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(54) Title: COMPOSITIONS AND METHODS FOR COATING MEDICAL IMPLANTS

(57) Abstract: Medical implants are provided which release an anthracycline, fluoropyrimidine, folic acid antagonist, podophyllotoxin, camptothecin, hydroxyurea, and/or platinum complex, thereby inhibiting or reducing the incidence of infection associated with the implant.

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## COMPOSITIONS AND METHODS FOR COATING MEDICAL IMPLANTS

## BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates generally to pharmaceutical  
5 compositions, methods, and devices, and more specifically, to compositions and  
methods which reduce the likelihood of an infection associated with a medical  
implant.

Description of the Related Art

Infections associated with medical implants represent a major  
10 healthcare problem. For example, 5% of patients admitted to an acute care facility  
develop a hospital acquired infection. Hospital acquired infections (nosocomial  
infections) are the 11<sup>th</sup> leading cause of death in the US and cost over \$2 billion  
annually. Nosocomial infections directly cause 19,000 deaths per year in the US  
and contribute to over 58,000 others.

15 The four most common causes of nosocomial infections are: urinary  
tract infection (28%); surgical site infection (19%); respiratory tract infection (17%);  
and bloodstream infection (16% and rising). A significant percentage of these  
infections are related to bacterial colonization of implanted medical implants such  
as Foley catheters (urinary tract infections); surgical drains, meshes, sutures,  
20 artificial joints, vascular grafts (wound infections); endotracheal and tracheostomy  
tubes (respiratory tract infection); and vascular infusion catheters (bloodstream  
infections). Although any infectious agent can infect medical implant,  
Staphylococci (*S. aureus*, *S. epidermidis*, *S. pyogenes*), Enterococci (*E. coli*),  
Gram Negative Aerobic Bacilli, and *Pseudomonas aeruginosa* are common  
25 causes. Once a medical implant becomes colonized by bacteria, it must frequently  
be replaced resulting in increased morbidity for the patient and increased cost to

the healthcare system. Often the infected device serves as a source for a disseminated infection which can lead to significant morbidity or even death.

In an attempt to combat this important clinical problem, devices have been coated with antimicrobial drugs. Representative examples include U.S.

- 5 Patent No. 5,520,664 ("Catheter Having a Long-Lasting Antimicrobial Surface Treatment"), U.S. Patent No. 5,709,672 ("Silastic and Polymer-Based Catheters with Improved Antimicrobial/Antifungal Properties"), U.S. Patent No. 6,361,526 ("Antimicrobial Tympanostomy Tubes"), U.S. Patent No. 6,261,271 ("Anti-infective and antithrombogenic medical articles and method for their preparation"), U.S.
- 10 Patent No. 5,902,283 ("Antimicrobial impregnated catheters and other medical implants") U.S. Patent No. 5,624,704 ("Antimicrobial impregnated catheters and other medical implants and method for impregnating catheters and other medical implants with an antimicrobial agent") and U.S. Patent No. 5,709,672 ("Silastic and Polymer-Based Catheters with Improved Antimicrobial/Antifungal Properties").

- 15 One difficulty with these devices, however, is that they can become colonized by bacteria resistant to the antibiotic coating. This can result in at least two distinct clinical problems. First, the device serves as a source of infection in the body with the resulting development of a local or disseminated infection. Secondly, if an infection develops, it cannot be treated with the antibiotic(s) used in
- 20 the device coating. The development of antibiotic-resistant strains of microbes remains a significant healthcare problem, not just for the infected patient, but also for the healthcare institution in which it develops.

- Thus, there is a need in the art for medical implants which have a reduced likelihood of an associated infection. The present invention discloses
- 25 such devices (as well as compositions and methods for making such devices) which reduce the likelihood of infections in medical implants, and further, provides other, related advantages.



## BRIEF DESCRIPTION OF THE DRAWING

Figure 1 shows the effect of palmitic acid on the release profile of 5-fluorouracil from a polyurethane sample.

## BRIEF SUMMARY OF THE INVENTION

5 Briefly stated, the present invention provides compositions and methods for preventing, reducing or inhibiting the likelihood of infections associated with medical implants. More specifically, within one aspect of the invention medical implants or devices are provided which release a chemotherapeutic agent, wherein the chemotherapeutic agent reduces, inhibits, or  
10 prevents the growth or transmission of foreign organisms (e.g., bacteria, fungi, or viruses) which are on or are associated with the medical device or implant. For example, within one aspect of the invention medical implant or devices are provided which release an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. Within various  
15 embodiments, the implant is coated in whole or in part with a composition comprising an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex.

Other aspects of the present invention provide methods for making medical implants, comprising adapting a medical implant (e.g., coating the implant)  
20 with an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. Within certain embodiments, the desired therapeutic agent is coated on and/or released from the medical implant at a dosage and/or concentration which is less than the typical dosage and/or concentration of the agent when used in the treatment of cancer.

25 A wide variety of medical implants can be generated using the methods provided herein, including for example, catheters (e.g., vascular and dialysis catheters), heart valves, cardiac pacemakers, implantable cardioverter defibrillators, grafts (e.g., vascular grafts), ear, nose, or throat implants, urological

implants, endotracheal or tracheostomy tubes, CNS shunts, orthopedic implants, and ocular implants. Within certain embodiments, the catheter (*e.g.*, vascular and dialysis catheters), heart valve, cardiac pacemaker, implantable cardioverter defibrillator, graft (*e.g.*, vascular grafts), ear, nose, or throat implant, urological  
5 implant, endotracheal or tracheostomy tube, CNS shunt, orthopedic implant, or ocular implant releases a fluoropyrimide (*e.g.*, 5-FU) at a dosage and/or concentration which is less than a typical dosage and/or concentration which is used for the treatment of cancer.

Within further aspects of the invention, there is provided a catheter  
10 which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the catheter releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the catheter further comprises a polymer wherein the agent is  
15 released from a polymer on the catheter. In certain embodiments, the catheter has a polymer that is polyurethane or poly(lactide-co-glycolide) (PLG). In related embodiments, the catheter is a vascular catheter or a dialysis catheter. In still other embodiments, the catheter releases an agent that is present on the catheter at a concentration which is less than the typical dosage and/or concentration that  
20 is used in the treatment of cancer.

Within further aspects of the invention, there is provided a heart valve which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the heart valve releases a  
25 fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the heart valve further comprises a polymer wherein the agent is released from a polymer on the heart valve. In certain embodiments, the heart valve has a polymer that is polyurethane or PLG. In related embodiments, the heart valve is a prosthetic heart valve. In still other embodiments, the heart valve

relaeases an agent that is present on the heart valve at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

Within further aspects of the invention, there is provided a cardiac  
5 pacemaker which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the cardiac pacemaker releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the cardiac pacemaker further  
10 comprises a polymer wherein the agent is released from a polymer on the cardiac pacemaker. In certain embodiments, the cardiac pacemaker has a polymer that is polyurethane or PLG. In still other embodiments, the cardiac pacemaker relaeases an agent that is present on the cardiac pacemaker at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of  
15 cancer.

Within further aspects of the invention, there is provided a  
implantable cardioverter defibrillator which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one  
20 embodiment, the implantable cardioverter defibrillator releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the implantable cardioverter defibrillator further comprises a polymer wherein the agent is released from a polymer on the implantable cardioverter defibrillator. In certain embodiments, the implantable cardioverter defibrillator has  
25 a polymer that is polyurethane or PLG. In still other embodiments, the implantable cardioverter defibrillator relaeases an agent that is present on the implantable cardioverter defibrillator at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

Within further aspects of the invention, there is provided a graft which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the graft releases a fluoropyrimidine  
5 and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the graft further comprises a polymer wherein the agent is released from a polymer on the graft. In certain embodiments, the graft has a polymer that is polyurethane or PLG. In related embodiments, the graft is a vascular graft or a hemodialysis access graft. In still other embodiments, the graft releases an agent  
10 that is present on the graft at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

Within further aspects of the invention, there is provided a ear, nose, or throat implant which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin,  
15 camptothecin, hydroxyurea, or platinum complex. In one embodiment, the ear, nose, or throat implant releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the ear, nose, or throat implant further comprises a polymer wherein the agent is released from a polymer on the ear, nose, or throat implant. In certain embodiments, the ear, nose, or  
20 throat implant has a polymer that is polyurethane or PLG. In related embodiments, the ear, nose, or throat implant is a tympanostomy tube or a sinus stent. In still other embodiments, the ear, nose, or throat implant releases an agent that is present on the ear, nose, or throat implant at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

25 Within further aspects of the invention, there is provided a urological implant which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the urological implant releases a fluoropyrimidine and in still another embodiment the

fluoropyrimidine is 5-FU. In other embodiments, the urological implant further comprises a polymer wherein the agent is released from a polymer on the urological implant. In certain embodiments, the urological implant has a polymer that is polyurethane or PLG. In related embodiments, the urological implant is a  
5 urinary catheter, ureteral stent, urethral stent, bladder sphincter, or penile implant. In still other embodiments, the urological implant releases an agent that is present on the urological implant at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

Within further aspects of the invention, there is provided a  
10 endotracheal or tracheostomy tube which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the endotracheal or tracheostomy tube releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other  
15 embodiments, the endotracheal or tracheostomy tube further comprises a polymer wherein the agent is released from a polymer on the endotracheal or tracheostomy tube. In certain embodiments, the endotracheal or tracheostomy tube has a polymer that is polyurethane or PLG. In still other embodiments, the endotracheal or tracheostomy tube releases an agent that is present on the endotracheal or  
20 tracheostomy tube at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

Within further aspects of the invention, there is provided a CNS shunt which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea,  
25 or platinum complex. In one embodiment, the CNS shunt releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the CNS shunt further comprises a polymer wherein the agent is released from a polymer on the CNS shunt. In certain embodiments, the CNS shunt has a polymer that is polyurethane or PLG. In related embodiments, the

CNS shunt is a ventriculopleural shunt, a VA shunt, or a VP shunt. In still other embodiments, the CNS shunt releases an agent that is present on the CNS shunt at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

5                   Within further aspects of the invention, there is provided a orthopedic implant which releases an agent selected from the group consisting of an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the orthopedic implant releases a fluoropyrimidine and in still another embodiment the  
10 fluoropyrimidine is 5-FU. In other embodiments, the orthopedic implant further comprises a polymer wherein the agent is released from a polymer on the orthopedic implant. In certain embodiments, the orthopedic implant has a polymer that is polyurethane or PLG. In related embodiments, the orthopedic implant is a prosthetic joint or fixation device. In still other embodiments, the orthopedic  
15 implant releases an agent that is present on the orthopedic implant at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

                  Within further aspects of the invention, there is provided a ocular implant which releases an agent selected from the group consisting of an  
20 anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex. In one embodiment, the ocular implant releases a fluoropyrimidine and in still another embodiment the fluoropyrimidine is 5-FU. In other embodiments, the ocular implant further comprises a polymer wherein the agent is released from a polymer on the ocular  
25 implant. In certain embodiments, the ocular implant has a polymer that is polyurethane or PLG. In related embodiments, the ocular implant is an intraocular lens or a contact lens. In still other embodiments, the ocular implant releases an agent that is present on the ocular implant at a concentration which is less than the typical dosage and/or concentration that is used in the treatment of cancer.

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Within other aspects of the invention, compositions are provided comprising a polymer and an anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex, wherein said anthracycline, fluoropyrimidine, folic acid antagonist, podophylotoxin,  
5 camptothecin, hydroxyurea, or platinum complex is present in said composition at a concentration of less than any one of  $10^{-4}$  M,  $10^{-5}$  M,  $10^{-6}$  M, or,  $10^{-7}$  M.

Also provided methods for reducing or inhibiting infection associated with a medical implant, comprising the step of introducing a medical implant into a patient which has been coated with an anthracycline, fluoropyrimidine, folic acid  
10 antagonist, podophylotoxin, camptothecin, hydroxyurea, or platinum complex.

Within various embodiments of the above, the anthracycline is doxorubicin or mitoxantrone, the fluoropyrimidine is 5-fluorouracil, the folic acid antagonist is methotrexate, and the podophylotoxin is etoposide. Within further  
15 embodiments the composition further comprises a polymer.

Within other aspects of the invention, a medical implant is provided comprising a fluoropyrimidine or an analogue thereof or a composition that comprises a fluoropyrimidine or an analogue thereof, wherein the implant  
20 comprises  $0.1 \mu\text{g}$  to  $1 \text{ mg}$  fluoropyrimidine or the analogue thereof per  $\text{mm}^2$  of surface area of the portion of the medical implant to which the fluoropyrimidine or the analogue thereof is applied or incorporated, and wherein the fluoropyrimidine or the analogue thereof is in an amount effective to reduce or inhibit infection associated with the medical implant.

Within a further aspect of the invention, a method of making the  
25 medical implant of the invention is provided, comprising covering, coating, combining, loading, associating, spraying or impregnating the medical implant with a fluoropyrimidine or an analogue thereof or a composition that comprises  $0.1 \mu\text{g}$  to  $1 \text{ mg}$  fluoropyrimidine or the analogue thereof per  $\text{mm}^2$  of surface area of the portion of the medical implant to which the fluoropyrimidine or the analogue  
30 thereof is applied or incorporated, wherein the medical implant comprises the fluoropyrimidine or the analogue thereof in an amount effective to reduce or inhibit

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infection associated with the medical implant.

Within another aspect of the invention, an anti-infective coating composition is provided, comprising polyurethane and a fluoropyrimidine or an analogue thereof.

5 Within a further aspect of the invention, a composition is provided comprising a polyurethane, cellulose or a cellulose-derived polymer, and a fluoropyrimidine or an analogue thereof.

10 Within another aspect of the invention, a method of reducing or inhibiting infection associated with a medical implant is provided, comprising introducing into a patient the medical implant of the invention.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition, various references are set forth herein which describe in more detail certain procedures or compositions (e.g., compounds or agents and methods for making such compounds or agents, etc.), and are therefore incorporated by reference in their entirety. When PCT applications are referred to it is also understood that the underlying or cited U.S. applications are also incorporated by reference herein in their entirety.

#### DETAILED DESCRIPTION OF THE INVENTION

Prior to setting forth the invention, it may be helpful to an understanding thereof to set forth definitions of certain terms that will be used hereinafter.

"Medical implant" refers to devices or objects that are implanted or inserted into a body. Representative examples include vascular catheters,



prosthetic heart valves, cardiac pacemakers, implantable cardioverter defibrillators, vascular grafts, ear, nose, or throat implants, urological implants, endotracheal or tracheostomy tubes, dialysis catheters, CNS shunts, orthopedic implants, and ocular implants.

5                   As used herein, the term "about" or "consists essentially of" refers to  $\pm 15\%$  of any indicated structure, value, or range. Any numerical ranges recited herein are to be understood to include any integer within the range and, where applicable (e.g., concentrations), fractions thereof, such as one tenth and one hundredth of an integer (unless otherwise indicated).

10                   Briefly, as noted above, the present invention discloses medical implants (as well as compositions and methods for making medical implants) which reduce the likelihood of infections in medical implants. More specifically, as noted above, infection is a common complication of the implantation of foreign bodies such as medical devices. Foreign materials provide an ideal site for micro-  
15 organisms to attach and colonize. It is also hypothesized that there is an impairment of host defenses to infection in the microenvironment surrounding a foreign material. These factors make medical implants particularly susceptible to infection and make eradication of such an infection difficult, if not impossible, in most cases.

20                   Medical implant failure as a result of infection, with or without the need to replace the implant, results in significant morbidity, mortality and cost to the healthcare system. Since there is a wide array of infectious agents capable of causing medical implant infections, there exists a significant unmet need for therapies capable of inhibiting the growth of a diverse spectrum of bacteria and  
25 fungi on implantable devices. The present invention meets this need by providing drugs that can be released from an implantable device, and which have potent antimicrobial activity at extremely low doses. Further, these agents have the added advantage that should resistance develop to the chemotherapeutic agent, the drug utilized in the coating would not be one which would be used to combat

the subsequent infection (*i.e.*, if bacterial resistance developed it would be to an agent that is not used as an antibiotic).

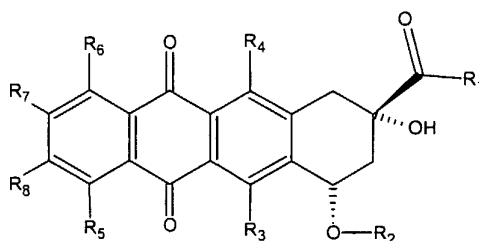
Discussed in more detail below are (I) Agents; (II) Compositions and Formulations; (III) Devices, and (IV) Clinical Applications.

## 5 I. AGENTS

Briefly, a wide variety of agents (also referred to herein as 'therapeutic agents' or 'drugs') can be utilized within the context of the present invention, either with or without a carrier (*e.g.*, a polymer; see section II below). Discussed in more detail below are (A) Anthracyclines (*e.g.*, doxorubicin and  
 10 mitoxantrone), (B) Fluoropyrimidines (*e.g.*, 5-FU), (C) Folic acid antagonists (*e.g.*, methotrexate), (D) Podophylotoxins (*e.g.*, etoposide), (E) Camptothecins, (F) Hydroxyureas, and (G) Platinum complexes (*e.g.*, cisplatin).

### A. Anthracyclines

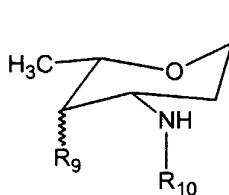
15 Anthracyclines have the following general structure, where the R groups may be a variety of organic groups:



According to U.S. Patent 5,594,158, suitable R groups are as follows:

R<sub>1</sub> is CH<sub>3</sub> or CH<sub>2</sub>OH; R<sub>2</sub> is daunosamine or H; R<sub>3</sub> and R<sub>4</sub> are independently one of  
 OH, NO<sub>2</sub>, NH<sub>2</sub>, F, Cl, Br, I, CN, H or groups derived from these; R<sub>5</sub> is hydrogen,  
 20 hydroxy, or methoxy; and R<sub>6-8</sub> are all hydrogen. Alternatively, R<sub>5</sub> and R<sub>6</sub> are  
 hydrogen and R<sub>7</sub> and R<sub>8</sub> are alkyl or halogen, or vice versa.

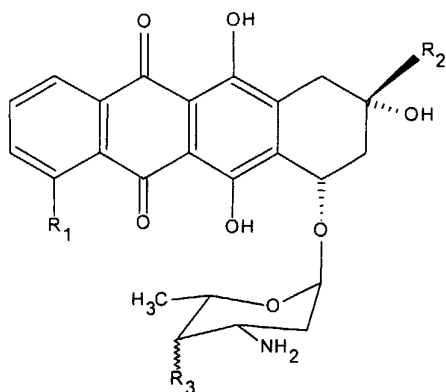
According to U.S. Patent 5,843,903,  $R_1$  may be a conjugated peptide. According to U.S. Patent 4,296,105,  $R_5$  may be an ether linked alkyl group. According to U.S. Patent 4,215,062,  $R_5$  may be OH or an ether linked alkyl group.  $R_1$  may also be linked to the anthracycline ring by a group other than C(O),  
 5 such as an alkyl or branched alkyl group having the C(O) linking moiety at its end, such as  $-\text{CH}_2\text{CH}(\text{CH}_2\text{-X})\text{C(O)-R}_1$ , wherein X is H or an alkyl group (see, e.g., U.S. Patent 4,215,062).  $R_2$  may alternately be a group linked by the functional group  $=\text{N-NHC(O)-Y}$ , where Y is a group such as a phenyl or substituted phenyl ring. Alternately  $R_3$  may have the following structure:



10

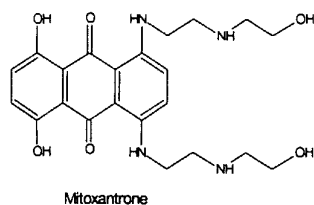
in which  $R_9$  is OH either in or out of the plane of the ring, or is a second sugar moiety such as  $R_3$ .  $R_{10}$  may be H or form a secondary amine with a group such as an aromatic group, saturated or partially saturated 5 or 6 membered heterocyclic having at least one ring nitrogen (see U.S. Patent 5,843,903). Alternately,  $R_{10}$  may  
 15 be derived from an amino acid, having the structure  $-\text{C(O)CH(NHR}_{11})(\text{R}_{12})$ , in which  $R_{11}$  is H, or forms a  $\text{C}_{3-4}$  membered alkylene with  $R_{12}$ .  $R_{12}$  may be H, alkyl, aminoalkyl, amino, hydroxy, mercapto, phenyl, benzyl or methylthio (see U.S. Patent 4,296,105).

Exemplary anthracyclines are Doxorubicin, Daunorubicin, Idarubicin,  
 20 Epirubicin, Pirarubicin, Zorubicin, and Carubicin. Suitable compounds have the structures:

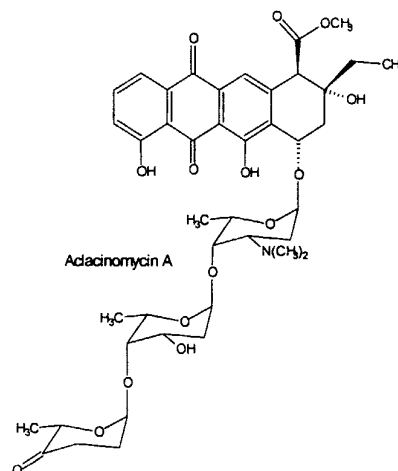
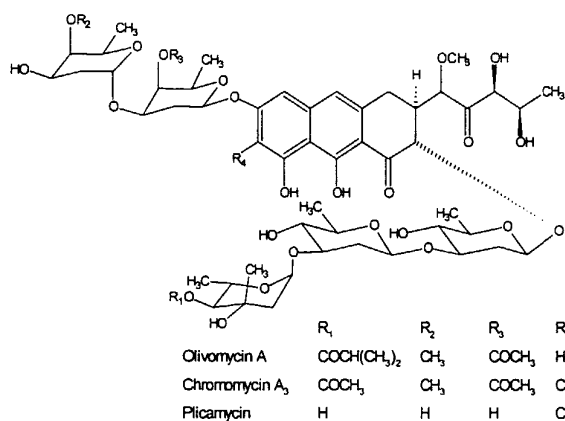
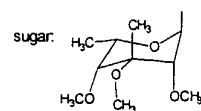


	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>
Doxorubicin:	OCH <sub>3</sub>	C(O)CH <sub>2</sub> OH	OH out of ring plane
Epirubicin: (4' epimer of doxorubicin)	OCH <sub>3</sub>	C(O)CH <sub>2</sub> OH	OH in ring plane
Daunorubicin:	OCH <sub>3</sub>	C(O)CH <sub>3</sub>	OH out of ring plane
Idarubicin:	H	C(O)CH <sub>3</sub>	OH out of ring plane
Pirarubicin:	OCH <sub>3</sub>	C(O)CH <sub>2</sub> OH	
Zorubicin:	OCH <sub>3</sub>	C(CH <sub>3</sub> )(=N)NHC(O)C <sub>6</sub> H <sub>5</sub>	OH
Carubicin:	OH	C(O)CH <sub>3</sub>	OH out of ring plane

Other suitable anthracyclines are Anthramycin, Mitoxantrone, Menogaril, Nogalamycin, Aclacinomycin A, Olivomycin A, Chromomycin A<sub>3</sub>, and Plicamycin having the structures:



	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>
Menogaril	H	OCH <sub>3</sub>	H
Nogalamycin	O-sugar	H	COOCH <sub>3</sub>



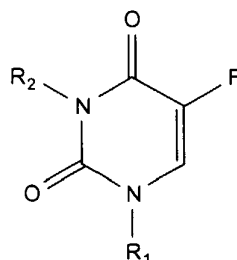
- Other representative anthracyclines include, FCE 23762 doxorubicin derivative (Quaglia *et al.*, *J. Liq. Chromatogr.* 17(18):3911-3923, 1994), annamycin (Zou *et al.*, *J. Pharm. Sci.* 82(11):1151-1154, 1993), ruboxyl (Rapoport *et al.*, *J. Controlled Release* 58(2):153-162, 1999), anthracycline disaccharide doxorubicin analogue (Pratesi *et al.*, *Clin. Cancer Res.* 4(11):2833-2839, 1998), N-(trifluoroacetyl)doxorubicin and 4'-O-acetyl-N-(trifluoroacetyl)doxorubicin (Berube & Lepage, *Synth. Commun.* 28(6):1109-1116, 1998), 2-pyrrolinodoxorubicin (Nagy *et al.*, *Proc. Nat'l Acad. Sci. U.S.A.* 95(4):1794-1799, 1998), disaccharide doxorubicin analogues (Arcamone *et al.*, *J. Nat'l Cancer Inst.* 89(16):1217-1223, 1997), 4-demethoxy-7-O-[2,6-dideoxy-4-O-(2,3,6-trideoxy-3-amino-α-L-lyxo-hexopyranosyl)-α-L-lyxo-hexopyranosyl]adriamycinone doxorubicin disaccharide analog (Monteagudo *et al.*, *Carbohydr. Res.* 300(1):11-16, 1997), 2-

- pyrrolinodoxorubicin (Nagy *et al.*, *Proc. Nat'l Acad. Sci. U. S. A.* 94(2):652-656, 1997), morpholinyl doxorubicin analogues (Duran *et al.*, *Cancer Chemother. Pharmacol.* 38(3):210-216, 1996), enaminomalonyl- $\beta$ -alanine doxorubicin derivatives (Seitz *et al.*, *Tetrahedron Lett.* 36(9):1413-16, 1995), cephalosporin
- 5 doxorubicin derivatives (Vrudhula *et al.*, *J. Med. Chem.* 38(8):1380-5, 1995), hydroxyrubicin (Solary *et al.*, *Int. J. Cancer* 58(1):85-94, 1994), methoxymorpholino doxorubicin derivative (Kuhl *et al.*, *Cancer Chemother. Pharmacol.* 33(1):10-16, 1993), (6-maleimidocaproyl)hydrazone doxorubicin derivative (Willner *et al.*, *Bioconjugate Chem.* 4(6):521-7, 1993), N-(5,5-diacetoxypent-1-yl) doxorubicin
- 10 (Cherif & Farquhar, *J. Med. Chem.* 35(17):3208-14, 1992), FCE 23762 methoxymorpholinyl doxorubicin derivative (Ripamonti *et al.*, *Br. J. Cancer* 65(5):703-7, 1992), N-hydroxysuccinimide ester doxorubicin derivatives (Demant *et al.*, *Biochim. Biophys. Acta* 1118(1):83-90, 1991), polydeoxynucleotide doxorubicin derivatives (Ruggiero *et al.*, *Biochim. Biophys. Acta* 1129(3):294-302,
- 15 1991), morpholinyl doxorubicin derivatives (EPA 434960), mitoxantrone doxorubicin analogue (Krapcho *et al.*, *J. Med. Chem.* 34(8):2373-80, 1991), AD198 doxorubicin analogue (Traganos *et al.*, *Cancer Res.* 51(14):3682-9, 1991), 4-demethoxy-3'-N-trifluoroacetyldoxorubicin (Horton *et al.*, *Drug Des. Delivery* 6(2):123-9, 1990), 4'-epidoxorubicin (Drzewoski *et al.*, *Pol. J. Pharmacol. Pharm.*
- 20 40(2):159-65, 1988; Weenen *et al.*, *Eur. J. Cancer Clin. Oncol.* 20(7):919-26, 1984), alkylating cyanomorpholino doxorubicin derivative (Scudder *et al.*, *J. Nat'l Cancer Inst.* 80(16):1294-8, 1988), deoxydihydroiododoxorubicin (EPA 275966), adriblastin (Kalishevskaya *et al.*, *Vestn. Mosk. Univ.*, 16(Biol. 1):21-7, 1988), 4'-deoxydoxorubicin (Schoelzel *et al.*, *Leuk. Res.* 10(12):1455-9, 1986), 4-
- 25 demethoxy-4'-o-methyldoxorubicin (Giuliani *et al.*, *Proc. Int. Congr. Chemother.* 16:285-70-285-77, 1983), 3'-deamino-3'-hydroxydoxorubicin (Horton *et al.*, *J. Antibiot.* 37(8):853-8, 1984), 4-demethoxy doxorubicin analogues (Barbieri *et al.*, *Drugs Exp. Clin. Res.* 10(2):85-90, 1984), N-L-leucyl doxorubicin derivatives (Trouet *et al.*, *Anthracyclines (Proc. Int. Symp. Tumor Pharmacother.)*, 179-81,

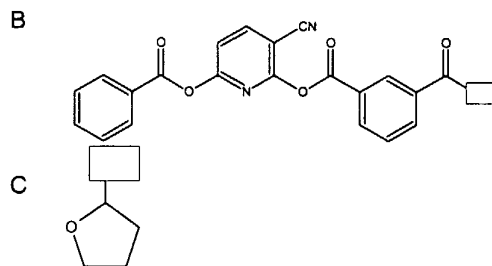
1983), 3'-deamino-3'-(4-methoxy-1-piperidinyl) doxorubicin derivatives (U.S. 4,314,054), 3'-deamino-3'-(4-morpholinyl) doxorubicin derivatives (U.S. 4,301,277), 4'-deoxydoxorubicin and 4'-o-methyldoxorubicin (Giuliani *et al.*, *Int. J. Cancer* 27(1):5-13, 1981), aglycone doxorubicin derivatives (Chan & Watson, *J. Pharm. Sci.* 67(12):1748-52, 1978), SM 5887 (*Pharma Japan* 1468:20, 1995), MX-2 (*Pharma Japan* 1420:19, 1994), 4'-deoxy-13(S)-dihydro-4'-iododoxorubicin (EP 275966), morpholinyl doxorubicin derivatives (EPA 434960), 3'-deamino-3'-(4-methoxy-1-piperidinyl) doxorubicin derivatives (U.S. 4,314,054), doxorubicin-14-valerate, morpholinodoxorubicin (U.S. 5,004,606), 3'-deamino-3'-(3"-cyano-4"-morpholinyl) doxorubicin; 3'-deamino-3'-(3"-cyano-4"-morpholinyl)-13-dihydrodoxorubicin; (3'-deamino-3'-(3"-cyano-4"-morpholinyl) daunorubicin; 3'-deamino-3'-(3"-cyano-4"-morpholinyl)-3-dihydrodaunorubicin; and 3'-deamino-3'-(4"-morpholinyl-5-iminodoxorubicin and derivatives (U.S. 4,585,859), 3'-deamino-3'-(4-methoxy-1-piperidinyl) doxorubicin derivatives (U.S. 4,314,054) and 3'-deamino-3-(4-morpholinyl) doxorubicin derivatives (U.S. 4,301,277).

