 328, 330 facilitate determining the *in vivo* configuration of the filter valve. Referring to Fig. 8A, by way of example only, when the three marker bands 326, 328, 330 are shown spaced apart, the filter valve 314 can be indicated to be in the non-deployed configuration. In Fig. 8B, with the third marker band 330 offset substantially closer to the second marker band 328, the filter valve 314 can be indicated to be in a partially deployed configuration, with the inner catheter 308 somewhat retracted relative to the outer catheter 302. Fig. 8C, under fluoroscopy, would show two bands 326, 328, with the second marker band hiding the third marker band 330 (Fig. 8B), indicating the fully deployed configuration. Other relative relationships of the marker bands are possible to provided fluoroscopic indicia with respect to the state of the filter valve.

[0062] Referring now to Fig. 9, when the filter valve is advanced to a treatment site within a vessel in the non-deployed configuration, a very small pressure differential (e.g., 2.5 mmHg) is generated between the proximal and distal sides of the filter valve. When the filter valve is partially opened, i.e., deployed but not extending to the vessel wall (indicated in Fig. 9 as deployed '25%'), a small but relatively larger pressure differential (e.g., 5 mmHg) is generated between the proximal and distal sides of the filter valve. When the filter valve is fully opened so that the filter valve contacts the vessel wall (indicated deployed '50%'), a larger pressure differential (e.g., 10 mmHg) is generated between the proximal and distal sides of the filter valve. When the filter valve is fully opened and an infusate is infused through the orifice of the inner catheter to a location distal of the filter valve, a significantly larger pressure differential (e.g., 10-20 mmHg) is generated between the proximal and distal sides of the filter valve. Referring to Figs. 10A-10C, the range of generated pressure differentials can be used to selectively treat vessels of different diameter downstream of the filter valve. Referring to Fig. 10A, with significant generated flow and a pressure drop between the proximal and distal sides of the filter valve, the infusate is directed downstream to at least the largest target vessel 370. Then, referring to Fig. 10B, by generating an increase in pressure differential by raising the fluid pressure of the infusate, additional smaller target branch vessels 372 resistant to the perfusion at the initial infusate pressure are perfused. Finally, referring to Fig. 10C, by increasing the pressure differential again, even smaller target branch vessels 374 can be

perfused. Similarly, to the extent that treatment is intended to be limited to only certain vessels, the distal pressure can be limit to below that required to perfuse the smaller vessels.

[0063] According to one aspect of the invention, the valve is preferably capable of being configured into its closed position after the embolization treatment procedure is completed for removal from the patient. In one configuration for post-treatment removal from the patient, the valve is simply withdrawn in the deployed configuration. In another configuration, the inner catheter 308 is further retracted relative to the outer catheter 302 to invert a portion or all of the distal filter valve 348 into the proximal valve 346 to contain embolic agent that may potentially remain on the filter valve after the treatment. In yet another configuration, as shown in Fig. 6D, the inner catheter is even further retracted relative to the outer catheter (in the direction of arrow 386) to invert the entire filter valve 314 into the outer catheter 302 to fully contain any embolic agent that may potentially remain on the filter valve after the treatment.

[0064] Now, as discussed in previously incorporated US Patent No. 8,696,698, three parameters help define the performance and nature of the deployed filter valve: the radial (outward) force of the valve, the time constant over which the valve changes condition from closed to open, and the pore size of the filter valve.

[0065] In a preferred embodiment, the filter valve expands into the deployed configuration when, first, the inner and outer catheter are displaced to move the distal end of the filter valve relative to the proximal end of the filter valve and thereby shorten and expand the valve into the deployed configuration. However, once deployed, the filter valve fully expands to the vessel wall (i.e., reaches an open condition) when the pressure at the distal orifice of the inner catheter is greater than the blood pressure. The filter valve is also in a deployed but closed condition (with filter valve retracted from the vessel wall) when blood is flowing upstream, or in a proximal to distal direction, with pressure greater than the pressure at the inner catheter orifice. In addition, when the radial force of expansion on the filter valve (i.e., the expansion force of the filter valve itself in addition to the force of pressure in the distal vessel over the distal surface area of the valve) is greater than the radial force of compression on the filter valve (i.e., force of pressure in the proximal vessel over the proximal surface area of the filter valve), the filter valve fully expands so that the valve assumes the open configuration. Thus, the radial force of expansion of the filter valve is chosen to be low (as described in more detail below) so that normal blood flow in the downstream distal direction will prevent the deployed filter valve from reaching the open condition. This low expansion

force is different than the expansion forces of prior art stents, stent grafts, distal protection filters and other vascular devices, which have significantly higher radial forces of expansion. It is appreciated that expansion force is sufficiently low that it will not cause the inner catheter to move relative to the outer catheter; such relative movement is preferably effected only by the user of the device.

[0066] The radial force of expansion of a braid is described by Jedwab and Clerc (*Journal of Applied Biomaterials*, Vol. 4, 77-85, 1993) and later updated by DeBeule (DeBeule et al., *Computer Methods in Biomechanics and Biomedical Engineering*, 2005) as:

[0067]
$$F = 2n \left[\frac{GI_p}{K_3} \left(\frac{2 \sin \beta}{K_3} - K_1 \right) - \frac{EI \tan \beta}{K_3} \left(\frac{2 \cos \beta}{K_3} - K_2 \right) \right]$$

[0068] where K_1 , K_2 , K_3 are constants given by:

[0069]
$$K_1 = \frac{\sin 2\beta_0}{D_0} \quad K_2 = \frac{2 \cos^2 \beta_0}{D_0} \quad K_3 = \frac{D_0}{\cos \beta_0}$$

[0070] and I and I_p are the surface and polar moments of inertia of the braid filaments, E is the Young's modulus of elasticity of the filament, and G is the shear modulus of the filament. These material properties along with the initial braid angle (β_0), final braid angle (β), stent diameter (D_0), and number of filaments (n) impact the radial force of the braided valve.

[0071] In one example embodiment, the filter valve 314 is composed of twenty-four polyethylene terephthalate (PET) filaments 350, each having a diameter of 0.1mm and pre-formed to an 8mm diameter mandrel and a braid angle of 130° (i.e., the filaments are spring-biased or have a shape memory to assume an angle of 130° relative to each other when the valve assumes a fully deployed state and opens in a frustoconical configuration). The filaments 350 preferably have a Young's modulus greater than 200 MPa, and the filter valve 314 preferably has a radial force of less than 40 mN in the fully deployed position (i.e., where the filaments assume their shape memory). More preferably, the filter valve 314 has a radial force in the fully deployed position of less than 20mN, and even more preferably the filter valve has a radial force of approximately 10mN (where the term "approximately" as used herein is defined to mean $\pm 20\%$) in the deployed position.

[0072] In one embodiment, when subject to an infusion pressure at the distal orifice 358 of the inner catheter, the filter valve 314 moves between deployed positions allowing downstream fluid passage (closed) and preventing fluid passage (open) in a static fluid (e.g.,

glycerin) having a viscosity approximately equal to the viscosity of blood (i.e., approximately 3.2 cP) in 0.067 second. For purposes herein, the time it takes to move from the closed position to the open position in a static fluid is called the “time constant”. According to another aspect of the invention, the filter valve 314 is arranged such that the time constant of the filter valve 314 in a fluid having the viscosity of blood is between 0.01 seconds and 1.00 seconds. More preferably, the filter valve 314 is arranged such that the time constant of the filter valve in a fluid having the viscosity of blood is between 0.05 and 0.50 seconds. The time constant of the filter valve 314 may be adjusted by changing one or more of the parameters described above (e.g., the number of filaments, the modulus of elasticity of the filaments, the diameter of the filaments, etc.).

[0073] According to one aspect of the invention, the deployed filter valve opens and closes sufficiently quickly to achieve high capture efficiency of embolic agents in the presence of rapidly changing pressure conditions. More particularly, as shown in Fig. 6C, with the inner and outer catheter displaced to open the filter valve to the vessel wall 362, when pressure at the distal orifice 358 of the inner catheter 308 (distal of the deployed filter valve 314) increases higher than the pressure in the blood vessel 362, the seal between the periphery of the filter valve and the vessel wall is increased, thus blocking refluxing embolics. It is important to note that pressure is communicated throughout the vasculature at the speed of sound in blood (1540 m/s) and that the valve opens and closes in response to pressure changes within the blood vessel. Since the expandable filter valve responds to pressure changes, it reacts far faster than the flow rates of embolics in the blood (0.1 m/s) thereby preventing reflux of any embolics.

[0074] As will be appreciated by those skilled in the art, the braid geometry and material properties of the filaments 350 are intimately related to the radial force and time constant of the filter valve. Since, according to one aspect of the invention, the filter valve is useful in a variety of vessels of different diameters and flow conditions, each implementation can have a unique optimization. By way of example only, in one embodiment, the filter valve 314 has ten filaments 350, whereas in another embodiment, the filter valve has forty filaments 350. Any suitable number of filaments can be used. Preferably, the diameter of the filaments are chosen in the range of 0.025 mm to 0.127 mm, although other diameters may be utilized. Preferably, the pitch angle (i.e., the crossing angle assumed by the braided filaments in the fully open deployed position) is chosen in the range of 100° to 150°, although other pitch angles may be used. Preferably, the Young’s modulus of the filament is at least 100 MPa, and more preferably at least 200 MPa.

[0075] The filter valve 314 is chosen to have a pore size which is small enough to capture (filter) embolic agents in the blood stream as the blood passes through the filter valve. Where large embolic agents (e.g., 500 μ m) are utilized, it may be possible for the filaments alone to act directly as a filter to prevent embolic agents from passing through the valve (provided the filaments present pores of less than, e.g., 500 μ m). Alternatively, a coating 364 is preferably added to the filaments 350, and more preferably to the formed braid structure, to provide the filter function. Such a separate polymeric filter is particularly useful where smaller embolic agents are utilized. The polymeric filter can be placed onto the braid structure by spraying, spinning, electrospinning, bonding with an adhesive, thermally fusing, mechanically capturing the braid, melt bonding, dip coating, or any other desired method. The polymeric coating 364 can either be a material with pores such as ePTFE, a solid material that has pores added such as polyurethane with laser drilled holes, or the filter coating can be a web of very thin filaments that are laid onto the braid. Where the coating 364 is a web of thin filaments, the characteristic pore size of the filter can be determined by attempting to pass beads of different diameters through the filter and finding which diameter beads are capable of passing through the filter in large quantities. The very thin filaments can be spun onto a rotating mandrel according to U.S. Patent 4,738,740 with the aid of an electrostatic field or in the absence of an electrostatic field or both. The filter thus formed can be adhered to the braid structure with an adhesive or the braid can be placed on the mandrel and the filter spun over it, or under it, or both over and under the braid to essentially capture it. The filter 364 can have some pores formed from spraying or electrospinning and then a secondary step where pores are laser drilled or formed by a secondary operation. In the preferred embodiment a material capable of being electrostatically deposited or spun is used to form a filter on the braid, with the preferred material being capable of bonding to itself. The filter may be made of polyurethane, pellethane, polyolefin, polyester, fluoropolymers, acrylic polymers, acrylates, polycarbonates, or other suitable material. The polymer is spun onto the braid in a wet state, and therefore it is desirable that the polymer be soluble in a solvent. In the preferred embodiment, the filter is formed from polyurethane which is soluble in dimethylacetamide. The polymer material is spun onto the braid in a liquid state, with a preferred concentration of 5-10% solids for an electrostatic spin process and 15-25% solids for a wet spin process.

