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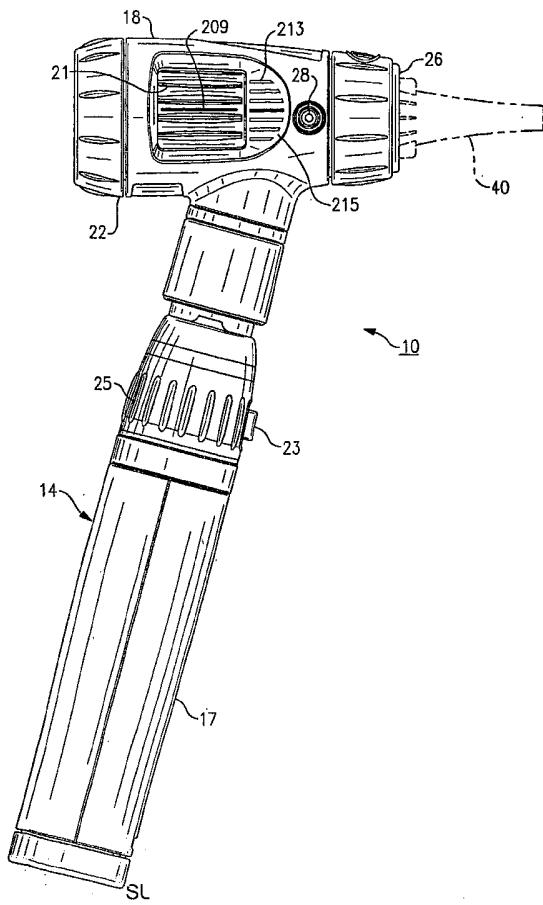
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(54) Title: OTOSCOPE



(57) Abstract: An otoscope permitting examination of a patient's ear is defined by an instrument head including a proximal end and a distal insertion portion that is insertable into the ear. The otoscope includes an imaging lens train disposed within the instrument head, wherein each of the imaging lens train, an eyepiece and a distal opening of said insertion portion are aligned along an optical axis. The otoscope further includes a focusing mechanism for selectively moving at least one of the imaging lens train and the optics contained within the eyepiece relative to one another along the optical axis. The imaging lens train and the optics in the eyepiece define an optical system such that an entrance pupil is substantially located in the distal insertion portion of the instrument head, thereby enabling the entire tympanic membrane to be viewed at once by the user.

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## OTOSCOPE

### Field of the Invention

[0001] This invention relates generally to the field of otoscopy and in particular to an improved and selectively focusable otoscopic instrument.

### Background of the Invention

[0002] Otoscopes are hand-held instruments that are commonly known in the medical diagnostic instrument field by practitioners and health care providers primarily for examining the ear, including the tympanic membrane, of a patient.

[0003] A typical otoscope is capable of being held in the hand of a practitioner and includes an instrument head having a distal frusto-conical insertion portion that permits overlying attachment of a disposable speculum tip. The disposable speculum tip is also preferably designed with a frusto-conical configuration to permit insertion to only an appropriate distance into the ear canal of the patient. Commonly, a ringlet of optical fibers encircles the tip opening of the insertion portion so as not impede with the user's visualization of the target, the fibers extending from a contained light source, such as a miniature incandescent lamp or bulb, that is housed within the instrument handle or a neck portion of the instrument head. The target (e.g., the tympanic membrane) is then viewed via a lens located in the proximal end of the instrument head, the lens being aligned optically with the distal tip opening of the insertion portion to permit user viewing. Often, the lens magnifies the view of the target.

[0004] Alternatively, a video camera or at least one solid state imaging element, such as a CCD- or CMOS-based imager, can be used to view the target in lieu of the lens, the image as processed being transmitted to a video monitor for display. In addition, the instrument head can include a receiving port for a pneumatic bulb, permitting insufflation (e.g., pneumatic otoscopy). These devices can also be used, in some instances, for examining the nose and throat in addition to the ear and ear canal, as well as to provide a general illumination and magnification system.

[0005] There are a number of perceived needs in the field currently. When considering the basic functions of the otoscope; e.g., visualization of the tympanic

membrane, there have been expressed needs to increase the field of view and to provide greater magnification thereof, in addition to eye relief. Eye relief is practically defined as the distance between the most proximal optic of the instrument (e.g. the optic closest to the practitioner's eye) and the practitioner/user's eye when the full field is viewed. Magnification and eye relief are interrelated such that having an image overly magnified will bring the image "closer" to the eye of the practitioner, etc. Current otoscopes, due to the tortuous construction of the ear canal and the lenses that are used therein, cannot fit the entire tympanic membrane into the field of view.

[0006] To provide all of these noted improvements, in general, requires a tradeoff in depth of field, since optically all of the above factors are related. For example, the consequence of a depth of field loss is that for some patients with either long or short ear canals (as compared to a so-called "standard" or nominal ear canal), the tympanic membrane would no longer be in focus. This lack of focus is a distinct disadvantage and would seriously impact the practitioner's ability to give proper care.

[0007] There is yet another need generally in the field to be able to perform different diagnostic procedures as part of a comprehensive otoscopic examination. This need places increasing demands and constraints upon releasably attached disposable speculum tips used with the apparatus, in order to maintain cleanliness and prevent cross-contamination. A brief list of the requirements that are attributed to these type of otoscope tips include the following:

- i) to achieve the "best view" (e.g., straighten the ear canal walls, maximize clear aperture);
- ii) to effectively transmit light to the tympanic membrane and to collect light transmitted back from the tympanic membrane in order to effectively permit viewing thereof;
- iii) to provide an effective substantially fluid-tight seal with the ear as well as with the instrument head in order to permit insufflation (e.g., pneumatic otoscopy);
- iv) to allow placement and use of certain instrumentation while viewing through the optics, for cerumen (ear wax) removal;
- v) to permit stacking of the tips in a compact fashion to facilitate storage in a dispenser or work kit;

- vi) to be adequately cost-effective terms of manufacture in order to permit the tips to be disposable or replaceable;
- vii) to prevent cross contamination;
- viii) to fit a variety of patients (e.g., different sizes)
- ix) to minimize the risk of unsafe insertion into the typical ear; and;
- x) to fit relevant otoscopes used.

**[0008]** To meet this fairly comprehensive list of requirements, it becomes clear that any presently known otoscope tip would either optimize for only one or two of the above attributes, or perform moderately on a few of them. As a result, there are shortcomings, particularly with regard to disposable speculum tip designs that are presently available.

### **Summary of the Invention**

**[0009]** It is therefore one primary object of the present invention to provide an otoscopic apparatus that alleviates the above-noted problems and deficiencies of the prior art.

**[0010]** It is another primary object of the present invention to provide at least one or a family of otoscopic tips that provide optimum solutions for the above-noted requirements, with the fewest number of otoscope tips. This objective is especially important in that space in a physician/practitioner's office can be an issue, as well as the logistics and other issues (cross-contamination, etc.) that are often associated with managing various multiple tips.

**[0011]** It is yet another object of the present invention to provide an otoscopic instrument that provides a greater field of view as well as suitable magnification of a target, whereby the entire tympanic membrane can be viewed from a suitable working distance.

**[0012]** It is yet another primary object of the present invention to provide an otological instrument that includes an adjustable optical system that permits selective focusing by the practitioner without compromising performance or efficacy.

**[0013]** It is yet another primary object of the present invention to provide an otoscope having a single focus position which can substantially cover typical tympanic membrane distances in order to reduce the amount of time that is usually

required for focusing, especially in situations where it is not practical for some patients (e.g., squirming children).

[0014] It is still another primary object of the present invention to provide an otoscope having an optical system that permits the above noted focusing position, while also permitting a CCD or equivalent imager to be attached, if needed, in order to achieve a digital otoscope.

[0015] Therefore and according to a first preferred aspect of the present invention, there is provided an otoscope permitting examination of a patient's ear, said otoscope comprising:

- i) an instrument head including a proximal end and a distal axisymmetric insertion portion insertable into the ear;
- ii) viewing means proximate said proximal end of said instrument head;
- iii) an imaging lens train disposed within said instrument head, each of said imaging lens train, said viewing means, and a distal opening of said insertion portion being aligned along an optical axis; and
- iv) a focusing mechanism for selectively moving at least one of said imaging lens train and said viewing means along said optical axis relative to said imaging lens train

[0016] According to a preferred version thereof, the focusing mechanism selectively moves the viewing means along the optical axis relative the imaging lens train. Preferably, the imaging lens train is disposed within a tubular member, the focusing mechanism including a rotary member whose rotation causes translational movement of optics contained within the viewing means. The otoscope may include indicating means in order to indicate the relative position of the viewing means which preferably is an eyepiece having at least one optical element contained therein.

[0017] Preferably, the focusing mechanism can be repeatably set to a preferred focusing position which can be used by the practitioner and other focus settings can be created, depending on the physical characteristics of the patient, his/her target to be viewed, and/or other factors.

[0018] By adjusting the focusing mechanism, such as by means of a rotating knob located on the eyepiece, the user can achieve both greater magnification and field of view as well as correct the focus position to the object distance.

Alternatively, the focusing mechanism includes a rotating sleeve member that can

also be accessed by the user through windows that are disposed on opposing lateral sides of the instrument head. The indicating means can further include a detent so as to indicate when the preferred focus position is achieved.

[0019] The present imaging lens train and the optics in the viewing means define an optical system. The optical system of the herein described instrument includes an entrance pupil that is located substantially within the distal insertion portion of the instrument, enabling a wider field of view than is found in typical otoscopes. According to one version, an aperture stop is arranged within the system relative to optics in order to form a conjugate to both the entrance pupil and an exit pupil of the system.

[0020] According to yet another preferred aspect of the present invention, there is provided an otoscope permitting examination of a patient's ear, said otoscope comprising:

- i) an instrument head including a proximal end and a distal insertion portion that is inserted into the ear of a patient;
- ii) viewing means attached to the proximal end of said instrument head;
- iii) an imaging lens train disposed within said instrument head distally relative to said viewing means and
- iv) an optical system comprising said imaging lens train and said viewing means including an entrance pupil that is arranged substantially adjacent the distal tip opening of the insertion portion of said instrument head, said imaging lens train relaying the image to said viewing means, said imaging lens train being capable of capturing the entire tympanic membrane at once and relaying the image of said tympanic membrane through said viewing means.

[0021] According to yet another preferred aspect of the present invention, there is provided a method for manufacturing an otoscopic instrument having an instrument head with a distal insertion portion, said method including the steps of:

- i) providing viewing means proximate said proximal end of said instrument head;
- ii) providing an imaging lens train disposed within said instrument head, each of said imaging lens train, said viewing means and a distal opening of said insertion portion being aligned along an optical axis; and

iii) providing a focusing mechanism for selectively moving at least one of said imaging lens train and said viewing means relative to one another along said optical axis.

[0022] According to yet another preferred aspect of the present invention, there is provided an otoscope comprising:

an instrument head having a distal insertion portion for insertion into the ear, said distal insertion portion having a distal opening; and

an optical system contained within said instrument head, said optical system including an entrance pupil and a viewing means for viewing an image of a target of interest aligned along an optical axis with said distal opening, wherein said entrance pupil is located adjacent the distal opening of the distal insertion portion of the instrument head.

[0023] One advantage of the present invention is that a practitioner can use the herein described instrument without additional training being required.

[0024] Yet another advantage of the present invention is that a practitioner can utilize the present instrument according to a fixed focus setting that is used by a majority of the patient population or can alternatively and selectively modify the focusing position, as needed, depending on certain factors.

[0025] Yet another advantage of the present invention is that the practitioner can view the entire tympanic membrane without panning the instrument, thereby improving examination time and making the examination procedure less painful for the patient, particularly when the patient's ears are already infected and sore.