### B. Fluoropyrimidine analogs

In another aspect, the therapeutic agent is a fluoropyrimidine analog, such as 5-fluorouracil, or an analog or derivative thereof, including Carmofur, Doxifluridine, Emitefur, Tegafur, and Floxuridine. Exemplary compounds have the structures:

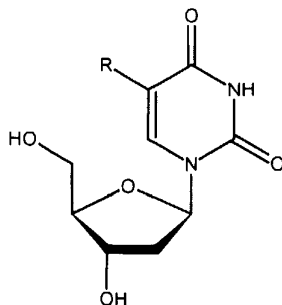


	R <sub>1</sub>	R <sub>2</sub>
5-Fluorouracil	H	H
Carmofur	C(O)NH(CH <sub>2</sub> ) <sub>5</sub> CH <sub>3</sub>	H
Doxifluridine	A <sub>1</sub>	H
Floxuridine	A <sub>2</sub>	H
Emitefur	CH <sub>2</sub> OCH <sub>2</sub> CH <sub>3</sub>	B
Tegafur	C	H



Other suitable fluoropyrimidine analogs include 5-FudR (5-fluoro-deoxyuridine), or an analog or derivative thereof, including 5-iododeoxyuridine (5-IudR), 5-bromodeoxyuridine (5-BudR), Fluorouridine triphosphate (5-FUTP), and Fluorodeoxyuridine monophosphate (5-dFUMP). Exemplary compounds have the structures:





5-Fluoro-2'-deoxyuridine: R = F  
 5-Bromo-2'-deoxyuridine: R = Br  
 5-Iodo-2'-deoxyuridine: R = I

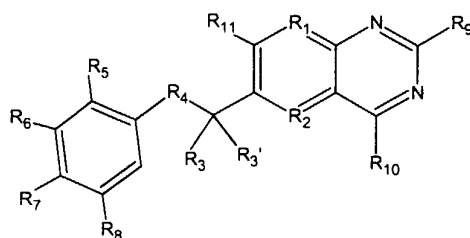
Other representative examples of fluoropyrimidine analogs include N3-alkylated analogues of 5-fluorouracil (Kozai *et al.*, *J. Chem. Soc., Perkin Trans.* 1(19):3145-3146, 1998), 5-fluorouracil derivatives with 1,4-oxaheteroepane moieties (Gomez *et al.*, *Tetrahedron* 54(43):13295-13312, 1998), 5-fluorouracil and nucleoside analogues (Li, *Anticancer Res.* 17(1A):21-27, 1997), cis- and trans-5-fluoro-5,6-dihydro-6-alkoxyuracil (Van der Wilt *et al.*, *Br. J. Cancer* 68(4):702-7, 1993), cyclopentane 5-fluorouracil analogues (Hronowski & Szarek, *Can. J. Chem.* 70(4):1162-9, 1992), A-OT-fluorouracil (Zhang *et al.*, *Zongguo Yiyao Gongye Zazhi* 20(11):513-15, 1989), N4-trimethoxybenzoyl-5'-deoxy-5-fluorocytidine and 5'-deoxy-5-fluorouridine (Miwa *et al.*, *Chem. Pharm. Bull.* 38(4):998-1003, 1990), 1-hexylcarbamoyl-5-fluorouracil (Hoshi *et al.*, *J. Pharmacobio-Dun.* 3(9):478-81, 1980; Maehara *et al.*, *Chemotherapy (Basel)* 34(6):484-9, 1988), B-3839 (Prajda *et al.*, *In Vivo* 2(2):151-4, 1988), uracil-1-(2-tetrahydrofuryl)-5-fluorouracil (Anai *et al.*, *Oncology* 45(3):144-7, 1988), 1-(2'-deoxy-2'-fluoro-β-D-arabinofuranosyl)-5-fluorouracil (Suzuko *et al.*, *Mol. Pharmacol.* 31(3):301-6, 1987), doxifluridine (Matuura *et al.*, *Oyo Yakuri* 29(5):803-31, 1985), 5'-deoxy-5-fluorouridine (Bollag & Hartmann, *Eur. J. Cancer* 16(4):427-32, 1980), 1-acetyl-3-O-toluy-5-fluorouracil (Okada, *Hiroshima J. Med. Sci.* 28(1):49-66, 1979), 5-fluorouracil-m-

formylbenzene-sulfonate (JP 55059173), N'-(2-furanidyl)-5-fluorouracil (JP 53149985) and 1-(2-tetrahydrofuryl)-5-fluorouracil (JP 52089680).

These compounds are believed to function as therapeutic agents by serving as antimetabolites of pyrimidine.

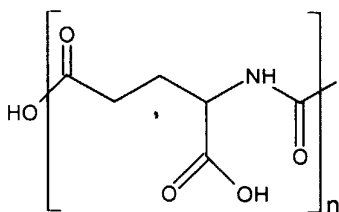
### 5 C. Folic acid antagonists

In another aspect, the therapeutic agent is a folic acid antagonist, such as Methotrexate or derivatives or analogs thereof, including Edatrexate, Trimetrexate, Raltitrexed, Piritrexim, Denopterin, Tomudex, and Pteropterin. Methotrexate analogs have the following general structure:



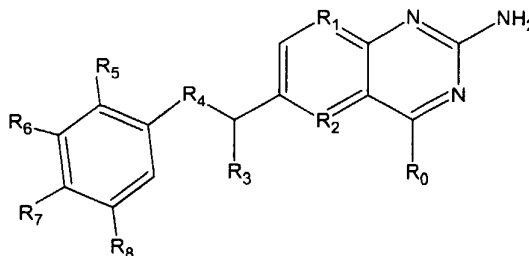
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The identity of the R group may be selected from organic groups, particularly those groups set forth in U.S. Patent Nos. 5,166,149 and 5,382,582. For example, R<sub>1</sub> may be N, R<sub>2</sub> may be N or C(CH<sub>3</sub>), R<sub>3</sub> and R<sub>3'</sub> may H or alkyl, e.g., CH<sub>3</sub>, R<sub>4</sub> may be a single bond or NR, where R is H or alkyl group. R<sub>5,6,8</sub> may be H, OCH<sub>3</sub>, or  
 15 alternately they can be halogens or hydro groups. R<sub>7</sub> is a side chain of the general structure:



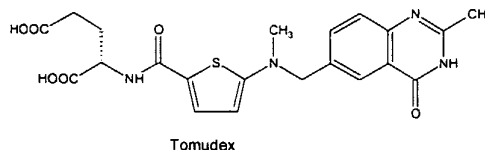
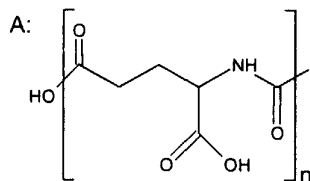
wherein  $n = 1$  for methotrexate,  $n = 3$  for pteropterin. The carboxyl groups in the side chain may be esterified or form a salt such as a  $Zn^{2+}$  salt.  $R_9$  and  $R_{10}$  can be  $NH_2$  or may be alkyl substituted.

Exemplary folic acid antagonist compounds have the structures:



5

	$R_0$	$R_1$	$R_2$	$R_3$	$R_4$	$R_5$	$R_6$	$R_7$	$R_8$
Methotrexate	$NH_2$	N	N	H	$N(CH_3)$	H	H	A ( $n=1$ )	H
Edatrexate	$NH_2$	N	N	H	$CH(CH_2CH_3)$	H	H	A ( $n=1$ )	H
Trimetrexate	$NH_2$	CH	$C(CH_3)$	H	NH	H	$OCH_3$	$OCH_3$	$OCH_3$
Pteropterin	OH	N	N	H	NH	H	H	A ( $n=3$ )	H
Denopterin	OH	N	N	$CH_3$	$N(CH_3)$	H	H	A ( $n=1$ )	H
Peritrexim	$NH_2$	N	$C(CH_3)$	H	single bond	$OCH_3$	H	H	$OCH_3$



Other representative examples include 6-S-aminoacyloxymethyl mercaptopurine derivatives (Harada *et al.*, *Chem. Pharm. Bull.* 43(10):793-6, 1995), 6-mercaptopurine (6-MP) (Kashida *et al.*, *Biol. Pharm. Bull.* 18(11):1492-7, 1995), 7,8-polymethyleneimidazo-1,3,2-diazaphosphorines (Nilov *et al.*,

- Mendeleev Commun.* 2:67, 1995), azathioprine (Chifotides *et al.*, *J. Inorg. Biochem.* 56(4):249-64, 1994), methyl-D-glucopyranoside mercaptopurine derivatives (Da Silva *et al.*, *Eur. J. Med. Chem.* 29(2):149-52, 1994) and s-alkynyl mercaptopurine derivatives (Ratsino *et al.*, *Khim.-Farm. Zh.* 15(8):65-7, 1981);
- 5 indoline ring and a modified ornithine or glutamic acid-bearing methotrexate derivatives (Matsuoka *et al.*, *Chem. Pharm. Bull.* 45(7):1146-1150, 1997), alkyl-substituted benzene ring C bearing methotrexate derivatives (Matsuoka *et al.*, *Chem. Pharm. Bull.* 44(12):2287-2293, 1996), benzoxazine or benzothiazine moiety-bearing methotrexate derivatives (Matsuoka *et al.*, *J. Med. Chem.*
- 10 40(1):105-111, 1997), 10-deazaaminopterin analogues (DeGraw *et al.*, *J. Med. Chem.* 40(3):370-376, 1997), 5-deazaaminopterin and 5,10-dideazaaminopterin methotrexate analogues (Piper *et al.*, *J. Med. Chem.* 40(3):377-384, 1997), indoline moiety-bearing methotrexate derivatives (Matsuoka *et al.*, *Chem. Pharm. Bull.* 44(7):1332-1337, 1996), lipophilic amide methotrexate derivatives (Pignatello
- 15 *et al.*, *World Meet. Pharm., Biopharm. Pharm. Technol.*, 563-4, 1995), L-threo-(2S,4S)-4-fluoroglutamic acid and DL-3,3-difluoroglutamic acid-containing methotrexate analogues (Hart *et al.*, *J. Med. Chem.* 39(1):56-65, 1996), methotrexate tetrahydroquinazoline analogue (Gangjee, *et al.*, *J. Heterocycl. Chem.* 32(1):243-8, 1995), N-( $\alpha$ -aminoacyl) methotrexate derivatives (Cheung *et al.*, *Pteridines* 3(1-2):101-2, 1992), biotin methotrexate derivatives (Fan *et al.*,
- 20 *Pteridines* 3(1-2):131-2, 1992), D-glutamic acid or D-erythrou, threo-4-fluoroglutamic acid methotrexate analogues (McGuire *et al.*, *Biochem. Pharmacol.* 42(12):2400-3, 1991),  $\beta,\gamma$ -methano methotrexate analogues (Rosowsky *et al.*, *Pteridines* 2(3):133-9, 1991), 10-deazaaminopterin (10-EDAM) analogue
- 25 (Braakhuis *et al.*, *Chem. Biol. Pteridines, Proc. Int. Symp. Pteridines Folic Acid Deriv.*, 1027-30, 1989),  $\gamma$ -tetrazole methotrexate analogue (Kalman *et al.*, *Chem. Biol. Pteridines, Proc. Int. Symp. Pteridines Folic Acid Deriv.*, 1154-7, 1989), N-(L- $\alpha$ -aminoacyl) methotrexate derivatives (Cheung *et al.*, *Heterocycles* 28(2):751-8, 1989), meta and ortho isomers of aminopterin (Rosowsky *et al.*, *J. Med. Chem.*

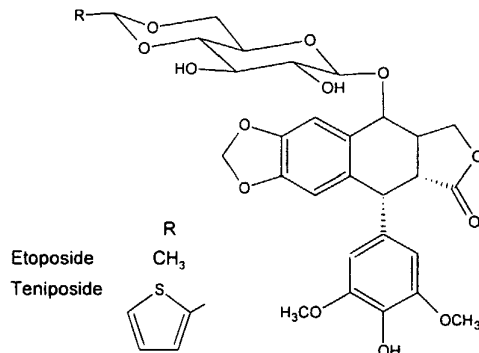
- 32(12):2582, 1989), hydroxymethylmethotrexate (DE 267495),  $\gamma$ -fluoromethotrexate (McGuire *et al.*, *Cancer Res.* 49(16):4517-25, 1989), polyglutamyl methotrexate derivatives (Kumar *et al.*, *Cancer Res.* 46(10):5020-3, 1986), gem-diphosphonate methotrexate analogues (WO 88/06158),  $\alpha$ - and  $\gamma$ -substituted methotrexate analogues (Tsushima *et al.*, *Tetrahedron* 44(17):5375-87, 1988), 5-methyl-5-deaza methotrexate analogues (4,725,687), N $\delta$ -acyl-N $\alpha$ -(4-amino-4-deoxypteroyl)-L-ornithine derivatives (Rosowsky *et al.*, *J. Med. Chem.* 31(7):1332-7, 1988), 8-deaza methotrexate analogues (Kuehl *et al.*, *Cancer Res.* 48(6):1481-8, 1988), acivicin methotrexate analogue (Rosowsky *et al.*, *J. Med. Chem.* 30(8):1463-9, 1987), polymeric platinol methotrexate derivative (Carraher *et al.*, *Polym. Sci. Technol. (Plenum)*, 35(*Adv. Biomed. Polym.*):311-24, 1987), methotrexate- $\gamma$ -dimyristoylphosphatidylethanolamine (Kinsky *et al.*, *Biochim. Biophys. Acta* 917(2):211-18, 1987), methotrexate polyglutamate analogues (Rosowsky *et al.*, *Chem. Biol. Pteridines, Pteridines Folid Acid Deriv.*, Proc. Int. Symp. Pteridines Folid Acid Deriv.: Chem., Biol. Clin. Aspects: 985-8, 1986), poly- $\gamma$ -glutamyl methotrexate derivatives (Kisliuk *et al.*, *Chem. Biol. Pteridines, Pteridines Folid Acid Deriv.*, Proc. Int. Symp. Pteridines Folid Acid Deriv.: Chem., Biol. Clin. Aspects: 989-92, 1986), deoxyuridylate methotrexate derivatives (Webber *et al.*, *Chem. Biol. Pteridines, Pteridines Folid Acid Deriv.*, Proc. Int. Symp. Pteridines Folid Acid Deriv.: Chem., Biol. Clin. Aspects: 659-62, 1986), iodoacetyl lysine methotrexate analogue (Delcamp *et al.*, *Chem. Biol. Pteridines, Pteridines Folid Acid Deriv.*, Proc. Int. Symp. Pteridines Folid Acid Deriv.: Chem., Biol. Clin. Aspects: 807-9, 1986), 2,omega.-diaminoalkanoic acid-containing methotrexate analogues (McGuire *et al.*, *Biochem. Pharmacol.* 35(15):2607-13, 1986), polyglutamate methotrexate derivatives (Kamen & Winick, *Methods Enzymol.* 122(Vitam. Coenzymes, Pt. G):339-46, 1986), 5-methyl-5-deaza analogues (Piper *et al.*, *J. Med. Chem.* 29(6):1080-7, 1986), quinazoline methotrexate analogue (Mastropaolo *et al.*, *J. Med. Chem.* 29(1):155-8, 1986), pyrazine methotrexate analogue (Lever & Vestal, *J. Heterocycl. Chem.* 22(1):5-6,

- 1985), cysteic acid and homocysteic acid methotrexate analogues (4,490,529),  $\gamma$ -tert-butyl methotrexate esters (Rosowsky *et al.*, *J. Med. Chem.* 28(5):660-7, 1985), fluorinated methotrexate analogues (Tsushima *et al.*, *Heterocycles* 23(1):45-9, 1985), folate methotrexate analogue (Trombe, *J. Bacteriol.* 160(3):849-53, 1984),
- 5 phosphonoglutamic acid analogues (Sturtz & Guillaumot, *Eur. J. Med. Chem.--Chim. Ther.* 19(3):267-73, 1984), poly (L-lysine) methotrexate conjugates (Rosowsky *et al.*, *J. Med. Chem.* 27(7):888-93, 1984), dilysine and trilysine methotrexate derivatives (Forsch & Rosowsky, *J. Org. Chem.* 49(7):1305-9, 1984), 7-hydroxymethotrexate (Fabre *et al.*, *Cancer Res.* 43(10):4648-52, 1983), poly- $\gamma$ -
- 10 glutamyl methotrexate analogues (Piper & Montgomery, *Adv. Exp. Med. Biol.*, 163(*Folyl Antifolyl Polyglutamates*):95-100, 1983), 3',5'-dichloromethotrexate (Rosowsky & Yu, *J. Med. Chem.* 26(10):1448-52, 1983), diazoketone and chloromethylketone methotrexate analogues (Gangjee *et al.*, *J. Pharm. Sci.* 71(6):717-19, 1982), 10-propargylaminopterin and alkyl methotrexate homologs
- 15 (Piper *et al.*, *J. Med. Chem.* 25(7):877-80, 1982), lectin derivatives of methotrexate (Lin *et al.*, *JNCI* 66(3):523-8, 1981), polyglutamate methotrexate derivatives (Galivan, *Mol. Pharmacol.* 17(1):105-10, 1980), halogenated methotrexate derivatives (Fox, *JNCI* 58(4):J955-8, 1977), 8-alkyl-7,8-dihydro analogues (Chaykovsky *et al.*, *J. Med. Chem.* 20(10):J1323-7, 1977), 7-methyl methotrexate
- 20 derivatives and dichloromethotrexate (Rosowsky & Chen, *J. Med. Chem.* 17(12):J1308-11, 1974), lipophilic methotrexate derivatives and 3',5'-dichloromethotrexate (Rosowsky, *J. Med. Chem.* 16(10):J1190-3, 1973), deaza amethopterin analogues (Montgomery *et al.*, *Ann. N.Y. Acad. Sci.* 186:J227-34, 1971), MX068 (Pharma Japan, 1658:18, 1999) and cysteic acid and homocysteic
- 25 acid methotrexate analogues (EPA 0142220);

These compounds are believed to act as antimetabolites of folic acid.

#### D. Podophyllotoxins

In another aspect, the therapeutic agent is a Podophyllotoxin, or a derivative or an analog thereof. Exemplary compounds of this type are Etoposide or Teniposide, which have the following structures:



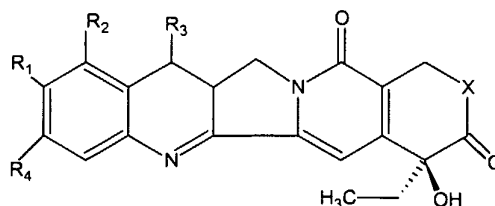
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Other representative examples of podophyllotoxins include Cu(II)-VP-16 (etoposide) complex (Tawa *et al.*, *Bioorg. Med. Chem.* 6(7):1003-1008, 1998), pyrrolecarboxamidino-bearing etoposide analogues (Ji *et al.*, *Bioorg. Med. Chem. Lett.* 7(5):607-612, 1997), 4 $\beta$ -amino etoposide analogues (Hu, University of North Carolina Dissertation, 1992),  $\gamma$ -lactone ring-modified arylamino etoposide analogues (Zhou *et al.*, *J. Med. Chem.* 37(2):287-92, 1994), N-glucosyl etoposide analogue (Allevi *et al.*, *Tetrahedron Lett.* 34(45):7313-16, 1993), etoposide A-ring analogues (Kadow *et al.*, *Bioorg. Med. Chem. Lett.* 2(1):17-22, 1992), 4'-deshydroxy-4'-methyl etoposide (Saulnier *et al.*, *Bioorg. Med. Chem. Lett.* 2(10):1213-18, 1992), pendulum ring etoposide analogues (Sinha *et al.*, *Eur. J. Cancer* 26(5):590-3, 1990) and E-ring desoxy etoposide analogues (Saulnier *et al.*, *J. Med. Chem.* 32(7):1418-20, 1989).

These compounds are believed to act as Topoisomerase II Inhibitors and/or DNA cleaving agents.

### E. Camptothecins

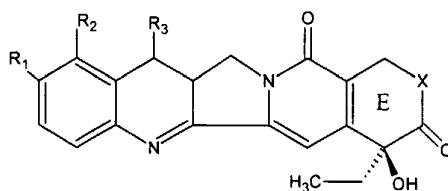
In another aspect, the therapeutic agent is Camptothecin, or an analog or derivative thereof. Camptothecins have the following general structure.



- 5 In this structure, X is typically O, but can be other groups, *e.g.*, NH in the case of 21-lactam derivatives. R<sub>1</sub> is typically H or OH, but may be other groups, *e.g.*, a terminally hydroxylated C<sub>1-3</sub> alkane. R<sub>2</sub> is typically H or an amino containing group such as (CH<sub>3</sub>)<sub>2</sub>NHCH<sub>2</sub>, but may be other groups *e.g.*, NO<sub>2</sub>, NH<sub>2</sub>, halogen (as disclosed in, *e.g.*, U.S. Patent 5,552,156) or a short alkane containing
- 10 these groups. R<sub>3</sub> is typically H or a short alkyl such as C<sub>2</sub>H<sub>5</sub>. R<sub>4</sub> is typically H but may be other groups, *e.g.*, a methylenedioxy group with R<sub>1</sub>.

Exemplary camptothecin compounds include topotecan, irinotecan (CPT-11), 9-aminocamptothecin, 21-lactam-20(S)-camptothecin, 10,11-methylenedioxy camptothecin, SN-38, 9-nitrocamptothecin, 10-

15 hydroxycamptothecin. Exemplary compounds have the structures:



	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>
Camptothecin:	H	H	H
Topotecan:	OH	(CH <sub>3</sub> ) <sub>2</sub> NHCH <sub>2</sub>	H
SN-38:	OH	H	C <sub>2</sub> H <sub>5</sub>

X: O for most analogs, NH for 21-lactam analogs

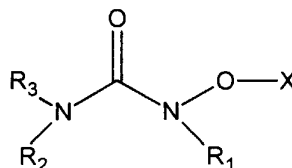


Camptothecins have the five rings shown here. The ring labeled E must be intact (the lactone rather than carboxylate form) for maximum activity and minimum toxicity.

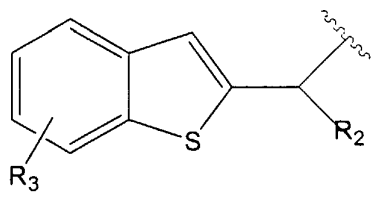
Camptothecins are believed to function as Topoisomerase I Inhibitors  
5 and/or DNA cleavage agents.

#### F. Hydroxyureas

The therapeutic agent of the present invention may be a hydroxyurea. Hydroxyureas have the following general structure:



10 Suitable hydroxyureas are disclosed in, for example, U.S. Patent No. 6,080,874, wherein R<sub>1</sub> is:

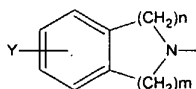


and R<sub>2</sub> is an alkyl group having 1-4 carbons and R<sub>3</sub> is one of H, acyl, methyl, ethyl, and mixtures thereof, such as a methylether.

15 Other suitable hydroxyureas are disclosed in, e.g., U.S. Patent No. 5,665,768, wherein R<sub>1</sub> is a cycloalkenyl group, for example N-[3-[5-(4-fluorophenylthio)-furyl]-2-cyclopenten-1-yl]N-hydroxyurea; R<sub>2</sub> is H or an alkyl group having 1 to 4 carbons and R<sub>3</sub> is H; X is H or a cation.

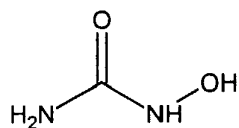
Other suitable hydroxyureas are disclosed in, *e.g.*, U.S. Patent No. 4,299,778, wherein  $R_1$  is a phenyl group substituted with one or more fluorine atoms;  $R_2$  is a cyclopropyl group; and  $R_3$  and X is H.

Other suitable hydroxyureas are disclosed in, *e.g.*, U.S. Patent No. 5,066,658, wherein  $R_2$  and  $R_3$  together with the adjacent nitrogen form:



wherein m is 1 or 2, n is 0-2 and Y is an alkyl group.

In one aspect, the hydroxyurea has the structure:

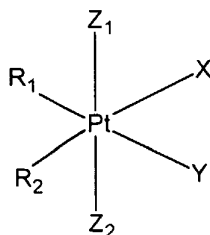


Hydroxyurea

10                    These compounds are thought to function by inhibiting DNA synthesis.

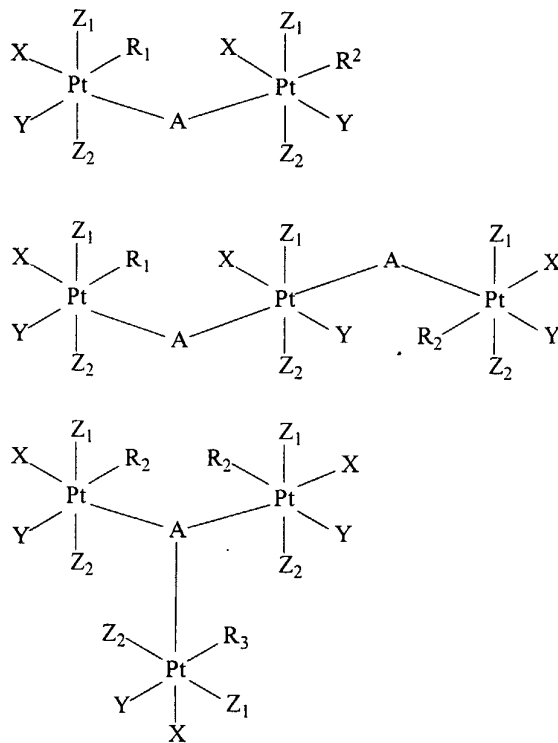
#### G. Platinum complexes

In another aspect, the therapeutic agent is a platinum compound. In general, suitable platinum complexes may be of Pt(II) or Pt(IV) and have this basic  
15    structure:



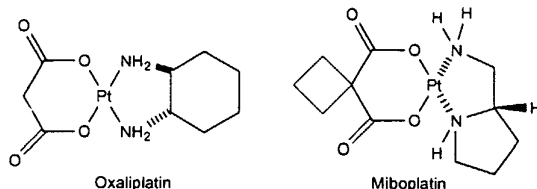
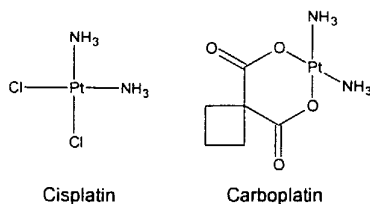
wherein X and Y are anionic leaving groups such as sulfate, phosphate, carboxylate, and halogen; R<sub>1</sub> and R<sub>2</sub> are alkyl, amine, amino alkyl any may be further substituted, and are basically inert or bridging groups. For Pt(II) complexes Z<sub>1</sub> and Z<sub>2</sub> are non-existent. For Pt(IV) Z<sub>1</sub> and Z<sub>2</sub> may be anionic groups such as  
 5 halogen, hydroxy, carboxylate, ester, sulfate or phosphate. See, e.g., U.S. Patent Nos. 4,588,831 and 4,250,189.

