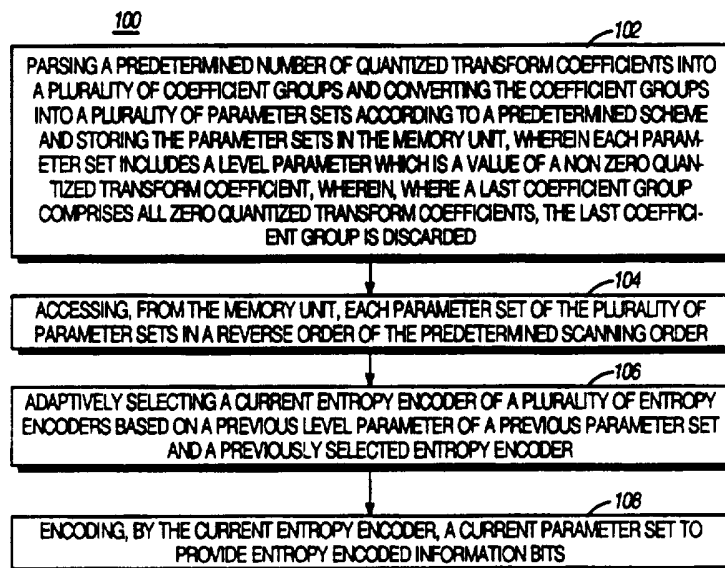




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US95/12106</p> <p>(22) International Filing Date: 25 September 1995 (25.09.95)</p> <p>(30) Priority Data: 08/347,639 1 December 1994 (01.12.94) US</p> <p>(71) Applicant: MOTOROLA INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</p> <p>(72) Inventor: AUYEUNG, Cheung; 645 Downey Street, Hoffman Estates, IL 60194 (US).</p> <p>(74) Agents: STOCKLEY, Darleen, J. et al.; Motorola Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</p>	<p>(81) Designated States: AU, CA, CN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i></p>	

(54) Title: METHOD AND APPARATUS FOR ADAPTIVE ENCODING/DECODING



## (57) Abstract

The present invention is a method (100) and apparatus (200) for adaptive entropy encoding/decoding of a plurality of quantized transform coefficients in a video/image compression system. For encoding, first, a predetermined number of quantized transform coefficients are received in a predetermined order, giving a generally decreasing average power. Then the quantized transform coefficients are parsed into a plurality of coefficient groups. When the last coefficient group comprises all zero quantized coefficients, it is discarded. The coefficient groups are then converted into a plurality of parameter sets in the predetermined order. A current parameter set is obtained from the parameter sets in the reverse order of the predetermined order. A current entropy encoder is selected adaptively based on the previously selected entropy encoder and the previous parameter set. The current parameter set is encoded by the current entropy encoder to provide entropy encoded information bits.

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## METHOD AND APPARATUS FOR ADAPTIVE ENCODING/DECODING

### Field of the Invention

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The invention relates generally to the field of video compression, and in particular, to entropy coding.

### Background of the Invention

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Video systems are known to include a plurality of communication devices and communication channels, which provide the communication medium for the communication devices. For example, the communication channel may be wireline connections or radio frequency, RF, carriers. To increase the efficiency of the video system, video that needs to be communicated over the communication medium is digitally compressed. Digital compression reduces the number of bits needed to represent the video while maintaining perceptual quality of the video. The reduction in bits allows more efficient use of channel bandwidth and reduces storage requirements. To achieve digital video compression, each communication device may include an encoder and a decoder. The encoder allows a communication device to compress video before transmission over a communication channel. The decoder enables the communication device to receive compressed video from a communication channel and render it visible. Communication devices that may use digital video compression include high definition television transmitters and receivers, cable television transmitters and receivers, video telephones, computers and portable radios.

Several emerging standards for digital video compression are being developed, including International Telecommunications Union (ITU), ITU-T Recommendation

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Organization/International Electrotechnical Committee (ISO/IEC), and International Standard MPEG-4. These standards are likely to use transform coding as part of the building blocks for good coding efficiency. Currently, the Expert's Group on Very Low Bitrate Visual Telephony, LBC, is considering using the discrete cosine transform for coding efficiency. The Moving Pictures Expert's Group, MPEG, is also likely to use the discrete cosine transform or other type of transform. To achieve compression, the transform coefficients are quantized and entropy coded.

Therefore, to maximize the compression capability, a need exists for a method and apparatus for entropy coding the quantized transform coefficients more efficiently than the emerged standard H.261, MPEG-1, and MPEG-2, especially for low bit rate applications.

#### Brief Description of the Drawings

FIG. 1 is a flow diagram of steps for one embodiment of a method for adaptive entropy encoding in accordance with the present invention.

FIG. 2 is a flow diagram of steps for one embodiment of a method for adaptive entropy decoding in accordance with the present invention.

FIG. 3 is a block diagram of one embodiment of an apparatus for adaptive entropy encoding/decoding in accordance with the present invention.

FIG. 4 is an exemplary prior art illustration of a method of scanning and transforming a two dimensional block to provide a one dimensional array of scanned coefficients.