[0026] A further advantage achieved by the present instrument is that it is capable of one-handed operation in any orientation thereof.

[0027] These and other objects, features and advantages will become readily apparent from the following Detailed Description which should be read in conjunction with the accompanying drawings.

#### **Brief Description of the Drawings**

[0028] FIG. 1 is a side view of an otoscope made in accordance with the present invention;

[0029] FIG. 2 is an isometric view of a disposable otoscopic tip element used in conjunction with the otoscope of Fig. 1;

[0030] FIG. 3 is a front view of the otoscopic tip element of Fig. 2;

- [0031] FIG. 4 is a side view of the otoscopic tip element of Figs. 2 and 3;
- [0032] FIG. 5 is a rear view of the otoscopic tip element of Figs. 2-5;
- [0033] FIG. 6 is a side view, in section, of the instrument head of the otoscope of Fig. 1 as taken through lines 6-6 of Fig. 7;
- [0034] FIG. 7 is a top plan view, in section, of the instrument head of Fig. 6;
- [0035] FIG. 8 is a front perspective view of the instrument head of Figs. 6 and 7;
- [0036] FIG. 9 is a rear perspective view of the instrument head of Figs. 6-8;
- [0037] FIG. 10 is a partial front perspective view of the tip release actuator assembly of the otoscope;
- [0038] FIG. 11 is an exploded view of the tip release actuator assembly of Fig. 10;
- [0039] FIG. 12 is a partially exploded view of a focusing sleeve for use in the otoscope of Figs. 1 and 6-9;
- [0040] FIG. 13 is an exploded view of the eyepiece mechanism of the otoscope of Figs. 1 and 6-9;
- [0041] FIG. 14 is a sectioned view of the eyepiece mechanism of Fig. 13;
- [0042] FIG. 15 is a side elevational view, partially in section, showing various design modifications to the otoscopic tip element as compared to certain known tip element designs;
- [0043] FIG. 16 is a side view of an instrumentation tip element made in accordance with one aspect of the present invention;
- [0044] FIG. 17 depicts an elastomeric assembly which is attachable to the tip element of Figs. 3-5; and
- [0045] FIGS. 18(a) and 18(b) illustrate partial front perspective views of an otoscope tip ejection mechanism shown in two operative positions;
- [0046] FIG. 19 is a ray trace diagram of the optical system of the otoscopic instrument in accordance with a preferred embodiment; and
- [0047] FIG. 20 is a side elevational view of the instrument head as attached to an electronic imager used as a viewing means in lieu of an eyepiece mechanism.

### Detailed Description

[0048] The following description relates to a preferred embodiment of an otoscope that is made in accordance with the present invention as well as to preferred embodiments of a disposable, releasably attachable otoscopic tip element design. However, from the description there are many variations and modifications that will become apparent to one of sufficient skill in the field that can be made in accordance with the following inventive aspects.

[0049] In addition, several terms such as "distal", "proximal", "top", "bottom", "front", "rear", "clockwise", "counterclockwise", and others are used throughout the discussion in order to provide a convenient frame of reference with regard to the accompanying drawings. These terms, however, should not be necessarily be regarded as limiting, except where so specifically indicated.

[0050] Referring to Fig. 1, the otoscope herein labeled 10 includes a cylindrical handle portion 14 that contains a set of batteries (not shown) that are retained within an interior battery compartment (not shown), the handle portion having a bottom portion 17 that is preferably removable in order to permit the exchange of batteries. The handle portion 14 permits the instrument 10 to be handheld and includes a top portion that is sized to accommodate an instrument head 18 which is fitted thereto. The instrument head 18 is substantially hollow so as to accommodate an insufflation port 28, the head being defined by a proximal end 22 and an opposing distal end 26 having an axisymmetric distal insertion portion 29, Fig. 6. The handle portion 14 further includes an actuatable button 23, disposed above the bottom portion 17, that is used to power up the instrument as well as a rheostat 25 that is used to selectively adjust the illumination output of an illumination assembly that is contained in a necked or throat portion of the instrument head 18. It should be noted that each of the above features relating to the handle portion 14 are commonly known in the field and require no further explanation with regard to the present invention.

[0051] Before referring more specifically to a more detailed description of the remainder of the herein described otoscopic instrument 10, the following discussion refers to Figs. 2-5 and more specifically to a preferred disposable speculum tip element 40 that is releasably mounted in overlaying relation onto the distal axisymmetric insertion portion 29 of the instrument 10. The tip element 40 is made preferably from a moldable plastic material, such as polypropylene, and is

defined by a substantially axisymmetric configuration including a pair of open ends, namely a narrowed distal end 44 that tapers outwardly to a wider proximal end 48. The proximal end 22 also contains a number of non-axisymmetric features, discussed in greater detail below.

[0052] For purposes of the following discussion, the tip element 40 shown in Figs. 2-5 represents an "adult-sized" tip; that is, a tip element that is used for insertion into the ear of adult patients, though each tip element, regardless of the intended patient, commonly includes a number of engagement features, both external and internal, that permit the tip element 40 to be attached to the otoscope and more particularly to the distal axisymmetric insertion portion 29, Fig. 6. It will be readily understood that the tips can be made with varying sizes, depending on the patient.

[0053] In addition to the above, the present tip elements 40 each include a larger distal aperture and can comfortably extend a greater distance into the ear canal of a patient than any previously known disposable tip element of its aperture size.

[0054] Referring to Fig 15, it has been determined empirically that a typical tip element has critical-dimensions based on the anatomy of the ear canal and on the conical construction of the distal axisymmetric insertion portion 29 of the instrument 10. Increasing both the inner diameter of the distal end of the tip element 40 and the length of that portion of the tip element insertable into the ear permit a better access to and view of the tympanic membrane. To illustrate this, a comparison between adult-sized tip elements 40 and pediatric (child) tip elements 40A made in accordance with present invention is provided with previously known tip elements 40', 40A' of the same types as herein represented in Fig. 15. Primarily, each tip element 40, 40', 40A, 40A' includes a pair of surfaces; a first surface represented from the proximal end of each tip element to an intermediate interface, indicated as -I-, needed to accommodate the cone of the distal axisymmetric insertion portion 29 of the otoscope; and a second conical surface that extends from the intermediate interface -I- to the distal tip opening. The present tip elements 40, 40A are each substantially lengthened beyond the intermediate interface -I-, therefore permitting the tip element to be extended a greater distance into the ear canal of the patient. In addition, the distal tip aperture of each tip element 40, 40A is widened as compared with the depicted previous tip designs 40', 40A'. Each of the representative differences are represented tabularly in Fig. 15 along with an overlay of each of the

tip elements 40, 40A, 40', 40A', as represented on each of an existing otoscope cone and an insertion portion that is made in accordance with the present invention.

**[0055]** Referring back to Figs. 2-5, each tip element 40, regardless of the intended patient (e.g., pediatric, adult, etc.,), includes a plurality of external engagement features 52 that are located in relation to the proximal open end 48 of the tip element. According to this specific embodiment, three (3) such features 52, equally spaced from one another circumferentially by about 120 degrees, are provided, though the actual number of engagement features provided can easily be varied. Each of the external engagement features 52 according to this embodiment extends radially from the open proximal end 48 of the tip element 40 and commonly includes a circumferential securing portion 55 and a depending axial portion 54 forming a substantially L-shape, the circumferential securing portion 55 having a plurality of teeth 56 that are located on an engagement surface thereof.

Additionally, the circumferential securing portion 55 is substantially wedge-shaped, the portion having a maximum thickness at the interface with the depending axial portion 54 and a tapered minimum thickness at an opposing end, thereby forming the ramped engagement surface. The depending axial portions 54 facilitate stacking of a plurality of tip elements 40, as well as provide a grip surface when attaching the tip elements to the otoscope. An additional plurality of spaced axial ribs 66 disposed between each of the depending axial portions, also provide a gripping surface when attaching the tip elements 40, as is described in greater detail in a later section.

**[0056]** The interior surface 60 of the herein described tip element 40 is polished to improve light transmissibility and further preferably includes an angled interior protrusion 64 that is located near the proximal tip opening 44. Referring to Fig. 5, the tip element 40 also includes an interior annular sealing ring 70, which is provided to assist in sealing the tip element to a conical portion of the distal axisymmetric insertion portion 29 of the instrument head 18, preferably for insufflating purposes.

**[0057]** Referring now to Figs. 6 and 7, the instrument head 18 retains a number of components, including the above-described disposable tip element 40 that is mounted in overlaying relation onto the distal axisymmetric insertion portion 29 and to an actuator mechanism, also described in greater detail in a later portion of

this description that permits releasable attachment/disengagement of the tip element 40 to and from the instrument 10.

**[0058]** The above instrument 10 can be used for pneumatic otoscopy as is known through a hose connection 272, Fig. 7, partially shown, to the insufflation port 28, Fig. 7, the hose connection extending to a pneumatic supply (not shown) as is commonly known.

**[0059]** Within the confines of the instrument head 18, and beginning at the distal axisymmetric insertion portion 29 and extending proximally therefrom along a defined optical axis 27 is an imaging train that includes a predetermined number of optical elements, most of which are disposed within an open-ended tubular member 80. The tubular member 80 has a variable diameter that is defined herein by three axial sections, each axial section having a different interior diameter. The first axial section 84 of the tubular member 80 is defined by an initial diameter at the distal end thereof, and is sized for retaining an objective distal or front lens 96 and a lens 100, respectively, each of these lenses being disposed in adjacent relation to one another to form a doublet. The lenses 96 and 100 are mounted adjacently to one another, with the objective distal lens 96 partially extending outwardly from the distal most opening of the tubular member 80. The second axial section 88 of the tubular member 80 is defined, according to this embodiment, by a second interior diameter that is larger than the diameter of the first axial section 84, the second section linking an adjacent third section 92 that contains a first relay lens 104, an aperture stop 108, and a second relay lens 112, respectively, each of these elements being appropriately spaced from one another. The diameter of the third axial section 92 of the tubular member 80 is larger than either of the diameters of the first and second portions 84, 88 thereof. A functional discussion of the imaging train as well as that of the overall optical system of the herein described embodiment 10 is provided in a later portion herein.

**[0060]** Referring back to the overall assembly of the instrument 10, the tubular member 80 is retained within an inner former assembly 116 that is also positioned within the instrument head 18, wherein the first axial portion 84 of the tubular member 80 is sized to fit within the distal axisymmetric insertion portion 29. The inner former assembly 116 provides support for the tubular member 80 and further provides means for a plurality of extending optical fibers (not shown) from an illumination assembly 124. Referring to Fig. 6, the illumination assembly 124 is

fitted within a necked or throat portion of the instrument head 18, the illumination assembly comprising a miniature incandescent lamp 128, the lamp being mounted within a base 136 and connected thereto via a lamp retainer 140, each of the above being held within a cylindrical sleeve member 144. A bumper guard 146 is placed onto the top of the lamp 128 in order to protect the lens envelope. The electrical connections of the illumination assembly with the batteries (not shown) provided in the handle portion 14, Fig. 1, as well as the interconnection to the rheostat 25, Fig. 1, are commonly known and do not form an essential part of this invention.

**[0061]** Preferably, the first axial portion 84 of the tubular member 80 is fitted within the interior of the distal axisymmetric insertion portion 29 such that the distal objective lens 96 is proximate the distal opening thereof, as shown in Fig. 6, the tubular member and surrounding inner former assembly 116 being placed through an opening in the instrument head interior that is sized for accommodating same. Preferably, the tubular member 80 is sealed to the proximal end of the inner former assembly 116 using a suitable adhesive, wherein a portion of the third axial section 92 of the tubular member extends therefrom. The seal, shown as 150 in Fig. 7, must be proximal (e.g., behind) the insufflation port 28 in order to permit insufflation to be achieved, such as through a hose connection 272, partially shown in Fig. 7, to a pneumatic supply. In other words, air entering the insufflation port 28 would flow forward (e.g., toward the insertion portion and the distal tip) meaning that the seal must be toward the proximal end beyond the insufflation port.