Suitable platinum complexes may contain multiple Pt atoms. See, e.g., U.S. Patent Nos. 5,409,915 and 5,380,897. For example bisplatinum and triplatinum complexes of the type:



10

Exemplary platinum compounds are Cisplatin, Carboplatin, Oxaliplatin, and Miboplatin having the structures:



- Other representative platinum compounds include (CPA)<sub>2</sub>Pt[DOLYM] and (DACH)Pt[DOLYM] cisplatin (Choi *et al.*, *Arch. Pharmacol Res.* 22(2):151-156, 1999), Cis-[PtCl<sub>2</sub>(4,7-H-5-methyl-7-oxo)1,2,4[triazolo[1,5-a]pyrimidine)<sub>2</sub>] (Navarro *et al.*, *J. Med. Chem.* 41(3):332-338, 1998), [Pt(cis-1,4-DACH)(trans-Cl<sub>2</sub>)(CBDCA)] • ½MeOH cisplatin (Shamsuddin *et al.*, *Inorg. Chem.* 36(25):5969-5971, 1997), 4-pyridoxate diammine hydroxy platinum (Tokunaga *et al.*, *Pharm. Sci.* 3(7):353-356, 1997), Pt(II) ... Pt(II) (Pt<sub>2</sub>[NHCHN(C(CH<sub>2</sub>)(CH<sub>3</sub>))])<sub>4</sub> (Navarro *et al.*, *Inorg. Chem.* 35(26):7829-7835, 1996), 254-S cisplatin analogue (Koga *et al.*, *Neurol. Res.* 18(3):244-247, 1996), *o*-phenylenediamine ligand bearing cisplatin analogues (Koeckerbauer & Bednarski, *J. Inorg. Biochem.* 62(4):281-298, 1996), trans, cis-[Pt(OAc)<sub>2</sub>]<sub>2</sub>(en)] (Kratochwil *et al.*, *J. Med. Chem.* 39(13):2499-2507, 1996), estrogenic 1,2-diarylethylenediamine ligand (with sulfur-containing amino acids and glutathione) bearing cisplatin analogues (Bednarski, *J. Inorg. Biochem.* 62(1):75, 1996), cis-1,4-diaminocyclohexane cisplatin analogues (Shamsuddin *et al.*, *J. Inorg. Biochem.* 61(4):291-301, 1996), 5' orientational isomer of cis-[Pt(NH<sub>3</sub>)(4-aminoTEMP-O){d(GpG)}] (Dunham & Lippard, *J. Am. Chem. Soc.* 117(43):10702-12, 1995), chelating diamine-bearing cisplatin analogues (Koeckerbauer & Bednarski, *J. Pharm. Sci.* 84(7):819-23, 1995), 1,2-diarylethyleneamine ligand-bearing cisplatin analogues (Otto *et al.*, *J. Cancer Res.*

- Clin. Oncol.* 121(1):31-8, 1995), (ethylenediamine)platinum(II) complexes (Pasini *et al.*, *J. Chem. Soc., Dalton Trans.* 4:579-85, 1995), CI-973 cisplatin analogue (Yang *et al.*, *Int. J. Oncol.* 5(3):597-602, 1994), cis-diaminedichloroplatinum(II) and its analogues cis-1,1-cyclobutanedicarbonylato(2R)-2-methyl-1,4-
- 5 butanediamineplatinum(II) and cis-diammine(glycolato)platinum (Claycamp & Zimbrick, *J. Inorg. Biochem.* 26(4):257-67, 1986; Fan *et al.*, *Cancer Res.* 48(11):3135-9, 1988; Heiger-Bernays *et al.*, *Biochemistry* 29(36):8461-6, 1990; Kikkawa *et al.*, *J. Exp. Clin. Cancer Res.* 12(4):233-40, 1993; Murray *et al.*, *Biochemistry* 31(47):11812-17, 1992; Takahashi *et al.*, *Cancer Chemother.*
- 10 *Pharmacol.* 33(1):31-5, 1993), cis-amine-cyclohexylamine-dichloroplatinum(II) (Yoshida *et al.*, *Biochem. Pharmacol.* 48(4):793-9, 1994), gem-diphosphonate cisplatin analogues (FR 2683529), (meso-1,2-bis(2,6-dichloro-4-hydroxyphenyl)ethylenediamine) dichloroplatinum(II) (Bednarski *et al.*, *J. Med. Chem.* 35(23):4479-85, 1992), cisplatin analogues containing a tethered dansyl
- 15 group (Hartwig *et al.*, *J. Am. Chem. Soc.* 114(21):8292-3, 1992), platinum(II) polyamines (Siegmann *et al.*, *Inorg. Met.-Containing Polym. Mater.*, (*Proc. Am. Chem. Soc. Int. Symp.*), 335-61, 1990), cis-
- (3H)dichloro(ethylenediamine)platinum(II) (Eastman, *Anal. Biochem.* 197(2):311-15, 1991), trans-diaminedichloroplatinum(II) and cis-(Pt(NH<sub>3</sub>)<sub>2</sub>(N<sub>3</sub>-cytosine)Cl)
- 20 (Bellon & Lippard, *Biophys. Chem.* 35(2-3):179-88, 1990), 3H-cis-1,2-diaminocyclohexanedichloroplatinum(II) and 3H-cis-1,2-diaminocyclohexane-malonatoplatinum (II) (Oswald *et al.*, *Res. Commun. Chem. Pathol. Pharmacol.* 64(1):41-58, 1989), diaminocarboxylatoplatinum (EPA 296321), trans-(D,1)-1,2-diaminocyclohexane carrier ligand-bearing platinum analogues (Wyrick & Chaney,
- 25 *J. Labelled Compd. Radiopharm.* 25(4):349-57, 1988), aminoalkylaminoanthraquinone-derived cisplatin analogues (Kitov *et al.*, *Eur. J. Med. Chem.* 23(4):381-3, 1988), spiroplatin, carboplatin, iproplatin and JM40 platinum analogues (Schroyen *et al.*, *Eur. J. Cancer Clin. Oncol.* 24(8):1309-12, 1988), bidentate tertiary diamine-containing cisplatinum derivatives (Orbell *et al.*,

*Inorg. Chim. Acta* 152(2):125-34, 1988), platinum(II), platinum(IV) (Liu & Wang, *Shandong Yike Daxue Xuebao* 24(1):35-41, 1986), cis-diammine(1,1-cyclobutanedicarboxylato-)platinum(II) (carboplatin, JM8) and ethylenediammine-malonatoplatinum(II) (JM40) (Begg *et al.*, *Radiother. Oncol.* 9(2):157-65, 1987),  
 5 JM8 and JM9 cisplatin analogues (Harstrick *et al.*, *Int. J. Androl.* 10(1); 139-45, 1987), (NPr<sub>4</sub>)<sub>2</sub>((PtCl<sub>4</sub>).cis-(PtCl<sub>2</sub>-(NH<sub>2</sub>Me)<sub>2</sub>)) (Brammer *et al.*, *J. Chem. Soc., Chem. Commun.* 6:443-5, 1987), aliphatic tricarboxylic acid platinum complexes (EPA 185225), and cis-dichloro(amino acid)(tert-butylamine)platinum(II) complexes (Pasini & Bersanetti, *Inorg. Chim. Acta* 107(4):259-67, 1985). These compounds  
 10 are thought to function by binding to DNA, *i.e.*, acting as alkylating agents of DNA.

## II. COMPOSITIONS AND FORMULATIONS

As noted above, therapeutic agents described herein may be formulated in a variety of manners, and thus may additionally comprise a carrier. In this regard, a wide variety of carriers may be selected of either polymeric or non-  
 15 polymeric origin. The polymers and non-polymer based carriers and formulations which are discussed in more detail below are provided merely by way of example, not by way of limitation.

Within one embodiment of the invention a wide variety of polymers can be utilized to contain and/or deliver one or more of the agents discussed  
 20 above, including for example both biodegradable and non-biodegradable compositions. Representative examples of biodegradable compositions include albumin, collagen, gelatin, chitosan, hyaluronic acid, starch, cellulose and derivatives thereof (*e.g.*, methylcellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose, carboxymethylcellulose, cellulose acetate phthalate,  
 25 cellulose acetate succinate, hydroxypropylmethylcellulose phthalate), alginates, casein, dextrans, polysaccharides, fibrinogen, poly(L-lactide), poly(D,L lactide), poly(L-lactide-co-glycolide), poly(D,L-lactide-co-glycolide), poly(glycolide), poly(trimethylene carbonate), poly(hydroxyvalerate), poly(hydroxybutyrate),

- poly(caprolactone), poly(alkylcarbonate) and poly(orthoesters), polyesters, poly(hydroxyvaleric acid), polydioxanone, poly(malic acid), poly(tartronic acid), polyanhydrides, polyphosphazenes, poly(amino acids), copolymers of such polymers and blends of such polymers (*see generally*, Illum, L., Davids, S.S. (eds.)
- 5 "Polymers in Controlled Drug Delivery" Wright, Bristol, 1987; Arshady, *J. Controlled Release* 17:1-22, 1991; Pitt, *Int. J. Phar.* 59:173-196, 1990; Holland *et al.*, *J. Controlled Release* 4:155-0180, 1986). Representative examples of nondegradable polymers include poly(ethylene-co-vinyl acetate) ("EVA") copolymers, silicone rubber, acrylic polymers (e.g., polyacrylic acid,
- 10 polymethylacrylic acid, poly(hydroxyethylmethacrylate), polymethylmethacrylate, polyalkylcyanoacrylate), polyethylene, polypropylene, polyamides (e.g., nylon 6,6), polyurethane (e.g., poly(ester urethanes), poly(ether urethanes), poly(ester-urea), poly(carbonate urethanes)), polyethers (e.g., poly(ethylene oxide), poly(propylene oxide), Pluronic and poly(tetramethylene glycol)) and vinyl polymers [e.g.,
- 15 polyvinylpyrrolidone, poly(vinyl alcohol), poly(vinyl acetate phthalate)]. Polymers may also be developed which are either anionic (e.g., alginate, carrageenin, carboxymethyl cellulose and poly(acrylic acid), or cationic (e.g., chitosan, poly-L-lysine, polyethylenimine, and poly(allyl amine)) (*see generally*, Dunn *et al.*, *J. Applied Polymer Sci.* 50:353-365, 1993; Cascone *et al.*, *J. Materials Sci.: Materials*
- 20 *in Medicine* 5:770-774, 1994; Shiraishi *et al.*, *Biol. Pharm. Bull.* 16(11):1164-1168, 1993; Thacharodi and Rao, *Int'l J. Pharm.* 120:115-118, 1995; Miyazaki *et al.*, *Int'l J. Pharm.* 118:257-263, 1995). Particularly preferred polymeric carriers include poly(ethylene-co-vinyl acetate), polyurethane, acid, poly(caprolactone), poly(valerolactone), polyanhydrides, copolymers of poly(caprolactone) or
- 25 poly(lactic acid) with a polyethylene glycol (e.g., MePEG), and blends thereof.

Other representative polymers include carboxylic polymers, polyacetates, polyacrylamides, polycarbonates, polyethers, polyesters, polyethylenes, polyvinylbutyrals, polysilanes, polyureas, polyurethanes, polyoxides, polystyrenes, polysulfides, polysulfones, polysulfonides,

polyvinylhalides, pyrrolidones, rubbers, thermal-setting polymers, cross-linkable acrylic and methacrylic polymers, ethylene acrylic acid copolymers, styrene acrylic copolymers, vinyl acetate polymers and copolymers, vinyl acetal polymers and copolymers, epoxy, melamine, other amino resins, phenolic polymers, and

5 copolymers thereof, water-insoluble cellulose ester polymers (including cellulose acetate propionate, cellulose acetate, cellulose acetate butyrate, cellulose nitrate, cellulose acetate phthalate, and mixtures thereof), polyvinylpyrrolidone, polyethylene glycols, polyethylene oxide, polyvinyl alcohol, polyethers, polysaccharides, hydrophilic polyurethane, polyhydroxyacrylate, dextran, xanthan,

10 hydroxypropyl cellulose, methyl cellulose, and homopolymers and copolymers of N-vinylpyrrolidone, N-vinylactam, N-vinyl butyrolactam, N-vinyl caprolactam, other vinyl compounds having polar pendant groups, acrylate and methacrylate having hydrophilic esterifying groups, hydroxyacrylate, and acrylic acid, and combinations thereof; cellulose esters and ethers, ethyl cellulose, hydroxyethyl cellulose,

15 cellulose nitrate, cellulose acetate, cellulose acetate butyrate, cellulose acetate propionate, polyurethane, polyacrylate, natural and synthetic elastomers, rubber, acetal, nylon, polyester, styrene polybutadiene, acrylic resin, polyvinylidene chloride, polycarbonate, homopolymers and copolymers of vinyl compounds, polyvinylchloride, polyvinylchloride acetate.

20           Representative examples of patents relating to polymers and their preparation include PCT Publication Nos. WO72827, 98/12243, 98/19713, 98/41154, 99/07417, 00/33764, 00/21842, 00/09190, 00/09088, 00/09087, 2001/17575 and 2001/15526 (as well as their corresponding U.S. applications), and U.S. Patent Nos. 4,500,676, 4,582,865, 4,629,623, 4,636,524, 4,713,448,

25 4,795,741, 4,913,743, 5,069,899, 5,099,013, 5,128,326, 5,143,724, 5,153,174, 5,246,698, 5,266,563, 5,399,351, 5,525,348, 5,800,412, 5,837,226, 5,942,555, 5,997,517, 6,007,833, 6,071,447, 6,090,995, 6,099,563, 6,106,473, 6,110,483, 6,121,027, 6,156,345, 6,179,817, 6,197,051, 6,214,901, 6,335,029, 6,344,035, which, as noted above, are all incorporated by reference in their entirety.



- Polymers can be fashioned in a variety of forms, with desired release characteristics and/or with specific desired properties. For example, polymers can be fashioned to release a therapeutic agent upon exposure to a specific triggering event such as pH (*see, e.g., Heller et al., "Chemically Self-Regulated Drug*
- 5 *Delivery Systems," in Polymers in Medicine III, Elsevier Science Publishers B.V., Amsterdam, 1988, pp. 175-188; Kang et al., J. Applied Polymer Sci. 48:343-354, 1993; Dong et al., J. Controlled Release 19:171-178, 1992; Dong and Hoffman, J. Controlled Release 15:141-152, 1991; Kim et al., J. Controlled Release 28:143-152, 1994; Cornejo-Bravo et al., J. Controlled Release 33:223-229, 1995; Wu and*
- 10 *Lee, Pharm. Res. 10(10):1544-1547, 1993; Serres et al., Pharm. Res. 13(2):196-201, 1996; Peppas, "Fundamentals of pH- and Temperature-Sensitive Delivery Systems," in Gurny et al. (eds.), Pulsatile Drug Delivery, Wissenschaftliche Verlagsgesellschaft mbH, Stuttgart, 1993, pp. 41-55; Doelker, "Cellulose Derivatives," 1993, in Peppas and Langer (eds.), Biopolymers I, Springer-Verlag,*
- 15 *Berlin).* Representative examples of pH-sensitive polymers include poly(acrylic acid)-based polymers and derivatives (including, for example, homopolymers such as poly(aminocarboxylic acid), poly(acrylic acid), poly(methyl acrylic acid), copolymers of such homopolymers, and copolymers of poly(acrylic acid) and acrylmonomers such as those discussed above). Other pH sensitive polymers
- 20 include polysaccharides such as carboxymethyl cellulose, hydroxypropylmethylcellulose phthalate, hydroxypropyl-methylcellulose acetate succinate, cellulose acetate trimellilate, chitosan and alginates. Yet other pH sensitive polymers include any mixture of a pH sensitive polymer and a water soluble polymer.
- 25 Likewise, polymers can be fashioned which are temperature sensitive (*see, e.g., Chen et al., "Novel Hydrogels of a Temperature-Sensitive Pluronic Grafted to a Bioadhesive Polyacrylic Acid Backbone for Vaginal Drug Delivery," in Proceed. Intern. Symp. Control. Rel. Bioact. Mater. 22:167-168, Controlled Release Society, Inc., 1995; Okano, "Molecular Design of Stimuli-*

- Responsive Hydrogels for Temporal Controlled Drug Delivery," in *Proceed. Intern. Symp. Control. Rel. Bioact. Mater.* 22:111-112, Controlled Release Society, Inc., 1995; Johnston *et al.*, *Pharm. Res.* 9(3):425-433, 1992; Tung, *Int'l J. Pharm.* 107:85-90, 1994; Harsh and Gehrke, *J. Controlled Release* 17:175-186, 1991; Bae
- 5 *et al.*, *Pharm. Res.* 8(4):531-537, 1991; Dinarvand and D'Emanuele, *J. Controlled Release* 36:221-227, 1995; Yu and Grainger, "Novel Thermo-sensitive Amphiphilic Gels: Poly N-isopropylacrylamide-co-sodium acrylate-co-n-N-alkylacrylamide Network Synthesis and Physicochemical Characterization," Dept. of Chemical & Biological Sci., Oregon Graduate Institute of Science & Technology, Beaverton,
- 10 OR, pp. 820-821; Zhou and Smid, "Physical Hydrogels of Associative Star Polymers," Polymer Research Institute, Dept. of Chemistry, College of Environmental Science and Forestry, State Univ. of New York, Syracuse, NY, pp. 822-823; Hoffman *et al.*, "Characterizing Pore Sizes and Water 'Structure' in Stimuli-Responsive Hydrogels," Center for Bioengineering, Univ. of Washington,
- 15 Seattle, WA, p. 828; Yu and Grainger, "Thermo-sensitive Swelling Behavior in Crosslinked N-isopropylacrylamide Networks: Cationic, Anionic and Ampholytic Hydrogels," Dept. of Chemical & Biological Sci., Oregon Graduate Institute of Science & Technology, Beaverton, OR, pp. 829-830; Kim *et al.*, *Pharm. Res.* 9(3):283-290, 1992; Bae *et al.*, *Pharm. Res.* 8(5):624-628, 1991; Kono *et al.*, *J. Controlled Release* 30:69-75, 1994; Yoshida *et al.*, *J. Controlled Release* 32:97-102,
- 20 1994; Okano *et al.*, *J. Controlled Release* 36:125-133, 1995; Chun and Kim, *J. Controlled Release* 38:39-47, 1996; D'Emanuele and Dinarvand, *Int'l J. Pharm.* 118:237-242, 1995; Katono *et al.*, *J. Controlled Release* 16:215-228, 1991; Hoffman, "Thermally Reversible Hydrogels Containing Biologically Active Species," in
- 25 Migliaresi *et al.* (eds.), *Polymers in Medicine III*, Elsevier Science Publishers B.V., Amsterdam, 1988, pp. 161-167; Hoffman, "Applications of Thermally Reversible Polymers and Hydrogels in Therapeutics and Diagnostics," in *Third International Symposium on Recent Advances in Drug Delivery Systems*, Salt Lake City, UT, Feb. 24-27, 1987, pp. 297-305; Gutowska *et al.*, *J. Controlled Release* 22:95-104, 1992;

Palasis and Gehrke, *J. Controlled Release* 18:1-12, 1992; Paavola *et al.*, *Pharm. Res.* 12(12):1997-2002, 1995).

Representative examples of thermogelling polymers include homopolymers such as poly(N-methyl-N-n-propylacrylamide), poly(N-n-  
5 propylacrylamide), poly(N-methyl-N-isopropylacrylamide), poly(N-n-propylmethacrylamide), poly(N-isopropylacrylamide), poly(N, n-diethylacrylamide), poly(N-isopropylmethacrylamide), poly(N-cyclopropylacrylamide), poly(N-ethylmethacrylamide), poly(N-methyl-N-ethylacrylamide), poly(N-cyclopropylmethacrylamide) and poly(N-ethylacrylamide). Moreover thermogelling  
10 polymers may be made by preparing copolymers between (among) monomers of the above, or by combining such homopolymers with other water soluble polymers such as acrylmonomers (e.g., acrylic acid and derivatives thereof such as methylacrylic acid, acrylate and derivatives thereof such as butyl methacrylate, acrylamide, and N-n-butyl acrylamide).

15 Other representative examples of thermogelling cellulose ether derivatives such as hydroxypropyl cellulose, methyl cellulose, hydroxypropylmethyl cellulose, ethylhydroxyethyl cellulose, and Pluronics, such as F-127.

A wide variety of forms may be fashioned by the polymers of the present invention, including for example, rod-shaped devices, pellets, slabs,  
20 particulates, micelles, films, molds, sutures, threads, gels, creams, ointments, sprays or capsules (see, e.g., Goodell *et al.*, *Am. J. Hosp. Pharm.* 43:1454-1461, 1986; Langer *et al.*, "Controlled release of macromolecules from polymers", in *Biomedical Polymers, Polymeric Materials and Pharmaceuticals for Biomedical Use*, Goldberg, E.P., Nakagim, A. (eds.) Academic Press, pp. 113-137, 1980;  
25 Rhine *et al.*, *J. Pharm. Sci.* 69:265-270, 1980; Brown *et al.*, *J. Pharm. Sci.* 72:1181-1185, 1983; and Bawa *et al.*, *J. Controlled Release* 1:259-267, 1985). Agents may be incorporated by dissolution in the polymer, occlusion in the matrices of the polymer, bound by covalent linkages, or encapsulated in microcapsules. Within certain preferred embodiments of the invention, therapeutic

compositions are provided in non-capsular formulations, such as coatings microspheres (ranging from nanometers to micrometers in size), pastes, threads or sutures of various size, films and sprays.

Other compounds which can be utilized to carry and/or deliver the agents provided herein include vitamin-based compositions (*e.g.*, based on vitamins A, D, E and/or K, see, *e.g.*, PCT publication Nos. WO 98/30205 and WO 00/71163) and liposomes (see, U.S. Patent Nos. 5,534,499, 5,683,715, 5,776,485, 5,882,679, 6,143,321, 6,146,659, 6,200,598, and PCT Publication Nos. WO 98/34597, WO 99/65466, WO 00/01366, WO 00/53231, WO 99/35162, WO 00/117508, WO 00/125223, WO 00/149,268, WO 00/1565438, and WO 00/158455).

Preferably, therapeutic compositions of the present invention are fashioned in a manner appropriate to the intended use. Within certain aspects of the present invention, the therapeutic composition should be biocompatible, and release one or more agents over a period of several days to months. Further, therapeutic compositions of the present invention should preferably be stable for several months and capable of being produced, and maintained under sterile conditions.

Within certain aspects of the present invention, therapeutic compositions may be fashioned in any size ranging from 50 nm to 500  $\mu\text{m}$ , depending upon the particular use. Alternatively, such compositions may also be readily applied as a "spray" which solidifies into a film or coating. Such sprays may be prepared from microspheres of a wide array of sizes, including for example, from 0.1  $\mu\text{m}$  to 9  $\mu\text{m}$ , from 10  $\mu\text{m}$  to 30  $\mu\text{m}$  and from 30  $\mu\text{m}$  to 100  $\mu\text{m}$ .

Therapeutic compositions of the present invention may also be prepared in a variety of "paste" or gel forms. For example, within one embodiment of the invention, therapeutic compositions are provided which are liquid at one temperature (*e.g.*, temperature greater than 37°C) and solid or semi-solid at another temperature (*e.g.*, ambient body temperature, or any temperature lower than 37°C).

Also included are polymers, such as Pluronic F-127, which are liquid at a low temperature (e.g., 4°C) and a gel at body temperature. Such "thermopastes" may be readily made given the disclosure provided herein.

Within yet other aspects of the invention, the therapeutic  
5 compositions of the present invention may be formed as a film. Preferably, such films are generally less than 5, 4, 3, 2 or 1 mm thick, more preferably less than 0.75 mm or 0.5 mm thick, and most preferably less than 500  $\mu\text{m}$ . Such films are preferably flexible with a good tensile strength (e.g., greater than 50, preferably greater than 100, and more preferably greater than 150 or 200  $\text{N}/\text{cm}^2$ ), good  
10 adhesive properties (i.e., readily adheres to moist or wet surfaces), and have controlled permeability.

Within certain embodiments of the invention, the therapeutic compositions can also comprise additional ingredients such as surfactants (e.g., Pluronic such as F-127, L-122, L-92, L-81, and L-61).

15 Within further aspects of the present invention, polymers are provided which are adapted to contain and release a hydrophobic compound, the carrier containing the hydrophobic compound in combination with a carbohydrate, protein or polypeptide. Within certain embodiments, the polymeric carrier contains or comprises regions, pockets or granules of one or more hydrophobic  
20 compounds. For example, within one embodiment of the invention, hydrophobic compounds may be incorporated within a matrix which contains the hydrophobic compound, followed by incorporation of the matrix within the polymeric carrier. A variety of matrices can be utilized in this regard, including for example, carbohydrates and polysaccharides, such as starch, cellulose, dextran,  
25 methylcellulose, and hyaluronic acid, proteins or polypeptides such as albumin, collagen and gelatin. Within alternative embodiments, hydrophobic compounds may be contained within a hydrophobic core, and this core contained within a hydrophilic shell.

Other carriers that may likewise be utilized to contain and deliver the agents described herein include: hydroxypropyl  $\beta$ -cyclodextrin (Cserhati and Hollo, *Int. J. Pharm.* 108:69-75, 1994), liposomes (see, e.g., Sharma *et al.*, *Cancer Res.* 53:5877-5881, 1993; Sharma and Straubinger, *Pharm. Res.* 11(60):889-896, 1994; 5 WO 93/18751; U.S. Patent No. 5,242,073), liposome/gel (WO 94/26254), nanocapsules (Bartoli *et al.*, *J. Microencapsulation* 7(2):191-197, 1990), micelles (Alkan-Onyuksel *et al.*, *Pharm. Res.* 11(2):206-212, 1994), implants (Jampel *et al.*, *Invest. Ophthalm. Vis. Science* 34(11): 3076-3083, 1993; Walter *et al.*, *Cancer Res.* 54:22017-2212, 1994), nanoparticles (Violante and Lanzafame PAACR), 10 nanoparticles – modified (U.S. Patent No. 5,145,684), nanoparticles (surface modified) (U.S. Patent No. 5,399,363), taxol emulsion/solution (U.S. Patent No. 5,407,683), micelle (surfactant) (U.S. Patent No. 5,403,858), synthetic phospholipid compounds (U.S. Patent No. 4,534,899), gas borne dispersion (U.S. Patent No. 5,301,664), foam, spray, gel, lotion, cream, ointment, dispersed vesicles, 15 particles or droplets solid- or liquid- aerosols, microemulsions (U.S. Patent No. 5,330,756), polymeric shell (nano- and micro- capsule) (U.S. Patent No. 5,439,686), taxoid-based compositions in a surface-active agent (U.S. Patent No. 5,438,072), liquid emulsions (Tarr *et al.*, *Pharm Res.* 4:62-165, 1987), nanospheres (Hagan *et al.*, *Proc. Intern. Symp. Control Rel. Bioact. Mater.* 22, 1995; Kwon *et al.*, *Pharm Res.* 12(2):192-195; Kwon *et al.*, *Pharm Res.* 10(7):970-974; Yokoyama *et al.*, *J. Contr. Rel.* 32:269-277, 1994; Gref *et al.*, *Science* 263:1600-1603, 1994; Bazile *et al.*, *J. Pharm. Sci.* 84:493-498, 1994) and implants (U.S. Patent No. 4,882,168).

