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(54) Title: ZERO-ORDER PROLONGED RELEASE COAXIAL IMPLANTS

(57) **Abstract:** A coaxial implant has been developed using entirely biodegradable polymeric materials. As referred to herein, a coaxial implant is a device having a core containing drug, surrounded by a semi-permeable membrane that controls the rate of release from the core. The device is formed by extrusion, using a pre-milling and extruding step to maximize uniformity of drug dispersion within the polymeric material. In one embodiment, the polymer is processed to yield a semi-crystalline polymer, rather than an amorphous polymer. The core containing the drug and the polymer membrane(s) can be the same or different polymer. The polymer can be the same or different composition (i.e., both polycaprolactone, or both poly(lactide-co-glycolide) of different monomer ratios, or polycaprolactone outside of a core of poly(lactide)), of the same or different molecular weights, and of the same or different chemical structure (i.e., crystalline, semi-crystalline or amorphous). The core acts as a reservoir of drug, which partitions from the core polymer to form a saturated solution of at least 10% drug at the polymer membrane.

ZERO-ORDER PROLONGED RELEASE COAXIAL IMPLANTS

Background of the Invention

5 The U.S. government may have rights in this invention by virtue of National Institute of Neurological Disorders and Stroke grant No. 1R43NS39703-01.

 The present invention is generally in the field of prolonged release devices for delivery of pharmaceuticals where there is a critical need for
10 uniform, zero-order release kinetics.

 The field of drug delivery has developed over the last thirty years to the point where it is now possible to tailor delivery of the drugs with close precision, over prolonged periods of time, from weeks to months, following a single administration. Prolonged controlled release has been achieved
15 using several different devices. Examples include mini-implantable pumps for a variety of drugs especially chemotherapeutics and highly potent neuroactive drugs, silicon tubing with release controlling pores in the ends for birth control agents, co-axial implants, transdermal patches and microencapsulated formulations. All have advantages and disadvantages.
20 The mini-pump is extremely precise, but very expensive to manufacture. The mini-implantable pumps, silicon tubing and previously described co-axial implants all must be removed using an invasive procedure once the drug has been delivered. The microencapsulated formulations can be made using entirely biodegradable materials, but the release properties are not as
25 controlled, and there can be an initial burst of drug. This is problematic for some drugs, which may have serious side effects if delivered in excess of the desired dosage, or which may be ineffective if not delivered in a sufficiently high dosage. Transdermal patches are only useful for the few drugs that will pass through the skin, and where precision of delivery is not mandatory.

30 Cancer is diagnosed in over one million Americans annually, and it is the cause of one in five deaths in the United States (approximately 1,400/day). Common progression of the disease often leads to chronic unrelieved pain. The use of narcotic analgesics including fentanyl and

sufentanil are becoming more widely accepted as the treatment of choice. Because of the potential for developing tolerance as well as the toxic side effects, long-acting dosage forms that produce minimal initial burst are needed. As discussed above, several products including transdermal patches
5 and implantable infusion systems are currently on the market. Transdermal patches can be used for outpatient treatment and have a duration of three days per dose. Intravenous infusion and intrathecal infusion deliver narcotics more consistently and can be used for longer periods of time. Currently approved infusion products generally use an externally-worn or implanted
10 pump, are bulky, require surgical procedures to implant and to explant, and are very expensive systems. Duros® sufentanil, an osmotic pump designed for 100-day delivery of sufentanil, is currently undergoing clinical testing. This implant is much smaller and easier to administer, which provides advantages over the currently approved pumps, but requires removal at the
15 end of the dosing period. This type of implant is described in WO 00/54745. A biodegradable implant could eliminate the need for removal thereby providing an added advantage to the patient, eliminating the cost and discomfort of the surgical explant procedure.

Implants that may be made of materials including biodegradable
20 polymers have been described. For example, U.S. Patent No. 4,666,704 to Shalati, et al., describes a controlled release implant, typically consisting of microparticle or pellets, that includes a core of a drug and water-insoluble drug and an outer polymeric membrane that can be formed by a coating of an organic solution of a water insoluble polymer and water soluble pore-
25 forming agent. U.S. Patent application NO. 20020037309 to Jaworowicz, et al., describes an implant made of a polymer where the outer coating is annealed to decrease porosity and avoid burst release. Both of these require extensive processing steps, increasing cost, and may not be completely biodegradable.

30 It is therefore an object of the present invention to provide a controlled release device which is biodegradable, that releases over a

prolonged period of time, and that provides very controlled zero-order release kinetics.

It is a further object of the present invention to provide a method of making such implants that is cost-effective, highly reproducible, and
5 efficient.

Summary of the Invention

A coaxial implant has been developed using entirely biodegradable polymeric materials. As referred to herein, a coaxial implant is a device having a core containing drug (which can be solid or liquid drug, or drug in a
10 solid or liquid matrix), surrounded by a semi-permeable or microporous membrane that controls the rate of release of material from the core. As used herein, "semi-permeable" refers to device where drug dissolves into the membrane polymer and diffuses therethrough, and "microporous" refers to a device where the drug diffuses through pores in the membrane. The device
15 can be formed by an extrusion process such as coaxial extrusion or it can be formed by applying a coating to a preformed core that contains the active ingredient or filling the active-loaded core formulation into a preformed membrane material. In one embodiment, the polymer is processed to yield a semi-crystalline polymer, rather than an amorphous polymer. The core may
20 contain the drug alone or mixed with another material such as a liquid carrier or biodegradable polymer. The core polymer, when used, and the polymer membrane(s) can be the same or different polymer. The polymer can be the same or different composition (i.e., both polycaprolactone, or both poly(lactide-co-glycolide) of different monomer ratios, or polycaprolactone
25 outside of a core of poly(lactide)), of the same or different molecular weights, and of the same or different chemical structure (i.e., crystalline, semi-crystalline or amorphous). In the case of a device with a dense polymer membrane, the core acts as a reservoir of drug, which partitions from the core polymer into the membrane polymer to form a saturated solution of
30 drug at the interface between the core and membrane. Generally, a hydrophobic polymer is used with a hydrophobic drug and a hydrophilic polymer is used with more hydrophilic drugs. With very hydrophilic drugs,

it may be preferable to use a microporous biodegradable polymer as the membrane polymer. In another embodiment, one of the core and the membrane is hydrophilic and the other is hydrophobic.

Brief Description of the Drawings

5 Figure 1 is a schematic of the coaxial die to make the coaxial implant.

Figures 2a and 2b are graphs of the effect of various membrane thicknesses on the release of naltrexone base from coaxial implants, cumulative release (mg) versus time (days). Figure 2a is of an implant having a 10 weight % naltrexone core, and ratio of the outer membrane radius to the inner membrane radius ($r_o:r_i$) of 1.1, 1.4, and 1.9. Figure 2b is of an implant having a 30 weight % naltrexone core, with $r_o:r_i$ of 1.4, 1.6 and 1.9.

Figure 3 is a graph of the effect of core loading (5 and 15 mg) on release of naltrexone from coaxial polycaprolactone ("PCL") implants, cumulative release (percentage) versus time (days).

Figure 4 is a graph of the effect of membrane thickness on the release of fentanyl from coaxial PCL implants, cumulative release (mg) versus time (days), for $r_o:r_i$ of 1.27, 1.45, 1.59, and 1.83, respectively.

Figure 5 shows the release of sufentanil base from coaxial implants containing 10 wt % sufentanil base in the core.

Figure 6 shows the release of sufentanil base from coaxial implants containing 30 wt % sufentanil base in the core.

Figure 7 shows the release of cromolyn sodium from coaxial implants containing 30 vol. % sodium chloride(pore forming agents)/PCL membrane and 50 wt % cromolyn sodium/PCL core. $r_o:r_i = 1.2$.

Figure 8 shows the release of cromolyn sodium from coaxial implants containing 30 vol. % sodium chloride(pore forming agents)/PCL membrane and 50 wt % cromolyn sodium/PCL core. $r_o:r_i = 1.30$ and 1.40.

Figure 9 compares the cumulative release (mg) of cromolyn sodium from sealed and unsealed coaxial implants containing 50 wt % cromolyn/PCL core with a 30 vol % lactose/PCL membrane. $r_o:r_i = 1.30$ and 1.50.

Figure 10 shows the cumulative release of cromolyn sodium (mg) from coaxial implants containing 50 wt % cromolyn/PCL core with a dense hydrophilic polymer membrane, polyethylene glycol 3000/90:10 DL-polyethylene glycol membrane, $r_o:r_i = 1.1, 1.4$ and 1.7 .

- 5 Figure 11 shows the release of cromolyn sodium (mg) from coaxial implants with a hydrophobic PCL polymer membrane.

Detailed Description of the Invention

I. Coaxial Implant Structure and Composition

- Methods for making fibrous implants for drug delivery are well known. See, for example, Cowsar and Dunn, Chapter 12 "Biodegradable and Nonbiodegradable Delivery Systems" pp. 145-162; Gibson, et al., Chapter 31 "Development of a Fibrous IUD Delivery System for Estradiol/Progesterone" pp. 215-226; Dunn, et al., "Fibrous Polymers for the Delivery of Contraceptive Steroids to the Female Reproductive Tract" pp. 125-146; Dunn, et al., "Fibrous Delivery Systems for Antimicrobial Agents" from Polymeric Materials in Medication ed. C.G. Gebelein and Carraher (Plenum Publishing Corporation, 1985) pp 47-59.
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- There are three common methods for producing fibers: wet spinning, dry spinning and melt spinning. Wet spinning involves extruding a solution of a polymer through an orifice into a nonsolvent to coagulate the polymer. In the dry-spinning process, a solution of a polymer is forced through an orifice and fed into a heated column that evaporates the solvent to form a filament. In melt-spinning, a thermoplastic polymer is heated above its melting point, extruded through an orifice, and cooled to form a filament.
- 20 With coaxial spinning, the drug is extruded as the core of the fiber at the same time as the rate-controlling polymer membrane (also referred to as a "sheath"). A typical coaxial spinneret consists of two concentric rings. The drug, either in pure form or dispersed within a polymeric or nonpolymeric matrix, is pumped through the inner ring, where it forms the core. The rate-controlling polymer is pumped through the outer ring to form the sheath. As both streams of material emerge from the spinneret, they solidify to form the coaxial fiber or reservoir system. The rate at which the two materials are
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pumped to the coaxial spinneret determines the thickness of the sheath membrane and the size of the fiber.