**[0062]** An eyepiece mechanism 160, as more specifically shown in Figs. 6, 7, 13 and 14, is retained at the proximal end 48 of the instrument head 18, the mechanism including a substantially cylindrical lens carrier member 164 having a set of external threads 168 that are disposed adjacent to a square distal end 172 thereof. The square distal end 172 of the lens carrier member 164 is sized to be fitted into a corresponding opening 180, Fig. 7, provided within the interior of the instrument head 18 that retains the lens carrier member 164 and prevents the member from rotational movement. A tubular lens retainer member 176 is fixedly attached to the lens carrier member 164 by means of corresponding threaded portions 186, 184 on the interior distal end of the lens retainer member 176 and the exterior of the proximal end of the lens carrier member 164, respectively. The lens retainer member 176 includes an interior that is sized for receiving a pair of optical lenses 190, 194, that, when the lens retainer member and the lens carrier member are

assembled to the instrument head 18, are aligned along the optical axis 27, Fig. 7, on which the optical elements 96, 100, 104, 112 of the imaging train are also aligned. The eyepiece mechanism 160 further includes a wave spring 192 and a lens retainer 196, each being disposed between the lens 190 and the lens carrier member 164. In addition, a spacer 195 is disposed between the lenses 194, 190 and an O-ring 199 is used to seal the lens 194 with the lens carrier member 176.

**[0063]** Referring to Figs. 6, 7 and 12, the external threads 168 of the lens carrier member 164 engage with a set of corresponding threads 207 that are provided on the interior surface of a cylindrical focusing sleeve member 200 that is fitted thereupon in overlaying relation. The focusing sleeve member 200 has an axial length extending so as to project from the proximal end 48 of the instrument head 18 when the sleeve member is attached. A soft grippable elastomeric cover 202 overlays an axial portion of the sleeve member 200, the cover being mounted to rotate along with the sleeve member to an end of travel as determined by protrusion 203. A ball and compression spring 204, 206, shown only in Fig. 7, are each disposed within the interior of the instrument head 18, each being aligned with a single depression (not shown) that is formed on the exterior of the focusing sleeve member 200, the spring biasing the ball and forming a rotational detent that signals to the user that a predetermined factory-set focus position has been reached. A focusing knob 208 is snap fitted onto the extending proximal end of the focusing sleeve member 200. The focusing knob 208 includes a center opening 212, permitting the user/practitioner to view a target along the aligned optical axis 27, as does each of the focusing sleeve member 200 and the lens retainer member 176, respectively, and permitting selective axial adjustment of the eyepiece mechanism 160, Fig. 13, relative to the imaging train through rotational movement of the sleeve member 200. Preferably and during assembly, the lens retainer member 176 is adjusted relative to the lens carrier member 164. This adjustment permits creating a factory setting, for example, for humans at a certain focal length, and a different factory setting for example, for veterinary uses having a longer default focus position, wherein the sleeve member simply adjusts either above or below this position.

**[0064]** For purposes of adjustability, the instrument head 18 further includes a pair of windows 21, Figs. 8, 9, that are formed on opposing lateral sides thereof, wherein axial portions of the soft grippable elastomeric cover 202 to the sleeve

member 200 are accessible to a user in addition to the focusing knob 208, as shown, for example, in Figs. 8 and 9.

**[0065]** The tip actuator mechanism of the instrument 10 is now explained in greater detail with reference to Figs. 2-5, 10, 11, and 18 (a) and 18 (b). This mechanism includes a tip element retainer member 240 that is stationarily attached to the distal end of the instrument head 18, the retainer including a plurality of circumferentially spaced slots 242. In this embodiment, three slots 242 are provided, in which two of the slots include circumferential ramped surfaces 244. Each of the ramped surfaces 244 includes a set of teeth for engaging with the teeth 56 that are provided on the external engagement tabs 52 of the tip element 40. The tip actuator mechanism further includes a rotatable actuator knob 252 that is biased by means of a spring 256, the spring having an axial first end 260 that passes through a slot 264 in the actuator knob 252 to a hole 268 provided in the retainer member 240. The remaining end 269 of the spring 256 fitted within a slot 270 that is formed on the actuator knob 252. The retainer member 240 attaches to a front facing surface of the rotatable actuator knob 252, the actuator knob further including a pin 254 that extends from the front facing surface into that slot 242 in the retainer member not having the circumferential ramping surfaces 244.

**[0066]** In operation, an otoscopic tip element 40 as described above, is attached onto the distal end of the instrument head 18 and more specifically in overlaying relation to the distal axisymmetric insertion portion 29, the circumferential securing portions 55 of each of the external engagement features 52 being fitted into the circumferential slots 242 that are provided in the tip element retainer member 240. The tip element 40 is then twisted, in this example, in a clockwise fashion, so as to engage the teeth 56 of two of the wedge-like engagement features 52 with the corresponding ramped surfaces 244 of the tip element retainer member 240, thereby providing positive engagement and providing tactile feedback to the user when attaching the tip element 40 to the instrument 10.

**[0067]** Referring to Figs. 10, 11 and 18 (a) and (b), and in order to release a tip element 40 from the instrument 10 following a patient examination, the actuator knob 252 is rotated in a counter-clockwise direction as denoted preferably by an indicator 261 located on the exterior of the actuator knob 252. This causes rotational movement of the knob 252 relative to the stationary tip element retainer member 240 and further causes a front face pin 254 to move that slot 242 not having

a ramped surface 244, driving the tip element 40 rotationally from the slots of the retainer member 240, releasing the tip element.

[0068] The design of the herein described tip element 40 is fairly universal; that is, the tip element is designed not only to fit the herein described instrument 10, but a number of already existing otoscopes, such as those employing bayonet-type attachment scheme as described by U.S. Patent No. 3,698,387, and ejector-type mechanism as described by U.S. Patent No. 4,366,811 the entire contents of each herein being incorporated by reference.

[0069] In operation, the use of the focusing mechanism permits relative movement of the optics of the eyepiece mechanism 160 relative to the imaging train of the instrument 10. The focusing sleeve member 200 and the soft grippable elastomeric cover piece 202 are each permitted to rotate about the optical axis 27, while the lens carrier member 164 and attached lens retainer member 176 are caused only to translate linearly due to the rotationally fixed connection with the instrument head 18. The remainder of the optical imaging train, disposed within the tubular member 80, including front objective lens 96 is stationary, and therefore relative movement is achieved, permitting focus adjustment to take place. As noted, the biased engagement of the ball by the compression spring into the depression of the focusing sleeve member 200 provides an indication of a predetermined fixed focus position (a preset position or distance between the eyepiece optics and the optics of the remainder of the stationary imaging train within tubular member 80) as sensed by the user/practitioner.

[0070] Indication of this preset or other focus position can be achieved by means of a visual indicator 209, provided on the exterior of the grippable elastomeric cover 202, portions of the cover being accessible through the windows 21 formed on lateral sides of the instrument head 18 to enable selective rotary movement of the focusing mechanism by means of the soft grippable cover 202 in lieu of the focusing knob 208.

[0071] A scale of markers 213 are formed on edge portions of the instrument head adjacent the windows 21 including a preset focus position marker 215 that can be aligned with the visual indicator 209 which, in combination with the detent, further indicates the preferred nominal focusing position of the instrument 10.

[0072] In the predetermined fixed focus position, according to this embodiment, the overall length of the entire imaging system (e.g., the distance

between the most distal and proximal optical surfaces including the eyepiece optics) is approximately 77.60 mm, the magnification is 1.63 X when the tympanic membrane is located at a working distance of approximately 10 mm from the open distal end 44 of the speculum tip element 40, and the depth of field is approximately 3-5 mm. Additionally, the nominal eye relief is approximately 21.5 mm.

**[0073]** Referring to Figs. 7 and 19, the aperture stop 108 is optically conjugate to both the entrance pupil 8 and the exit pupil 9 of the entire optical system. The axial location and the size of the entrance pupil 8 are critical in achieving an unobstructed view of the entire tympanic membrane, shown schematically in Fig. 19 as 6. If the entrance pupil 8, which is located distally relative to lens 96, is too close to that lens, there is excessive obstruction of rays emerging from the upper edge of the tympanic membrane 6 by the end of the tip element 40. If the entrance pupil is located too far distally from lens 96, then there is excessive obstruction of rays emerging from the upper edge of the tympanic membrane 6 by the edge of the first or last optical surface of the doublet consisting of lens 96 and lens 100. In this embodiment, the entrance pupil 8 is located in close proximity to the objective lens doublet (lenses 96 and 100), such as to achieve the optimal view of the tympanic membrane 6 with minimal ray obstruction. Similar considerations apply to the physical size of the aperture stop 108.

**[0074]** The exit pupil 9 is located approximately 21.5 mm proximal to the most proximal optical surface of lens 194. This distance provides: a) optimal image stability in relation to lateral movement of the user's eye during an ear examination; b) optimal viewing of the tympanic membrane 6 with minimal ray obstruction; and c) the ability to accommodate a large range of spectacle lenses. This exit pupil location relative to lenses 190 and 194 is constant regardless of the position of the focusing mechanism.

**[0075]** The optical system described herein can easily be expanded to video/imager human otoscopy by adding an electronic imager assembly 304 onto the proximal end of the otoscope, as shown in Fig. 20. In addition, the herein described instrument can similarly be used for optical or video/imager based veterinary otoscopy. Furthermore, one can easily and conveniently modify the optical system shown herein by using appropriate optical adapters, e.g., by adding optics to the viewing means shown in the preceding embodiment.

[0076] Selective focusing travel of the lenses 190 and 194 of the eyepiece mechanism is such as to give the user the ability to achieve a close-up view (important in infant ear examinations) and a distant view (important in throat and nasal examinations). The working distance between the tympanic membrane 6 and the first optical surface of the distal lens 96 is optimized according to this embodiment to fall around 27 mm; this latter dimension is clinically important because it provides the correct setup between inserting the otoscope too deeply into the ear canal. In addition, the herein described optical system produces an erect image of the tympanic membrane to the user at the viewing means.

[0077] The imaging train substantially places the objective doublet 96, 100 within the ear at the time of examination, since this optical element is located in the distalmost portion of the instrument head 18, and much closer to the tympanic membrane than any typical otoscope. As a result, a greater (e.g., wider) field of view is achieved, i.e., an area larger than that of the tympanic membrane can be viewed by the user in an operative position of the instrument. Moreover, the entrance pupil location enables an unobstructed view of a typical 7 mm adult tympanic membrane for working distances greater than or about 27 mm, wherein the working distance is defined as the spacing separating the tympanic membrane from the distal surface of the lens 96 or approximately, according to this embodiment, about 9.5 mm from the tympanic membrane to the distal end of the tip element 40. By creating a field of view greater than about 9 mm at a working distance of about 33 mm using the herein described optical system, the entire tympanic membrane can be observed without panning of the instrument 10. The separation between the optics contained within the tubular member 80 and the eyepiece optics 190, 194 is variable in order to permit focusing in a suitable range of working distances and compensating for user's accommodation. As a result of the foregoing, an appropriate tradeoff is achieved between magnification, field of view, working distance, eye relief and focusing range. The latter parameter is additionally critical so as to allow the instrument to be further used, for example, for examinations of the throat and/or nose of the patient.

[0078] An additional problem associated with otoscopes, particularly imaging styles with optics located in the main line of sight, is that inserting instruments into the ear is very difficult to do while seeing through the optics. Diagnostic otoscopes and others enable a magnifying window to slide to the side or

out of the way, but the resulting view is typically extremely compromised and the use of a curette through the constrained area is far from ideal.