The agents provided herein can also be formulated as a sterile composition (e.g., by treating the composition with ethylene oxide or by irradiation), 25 packaged with preservatives or other suitable excipients suitable for administration to humans. Similarly, the devices provided herein (e.g., coated catheter) may be sterilized and prepared suitable for implantation into humans.

### III. MEDICAL IMPLANTS

#### A. Representative Medical Implants

A wide variety of implants or devices can be coated with or otherwise constructed to contain and/or release the therapeutic agents provided herein.

- 5 Representative examples include cardiovascular devices (e.g., implantable venous catheters, venous ports, tunneled venous catheters, chronic infusion lines or ports, including hepatic artery infusion catheters, pacemakers and pacemaker leads (see, e.g., U.S. Patent Nos. 4,662,382, 4,782,836, 4,856,521, 4,860,751, 5,101,824, 5,261,419, 5,284,491, 6,055,454, 6,370,434, and 6,370,434),
- 10 implantable defibrillators (see, e.g., U.S. Patent Nos. 3,614,954, 3,614,955, 4,375,817, 5,314,430, 5,405,363, 5,607,385, 5,697,953, 5,776,165, 6,067,471, 6,169,923, and 6,152,955)); neurologic/neurosurgical devices (e.g., ventricular peritoneal shunts, ventricular atrial shunts, nerve stimulator devices, dural patches and implants to prevent epidural fibrosis post-laminectomy, devices for continuous
- 15 subarachnoid infusions); gastrointestinal devices (e.g., chronic indwelling catheters, feeding tubes, portosystemic shunts, shunts for ascites, peritoneal implants for drug delivery, peritoneal dialysis catheters, and suspensions or solid implants to prevent surgical adhesions); genitourinary devices (e.g., uterine implants, including intrauterine devices (IUDs) and devices to prevent endometrial
- 20 hyperplasia, fallopian tubal implants, including reversible sterilization devices, fallopian tubal stents, artificial sphincters and periurethral implants for incontinence, ureteric stents, chronic indwelling catheters, bladder augmentations, or wraps or splints for vasovasostomy, central venous catheters (see, e.g., U.S. Patent Nos. 3,995,623, 4,072,146 4,096,860, 4,099,528, 4,134,402, 4,180,068,
- 25 4,385,631, 4,406,656, 4,568,329, 4,960,409, 5,176,661, 5,916,208), urinary catheters (see, e.g. U.S. Patent Nos. 2,819,718, 4,227,533, 4,284,459, 4,335,723, 4,701,162, 4,571,241, 4,710,169, and 5,300,022,)); prosthetic heart valves (see, e.g., U.S. Patent Nos. 3,656,185, 4,106,129, 4,892,540, 5,528,023, 5,772,694,

6,096,075, 6,176,877, 6,358,278, and 6,371,983), vascular grafts (see, e.g. 3,096,560, 3,805,301, 3,945,052, 4,140,126, 4,323,525, 4,355,426, 4,475,972, 4,530,113, 4,550,447, 4,562,596, 4,601,718, 4,647,416, 4,878,908, 5,024,671, 5,104,399, 5,116,360, 5,151,105, 5,197,977, 5,282,824, 5,405,379, 5,609,624, 5,693,088, and 5,910,168), ophthalmologic implants (e.g., multino implants and other implants for neovascular glaucoma, drug eluting contact lenses for pterygiums, splints for failed dacryocystorhinostomy, drug eluting contact lenses for corneal neovascularity, implants for diabetic retinopathy, drug eluting contact lenses for high risk corneal transplants); otolaryngology devices (e.g., ossicular implants, Eustachian tube splints or stents for glue ear or chronic otitis as an alternative to transtympanic drains); plastic surgery implants (e.g., breast implants or chin implants), catheter cuffs and orthopedic implants (e.g., cemented orthopedic prostheses).

15           B.     Methods of Making Medical Implants having Therapeutic Agents

Implants and other surgical or medical devices may be covered, coated, contacted, combined, loaded, filled, associated with, or otherwise adapted to release therapeutic agents compositions of the present invention in a variety of manners, including for example: (a) by directly affixing to the implant or device a therapeutic agent or composition (e.g., by either spraying the implant or device with a polymer/drug film, or by dipping the implant or device into a polymer/drug solution, or by other covalent or noncovalent means); (b) by coating the implant or device with a substance, such as a hydrogel, which will in turn absorb the therapeutic composition (or therapeutic factor above); (c) by interweaving therapeutic composition coated thread (or the polymer itself formed into a thread) into the implant or device; (d) by inserting the implant or device into a sleeve or mesh which is comprised of or coated with a therapeutic composition; (e) constructing the implant or device itself with a therapeutic agent or composition; or



- (f) by otherwise adapting the implant or device to release the therapeutic agent. Within preferred embodiments of the invention, the composition should firmly adhere to the implant or device during storage and at the time of insertion. The therapeutic agent or composition should also preferably not degrade during
- 5 storage, prior to insertion, or when warmed to body temperature after insertion inside the body (if this is required). In addition, it should preferably coat or cover the desired areas of the implant or device smoothly and evenly, with a uniform distribution of therapeutic agent. Within preferred embodiments of the invention, the therapeutic agent or composition should provide a uniform, predictable,
- 10 prolonged release of the therapeutic factor into the tissue surrounding the implant or device once it has been deployed. For vascular stents, in addition to the above properties, the composition should not render the stent thrombogenic (causing blood clots to form), or cause significant turbulence in blood flow (more than the stent itself would be expected to cause if it was uncoated).
- 15               Within certain embodiments of the invention, a therapeutic agent can be deposited directly onto all or a portion of the device (see, e.g., U S. Patent Nos. 6,096,070 and 6,299,604), or admixed with a delivery system or carrier (e.g., a polymer, liposome, or vitamin as discussed above) which is applied to all or a portion of the device (see the patents, patent applications, and references listed
- 20 above under "Compositions and Formulations."
- Within certain aspects of the invention, therapeutic agents can be attached to a medical implant using non-covalent attachments. For example, for compounds that are relatively sparingly water soluble or water insoluble, the compound can be dissolved in an organic solvent a specified concentration. The
- 25 solvent chosen for this application would not result in dissolution or swelling of the polymeric device surface. The medical implant can then be dipped into the solution, withdrawn and then dried (air dry and/or vacuum dry). Alternatively, this drug solution can be sprayed onto the surface of the implant. This can be accomplished using current spray coating technology. The release duration for this

method of coating would be relatively short and would be a function of the solubility of the drug in the body fluid in which it was placed.

In another aspect, a therapeutic agent can be dissolved in a solvent that has the ability to swell or partially dissolve the surface of a polymeric implant.

- 5 Depending on the solvent/implant polymer combination, the implant could be dipped into the drug solution for a period of time such that the drug can diffuse into the surface layer of the polymeric device. Alternatively the drug solution can be sprayed onto all or a part of the surface of the implant. The release profile of the drug depends upon the solubility of the drug in the surface polymeric layer. Using  
10 this approach, one would ensure that the solvent does not result in a significant distortion or dimensional change of the medical implant.

- If the implant is composed of materials that do not allow incorporation of a therapeutic agent into the surface layer using the above solvent method, one can treat the surface of the device with a plasma polymerization method such that  
15 a thin polymeric layer is deposited onto the device surface. Examples of such methods include parylene coating of devices, and the use of various monomers such hydrocyclosiloxane monomers, acrylic acid, acrylate monomers, methacrylic acid or methacrylate monomers. One can then use the dip coating or spray coating methods described above to incorporate the therapeutic agent into the  
20 coated surface of the implant.

- For therapeutic agents that have some degree of water solubility, the retention of these compounds on a device are relatively short-term. For therapeutic agents that contain ionic groups, it is possible to ionically complex these agents to oppositely charged compounds that have a hydrophobic  
25 component. For example therapeutic agents containing amine groups can be complexed with compounds such as sodium dodecyl sulfate (SDS). Compounds containing carboxylic groups can be complexed with tridodecymethyammonium chloride (TDMAC). Mitoxantrone, for example, has two secondary amine groups and comes as a chloride salt. This compound can be added to sodium dodecyl

sulfate in order to form a complex. This complex can be dissolved in an organic solvent which can then be dip coated or spray coated. Doxorubicin has an amine group and could thus also be complexed with SDS. This complex could then be applied to the device by dip coating or spray coating methods. Methotrexate, for  
5 example contains 2 carboxylic acid groups and could thus be complexed with TDMAC and then coated onto the medical implant.

For therapeutic agents that have the ability to form ionic complexes or hydrogen bonds, the release of these agents from the device can be modified by the use of organic compounds that have the ability to form ionic or hydrogen  
10 bonds with the therapeutic agent. As described above, a complex between the ionically charged therapeutic agent and an oppositely charged hydrophobic compound can be prepared prior to application of this complex to the medical implant. In another embodiment, a compound that has the ability to form ionic or hydrogen bond interactions with the therapeutic agent can be incorporated into the  
15 implant during the manufacture process, or during the coating process.

Alternatively, this compound can be incorporated into a coating polymer that is applied to the implant or during the process of loading the therapeutic agent into or onto the implant. These agents can include fatty acids (e.g., palmitic acid, stearic acid, lauric acid), aliphatic acids, aromatic acids (e.g., benzoic acid, salicylic acid),  
20 cycloaliphatic acids, aliphatic (stearyl alcohol, lauryl alcohol, cetyl alcohol) and aromatic alcohols also multifunctional alcohols (e.g., citric acid, tartaric acid, pentaerithritol), lipids (e.g., phosphatidyl choline, phosphatidylethanolamine), carbohydrates, sugars, spermine, spermidine, aliphatic and aromatic amines, natural and synthetic amino acids, peptides or proteins. For example, a fatty acid  
25 such as palmitic acid can be used to modulate the release of 5-Fluoruracil from the implant.

For therapeutic agents that have the ability to form ionic complexes or hydrogen bonds, the release of these agents from the implant can be modified by the use of polymers that have the ability to form ionic or hydrogen bonds with

the therapeutic agent. For example, therapeutic agents containing amine groups can form ionic complexes with sulfonic or carboxylic pendant groups or end-groups of a polymer. Examples of polymers that can be used for this application include, but are not limited to polymers and copolymers that are prepared using acrylic acid, methacrylic acid, sodium styrene sulfonate, styrene sulfonic acid, maleic acid or 2-acrylamido-2-methyl propane sulfonic acid. Polymers that have been modified by sulfonation post-polymerization can also be used in this application. The medical implant, for example, can be coated with, or prepared with, a polymer that comprises nafion, a sulfonated fluoropolymer. This medical device can then be dipped into a solution that comprises the amine-containing therapeutic agent. The amine-containing therapeutic agent can also be applied by a spray coating process. Methotrexate and doxorubicin are examples of therapeutic agents that can be used in this application.

It is known that the presence of bacteria on the implant surface can result in a localized decrease in pH. For polymers that comprise ionic exchange groups, for example, carboxylic groups, these polymers can have a localized increase in release of the therapeutic agent in response to the localized decrease in pH as a result of the presence of the bacteria. For therapeutic agents that contain carboxylic acid groups, polymers with pendant end-groups comprising primary, secondary, tertiary or quaternary amines can be used to modulate the release of the therapeutic agent.

Therapeutic agents with available functional groups can be covalently attached to the medical implant surface using several chemical methods. If the polymeric material used to manufacture the implant has available surface functional groups then these can be used for covalent attachment of the agent. For example, if the implant surface contains carboxylic acid groups, these groups can be converted to activated carboxylic acid groups (e.g acid chlorides, succinimidyl derivatives, 4-nitrophenyl ester derivatives etc). These activated carboxylic acid

groups can then be reacted with amine functional groups that are present on the therapeutic agent (e.g., methotrexate, mitoxantrone).

For surfaces that do not contain appropriate functional groups, these groups can be introduced to the polymer surface via a plasma treatment regime.

- 5 For example, carboxylic acid groups can be introduced via a plasma treatment process process (e.g., the use of O<sub>2</sub> and/or CO<sub>2</sub> as a component in the feed gas mixture). The carboxylic acid groups can also be introduced using acrylic acid or methacrylic acid in the gas stream. These carboxylic acid groups can then be converted to activated carboxylic acid groups (e.g., acid chlorides, succinimidyl
- 10 derivatives, 4-nitrophenyl ester derivatives, etc.) that can subsequently be reacted with amine functional groups that are present on the therapeutic agent.

- In addition to direct covalent bonding to the implant surface, the therapeutic agents with available functional groups can be covalently attached to the medical implant via a linker. These linkers can be degradable or non-
- 15 degradable. Linkers that are hydrolytically or enzymatically cleaved are preferred. These linkers can comprise azo, ester, amide, thioester, anhydride, or phosphoester bonds.

- To further modulate the release of the therapeutic agent from the medical implant, portions of or the entire medical implant may be further coated
- 20 with a polymer. The polymer coating can comprise the polymers described above. The polymer coating can be applied by a dip coating process, a spray coating process or a plasma deposition process. This coating can, if desired, be further crosslinked using thermal, chemical, or radiation (e.g., visible light, ultraviolet light, e-beam, gamma radiation, x-ray radiation) techniques in order to further modulate
- 25 the release of the therapeutic agent from the medical implant.

This polymer coating can further contain agents that can increase the flexibility (e.g., plasticizer – glycerol, triethyl citrate), lubricity (e.g., hyaluronic acid), biocompatibility or hemocompatibility (e.g., heparin) of the coating.

The methods above describe methods for incorporation of a therapeutic agent into or onto a medical implant. Additional antibacterial or antifungal agents can also be incorporated into or onto the medical implant. These antibacterial or antifungal agents can be incorporated into or onto the medical

5 implant prior to, simultaneously or after the incorporation of the therapeutic agents, described above, into or onto the medical implant. Agents that can be used include, but are not limited to silver compounds (e.g., silver chloride, silver nitrate, silver oxide), silver ions, silver particles, iodine, povidone/iodine, chlorhexidine, 2-p-sulfanilylanilinoethanol, 4,4'-sulfinyldianiline, 4-sulfanilamidosalicylic acid,

10 acediasulfone, acetosulfone, amikacin, amoxicillin, amphotericin B, ampicillin, apalcillin, apicycline, apramycin, arbekacin, aspoxicillin, azidamfenicol, azithromycin, aztreonam, bacitracin, bambarmycin(s), biapenem, brodimoprim, butirosin, capreomycin, carbenicillin, carbomycin, carumonam, cefadroxil, cefamandole, cefatrizine, cefbuperazone, cefclidin, cefdinir, cefditoren, cefepime,

15 cefetamet, cefixime, cefinenoxime, cefminox, cefodizime, cefonicid, cefoperazone, ceforanide, cefotaxime, cefotetan, cefotiam, ceftazidime, cefpimizole, cefpiramide, cefpirome, cefprozil, cefroxadine, ceftazidime, ceftazidime, ceftibuten, ceftriaxone, cefuzonam, cephalixin, cephaloglycin, cephalosporin C, cephradine, chloramphenicol, chlortetracycline, ciprofloxacin, clarithromycin, clinafloxacin,

20 clindamycin, clomocycline, colistin, cyclacillin, dapsone, demeclocycline, diathymosulfone, dibekacin, dihydrostreptomycin, dirithromycin, doxycycline, enoxacin, enviomycin, epicillin, erythromycin, flomoxef, fortimicin(s), gentamicin(s), glucosulfone solasulfone, gramicidin S, gramicidin(s), grepafloxacin, guamecycline, hetacillin, imipenem, isepamicin, josamycin, kanamycin(s),

25 leucomycin(s), lincomycin, lomefloxacin, lucensomycin, lymecycline, meclocycline, meropenem, methacycline, micronomicin, midecamycin(s), minocycline, moxalactam, mupirocin, nadifloxacin, natamycin, neomycin, netilmicin, norfloxacin, oleandomycin, oxytetracycline, p-sulfanilylbenzylamine, panipenem, paromomycin, pazufloxacin, penicillin N, pipacycline, pipemidic acid, polymyxin, primycin,

- quinacillin, ribostamycin, rifamide, rifampin, rifamycin SV, rifapentine, rifaximin, ristocetin, ritipenem, rokitamycin, rolitetracycline, rosaramycin, roxithromycin, salazosulfadimidine, sancycline, sisomicin, sparfloxacin, spectinomycin, spiramycin, streptomycin, succisulfone, sulfachrysoidine, sulfaloxic acid,
- 5 sulfamidochrysoidine, sulfanilic acid, sulfoxone, teicoplanin, temafloxacin, temocillin, tetracycline, tetroxoprim, thiamphenicol, thiazolsulfone, thiostrepton, ticarcillin, tigemonam, tobramycin, tosufloxacin, trimethoprim, trospectomycin, trovafloxacin, tuberactinomycin, vancomycin, azaserine, candidin(s), chlorphenesin, dermostatin(s), filipin, fungichromin, mepartricin, nystatin,
- 10 oligomycin(s), ciproflaxacin, norfloxacin, ofloxacin, pefloxacin, enoxacin, rosoxacin, amifloxacin, fleroxacin, temafloaxcin, lomefloxacin, perimycin A or tubercidin, and the like.

#### IV. CLINICAL APPLICATIONS

- In order to further the understanding of the invention, discussed in
- 15 more detail below are various clinical applications for the compositions, methods and devices provided herein.

- Briefly, as noted above, within one aspect of the invention methods are provided for preventing, reducing, and/or inhibiting an infection associated with a medical device or implant, comprising the step of introducing into a patient a
- 20 medical implant which releases a chemotherapeutic agent, wherein the chemotherapeutic agent reduces, inhibits, or prevents the growth or transmission of foreign organisms (e.g., bacteria, fungi, or viruses). As used herein, agents that reduce, inhibit, or prevent the growth or transmission of foreign organisms in a patient means that the growth or transmission of a foreign organism is reduced,
- 25 inhibited, or prevented in a statistically significant manner in at least one clinical outcome, or by any measure routinely used by persons of ordinary skill in the art as a diagnostic criterion in determining the same. In a preferred embodiment, the medical implant has been covered or coated with an anthracycline (e.g.,

doxorubicin and mitoxantrone), fluoropyrimidine (e.g., 5-FU), folic acid antagonist (e.g., methotrexate), podophylotoxin (e.g., etoposide), camptothecin, hydroxyurea, and/or a platinum complex (e.g., cisplatin).

Particularly preferred agents which are utilized within the context of

5 the present invention should have an MIC of less than or equal to any one of  $10^{-4}\text{M}$ ,  $10^{-5}\text{M}$ ,  $10^{-6}\text{M}$ , or  $10^{-7}\text{M}$ . Furthermore, particularly preferred agents are suitable for use at concentrations less than that 10%, 5%, or even 1% of the concentration typically used in chemotherapeutic applications (Goodman and Gilman's The Pharmacological Basis of Therapeutics. Editors J.G. Hardman, L.L.

10 Limbird. Consulting editor A. Goodman Gilman Tenth Edition. McGraw-Hill Medical publishing division. 10th edition, 2001, 2148 pp.). Finally, the devices should preferably be provided sterile, and suitable for use in humans.

A. Vascular Catheter-Associated Infections

More than 30 million patients receive infusion therapy annually in the

15 United States. In fact, 30% of all hospitalized patients have at least one vascular catheter in place during their stay in hospital. A variety of medical devices are used for infusion therapy including, but not restricted to, peripheral intravenous catheters, central venous catheters, total parenteral nutrition catheters, peripherally inserted central venous catheters (PIC lines), totally implanted intravascular

20 access devices, flow-directed balloon-tipped pulmonary artery catheters, arterial lines, and long-term central venous access catheters (Hickman lines, Broviac catheters).

Unfortunately, vascular access catheters are prone to infection by a variety of bacteria and are a common cause of bloodstream infection. Of the

25 100,000 bloodstream infections in US hospitals each year, many are related to the presence of an intravascular device. For example, 55,000 cases of bloodstream infections are caused by central venous catheters, while a significant percentage



of the remaining cases are related to peripheral intravenous catheters and arterial lines.

Bacteremia related to the presence of intravascular devices is not a trivial clinical concern: 50% of all patients developing this type of infection will die  
5 as a result (over 23,000 deaths per year) and in those who survive, their hospitalization will be prolonged by an average of 24 days. Complications related to bloodstream infections include cellulites, the formation of abscesses, septic thrombophlebitis, and infective endocarditis. Therefore, there is a tremendous clinical need to reduce the morbidity and mortality associated with intravascular  
10 catheter infections.

The most common point of entry for the infection-causing bacteria is tracking along the device from the insertion site in the skin. Skin flora spread along the outside of the device to ultimately gain access to the bloodstream. Other possible sources of infection include a contaminated infusate, contamination of the  
15 catheter hub-infusion tubing junction, and hospital personnel. The incidence of infection increases the longer the catheter remains in place and any device remaining *in situ* for more than 72 hours is particularly susceptible. The most common infectious agents include common skin flora such as coagulase-negative staphylococci (*S. epidermidis*, *S. saprophyticus*) and *Staphylococcus aureus*  
20 (particularly MRSA – methicillin – resistant *S. aureus*) which account for 2/3 of all infections. Coagulase-negative staphylococci (CNS) is the most commonly isolated organism from the blood of hospitalized patients. CNS infections tend to be indolent; often occurring after a long latent period between contamination (*i.e.* exposure of the medical device to CNS bacteria from the skin during implantation)  
25 and the onset of clinical illness. Unfortunately, most clinically significant CNS infections are caused by bacterial strains that are resistant to multiple antibiotics, making them particularly difficult to treat. Other organisms known to cause vascular access catheter-related infections include Enterococci (*e.g.* *E. coli*, VRE – vancomycin-resistant enterococci), Gram-negative aerobic bacilli, *Pseudomonas*

*aeruginosa*, *Klebsiella* spp., *Serratia marcescens*, *Burkholderia cepacia*, *Citrobacter freundii*, *Corynebacteria* spp. and *Candida* species.

Most cases of vascular access catheter-related infection require removal of the catheter and treatment with systemic antibiotics (although few  
5 antibiotics are effective), with vancomycin being the drug of choice. As mentioned previously, mortality associated with vascular access catheter-related infection is high, while the morbidity and cost associated with treating survivors is also extremely significant.

It is therefore extremely important to develop vascular access  
10 catheters capable of reducing the incidence of bloodstream infections. Since it is impossible to predict in advance which catheters will become infected, any catheter expected to be in place longer than a couple of days would benefit from a therapeutic coating capable of reducing the incidence of bacterial colonization of the device. An ideal therapeutic coating would have one or more of the following  
15 characteristics: (a) the ability to kill, prevent, or inhibit colonization of a wide array of potential infectious agents including most or all of the species listed above; (b) the ability to kill, prevent, or inhibit colonization of bacteria (such as CNS and VRE) that are resistant to multiple antibiotics; (c) utilize a therapeutic agent unlikely to be used in the treatment of a bloodstream infection should one develop (*i.e.*, one  
20 would not want to coat the device with a broad-acting antibiotic, for if a strain of bacteria resistant to the antibiotic were to develop on the device it would jeopardize systemic treatment of the patient since the infecting agent would be resistant to a potentially useful therapeutic).

Several classes of anticancer agents are particularly suitable for  
25 incorporation into coatings for vascular catheters, namely, anthracyclines (*e.g.*, doxorubicin and mitoxantrone), fluoropyrimidines (*e.g.*, 5-FU), folic acid antagonists (*e.g.*, methotrexate), and podophylotoxins (*e.g.*, etoposide). These agents have a high degree of antibacterial activity against CNS (*S. epidermidis*) and *Staphylococcus aureus* – the most common causes of vascular catheter

infections. Particularly preferred agents are doxorubicin, mitoxantrone, 5-fluorouracil and analogues and derivatives thereof which also have activity against *Escheridia coli* and *Pseudomonas aeruginosa*. It is important to note that not all anticancer agents are suitable for the practice of the present invention as  
5 several agents, including 2-mercaptapurine, 6-mercaptapurine, hydroxyurea, cytarabine, cisplatinum, tubercidin, paclitaxel, and camptothecin did not have antibacterial activity against the organisms known to cause vascular access catheter-related infections.

#### 1. Central Venous Catheters

10 For the purposes of this invention, the term "Central Venous Catheters" should be understood to include any catheter or line that is used to deliver fluids to the large (central) veins of the body (e.g., jugular, pulmary, femoral, iliac, inferior vena cava, superior vena cava, axillary etc.). Examples of such catheters include central venous catheters, total parenteral nutrition catheters,  
15 peripherally inserted central venous catheters, flow-directed balloon-tipped pulmonary artery catheters, long-term central venous access catheters (such as Hickman lines and Broviac catheters). Representative examples of such catheters are described in U.S. Patent Nos. 3,995,623, 4,072,146 4,096,860, 4,099,528, 4,134,402, 4,180,068, 4,385,631, 4,406,656, 4,568,329, 4,960,409, 5,176,661,  
20 5,916,208.

As described previously, 55,000 cases of bloodstream infections are caused by central venous catheters every year in the United States resulting in 23,000 deaths. The risk of infection increases the longer the catheter remains in place, particularly if it is used beyond 72 hours. Severe complications of central  
25 venous catheter infection also include infective endocarditis and suppurative phlebitis of the great veins. If the device becomes infected, it must be replaced at a new site (over-the-wire exchange is not acceptable) which puts the patient at further risk to develop mechanical complications of insertion such as bleeding,

pneumothorax and hemothorax. In addition, systemic antibiotic therapy is also required. An effective therapy would reduce the incidence of device infection, reduce the incidence of bloodstream infection, reduce the mortality rate, reduce the incidence of complications (such as endocarditis or suppurative phlebitis),  
5 prolong the effectiveness of the central venous catheter and/or reduce the need to replace the catheter. This would result in lower mortality and morbidity for patients with central venous catheters in place.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the  
10 vascular catheter. The drug(s) can be applied to the central venous catheter system in several manners: (a) as a coating applied to the exterior surface of the intravascular portion of the catheter and/or the segment of the catheter that traverses the skin; (b) as a coating applied to the interior and exterior surface of the intravascular portion of the catheter and/or the segment of the catheter that  
15 traverses the skin; (c) incorporated into the polymers which comprise the intravascular portion of the catheter; (d) incorporated into, or applied to the surface of, a subcutaneous "cuff" around the catheter; (e) in solution in the infusate; (f) incorporated into, or applied as a coating to, the catheter hub, junctions and/or infusion tubing; and (g) any combination of the aforementioned.

20 Drug-coating of, or drug incorporation into, the central venous catheter will allow bacteriocidal drug levels to be achieved locally on the catheter surface, thus reducing the incidence of bacterial colonization of the vascular catheter (and subsequent development of blood borne infection), while producing negligible systemic exposure to the drugs. Although for some agents polymeric  
25 carriers are not required for attachment of the drug to the catheter surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic

copolymers (e.g., poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g., poly(ethylene-co-vinyl acetate)) as well as blends thereof.