[0076] According to one aspect of the invention, the filter coating 364 has a characteristic pore size between 10 μ m and 500 μ m. More preferably, the filter has a characteristic pore size between 15 μ m and 100 μ m. Even more preferably, the filter has a

characteristic pore size of less than 40 μ m and more preferably between 20 μ m and 40 μ m. Most desirably, the filter is provided with a characteristic pore size that will permit pressurized blood and contrast agent to pass therethrough while blocking passage of embolizing agent therethrough. By allowing regurgitating blood and contrast agent to pass through the filter in a direction from distal the valve toward the proximal end of the valve, the contrast agent may be used to indicate when the target site is fully embolized and can serve to identify a clinical endpoint of the embolization procedure. Therefore, according to one aspect of the invention, the valve allows the reflux of the contrast agent as an indicator of the clinical endpoint while preventing the reflux of the embolization agents at the same time. In addition, by allowing blood to flow back through the filter material, even at a relatively slow rate, backpressure on the distal side of the valve can be alleviated.

[0077] The filter valve is also preferably provided with a hydrophilic coating, hydrophobic coating, or other coating that affects how proteins within blood adhere to the filter and specifically within the pores of the filter. More specifically, the coating is resistant to adhesion of blood proteins. One coating that has been used successfully is ANTI-FOG COATING 7-TS-13 available from Hydromer, Inc. of Branchburg, NJ, which can be applied to the filter by, e.g., dipping, spraying, roll or flow coating.

[0078] By appropriate design of the pore size and use of an appropriate coating, proteins in the blood will almost immediately fill the pores during use. The proteins on the coated porous filter operate as a pressure safety valve, such that the pores are filled with the proteins when subject to an initial fluid pressure greater than the blood vessel pressure, but the proteins are displaced from the pores and the pores are opened to blood flow at higher pressures such as a designated threshold pressure. The designated threshold pressure is determined to prevent damage to the tissue and organs, and injury to the patient. Thus, this system allows a pressure greater than the vessel pressure while limiting very high pressures which may be unsafe to the patient. As such, the system provides pressure regulation which is not possible with other occlusive devices, including balloons. Notwithstanding the advantage of the above, it is not a requirement of the invention that the filter be constructed to allow either blood or contrast agent to pass through in the upstream 'reflux' direction under any determined pressure.

[0079] It is recognized that in the open state, proteins in the blood may rapidly fill the pores of the filter valve. However, as discussed above, should a threshold pressure be reached, the filter valve is designed to permit the blood to reflux through the pores of the filter valve while still blocking the passage of the embolic agent. An exemplar threshold pressure is 180

mmHg on the distal surface of the filter valve, although the device can be designed to accommodate other threshold pressures. Such can be effected, at least in part, by the use of an appropriate coating on the filter that facilitates removal of the blood proteins from within the filter pores when subject to threshold pressure. This prevents the vessel in which the device is inserted from being subject to a pressure that could otherwise result in damage. Nevertheless, it is not necessary that blood and contrast agent be permitted to reflux through the valve.

[0080] In an embodiment, the filter coating 350 is preferably provided as a homogenous coating of filaments, with the proximal and distal portions 346, 348 of the filter valve 314 having a uniform coating construct. As the filter valve 314 is provided in the form of a closed shape, with its proximal end 346 fused to the outer catheter 302, and its distal end 348 fused to the inner catheter 308, it is appreciated that any fluid or agent passing from the vessel and through the filter must through two similar layers of the filter; i.e., a layer at the proximal side of the filter valve and a layer at the distal side of the filter valve.

[0081] In accord with one aspect of the invention, the filter valve has a different radial force at its proximal portion relative to its distal portion. This difference in radial force to enable behavior that is dependent on the direction of the flow (i.e. the valve behavior). It is preferred that the distal portion have lower radial force than the proximal portion, as described in Figures 11-16, as follows.

[0082] Turning now to Fig. 11, another filter valve 414 at the distal end of a microvalve device 400 is shown. The filter valve 414 includes a heterogeneous filter coating in which the entire filter valve is coated. The coating 450 includes smaller pores at the proximal portion 426 of the filter valve, and larger pores at the distal portion 428. By way of example only, the smaller pores can be on the order to one micron, whereas the larger pores can be on the order to 30 microns. The difference in pore size may be provided by placing more of the same filamentary coating at the proximal portion and relatively less at the distal portion to provide a greater radial force in the proximal portion compared to the distal portion. The difference in radial force allows the filter valve to have different performance in forward flow compared to backflow. In forward flow, the device remains in a conical shape allowing fluid around it. In backflow, the very weak structure collapses inward, allowing fluid pressure to seal the device against the vessel wall and reducing backflow.

[0083] Referring now to Fig. 12, yet another embodiment of a filter valve 514 at the distal end of a microvalve device 500 is shown. The filter valve 514 includes a heterogenous filter coating in which the entire filter valve is coated. The coating 550 includes a non-porous

membrane provided at the proximal portion 526 of the filter valve, and a porous filamentary coating at the distal portion 528. The non-porous membrane does not allow flow through the membrane, thus increasing the antegrade flow around the device in forward flow. The porous membrane on the distal portion allows flow through the device, which expands the filter valve to the wall in backflow to more effectively block embolic agents from flowing backward.

[0084] Turning now to Fig. 13, another embodiment of a filter valve 614 is shown. The filter valve has a non-porous membrane coating 690 at its inner surface 692 of the proximal portion, and a filter coating 650 on the outer surface of at least the distal portion of the filter valve, and preferably the entire filter valve. The combination of a non-porous membrane and porous membrane on the proximal portion both increases antegrade flow and radial strength in forward flow while the porous membrane on the distal portion reduces radial strength and allows flow into the filter valve in back flow to seal the vessel and block the reflux of embolic agents.

[0085] Referring now to Fig. 14, another embodiment of the filter valve 714 is shown. The filter valve has a construction with a variable braid angle; i.e., with different braid angles at different portions of the filter valve. In the illustrated embodiment, the braid angle is lower at the proximal end and higher at the distal end. The lower braid angle, e.g., at 792, is preferably in the range of 60-90°, and the higher braid angle, e.g., at 794, is preferably greater than 110°. Lower braid angle has a greater stiffness than higher braid angle, again providing a different operating behavior in forward flow compared to backward flow. The variable braid angle aspect of the device can be used in conjunction with any other embodiment described herein.

[0086] Turning now to Fig. 15, another embodiment of a filter valve 814 substantially as described with respect to a device 300 above, is shown. Filter valve 814 is distinguished in having a thicker braid 827 at its proximal portion 826, and a relatively thinner braid 829 at its distal portion 828. The so-called thinner braid 829 may be the result of a construction of individually thinner braid filaments 831 in a similar braid form as in the proximal portion 826, or a like size braid filament as in the proximal portion but presented in a denser lattice construction in the proximal construction and a wider, less dense lattice construction across the distal portion of the filter valve, or a combination of these two structural design elements. In addition, the filaments of the proximal and distal portions may be otherwise designed to exert differentiated radial force (with greater force at the proximal portion). By way of the example, the filaments of the braid in the proximal portion may be selected to have increased resiliency or spring force, regardless of size or spacing, so as to operate as desired. The proximal and

distal portions 826, 828 are preferably demarcated by the circumference about the maximum diameter 833 of the filter valve. The proximal and distal portions 826, 828 may have either homogeneous filter coatings (discussed above with respect to Figs. 4 and 5) or heterogeneous filter coatings (discussed above with respect to Figs. 11-13), and common (discussed above with respect to Figs. 4 and 5) or different braid angles (discussed above with respect to Fig. 14).

[0087] Referring to Fig. 16, another embodiment of a filter valve 914 for a device as substantially as described with respect to 300 above, is shown. The filter valve 914 includes a proximal filamentary braided portion 926, preferably coated with a polymeric filter material 927, and a distal portion comprising a polymeric filter material 928. The proximal and distal portions 926, 928 are preferably demarcated by the circumference about the maximum diameter 933 of the filter valve. In accord with this embodiment, the distal portion 928 is braidless; i.e., does not include any of the self-expanding filamentary structure. The filter valve 914 may be formed by positioning the filamentary braid for the proximal portion 926 on a mandrel (not shown), and spray coating a porous polymeric membranous material over the proximal braid and also further distally onto the mandrel--where no braid is provided—for construction of the braidless distal portion 928. After curing, the construct is removed from the mandrel. Once the proximal portion 926 of the filter valve 914 is coupled to the outer catheter 904, and the distal portion 928 of the filter valve 914 is coupled to the inner catheter 908, the filter valve has preferred properties. At the distal portion 928, the filter valve 914 is structured substantially similarly to a fabric. That is, when the inner catheter 908 is advanced relative to the outer catheter 904 and the distal portion 928 is placed under tension, the distal portion 928 of the filter valve 914 is strong under tensile force; however, when the inner catheter 908 is retracted relative to the outer catheter 904 and the distal portion 928 is placed under compression, the distal portion of the filter valve is floppy under compression force.

[0088] Turning now to Figs. 17A-18, another embodiment of a filter valve 1014 substantially as described with respect to a device 300 above, is shown. Filter valve 1014 is distinguished in having a braided structure 1027 of filaments 1027a at its proximal portion 1026, and a non-braided spiral arrangement 1029 of filaments 1029a at its distal portion 1028, seen best in Fig. 18. Filaments 1027a and filaments 1029a may be metal, including Nitinol, or a polymeric construct. The braided structure 1027 includes filaments 1027a crossing over and under one another, e.g., in a woven configuration, to define a crossing angle at the junctions of the filaments. The spiral arrangement 1029 includes fewer filaments 1029a than the braided structure 1027, in which such fewer filaments 1029a extend preferably without crossing over

and under the other filaments in the distal portion 1028, such that the distal portion is preferably non-braided for desired force application, as discussed below. The proximal and distal portions 1026, 1028 are preferably demarcated by the circumference about the maximum diameter 1033 of the filter valve 1014. Each of the braided structure 1027 and spiral arrangement 1029 are provided with a filter coating 1050, preferably as described above with respect to coating 350 on device 300. The braided and spirally arranged filaments 1027a, 1029a, including the strand counts in each of the proximal and distal portions, the lengths of the respective filaments, and the diameters of the respective filaments, and the materials of the respective filaments, can be individually or collectively optimized for an intended resultant applied radial force within the vessel. By way of example only, the distal spiral arrangement may include three, six, twelve, or twenty spiral wound filaments. In addition, the spirally arranged filaments 1029a in the distal portion 1028 can be evenly circumferentially spaced about the distal portion; i.e., each filament 1029a is equidistantly displaced between its two surrounding filaments (Fig. 18), or can have spirally configured filaments 1129a arranged in groups 1131 such that the filaments have a variable relative displacement between each other or between groups of filaments (Fig. 19). By way of example, Fig. 19 shows groups 1131 of two filaments, but groups of three, four and six, or a combination of groups of different numbers of filaments are also contemplated within the scope of the present disclosure. Also, while a clockwise (CW) direction of the spiral arrangement is shown in Figs. 17A-18, it is appreciated that the filaments may be configured in a counterclockwise (CCW) configuration, or for some of the filaments 1129a to extend in the CW direction and the remainder of the filaments 1129b to extend in the CCW direction, as shown in Fig. 19. However, where some filaments extend in each of the CW and CCW direction, such filaments preferably extend between the counter-rotational groups or sets (as shown) so as to prevent interference, or in separate 'planes' or layers of the distal portion such that the filaments do not cross over and under the counter-directional filaments.

[0089] The filter valve 1014 may be formed by providing a braided filamentary tubular construct, and selective removal certain filaments and spiral wound manipulation of remaining filaments at a distal portion of a braided filamentary tubular construct, while keeping the filaments structure of the proximal braided portion intact. Then, the resultant filamentary construct is filter coated. In such construct, it is appreciated that filaments defining the braided structure of the proximal portion and filaments defining the spiral wound structure of the distal portion may be continuous. As such, in this construct, the proximal filaments referred to herein are to be considered the proximal portion of such filaments, whereas the distal filaments

referred to herein are to be considered the distal portion of such same filaments. Alternatively, the filamentary constructs of the proximal and distal portions 1026, 1028 may be separately formed and subsequently joined, and then coated with the filter coating 1050. Other manufacturing processes may also be used.