[0079] Referring to Fig. 16, an instrumentation tip 280 and a curette 284 are herein described dealing with the above-stated problem, which allows for significantly better instrument insertion while still viewing through the optics of an otoscope 10, such as that previously described, for example. The instrumentation tip 280 according to this embodiment is essentially a cage-like member 290 that extends the tip contacting the patient away from the otoscope, leaving a large open area into which the curette 284 can be inserted and manipulated. It should be understood that the tip that contacts the patient can take a variety of shapes and sizes as can the cage distance and support structure. In this embodiment, the cage-like member 290 is defined by three legs 294 extending between a distal ear insertion portion 298 and a proximal otoscope attachment portion 299, the entirety of the cage-like member being approximately one inch in length. The curette 284 is ideally curved to maximize the ability to manipulate it within the defined open areas between the legs 294. Alternative configurations where some or the entire cage is reusable or integrally attached to the scope should be readily apparent to one of sufficient skill in the field. However, the advantage of a fully disposable version is that the nature of instrumentation implies that some foreign body has been removed from the ear which increases the exposure and risk of cross contamination. It should be noted that the length of the tip and the otoscope optics must be matched such that the area in front of the tip is in focus to the use of instrumentation. The otoscope attachment portion 299 preferably includes external engagement features, such as shown in Figs. 2-5, and or including an internal bayonet, depending on the tip attachment mechanism of the otoscope used therewith.

[0080] Referring to Fig. 17, a further problem, with disposable otoscope tips is that they do not seal well to the majority of most patient's ears. Further, soft over-mold tip versions seal relatively well, but prevent insertion within the ear beyond the depth at which the elastomer interferes with the ear. Therefore, although the tips achieve an effective seal, they prevent or impede the visualization that is essential during insufflation. It serves no practical purpose to seal and insufflate if the tympanic membrane cannot be viewed during this process, as the movement (or lack thereof) creates the basis for diagnosis.

[0081] To deal with the above stated problem, an elastomeric seal accessory 300 is provided according to one embodiment that slides onto the exterior of a disposable tip element, such as those previously described in Figs. 2-5, or other version having a substantially conical body. This elastomeric seal accessory 300 provides a good seal to the patient ear and is adjustable in its axial position on the tip 40. Therefore, the tip can be set for "deeper" insertion or shallow insertion so that both the seal as well as the proper insertion depth for visualization can be achieved. This seal accessory 300 is preferably compliant enough that it is set at the distal end of the tip and "pushes in" as the practitioner inserts the tip into the ear canal (not shown).

[0082] Additional features, such as markings on the tip and depth setting provide advantages. The geometry of the elastomeric seal accessory 300 itself also creates an advantage since it is mushroom shaped in the present embodiment, allowing the accessory to collapse in order to seal with a variety of ear canal sizes. An additional advantage exists in the case of the present mushroom-shaped design in that these tips are less sensitive to positional variation (i.e., the accessory can be sealed at many different positions along the tip). Therefore, the axial position of the accessory 300 can easily be varied along the length of the tip in order to effectively optimize the seal. It should be readily apparent that there are alternative geometries that could be conceived for the elastomeric seal accessory, embodying the inventive concepts employed herein.

**We Claim:**

1. An otoscope permitting examination of a patient's ear, said otoscope comprising:

- i) an instrument head including a proximal end and a distal insertion portion insertable into the ear;
- ii) viewing means proximate said proximal end of said instrument head;
- iii) an imaging lens train disposed within said instrument head, each of said imaging lens train, said viewing means, and a distal opening of said insertion portion being aligned along an optical axis; and
- iv) a focusing mechanism for selectively moving at least one of said imaging lens train and said viewing means relative to one another along said optical axis.

2. An otoscope as recited in Claim 1, wherein said focusing mechanism selectively moves said viewing means along said optical axis relative to said imaging lens train.

3. An otoscope as recited in Claim 2, wherein said imaging lens train is disposed in a tubular member, said focusing mechanism including a rotary member whereby rotation of said rotary member causes translational movement of said viewing means.

4. An otoscope as recited in Claim 2, including indicating means for indicating the relative position of said viewing means.

5. An otoscope as recited in Claim 4, wherein said indicating means includes a preset focus position marker for indicating the focusing mechanism is set to a preferred nominal focusing position.

6. An otoscope as recited in Claim 2, wherein said focusing mechanism contains a detent so as to detent said viewing means in at least one predetermined axial position.

7. An otoscope as recited in Claim 1, wherein said viewing means is defined as an eyepiece containing at least one optical element, said eyepiece being attached to the proximal end of said instrument head.

8. An otoscope as recited in Claim 1, wherein said viewing means includes an electronic imager.

9. An otoscope as recited in Claim 5, wherein said focusing mechanism contains detent means so as to detent said viewing means in at least one predetermined axial position and in which said at least one predetermined axial position is at said preferred nominal focusing position.

10. An otoscope as recited in Claim 1, including at least one optical adapter which can be proximally attached to said viewing means.

11. An otoscope as recited in Claim 1, wherein said imaging lens train and said viewing means define an optical system that includes a distal entrance pupil and a relay lens system.

12. An otoscope as recited in Claim 7, wherein said imaging lens train is disposed within a tubular member, and said focusing mechanism includes a rotary member whereby rotation of said rotary member causes translational movement of said at least one optical element contained in said eyepiece.

13. An otoscope as recited in Claim 11, wherein said entrance pupil is located adjacent the distal opening of the distal insertion portion of said instrument head.

14. An otoscope as recited in Claim 13, wherein said optical system creates a field of view greater than about 9 millimeters for a working distance of about 33 millimeters, thereby permitting the entire tympanic membrane to be visualized by said viewing means when said otoscope is used for examining the ear.

15. An otoscope as recited in Claim 1, wherein said imaging lens train and said viewing means produces an erect image.

16. An otoscope as recited in Claim 7, wherein said eyepiece includes at least one magnifying optic.

17. An otoscope as recited in Claim 12, wherein said instrument head includes at least one window disposed intermediate said eyepiece and said distal insertion portion, said window permitting means to rotate said rotary member for adjusting said focusing mechanism.

18. An otoscope as recited in Claim 17, including indicator means for indicating the relative movement of said at least one optical element in said eyepiece, said indicator means including a scale of preset position indicators.

19. An otoscope as recited in Claim 18, wherein said scale of preset position indicators are disposed adjacent said at least one window.

20. An otoscope permitting examination of a patient's ear, said otoscope comprising:

- i) an instrument head including a proximal end and a distal insertion portion that is inserted into the ear of a patient;
- ii) viewing means attached to the proximal end of said instrument head;
- iii) an imaging lens train disposed within said instrument head distally relative to said viewing means; and
- iv) an optical system comprising said imaging lens train and said viewing means including an entrance pupil that is arranged adjacent the distal tip opening of the insertion portion of said instrument head, said imaging lens train relaying the image to said viewing means, said imaging lens train being capable of capturing the entire tympanic membrane at once and relaying the image of said tympanic membrane through said viewing means.

21. An otoscope as recited in Claim 20, wherein said viewing means includes an eyepiece, said eyepiece including at least one magnifying optic.

22. An otoscope as recited in Claim 22, wherein said imaging lens train is disposed within a tubular member.

23. An otoscope as recited in Claim 21, wherein said otoscope creates a field of view greater than about 9 millimeters for a working distance between the distal insertion portion and the target of about 33 millimeters.

24. An otoscope as recited in Claim 21, wherein said imaging lens train and said viewing means produces an erect image.

25. An otoscope as recited in Claim 20, wherein said viewing means includes at least one electronic imager.

26. A method for manufacturing an otoscopic instrument having an instrument head with a distal insertion portion, said method including the steps of:

- i) providing viewing means proximate said proximal end of said instrument head;
- ii) providing an imaging lens train disposed within said instrument head, each of said imaging lens train, said viewing means and a distal opening of said insertion portion being aligned along an optical axis; and
- iii) providing a focusing mechanism for selectively moving at least one of said imaging lens train and said viewing means relative to one another along said optical axis.

27. A method as recited in Claim 26, further including the step of providing at least one preset focusing position for setting the focusing mechanism to at least one preferred nominal focusing position.

28. A method as recited in Claim 26, including the step of providing means for indicating to a user when said focusing mechanism is set to a preferred focusing position.

29. A method as recited in Claim 28, wherein said indicating means includes a detent mechanism.

30. An otoscope comprising:  
an instrument head having a distal insertion portion for insertion into an ear, said distal insertion portion having a distal opening; and  
an optical system contained within said instrument head, said optical system including an entrance pupil and a viewing means for viewing an image of a target of interest aligned along an optical axis with said distal opening, wherein said entrance pupil is located adjacent the distal opening of the distal insertion portion of said instrument head adjacent the distal opening thereof.

31. An otoscope as recited in Claim 30, wherein said optical system includes at least one lens disposed within said distal insertion portion and a relay lens between said at least one lens and said viewing means.

32. An otoscope as recited in Claim 31, including a pair of relay lenses and an aperture stop disposed between said relay lenses, said aperture stop being disposed so as to form said entrance pupil within the ear of a patient when the distal insertion portion is inserted therein.

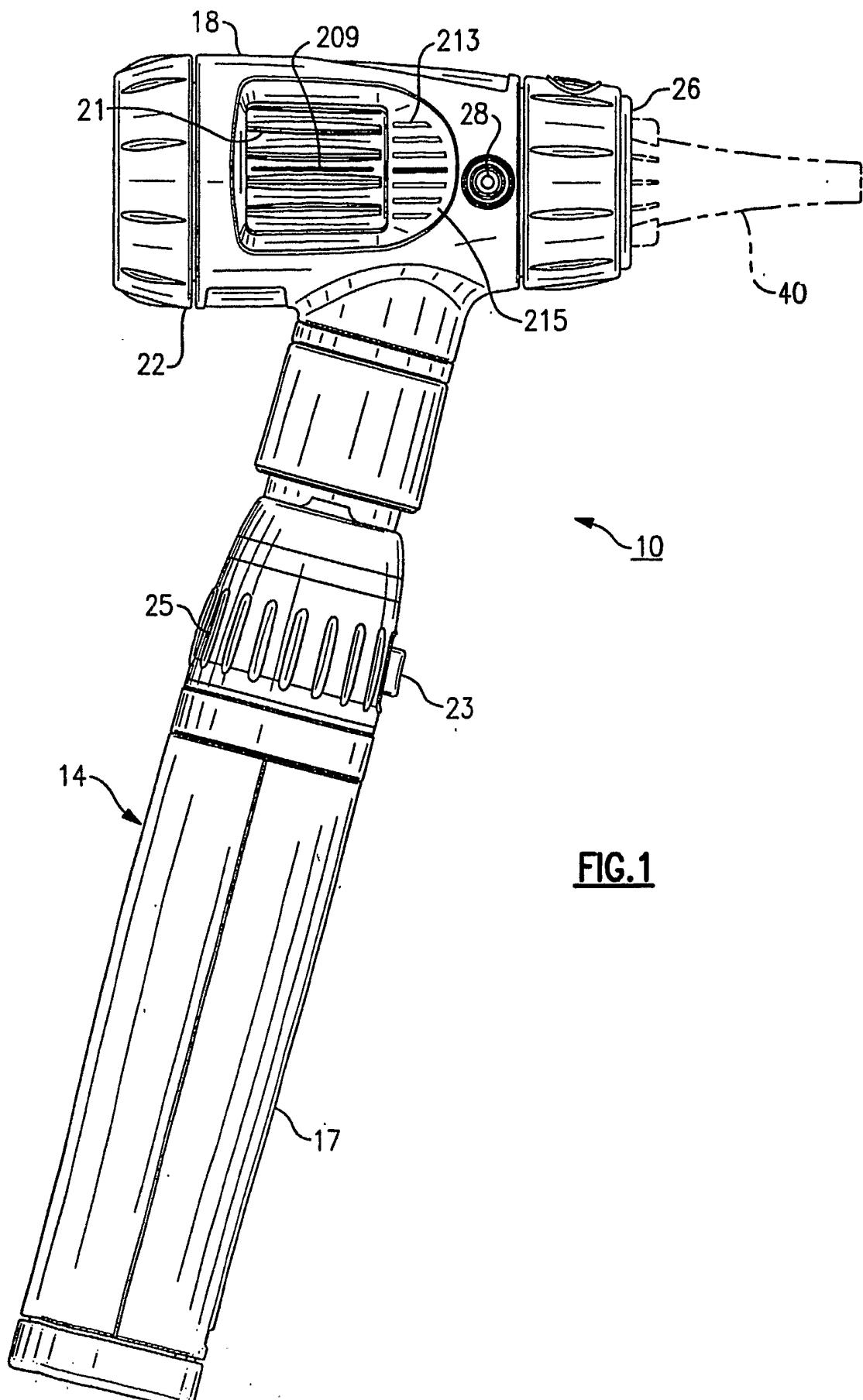
33. An otoscope as recited in Claim 30, wherein said viewing means includes an eyepiece mechanism disposed at a proximal end of said instrument head.