The polymer or drug is liquified for extrusion either by melting or dissolution in a solvent. The preferred method of preparation of coaxial
5 implants is melt extrusion in which two extruders are used to process the core formulation and the membrane material. The core formulation is fed to the center tube of a coaxial die and the membrane material is fed to a concentric outer ring of the same die such that the membrane material forms a uniform coating on the core as the materials exit the die. The relative
10 diameters of the core and membrane are controlled by the dimensions of the die, the extrusion conditions, the relative extrusion rates of the two extruders, and the relative take-off speed. In this way, the core diameter and membrane thickness can be independently controlled.

Another method of preparing the coaxial implant is to first prepare a
15 core formulation by a simple extrusion process and then form the membrane by a surface treatment of the monolithic core. The surface treatment may be accomplished by annealing the surface by exposure to elevated temperature or to a solvent for the polymer excipient so that the polymer forms a thin skin at the surface, which then functions as a rate controlling membrane.
20 The membrane also may be added by applying a coating of the membrane formulation by a solution coating process. The solution coating process could be used to apply additional layers of different compositions thereby constructing multilayer coaxial implants.

Yet another method of preparing the coaxial implant is to first
25 prepare the membrane as an empty tube, and then add the core formulation by injecting it into the center of the tube. As an example, the core formulation may consist of drug incorporated into a liquid matrix such as the non-polymeric, non-water soluble matrix described in U.S. Patent 5,747,058 and U.S. Patent Application No. 09/385,107, the entire contents of both
30 hereby incorporated by reference.

Although generally formed in a geometry where the cross-section is a circle, the implant can also be prepared with any other cross-sectional geometry, for example, an ellipsoid, a lobe, a square, or a triangle.

The drug can be added to the formulation in a variety of ways. If the
5 core formulation contains a liquid carrier then the drug and carrier can be mixed to form a slurry. If the core formulation contains a polymer, the drug and polymer can be mixed by solvent-blending, dry blending, or melt blending. More uniform mixing may be obtained by extruding the drug-matrix twice. In the preferred embodiment, the core is formulated by dry
10 blending the drug and polymer, melt extruding the blend, and grinding the extrudate to form a feedstock for the second extrusion. The drug loading in the core may be in the range of about 0.1 to 80 wt % when either liquid carriers or polymers are used in the core formulation and as high as 100% when only drug is used. A more preferred loading is in the range of about 10
15 to about 60 wt % and the most preferred loading is in the range of about 20 to about 50 wt %.

In any case, the final implant is formed by cutting the core/membrane formulation to the appropriate length for the desired dose and sealing the exposed ends of the core. For some applications, an initial loading dose may
20 be desired, which can be accomplished for certain drugs by sealing only one or neither of the exposed ends so that there is a brief period of higher release. Several methods can be used to seal the ends of the implants. If the implant contains a solid core it can be sealed by coating with a solution of the membrane polymer or by applying the molten membrane polymer, or simply
25 by cutting the implant with a hot knife or wire such that it is heat sealed as the cut is made. If the implant contains a liquid core, the ends may be heat sealed, or they may be sealed by placing a polymer plug into the lumen of the membrane formulation. When a polymer plug is used, it may be optionally heat sealed to the membrane.

30 The coaxial implants may be prepared in a variety of sizes depending on the total dose of drug and the envisioned method of administration. In a preferred embodiment, the overall diameter is between 0.05 and 5.0 mm.

For subcutaneous administration in humans, an overall diameter of between 1.0 and 4.0 mm may be more preferred. The length of the coaxial implant is typically between about 0.3 cm and 10 cm. For subcutaneous implantation, a more preferred length is between about 0.3 cm and 3.0 cm.

5 The membrane formulation is selected to provide the needed flux of drug (active agent) for an implant of given dimensions. For most practical applications, the membrane thickness will be within about 2% to about 40% of the overall implant diameter. It is preferred that the membrane thickness be between about 5% to about 30% of the total diameter. The membranes
10 may be dense membranes with no porosity or they may be highly porous having pores of about 1 to about 30 microns and pore volumes of between about 5% and about 70%. The membrane may also contain the active ingredient at a lower loading than is contained in the core, or it may contain a different active ingredient than is contained in the core. Regardless of
15 whether the membrane is a dense membrane or a porous membrane, the desired permeability properties of the material need not necessarily be achieved during the initial extrusion or coating step. Downstream processing means may be employed to achieve the final properties of the membrane. When semicrystalline polymers are used in the membrane, the crystallinity
20 can be controlled by the cooling rate and conditions. The properties can also be altered by drawing the extruded formulation. Drawing is generally accomplished by passing the material around two or more sets of godets which are operated at progressively faster speeds as the material passes further down the line. The material may pass through heated ovens between
25 the godets so that the temperature can be carefully controlled to further influence the crystallinity of the membrane. Drawing may also be used to control the final diameter of the material.

Because the coaxial structures are prepared by a continuous extrusion process, they can be any length that is convenient for handling. If the
30 formulation is sufficiently flexible, it can be wound onto a spool or into a coil and held in this way prior to cutting. Alternatively, the material can be collected as shorter lengths of perhaps a few centimeters or meters and held

prior to cutting. It is also possible to cut the material to the finished implant length as it is produced using a flywheel type of cutter that is situated just downstream of the coaxial die.

II. Process Materials

5 A. *Polymer*

The processes disclosed herein can be used to form coaxial implants from a variety of materials, preferably biocompatible and biodegradable polymers. Biodegradable, as defined herein, means the polymer will degrade or erode *in vivo* to form smaller chemical species, wherein the degradation
10 can result, for example, from enzymatic, chemical, and physical processes. The term "biocompatible" is used herein to refer to a polymer and any degradation products of the polymer that are non-toxic to a recipient and present no significant, deleterious or untoward effects on the recipient's body. Examples of suitable biocompatible, biodegradable polymers include
15 polyhydroxy acids, such as poly(lactide)s, poly(glycolide)s, poly(lactide-co-glycolide)s, poly(lactic acid)s, poly(glycolic acid)s, and poly(lactic acid-co-glycolic acid)s, polyanhydrides, polyorthoesters, polyetheresters, polyethylene glycol, polycaprolactone, polyesteramides, polyphosphazines, polycarbonates, polyamides, and copolymers and blends thereof. Preferred
20 materials are polycaprolactone, poly(lactide)s, poly(glycolide)s, and copolymers thereof.

Representative natural polymer materials include polysaccharides and proteins.

B. *Solvent*

25 If the polymer and active agent are solvent blended, the selection of the solvent used in the process generally depends on the polymer and active agent chosen, as well as the particular means of solvent removal to be employed. Organic solvents, such as acetone, methyl ethyl ketone, tetrahydrofuran, ethyl lactate, ethyl acetate, dichloromethane, and ethyl
30 acetate/alcohol blends, are preferred for use with PLGs and PCL.

C. Active Agent

Essentially any substance, or agent, can be incorporated using the processes described herein. The substance preferably is an active agent. As used herein, the term "active agent" refers to an agent which possesses
5 therapeutic, prophylactic, or diagnostic properties *in vivo*, for example when administered to an animal, including mammals, such as humans. Examples of suitable therapeutic and/or prophylactic active agents include proteins, such as hormones, antigens, and growth factors; nucleic acids, such as antisense molecules; and smaller molecules, such as antibiotics, steroids,
10 decongestants, neuroactive agents, anesthetics, sedatives, and antibodies, such as antibodies that bind to growth hormone receptors, including humanized antibodies, adjuvants, and combinations thereof. Examples of suitable diagnostic and/or therapeutic active agents include radioactive isotopes and radioopaque agents.

15 The active agent can include organic molecules such as a drug, peptide, protein, carbohydrate (including monosaccharides, oligosaccharides, and polysaccharides), nucleoprotein, mucoprotein, lipoprotein, synthetic polypeptide or protein, or a small molecule linked to a protein, glycoprotein, steroid, nucleic acid (any form of DNA, including cDNA, or RNA, or a
20 fragment thereof), nucleotide, nucleoside, oligonucleotides (including antisense oligonucleotides), gene, lipid, hormone, vitamin, including vitamin C and vitamin E, or combination thereof.

Representative therapeutic active agents include immunosuppressants, antioxidants, anesthetics, chemotherapeutic agents,
25 steroids (including retinoids), hormones, antibiotics, antivirals, antifungals, antiproliferatives, antihistamines, anticoagulants, antiphotaging agents, melanotropic peptides, nonsteroidal and steroidal anti-inflammatory compounds, antipsychotics, and radiation absorbers, including UV-absorbers. Other non-limiting examples of active agents include anti-
30 infectives such as nitrofurazone, sodium propionate, antibiotics, including penicillin, tetracycline, oxytetracycline, chlorotetracycline, bacitracin, nystatin, streptomycin, neomycin, polymyxin, gramicidin, chloramphenicol,

erythromycin, and azithromycin; sulfonamides, including sulfacetamide, sulfamethizole, sulfamethazine, sulfadiazine, sulfamerazine, and sulfisoxazole, and anti-virals including idoxuridine; antiallergenics such as antazoline, methapyritene, chlorpheniramine, pyrilamine

5 prophenpyridamine, hydrocortisone, cortisone, hydrocortisone acetate, dexamethasone, dexamethasone 21-phosphate, fluocinolone, triamcinolone, medrysone, prednisolone, prednisolone 21-sodium succinate, and prednisolone acetate; desensitizing agents such as ragweed pollen antigens, hay fever pollen antigens, dust antigen and milk antigen; decongestants such

10 as phenylephrine, naphazoline, and tetrahydrazoline; miotics and anticholinesterases such as pilocarpine, eserine salicylate, carbachol, diisopropyl fluorophosphate, phospholine iodide, and demecarium bromide; parasympatholytics such as atropine sulfate, cyclopentolate, homatropine, scopolamine, tropicamide, eucatropine, and hydroxyamphetamine;

15 sympathomimetics such as epinephrine; sedatives and hypnotics such as pentobarbital sodium, phenobarbital, secobarbital sodium, codeine, (a-bromoisovaleryl) urea, carbromal; psychic energizers such as 3-(2-aminopropyl) indole acetate and 3-(2-aminobutyl) indole acetate; tranquilizers such as reserpine, chlorpromazine, and thiopropazate;

20 androgenic steroids such as methyl-testosterone and fluormesterone; estrogens such as estrone, 17- β -estradiol, ethinyl estradiol, and diethyl stilbestrol; progestational agents such as progesterone, megestrol, melengestrol, chlormadinone, ethisterone, norethynodrel, 19-norprogesterone, norethindrone, medroxyprogesterone and 17- β -hydroxy-

25 progesterone; humoral agents such as the prostaglandins, for example PGE₁, PGE₂ and PGF₂; antipyretics such as aspirin, sodium salicylate, and salicylamide; antispasmodics such as atropine, methantheline, papaverine, and methscopolamine bromide; antimalarials such as the 4-aminoquinolines, 8-aminoquinolines, chloroquine, and pyrimethamine, antihistamines such as

30 diphenhydramine, dimenhydrinate, tripeleminamine, perphenazine, and chlorphenazine; cardioactive agents such as dibenzhydroflume thiazide, flumethiazide, chlorothiazide, and aminotrate, natural and synthetic bioactive

peptides and proteins, including growth factors, cell adhesion factors, cytokines, and biological response modifiers.