[0090] In use, with the filter valve 1014 provided on the distal ends of outer and inner catheters 1004, 1008, as described above, the inner catheter 1008 is distally displaced relative to the outer catheter 1004 to reduce the diameter of the filter valve 104, as shown in Fig. 17A for insertion into a patient. This configuration facilitates tracking over a guidewire to a location of therapeutic treatment. The spiral filament configuration of the distal portion 1028 of the filter valve offers a lower profile at the distal end of the device. Once at the therapy site, the guidewire can be removed. Then, the user begins to proximally displace the inner catheter 1008 relative to the outer catheter 1004 to retract the distal end portion 1028 relative to the proximal braided portion 1026 in preparation for treatment (Fig. 17B). Upon full retraction of the distal portion 1028, the spiral filament “struts” 1029a push radially outward, driving the braided section 1028 diametrically radially outward until the circumference reaches its largest potential diameter 1033 (Fig. 17C); i.e., in contact with the vessel wall. At this point the spiral filament “struts” start to reverse in rotational direction, and essentially pull within the braided proximal portion of the filter valve. As such, in this embodiment, a hinge-point is created at the transition from spiral to braid. Further, the filter valve 1014 has a higher potential force at the braided proximal portion 1026 than at spiral filament distal portion 1028.

[0091] In each of the embodiments of Figs. 11-19, the distal portion of the filter valve exerts a significantly reduced radial force relative to the proximal portion of the filter valve, which results in optimizing the function of the filter valve as a valve. In forward (downstream) flow of the fluid within the vessel, as the fluid contacts the proximal side of the expanded proximal portion, the fluid flows around filter valve. In distinction, in backward or reflux (upstream) flow of fluid within the vessel as the fluid contact the distal side of the expanded distal portion, the fluid flows into—and not around—the filter valve. In such upstream flow, certain fluids, namely blood, are able to flow through the double layer filter material of the filter valve, while the pores of the filter material are of a sufficiently small size to capture embolic agents and other therapeutic agents of interest.

[0092] In any of the embodiments, the physician will track and advance the inner catheter of the microvalve device over a guidewire out to a target location, and then remove the guidewire. An embolic agent is then infused through the inner catheter to deliver the agent

distal of the microvalve, and the device is utilized as intended and in accord with its specific structural design. Then, after infusion, when it is necessary to remove the device from the patient, the physician has two options to prepare or configure the microvalve device for removal. The inner catheter can be pushed or otherwise displaced forward relative to the distal end of the outer catheter to result in collapse of the microvalve to reduce its diameter to facilitate its removal from the vessels of the body. Alternatively, after infusion of the agent, the inner catheter can be proximally withdrawn and inverted into the distal end of the outer catheter to retain at least a portion, and preferably all, of the microvalve device within the outer catheter and capture any embolic agent on such portion of the microvalve within the outer catheter during subsequent withdrawal of the device from the patient. The second option is preferred for radioactive embolic agents where the potential for spreading radioactive embolics during removal can otherwise exist.

[0093] Turning now to Fig. 20, another embodiment of a microvalve device 1100 according to the invention is shown. The device 1100 includes a flexible infusion catheter 1108 having a proximal end 1110 provided with an infusion hub 1116, and a distal end 1112. The infusion catheter has a lumen in communication with the hub 1116 that opens to a distal orifice 1158 through which an infusate can be injected. A filter valve 1114 is coupled to the distal end 1112 of the infusion catheter 1108. The filter valve 1114 may have a similar structure to that described with respect to filter valve 1014; with a proximal filter-coated braid 1120 and one or more distal struts 1122 preferably arranged in a spiral orientation. The braid 1120 and struts 1122 may be made of metal, including Nitinol, and/or polymer. The distal struts 1122 may or may not be filter-coated. This configuration results in a valve structure that is weaker in radial expansion at its distal side than at its proximal side. Alternatively, the filter valve 1114 may have any other filter valve structure described herein. The proximal end 1124 of the filter valve 1114 is fixed in position to the outside 1128 of the catheter 1108, e.g., at a fuse, an adhesive or plastic bonding, or a mechanical crimp or collar. The distal end 1126 of the filter valve 1114 is provided with or defines a movable collar 1130. The collar 1130 is longitudinally displaceable, and preferably free floating, along the outside 1116 of the catheter 1108 and can move relative to the proximal end 1124 of the filter valve 1114. The collar 1130 is always located proximal of the orifice 1158. Radiopaque markers may be provided or defined at the proximal end 1124 of the filter valve, at the collar 1130, and/or adjacent the orifice 1158. An introducer sleeve 1102 is provided over the infusion catheter 1108 and longitudinally displaceable relative to the filter valve 1114. The introducer sleeve 1102 is adapted to collapse the filter valve 1114 and

introduce the infusion catheter 1108 with its collapsed filter valve 1114 into a guiding catheter (not shown).

[0094] Referring to Fig. 21 the introducer sleeve 1102 is positioned relative to the infusion catheter 1108 such that a distal end 1104 of the sleeve 1102 is advanced over the filter valve 1114 to cause the filter valve to collapse against the outer surface 1128 of the infusion catheter 1108 and the distal end 1126 of the filter valve 1114 to distally displace relative to the proximal end 1124 of the filter valve. The infusion catheter 1108 is distally advanced relative to the introducer sleeve 1102, over a guidewire (not shown), and into a patient through vessels to a deployment location. The infusion catheter 1108 may be tracked over the guidewire in an open or expanded configuration. The filter valve 1114 is adapted to be self-centering during tracking. In an alternate deployment, the guiding catheter can be advanced in conjunction with the infusion catheter through the vessels to a deployment location, and once at the deployment location, the guiding catheter is retracted relative to the filter valve 1114 to permit the filter valve to automatically radially expand in view of inherent outward bias of the filter valve. Regardless of the manner of advancement and deployment within the vessel, the distal end 1126 of the filter valve retracts back toward the proximal end 1124 of the filter valve, with the collar 1130 sliding along the outside 1128 of the catheter 1108. Once released and expanded, the filter valve 1114 dynamically opens and closes based on local fluid pressure conditions relative to the proximal and distal sides of the filter valve 1114.

[0095] At stasis (shown in Fig. 22), the filter valve 1114 will expand into apposition with the vessel wall 1162. In a forward (downstream) flow condition (higher pressure at the proximal portion 1134 than at the distal portion 1136 of the filter valve), the filter valve will automatically partially collapse to allow forward flowing fluid to pass the filter valve. Referring to Fig. 23, in a reverse (upstream or reflux) flow condition (higher pressure at the distal portion 1136 than at the proximal portion 1134 of the filter valve, shown by arrow 1164), the distal portion 1136 of the filter valve will automatically longitudinally collapse toward the proximal portion 1134, and may even fully or partially invert, and forces the filter valve 1114 into a wide open configuration across the vessel 1162 so as to form a barrier to flow passed the filter valve. This occurs when an infusate 1188 is injected under pressure through the lumen and out of the distal orifice 1158, resulting in a higher pressure condition at the distal portion of the filter valve than at the proximal portion of the filter valve. The distal portion 1136 of the filter valve collapses toward the proximal portion 1134 of the filter valve, forces the filter valve

1114 wider open, and captures any infusate 1188 that would otherwise reflux upstream passed the filter valve.

[0096] Turning now to Fig. 24, another embodiment of a microvalve device 1200, substantially similar to device 1100, is shown. The device 1200 includes a flexible infusion catheter 1208 having a proximal end 1210 provided with an infusion hub 1216, and a distal end 1212 opening at an orifice 1258. A filter valve 1214 is coupled to the distal end 1212 of the infusion catheter 1208. The filter valve 1214 in this embodiment has a braided construct from its proximal end to its distal end. The braided construct 1120 may be made of metal, including Nitinol, and/or polymer filaments. A polymeric filter is coated on a proximal portion 1234 of the filter valve; preferably, the distal portion 1236 of the braided construct is free of the polymeric filter. As described with respect to device 1100, the proximal end 1224 of the filter valve 1214 is fixed to the outside of the catheter 1208, and the distal end of the filter valve 1214 forms a collar 1230. The collar 1230 is free floating about the outside of the catheter and can longitudinally displace relative to the proximal end 1224 of the filter valve 1214. An introducer sleeve (or outer catheter) 1202 is advanceable over the infusion catheter 1208 and filter valve 1214, to collapse the filter valve (as shown in Fig. 24A), to facilitate introduction of the device 1200 over a guidewire through vessels of the patient to a deployment location within a vessel. Once at the deployment location within the vessel 1162, the sleeve 1202 is retracted relative to the filter valve 1214 and the filter valve 1214 is advanced over a guidewire and through a guide catheter (not shown) to a target location within the patient. Once deployed through the guide catheter, the filter valve 1214 automatically radially expands in view of inherent outward spring bias of the filter valve (Fig. 25). This also results in the distal end 1226 of the filter valve to retract back toward the proximal end 1224 of the filter valve, with the collar 1230 proximally sliding along the outside 1216 of the catheter 1208. Once released and expanded, the filter valve 1214 dynamically opens and closes based on local fluid pressure conditions relative to the proximal and distal sides of the filter valve 1214.

[0097] At stasis, the filter valve 1214 expands toward apposition with the vessel wall 1262 (Fig. 25). In a forward (downstream) flow condition (higher pressure at the proximal portion than at the distal portion of the filter valve), the filter valve will automatically partially collapse to allow forward flowing fluid to pass the filter valve. Referring to Fig. 26, in a reverse (upstream or reflux) flow condition (i.e., with higher pressure at the distal portion than at the proximal portion of the filter valve, indicated by arrow 1264), the filter-coated proximal portion 1234 of the filter valve will expand under pressure into a wide open configuration

completely across the vessel 1262 so as to form a barrier to upstream flow, such as occurs when an infusate 1288 is injected under pressure through the lumen and out of the distal orifice 1258.

[0098] Turning now to Fig. 27, another embodiment of a microvalve device 1300 according to the invention is shown. The device 1300 includes a flexible infusion catheter 1308 having a proximal end 1310 provided with an infusion hub 1316, and a distal end 1312, and a lumen opening at a distal orifice 1358. A filter valve 1314 is coupled to the distal end 1312 of the infusion catheter 1308. The filter valve 1314 in this embodiment may include a non-braided or braided multi-strand construct; a non-braided construct is illustrated by way of example only. The strands 1330 comprising the filter valve 1314 may be made of metal, including Nitinol, and/or a polymer. The strands 1330 each include a proximal portion 1332, a central portion 1334, and a distal portion 1336. The proximal portions 1332 are attached circumferentially about at an outer surface 1318 of the catheter 1308 at a location proximal of the orifice 1358, the central portions 1334 extend radially outward and toward the orifice 1358, and the distal portions 1336 of the strands are inverted back into the filter valve 1314 and coupled circumferentially about the outer surface 1318 of the catheter 1308. The distal portions 1336 are preferably fixed to the outer surface 1318, but may be coupled to a movable collar retained over the catheter, as described above with respect to devices 1100 and 1200. The proximal and central portions 1332, 1334 of the strands are coated in a polymeric filter 1370 that extends between and across the strands 1330. Optionally, the distal portions 1336 of the strands may also be coated in the polymeric filter, although the illustrated filter valve is provided with an uncoated distal portion.

[0099] An introducer sleeve (or outer catheter) 1302 is provided for retaining the filter valve 1314 in a collapsed configuration for introducing the infusion catheter 1308 with filter valve 1314 into a guide catheter to the target location within the patient.

[00100] When the introducer sleeve is retracted from over the filter valve 1314 at the target location, the filter valve 1314 expands outward and is adapted to dynamically open and close in response to local fluid pressure conditions about the proximal and distal portions of the filter valve, as described above.