5                   As central venous catheters are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area and design. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered can be measured and  
10 appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the central venous catheter, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

                  (a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an  
15 example, whether applied as a polymer coating, incorporated into the polymers which make up the device, or applied without a polymer carrier, the total dose of doxorubicin applied to the central venous catheter (and the other components of the infusion system) should not exceed 25 mg (range of 0.1  $\mu$ g to 25 mg). In a particularly preferred embodiment, the total amount of drug applied to the central  
20 venous catheter (and the other components of the infusion system) should be in the range of 1  $\mu$ g to 5 mg. The dose per unit area of the device (*i.e.* the amount of drug as a function of the surface area of the portion of the device to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 100  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should  
25 be applied to the device surface at a dose of 0.1  $\mu$ g/ $\text{mm}^2$  – 10  $\mu$ g/ $\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the device surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the device surface. It

is necessary to insure that drug concentrations on the device surface exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released  
5 from the surface of the device such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 30 days. It should be readily evident given the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with  
10 similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above  
15 parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the device, or applied without a carrier polymer, the total dose of mitoxantrone applied to the central venous catheter (and the other components of the infusion  
20 system) should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a particularly preferred embodiment, the total amount of drug applied to the central venous catheter (and the other components of the infusion system) should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area of the device (*i.e.* the amount of drug as a function of the surface area of the portion of the device to which drug is applied  
25 and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the device surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  – 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of

the drug from the device surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained on the device surface. It is necessary to insure that drug concentrations on the device surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the surface of the device such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 30 days. It should be readily evident given the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the device, or applied without a carrier polymer, the total dose of 5-fluorouracil applied to the central venous catheter (and the other components of the infusion system) should not exceed 250 mg (range of 1.0  $\mu$ g to 250 mg). In a particularly preferred embodiment, the total amount of drug applied to the central venous catheter (and the other components of the infusion system) should be in the range of 10  $\mu$ g to 25 mg. The dose per unit area of the device (*i.e.* the amount of drug as a function of the surface area of the portion of the device to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu$ g – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the device surface at a dose of 1.0  $\mu$ g/ $\text{mm}^2$  – 50  $\mu$ g/ $\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing

rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the device surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained on the device surface. It is necessary to insure that drug concentrations on the device surface exceed

5 concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the surface of the device such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred

10 embodiment the drug is released in effective concentrations for a period ranging from 1 – 30 days. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of

15 the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an

20 example, whether applied as a polymer coating, incorporated into the polymers which make up the device, or applied without a carrier polymer, the total dose of etoposide applied to the central venous catheter (and the other components of the infusion system) should not exceed 25 mg (range of 0.1  $\mu$ g to 25 mg). In a particularly preferred embodiment, the total amount of drug applied to the central

25 venous catheter (and the other components of the infusion system) should be in the range of 1  $\mu$ g to 5 mg. The dose per unit area of the device (*i.e.* the amount of drug as a function of the surface area of the portion of the device to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 100  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be



applied to the device surface at a dose of  $0.1 \mu\text{g}/\text{mm}^2 - 10 \mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the device surface such that a concentration of  $10^{-5} - 10^{-6} \text{ M}$  of etoposide is maintained on the device surface. It is necessary to insure that drug concentrations on the device surface exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.*, are in excess of  $10^{-5} \text{ M}$ ; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the device such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 30 days. It should be readily evident based upon the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be utilized to enhance the antibacterial activity of the central venous catheter coating. Similarly an anthracycline (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidine (*e.g.*, 5-fluorouracil), folic acid antagonist (*e.g.*, methotrexate) and/or podophylotoxin (*e.g.*, etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy. Since thrombogenicity of the catheter is associated with an increased risk of infection, combinations of anthracyclines (*e.g.*,

doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate and/or podophylotoxins (e.g., etoposide) can be combined with antithrombotic and/or antiplatelet agents (for example, heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine,

- 5 2-chloroadenosine, aspirin, phenylbutazone, indomethacin, meclofenamate, hydrochloroquine, dipyridamole, iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and/or tissue plasminogen activator) to enhance efficacy.

## 2. Peripheral Intravenous Catheters

- 10 For the purposes of this invention, the term "Peripheral Venous Catheters" should be understood to include any catheter or line that is used to deliver fluids to the smaller (peripheral) superficial veins of the body.

Peripheral venous catheters have a much lower rate of infection than do central venous catheters, particularly if they are in place for less than 72 hours.

- 15 One exception is peripheral catheters inserted into the femoral vein (so called "femoral lines") which have a significantly higher rate of infection. The organisms that cause infections in a peripheral venous catheter are identical to those described above (for central venous catheters).

- In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil  
20 and/or etoposide are formulated into a coating applied to the surface of the peripheral vascular catheter. The drug(s) can be applied to the peripheral venous catheter system in several manners: (a) as a coating applied to the exterior and/or interior surface of the intravascular portion of the catheter and/or the segment of the catheter that traverses the skin; (b) incorporated into the polymers which  
25 comprise the intravascular portion of the catheter; (c) incorporated into, or applied to the surface of, a subcutaneous "cuff" around the catheter; (e) in solution in the infusate; (f) incorporated into, or applied as a coating to, the catheter hub, junctions and/or infusion tubing; and (g) any combination of the aforementioned.

The formulation and dosing guidelines for this embodiment are identical to those described for central venous catheters.

### 3. Arterial Lines and Transducers

Arterial lines are used to draw arterial blood gasses, obtain accurate  
5 blood pressure readings and to deliver fluids. They are placed in a peripheral artery (typically the radial artery) and often remain in place for several days. Arterial lines have a very high rate of infection (12-20% of arterial lines become infected) and the causative organisms are identical to those described above (for central venous catheters).

10 In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the arterial line in several manners: (a) as a coating applied to the exterior and/or interior surface of the intravascular portion of the catheter and/or the segment of the catheter that traverses the skin; (b) incorporated into the polymers which comprise the  
15 intravascular portion of the catheter; (c) incorporated into, or applied to the surface of, a subcutaneous "cuff" around the catheter; (e) in solution in the infusate; (f) incorporated into, or applied as a coating to, the catheter hub, junctions and/or infusion tubing; and (g) any combination of the aforementioned.

The formulation and dosing guidelines for this embodiment are  
20 identical to those described for central venous catheters.

### B. Prosthetic Heart Valve Endocarditis (PVE)

Prosthetic heart valves, mechanical and bioprosthetic, are at a significant risk for developing an infection. In fact, 3-6% of patients develop valvular infection within 5 years of valve replacement surgery and prosthetic valve  
25 endocarditis accounts for up to 15% of all cases of endocarditis. The risk of developing an infection is not uniform – the risk is greatest in the first year following surgery with a peak incidence between the second and third month

postoperatively. Mechanical valves in particular are susceptible to infection in the 3 months following surgery and the microbiology is suggestive of nosocomial infection. Therefore, a drug coating designed to prevent colonization and infection of the valves in the months following surgery could be of great benefit in the  
5 prevention of this important medical problem. The incidence of prosthetic valve endocarditis has not changed in the last 40 years despite significant advances in surgical and sterilization technique.

Representative examples of prosthetic heart valves include those described in U.S. Patent Nos. 3,656,185, 4,106,129, 4,892,540, 5,528,023,  
10 5,772,694, 6,096,075, 6,176,877, 6,358,278, and 6,371,983

Early after valve implantation, the prosthetic valve sewing ring and annulus are not yet endothelialized. The accumulation of platelets and thrombus at the site provide an excellent location for the adherence and colonization of microorganisms. Bacteria can be seeded during the surgical procedure itself or as  
15 a result of bacteremia arising in the early postoperative period (usually contamination from i.v. catheters, catheters to determine cardiac output, mediastinal tubes, chest tubes or wound infections). Common causes of PVE include Coagulase Negative Staphylococci (*Staphylococcus epidermidis*; 30%), *Staphylococcus aureus* (23%), Gram Negative Enterococci (*Enterobacteriaceae*,  
20 *Pseudomonas aeruginosa*; 14%), Fungi (*Candida albicans*, *Aspergillus*; 12%), and *Corynebacterium diphtheriae*. PVE of bioprosthetic valves is largely confined to the leaflets (and rarely the annulus), whereas the annulus is involved in the majority of cases of PVE in mechanical valves (82%).

Unfortunately, eradication of the infecting organism with antimicrobial  
25 therapy alone is often difficult or impossible. As a result, many patients who develop this complication require repeat open-heart surgery to replace the infected valve resulting in significant morbidity and mortality. Even if the infection is successfully treated medically, damage to the leaflets in bioprosthetic valves reduces the lifespan of the valve. Particularly problematic are patients who

develop an infection caused by *Staphylococcus aureus*, as they have a 50-85% mortality rate and overall reoperation rate of 50-65%. Infections caused by *Staphylococcus epidermidis* are also difficult to treat as the majority are caused by organisms resistant to all currently available beta-lactam antibiotics. Other

5 complications of prosthetic valve endocarditis include valve malfunction (stenosis, regurgitation), abscess formation, embolic complications (such as stroke, CNS hemorrhage, cerebritis), conduction abnormalities, and death (55-75% of patients who develop an infection in the first 2 months after surgery).

An effective therapeutic valve coating would reduce the incidence of

10 prosthetic valve endocarditis, reduce the mortality rate, reduce the incidence of complications, prolong the effectiveness of the prosthetic valve and/or reduce the need to replace the valve. This would result in lower mortality and morbidity for patients with prosthetic heart valves.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil

15 and/or etoposide are formulated into a coating applied to the surface of the bioprosthetic or mechanical valve. The drug(s) can be applied to the prosthetic valve in several manners: (a) as a coating applied to the surface of the annular ring (particularly mechanical valves); (b) as a coating applied to the surface of the valve leaflets (particularly bioprosthetic valves); (c) incorporated into the polymers which

20 comprise the annular ring; and/or (d) any combination of the aforementioned.

Drug-coating of, or drug incorporation into prosthetic heart valves will allow bacteriocidal drug levels to be achieved locally on the valvular surface, thus reducing the incidence of bacterial colonization and subsequent development of PVE, while producing negligible systemic exposure to the drugs. Although for

25 some agents polymeric carriers are not required for attachment of the drug to the valve annular ring and/or leaflets, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials],

HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g., nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g., poly(ethylene-co-vinyl acetate)), as well as blends thereof.

As prosthetic heart valves are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area and design. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the prosthetic heart valve, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the prosthetic heart valve, or applied without a carrier polymer, the total dose of doxorubicin applied to the prosthetic heart valve should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied to the prosthetic heart valve should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area of the valve (*i.e.*, the amount of drug as a function of the surface area of the portion of the valve to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the valve surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the valve surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that drug concentrations on

the valve surface exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the valve such that anti-  
5 infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized  
10 for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

15 Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the prosthetic heart valve, or applied without a carrier polymer, the total dose of mitoxantrone applied to the prosthetic heart valve should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a particularly preferred embodiment, the total amount of  
20 drug applied to the prosthetic heart valve should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area of the valve (*i.e.* the amount of drug as a function of the surface area of the portion of the valve to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to  
25 the valve surface at a dose of  $0.05 \mu\text{g}/\text{mm}^2 - 3 \mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the valve surface such that a minimum concentration of  $10^{-5} - 10^{-6}$  M of mitoxantrone is maintained on the valve surface. It is necessary to insure that

drug concentrations on the valve surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the surface of the valve such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the prosthetic heart valve, or applied without a carrier polymer, the total dose of 5-fluorouracil applied to the prosthetic heart valve should not exceed 250 mg (range of 1.0  $\mu$ g to 250 mg). In a particularly preferred embodiment, the total amount of drug applied to the prosthetic heart valve should be in the range of 10  $\mu$ g to 25 mg. The dose per unit area of the valve (*i.e.* the amount of drug as a function of the surface area of the portion of the valve to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu$ g – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the valve surface at a dose of 1.0  $\mu$ g/ $\text{mm}^2$  – 50  $\mu$ g/ $\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the valve surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained on the valve surface. It is necessary to insure that



drug concentrations on the prosthetic heart valve surface exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the surface of the valve such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.*, a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the prosthetic heart valve, or applied without a carrier polymer, the total dose of etoposide applied to the prosthetic heart valve should not exceed 25 mg (range of 0.1  $\mu$ g to 25 mg). In a particularly preferred embodiment, the total amount of drug applied to the prosthetic heart valve should be in the range of 1  $\mu$ g to 5 mg. The dose per unit area of the valve (*i.e.*, the amount of drug as a function of the surface area of the portion of the valve to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 100  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the prosthetic heart valve surface at a dose of  $0.1 \mu\text{g}/\text{mm}^2$  –  $10 \mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the valve surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of

etoposide is maintained on the valve surface. It is necessary to insure that drug concentrations on the valve surface exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.*, are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred

5 embodiment, etoposide is released from the surface of the valve such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of

10 etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is

15 administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate and/or podophylotoxins (*e.g.*, etoposide) can be

20 utilized to enhance the antibacterial activity of the prosthetic heart valve coating. Similarly anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate and/or podophylotoxins (*e.g.*, etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy. Since thrombogenicity of the prosthetic heart valve is

25 associated with an increased risk of infection, anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate and/or podophylotoxins (*e.g.*, etoposide) can be combined with antithrombotic and/or antiplatelet agents (for example, heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine, 2-chloroadenosine, aspirin,

phenylbutazone, indomethacin, meclofenamate, hydrochloroquine, dipyridamole, iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and/or tissue plasminogen activator) to enhance efficacy.

C. Cardiac Pacemaker Infections

5 Overall, slightly greater than 5% of cardiac pacemakers become infected following implantation. Cardiac pacemakers are subject to infection in two general manners: (a) infections involving the pulse generator pocket and/or subcutaneous portion of the lead, and (b) infections involving the transvenous intravascular electrode and/or the generator unit. Representative examples of  
10 patents which describe pacemakers and pacemaker leads include U.S. Patent Nos. 4,662,382, 4,782,836, 4,856,521, 4,860,751, 5,101,824, 5,261,419, 5,284,491, 6,055,454, 6,370,434, and 6,370,434.

The most common type of pacemaker infection involves the subcutaneous generator unit or lead wires in the period shortly after placement.  
15 This type of infection is thought to be the result of contamination of the surgical site by skin flora at the time of placement. *Staphylococcus epidermidis* (65-75% of cases), *Staphylococcus aureus*, *Streptococci*, *Corynebacterium*, *Propionibacterium acnes*, *Enterobacteriaceae* and *Candida* species are frequent causes of this type of infection. Treatment of the infection at this point is relatively straightforward, the  
20 infected portion of the device is removed, the patient is treated with antibiotics and a new pacemaker is inserted at a different site. Unfortunately, infections of the generator pocket can subsequently spread to the epicardial electrodes causing more severe complications such as pericarditis, mediastinitis and bacteremia.

Infection of the intravascular portion of the transvenous electrode  
25 poses a more significant clinical problem. This infection is thought to be caused by infection of the subcutaneous portion of the pacing apparatus that tracks along the device into the intravascular and intracardiac portions of the device. This infection tends to present at a later time (1-6 months post-procedure) and can result in

sepsis, endocarditis, pneumonia, bronchitis, pulmonary embolism, cardiac vegetations and even death. Coagulase Negative Staphylococci (56% of infections), *Staphylococcus aureus* (27%), *Enterobacteriaceae* (6%), *Pseudomonas aeruginosa* (3%) and *Candida albicans* (2%) are the most common  
5 cause of this serious form of pacemaker infection. Treatment of this form of infection is more complex. The generator and electrodes must be removed (often surgically), antibiotics are required for prolonged periods and an entire new pacemaker system must be inserted. Mortality rates associated with this condition can be quite high – 41% if treated with antibiotics alone, 20% if treated with  
10 electrode removal and antibiotics.

An effective cardiac pacemaker coating would reduce the incidence of subcutaneous infection and subsequent tracking of infection to the pericardial and endocardial surfaces of the heart. Clinically, this would result in a reduction in the overall rate of infection and reduce the incidence of more severe complications  
15 such as sepsis, endocarditis, pneumonia, bronchitis, pulmonary embolism, cardiac vegetations and even death. An effective coating could also prolong the effectiveness of the pacemaker and decrease the number of pacemakers requiring replacement, resulting in lower mortality and morbidity for patients with these implants.

20 In a preferred embodiment, an anthracycline (*e.g.*, doxorubicin and mitoxantrone), fluoropyrimidine (*e.g.*, 5-FU), folic acid antagonist (*e.g.*, methotrexate), and/or podophylotoxin (*e.g.*, etoposide) is formulated into a coating applied to the surface of the components of the cardiac pacemaker. The drug(s) can be applied to the pacemaker in several manners: (a) as a coating applied to  
25 the surface of the generator unit; (b) as a coating applied to the surface of the subcutaneous portion of the lead wires; (c) incorporated into, or applied to the surface of, a subcutaneous “cuff” around the subcutaneous insertion site; (d) as a coating applied to the surface of the epicardial electrodes; (e) as a coating applied

to the surface of the transvenous electrode; and/or (f) any combination of the  
aforementioned.

Drug-coating of, or drug incorporation into cardiac pacemakers will  
allow bacteriocidal drug levels to be achieved locally on the pacemaker surface,  
5 thus reducing the incidence of bacterial colonization and subsequent development  
of infectious complications, while producing negligible systemic exposure to the  
drugs. Although for some agents polymeric carriers are not required for  
attachment of the drug to the generator unit, leads and electrodes, several  
polymeric carriers are particularly suitable for use in this embodiment. Of particular  
10 interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A  
[CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT  
Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic  
copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g.  
nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate  
15 and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as  
blends thereof.

As cardiac pacemakers are made in a variety of configurations and  
sizes, the exact dose administered will vary with device size, surface area, design  
and portions of the pacemaker coated. However, certain principles can be applied  
20 in the application of this art. Drug dose can be calculated as a function of dose per  
unit area (of the portion of the device being coated), total drug dose administered  
can be measured and appropriate surface concentrations of active drug can be  
determined. Regardless of the method of application of the drug to the cardiac  
pacemaker, the preferred anticancer agents, used alone or in combination, should  
25 be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an  
example, whether applied as a polymer coating, incorporated into the polymers  
which make up the pacemaker components, or applied without a carrier polymer,  
the total dose of doxorubicin applied to the pacemaker should not exceed 25 mg

(range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the pacemaker to which drug is applied and/or incorporated) should fall within the

5 range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the pacemaker surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the pacemaker

10 surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment,

15 doxorubicin is released from the surface of the pacemaker such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week - 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of

20 doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is

25 administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the pacemaker, or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu\text{g}$  to 5 mg). In a

particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the pacemaker to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per 5  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the pacemaker surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  – 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the pacemaker surface such that a minimum 10 concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the pacemaker surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the surface 15 of the pacemaker such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with 20 similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above 25 parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the pacemaker, or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In

a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the pacemaker to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the pacemaker surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the pacemaker surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the pacemaker surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

25                   (c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the cardiac pacemaker, or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied



should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the pacemaker to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should

5 be applied to the pacemaker surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the pacemaker surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug

10 concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the pacemaker such that anti-infective activity is maintained for a period ranging from several hours to several months. In a

15 particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted

20 according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon

25 the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate and/or podophylotoxins (*e.g.*, etoposide) can be utilized to enhance the antibacterial activity of the pacemaker coating. Similarly anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-

- fluorouracil), folic acid antagonists (e.g., methotrexate and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy. Since thrombogenicity of the intravascular portion of the transvenous electrode is associated with an increased risk of infection,
- 5 anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate and/or podophylotoxins (e.g., etoposide) can be combined with antithrombotic and/or antiplatelet agents (for example heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine, 2-chloroadenosine, aspirin, phenylbutazone, indomethacin,
- 10 meclofenamate, hydrochloroquine, dipyridamole, iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and/or tissue plasminogen activator) to enhance efficacy.

#### D. Infections of Implantable Cardioverter-Defibrillators (ICD)

- Overall, approximately 5-10% of implantable cardioverter-
- 15 defibrillators become infected following implantation (the rate is highest if surgical placement is required). Like cardiac pacemakers, implantable defibrillators are subject to infection in two general manners: (a) infections involving the subcutaneous portion of the device (subcutaneous electrodes and pulse generator unit, and (b) infections involving the intrathoracic components (rate sensing
- 20 electrode, SVC coil electrode and epicardial electrodes). Representative examples of ICD's and associated components are described in U.S. Patent Nos. 3,614,954, 3,614,955, 4,375,817, 5,314,430, 5,405,363, 5,607,385, 5,697,953, 5,776,165, 6,067,471, 6,169,923, and 6,152,955.

- Most infections present period shortly after placement and are
- 25 thought to be the result of contamination of the surgical site by skin flora. *Staphylococcus epidermidis*, *Staphylococcus aureus*, *Streptococci*, *Corynebacterium*, *Propionibacterium acnes*, *Enterobacteriaceae* and *Candida*

species are frequent causes of this type of infection. Unfortunately, treatment frequently involves removal of the entire system and prolonged antibiotic therapy.

An effective ICD coating would reduce the incidence of infection-related side effects such subcutaneous infection, sepsis and pericarditis. An effective coating could also prolong the effectiveness of the ICD and decrease the number of patients requiring replacement, resulting in lower mortality and morbidity associated with these implants.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the components of the ICD. The drug(s) can be applied in several manners: (a) as a coating applied to the surface of the pulse generator unit; (b) as a coating applied to the surface of the subcutaneous portion of the lead wires; (c) incorporated into, or applied to the surface of, a subcutaneous "cuff" around the subcutaneous insertion site; (d) as a coating applied to the surface of the SVC coil electrode; (e) as a coating applied to the surface of the epicardial electrode; and/or (f) any combination of the aforementioned.

Drug-coating of, or drug incorporation into prosthetic heart valves will allow bacteriocidal drug levels to be achieved locally on the ICD surface, thus reducing the incidence of bacterial colonization and subsequent development of infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the generator unit, leads and electrodes, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate

and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As implantable cardioverter-defibrillators have many design features similar to those found in cardiac pacemakers, the dosing guidelines for  
5 doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating ICDs are identical to those described above for cardiac pacemakers.

#### E. Vascular Graft Infections

Infection rates for synthetic vascular grafts range from 1-5% and are highest in grafts that traverse the inguinal region (such as aorto-femoral grafts and  
10 femoral-popliteal grafts). Although infection can result from extension of an infection from an adjacent contaminated tissue or hematogenous seeding, the most common cause of infection is intraoperative contamination. In fact, more than half of all cases present within the first 3 months after surgery. The most common causes of infection include *Staphylococcus aureus* (25-35% of cases),  
15 *Enterobacteriaceae*, *Pseudomonas aeruginosa*, and Coagulase Negative *Staphylococci*.

Complications arising from vascular graft infection include sepsis, subcutaneous infection, false aneurysm formation, graft thrombosis, haemorrhage, septic or thrombotic emboli and graft thrombosis. Treatment requires removal of  
20 the graft in virtually all cases combined with systemic antibiotics. Often the surgery must be performed in a staged manner (complete resection of the infected graft, debridement of adjacent infected tissues, development of a healthy arterial stump, reperfusion through an uninfected pathway) further adding to the morbidity and mortality associated with this condition. For example, if an aortic graft becomes  
25 infected there is a 37% mortality rate and a 21% rate of leg amputation in survivors; for infrainguinal grafts the rates are 18% and 40% respectively.

Representative examples of vascular grafts are described in U.S. Patent Nos. 3,096,560, 3,805,301, 3,945,052, 4,140,126, 4,323,525, 4,355,426,

4,475,972, 4,530,113, 4,550,447, 4,562,596, 4,601,718, 4,647,416, 4,878,908, 5,024,671, 5,104,399, 5,116,360, 5,151,105, 5,197,977, 5,282,824, 5,405,379, 5,609,624, 5,693,088, and 5,910,168.

An effective vascular graft coating would reduce the incidence of complications such as sepsis, haemorrhage, thrombosis, embolism, amputation and even death. An effective coating would also decrease the number of vascular grafts requiring replacement, resulting in lower mortality and morbidity for patients with these implants.

In a preferred embodiment, an anthracycline (e.g., doxorubicin and mitoxantrone), fluoropyrimidine (e.g., 5-FU), folic acid antagonist (e.g., methotrexate), and/or podophylotoxin (e.g., etoposide) is formulated into a coating applied to the surface of the components of the vascular graft. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the graft; (b) as a coating applied to the internal (luminal) surface of the graft; and/or (c) as a coating applied to all or parts of both surfaces.

Drug-coating of, or drug incorporation into vascular grafts will allow bacteriocidal drug levels to be achieved locally on the graft surface, thus reducing the incidence of bacterial colonization and subsequent development of infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) collagen as well as blends thereof.

As vascular grafts are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area, design and portions of the graft coated. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit  
5 area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the vascular graft, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

- 10 (a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the vascular graft components (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment,  
15 the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the vascular graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the vascular graft surface  
20 at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the vascular graft surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface  
25 drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the vascular graft such that anti-infective activity is maintained for a period ranging from several hours to

several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity  
5 can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

10 Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the vascular graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu\text{g}$  to 5 mg). In a particularly preferred embodiment, the total amount of drug  
15 applied should be in the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the vascular graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the vascular graft surface at a dose of 0.05  
20  $\mu\text{g}/\text{mm}^2$  – 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the vascular graft surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations  
25 of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the vascular graft surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug

is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the  
5 above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

- 10 (b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the vascular graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total  
15 amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the vascular graft to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the vascular graft surface at a  
20 dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the vascular graft surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed  
25 concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the vascular graft surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred



embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

10                   (c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the vascular graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the vascular graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the vascular graft surface at a dose of 20 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the vascular graft surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 25 etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the vascular graft such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is

released in effective concentrations for a period ranging from 1 week – 6 months.

It should be readily evident based upon the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above

5 dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

10 (d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate and podophylotoxins (e.g., etoposide) can be utilized to enhance the antibacterial activity of the vascular graft coating. Similarly

15 anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy. Since thrombogenicity of the vascular graft is associated with an increased risk of infection, anthracyclines (e.g., doxorubicin or

20 mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate and/or podophylotoxins (e.g., etoposide) can be combined with antithrombotic and/or antiplatelet agents (for example heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine, 2-chloroadenosine, aspirin, phenylbutazone, indomethacin, meclofenamate, hydrochloroquine, dipyridamole,

25 iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and/or tissue plasminogen activator) to enhance efficacy.

F. Infections Associated with Ear, Nose and Throat Implants

Bacterial infections involving the ear, nose and throat are common occurrences in both children and adults. For the management of chronic obstruction secondary to persistent infection, the use of implanted medical tubes is a frequent form of treatment. Specifically, chronic otitis media is often treated with the surgical implantation of tympanostomy tubes and chronic sinusitis is frequently treated with surgical drainage and the placement of a sinus stent.

Tympanostomy Tubes

Acute otitis media is the most common bacterial infection, the most frequent indication for surgical therapy, the leading cause of hearing loss and a common cause of impaired language development in children. The cost of treating this condition in children under the age of five is estimated at \$5 billion annually in the United States alone. In fact, 85% of all children will have at least one episode of otitis media and 600,000 will require surgical therapy annually. The prevalence of otitis media is increasing and for severe cases surgical therapy is more cost effective than conservative management.

Acute otitis media (bacterial infection of the middle ear) is characterized by Eustachian tube dysfunction leading to failure of the middle ear clearance mechanism. The most common causes of otitis media are Streptococcus pneumoniae (30%), Haemophilus influenza (20%), Branhamella catarrhalis (12%), Streptococcus pyogenes (3%), and Staphylococcus aureus (1.5%). The end result is the accumulation of bacteria, white blood cells and fluid which, in the absence of an ability to drain through the Eustachian tube, results in increased pressure in the middle ear. For many cases antibiotic therapy is sufficient treatment and the condition resolves. However, for a significant number of patients the condition becomes frequently recurrent or does not resolve completely. In recurrent otitis media or chronic otitis media with effusion, there is a continuous build-up of fluid and bacteria that creates a pressure gradient across

the tympanic membrane causing pain and impaired hearing. Fenestration of the tympanic membrane (typically with placement of a tympanostomy tube) relieves the pressure gradient and facilitates drainage of the middle ear (through the outer ear instead of through the Eustachian tube – a form of “Eustachian tube bypass”).

5                   Surgical placement of tympanostomy tubes is the most widely used treatment for chronic otitis media because, although not curative, it improves hearing (which in turn improves language development) and reduces the incidence of acute otitis media. Tympanostomy tube placement is one of the most common surgical procedures in the United States with 1.3 million surgical placements per  
10 year. Nearly all younger children and a large percentage of older children require general anaesthesia for placement. Since general anaesthesia has a higher incidence of significant side effects in children (and represents the single greatest risk and cost associated with the procedure), it is desirable to limit the number of anaesthetics that the child is exposed to. Common complications of  
15 tympanostomy tube insertion include chronic otorrhea (often due to infection by *S. pneumoniae*, *H. influenza*, *Pseudomonas aeruginosa*, *S.aureus*, or *Candida*), foreign body reaction with the formation of granulation tissue and infection, plugging (usually obstructed by granulation tissue, bacteria and/or clot), tympanic membrane perforation, myringosclerosis, tympanic membrane atrophy (retraction,  
20 atelectasis), and cholesteatoma.