In one embodiment, the incorporated material is a vaccine and the substance to be delivered is an antigen. The antigen can be derived from a cell, bacteria, or virus particle, or portion thereof. As defined herein, antigen may be a protein, peptide, polysaccharide, glycoprotein, glycolipid, nucleic acid, or combination thereof, which elicits an immunogenic response in an animal, for example, a mammal, bird, or fish. The immunogenic response can be humoral or cell-mediated. In the event the material to which the immunogenic response is to be directed is poorly antigenic, it may be conjugated to a carrier, such as albumin, or to a hapten, using standard covalent binding techniques, for example, with one of the several commercially available reagent kits. Examples of preferred antigens include viral proteins such as influenza proteins, human immunodeficiency virus (HIV) proteins, and hepatitis A, B, or C proteins, and bacterial proteins, lipopolysaccharides such as gram negative bacterial cell walls and *Neisseria gonorrhoea* proteins, and parvovirus.

In the preferred embodiment, the substance to be delivered is a drug such as a narcotic analgesic, which is very potent, and where the dosage must be narrowly maintained within the safe and effective levels. Examples include sufentanil and fentanyl. Naltrexone and other narcotic antagonists are also preferred active agents as are interferon, cromolyn sodium and leuprolide acetate or other LHRH agonists or antagonists.

The amount of active agent to be incorporated and the amount used in the process will vary depending upon the particular active agent, the desired effect of the active agent at the planned release levels, and the time span over which the agent should be released.

The process can be used to incorporate more than one active agent. The active agent also can be mixed with one or more excipients, such as stabilizing agents, known in the art.

D. Excipients and Pore Forming Agents

Suitable materials that can be added to the membrane polymer to achieve the desired porosity include sucrose, dextrose, sodium chloride, sorbitol, lactose, polyethylene glycol, mannitol, fructose, polyvinyl pyrrolidone or appropriate combinations thereof. These materials can also be mixed with or incorporated into the core to provide bulking, alter release rates, increase water uptake, control pH, provide structural support, and other uses known to those skilled in the art.

III. Methods of Use

The coaxial implants are implanted using minimally invasive procedures at a site where release is desired. These can be implanted using trocars or catheters subcutaneously, intraperitoneally, intramuscularly, and intralumenally (intravaginally, intrauterine, rectal, periodontal).

The coaxial implants can be fabricated as part of a matrix, graft, prosthetic or coating, for example, intravascularly. Preferred actives for use in the treatment of restenosis include heparin and taxol. These implants can also be used in release of drug near the nerve plexus, or below the bladder, near the pelvic nerve plexus.

The coaxial implant is designed so that the drug is released in the desired dosage over a defined period of time. The coaxial implant is designed so that it degrades after release is achieved.

The present invention will be further understood by reference to the following non-limiting examples.

Example 1: Biodegradable Coaxial implants for Delivery of Narcotic Analgesics.

Coaxial extrusion has been evaluated as an efficient process for producing implants capable of delivering drugs at near zero-order rates. In this study the biodegradable polymer, poly (ϵ -caprolactone) (PCL) was evaluated in implants designed to deliver drug for 30 days. The study was undertaken to determine the feasibility of developing a fully biodegradable reservoir-type implant capable of delivering fentanyl or sufentanil for 30 days.

EXPERIMENTAL METHODS

Materials

Naltrexone base (NTX), fentanyl base, and sufentanil base were obtained from Mallinckrodt, St. Louis, Missouri. Poly (ϵ -caprolactone) (PCL) having an inherent viscosity of 1.31 dL/g in chloroform at 30° C and
5 (PCL) having an inherent viscosity of 1.31 dL/g in chloroform at 30° C and 75:25 poly (DL-lactide-co-glycolide-co-, ϵ -caprolactone) (DL-PLCL) having an inherent viscosity of 0.65 dL/g were obtained from Birmingham Polymers, Inc., Birmingham, AL.

Formulation Preparation

10 All formulations were prepared by a melt-extrusion process using two Randcastle Microtruders and a coaxial die. The active (NTX, fentanyl base, or sufentanil base) and PCL were solvent blended using dichloromethane. After most of the solvent had been evaporated, the mixtures were vacuum dried and ground through a 1-mm screen using a Retsch Ultracentrifugal
15 Mill. The ground material was further dried under vacuum for at least 24 hours. Blends containing either 10 or 30 wt % active were prepared.

Coaxial rods containing NTX or fentanyl base were prepared by operating the two extruders simultaneously. Figure 1 shows a schematic of the coaxial die 10 used during the extrusion. The active/PCL blends were
20 processed via the first extruder 12 and fed through the center orifice 14 of the die 10 to form the implant core 10. Pure PCL was processed via the second extruder 18 and fed to the outer, concentric ring 20 of the coaxial die 10 to form the membrane 22. The resulting coaxial rod thus consists of an active/PCL core 16 and a PCL rate-controlling membrane 22. The relative
25 thickness of the membrane is controlled by adjusting the extrusion rate of the second extruder relative to that of the first. After extrusion, individual implants with a diameter of approximately 1.5 mm were cut to a length of 2.0 cm. The exposed ends were then sealed by coating the ends with a solution of PCL in dichloromethane.

30 Coaxial rods containing sufentanil base were prepared by extruding monolithic rods containing sufentanil/polymer blends and cutting the rods to a length giving the desired amount of sufentanil. The rods were coated by

dipping into a solution of polymer dissolved in dichloromethane. Individual coatings were applied until the desired membrane thickness was achieved.

Naltrexone Content Assay

The active content of individual naltrexone implants was determined by dissolving the implant in ethyl acetate, diluting the solution with HPLC mobile phase, and analyzing the resulting extract by HPLC.

***In Vitro* Dissolution**

Active release was determined *in vitro* using a simple dissolution test. Individual naltrexone or fentanyl implants were placed in 2-oz. amber jars with 10 mL of buffer (phosphate buffered saline, pH 7.4, with 0.05% sodium azide) and incubated at 37° C. Periodically, a known volume of buffer was removed and replaced with fresh buffer. The drug concentration in each sample of buffer was determined by HPLC.

Individual sufentanil implants were placed in 8-oz amber jars containing 20 mL of buffer. After one hour, the total amount of buffer was increased to 70 mL. At each sampling time, a 10-mL aliquot of buffer was removed and replaced with 10 mL of fresh buffer. The concentration of sufentanil in each sample was determined by HPLC.

RESULTS AND DISCUSSION

Several experiments were conducted to determine the optimal conditions for the coaxial extrusion. Table 1 shows the process conditions used during the NTX coaxial extrusion for the two Randcastle Extruders.

TABLE 1: Coaxial Extrusion Conditions

Extrude Conditions	Core Extruder	Membrane Extruder
Zone 1	154°F	150°F
Zone 2	170°F	158°F
Zone 3	170°F	163°F
Die Block	168°F	165°F
Die Block	168°F	165°F
Coaxial Die	170°F	170°F

Figure 2 shows the release of naltrexone base in mg/day for various implant formulations including the monolithic core and coated rods having different membrane thicknesses. The core and membrane thicknesses were determined by measuring the inner (r_i) and outer (r_o) radii of the implant. As
5 expected, the membrane controls the rate of release of NTX base from the implant. The thicker the polymer membrane, the slower the release of naltrexone base from the coaxial implant.

Figure 3 shows a comparison between core loadings for implants having a $r_o:r_i$ of 1.1. The lower loaded implants show a gradual decline in
10 the rate of NTX release. This declining rate is due in part, to the low initial concentration of drug relative to the saturation solubility of drug in the core polymer. As drug is released and the concentration of active in the core falls below saturation, a zero-order release profile is not maintained. At the highest core loading, however, saturation and a zero-order release profile can
15 be maintained for a longer duration.

Figure 4 shows the release of fentanyl base from coaxial implants containing 10 wt % fentanyl base in the core. As with the NTX implants, the release of fentanyl becomes first order as the concentration in the core falls below saturation.

20 Figures 5 and 6 show the release of sufentanil base from coaxial implants containing, respectively, 10 and 30 wt % sufentanil base in the core.

Example 2: Biodegradable Coaxial Implants for Delivery of Cromolyn Sodium with Sodium Chloride as a Pore-Forming Agent in the Membrane

25 The core material (50:50 wt/wt cromolyn sodium (cromolyn) and PCL with an inherent viscosity of 0.67dL/g in chloroform at 30° C) was blended together, compounded, and ground through a 1-mm screen using a Retsch Ultracentrifugal Mill. The membrane material (30 % vol/vol sodium chloride (NaCl) and PCL with an inherent viscosity of 1.37 dL/g in
30 chloroform at 30° C) was blended, compounded, and ground the same as the core material. The core and membrane material were dried under vacuum for a minimum of 16 hours

Coaxial rods were produced by operating the two Randcastle extruders simultaneously. The core material (cromolyn/PCL) was fed through the center orifice of the die on the first extruder to form the implant core. The membrane material (NaCl/PCL) was fed through the second
5 extruder through the outer ring of the coaxial die to form the membrane. Individual implants with a diameter of approximately 2 mm were cut to a length of 2 cm. The exposed ends were sealed with the same polymer as the membrane.

Cromolyn release was determined *in vitro* using a simple dissolution
10 test. Individual implants were placed in 4-oz amber jars with 40 mL of buffer (phosphate buffered saline, pH 7.4, with 0.05 % sodium azide) and incubated at 37°C. Periodically, aliquots of buffer were removed and replaced with fresh buffer. The cromolyn concentration in each sample was determined by HPLC.