[00101] Turning now to Fig. 28, another embodiment of a microvalve device 1400 according to the invention is seen. The device 1400 includes a flexible infusion catheter 1408 having a proximal end 1410 provided with an infusion hub 1416, and a distal end 1412 opening in an orifice 1458. A filter valve 1414 is coupled to the distal end 1412 of the infusion catheter 1408. The filter valve 1414 comprises a proximal first disc 1434 and a distal second disc 1436,

coupled together at their peripheral edges (collectively, 1435). The discs 1434, 1436 are preferably of a common size. The discs 1434, 1436 are made of a very soft material, such as polyester or polyurethane. The soft material may be a fluid impermeable membrane, comprise a single porous material, or be coated in a filter material with a sufficient pore size to capture embolic agents. The discs may have the same composition as each other, or may be different. For example, the first disc 1434 may be impermeable, whereas the second disc 1436 may be porous. The discs 1434, 1436 may also incorporate filamentary strands. The filamentary strands may be made of metal, including Nitinol, and/or polymer. The discs 1434, 1436 are fixed in a closely spaced manner to the outer surface 1428 of the infusion catheter 1408, preferably spaced apart a distance between 0 - 5 mm, but may be otherwise spaced relative to each other. In one embodiment, the two discs 1434, 1436 are butted up against each other. An introducer sleeve 1402, as described above, is provided for advancement over the infusion catheter 1408 and collapsing the filter valve 1414 for introduction over a guidewire and into a guide catheter.

[00102] Referring now to Fig. 29, when the filter valve 1414 is located at a target location within a vessel, the filter valve 1414 expands outward to the vessel wall 1462 and has an expanded diameter larger than the vessel diameter. For use, the distal surface 1436a of the second disc 1436 should present a concave surface to block and capture embolic agent infused through the distal orifice 1458, as shown in Fig. 29. The configuration of the distal surface 1436a can be tested by injecting a contrast agent 1490 through the infusion catheter 1408. If the contrast agent is seen refluxing past the filter valve 1414, it may be concluded that the orientation is inverted, which would not provide an adequate barrier to reflux of a pressurized embolic agent. (Fig. 30) In such case, the infusion catheter 1408 can be slightly retracted within the vessel to cause the filter valve 1414 to invert and present a concave distal surface 1436a that can function as an effective barrier against a pressurized embolic agent 1488. (Fig. 31).

[00103] In any of the embodiments described herein, the components of the valve may be coated to reduce friction in deployment and retraction. The components may also be coated to reduce thrombus formation along the valve or to be compatible with therapeutics, biologics, or embolics. The components may be coated to increase binding of embolization agents so that they are removed from the vessel during retraction.

[00104] According to one aspect of the invention, the catheter body and mesh may be separately labeled for easy visualization under fluoroscopy. The catheter body can be labeled

by use of any means known in the art; for example, compounding a radio-opaque material into the catheter tubing. The radio-opaque material can be barium sulfate, bismuth subcarbonate or other material. Alternatively or additionally, radio-opaque medium can be compounded into the materials of the braid and the filter. Or, as previously described, one or more of the filaments may be chosen to be made of a radio-opaque material such as platinum iridium.

[00105] In each of the embodiments, the inner catheter may be a single lumen or a multi-lumen catheter. Preferably, the catheter has at least one lumen used to deliver the embolization agents, and one or more additional lumen may be provided, if desired, for passage of a guidewire or other devices or to administer fluids, e.g., for flushing the artery after the administration of embolization agents.

[00106] The above apparatus and methods have been primarily directed to a system which permits proximal and distal flow of biological fluid (e.g., blood) within a body vessel, and which prevents reflux of an infusate past the valve in a proximal direction. It is appreciated that the valve may also be optimized to reduce blood flow in the distal direction. In any of the embodiments, the radial force of the filter valve can be tuned by adjusting the braid angle. Tuning the radial force allows the blood flow to be reduced by up to more than 50 percent. By way of example, providing a braid angle greater than 130° will significantly reduce blood flow past the valve in the distal direction, with a braid angle of approximately 150° slowing the blood flow by 50 to 60 percent. Other braid angles can provide different reductions in distal blood flow. The reduced distal blood flow can be used in place of a 'wedge' technique, in which distal blood flow is reduced for treatment of brain and spinal arteriovenous malformations. Once the blood flow is slowed by the valve, a glue such as a cyanoacrylic can be applied at the target site.

[00107] While the above description has been primarily directed to use of the device for infusing a therapeutic agent, it is appreciated that the device has significant functionality even when delivery of a therapeutic agent is not the primary function. By way of example, the device can be used to retrieve a thrombus and prevent dislodged embolic particles from escaping into the patient's blood. Briefly, a thrombus retrieval device can be passed through the inner catheter 308 to release and retrieve a thrombus. The filter valve 314 operates to prevent the thrombus and spray of embolic particles from passing beyond the filter valve and into the vessel. Then when the thrombus is captured, the thrombus along with any embolic particles can be contained within the filter valve as the filter valve is inverted into the outer catheter for removal from the patient, in a similar method to that discussed above. For such

use, the inner catheter may include a single lumen or multiple lumens; i.e., one for the thrombus retrieval device and one or more for additional devices or therapeutic agent infusion.

[00108] There have been described and illustrated herein multiple embodiments of devices and methods for reducing or preventing reflux of embolization agents in a vessel. While particular embodiments of the invention have been described, it is not intended that the invention be limited thereto, as it is intended that the invention be as broad in scope as the art will allow and that the specification be read likewise. Thus, while various materials have been listed for the valve filaments, the valve filter, and the inner and outer catheters, it will be appreciated that other materials can be utilized for each of them in each of the various embodiments in combination and without limitation. Also, while infusion of an embolic agent has been referred to herein throughout, it is appreciated that the device described herein can be used to infuse any other treatment agent, including, not by limitation, drugs that target cancer cells and immunotherapy agents, including immunomodulators, vaccines, modified cells and check-point inhibitors. Also, while the invention has been described with respect to particular arteries of humans, it will be appreciated that the invention can have application to any blood vessel and other vessels, including ducts, of humans and animals. In particular, the apparatus can also be used in treatments of tumors, such as liver, renal or pancreatic carcinomas. Further, the embodiments have been described with respect to their distal ends because their proximal ends can take any of various forms, including forms well known in the art. By way of example only, the proximal end can include two handles with one handle connected to the inner catheter, and another handle connected to the outer catheter. Movement of one handle in a first direction relative to the other handle can be used to extend the filter valve in the non-deployed configuration for advancement to the treatment site, and movement of that handle in an opposite second direction can be used to deploy the filter valve. Depending upon the handle arrangement, filter valve deployment can occur when the handles are moved away from each other or towards each other. As is well known, the handles can be arranged to provide for linear movement relative to each other or rotational movement. If desired, the proximal end of the inner catheter can be provided with hash-marks or other indications at intervals along the catheter so that movement of the handles relative to each other can be visually calibrated and give an indication of the extent to which the valve is opened. It will therefore be appreciated by those skilled in the art that yet other modifications could be made to the provided invention without deviating from its spirit and scope as claimed.

What is claimed is:

1. An endovascular microvalve device for temporary use in a vessel of a patient during an intravascular procedure, the vessel having a vessel wall, comprising:

a) a flexible catheter sized for introduction into the vessel, the catheter having a proximal end and a distal end, an outer surface, a lumen extending between the proximal and distal ends, and opening at a distal orifice; and

b) a filter valve having a proximal end and a distal end, the proximal end of the filter valve fixed to the outside of the catheter, and the distal end of the filter valve longitudinally displaceable relative to the proximal end of the filter valve and retained proximal of the distal end of the catheter,

wherein once the filter valve is in a deployed state in the vessel, the filter valve is dynamically movable depending on local fluid pressure conditions about the filter valve, such that,

when the fluid pressure is higher on a proximal side of the filter valve, the filter valve assumes a first configuration with a first diameter smaller than the diameter of the vessel such that flow about the filter valve and through the vessel in a proximal to distal direction is permitted, and

when the fluid pressure is higher on a distal side of the filter valve, the filter valve assumes a second configuration with a second diameter relatively larger than the first diameter and in which the filter valve is adapted to contact the vessel wall to act as a barrier to flow about the filter valve and through the vessel in a distal to proximal direction.

2. The device of claim 1, wherein the distal end of the filter valve is coupled at a collar that is longitudinally displaceable on the catheter.

3. The device of claim 1, wherein the filter valve has a proximal portion comprising a braided filamentary structure.

4. The device of claim 3, wherein the filter valve has a distal portion comprising a braided filamentary structure.

5. The device of claim 3, wherein the filter valve has a distal portion comprising a non-braided filamentary structure.
6. The device of claim 5, wherein the non-braided filamentary structure is spirally wound.
7. The device of claim 1, wherein the filter valve comprises a porous polymeric material over a proximal portion of the filter valve.
8. The device of claim 7, wherein the filter valve comprises a porous polymeric material over a distal portion of the filter valve.
9. The device of claim 1, wherein the filter valve includes a filter portion having a pore size not exceeding 500 μ m.
10. The device of claim 1, wherein in the first configuration, the filter valve includes a distal face that is convex in shape, and in the second configuration, the distal face of the filter valve is planar or concave.
11. The device of claim 1, further comprising a second catheter adapted to be longitudinally displaced over the flexible catheter to collapse the filter valve against the outer surface of the flexible catheter.
12. The device of claim 1, further comprising one or more radiopaque markers provided to the distal end of the flexible catheter.
13. The device of claim 1, wherein the flexible catheter includes a proximal hub adapted to coupled to a pressurized infusion source.
14. An endovascular microvalve device for temporary use in a vessel of a patient during an intravascular procedure, the vessel having a vessel wall, comprising:
 - a) a flexible catheter sized for introduction into the vessel, the catheter having a proximal end and a distal end, an outer surface, a lumen extending between the proximal and distal ends, and opening at a distal orifice; and

b) a filter valve having a proximal end and distal end, the filter valve including a plurality of filamentary strands each having a proximal portion, a central portion, and a distal portion, the proximal portions of the strands fixed to the outer surface of the catheter at a location proximal of the orifice, the central portions of the strands extending radially outward from the outer surface and toward the orifice, and the distal portions of the strands inverting relative to the central portions and back to the outer surface of the catheter, the distal portions coupled to the outer surface of the catheter,

wherein once the filter valve is in a deployed state in the vessel, the filter valve is dynamically movable depending on local fluid pressure conditions about the filter valve, such that,

when the fluid pressure is higher on a proximal side of the filter valve, the filter valve assumes a first configuration with a first diameter smaller than the diameter of the vessel such that flow about the filter valve and through the vessel in a proximal to distal direction is permitted, and

when the fluid pressure is higher on a distal side of the filter valve, the filter valve assumes a second configuration with a second diameter relatively larger than the first diameter and in which the filter valve is adapted to contact the vessel wall to act as a barrier to flow about the filter valve and through the vessel in a distal to proximal direction.

15. The device of claim 14, wherein the distal portions of the strands are fixed to the outer surface of the catheter.

16. The device of claim 14, wherein the distal portions of the strands are movably retained about the outer surface of the catheter.

17. The device of claim 14, wherein the filter valve comprises a porous polymeric material over a proximal portion of the filter valve.

18. The device of claim 17, wherein the filter valve comprises a porous polymeric material over a distal portion of the filter valve.

19. An endovascular microvalve device for temporary use in a vessel of a patient during an intravascular procedure, the vessel having a vessel wall, comprising:

a) a flexible catheter sized for introduction into the vessel, the catheter having a proximal end and a distal end, an outer surface, a lumen extending between the proximal and distal ends, and opening at a distal orifice; and

b) a filter valve provided proximal of the distal orifice, the filter valve comprising a proximal disc and a distal disc fixed closely together about the outer surface of the catheter, and coupled together at their respective peripheral edges.