                  An effective tympanostomy tube coating would allow easy insertion, remain in place for as long as is required, be easily removed in the office without anaesthesia, resist infection and prevent the formation of granulation tissue in the tube (which can not only lead to obstruction, but also “tack down” the tube such  
25 that surgical removal of the tube under anaesthetic becomes necessary). An effective tympanostomy tube would also reduce the incidence of complications such as chronic otorrhea (often due to infection by *S. pneumoniae*, *H. influenza*, *Pseudomonas aeruginosa*, *S.aureus*, or *Candida*); maintain patency (prevent obstruction by granulation tissue, bacteria and/or clot); and/or reduce tympanic

membrane perforation, myringosclerosis, tympanic membrane atrophy and cholesteatoma. Therefore, development of a tube which does not become obstructed by granulation tissue, does not scar in place and is less prone to infection (thereby reducing the need to remove/replace the tube) would be a  
5 significant medical advancement.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the tympanostomy tube. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the tympanostomy tube; (b) as a coating  
10 applied to the internal (luminal) surface of the tympanostomy tube; (c) as a coating applied to all or parts of both surfaces; and/or (d) incorporated into the polymers which comprise the tympanostomy tube.

Drug-coating of, or drug incorporation into, the tympanostomy tube will allow bacteriocidal drug levels to be achieved locally on the tube surface, thus  
15 reducing the incidence of bacterial colonization (and subsequent development of middle ear infection), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the tympanostomy tube surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers  
20 such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and  
25 methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

There are two general designs of tympanostomy tubes: grommet-shaped tubes, which tend to stay in place for less than 1 year but have a low incidence of permanent perforation of the tympanic membrane (1%), and T-tubes,

which stay in place for several years but have a higher rate of permanent perforation (5%). As tympanostomy tubes are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area and design. However, certain principles can be applied in the application of this art.

- 5 Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the tympanostomy tube, the preferred anticancer agents, used alone or in combination, should be administered under the
- 10 following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the tympanostomy tube components, or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of

15 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the tympanostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred

20 embodiment, doxorubicin should be applied to the tympanostomy tube surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the tympanostomy tube surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is

25 maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the tympanostomy tube such that anti-

infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and  
5 derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as  
10 potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the tympanostomy tube, or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a  
15 particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the tympanostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should  
20 be applied to the tympanostomy tube surface at a dose of  $0.05 \mu\text{g}/\text{mm}^2 - 3 \mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the tympanostomy tube surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is  
25 necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the tympanostomy tube surface such that anti-infective activity is maintained for a

period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident based upon the discussions provided herein that analogues and derivatives of mitoxantrone (as described  
5 previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice  
10 the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the tympanostomy tube, or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to  
15 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the tympanostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil  
20 should be applied to the tympanostomy tube surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the tympanostomy tube surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is  
25 necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the tympanostomy tube surface such that anti-infective activity is maintained for a



period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described  
5 previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice  
10 the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the tympanostomy tube, or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25  
15 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the tympanostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide  
20 should be applied to the tympanostomy tube surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the tympanostomy tube surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure  
25 that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the tympanostomy tube such that anti-infective activity is maintained for a period ranging from several

hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

- 10 (d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and podophylotoxins (e.g., etoposide) can be utilized to enhance the antibacterial activity of the tympanostomy tube coating.
- 15 Similarly anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

#### Sinus Stents

- 20 The sinuses are four pairs of hollow regions contained in the bones of the skull named after the bones in which they are located (ethmoid, maxillary, frontal and sphenoid). All are lined by respiratory mucosa which is directly attached to the bone. Following an inflammatory insult such as an upper respiratory tract infection or allergic rhinitis, a purulent form of sinusitis can
- 25 develop. Occasionally secretions can be retained in the sinus due to altered ciliary function or obstruction of the opening (ostea) that drains the sinus. Incomplete drainage makes the sinus prone to infection typically with *Haemophilus influenza*,

*Streptococcus pneumoniae*, *Moraxella catarrhalis*, *Veillonella*, *Peptococcus*, *Corynebacterium acnes* and certain species of fungi.

When initial treatment such as antibiotics, intranasal steroid sprays and decongestants are ineffective, it may become necessary to perform surgical  
5 drainage of the infected sinus. Surgical therapy often involves debridement of the ostea to remove anatomic obstructions and removal of parts of the mucosa. Occasionally a stent (a cylindrical tube which physically holds the lumen of the ostea open) is left in the osta to ensure drainage is maintained even in the presence of postoperative swelling. Stents, typically made of stainless steel or  
10 plastic, remain in place for several days or several weeks before being removed.

Unfortunately, the stents can become infected or overgrown by granulation tissue that renders them ineffective. An effective sinus stent coating would allow easy insertion, remain in place for as long as is required, be easily removed in the office without anaesthesia, resist infection and prevent the  
15 formation of granulation tissue in the stent (which can not only lead to obstruction, but also "tack down" the stent such that surgical removal becomes necessary). Therefore, development of a sinus stent which does not become obstructed by granulation tissue, does not scar in place and is less prone to infection would be beneficial.

20 In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the sinus stent. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the sinus stent; (b) as a coating applied to the internal (luminal) surface of the sinus stent; (c) as a coating applied to all or parts of both  
25 surfaces; and/or (d) incorporated into the polymers which comprise the sinus stent.

Drug-coating of, or drug incorporation into, the sinus stent will allow bacteriocidal drug levels to be achieved locally on the tube surface, thus reducing the incidence of bacterial colonization (and subsequent development of sinusitis), while producing negligible systemic exposure to the drugs. Although for some

agents polymeric carriers are not required for attachment of the drug to the sinus stent surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials],  
5 HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

10 As sinus stents are prone to the same complications and infections from the same bacteria, the dosing guidelines for doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating sinus stents are identical to those described above for tympanostomy tubes.

#### G. Infections Associated with Urological Implants

15 Implanted medical devices are used in the urinary tract with greater frequency than in any other body system and have some of the highest rates of infection. In fact, the great majority of urinary devices become infected if they remain in place for a prolonged period of time and are the most common cause of nosocomial infection.

#### 20 Urinary (Foley) Catheters

Four-to-five million bladder catheters are inserted into hospitalized patients every year in the United States. The duration of catheterization is the important risk factor for patients developing a clinically significant infection – the rate of infection increases 5-10% per day that the patient is catheterized. Although  
25 simple cystitis can be treated with a short course of antibiotics (with or without removal of the catheter), serious complications are frequent and can be extremely serious. The infection can ascend to the kidneys causing acute pyelonephritis

which can result in scarring and long term kidney damage. Perhaps of greatest concern is the 1-2% risk of developing gram negative sepsis (the risk is 3-times higher in catheterized patients and accounts for 30% of all cases) which can be extremely difficult to treat and can result in septic shock and death (up to 50% of patients). Therefore, there exists a significant medical need to produce improved urinary catheters capable of reducing the incidence of urinary tract infection in catheterized patients.

The most common cause of infection is bacteria typically found in the bowel or perineum that are able to track up the catheter to gain access to the normally sterile bladder. Bacteria can be carried into the bladder as the catheter is inserted, gain entry via the sheath of exudates that surrounds the catheter, and/or travel intraluminally inside the catheter tubing. Several species of bacteria are able to adhere to the catheter and form a biofilm that provides a protected site for growth. With short-term catheterization, single organism infections are most common and are typically due to *Escherichia coli*, *Enterococci*, *Pseudomonas aeruginosa*, *Klebsiella*, *Proteus*, *Enterobacter*, *Staphylococcus epidermidis*, *Staphylococcus aureus* and *Staphylococcus saprophyticus*. Patients who are catheterized for long periods of time are prone to polymicrobial infections caused by all of the organisms previously mentioned as well as *Providencia stuartii*, *Morganella morganii* and *Candida*. Antibiotic use either systemically or locally has been largely proven to be ineffective as it tends to result only in the selection of drug-resistant bacteria.

An effective urinary catheter coating would allow easy insertion into the bladder, resist infection and prevent the formation of biofilm in the catheter. An effective coating would prevent or reduce the incidence of urinary tract infection, pyelonephritis, and/ or sepsis. In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the urinary catheter. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the urinary catheter;

(b) as a coating applied to the internal (luminal) surface of the urinary catheter; (c) as a coating applied to all or parts of both surfaces; and/or (d) incorporated into the polymers which comprise the urinary catheter.

Drug-coating of, or drug incorporation into, the urinary catheter will  
5 allow bacteriocidal drug levels to be achieved locally on the catheter surface, thus reducing the incidence of bacterial colonization (and subsequent development of urinary tract infection and bacteremia), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the urinary catheter surface, several  
10 polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g.  
15 nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As urinary catheters (e.g. Foley catheters, suprapubic catheters) are made in a variety of configurations and sizes, the exact dose administered will vary  
20 with device size, surface area and design. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to  
25 the urinary catheter, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the urinary catheter components, or applied without a carrier

polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the urinary catheter to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the urinary catheter surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the urinary catheter surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the urinary catheter such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 hour – 1 month. It should be readily evident given the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the urinary catheter, or applied without a carrier polymer, the total dose of

mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu\text{g}$  to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the urinary catheter to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the urinary catheter surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  - 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the urinary catheter surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the urinary catheter surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 hour - 1 month. It should be readily evident given the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the urinary catheter, or applied without a carrier polymer, the total



dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the urinary catheter to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the urinary catheter surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the urinary catheter surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the urinary catheter surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 hour – 1 month. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the urinary catheter, or applied without a carrier polymer, the total

dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the urinary catheter to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the urinary catheter surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the urinary catheter surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the urinary catheter such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 hour - 1 month. It should be readily evident given the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be

utilized to enhance the antibacterial activity of the urinary catheter coating. Similarly anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

#### Ureteral Stents

Ureteral stents are hollow tubes with holes along the sides and coils at either end to prevent migration. Ureteral stents are used to relieve obstructions (caused by stones or malignancy), to facilitate the passage of stones, or to allow healing of ureteral anastomoses or leaks following surgery or trauma. They are placed endoscopically via the bladder or percutaneously via the kidney. A microbial biofilm forms on up to 90% of ureteral stents and 30% develop significant bacteruria with the incidence increasing the longer the stent is in place. *Pseudomonas aeruginosa* is the most common pathogen, but *Enterococci*, *Staphylococcus aureus* and *Candida* also cause infection. Effective treatment frequently requires stent removal in addition to antibiotic therapy.

Unfortunately, ureteral stents can become infected or encrusted with urinary salts that render them ineffective. An effective ureteral stent coating would allow easy insertion, remain in place for as long as is required, be easily removed, resist infection and prevent the formation of urinary salts. Therefore, development of a ureteral stent which does not become obstructed by granulation tissue, does not scar in place and is less prone to infection would be beneficial.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the ureteral stent. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the ureteral stent; (b) as a coating applied to the internal (luminal) surface of the ureteral stent; (c) as a coating applied to all or parts of both

surfaces; and/or (d) incorporated into the polymers which comprise the ureteral stent.

Drug-coating of, or drug incorporation into, the ureteral stent will allow bacteriocidal drug levels to be achieved locally on the stent surface, thus  
5 reducing the incidence of bacterial colonization (and subsequent development of pyelonephritis and/or bacteremia), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the ureteral stent surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric  
10 carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and  
15 methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As ureteral stents are prone to the same complications and infections from the same bacteria, the dosing guidelines for doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating ureteral stents are identical to those  
20 described above for urinary catheters. However, unlike the formulations described for urinary catheters, drug release should occur over a 2 to 24 week period.

#### Urethral Stents

Urethral stents are used for the treatment of recurrent urethral strictures, detruso-external sphincter dyssynergia and bladder outlet obstruction  
25 due to benign prostatic hypertrophy. The stents are typically self-expanding and composed of metal superalloy, titanium, stainless steel or polyurethane. Infections are most often due to Coagulase Negative Staphylococci, Pseudomonas aeruginosa, Enterococci, Staphylococcus aureus, Serratia and Candida.

Treatment of infected stents frequently requires systemic antibiotic therapy and removal of the device.

An effective urethral stent coating would allow easy insertion, remain in place for as long as is required, be easily removed, resist infection and prevent the formation of urinary salts. Therefore, development of a urethral stent which  
5 does not become obstructed by granulation tissue, does not scar in place and is less prone to infection would be beneficial.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the urethral  
10 stent. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the urethral stent; (b) as a coating applied to the internal (luminal) surface of the urethral stent; (c) as a coating applied to all or parts of both surfaces; and/or (d) incorporated into the polymers which comprise the urethral stent.

15 Drug-coating of, or drug incorporation into, the urethral stent will allow bacteriocidal drug levels to be achieved locally on the stent surface, thus reducing the incidence of bacterial colonization (and subsequent development of pyelonephritis and/or bacteremia), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for  
20 attachment of the drug to the ureteral stent surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g.  
25 poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As urethral stents are prone to the same complications and infections from the same bacteria, the dosing guidelines for doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating ureteral stents are identical to those described above for urinary catheters. However, unlike the formulations described for urinary catheters, drug release should occur over a 2 to 24 week period.

#### Prosthetic Bladder Sphincters

Prosthetic bladder sphincters are used to treat incontinence and generally consist of a periurethral implant. The placement of prosthetic bladder sphincters can be complicated by infection (usually in the first 6 months after surgery) with Coagulase Negative Staphylococci (including *Staphylococcus epidermidis*), *Staphylococcus aureus*, *Pseudomonas aeruginosa*, Enterococci, *Serratia* and *Candida*. Infection is characterized by fever, erythema, induration and purulent drainage from the operative site. The usual route of infection is through the incision at the time of surgery and up to 3% of prosthetic bladder sphincters become infected despite the best sterile surgical technique. To help combat this, intraoperative irrigation with antibiotic solutions is often employed.

Treatment of infections of prosthetic bladder sphincters requires complete removal of the device and antibiotic therapy; replacement of the device must often be delayed for 3-6 months after the infection has cleared. An effective prosthetic bladder sphincter coating would resist infection and reduce the incidence of re-intervention.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the prosthetic bladder sphincter. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the prosthetic bladder sphincter; and/or (b) incorporated into the polymers which comprise the prosthetic bladder sphincter.

Drug-coating of, or drug incorporation into, the prosthetic bladder sphincter will allow bacteriocidal drug levels to be achieved locally, thus reducing the incidence of bacterial colonization (and subsequent development of urethritis and/or wound infection), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the prosthetic bladder sphincter surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As prosthetic bladder sphincters are prone to infections caused by the same bacteria as occur with urinary catheters, the dosing guidelines for doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating prosthetic bladder sphincters are identical to those described above for urinary catheters. However, unlike the formulations described for urinary catheters, drug release should occur over a 2 to 24 week period.

#### Penile Implants

Penile implants are used to treat erectile dysfunction and are generally flexible rods, hinged rods or inflatable devices with a pump. The placement of penile implants can be complicated by infection (usually in the first 6 months after surgery) with Coagulase Negative Staphylococci (including Staphylococcus epidermidis), Staphylococcus aureus, Pseudomonas aeruginosa, Enterococci, Serratia and Candida. The type of device or route of insertion does not affect the incidence of infection. Infection is characterized by fever, erythema,

induration and purulent drainage from the operative site. The usual route of infection is through the incision at the time of surgery and up to 3% of penile implants become infected despite the best sterile surgical technique. To help combat this, intraoperative irrigation with antibiotic solutions is often employed.

- 5                   Treatment of infections of penile implants requires complete removal of the device and antibiotic therapy; replacement of the device must often be delayed for 3-6 months after the infection has cleared. An effective penile implant coating would resist infection and reduce the incidence of re-intervention.

- 10                   In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the penile implant. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the penile implant; and/or (b) incorporated into the polymers which comprise the penile implant.

- 15                   Drug-coating of, or drug incorporation into, the penile implant will allow bacteriocidal drug levels to be achieved locally, thus reducing the incidence of bacterial colonization (and subsequent development of local infection and device failure), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the penile implant surface, several polymeric carriers are particularly  
20                   suitable for use in this embodiment.

- As penile implants are prone to infections caused by the same bacteria as occur with urinary catheters, the dosing guidelines for doxorubicin, mitoxantrone, 5-fluorouracil and etoposide in coating penile implants are identical to those described above for urinary catheters. However, unlike the formulations  
25                   described for urinary catheters, drug release should occur over a 2 to 24 week period.



#### H. Infections Associated with Endotracheal and Tracheostomy Tubes

Endotracheal tubes and tracheostomy tubes are used to maintain the airway when ventilatory assistance is required. Endotracheal tubes tend to be used to establish an airway in the acute setting, while tracheostomy tubes are  
5 used when prolonged ventilation is required or when there is a fixed obstruction in the upper airway. In hospitalized patients, nosocomial pneumonia occurs 300,000 times per year and is the second most common cause of hospital-acquired infection (after urinary tract infection) and the most common infection in ICU patients. In the intensive care unit, nosocomial pneumonia is a frequent cause  
10 death with fatality rates over 50%. Survivors spend on average 2 weeks longer in hospital and the annual cost of treatment is close to \$2 billion.

Bacterial pneumonia is the most common cause of excess morbidity and mortality in patients who require intubation. In patients who are intubated electively (*i.e.* for elective surgery), less than 1 % will develop a nosocomial  
15 pneumonia. However, patients who are severely ill with ARDS (Adult Respiratory Distress Syndrome) have a greater than 50% chance of developing a nosocomial pneumonia. It is thought that new organisms colonize the oropharynx in intubated patients, are swallowed to contaminate the stomach, are aspirated to inoculate the lower airway and eventually contaminate the endotracheal tube. Bacteria adhere  
20 to the tube, form a biolayer and multiply serving as a source for bacteria that can aerosolize and be carried distally into the lungs. Chronic tracheostomy tubes also frequently become colonized with pathogenic bacteria known to cause pneumonia. The most common causes of pneumonia in ventilated patients are *Staphylococcus aureus* (17%), *Pseudomonas aeruginosa* (18%), *Klebsiella pneumoniae* (9%),  
25 *Enterobacter* (9%) and *Haemophilus influenza* (5%). Treatment requires aggressive therapy with antibiotics.

An effective endotracheal tube or tracheostomy tube coating would resist infection and prevent the formation of biofilm in the tube. An effective coating would prevent or reduce the incidence of pneumonia, sepsis and death. In

a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the endotracheal tube or tracheostomy tube. Due to its activity against *Klebsiella pneumoniae*, methotrexate can also be useful for this embodiment. As cisplatin and  
5 hydroxyurea have some activity against *Pseudomonas aeruginosa*, they can also be of some utility in the practice of this embodiment. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the endotracheal tube or tracheostomy tube; (b) as a coating applied to the internal (luminal) surface of the endotracheal tube or tracheostomy tube; (c) as a coating  
10 applied to all or parts of both surfaces; and/or (d) incorporated into the polymers which comprise the endotracheal tube or tracheostomy tube.

Drug-coating of, or drug incorporation into, the endotracheal tube or tracheostomy tube will allow bacteriocidal drug levels to be achieved locally on the catheter surface, thus reducing the incidence of bacterial colonization (and  
15 subsequent development of pneumonia and sepsis), while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug to the endotracheal tube or tracheostomy tube surface, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes  
20 (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials], HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl  
25 acetate)) as well as blends thereof.

As endotracheal tube and tracheostomy tubes are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area and design. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit

area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the endotracheal tube or tracheostomy tube, the preferred anticancer agents, used  
5 alone or in combination, should be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the endotracheal tube or tracheostomy tube components, or  
10 applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the endotracheal tube or tracheostomy tube to which drug is applied  
15 and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the endotracheal tube or tracheostomy tube surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in  
20 combination with the release rate of the drug from the endotracheal tube or tracheostomy tube surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for  
25 some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the endotracheal tube or tracheostomy tube such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations from the endotracheal

tube for a period ranging from 1 hour to 1 month, while release from a tracheostomy tube would range from 1 day to 3 months. It should be readily evident given the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the endotracheal tube or tracheostomy tube, or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu\text{g}$  to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the endotracheal tube or tracheostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the endotracheal tube or tracheostomy tube surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  - 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the endotracheal tube or tracheostomy tube surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the endotracheal tube or tracheostomy tube surface such that anti-infective activity is

maintained for a period ranging from several hours to several months. In a particularly preferred embodiment, the drug is released in effective concentrations from the endotracheal tube for a period ranging from 1 hour to 1 month, while release from a tracheostomy tube would range from 1 day to 3 months. It should  
5 be readily evident given the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as  
10 mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the endotracheal tube or tracheostomy tube, or applied without a  
15 carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the endotracheal tube or tracheostomy tube to which drug is applied and/or  
20 incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the endotracheal tube or tracheostomy tube surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination  
25 with the release rate of the drug from the endotracheal tube or tracheostomy tube surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.* are in excess of  $10^{-4}$  M; although for some embodiments lower drug

levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the endotracheal tube or tracheostomy tube surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment, the drug is released in effective concentrations from the endotracheal tube for a period ranging from 1 hour to 1 month, while release from a tracheostomy tube would range from 1 day to 3 months. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the endotracheal tube or tracheostomy tube, or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (i.e. the amount of drug as a function of the surface area of the portion of the endotracheal tube or tracheostomy tube to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the endotracheal tube or tracheostomy tube surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the endotracheal tube or tracheostomy tube surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of

etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the endotracheal tube or tracheostomy tube such that anti-infective activity is maintained for a period  
5 ranging from several hours to several months. In a particularly preferred, embodiment the drug is released in effective concentrations from the endotracheal tube for a period ranging from 1 hour to 1 month, while release from a tracheostomy tube would range from 1 day to 3 months. It should be readily evident given the discussions provided herein that analogues and derivatives of  
10 etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is  
15 administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be  
20 utilized to enhance the antibacterial activity of the endotracheal tube or tracheostomy tube coating. Similarly anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and/or podophylotoxins (*e.g.*, etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

#### 25 I. Infections Associated with Dialysis Catheters

In 1997, there were over 300,000 patients in the United States with end-stage renal disease. Of these, 63% were treated with hemodialysis, 9% with peritoneal dialysis and 38% with renal transplantation. Hemodialysis requires

reliable access to the vascular system typically as a surgically created arteriovenous fistula (AVF; 18%), via a synthetic bridge graft (usually a PTFE arteriovenous interposition graft in the forearm or leg; 50%) or a central venous catheter (32%). Peritoneal dialysis requires regular exchange of dialysate through  
5 the peritoneum via a double-cuffed and tunnelled peritoneal dialysis catheter. Regardless of the form of dialysis employed, infection is the second leading cause of death in renal failure patients (15.5% of all deaths) after heart disease. A significant number of those infections are secondary to the dialysis procedure itself.

#### 10 Hemodialysis Access Grafts

Kidney failure patients have a dysfunctional immune response that makes them particularly susceptible to infection. Infections of hemodialysis access grafts are characterized as either being early (within month; thought to be a complication of surgery) and late (after 1 month; thought to be related to access  
15 care). Over a 2 year period, approximately 2% of AVF's become infected while 11-16% of PTFE grafts will become infected on at least one occasion. Although infection can result from extension of an infection from an adjacent contaminated tissue or hematogenous seeding, the most common cause of infection is intraoperative contamination. The most common causes of infection include  
20 Staphylococcus aureus, Enterobacteriaceae, Pseudomonas aeruginosa, and Coagulase Negative Staphylococci.

Complications arising from hemodialysis access graft infection include sepsis, subcutaneous infection, false aneurysm formation, endocarditis, osteomyelitis, septic arthritis, haemorrhage, septic or thrombotic emboli, graft  
25 thrombosis and septic death (2-4% of all infections). Treatment often requires removal of part or all of the graft combined with systemic antibiotics.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the



components of the synthetic hemodialysis access graft. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the graft; (b) as a coating applied to the internal (luminal) surface of the graft; and/or (c) as a coating applied to all or parts of both surfaces. For an AVF, the drug would  
5 be formulated into a surgical implant placed around the outside of the fistula at the time of surgery.

Drug-coating of, or drug incorporation into hemodialysis access grafts will allow bacteriocidal drug levels to be achieved locally on the graft surface, thus reducing the incidence of bacterial colonization and subsequent development of  
10 infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT  
15 Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) , collagen, PLG as well as blends thereof.

20 An effective hemodialysis access graft coating would reduce the incidence of complications such as sepsis, haemorrhage, thrombosis, embolism, endocarditis, osteomyelitis and even death. An effective coating would also decrease the number of hemodialysis access grafts requiring replacement, resulting in lower mortality and morbidity for patients with these implants.

25 As hemodialysis access grafts are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area, design and portions of the graft coated. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered

can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the hemodialysis access graft, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

- 5                   (a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the hemodialysis access graft components (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred
- 10 embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the hemodialysis access graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the
- 15 hemodialysis access graft surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the hemodialysis access graft surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface.
- 20 It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the hemodialysis access graft such that anti-infective activity is maintained for a
- 25 period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week - 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this

invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the  
5 above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the hemodialysis access graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg  
10 (range of 0.01  $\mu\text{g}$  to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the hemodialysis access graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly  
15 preferred embodiment, mitoxantrone should be applied to the hemodialysis access graft surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  - 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the hemodialysis access graft surface such that a minimum concentration of  $10^{-5}$  -  
20  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the hemodialysis access graft surface  
25 such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week - 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional

activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the hemodialysis access graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (i.e. the amount of drug as a function of the surface area of the portion of the hemodialysis access graft to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the hemodialysis access graft surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the hemodialysis access graft surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (i.e., are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the hemodialysis access graft surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described

previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

- (c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the hemodialysis access graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the hemodialysis access graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the hemodialysis access graft surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the hemodialysis access graft surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the hemodialysis access graft such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week - 6 months. It should be readily evident given the discussions provided herein that analogues

and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent  
5 as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid  
10 antagonists (e.g., methotrexate) and podophylotoxins (e.g., etoposide) can be utilized to enhance the antibacterial activity of the hemodialysis access graft coating. Similarly anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic  
15 and/or antifungal agents to enhance efficacy. Since thrombogenicity of the hemodialysis access graft is associated with an increased risk of infection, anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with antithrombotic and/or antiplatelet agents  
20 (for example heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine, 2-chloroadenosine, aspirin, phenylbutazone, indomethacin, meclofenamate, hydrochloroquine, dipyridamole, iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and/or tissue plasminogen activator) to enhance efficacy.

## 25 Central Venous Catheters

A variety of central venous catheters are available for use in hemodialysis including, but not restricted to, catheters which are totally implanted such as the Lifesite (Vasca Inc., Tewksbury, Mass.) and the Dialock (Bioline Corp.,

Middleboro, Mass.). Central venous catheters are prone to infection and embodiments for that purpose are described above.

#### Peritoneal Dialysis Catheters

5 Peritoneal dialysis catheters are typically double-cuffed and tunnelled catheters that provide access to the peritoneum. The most common peritoneal dialysis catheter designs are the Tenckhoff catheter, the Swan Neck Missouri catheter and the Toronto Western catheter. In peritoneal dialysis, the peritoneum acts as a semipermeable membrane across which solutes can be exchanged down a concentration gradient.

10 Peritoneal dialysis infections are typically classified as either peritonitis or exit-site/tunnel infections (*i.e.* catheter infections). Exit-site/tunnel infections are characterized by redness, induration or purulent discharge from the exit site or subcutaneous portions of the catheter. Peritonitis is more a severe infection that causes abdominal pain, nausea, fever and systemic evidence of  
15 infection. Unfortunately, the peritoneal dialysis catheter likely plays a role in both types of infection. In exit-site/tunnel infections, the catheter itself becomes infected. In peritonitis, the infection is frequently the result of bacteria tracking from the skin through the catheter lumen or migrating on the outer surface (pericatheter route) of the catheter into the peritoneum. Peritoneal catheter-related  
20 infections are typically caused by *Staphylococcus aureus*, Coagulase Negative *Staphylococci*, *Escherichia coli*, Viridans group streptococci, Enterobacteriaceae, *Corynebacterium*, *Branhamella*, *Actinobacter*, *Serratia*, *Proteus*, *Pseudomonas aeruginosa* and Fungi.