15 Figure 7 shows the release of cromolyn from coaxial implants with NaCl being used as the pore-forming agent.

Example 3: Biodegradable Coaxial Implants for Delivery of Cromolyn Sodium with Cromolyn Sodium as a Pore-Forming Agent in the Membrane

20 The core material (50:50 wt/wt cromolyn sodium (cromolyn) milled and compounded with PCL with an inherent viscosity of 0.67dL/g in chloroform at 30° C) and the membrane material (30 % vol/vol cromolyn milled and compounded with PCL with an inherent viscosity of 1.37 dL/g in chloroform at 30° C) were processed and analyzed the same as in Example 2.
25 Figure 8 shows the release of cromolyn from coaxial implants with cromolyn being used as a pore-forming agent. As expected, the membrane controls the rate at which cromolyn is released. A thicker polymer membrane causes slower release of cromolyn.

Example 4: Biodegradable Coaxial Implants for Delivery of Cromolyn Sodium with Lactose as a Pore-Forming Agent in the Membrane

30 The core material (50:50 wt/wt cromolyn sodium (cromolyn) milled and compounded with PCL with an inherent viscosity of 0.67dL/g in

chloroform at 30° C) and the membrane material (30 % vol/vol lactose milled and compounded with PCL with an inherent viscosity of 1.37 dL/g in chloroform at 30° C) were processed and analyzed the same as in Example 2.

Figure 9 shows the release of cromolyn from coaxial implants. The graph compares the release from a sealed implant to the release of an unsealed implant. As expected, the unsealed implant releases faster than the sealed.

Example 5: Biodegradable Coaxial Implants for Delivery of Cromolyn Sodium from a Dense PEG 3K/90:10 DL-PLG Polymer Membrane

The core material (50:50 wt/wt cromolyn sodium (cromolyn) milled and compounded with PCL with an inherent viscosity of 0.67dL/g in chloroform at 30° C) and the membrane material (PEG 3K/90:10 DL-PLG with an inherent viscosity of 0.89 dL/g in chloroform at 30° C) were processed and analyzed the same as in Example 2.

Figure 10 shows the release of cromolyn from coaxial implants with a dense hydrophilic polymer membrane.

Example 6: Biodegradable Coaxial Implants for Delivery of Cromolyn Sodium from a Dense PCL Membrane

The core material (50:50 wt/wt cromolyn sodium (cromolyn) milled and compounded with PCL with an inherent viscosity of 0.67dL/g in chloroform at 30° C) and the membrane material (PCL with an inherent viscosity of 1.37 dL/g in chloroform at 30° C) were processed and analyzed the same as in Example 2.

Figure 11 shows the release of cromolyn from coaxial implants with a dense hydrophobic polymer membrane.

Example 7: Biodegradable Coaxial Implants for Delivery of a Protein

Using nitrogen gas as the core feed, a mixture comprising 30 vol % NaCl in PCL was extruded as described above through the outer ring of a coaxial die to form tubing having an overall diameter of about 3 mm. Implants containing α -interferon were prepared from the tubing by filling a short length of tubing with a suspension containing 20 mg of α -interferon in sucrose acetate isobutyrate (SAIB) and sealing the ends of the tube.

The implants, when incubated in phosphate buffered saline, released interferon for several days.

We claim:

1. A completely biodegradable coaxial implant comprising a core containing active agent to be delivered and a rate-controlling membrane, wherein the implant does not degrade completely until all the active agent is released.
2. The implant of claim 1 wherein the active agent is released for at least 30 days.
3. The implant of claim 1 wherein the core of the implant is formed of a biodegradable polymer having active agent incorporated therein.
4. The implant of claim 1 wherein the membrane is a microporous membrane.
5. The implant of claim 1 wherein the membrane is a semi-permeable membrane.
6. The implant of claim 1 wherein the core is formed by dry blending of active agent and polymer, followed by extrusion.
7. The implant of claim 1 wherein the core is formed by a double extrusion process.
8. The implant of claim 1 wherein the core is a liquid.
9. The implant of claim 1 wherein the core is pure drug.
10. The implant of claim 1 wherein the polymer forming the membrane or core is semi-crystalline, crystalline or amorphous .
11. The implant of claim 1 wherein the polymer forming the membrane and the core is the same polymer.
12. The implant of claim 1 releasing active agent with zero order release kinetics.
13. The implant of claim 1 wherein the implant comprises active agent in or abutting the membrane.
14. A polymeric implant for delivery of a narcotic analgesic or antagonist thereof comprising a monolithic or coaxial implant.
15. The implant of claim 14 wherein the analgesic or antagonist is selected from the group consisting of sufentanil, fentanyl, naltrexone, and naloxone.

16. The implant of claim 14 wherein the polymer is biodegradable.
17. The implant of claim 14 wherein the implant is a coaxial implant comprising a core containing the analgesic or antagonist and a rate-controlling membrane.
18. The implant of claim 14 releasing the analgesic or antagonist over a period of at least thirty days with zero order release kinetics.
19. The implant of claim 1 or 14 formed into a device selected from a matrix, prosthetic, graft, or coating.
20. The implant of claim 19 wherein the device is suitable for implantation intravascularly.
21. The implant of claim 20 wherein the device comprises an active agent selected from the group consisting of heparin, taxol and other drugs for use in treating or preventing restenosis.
22. The implant of claim 19 suitable for implantation into a body lumen.
23. The implant of claim 19 suitable for implantation adjacent to a nerve plexus.
24. The implant of claim 1 or 14 wherein the core composition further comprises concentric layers having different compositions.
25. The implant of claim 1 or 14 wherein the core comprises excipient.
26. The implant of claim 1 or 17 wherein the rate-controlling membrane comprises pore forming agents.
27. The implant of claim 1 or 14 wherein the implant comprises a polymer selected from the group consisting of polyethylene glycol, polyhydroxyacid, caprolactone, or copolymer thereof.
28. The implant of claim 1 wherein the active agent is water soluble.
29. The implant of claim 1 wherein the active agent is a protein or peptide.

30. The implant of claim 1 or 14 wherein the implant has sealed ends.
31. The implant of claim 1 or 14 wherein the implant is further process post-extrusion by drawing or annealing.
32. The implant of claim 1 wherein the core contains a non-polymeric, non-water soluble liquid and an active agent.
33. The implant of claim 1 formed by filling a preformed membrane with a liquid, drug-containing formulation.
34. A method of use of the implant of any of claims 1-33.
35. A method of making a coaxial implant comprising the steps of twice extruding active agent and polymer to form the core of the coaxial implant.
36. The method of claim 35 wherein the active agent and the polymer are dry blended before the first extrusion step.

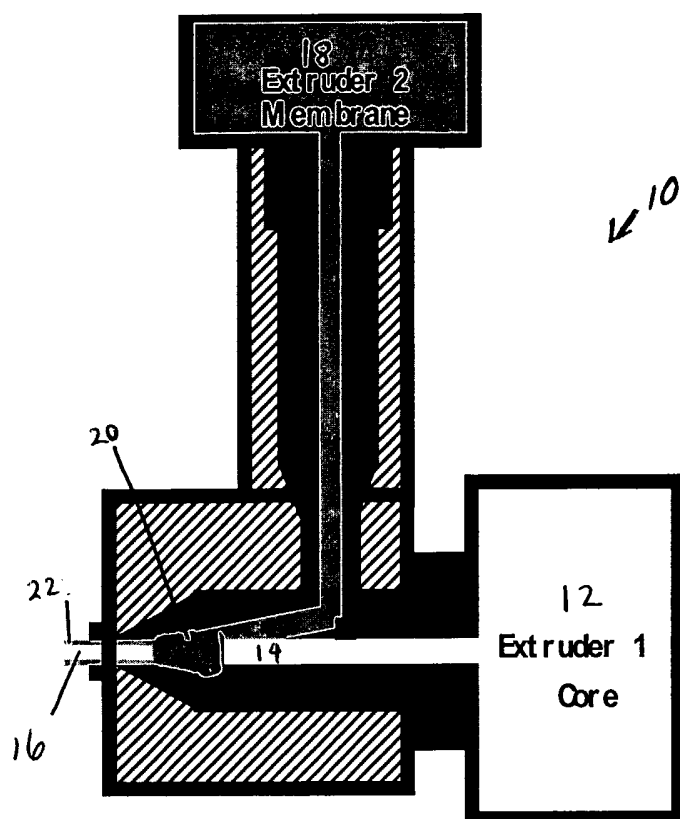


Figure 1. Schematic of Coaxial Setup

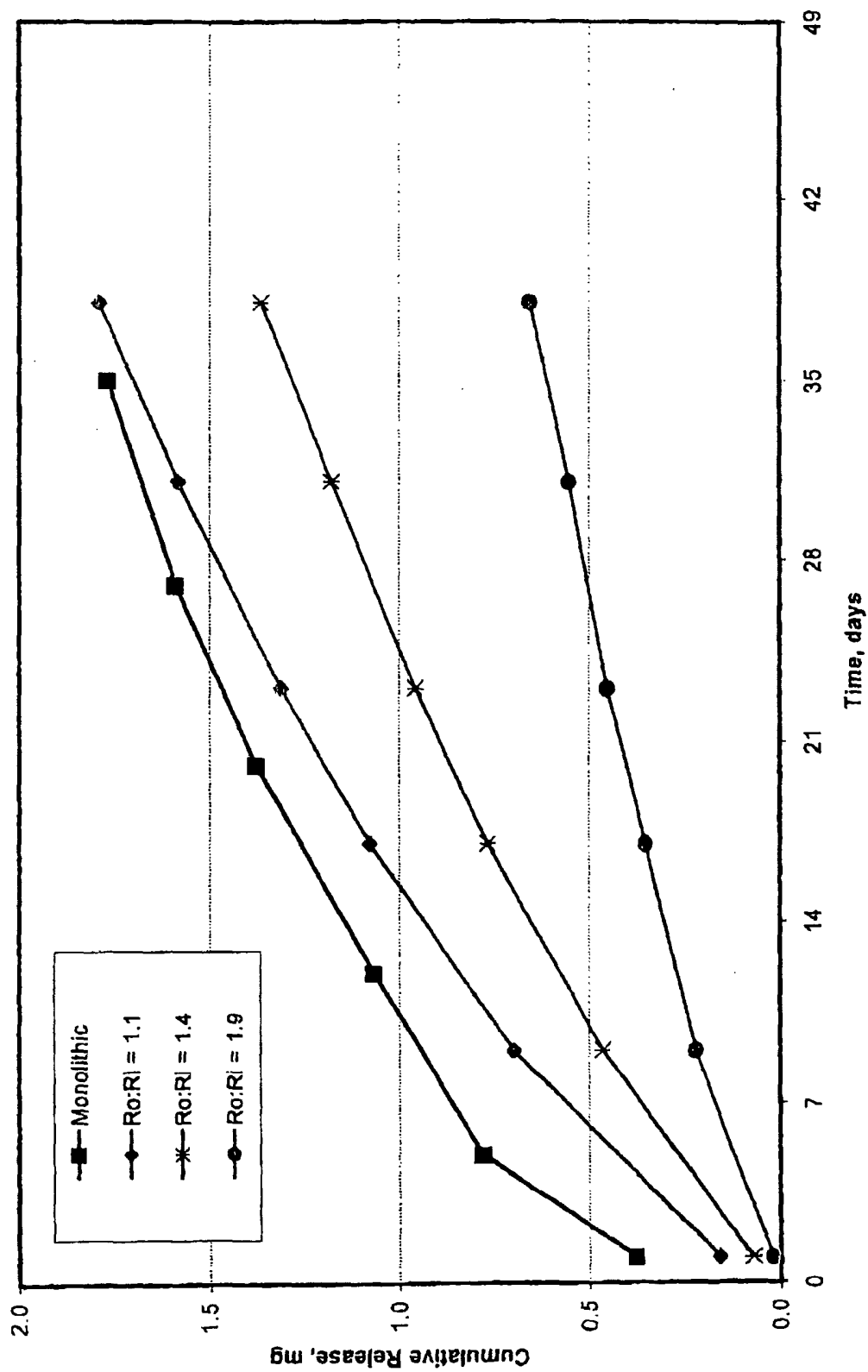


Figure 2a The Effect of Membrane Thickness (Ro:Ri) on the Release of Naltrexone from Coaxial PCL Implant: 10 wt % NTX in Core