20. The device of claim 19, wherein the discs are of a common size.

21. The device of claim 19, wherein the discs are spaced 0 to 5 mm apart.

22. The device of claim 19, wherein the discs are butted together.

23. The device of claim 19, wherein the discs are made of at least one material selected from a group consisting of polyurethane and polyester.

24. The device of claim 19, wherein the filter valve can be inverted by displacing the filter valve within the vessel.

25. The device of claim 24, wherein when the filter valve is advanced in the vessel in contact with the vessel wall, the filter valve assumes a configuration in which a distal surface of the filter valve is convex.

26. The device of claim 25, wherein when the filter valve is retracted in the vessel in contact with the vessel wall, the filter valve assumes a configuration in which a distal surface of the filter valve is concave.

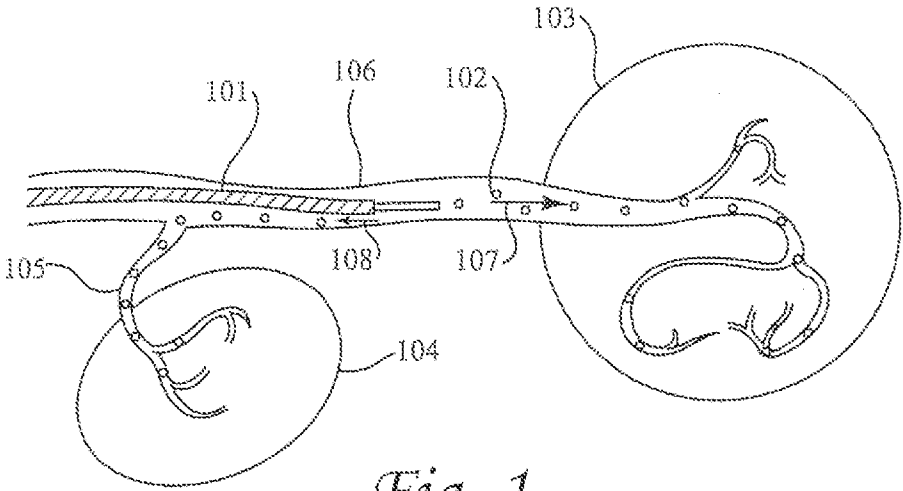


Fig. 1
Prior Art

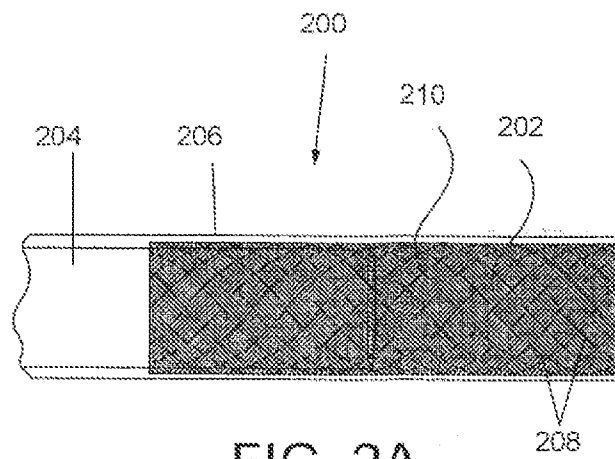


FIG. 2A
Prior Art

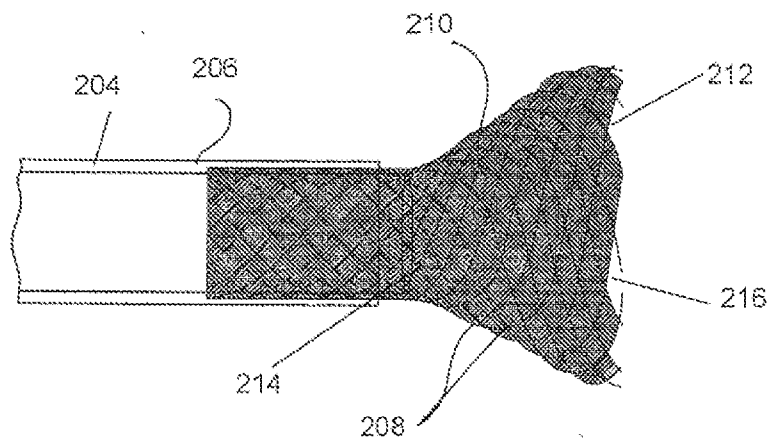
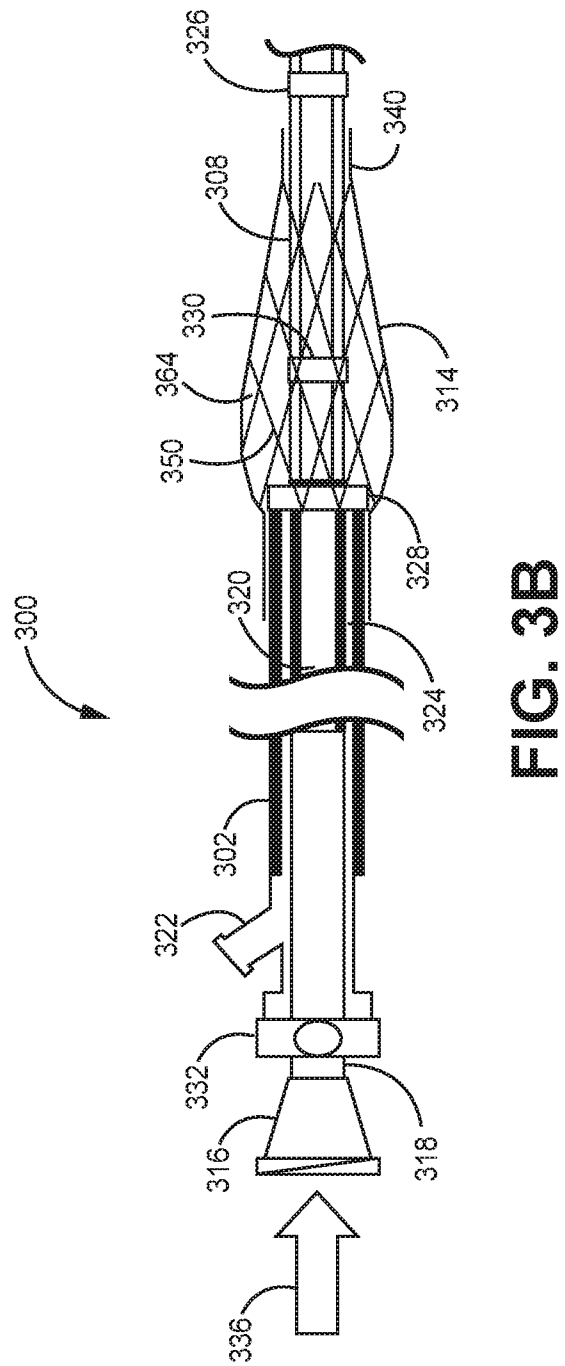
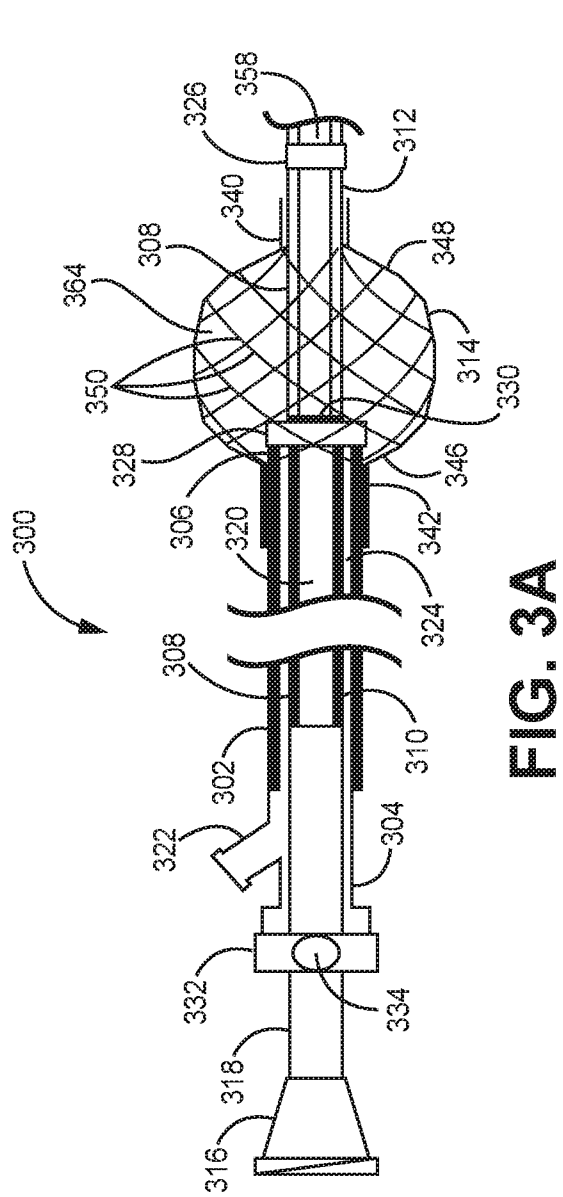