Treatment of peritonitis involves rapid in-and-out exchanges of  
25 dialysate, systemic antibiotics (intravenous and/or intraperitoneal administration) and often requires removal of the catheter. Complications include hospitalization, the need to switch to another form of dialysis (30%) and mortality (2%; higher if the infection is due to Enterococci, *S. aureus* or polymicrobial).

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the components of the synthetic peritoneal dialysis graft. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the graft; (b) 5 as a coating applied to the internal (luminal) surface of the graft; (c) as a coating applied to the superficial cuff; (d) as a coating applied to the deep cuff; (e) incorporated into the polymers that comprise the graft; and/or (f) as a coating applied to a combination of these surfaces.

Drug-coating of, or drug incorporation into peritoneal dialysis grafts 10 will allow bacteriocidal drug levels to be achieved locally on the graft surface, thus reducing the incidence of bacterial colonization and subsequent development of infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug, several polymeric carriers are particularly suitable for use 15 in this embodiment. Of particular interest are polymeric carriers such as polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, 20 Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

An effective peritoneal dialysis graft coating would reduce the incidence of complications such as hospitalization, peritonitis, sepsis, and even death. An effective coating would also decrease the number of peritoneal dialysis 25 grafts requiring replacement, resulting in lower mortality and morbidity for patients with these implants.

As peritoneal dialysis grafts are made in a variety of configurations and sizes, the exact dose administered will vary with device size, surface area, design and portions of the graft coated. However, certain principles can be applied



in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the device being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the peritoneal dialysis graft, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the peritoneal dialysis graft components (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the peritoneal dialysis graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the peritoneal dialysis graft surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the peritoneal dialysis graft surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the peritoneal dialysis graft such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months. It should be readily evident given the discussions

provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a  
5 compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make  
10 up the peritoneal dialysis graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the  
15 peritoneal dialysis graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the peritoneal dialysis graft surface at a dose of 0.05  $\mu$ g/ $\text{mm}^2$  – 3  $\mu$ g/ $\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing  
20 parameters should be utilized in combination with the release rate of the drug from the peritoneal dialysis graft surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M;  
25 although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the peritoneal dialysis graft surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6 months.

It should be readily evident given the discussions provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the peritoneal dialysis graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the peritoneal dialysis graft to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the peritoneal dialysis graft surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the peritoneal dialysis graft surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the peritoneal dialysis graft surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 week – 6

months. It should be readily evident given the discussions provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the  
5 analogue or derivative as compared to the parent compound (e.g. a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an  
10 example, whether applied as a polymer coating, incorporated into the polymers which make up the peritoneal dialysis graft (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu$ g to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu$ g to 5 mg. The dose per unit  
15 area (*i.e.* the amount of drug as a function of the surface area of the portion of the peritoneal dialysis graft to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 100  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the peritoneal dialysis graft surface at a dose of 0.1  $\mu$ g/ $\text{mm}^2$  – 10  $\mu$ g/ $\text{mm}^2$ . As different polymer and non-  
20 polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the peritoneal dialysis graft surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and  
25 fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the peritoneal dialysis graft such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations

for a period ranging from 1 week – 6 months. It should be readily evident given the discussions provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted  
5 according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon  
10 the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be utilized to enhance the antibacterial activity of the peritoneal dialysis graft coating. Similarly anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*,  
15 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and/or podophylotoxins (*e.g.*, etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

#### J. Infections of Central Nervous System (CNS) Shunts

Hydrocephalus, or accumulation of cerebrospinal fluid (CSF) in the  
20 brain, is a frequently encountered neurosurgical condition arising from congenital malformations, infection, hemorrhage, or malignancy. The incompressible fluid exerts pressure on the brain leading to brain damage or even death if untreated. CNS shunts are conduits placed in the ventricles of the brain to divert the flow of CSF from the brain to other body compartments and relieve the fluid pressure.  
25 Ventricular CSF is diverted via a prosthetic shunt to a number of drainage locations including the pleura (ventriculopleural shunt), jugular vein, vena cava (VA shunt), gallbladder and peritoneum (VP shunt; most common).

Unfortunately, CSF shunts are relatively prone to developing infection, although the incidence has declined from 25% twenty years ago to 10% at present as a result of improved surgical technique. Approximately 25% of all shunt complications are due to the development of infection of the shunt and these  
5 can lead to significant clinical problems such as ventriculitis, ventricular compartmentalization, meningitis, subdural empyema, nephritis (with VA shunts), seizures, cortical mantle thinning, mental retardation or death. Most infections present with fever, nausea, vomiting, malaise, or signs of increased intracranial pressure such as headache or altered consciousness. The most common  
10 organisms causing CNS shunt infections are Coagulase Negative Staphylococci (67%; *Staphylococcus epidermidis* is the most frequently isolated organism), *Staphylococcus aureus* (10-20%), viridans streptococci, *Streptococcus pyogenes*, *Enterococcus*, *Corynebacterium*, *Escherichia coli*, *Klebsiella*, *Proteus* and *Pseudomonas aeruginosa*. It is thought that the majority of infections are due to  
15 inoculation of the organism during surgery, or during manipulation of the shunt in the postoperative period. As a result, most infections present clinically in the first few weeks following surgery.

Since many of the infections are caused by *S. epidermidis*, it is not uncommon to find that the catheter becomes coated with a bacterial-produced  
20 "slime" that protects the organism from the immune system and makes eradication of the infection difficult. Therefore, the treatments of most infections require shunt removal (and often placement of a temporary external ventricular shunt to relieve hydrocephalus) in addition to systemic and/or intraventricular antibiotic therapy. Poor therapeutic results tend to occur if the shunt is left in place during treatment.  
25 Antibiotic therapy is complicated by the fact that many antibiotics do not cross the blood-brain barrier effectively.

An effective CNS shunt coating would reduce the incidence of complications such as ventriculitis, ventricular compartmentalization, meningitis, subdural empyema, nephritis (with VA shunts), seizures, cortical mantle thinning,

mental retardation or death. An effective coating would also decrease the number of CNS shunts requiring replacement, resulting in lower mortality and morbidity for patients with these implants.

In a preferred embodiment, an anthracycline (e.g., doxorubicin and  
5 mitoxantrone), fluoropyrimidine (e.g., 5-FU), folic acid antagonist (e.g.,  
methotrexate), and/or podophylotoxin (e.g., etoposide) is formulated into a coating  
applied to the surface of the components of the CNS shunt. The drug(s) can be  
applied in several manners: (a) as a coating applied to the external surface of the  
shunt; (b) as a coating applied to the internal (luminal) surface of the shunt; and/or  
10 (c) as a coating applied to all or parts of both surfaces.

Drug-coating of, or drug incorporation into CNS shunts will allow  
bacteriocidal drug levels to be achieved locally on the shunt surface, thus reducing  
the incidence of bacterial colonization and subsequent development of infectious  
complications, while producing negligible systemic exposure to the drugs.  
15 Although for some agents polymeric carriers are not required for attachment of the  
drug, several polymeric carriers are particularly suitable for use in this  
embodiment. Of particular interest are polymeric carriers such as polyurethanes  
(e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640<sup>TM</sup> [CT Biomaterials],  
HYDROSLIP C<sup>TM</sup> [CT Biomaterials], HYDROTHANE<sup>TM</sup> [CT Biomaterials]), acrylic  
20 or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived  
polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate  
propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl  
acetate)) as well as blends thereof.

As CNS shunts are made in a variety of configurations and sizes, the  
25 exact dose administered will vary with device size, surface area, design and  
portions of the shunt coated. However, certain principles can be applied in the  
application of this art. Drug dose can be calculated as a function of dose per unit  
area (of the portion of the device being coated), total drug dose administered can  
be measured and appropriate surface concentrations of active drug can be

determined. Regardless of the method of application of the drug to the CNS shunt, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

- (a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the CNS shunt components (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the CNS shunt to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the CNS shunt surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the CNS shunt surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the CNS shunt such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident given the discussions provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the



parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline,  
5 whether applied as a polymer coating, incorporated into the polymers which make up the CNS shunt (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area (*i.e.* the amount of  
10 drug as a function of the surface area of the portion of the CNS shunt to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per mm<sup>2</sup> of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the CNS shunt surface at a dose of 0.05  $\mu$ g/mm<sup>2</sup> – 3  $\mu$ g/mm<sup>2</sup>. As different polymer and non-polymer coatings will release mitoxantrone at  
15 differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the CNS shunt surface such that a minimum concentration of 10<sup>-5</sup> - 10<sup>-6</sup> M of mitoxantrone is maintained. It is necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in  
20 excess of 10<sup>-5</sup> M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the CNS shunt surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 12 weeks.  
25 It should be readily evident based upon the discussion provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound

twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the CNS shunt (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the CNS shunt to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the CNS shunt surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the CNS shunt surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the CNS shunt surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound

twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the CNS shunt (such as Dacron or Teflon), or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the CNS shunt to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the CNS shunt surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the CNS shunt surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the CNS shunt such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound

twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

- (d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and podophylotoxins (e.g., etoposide) can be utilized to enhance the antibacterial activity of the CNS shunt coating. Similarly anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

**(g) External Ventricular Drainage (EVD) Device and Intracranial Pressure (ICP) Monitoring Devices**

- EVD and ICP monitoring devices are also used in the management of hydrocephalus. The therapeutic agents, doses, coatings and release kinetics for the development of drug-coated EVD's and drug-coated ICP monitoring devices are identical to those described for CNS shunts.

**K. Infections of Orthopedic Implants**

- Implanted orthopedic devices such as prosthetic joints such as hip, knee, elbow, shoulder, wrist, metacarpal, and metatarsal prosthetics are subject to complications as a result of infection of the implant. Orthopedic implant infection has a variety of sequela including pain, immobility, failure of the prosthetic itself, loss/removal the of prosthetic, reoperation, loss of the affected limb or even death. The cost of treating each infection exceeds the cost of the primary joint arthroplasty itself by 3 or 4-fold (in excess of \$50,000/case). Other orthopedic implant hardware such as internal and external fixation devices, plates and screws are also subject to such infection and infection-related complications. The present

treatment includes multiple operations to remove infected prosthetics, with its own inherent risks, combined with antibiotic use.

The rate of orthopedic prosthetic infection is highest in the first month post operatively then declines continuously there after. As an example, the  
5 combined incidence of rate of prosthetic joint infection for 2 years is approximately 5.9% per 1,000 joints; the rate then drops to 2.3% per 1,000 joints from year 2 to 10. The rate of infection also varies depending on the joint. Knee prosthetics are infected twice as frequently as hips. Shoulder prosthetic infections range from 0.5% to 3%, elbows up to 12%, wrists 1.5% to 5.0% and ankles 1.4% to 2.4%.

10 There are three main mechanisms of infection. The most common is colonization of the implant (prosthetic, fixation plate, screws - any implantable orthopedic device) at the time of implant, either directly or through airborne contamination of the wound. The second method is spread from an adjacent focus of infection, such as wound infection, abscess or sinus tract. The third is  
15 hematogenous seeding during a systemic bacteremia, likely accounting for approximately 7% of all implant infections.

Risk factors are multiple. The host may be compromised as a result of a systemic condition, an illness, a local condition, or as a result of medications that decrease the host defence capability. There is also a predisposition to  
20 infections if the patient has had prior surgery, perioperative wound complications, or rheumatoid arthritis. Repeat surgical procedures increase the likelihood of infection as there is a reported 8-fold elevated risk of infection as compared to the primary prosthetic replacement procedure. The presence of a deep infection increases the risk of prosthetic infection 6-fold. Various diseases also increase the  
25 risk of infection. For example, rheumatoid arthritis patients have a higher risk of infection possibly as a result of medications that compromising their immunocompetency, while psoriatic patients have a higher rate possibly mediated by a compromised skin barrier that allows entry of microbes.

The implant itself, and the cements that secure it in place, can cause a local immunocompromised condition that is poorly understood. Different implant materials have their own inherent rate of infection. For example, a metal-to-metal hinged prosthetic knee has 20-times the risk of infection of a metal-to-plastic knee.

5           An implanted device is most susceptible to infection early on. Rabbit models have shown that only a few *Staphylococcus aureus* inoculated at the time of implant are required to cause an infection, but bacteremic (hematogenous) seeding at 3 weeks postoperatively is substantially more difficult and requires significantly more bacteria. This emphasizes the importance of an antimicrobial  
10       strategy initiated early at the time of implantation.

Sixty five percent of all prosthetic joint infections are caused by gram positive cocci, (*Staphylococcus aureus*, Coagulase Negative *Staphylococci*, Beta-Hemolytic *Streptococcus*, Viridans Group *Streptococci*) and enterococci. Often multiple strains of *staphylococcus* can be present in a single prosthetic infection.

15       Other organisms include aerobic gram negative bacilli, Enterobacteriaceae, *Pseudomonas aeruginosa* and Anaerobes (such as *Peptostreptococcus* and *Bacteroides* species). Polymicrobial infections account for 12% of infections.

The diagnosis of an infected implant is difficult due to the highly variable presentation; fever, general malaise, swelling, erythema, joint pain,  
20       loosening of the implant, or even acute septicemia. Fulminate presentations are typically caused by more virulent organisms such as *Staphylococcus aureus* and pyogenic beta-hemolytic streptococci. Chronic indolent courses are more typical of coagulase-negative staphylococci.

Management of an infected orthopedic implant usually requires  
25       prolonged use of antibiotics and surgery to remove the infected device. Surgery requires debridement of the infected tissue, soft tissue, bone, cement, and removal of the infected implant. After a period of prolonged antibiotic use (weeks, months and sometimes a year to ensure microbial eradication), it is possible to implant a replacement prosthesis. Some authors advocate the use of antibiotic impregnated

cement, but cite concerns regarding the risk of developing antibiotic resistance; especially methicillin resistance. If bone loss is extensive, an arthrodesis is often performed and amputation is necessary in some cases. Even when an infection is eradicated, the patient can be left severely compromised physically, have  
5 significant pain and carry a high risk of re-infection.

It is therefore extremely clinically important to develop orthopedic implants capable of resisting or reducing the rate of infection. An effective orthopedic implant coating would reduce the incidence of joint and hardware infection; lower the incidence of prosthetic failure, sepsis, amputation and even  
10 death; and also decrease the number of orthopedic implants requiring replacement, resulting in lower morbidity for patients with these implants.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide are formulated into a coating applied to the surface of the components of the orthopedic implant. The drug(s) can be applied in several  
15 manners: (a) as a coating applied to the external intraosseous surface of the prosthesis; (b) as a coating applied to the external (articular) surface of the prosthesis; (c) as a coating applied to all or parts of both surfaces; (d) as a coating applied to the surface of the orthopedic hardware (plates, screws, etc); (e) incorporated into the polymers which comprise the prosthetic joints (e.g. articular  
20 surfaces and other surface coatings) and hardware (e.g. polylactic acid screws and plates); and/or (f) incorporated into the components of the cements used to secure the orthopedic implants in place.

Drug-coating of, or drug incorporation into orthopedic implant will allow bacteriocidal drug levels to be achieved locally on the implant surface, thus  
25 reducing the incidence of bacterial colonization and subsequent development of infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as

polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, 5 Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g. poly(ethylene-co-vinyl acetate)) as well as blends thereof.

The drugs of interest can also be incorporated into calcium phosphate or hydroxyapatite coatings on the medical devices.

As orthopedic implants are made in a variety of configurations and 10 sizes, the exact dose administered will vary with implant size, surface area, design and portions of the implant coated. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit area (of the portion of the implant being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be 15 determined. Regardless of the method of application of the drug to the orthopedic implant, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

(a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers 20 which make up the orthopedic implant components, or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1 µg to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1 µg to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the orthopedic 25 implant to which drug is applied and/or incorporated) should fall within the range of 0.01 µg - 100 µg per mm<sup>2</sup> of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the orthopedic implant surface at a dose of 0.1 µg/mm<sup>2</sup> – 10 µg/mm<sup>2</sup>. As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in



combination with the release rate of the drug from the orthopedic implant surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the orthopedic implant such that anti-infective activity is maintained for a period ranging from several hours to several months. As described previously, the risk of infectious contamination of the implant is greatest over the first 3 days. Therefore, in a particularly preferred embodiment, the majority (or all) of the drug is released over the first 72 hours to prevent infection while allowing normal healing to occur thereafter. It should be readily evident based upon the discussion provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.*, a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the orthopedic implant, or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu$ g to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 0.1  $\mu$ g to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the orthopedic implant to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu$ g - 20  $\mu$ g per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should be applied to the orthopedic implant surface at a dose of 0.05  $\mu$ g/ $\text{mm}^2$  - 3  $\mu$ g/ $\text{mm}^2$ .

As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the orthopedic implant surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is  
5 necessary to insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the orthopedic implant surface such that anti-infective activity is maintained for a  
10 period ranging from several hours to several months. As described previously, the risk of infectious contamination of the implant is greatest over the first 3 days. Therefore, in one embodiment, the majority (or all) of the drug is released over the first 72 hours to prevent infection while allowing normal healing to occur thereafter. It should be readily evident based upon the discussion provided herein that  
15 analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a  
20 compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

(b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the orthopedic implant, or applied without a carrier polymer, the  
25 total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu$ g to 250 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 10  $\mu$ g to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the orthopedic implant to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu$ g – 1

mg per mm<sup>2</sup> of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the orthopedic implant surface at a dose of 1.0 µg/mm<sup>2</sup> – 50 µg/mm<sup>2</sup>. As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination  
5 with the release rate of the drug from the orthopedic implant surface such that a minimum concentration of 10<sup>-4</sup> - 10<sup>-7</sup> M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of 10<sup>-4</sup> M; although for some embodiments lower drug levels will be  
10 sufficient). In a preferred embodiment, 5-fluorouracil is released from the orthopedic implant surface such that anti-infective activity is maintained for a period ranging from several hours to several months. As described previously, the risk of infectious contamination of the implant is greatest over the first 3 days. Therefore, in a particularly preferred embodiment, the majority (or all) of the drug is  
15 released over the first 72 hours to prevent infection while allowing normal healing to occur thereafter. It should be readily evident based upon the discussion provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative  
20 potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an  
25 example, whether applied as a polymer coating, incorporated into the polymers which make up the orthopedic implant, or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1 µg to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in the range of 1 µg to 5 mg. The dose per unit area (*i.e.* the amount of

drug as a function of the surface area of the portion of the orthopedic implant to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be applied to the orthopedic implant surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the orthopedic implant surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the orthopedic implant such that anti-infective activity is maintained for a period ranging from several hours to several months. As described previously, the risk of infectious contamination of the implant is greatest over the first 3 days. Therefore, in a particularly preferred embodiment, the majority (or all) of the drug is released over the first 72 hours to prevent infection while allowing normal healing to occur thereafter. It should be readily evident based upon the discussion provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be utilized to enhance the antibacterial activity of the orthopedic implant coating.

Similarly anthracyclines (e.g., doxorubicin or mitoxantrone), fluoropyrimidines (e.g., 5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

5           L.       Infections Associated with Other Medical Devices and Implants

Implants are commonly used in the practice of medicine and surgery for a wide variety of purposes. These include implants such as drainage tubes, biliary T-tubes, clips, sutures, meshes, barriers (for the prevention of adhesions), anastomotic devices, conduits, irrigation fluids, packing agents, stents, staples,  
10 inferior vena cava filters, embolization agents, pumps (for the delivery of therapeutics), hemostatic implants (sponges), tissue fillers, cosmetic implants (breast implants, facial implants, prostheses), bone grafts, skin grafts, intrauterine devices (IUD), ligatures, titanium implants (particularly in dentistry), chest tubes, nasogastric tubes, percutaneous feeding tubes, colostomy devices, bone wax, and  
15 Penrose drains, hair plugs, ear rings, nose rings, and other piercing-associated implants, as well as anaesthetic solutions to name a few. Any foreign body when placed into the body is at risk for developing an infection – particularly in the period immediately following implantation.

The drug-coating, dosing, surface concentrations and release  
20 kinetics of these implants is identical to the embodiment described above for orthopedic implants. In addition, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide can be added to solutions used in medicine (storage solutions, irrigation fluids, saline, mannitol, glucose solutions, lipids, nutritional fluids, and anaesthetic solutions) to prevent infection transmitted via infected solutions/fluids used in  
25 patient management.

M. Infections Associated with Ocular Implants

The principle infections of medical device implants in the eye are endophthalmitis associated with intraocular lens implantation for cataract surgery and corneal infections secondary to contact lens use.

5 Infections of Intraocular Lenses

The number of intraocular lenses implanted in the United States has grown exponentially over the last decade. Currently, over 1 million intraocular lenses are implanted annually, with the vast majority (90%) being placed in the posterior chamber of the eye. Endophthalmitis is the most common infectious  
10 complication of intraocular lens placement and occurs in approximately 0.3% of surgeries (3,000 cases per year). The vast majority are due to surgical contamination and have an onset within 48 hours of the procedure.

The most common causes of endophthalmitis are Coagulase Negative Staphylococci (principally *Staphylococcus epidermidis*), *Staphylococcus*  
15 *aureus*, Enterococci, and *Proteus mirabilis*. Symptoms of the condition include blurred vision, ocular pain, headache, photophobia, and corneal edema. The treatment of endophthalmitis associated with cataract surgery includes vitrectomy and treatment with systemic and/or intravitreal antibiotic therapy. Although most cases do not require removal of the lens, in complicated cases, visual acuity can  
20 be permanently affected and/or the lens must be removed and replaced at a later date. An effective intraocular lens coating would reduce the incidence of endophthalmitis and also decrease the number of intraocular lens requiring replacement, resulting in lower morbidity for patients with these implants.

In a preferred embodiment, doxorubicin, mitoxantrone, 5-fluorouracil  
25 and/or etoposide are formulated into a coating applied to the surface of the components of the intraocular lens. The drug(s) can be applied in several manners: (a) as a coating applied to the external surface of the lens; (b) as a coating applied to the internal (luminal) surface of the lens; (c) as a coating applied

to all or parts of both surfaces of the lens; and/or (d) incorporated into the polymers which comprise the lens.

Drug-coating of, or drug incorporation into intraocular lenses will allow bacteriocidal drug levels to be achieved locally on the lens surface, thus  
5 reducing the incidence of bacterial colonization and subsequent development of infectious complications, while producing negligible systemic exposure to the drugs. Although for some agents polymeric carriers are not required for attachment of the drug, several polymeric carriers are particularly suitable for use in this embodiment. Of particular interest are polymeric carriers such as  
10 polyurethanes (e.g., ChronoFlex AL 85A [CT Biomaterials], HydroMed640™ [CT Biomaterials], HYDROSLIP C™ [CT Biomaterials], HYDROTHANE™ [CT Biomaterials]), acrylic or methacrylic copolymers (e.g. poly(ethylene-co-acrylic acid), cellulose-derived polymers (e.g. nitrocellulose, Cellulose Acetate Butyrate, Cellulose acetate propionate), acrylate and methacrylate copolymers (e.g.  
15 poly(ethylene-co-vinyl acetate)) as well as blends thereof.

As intraocular lenses are made in a variety of configurations and sizes, the exact dose administered will vary with lens size, surface area, design and portions of the lens coated. However, certain principles can be applied in the application of this art. Drug dose can be calculated as a function of dose per unit  
20 area (of the portion of the lens being coated), total drug dose administered can be measured and appropriate surface concentrations of active drug can be determined. Regardless of the method of application of the drug to the intraocular lens, the preferred anticancer agents, used alone or in combination, should be administered under the following dosing guidelines:

25 (a) **Anthracyclines.** Utilizing the anthracycline doxorubicin as an example, whether applied as a polymer coating, incorporated into the polymers which make up the intraocular lens components, or applied without a carrier polymer, the total dose of doxorubicin applied should not exceed 25 mg (range of 0.1 µg to 25 mg). In a particularly preferred embodiment, the total amount of drug

applied should be in the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the intraocular lens to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, doxorubicin should be applied to the intraocular lens surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  - 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release doxorubicin at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the intraocular lens surface such that a minimum concentration of  $10^{-7}$  -  $10^{-4}$  M of doxorubicin is maintained on the surface. It is necessary to insure that surface drug concentrations exceed concentrations of doxorubicin known to be lethal to multiple species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower concentrations are sufficient). In a preferred embodiment, doxorubicin is released from the surface of the intraocular lens such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of doxorubicin (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as doxorubicin is administered at half the above parameters, a compound half as potent as doxorubicin is administered at twice the above parameters, etc.).

Utilizing mitoxantrone as another example of an anthracycline, whether applied as a polymer coating, incorporated into the polymers which make up the intraocular lens, or applied without a carrier polymer, the total dose of mitoxantrone applied should not exceed 5 mg (range of 0.01  $\mu\text{g}$  to 5 mg). In a particularly preferred embodiment, the total amount of drug applied should be in



the range of 0.1  $\mu\text{g}$  to 1 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the intraocular lens to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 20  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, mitoxantrone should

5 be applied to the intraocular lens surface at a dose of 0.05  $\mu\text{g}/\text{mm}^2$  – 3  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release mitoxantrone at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the intraocular lens surface such that a minimum concentration of  $10^{-5}$  -  $10^{-6}$  M of mitoxantrone is maintained. It is necessary to

10 insure that drug concentrations on the surface exceed concentrations of mitoxantrone known to be lethal to multiple species of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, mitoxantrone is released from the intraocular lens surface such that anti-infective activity is maintained for a period

15 ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 – 12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of mitoxantrone (as described previously) with similar functional activity can be utilized for the purposes of this

20 invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as mitoxantrone is administered at half the above parameters, a compound half as potent as mitoxantrone is administered at twice the above parameters, etc.).

25 (b) **Fluoropyrimidines** Utilizing the fluoropyrimidine 5-fluorouracil as an example, whether applied as a polymer coating, incorporated into the polymers which make up the intraocular lens, or applied without a carrier polymer, the total dose of 5-fluorouracil applied should not exceed 250 mg (range of 1.0  $\mu\text{g}$  to 250 mg). In a particularly preferred embodiment, the total amount of drug applied

should be in the range of 10  $\mu\text{g}$  to 25 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the intraocular lens to which drug is applied and/or incorporated) should fall within the range of 0.1  $\mu\text{g}$  – 1 mg per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, 5-fluorouracil should be applied to the intraocular lens surface at a dose of 1.0  $\mu\text{g}/\text{mm}^2$  – 50  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release 5-fluorouracil at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the intraocular lens surface such that a minimum concentration of  $10^{-4}$  -  $10^{-7}$  M of 5-fluorouracil is maintained. It is necessary to insure that surface drug concentrations exceed concentrations of 5-fluorouracil known to be lethal to numerous species of bacteria and fungi (*i.e.*, are in excess of  $10^{-4}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, 5-fluorouracil is released from the intraocular lens surface such that anti-infective activity is maintained for a period ranging from several hours to several months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of 5-fluorouracil (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as 5-fluorouracil is administered at half the above parameters, a compound half as potent as 5-fluorouracil is administered at twice the above parameters, etc.).