*Figure 2b The Effect of Sheath Thickness on Release of Naltrexone
from Coaxial PCL Implants (Core 30 wt% Loaded)*

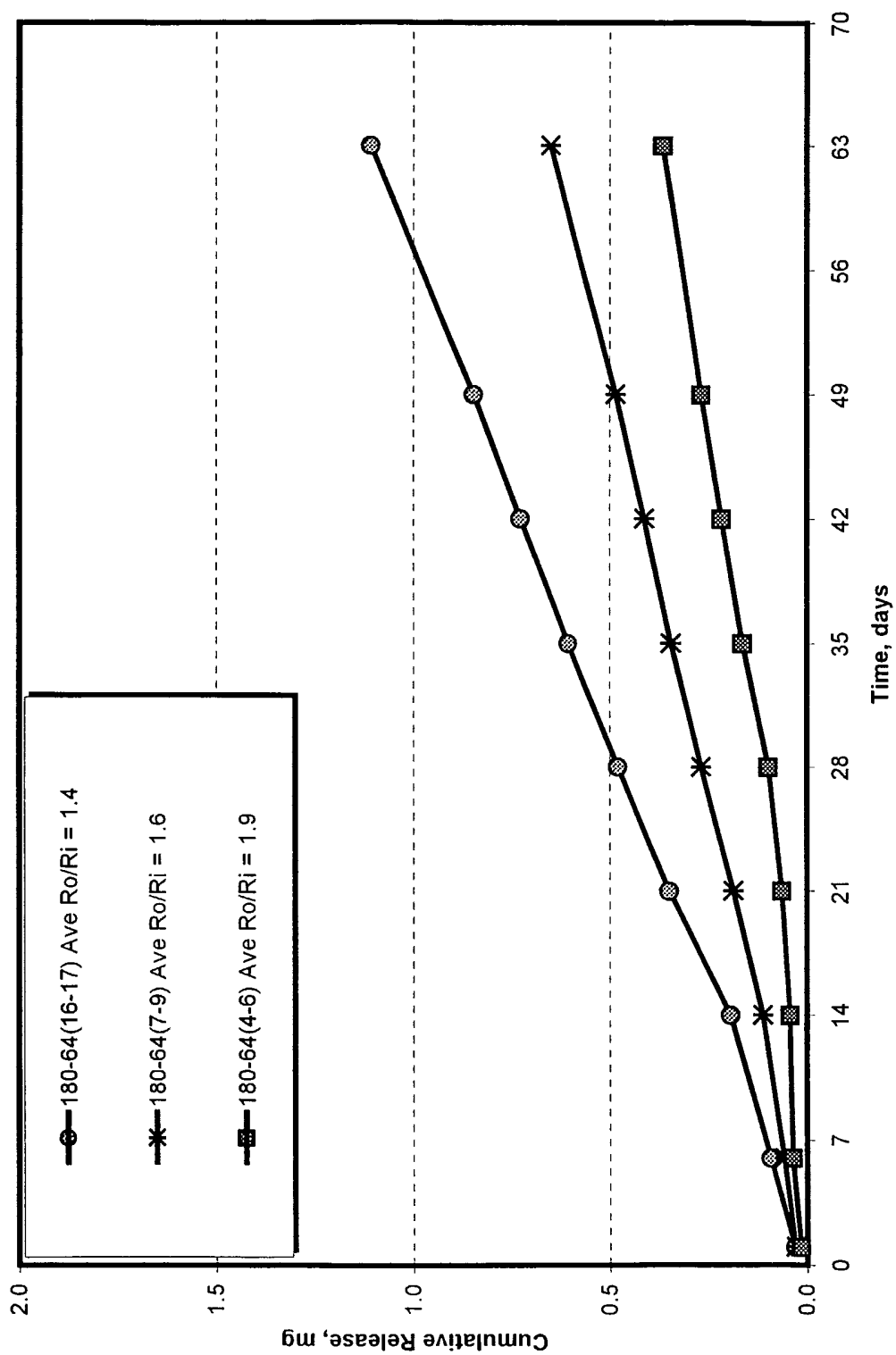
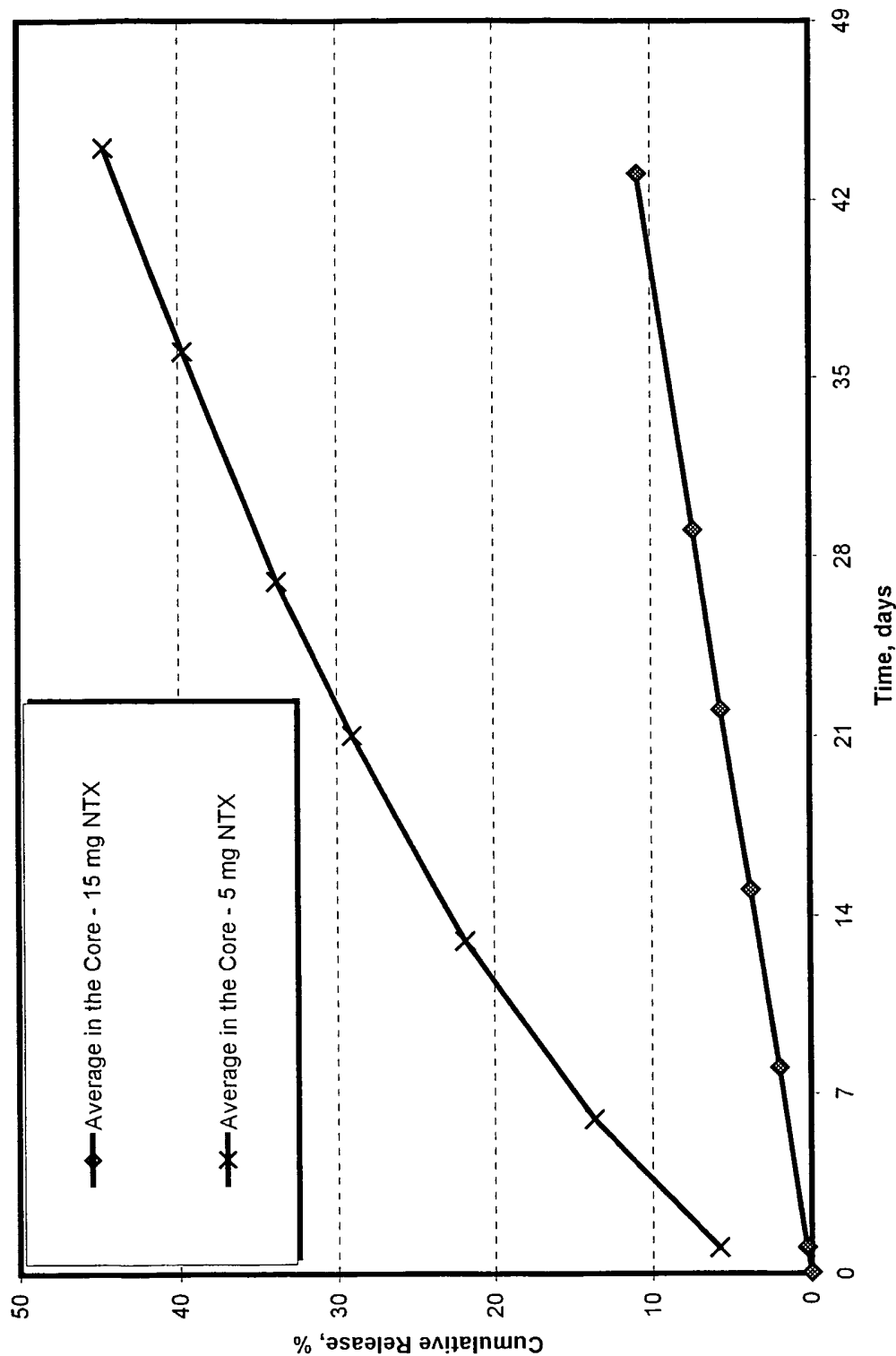


Figure 3. The Effect of Core Loading on Release of Naltrexone from Coaxial PCL Implants