FIG. 2B
Prior Art



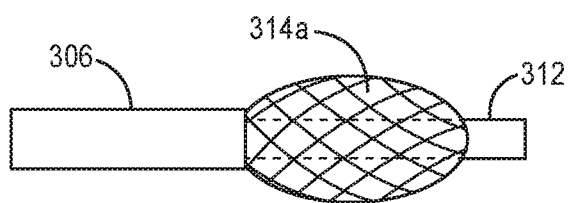


FIG. 4

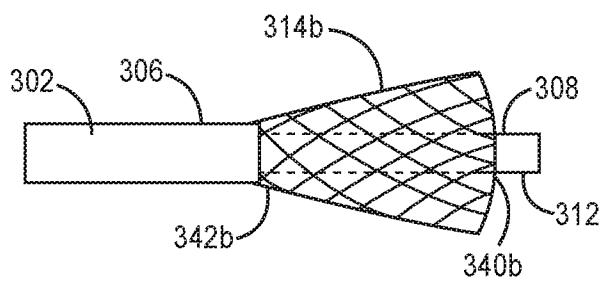


FIG. 5

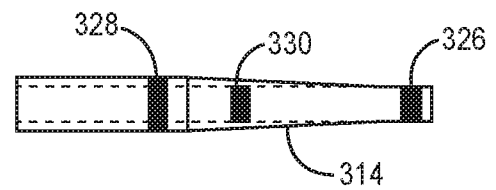


FIG. 8A

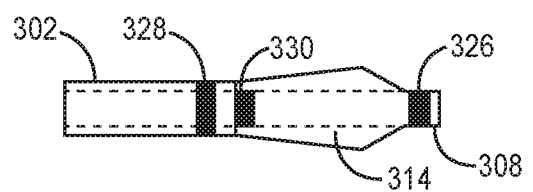


FIG. 8B

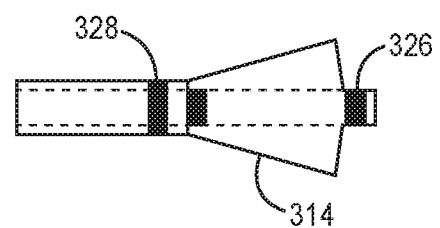


FIG. 8C

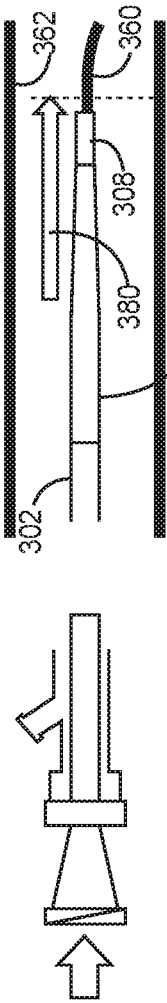


FIG. 6A

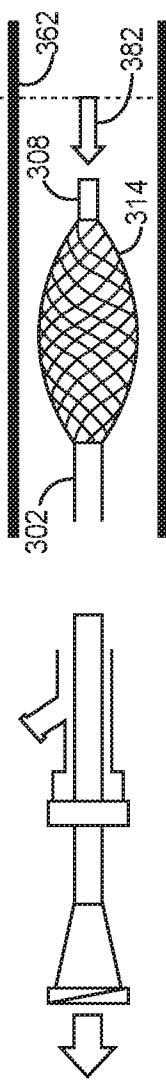


FIG. 6B

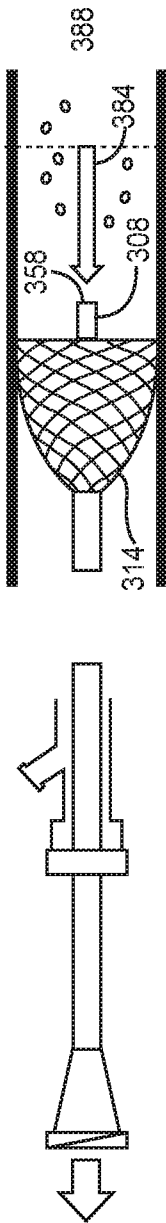


FIG. 6C

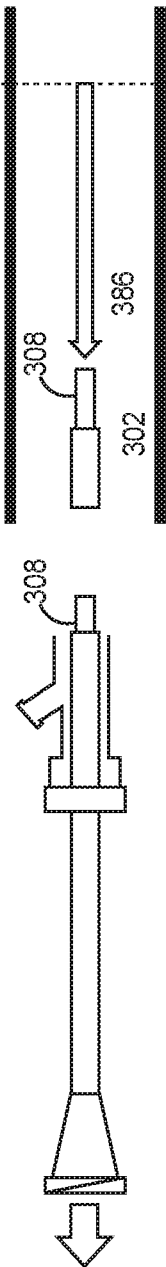


FIG. 6D

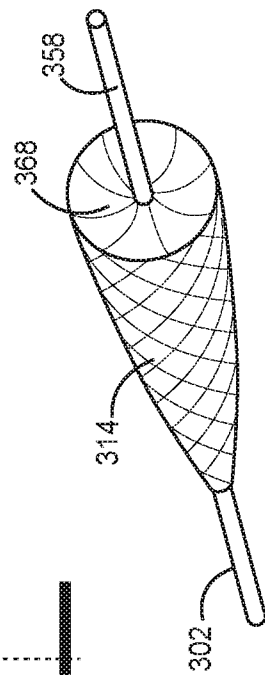
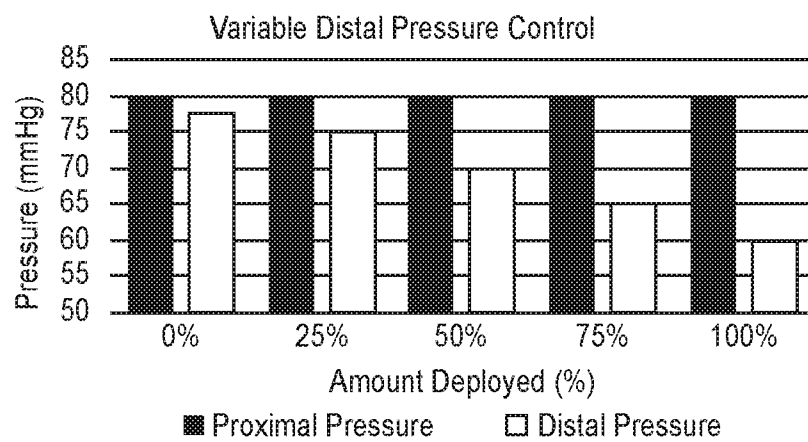
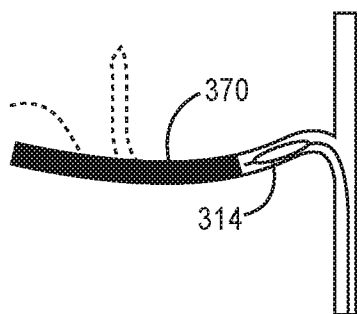
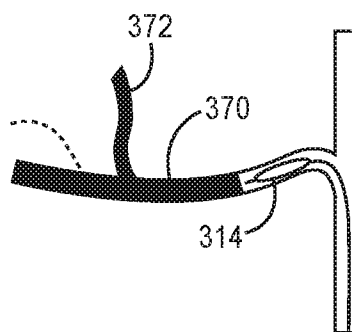
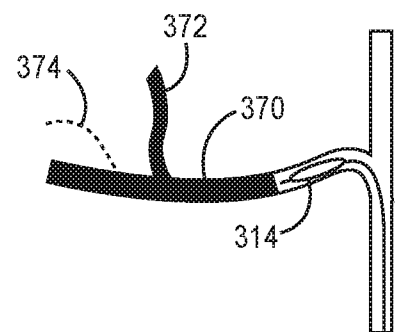