34. An otoscope as recited in Claim 33, wherein said eyepiece mechanism includes at least one magnifying optic.

35. An otoscope as recited in Claim 30, wherein said viewing means is an electronic imager.

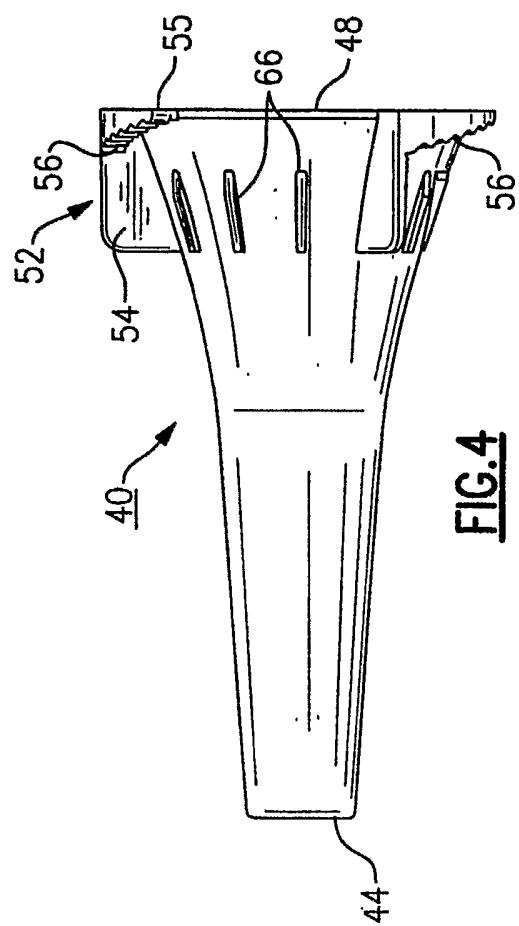
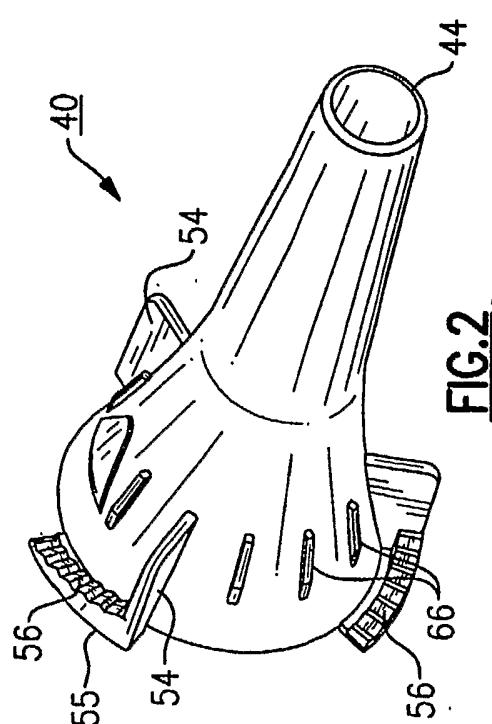
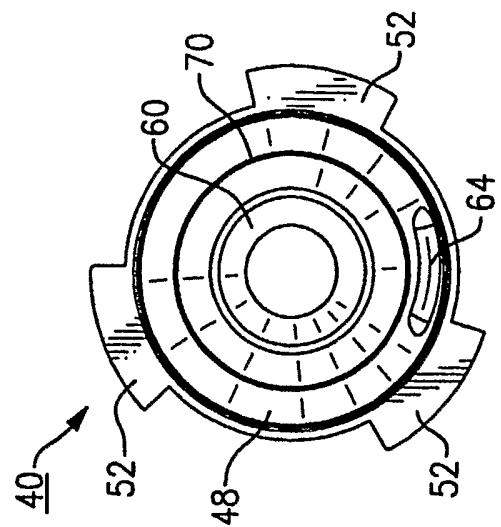
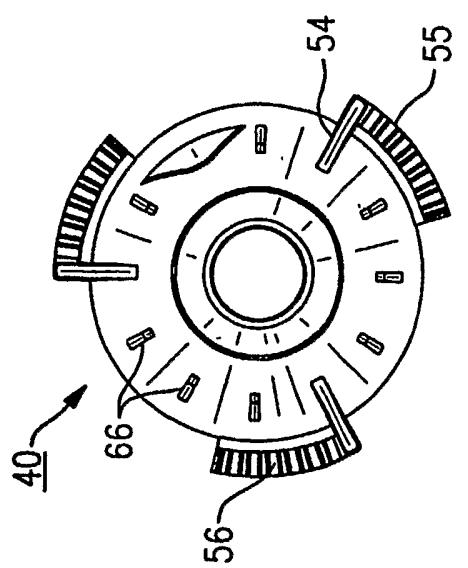
36. An otoscope as recited in Claim 30, wherein said viewing means includes at least one optical element, said otoscope including means for selectively changing the position of said at least one optical element of said viewing means for changing the focus of said optical system.

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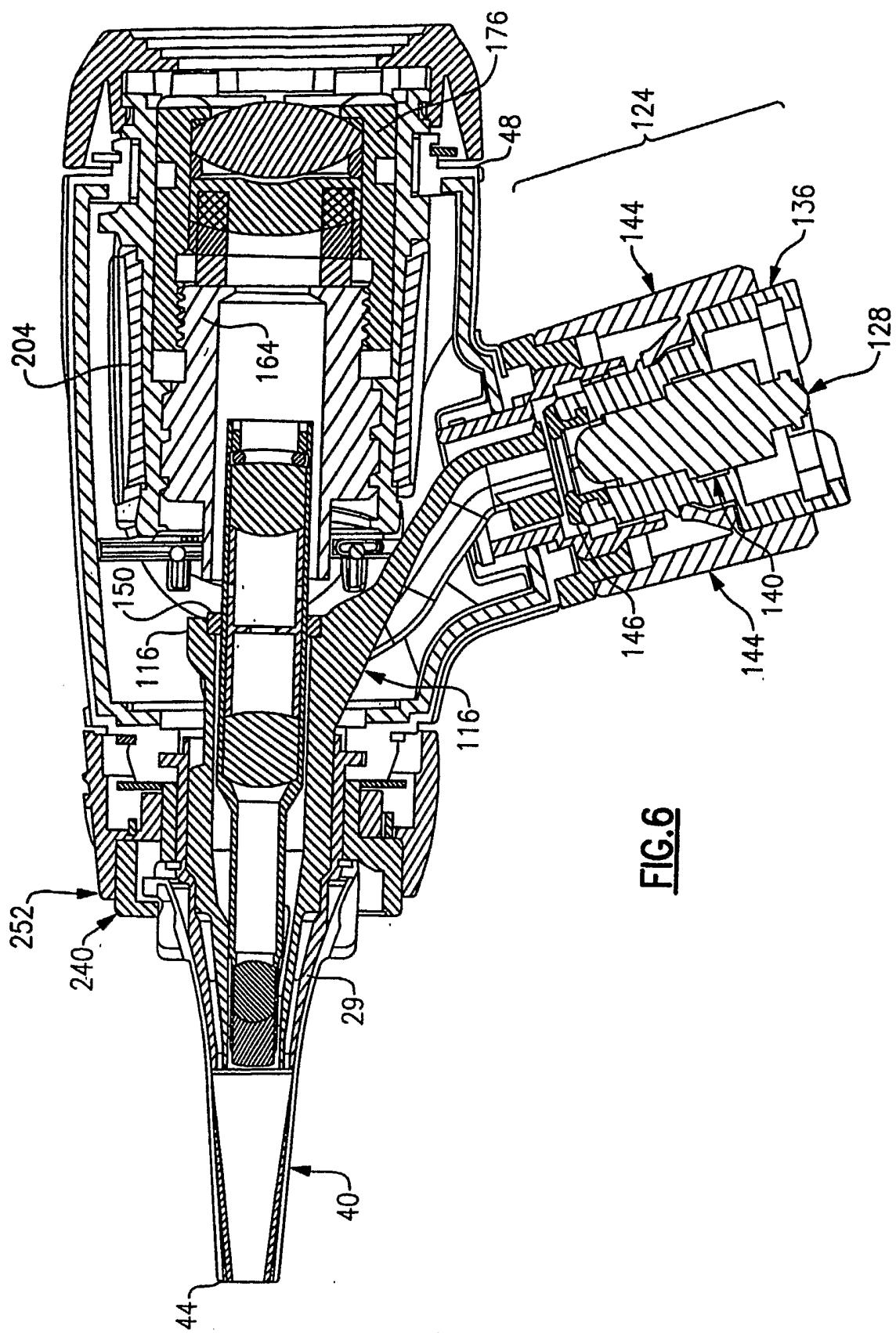