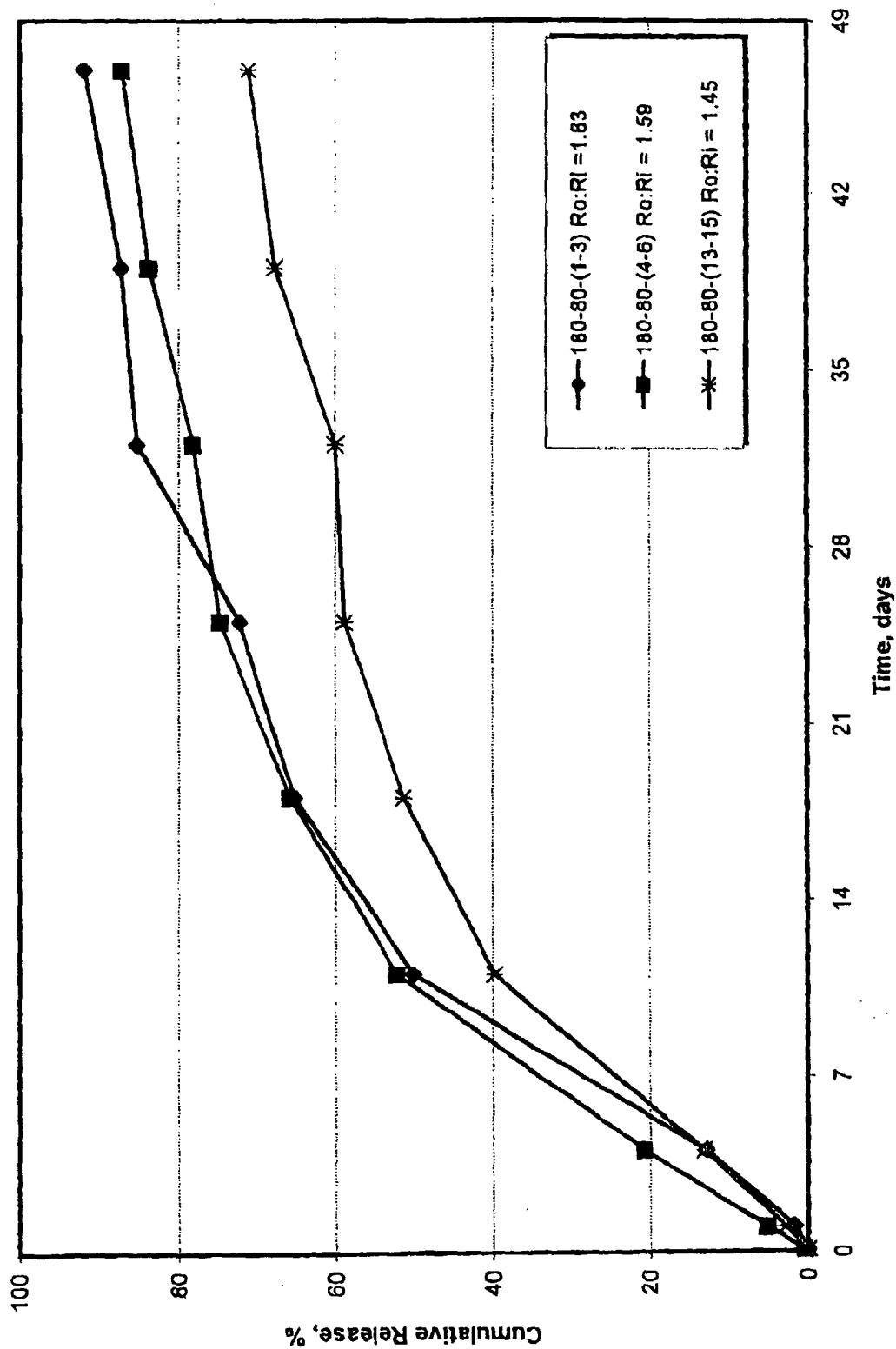


Figure 4 The Effect of Membrane Thickness (Ro:Ri) on the Release of Fentanyl from Coaxial Implants: 10 wt % Fentanyl in PCL Core; PCL Membrane

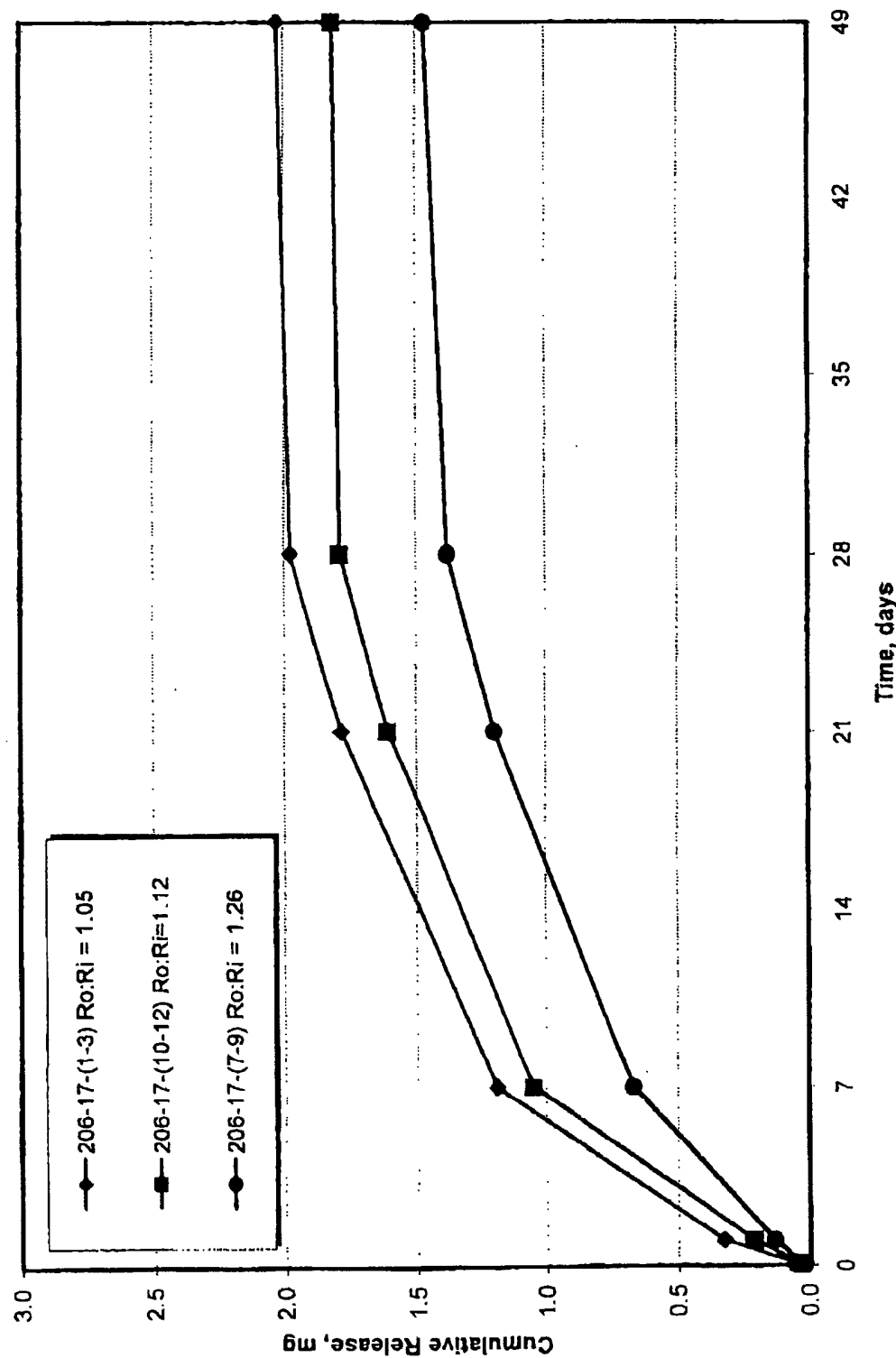


Figure 5 The Effect of Membrane Thickness (Ro:Ri) on the Release of Sufentanil from Coaxial Implants: 10 wt % Sufentanil in PCL Core; PCL Membrane

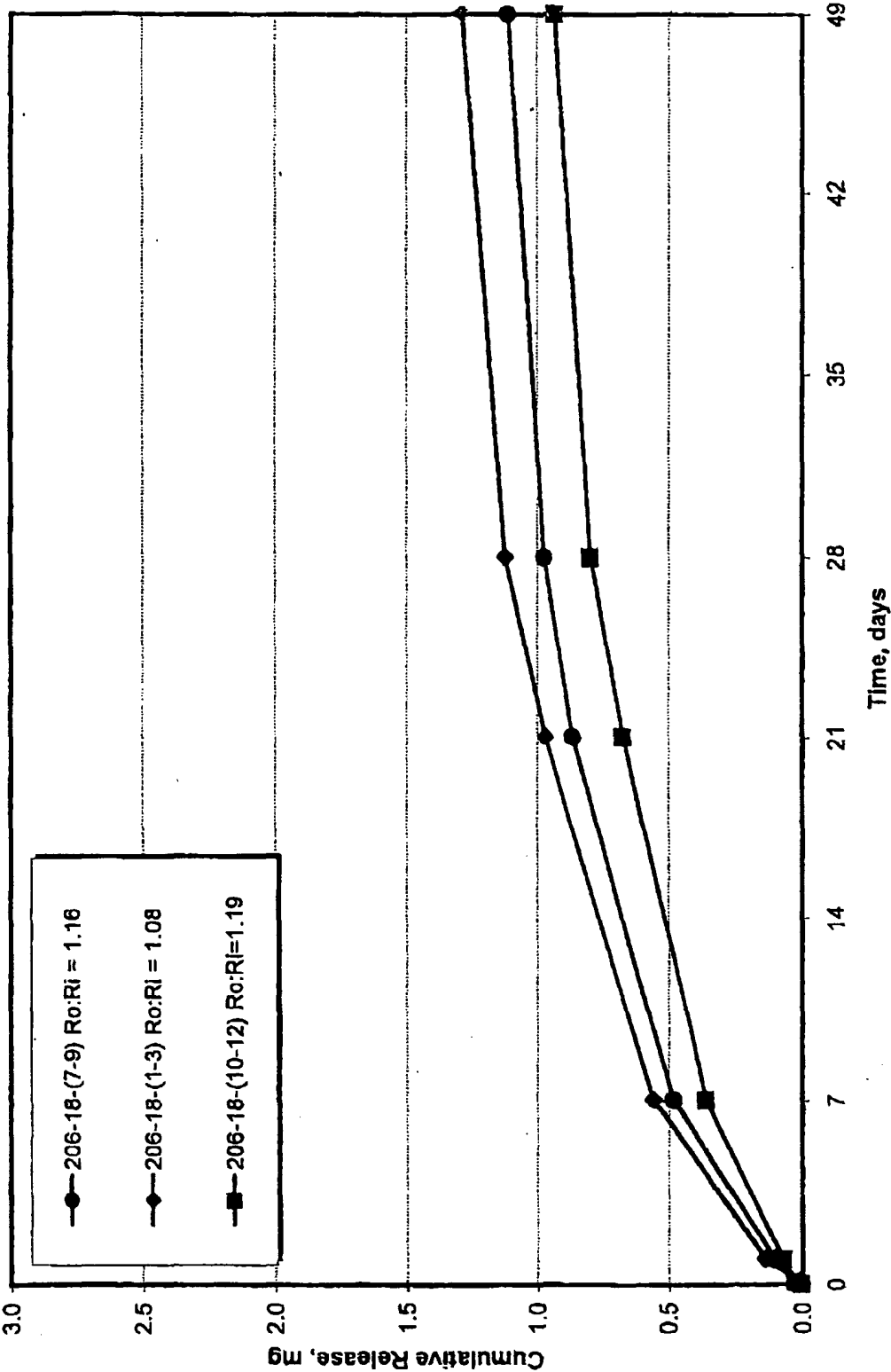


Figure 6 The Effect of Membrane Thickness (Ro:Ri) on the Release of Sufentanil from Coaxial Implants: 30 wt % Sufentanil in PCL Core; PCL Membrane