FIG. 7

**FIG. 9****FIG. 10A****FIG. 10B****FIG. 10C**

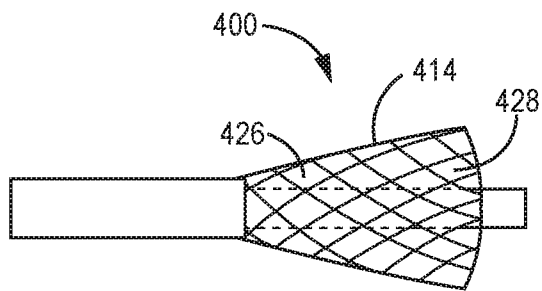


FIG. 11

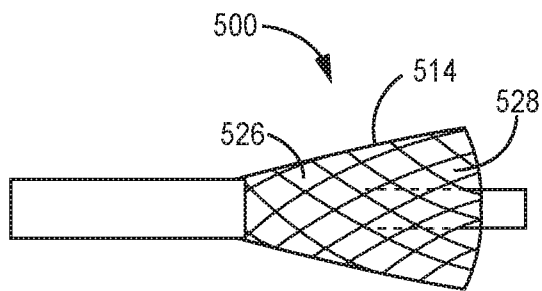


FIG. 12

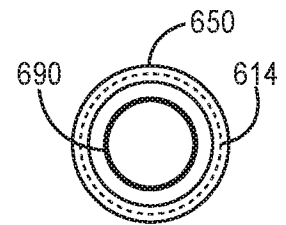


FIG. 13

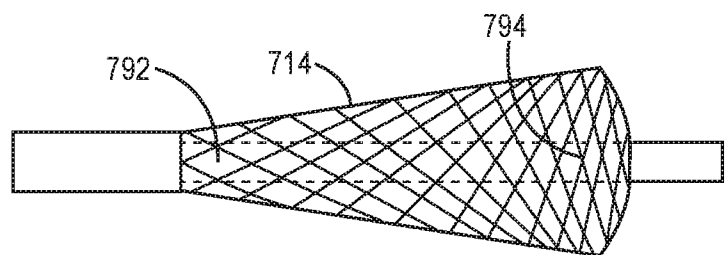


FIG. 14

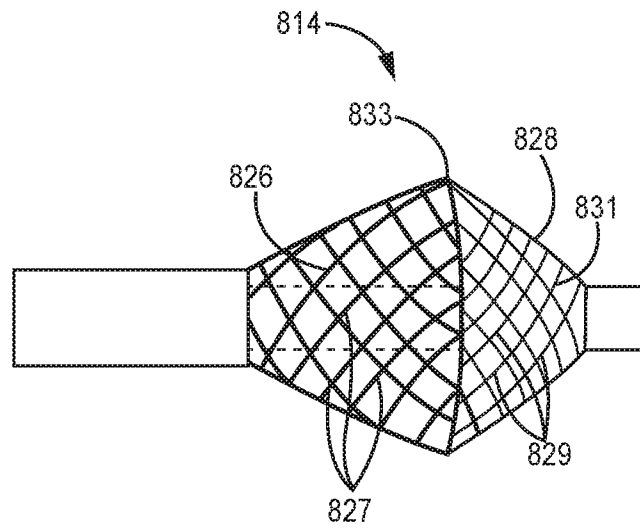


FIG. 15

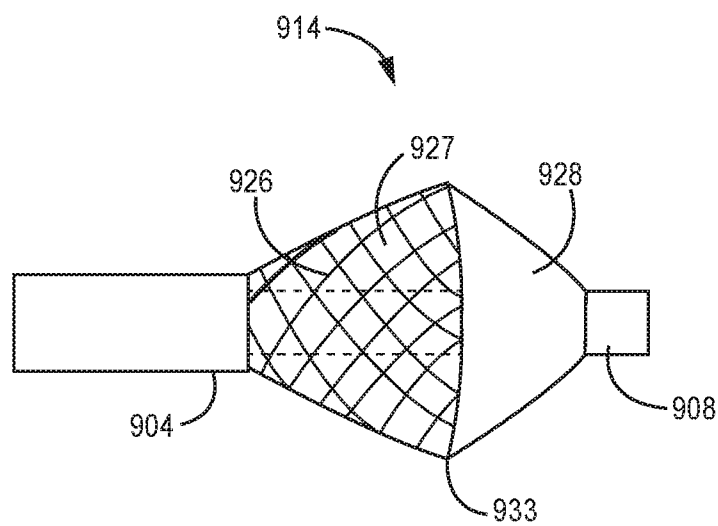


FIG. 16

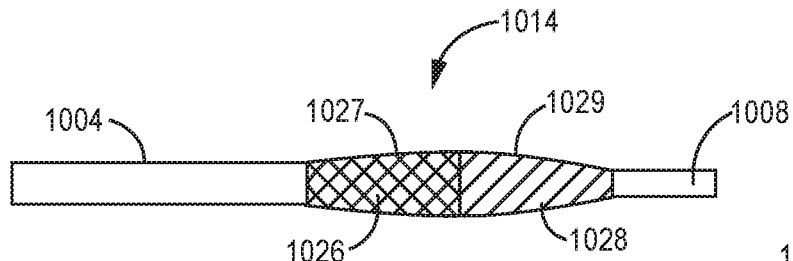


FIG. 17A

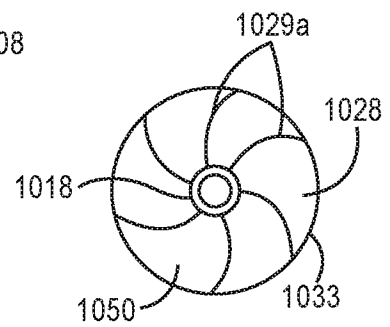


FIG. 18

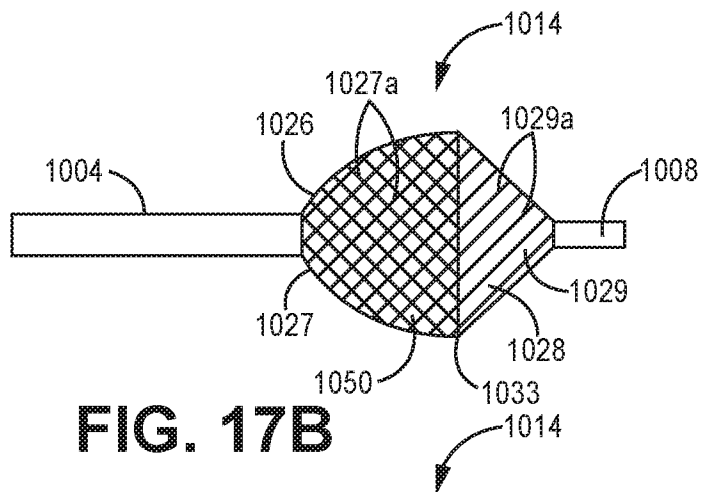


FIG. 17B

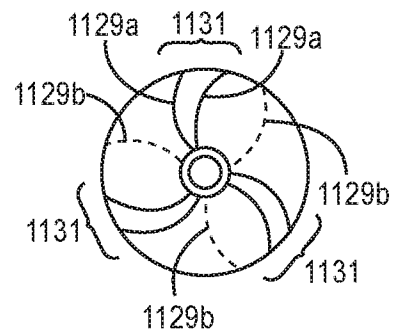


FIG. 19

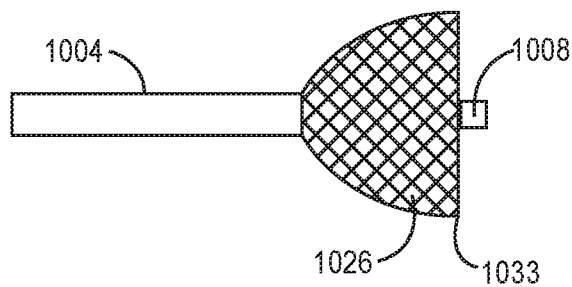


FIG. 17C

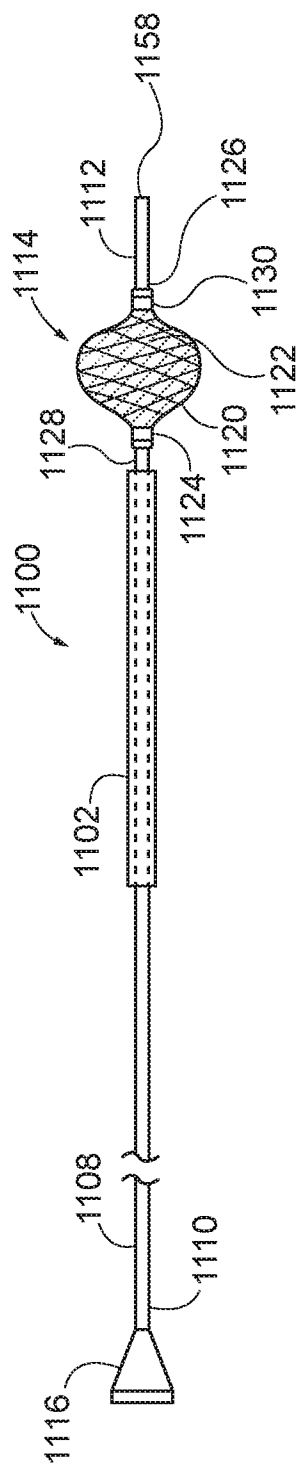


FIG. 20

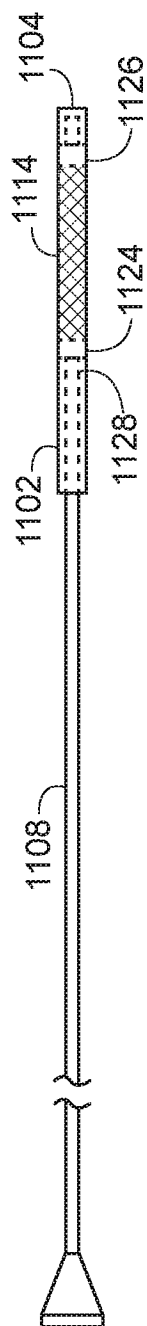


FIG. 21

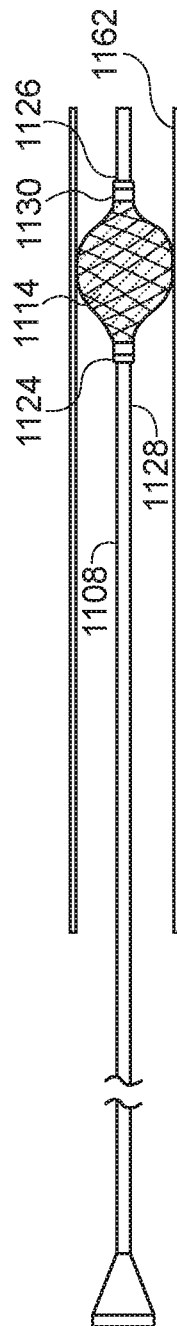


FIG. 22

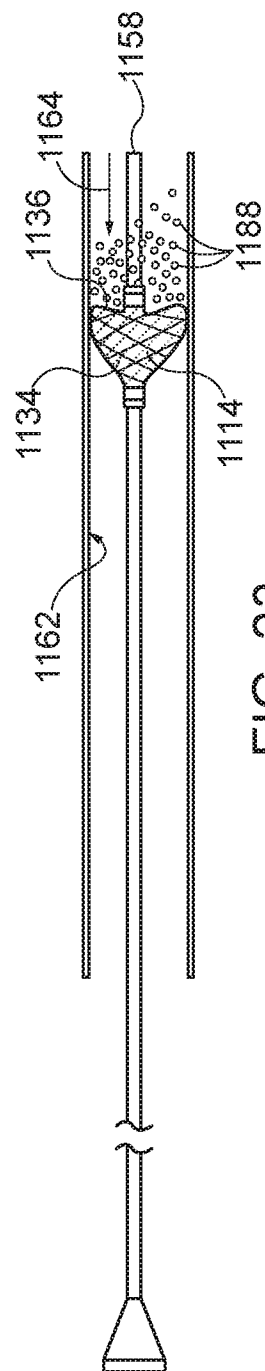


FIG. 23

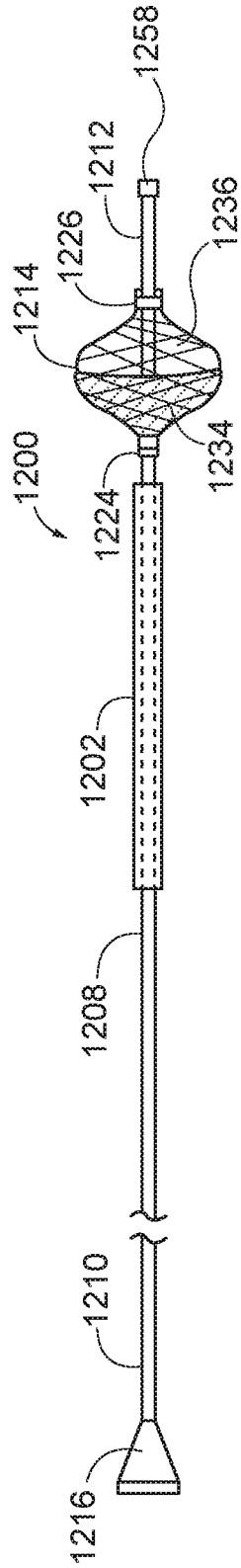


FIG. 24



FIG. 24A

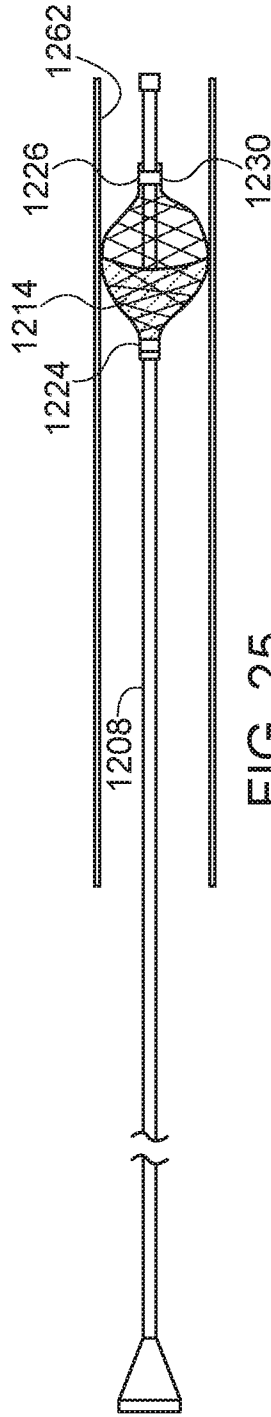


FIG. 25

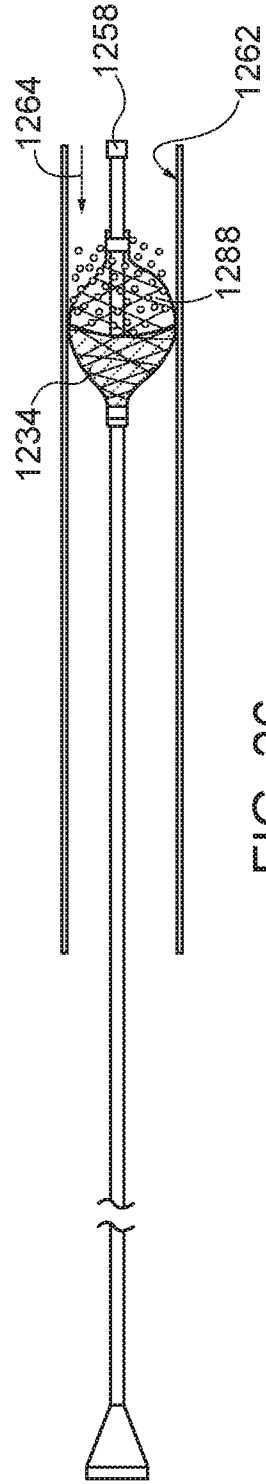


FIG. 26

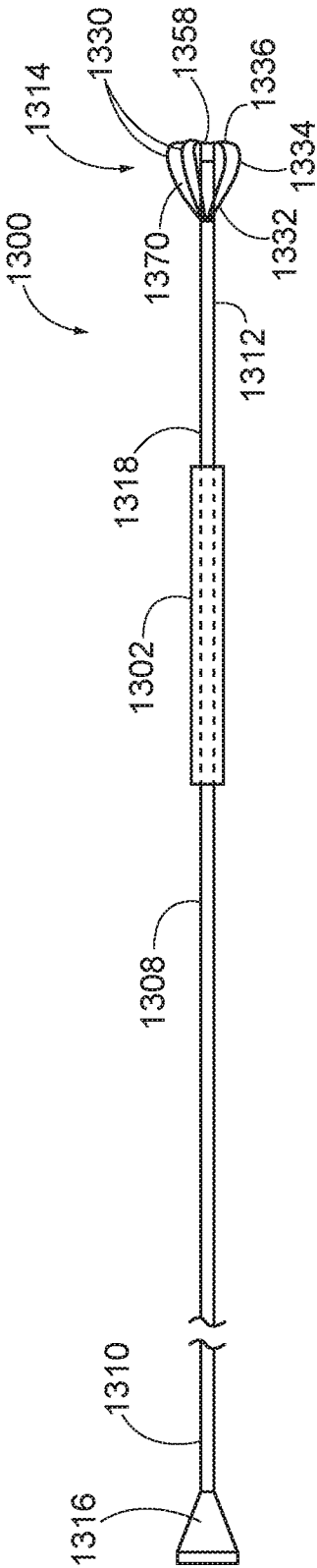


FIG. 27

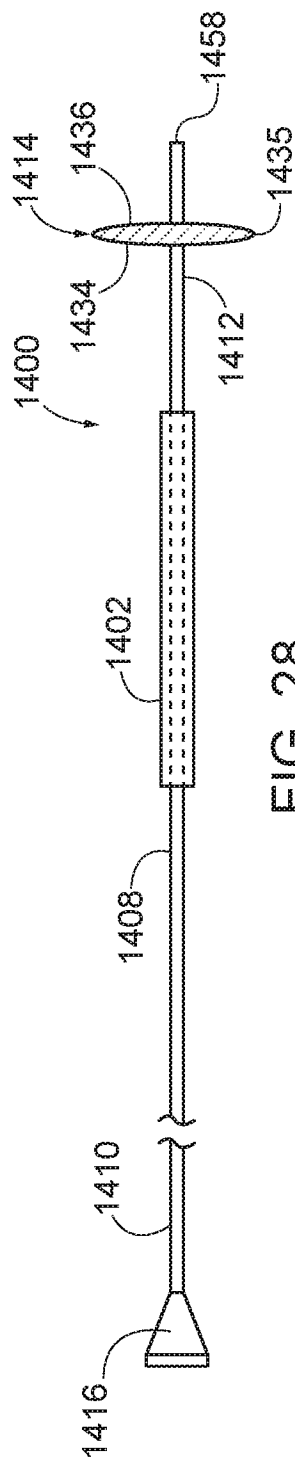


FIG. 28

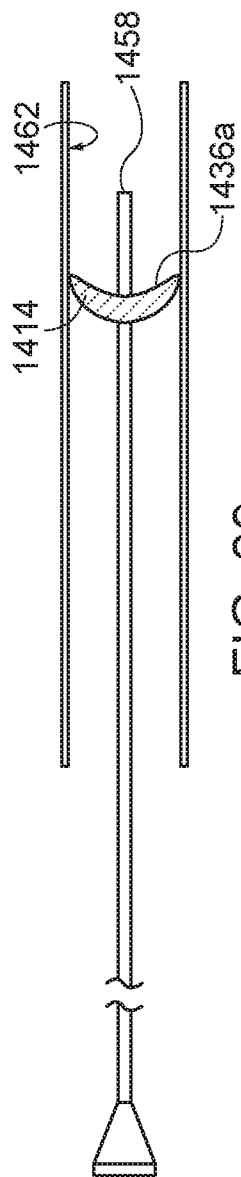


FIG. 29

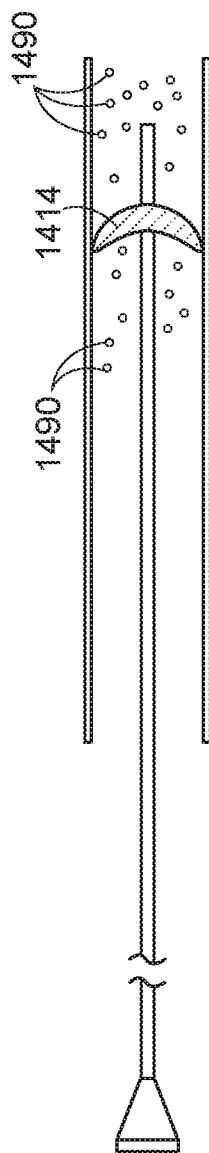


FIG. 30

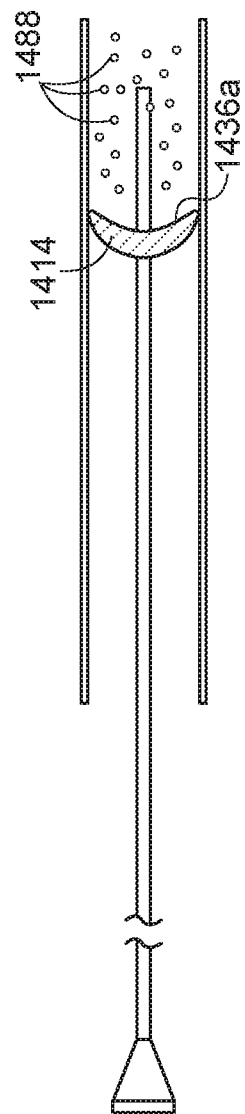


FIG. 31

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/22171

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61M 5/165 (2018.01)

CPC - A61M 5/165, A61M 2005/1652, A61M 2005/1655, A61M 5/168, A61M 5/16877, A61M 5/16881

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 2016/0256626 A9 (PINCHUK et al) 8 September 2016 (08.09.2016) entire document especially figs 17A, 17B, 17C; para [0004], [0018], [0042], [0046], [0048]-[0050], [0053], [0063], [0074], [0076]-[0082], [0086]	1, 3-10, 12-15, 17-18 ----- 2, 11, 16
Y	US 8,696,698 B2 (CHOMAS et al) 15 April 2014 (15.04.2014) entire document, especially fig 37, 38; col 4 ln 21-22; col 21 ln 42-48	2, 11, 16
A	US 2015/0306311 A1 (PINCHUK et al) 29 October 2015 (29.10.2015) entire document	1-18
A	US 2003/0212361 A1 (BOYLE et al) 13 November 2003 (13.11.2003) entire document	1-18
A,P	US 2018/0055620 A1 (CHOMAS et al) 1 March 2018 (01.03.2018) entire document	1-18

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 May 2018

Date of mailing of the international search report

03 AUG 2018

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Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/22171

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: Claims 1-18 directed to an endovascular microvalve device comprising filamentary strands.

Group II: Claims 1-2, 7-13, 19-26 directed to an endovascular microvalve device comprising discs.

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

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1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-18 (Group I)

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

Continuation of Box III: Observations Where Unity of Invention is Lacking

Special Technical Features

The invention of Group II includes the special technical feature of a filter valve comprising proximal and distal discs, the filter valve further comprising: a proximal disc and a distal disc fixed closely together about the outer surface of the catheter, and coupled together at their respective peripheral edges, not required by the claims of Group I.

Common Technical Features

The inventions of Groups I-II share the technical features of an endovascular microvalve device comprising:

a) a flexible catheter sized for introduction into the vessel, the catheter having a proximal end and a distal end, an outer surface, a lumen extending between the proximal and distal ends, and opening at a distal orifice; and

b) a filter valve having a proximal end and a distal end, the proximal end of the filter valve fixed to the outside of the catheter, and the distal end of the filter valve longitudinally displaceable relative to the proximal end of the filter valve and retained proximal of the distal end of the catheter,

wherein once the filter valve is in a deployed state in the vessel, the filter valve is dynamically movable depending on local fluid pressure conditions about the filter valve, such that,

when the fluid pressure is higher on a proximal side of the filter valve, the filter valve assumes a first configuration with a first diameter smaller than the diameter of the vessel such that flow about the filter valve and through the vessel in a proximal to distal direction is permitted, and

when the fluid pressure is higher on a distal side of the filter valve, the filter valve assumes a second configuration with a second diameter relatively larger than the first diameter and in which the filter valve is adapted to contact the vessel wall to act as a barrier to flow about the filter valve and through the vessel in a distal to proximal direction,

wherein the distal end of the filter valve is coupled at a collar that is longitudinally displaceable on the catheter,

wherein the filter valve comprises a porous polymeric material over a proximal portion of the filter valve,

wherein the filter valve comprises a porous polymeric material over a distal portion of the filter valve,

wherein the filter valve includes a filter portion having a pore size not exceeding 500 micrometers,

wherein in the first configuration, the filter valve includes a distal face that is convex in shape, and in the second configuration, the distal face of the filter valve is planar or concave,

further comprising a second catheter adapted to be longitudinally displaced over the flexible catheter to collapse the filter valve against the outer surface of the flexible catheter,

further comprising one or more radiopaque markers provided to the distal end of the flexible catheter,