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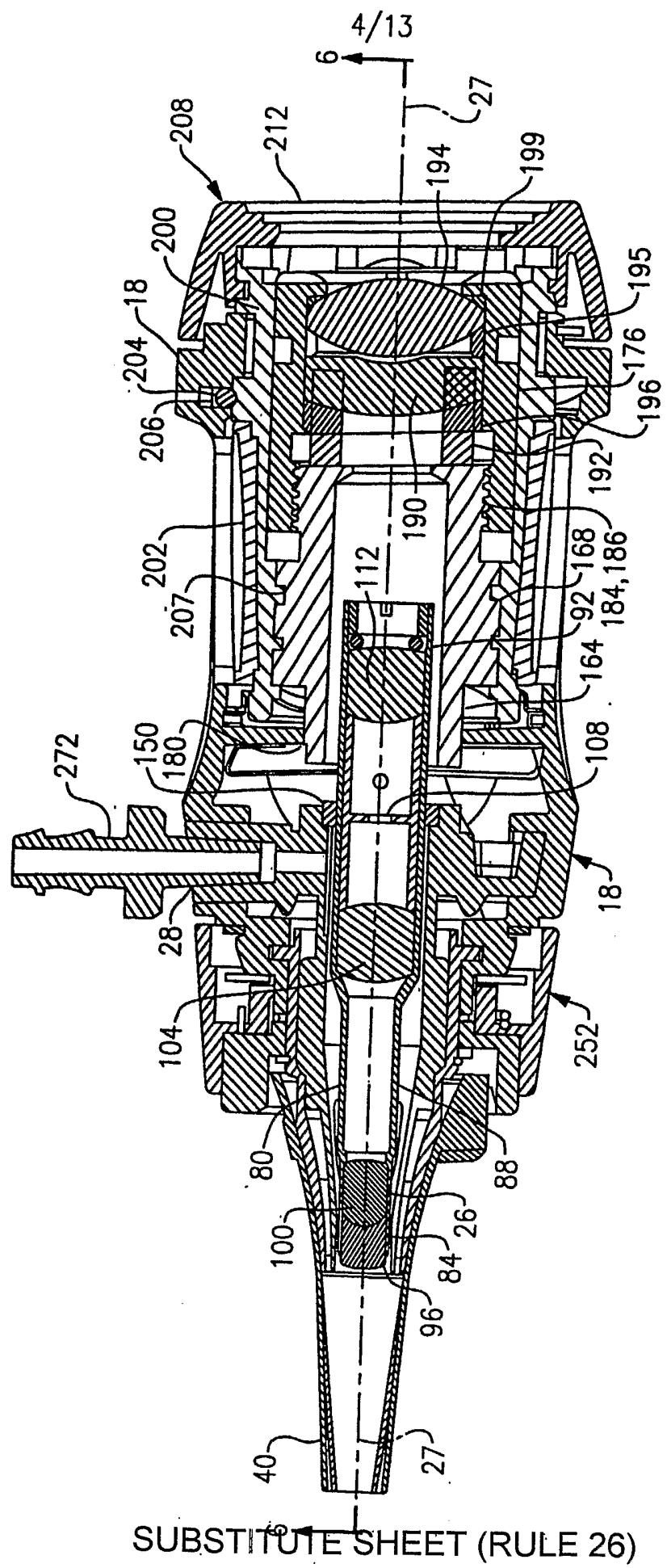
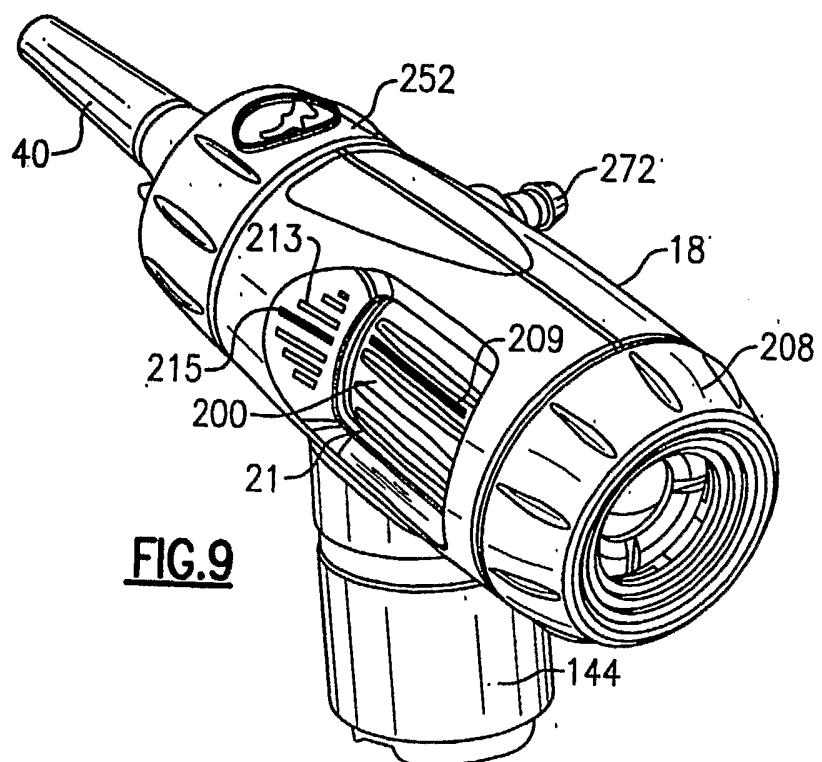
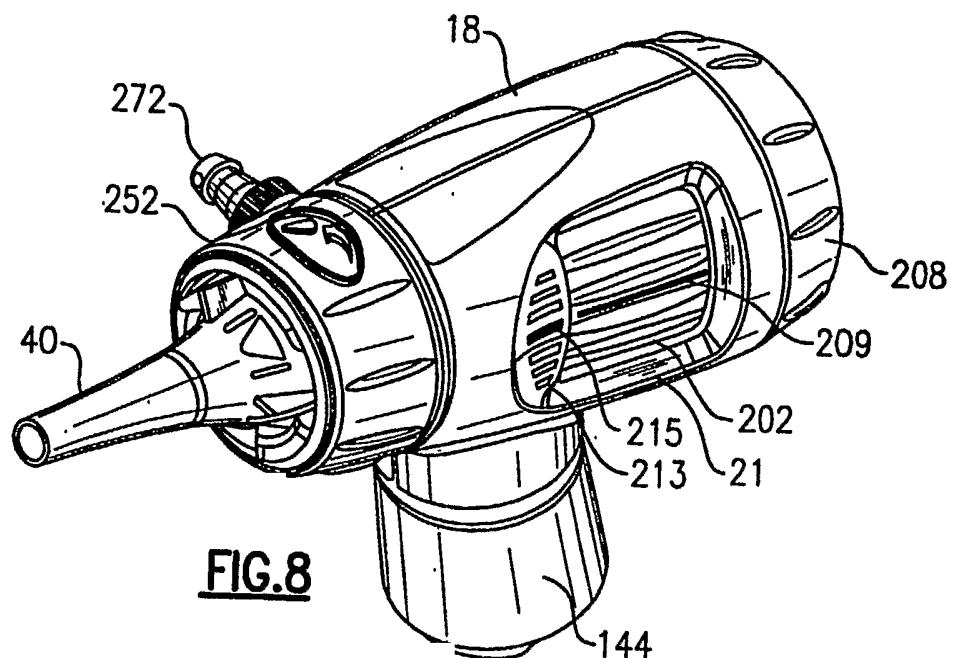


FIG. 7.

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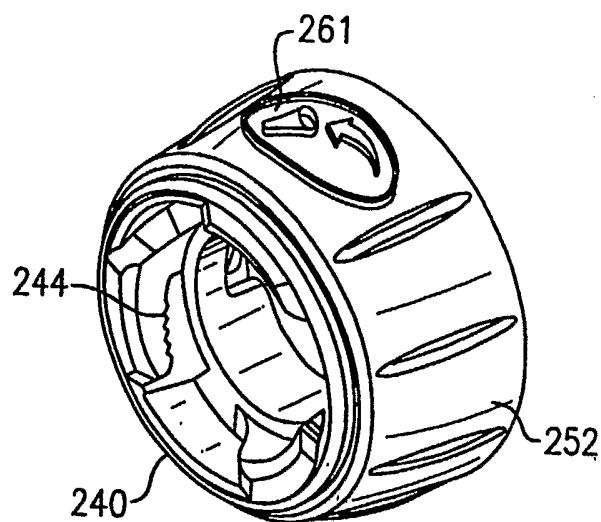


FIG.10

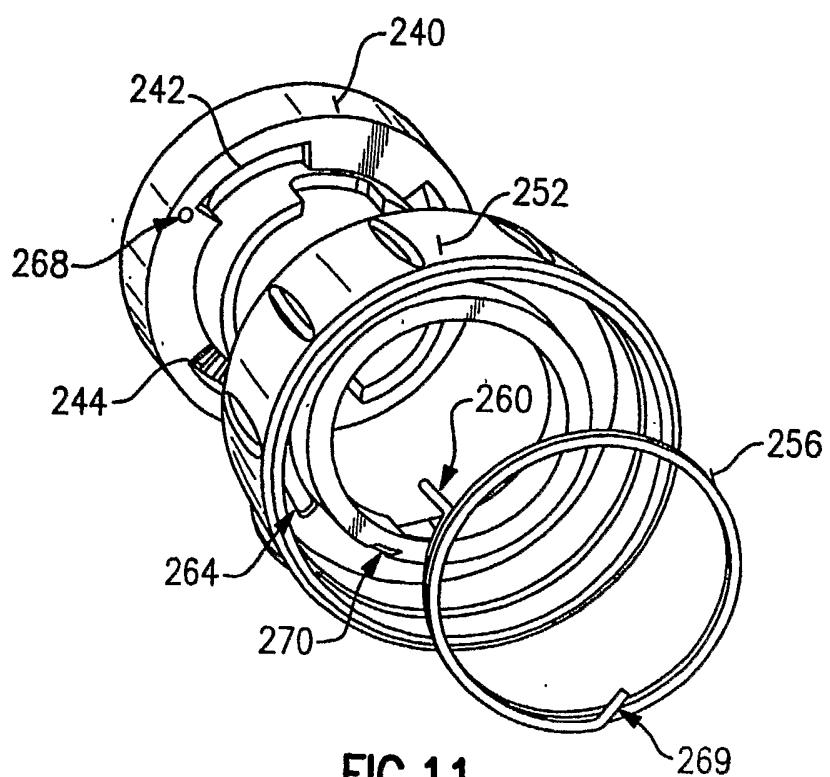


FIG.11

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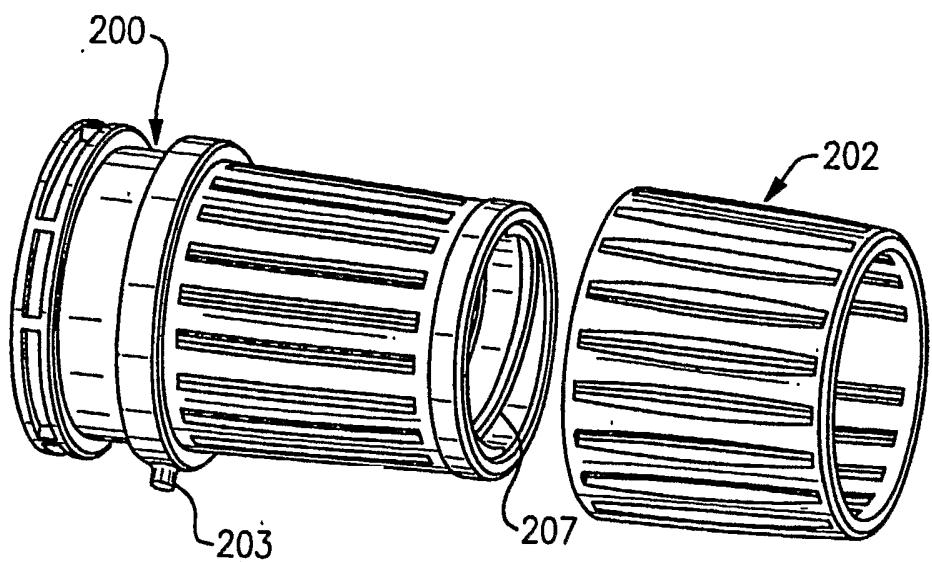