Figure 7. Release of Cromolyn Sodium from 50 wt% Cromolyn/PCL Core with a 30 Vol% Sodium Chloride/PCL Membrane Coaxial Implant

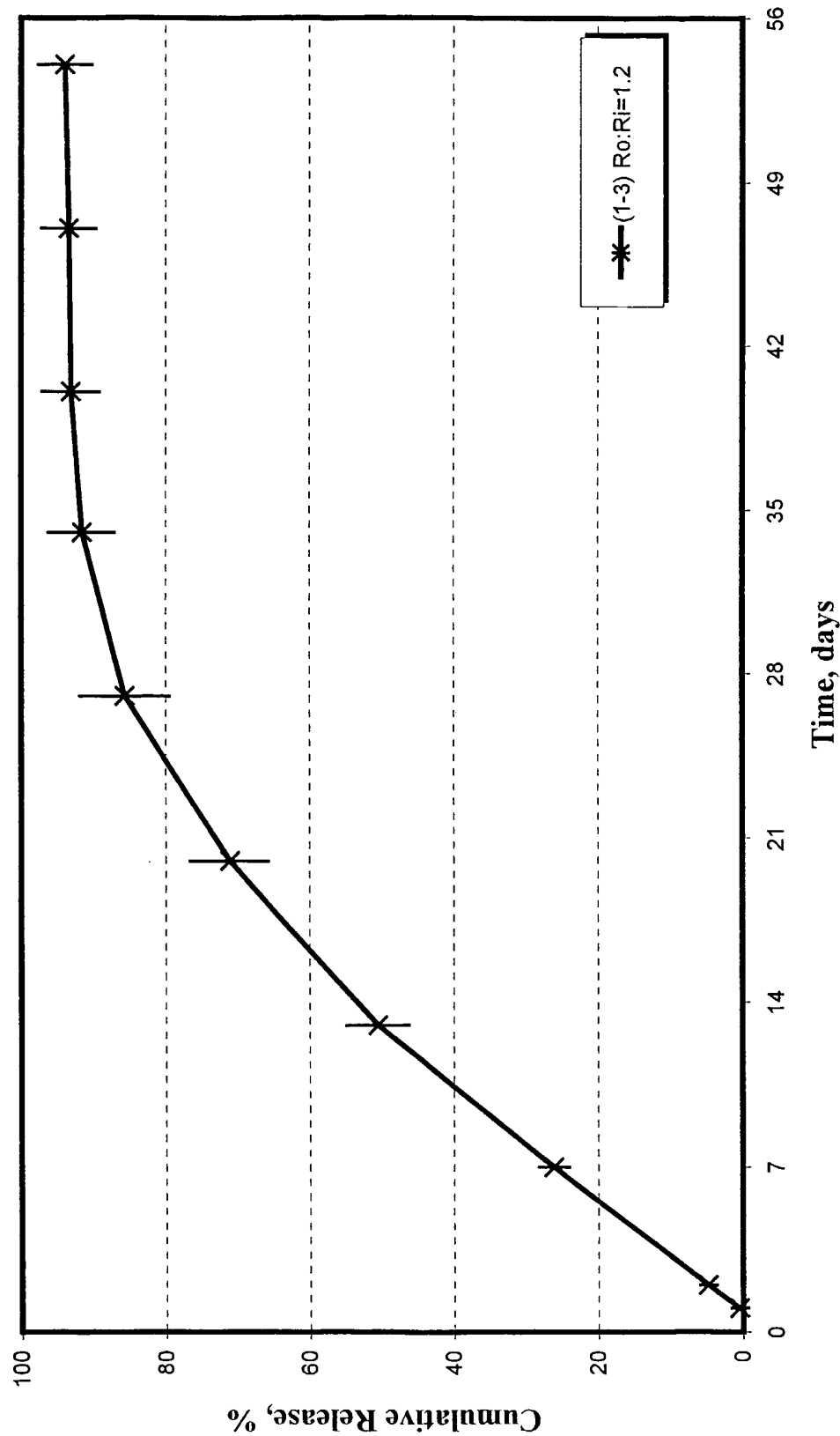


Figure 8. Effect of Ro:Ri on the Release of Cromolyn Sodium from 50 wt% Cromolyn/PCL Core, 30 vol% Cromolyn/PCL Membrane Implant

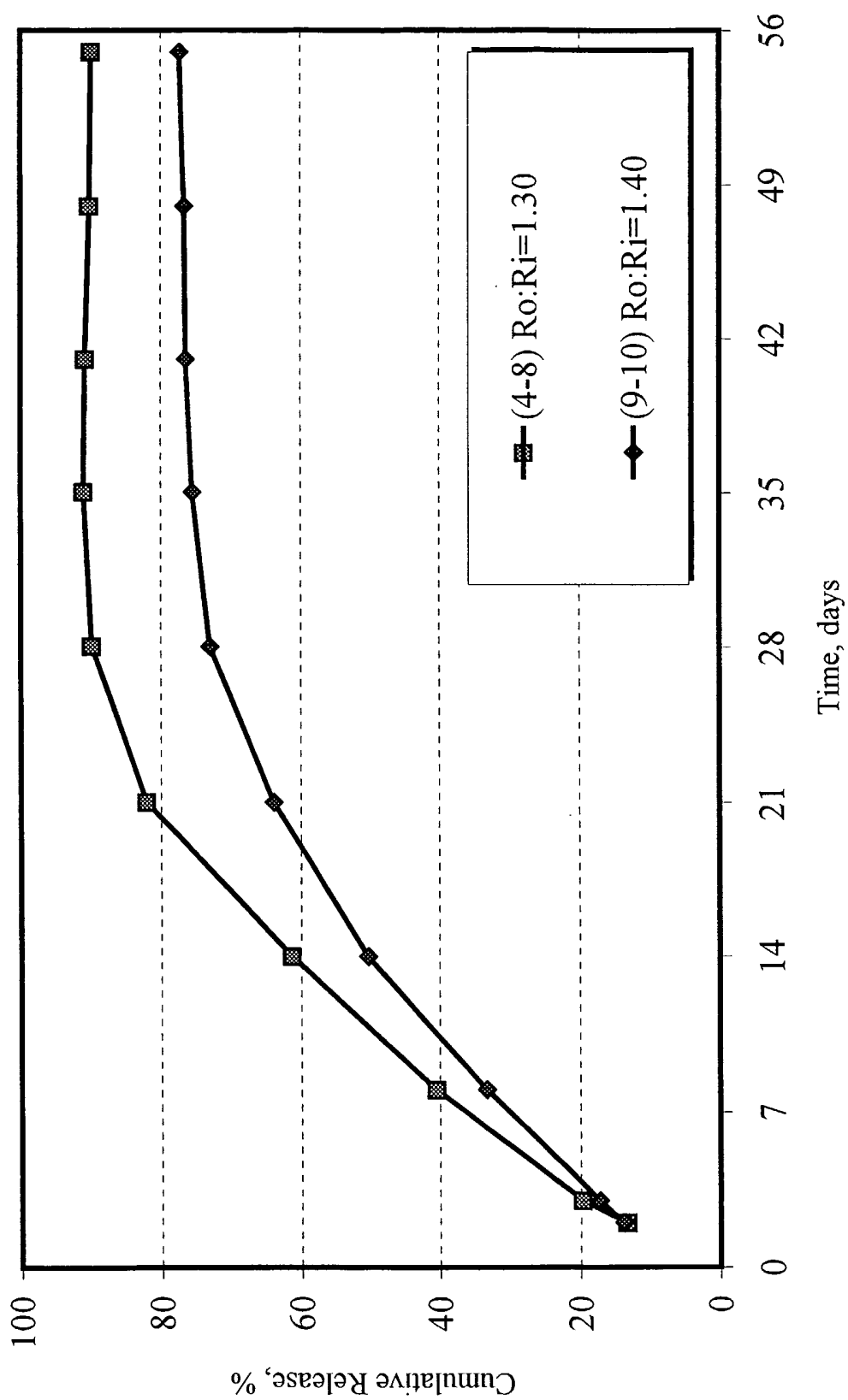


Figure 9. Release of Cromolyn Sodium from Coaxial Implants Consisting of 50 wt% Cromolyn/PCL Core with 30 vol% Lactose/PCL Membrane