(c) **Podophylotoxins** Utilizing the podophylotoxin etoposide as an example, whether applied as a polymer coating, incorporated into the polymers which make up the intraocular lens, or applied without a carrier polymer, the total dose of etoposide applied should not exceed 25 mg (range of 0.1  $\mu\text{g}$  to 25 mg). In a particularly preferred embodiment, the total amount of drug applied should be in

the range of 1  $\mu\text{g}$  to 5 mg. The dose per unit area (*i.e.* the amount of drug as a function of the surface area of the portion of the intraocular lens to which drug is applied and/or incorporated) should fall within the range of 0.01  $\mu\text{g}$  - 100  $\mu\text{g}$  per  $\text{mm}^2$  of surface area. In a particularly preferred embodiment, etoposide should be  
5 applied to the intraocular lens surface at a dose of 0.1  $\mu\text{g}/\text{mm}^2$  – 10  $\mu\text{g}/\text{mm}^2$ . As different polymer and non-polymer coatings will release etoposide at differing rates, the above dosing parameters should be utilized in combination with the release rate of the drug from the intraocular lens surface such that a concentration of  $10^{-5}$  -  $10^{-6}$  M of etoposide is maintained. It is necessary to insure that surface  
10 drug concentrations exceed concentrations of etoposide known to be lethal to a variety of bacteria and fungi (*i.e.* are in excess of  $10^{-5}$  M; although for some embodiments lower drug levels will be sufficient). In a preferred embodiment, etoposide is released from the surface of the intraocular lens such that anti-infective activity is maintained for a period ranging from several hours to several  
15 months. In a particularly preferred embodiment the drug is released in effective concentrations for a period ranging from 1 -12 weeks. It should be readily evident based upon the discussion provided herein that analogues and derivatives of etoposide (as described previously) with similar functional activity can be utilized for the purposes of this invention; the above dosing parameters are then adjusted  
20 according to the relative potency of the analogue or derivative as compared to the parent compound (*e.g.* a compound twice as potent as etoposide is administered at half the above parameters, a compound half as potent as etoposide is administered at twice the above parameters, etc.).

(d) **Combination therapy.** It should be readily evident based upon  
25 the discussions provided herein that combinations of anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*, 5-fluorouracil), folic acid antagonists (*e.g.*, methotrexate) and podophylotoxins (*e.g.*, etoposide) can be utilized to enhance the antibacterial activity of the intraocular lens coating. Similarly anthracyclines (*e.g.*, doxorubicin or mitoxantrone), fluoropyrimidines (*e.g.*,

5-fluorouracil), folic acid antagonists (e.g., methotrexate) and/or podophylotoxins (e.g., etoposide) can be combined with traditional antibiotic and/or antifungal agents to enhance efficacy.

#### Corneal Infections Secondary to Contact Lens Use

5                   Contact lenses are primarily used for the correction of refractive errors, but are also used after cataract surgery (Aphakie lenses) and “bandage” lenses are used following corneal trauma. Over 24 million people wear contact lenses and many of them will suffer from ulcerative keratitis resulting from contact lens-associated infection. These infections are typically bacterial in nature, are  
10 secondary to corneal damage/defects, and are caused primarily by Gram Positive Cocci and *Pseudomonas aeruginosa*.

                  The drug-coating of contact lenses is identical to the embodiment described above for intraocular lenses. In addition, doxorubicin, mitoxantrone, 5-fluorouracil and/or etoposide can be added to contact lens storage solution to  
15 prevent infection transmitted via infected cleaning/storage solutions.

                  It should be readily evident to one of skill in the art that any of the previously mentioned agents, or derivatives and analogues thereof, can be utilized to create variation of the above compositions without deviating from the spirit and  
20 scope of the invention.

## EXAMPLES

### EXAMPLE 1

#### MIC DETERMINATION BY MICROTITRE BROTH DILUTION METHOD

##### A. MIC assay of various gram negative and positive bacteria

5 MIC assays were conducted essentially as described by Amsterdam, D. 1996. Susceptibility testing of antimicrobials in liquid media, p.52-111. In Loman, V., ed. Antibiotics in laboratory medicine, 4th ed. Williams and Wilkins, Baltimore, MD. Briefly, a variety of compounds were tested for antibacterial activity against isolates of *P. aeruginosa*, *K. pneumoniae*, *E. coli*, *S. epidermidus* and *S. aureus* in  
10 the MIC (minimum inhibitory concentration assay under aerobic conditions using 96 well polystyrene microtitre plates (Falcon 1177), and Mueller Hinton broth at 37°C incubated for 24h. (MHB was used for most testing except C721 (*S. pyogenes*), which used Todd Hewitt broth, and *Haemophilus influenzae*, which used Haemophilus test medium (HTM)) Tests were conducted in triplicate. The  
15 results are provided below in Table 1.

Table 1  
Minimum Inhibitory Concentrations of Therapeutic Agents  
Against Various Gram Negative and Positive Bacteria

Bacterial Strain	<i>P. aeruginosa</i>	<i>K. pneumoniae</i>	<i>E. coli</i>	<i>S. aureus</i>	<i>S. epidermidis</i>	<i>S. pyogenes</i>
	PAE/K799	ATCC13883	UB1005	ATCC25923		
	H187	C238	C498	C622	C621	C721
	Wt	wt	wt	wt	wt	wt
Drug	Gram -	Gram -	Gram -	Gram +	Gram +	Gram +
doxorubicin	$10^{-5}$	$10^{-6}$	$10^{-4}$	$10^{-5}$	$10^{-6}$	$10^{-7}$
mitoxantrone	$10^{-5}$	$10^{-6}$	$10^{-5}$	$10^{-5}$	$10^{-5}$	$10^{-6}$
5-fluorouracil	$10^{-5}$	$10^{-6}$	$10^{-6}$	$10^{-7}$	$10^{-7}$	$10^{-4}$
methotrexate	N	$10^{-6}$	N	$10^{-5}$	N	$10^{-6}$
etoposide	N	$10^{-5}$	N	$10^{-5}$	$10^{-6}$	$10^{-5}$
camptothecin	N	N	N	N	$10^{-4}$	N
hydroxyurea	$10^{-4}$	N	N	N	N	$10^{-4}$
cisplatin	$10^{-4}$	N	N	N	N	N
tubercidin	N	N	N	N	N	N
2-mercaptapurine	N	N	N	N	N	N
6-mercaptapurine	N	N	N	N	N	N
Cytarabine	N	N	N	N	N	N

5 Activities are in Molar concentrations

Wt = wild type

N = No activity

#### B. MIC of antibiotic-resistant bacteria

10 Various concentrations of the following compounds, mitoxantrone, cisplatin, tubercidin, methotrexate, 5-fluorouracil, etoposide, 2-mercaptapurine, doxorubicin, 6-mercaptapurine, camptothecin, hydroxyurea and cytarabine were tested for antibacterial activity against clinical isolates of a methicillin resistant *S.*

aureus and a vancomycin resistant pediococcus clinical isolate in an MIC assay as described above. Compounds which showed inhibition of growth (MIC value of  $<1.0 \times 10^{-3}$ ) included: mitoxantrone (both strains), methotrexate (vancomycin resistant pediococcus), 5-fluorouracil (both strains), etoposide (both strains), and  
5 2-mercaptopurine (vancomycin resistant pediococcus).

## EXAMPLE 2

### CATHETER – DIP COATING – NON-DEGRADABLE POLYMER

A coating solution is prepared by dissolving 20 g ChronoFlex AI 85A (CT Biomaterials) in 100 mL DMAC:THF (40:60) at 50°C with stirring. Once  
10 dissolved, the polymer solution is cooled to room temperature. 20 mg mitoxantrone is added to 2 mL of the polyurethane solution. The solution is stirred until a homogenous mixture is obtained. Polyurethane 7 French tubing is dipped into the polymer/drug solution and then withdrawn. The coated tube is air dried (80°C). The sample is then dried under vacuum to further reduce the residual  
15 solvent in the coating.

## EXAMPLE 3

### CATHETER – DIP COATING – DEGRADABLE POLYMER

A coating solution is prepared by dissolving 2 g PLG (50:50) in 10 mL dichloromethane:methanol (70:30). Once dissolved, 20mg mitoxantrone is added  
20 to the polymer solution. Once the solution is a homogeneous solution, polyurethane 7 French tubing is dipped into the solution and then withdrawn. The coated tube is air dried. The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 4

## CATHETER – DIP COATING - DRUG ONLY

1 mL methanol is added to 20 mg mitoxantrone. Polyurethane 7 French tubing is dipped into the solution and then withdrawn. The coated tube is  
5 air dried. The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 5

## CATHETER – DIP COATING – DRUG IMPREGNATION

0.6 mL methanol is added to 20 mg mitoxantrone. 1.4 mL DMAC is  
10 added slowly. Polyurethane 7 French tubing is dipped into the solution. After various periods of time (2 min, 5 min, 10 min, 20 min, 30 min) the tube was withdrawn. The coated tube is air dried (80 °C). The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 6

## 15 TYMPANOSTOMY TUBES – DIP COATING – NON-DEGRADABLE POLYMER

A coating solution is prepared by dissolving 20 g ChronoFlex AI 85A (CT Biomaterials) in 100 mL DMAC:THF (50:50) at 50 °C with stirring. Once dissolved, the polymer solution is cooled to room temperature. 20 mg mitoxantrone is added to 2 mL of the polyurethane solution. The solution is stirred until a  
20 homogenous mixture is obtained. A stainless steel tympanostomy tube is dipped into the polymer/drug solution and then withdrawn. The coated tube is air dried (80 °C). The sample is then dried under vacuum to further reduce the residual solvent in the coating.



## EXAMPLE 7

## CATHETER – DIP COATING – NON-DEGRADABLE POLYMER

A coating solution is prepared by dissolving 20 g ChronoFlex AI 85A (CT Biomaterials) in 100 mL THF at 50 °C with stirring. Once dissolved, the  
5 polymer solution is cooled to room temperature. 20 mg etoposide is added to 2 mL of the polyurethane solution. The solution is stirred until a homogenous mixture is obtained. Polyurethane 7 French tubing is dipped into the polymer/drug solution and then withdrawn. The coated tube is air dried (80 C). The sample is then dried under vacuum to further reduce the residual solvent in the coating.

10

## EXAMPLE 8

## CATHETER – DIP COATING – DEGRADABLE POLYMER

A coating solution is prepared by dissolving 2 g PLG (50:50) in 10 mL dichloromethane:methanol (70:30). Once dissolved, 20mg etoposide is added to the polymer solution. Once the solution is a homogeneous solution, polyurethane  
15 7 French tubing is dipped into the solution and then withdrawn. The coated tube is air dried. The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 9

## CATHETER – DIP COATING - DRUG ONLY

20 1 mL THF is added to 20 mg etoposide. Polyurethane 7 French tubing is dipped into the solution and then withdrawn. The coated tube is air dried. The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 10

## CATHETER – DIP COATING – DRUG IMPREGNATION

0.6 mL methanol is added to 1.4 mL DMAC which contains 20 mg etoposide. Polyurethane 7 French tubing is dipped into the solution. After various periods of time (2 min, 5 min, 10 min, 20 min, 30 min) the tube was withdrawn. The coated tube is air dried (80 °C). The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 11

## TYMPANOSTOMY TUBES – DIP COATING – NON-DEGRADABLE POLYMER

A coating solution is prepared by dissolving 20 g ChronoFlex AI 85A (CT Biomaterials) in 100 mL DMAC:THF (50:50) at 50°C with stirring. Once dissolved, the polymer solution is cooled to room temperature. 20 mg etoposide is added to 2 mL of the polyurethane solution. The solution is stirred until a homogenous mixture is obtained. A stainless steel tympanostomy tube is dipped into the polymer/drug solution and then withdrawn. The coated tube is air dried (80°C). The sample is then dried under vacuum to further reduce the residual solvent in the coating.

## EXAMPLE 12

## COVALENT ATTACHMENT OF DOXORUBICIN TO A POLYMER COATED DEVICE

A piece of polyurethane 7 French tubing, with and without an oxygen plasma pretreatment step, is dipped into a solution of 5% (w/w) poly(ethylene-co acrylic acid) in THF. The sample was dried at 45 °C for 3 hours. The coated tubing

was then dipped into a water:methanol (30:70) solution that contained 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide (EDC) and 20 mg/mL Doxorubicin. After various times (15 min, 30 min, 60 min 120 min) the tubing is removed from the solution and dried at 60 °C for 2 hours followed by vacuum drying for 24 hours.

5

## EXAMPLE 13

## COVALENT ATTACHMENT OF DOXORUBICIN TO A DEVICE SURFACE

A piece of polyurethane 7 French tubing that has undergone a oxygen plasma pretreatment step is dipped into a water:methanol (30:70) solution that contained 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide (EDC) and 20  
10 mg/mL Doxorubicin. After various times (15 min, 30 min, 60 min 120 min) the tubing is removed from the solution and dried at 60 °C for 2 hours followed by vacuum drying for 24 hours.

15

## EXAMPLE 14

## IMPREGNATION OF 5-FLUOROURACIL INTO POLYURETHANE CATHETER

A solution was prepared by dissolving 100 mg of 5-Fluorouracil into 20 ml anhydrous methanol. Polyurethane catheter tubing was immersed in this solution for 16 hours. The catheter tubing was vacuum dried at 50°C for 16 hours.  
20

## EXAMPLE 15

## IMPREGNATION OF MITOXANTRONE INTO POLYURETHANE CATHETER

A solution was prepared by dissolving 20 mg of Mitoxantrone-2HCl into 20 ml anhydrous methanol. Polyurethane catheter tubing was immersed in

this solution for 16 hours. The catheter tubing was vacuum dried at 50°C for 16 hours.

#### EXAMPLE 16

##### 5 IMPREGNATION OF DOXORUBICIN INTO POLYURETHANE CATHETER

A solution was prepared by dissolving 20 mg of Doxorubicin-HCl into 20 ml anhydrous methanol. Polyurethane catheter tubing was immersed in this solution for 16 hours. The catheter tubing was vacuum dried at 50°C for 16 hours.

#### 10 EXAMPLE 17

##### POLYURETHANE DIP COATING WITH 5-FLUOROURACIL

A solution was prepared by dissolving 125 mg 5-Fluorouracil and 2.5 g of Chronoflex AL85A (CT Biomaterials) in 50 ml of THF at 55°C. The solution was cooled to room temperature. Polyurethane catheters were weighted at one  
15 end and dipped in solution and then removed immediately. This process was repeated three times with 1 minute drying time interval between each dipping process. The catheter tubing was vacuum dried at 50°C for 16 hours.

#### EXAMPLE 18

##### 20 POLYURETHANE DIP COATING WITH 5-FLUOROURACIL AND PALMITIC ACID

A solution was prepared by dissolving 125 mg 5-Fluorouracil, 62.5 mg of palmitic acid, and 2.437 g of Chronoflex AL85A (CT Biomaterials) in 50 ml of THF at 55°C. The solution was cooled to room temperature. Polyurethane catheters were weighted at one end and dipped in solution and then removed  
25 immediately. This process was repeated three times with a 1 minute drying time

interval between each dipping process. The catheter tubing was vacuum dried at 50°C for 16 hours.

#### EXAMPLE 19

##### 5 CATHETER DIP COATING WITH NAFION AND MITOXANTRONE

Catheters are weighted at one end and dipped into 5% Nafion solution (Dupont) and then removed immediately. This process was repeated three times with a 1 minute drying time interval between each dipping process. The catheter tubing was dried at room temperature for 2 hours. A solution was  
10 prepared with 1 mg of mitoxantrone-2HCl in 40 ml of deionized water. The catheter tubing was immersed in the solution for 5 minutes, and then was washed with deionized water and dried at room temperature.

#### EXAMPLE 20

##### 15 CATHETER DIP COATING WITH NAFION AND DOXORUBICIN

Catheters are weighted at one end and dipped into 5% Nafion solution (Dupont) and then removed immediately. This process was repeated three times with a 1 minute drying time interval between each dipping process. The catheter tubing was dried at room temperature for 2 hours. A solution was  
20 prepared with 1 mg of doxorubicin-HCl in 40 ml of deionized water. The catheter tubing was immersed in the solution for 5 minutes, and then was washed with deionized water and dried at room temperature.

## EXAMPLE 21

## PREPARATION OF RELEASE BUFFER

The release buffer was prepared by adding 8.22 g sodium chloride, 0.32 g sodium phosphate monobasic (monohydrate) and 2.60 g sodium phosphate dibasic (anhydrous) to a beaker. 1L HPLC grade water was added and the solution was stirred until all the salts were dissolved. If required, the pH of the solution was adjusted to  $\text{pH } 7.4 \pm 0.2$  using either 0.1N NaOH or 0.1N phosphoric acid.

10

## EXAMPLE 22

## RELEASE STUDY TO DETERMINE RELEASE PROFILE OF THE THERAPEUTIC AGENT FROM A CATHETER

A sample of the therapeutic agent-loaded catheter was placed in a 15 mL culture tube. 15 mL release buffer (Example 21) was added to the culture tube. The tube was sealed with a Teflon lined screw cap and was placed on a rotating wheel in a 37 °C oven. At various time point, the buffer is withdrawn from the culture tube and is replaced with fresh buffer. The withdrawn buffer is then analysed for the amount of therapeutic agent contained in this buffer solution.

20

## EXAMPLE 23

## HPLC ANALYSIS OF THERAPEUTIC AGENTS IN RELEASE BUFFER

The following chromatographic conditions were used to quantify the amount of the therapeutic agent in the release medium:

Therapeutic Agent	Column	Mobile Phase	Flow Rate (mL/min)	Run Time (min)	Injection Volume (uL)	Detection Wavelength (nm)
5-Fluorouracil	YMC ODS-AQ 150x4.6 mm, 5 um	PBS, pH 6.8	1	8	100	268
Doxorubicin	ACE 5 (V02-742) 150x4 mm	20% CAN, 26% Methanol, 54% PBS (pH 3.6)	1	10	10	254
Mitoxantrone	ACE 5 C18, 150x4 mm, 5 um	Phosphate buffer (pH 2.3)	1	4	10	658

## EXAMPLE 24

EFFECT OF PALMITIC ACID ON THE RELEASE PROFILE OF 5-FLUOROURACIL  
FROM A POLYURETHANE FILM

A 25%(w/v) Chronoflex AL 85A (CT Biomaterials) solution was  
5 prepared in THF. 50 mg 5-fluorouracil was weighed into each of 4 glass scintillation  
vials. Various amount of palmitic acid were added to each vial. 20 mL of the  
polyurethane solution was added to each scintillation vial. The samples were  
rotated at 37°C until the solids had all dissolved. Samples were then cast as films  
using a casting knife on a piece of release liner. Samples were air dried and then  
10 dried overnight under vacuum. A portion of these samples were used to perform  
release studies (Example 22). Figure 1 show the effect of palmitic acid on the  
release profile of 5-fluorouracil.

## EXAMPLE 25

15 RADIAL DIFFUSION ASSAY FOR TESTING DRUG IMPREGNATED CATHETERS  
AGAINST VARIOUS STRAINS OF BACTERIA

An overnight bacterial culture was diluted 1 to 5 to a final volume of 5  
mls fresh Mueller Hinton broth. Then 100 µl of the diluted bacterial culture were  
spread onto Mueller Hinton agar plates. A test material (e.g., catheter tubing), with  
20 or without drug, was placed on the center of the plate. For example, catheters are  
typically 1 cm long and about 3 mm in diameter (which may be made of  
polyurethane, silicon or other suitable material) and are loaded with drug either  
through dip-coating or through use of a drug-impregnated coating. The plates  
were incubated at 37°C for 16-18 hours. The zone of clearing around a test  
25 material was then measured (e.g., the distance from the catheter to where  
bacterial growth is inhibited), which indicated the degree of bacterial growth



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prevention. Various bacterial strains that may be tested include, but are not limited to, the following: *E. coli* C498 UB1005, *P. aeruginosa* H187, *S. aureus* C622 ATCC 25923, and *S. epidermidis* C621.

One cm polyurethane catheters coated with 5-fluorouracil at several concentrations (2.5 mg/mL and 5.0 mg/mL) were examined for their effect against *S. aureus*. The zone of inhibition around the catheters coated in a solution of 2.5 mg/mL 5-Fluorouracil and placed on Mueller Hinton agar plates as described above was 35x39 mm, and for the catheters coated in a solution of 5.0 mg/mL 5-Fluorouracil was 30x37 mm. Catheters without drug showed no zone of inhibition. These results demonstrate the efficacy of 5-fluorouracil coated on a catheter at inhibiting the growth of *S. aureus*.

From the foregoing, it will be appreciated that, although specific embodiments of the invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except as by the appended claims.

Throughout this specification and claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated integer or group of integers or steps but not the exclusion of any other integer or group of integers.

The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not, and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification relates.

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## THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A medical implant, comprising a fluoropyrimidine or an analogue thereof or a composition that comprises a fluoropyrimidine or an analogue thereof, wherein  
5 the implant comprises 0.1  $\mu\text{g}$  to 1 mg fluoropyrimidine or the analogue thereof per  $\text{mm}^2$  of surface area of the portion of the medical implant to which the fluoropyrimidine or the analogue thereof is applied or incorporated, and wherein the fluoropyrimidine or the analogue thereof is in an amount effective to reduce or inhibit infection associated with the medical implant.
- 10 2. The medical implant according to claim 1, wherein said implant is covered or coated in whole or in part with the composition comprising the fluoropyrimidine or the analogue thereof.
3. The medical implant according to claim 1 or claim 2, wherein the fluoropyrimidine or the analogue thereof is 5-fluorouracil.
- 15 4. The medical implant according to claim 1 or claim 2, wherein the fluoropyrimidine or the analogue thereof is floxuridine.
5. The medical implant according to any one of claims 1 to 4, wherein the implant comprises 10  $\mu\text{g}$  to 25 mg fluoropyrimidine or the analogue thereof.
6. The medical implant according to any one of claims 1 to 4, wherein the  
20 fluoropyrimidine is at a concentration less than 1% of the concentration typically used in a chemotherapeutic application.
7. The medical implant according to any one of claims 1 to 6, wherein the fluoropyrimidine or the analogue thereof is present in an amount effective to reduce or inhibit bacterial infection associated with the medical implant.

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8. The medical implant according to any one of claims 1 to 6, wherein the fluoropyrimidine or the analogue thereof is present in an amount effective to reduce or inhibit fungal growth on the medical implant.
9. The medical implant according to any one of claims 1 to 8, wherein the  
5 medical implant releases the fluoropyrimidine or the analogue thereof in a concentration effective to reduce or inhibit infection associated with the medical implant for a period ranging from 1-30 days.
10. The medical implant according to any one of claims 1 to 9, wherein the composition further comprises one or more polymers.
- 10 11. The medical implant according to claim 10, wherein the polymer is selected from polyurethanes, acrylic or methacrylic copolymers, cellulose or cellulose-derived polymers, and blends thereof.
12. The medical implant according to claim 11, wherein the polymer comprises  
15 polyurethane and nitrocellulose.
13. The medical implant of claim 2, wherein the implant is coated with a composition comprising polyurethane, nitrocellulose and 5-fluorouracil.
14. The medical implant of claim 2, wherein the implant is coated with a  
20 composition comprising polyurethane, nitrocellulose and floxuridine.
15. The medial implant according to any one of claims 1 to 14, wherein the medical implant is a catheter.
16. The medical implant according to claim 15, wherein the catheter is a vascular access catheter, a central venous catheter, a peripheral venous catheter,

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a vascular infusion catheter, a hemodialysis catheter, a peritoneal dialysis catheter, a chronic dwelling gastrointestinal catheter, a chronic dwelling genitourinary catheter, a urinary catheter, or an arterial line.

17. The medical implant of any one of claims 1 to 16, wherein the medical  
5 implant is composed of polyurethane.

18. The medical implant according to any one of claims 1 to 14, wherein the  
medical implant is selected from endotracheal tubes, tracheostomy tubes, feeding  
tubes, central nervous shunts, portosystemic shunts, shunts for ascites,  
tympanostomy tubes, drainage tubes, biliary tubes, conduits, nasogastric tubes,  
10 and percutaneous feeding tubes.

19. The medical implant according to any one of claims 1 to 14, wherein the  
medical implant is a pump, a venous port, a chronic infusion port, a vascular graft,  
a cardiac pacemaker, or an implantable cardioverter defibrillator.

20. The medical implant according to any one of claims 1 to 14, wherein the  
15 medical implant is an orthopedic implant, a urological implant, a prosthetic heart  
valve, an ocular implant, an ear, nose, or throat implant, a cardiac pacemaker  
lead, a neurological or neurosurgical device, a gastrointestinal device, a  
genitourinary device, an ophthalmological implant, a plastic surgery implant, or a  
catheter cuff.

20 21. The medical implant of any one of claims 1 to 20, wherein the medical  
implant further comprises a second anti-infective agent.

22. The medical implant of claim 21, wherein the second anti-infective agent is  
an antibiotic or an antifungal agent.

23. The medical implant of any one of claims 1 to 20, wherein the medical

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implant further comprises an antithrombotic agent or an antiplatelet agent.

24. The medical implant of claim 23, wherein the antithrombotic agent or the antiplatelet agent is selected from heparin, dextran sulphate, danaparoid, lepirudin, hirudin, AMP, adenosine, 2-chloroadenosine, aspirin, phenylbutazone, 5 indomethacin, meclofenamate, hydrochloroquine, dipyridamole, iloprost, ticlopidine, clopidogrel, abcixamab, eptifibatide, tirofiban, streptokinase, and tissue plasminogen activator.

25. A method for making the medical implant of any one of claims 1 to 24, 10 comprising covering, coating, combining, loading, associating, spraying or impregnating the medical implant with a fluoropyrimidine or an analogue thereof or a composition that comprises 0.1  $\mu\text{g}$  to 1 mg fluoropyrimidine or the analogue thereof per  $\text{mm}^2$  of surface area of the portion of the medical implant to which the fluoropyrimidine or the analogue thereof is applied or incorporated, wherein the 15 medical implant comprises the fluoropyrimidine or the analogue thereof in an amount effective to reduce or inhibit infection associated with the medical implant.

26. An anti-infective coating composition comprising polyurethane and a fluoropyrimidine or an analogue thereof.

27. The composition according to claim 26 further comprising acrylic or 20 methacrylic copolymer, cellulose or a cellulose-derived polymer, or a blend thereof.

28. The composition according to claim 26 further comprising nitrocellulose.

29. The composition according to any one of claims 26 to 28 wherein said fluoropyrimidine or the analogue thereof is 5-fluorouracil.

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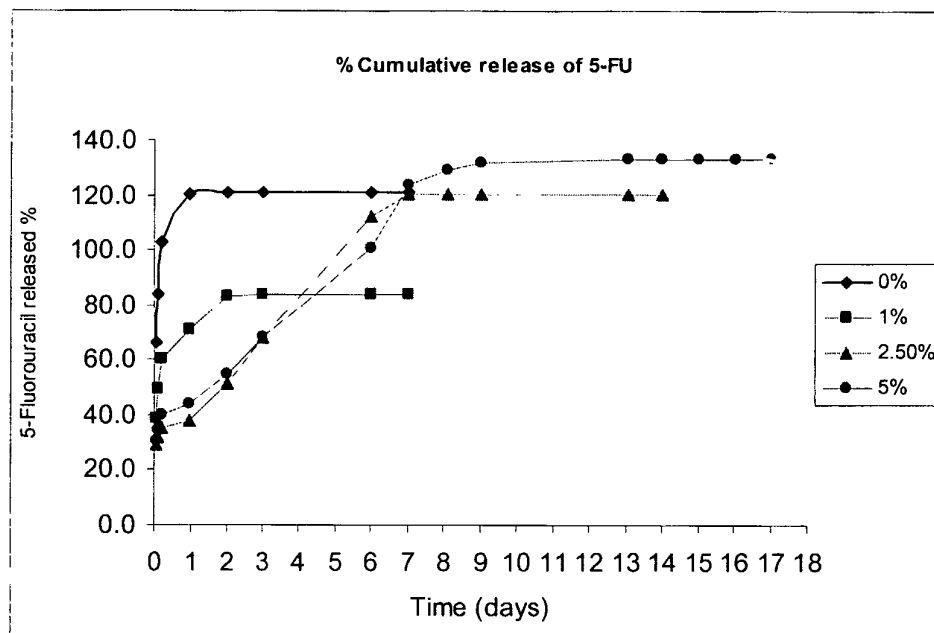
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30. The composition according to any one of claims 26 to 28 wherein said fluoropyrimidine or the analogue thereof is floxuridine.

5 31. A method of reducing or inhibiting infection associated with a medical implant, comprising introducing into a patient the medical implant of any one of claims 1 to 24.

10 32. A medical implant according to claim 1, substantially as hereinbefore described with reference to any one of the examples.

33. A composition according to claim 26, substantially as hereinbefore described with reference to any one of the examples.



Effect of palmitic acid on the release profile of 5-fluorouracil  
from a polyurethane sample

**Figure 1**