FIG.12

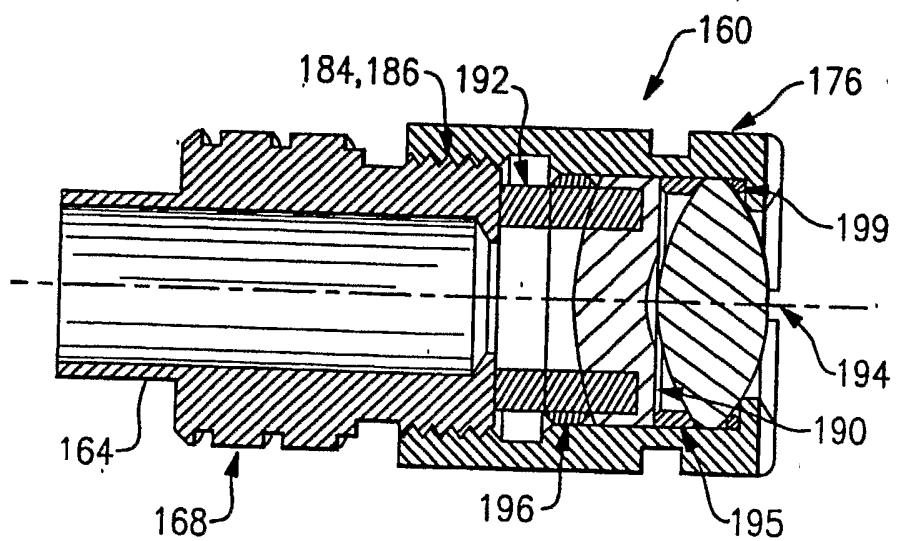


FIG.14

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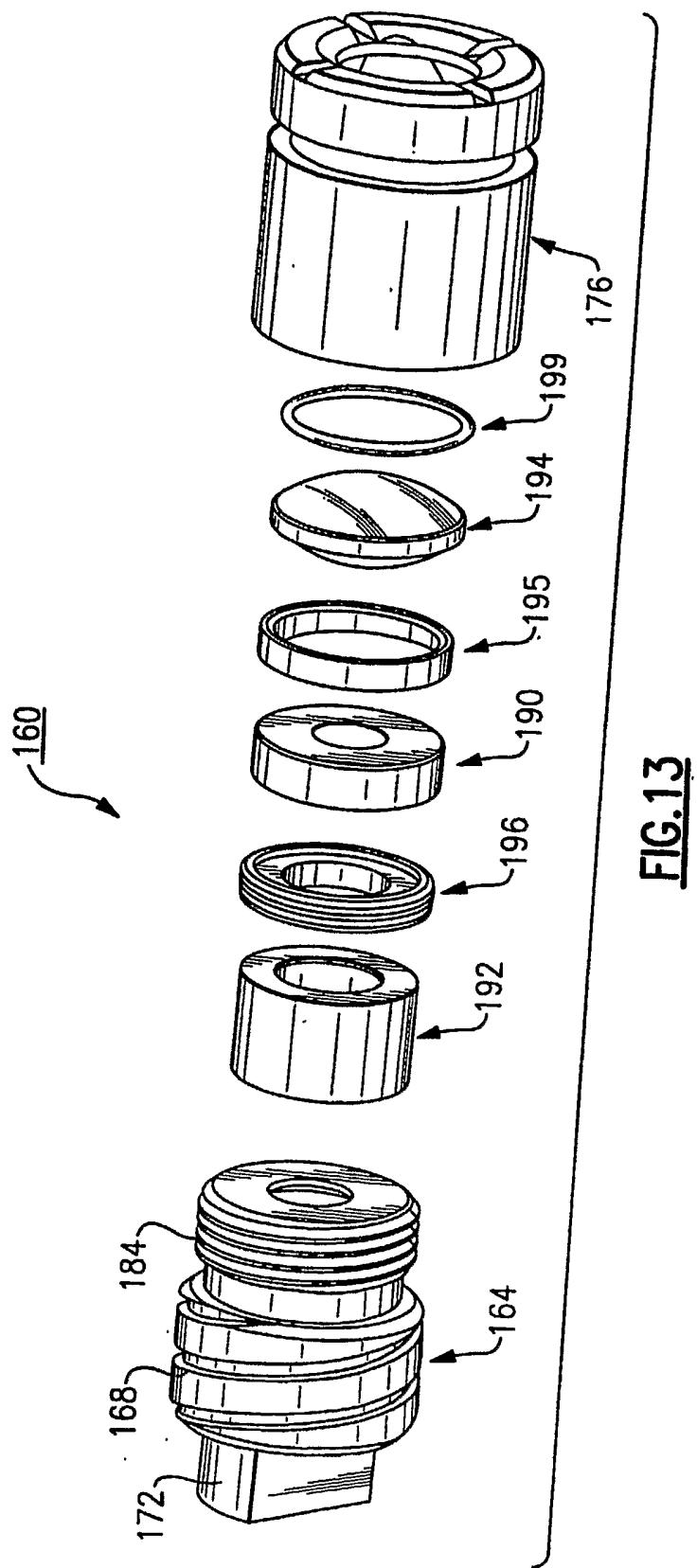


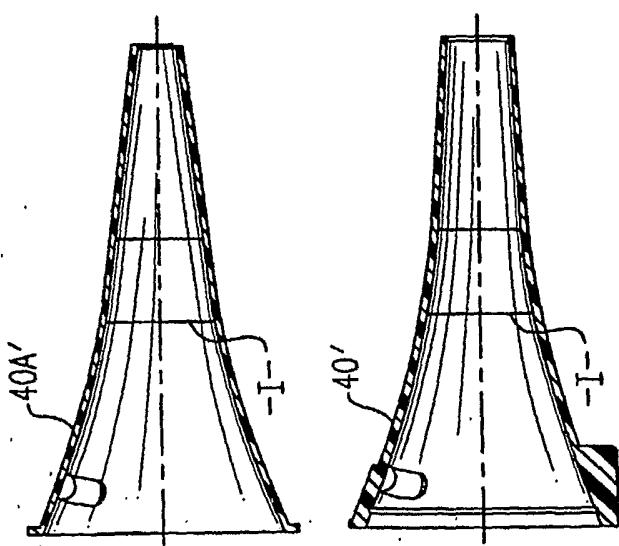
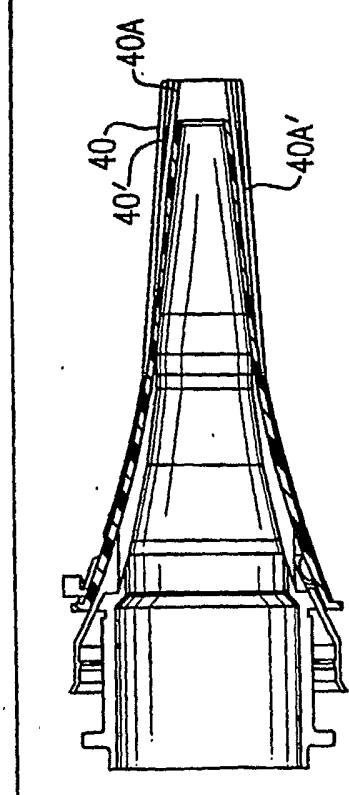
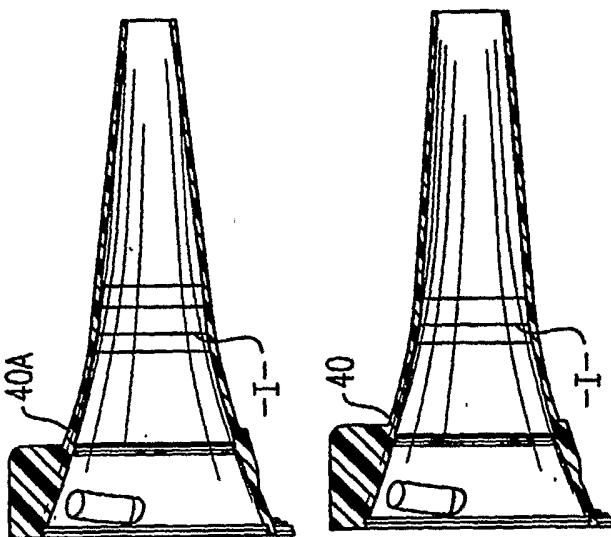
FIG.13

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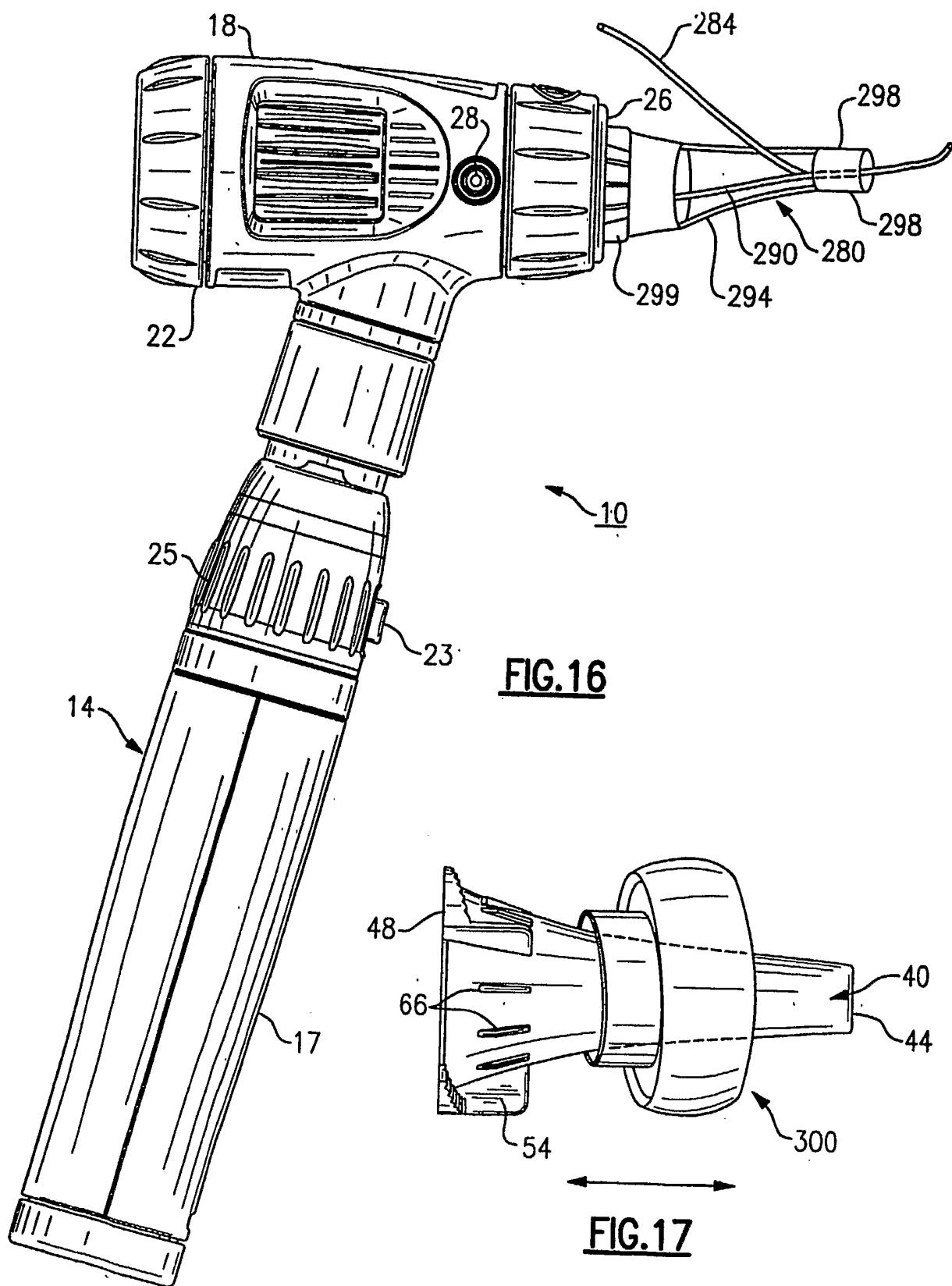
	LENGTH OF TIP (FROM GAGE POINT)	EAR CANAL INSERTION LENGTH	APERTURE SIZE	SLOPE	STACKING HEIGHT
40'	.694	.879	$\varnothing 156$ (4.0mm)	8"	.200
40	.793	.984	$\varnothing 167$ (4.25mm)	8"	.260
40A'	.694	.897	$\varnothing 098$ (2.5mm)	14"	.156
40A	.793	.984	$\varnothing 1.08$ (2.15mm)	13"	.230