wherein the flexible catheter includes a proximal hub adapted to be coupled to a pressurized infusion source.

However, this shared technical feature is known in the prior art as shown in US 2016/0256626 A9 (PINCHUCK et al), which teaches an endovascular microvalve device (device 300 fig 3A) for temporary use in a vessel of a patient during an intravascular procedure, the vessel having a vessel wall, comprising (para [0004] - "valve for performing a medical embolizing treatment, and particularly to a valve that increases penetration of a treatment agent into targeted blood vessels"):

a) a flexible catheter (flexible catheter is combination of outer catheter 302 and inner delivery catheter 308 fig 3A) sized for introduction into the vessel, the catheter having a proximal end and a distal end (see fig 3A), an outer surface (see fig 3A), a lumen (infusion lumen 320 fig 3A) extending between the proximal and distal ends, and opening at a distal orifice (distal orifice 358 fig 3A); and

b) a filter valve (filter valve 314 fig 3A) having a proximal end (342 fig 3A) and a distal end (340 fig 3A), the proximal end of the filter valve fixed to the outside of the catheter (para [0046] - "proximal end 342 of the filter valve is fused or otherwise coupled at or adjacent the distal end 306 of the outer catheter 302"), and the distal end of the filter valve longitudinally displaceable relative to the proximal end of the filter valve (compare fig 3A and 3B; para [0049] - "inner catheter 308 is displaced distally relative to the outer catheter 302 (in the direction of arrow 380) to stretch or otherwise present the filter valve in an elongate configuration") and retained proximal of the distal end of the catheter (see fig 3A, 3B),

wherein once the filter valve is in a deployed state in the vessel, the filter valve is dynamically movable depending on local fluid pressure conditions about the filter valve (para [0086] - "once the filter valve is in the deployed state in the vessel, the filter valve is dynamically movable depending on a local fluid pressure about the filter valve"), such that,

when the fluid pressure is higher on a proximal side of the filter valve, the filter valve assumes a first configuration with a first diameter smaller than the diameter of the vessel such that flow about the filter valve and through the vessel in a proximal to distal direction is permitted (para [0086] - "when the fluid pressure is higher on a proximal side of the filter valve, the filter valve assumes a configuration with a first diameter smaller than the diameter of the vessel such that fluid flow about the filter valve is permitted"; para [0018] - "substantially unrestricted forward flow of blood in the vessel is permitted"), and

when the fluid pressure is higher on a distal side of the filter valve, the filter valve assumes a second configuration with a second diameter relatively larger than the first diameter and in which the filter valve is adapted to contact the vessel wall to act as a barrier to flow about the filter valve and through the vessel in a distal to proximal direction (para [0086] - "when the fluid pressure is higher on a distal side of the filter valve, the filter valve assumes a configuration with a second diameter relatively larger than the first diameter and in which the filter valve is adapted to contact the vessel wall"; para [0018] - "backflow is prevented to stop reflux of the therapeutic agent within the vessel"),

wherein the filter valve comprises a porous polymeric material over a proximal portion of the filter valve (para [0086] - "proximal portion further includes a porous polymeric material")

wherein the filter valve comprises a porous polymeric material over a distal portion of the filter valve (para [0086] - "the distal portion further comprising a porous polymeric material")

wherein the filter valve includes a filter portion having a pore size not exceeding 500 micrometers (para [0086] - "the porous polymeric material defining a pore size not exceeding 500 um")

wherein in the first configuration, the filter valve includes a distal face that is convex in shape, and in the second configuration, the distal face of the filter valve is planar or concave (para [0086] - "in a non-deployed configuration, the filter valve includes a distal face that is convex in shape, and in a deployed configuration, the distal face of the filter valve is planar or concave")

further comprising one or more radiopaque markers provided to the distal end of the flexible catheter (para [0042] - "radio-opaque marker band 326 is provided at the distal end 312 of the inner catheter 308")

wherein the flexible catheter includes a proximal hub (hub 316 fig 3A) adapted to be coupled to a pressurized infusion source.

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/22171

Continuation of Box III: Observations Where Unity of Invention is Lacking

The prior art is also exemplified by US 8,696,698 B2 (CHOMAS et al) which teaches

wherein the distal end of the filter valve (filter valve is combination of struts 2516 and valve sleeve 2512 fig 37) is coupled at a collar (collar 2534) that is longitudinally displaceable on the catheter (compare longitudinal position of collar 2534 in fig 37 and fig 38), and further comprising further comprising a second catheter adapted to be longitudinally displaced over the flexible catheter to collapse the filter valve against the outer surface of the flexible catheter (col 4 ln 21-22 - "an outer catheter or sleeve extending over the delivery catheter may be used to keep the valve in an undeployed state").

As the common features were known in the art at the time of the invention, they cannot be considered special technical features that would otherwise unify the groups.

Therefore, Groups I-II lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.