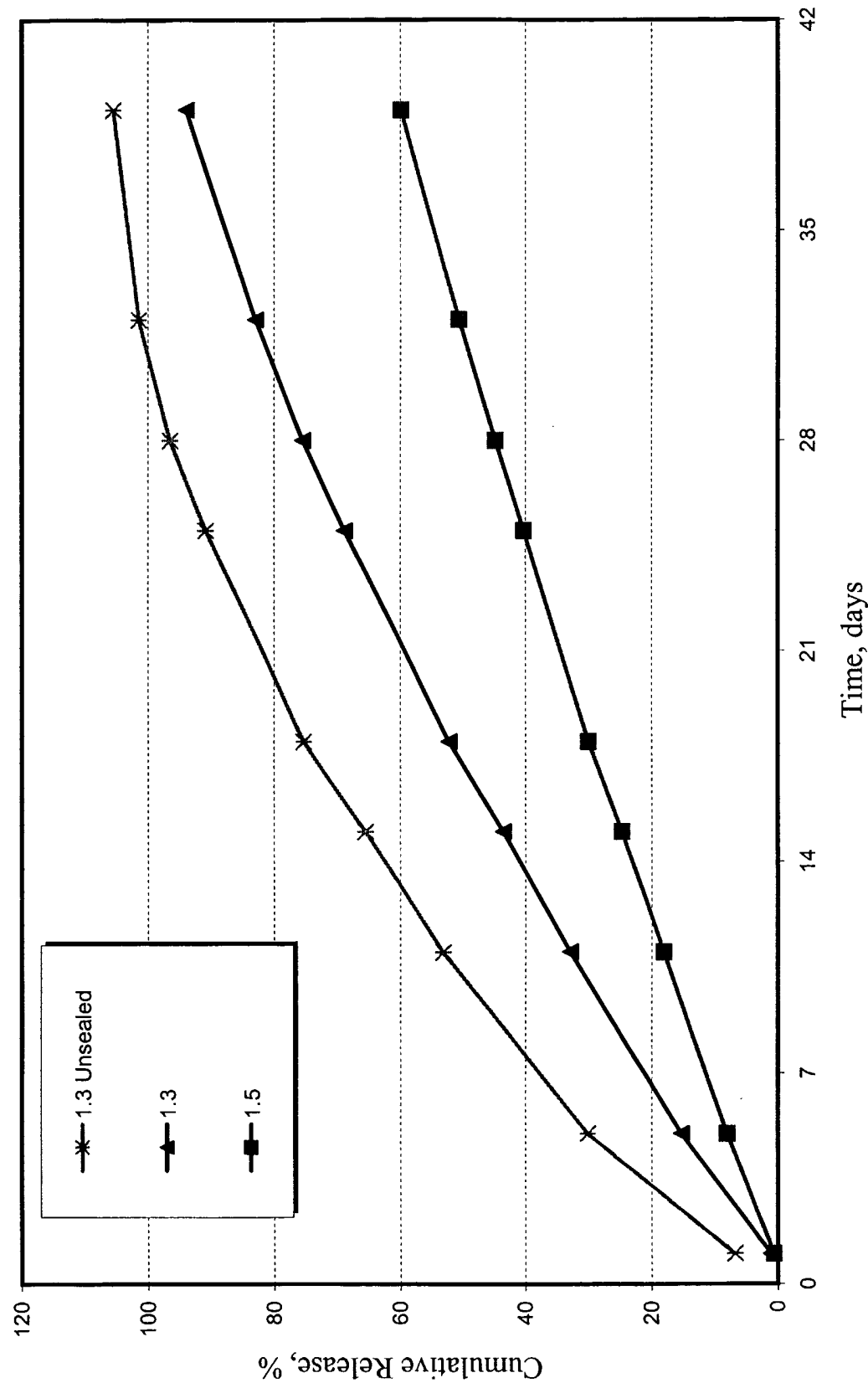


Figure 10. Effect of Ro:Ri on Release of 50 wt % Cromolyn/PCL Core with a PEG 3K/90:10 DL-PLG Membrane

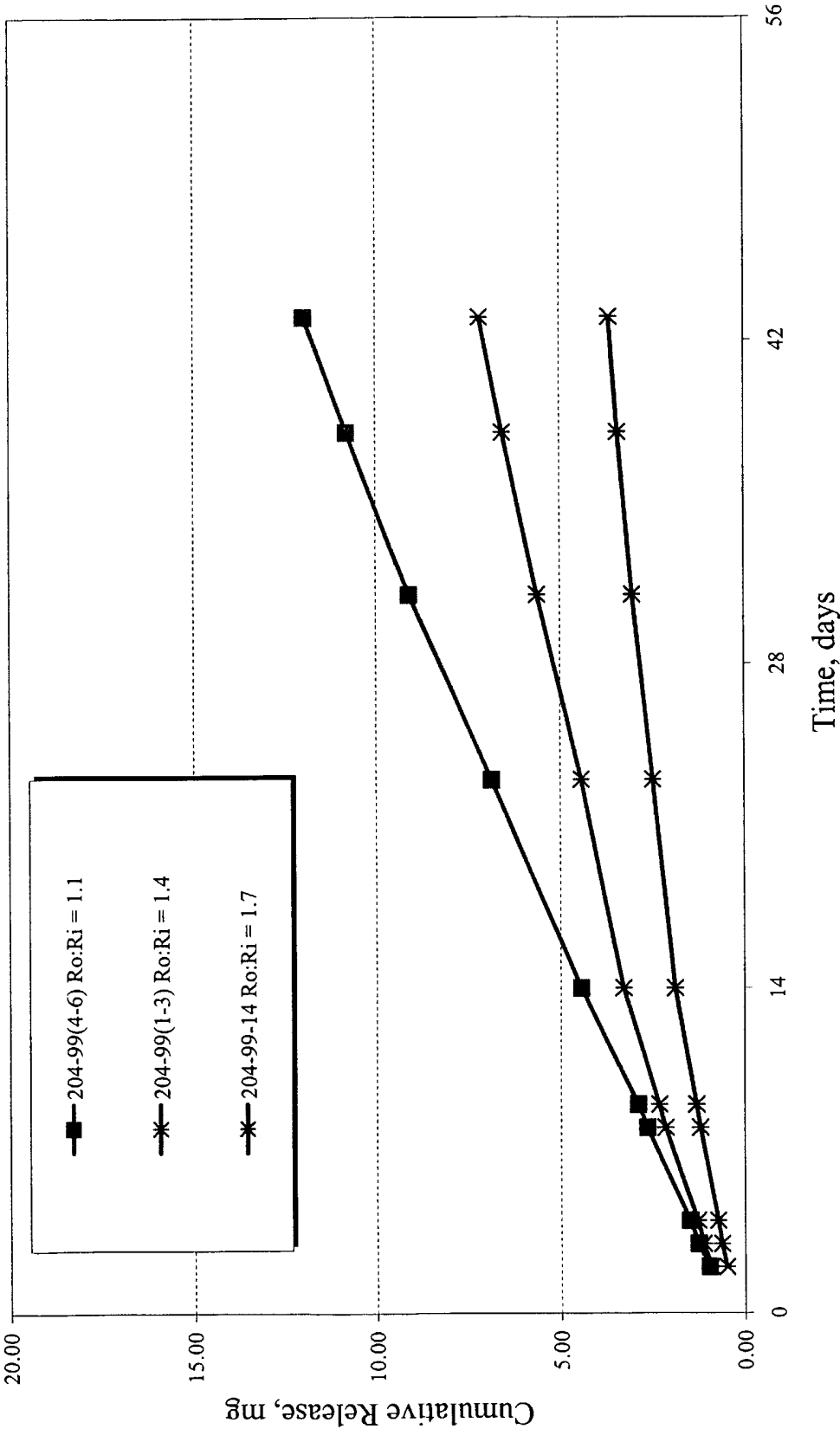
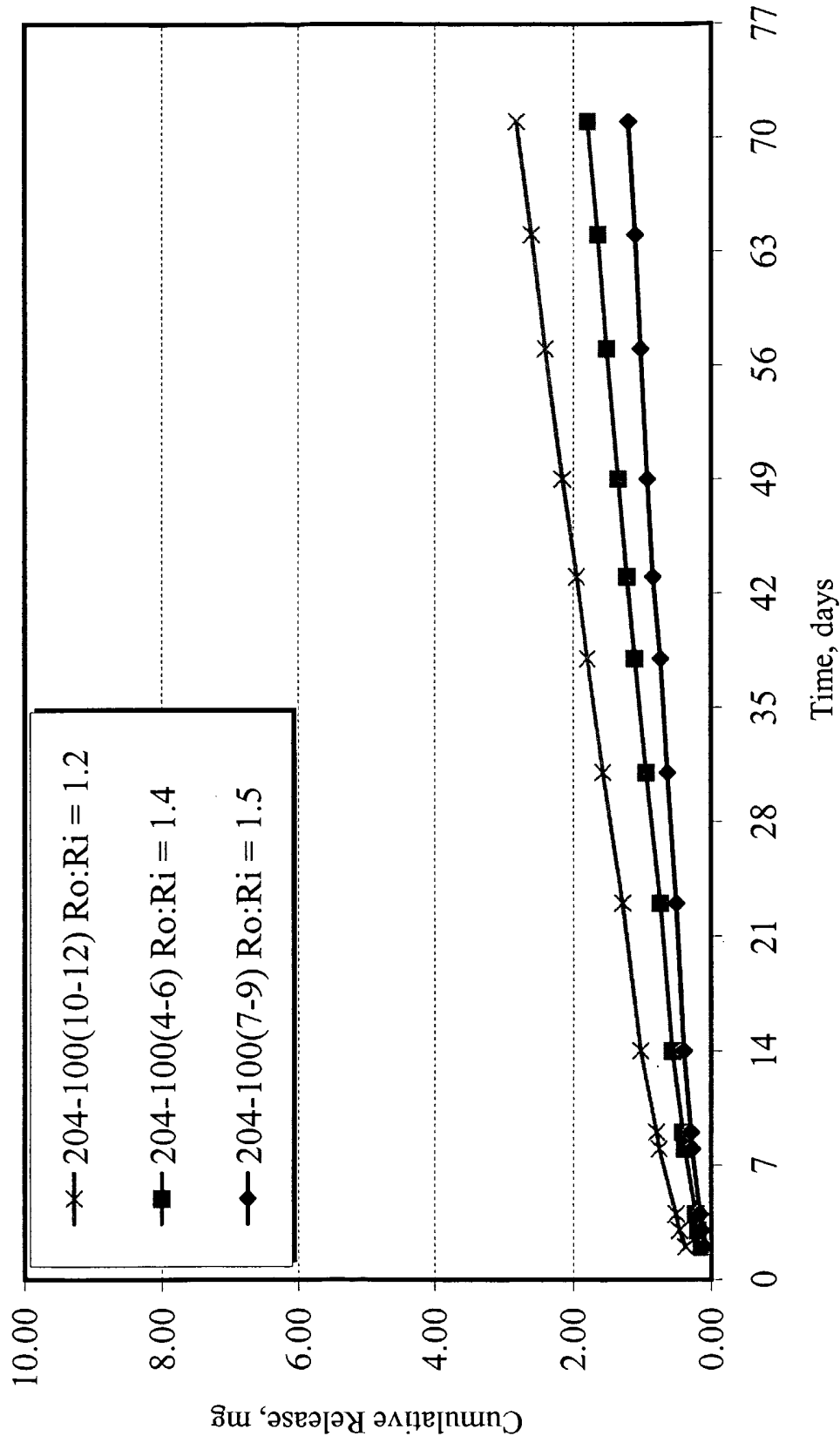


Figure 11. Effect of Ro:Ri on Release of a 50 wt % Cromolyn/PCL Core with a PCL Membrane



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/19475

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 2/02

US CL : 424/423, 424, 426

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/423, 424, 426

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,340,584 A (SPICER ET AL) 23 August 1994, see entire document.	1-36



Further documents are listed in the continuation of Box C.



See patent family annex.

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Date of the actual completion of the international search

14 AUGUST 2002

Date of mailing of the international search report

31 OCT 2002

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