FIG.15



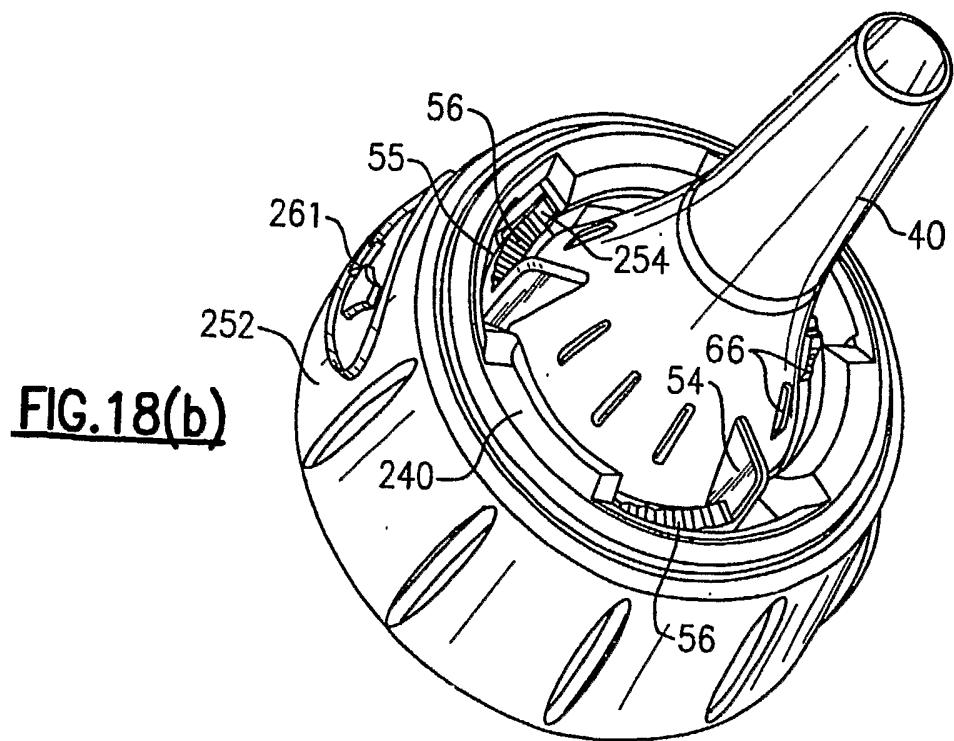
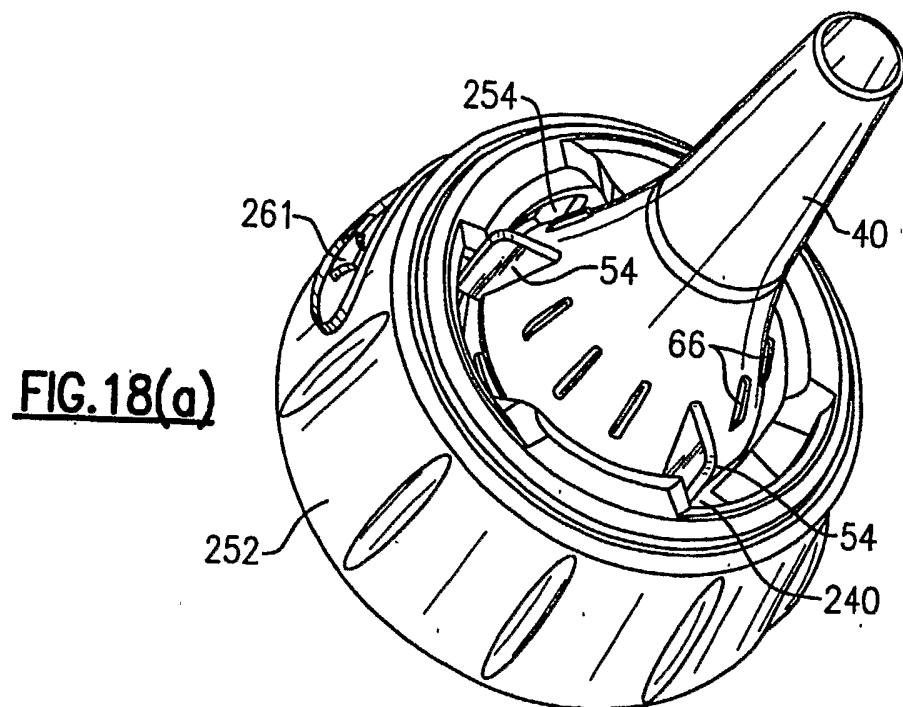
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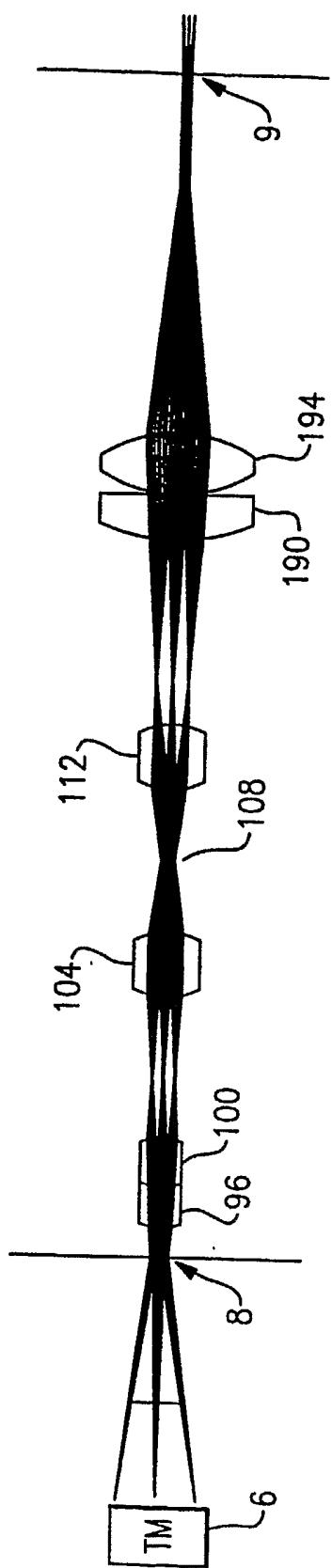
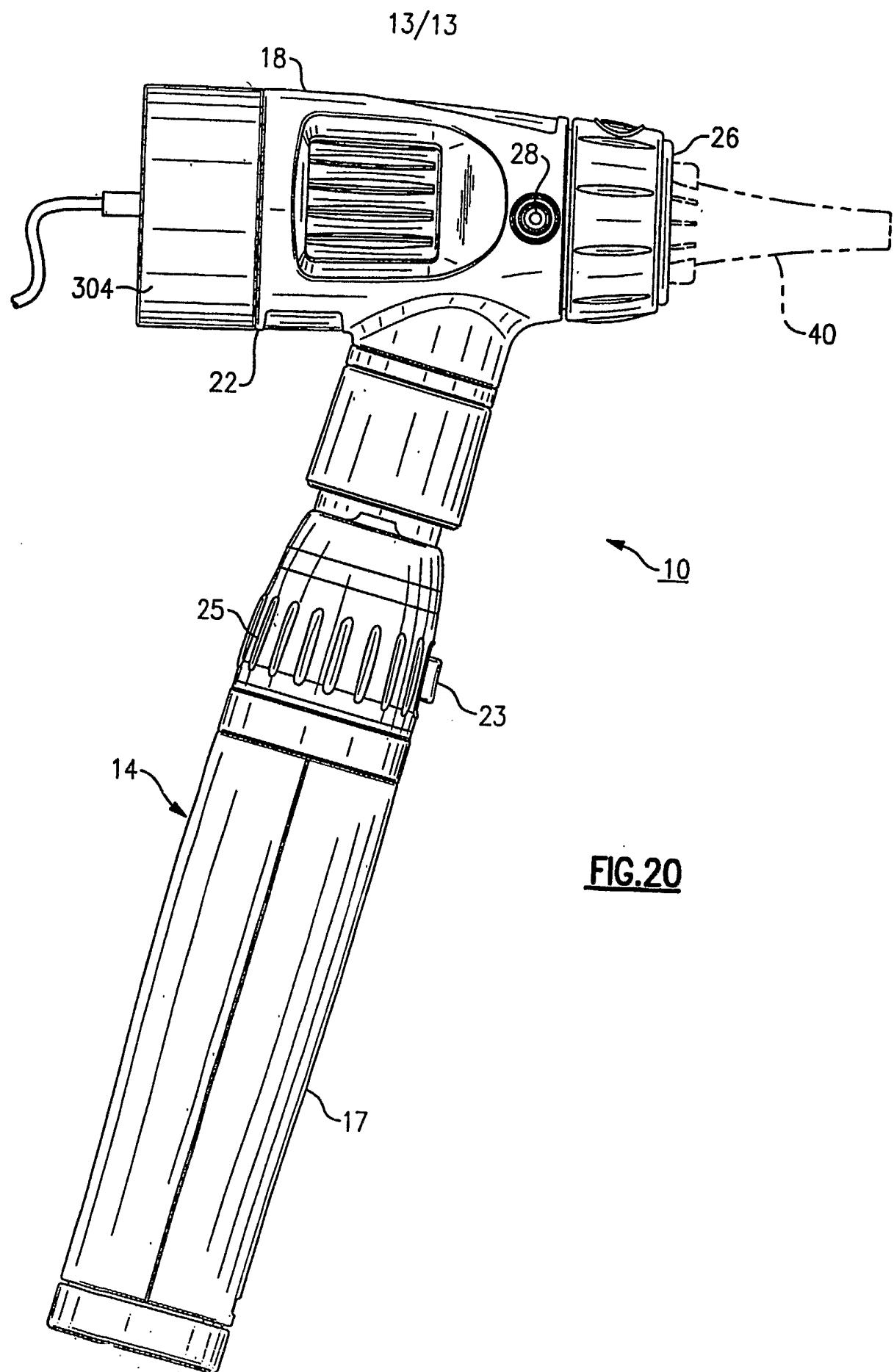


FIG.19

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2004/024007

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 A61B1/227

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>US 6 554 765 B1 (HANDELIN GARY ET AL) 29 April 2003 (2003-04-29)</p> <p>column 2, line 51 - column 4, line 21 column 11, line 34 - column 12, line 14 column 28, line 50 - column 29, line 2 figures 4,12c</p> <p>-----</p> <p style="text-align: center;">-/-</p>	1-6, 8-11, 13-15, 20, 25-32, 35,36

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- °A° document defining the general state of the art which is not considered to be of particular relevance
- °E° earlier document but published on or after the international filing date
- °L° document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- °O° document referring to an oral disclosure, use, exhibition or other means
- °P° document published prior to the international filing date but later than the priority date claimed

- °T° later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- °X° document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search	Date of mailing of the international search report
9 November 2004	17/11/2004

Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Abraham, V
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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/024007

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 142 934 A (WRISLEY WILLIAM M ET AL) 7 November 2000 (2000-11-07)  column 1, line 51 - line 57 column 2, line 38 - column 4, line 11 column 6, line 40 - line 42 column 7, line 28 - line 36 column 7, line 57 - line 65 -----	1,8,10, 11, 13-15, 20, 25-32, 35,36
X	US 6 511 420 B1 (FARRELL RICHARD A ET AL) 28 January 2003 (2003-01-28)  column 2, line 40 - column 3, line 18 column 3, line 45 - line 54 column 5, line 10 - line 17 figures 1,2 -----	1,7,12, 16-26, 30-36
X	US 5 363 839 A (LANKFORD JAMES D) 15 November 1994 (1994-11-15)  column 1, line 8 - column 3, line 48 column 5, line 46 - line 64 column 7, line 34 - line 48 figures 1-7 -----	1,8,10, 11,15, 20,25, 26, 30-32, 35,36

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/US2004/024007

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