FIG. 5 is a graphical depiction of the average power, in general, of the scanned coefficients with respect to the index; a distinction

between lower and higher power coefficient is made in accordance with the present invention.

5 Description of a Preferred Embodiment

The present invention is a method and an apparatus for adaptive entropy encoding/decoding of a plurality of quantized transform coefficients in a video/image compression system. For encoding, first, a predetermined number of quantized transform coefficients are received in a predetermined order giving a generally decreasing average power. Then the quantized transform coefficients are parsed into a plurality of coefficient groups. When a last coefficient group comprises all zero quantized coefficients, the last coefficient group is discarded. The coefficient groups are then converted into a plurality of parameter sets in the predetermined order. A current parameter set is obtained from the parameter sets in the reverse order of the predetermined order. A current entropy encoder is selected adaptively based on the previously selected entropy encoder and the previous parameter set. The current parameter set is encoded by the current entropy encoder to provide entropy encoded information bits.

This invention may be used with a compression algorithm that processes a picture into two-dimensional blocks of quantized transform coefficients with predetermined transform sizes. Each block is then scanned into a one-dimensional array in a predetermined order giving generally decreasing average power.

30 The one-dimensional array of quantized transform coefficients are parsed into a sequence of coefficient groups as shown by the following example. For example, consider an array having 64 coefficients, only five of which are non-zero:

$$\{0, 0, 1, 0, -2, 3, 0, 1, 0, 0, 1, 0, 0, \dots\} \quad (1)$$

35 After parsing, the coefficient groups are

$$\{0, 0, 1\}, \{0, -2\}, \{3\}, \{0,1\}, \{0, 0, 1\}. \quad (2)$$

In general, the number of coefficient groups is the same as the number of non-zero coefficients since the last group  $\{0, 0, \dots\}$ , which consists of all zero coefficients, is discarded. Each coefficient  
 5 group has the form

$$\{0, \dots, 0, 1\} \quad (3)$$

which consists of a sequence of zero coefficients followed by a non-zero coefficient. The coefficient groups are also ordered in the same manner as the coefficients.

10

Each coefficient group  $\{0, \dots, 0, 1\}$  is then converted into a parameter set

$$\{r, l, e\} \quad (4)$$

where  $r$  is the run, which may be equal to zero, defined as the  
 15 number of zero coefficients in the coefficient group,  $l$  is the level, and  $e$  is the end-of-block indicator which indicates whether the parameter set is the first set of the coefficient groups. Thus, where the parameter set is the first set of the coefficient groups,  $e$  is set to one, and where the parameter set is other than the first set of  
 20 coefficient groups,  $e$  is set to zero. For example, the coefficient group  $\{0, 0, 1\}$  becomes the parameter set  $\{2, 1, 1\}$ , and the coefficient group  $\{3\}$  becomes the parameter set  $\{0, 3, 0\}$ .

The present invention can be more fully described with  
 25 reference to FIGs. 1-4. FIG. 1, numeral 100, is a flow diagram of steps for one embodiment of a method for adaptive entropy encoding in accordance with the present invention. A plurality of quantized transform coefficients are scanned in a predetermined scanning order giving a generally decreasing average power and the plurality  
 30 of quantized transform coefficients are stored in a memory unit. The first step in the encoding method is parsing a predetermined number of quantized transform coefficients into a plurality of coefficient groups and converting the coefficient groups into a

plurality of parameter sets according to a predetermined scheme and storing the parameter sets in the memory unit (102). Each parameter set includes a level parameter which is a value of a non zero quantized transform coefficient. When a last coefficient group  
5 comprises all zero quantized transform coefficients, the last coefficient group is discarded. The second step in the encoding method is accessing, from the memory unit, each parameter set of the plurality of parameter sets in a reverse order of the predetermined scanning order (104). The third step in the encoding  
10 method is adaptively selecting a current entropy encoder of a plurality of entropy encoders based on a previous level parameter of a previous parameter set and a previously selected entropy encoder (106). The fourth and final step in the encoding method is encoding, by the current entropy encoder, a current parameter set to provide  
15 entropy encoded information bits. The parameter sets and stored in the memory unit in the form  $\{r, l, e\}$ . The encoding process is repeated until the end-of-block parameter of the final parameter set indicates that the current parameter set is the last parameter set to be encoded.

20

FIG. 2, numeral 200, is a flow diagram of steps for one embodiment of a method for adaptive entropy decoding in accordance with the present invention. The first step in the decoding method is decoding, by a current entropy decoder, the entropy encoded  
25 information bits to provide a decoded current parameter set (202). The second step in the decoding method is adaptively selecting a next entropy decoder of a plurality of entropy decoders based on a decoded current level parameter of the decoded current parameter set and a previously selected entropy decoder (204). The third step  
30 in the decoding method is storing, into the memory unit, each parameter set of a plurality of decoded parameter sets in the reverse order of the predetermined scanning order (206). The fourth and final step in the decoding method is converting the decoded parameter sets into a number of decoded quantized transform  
35 coefficients according to the predetermined scheme in the predetermined scanning order and storing the decoded quantized

transform coefficients in the memory unit (208). Where the number of decoded quantized transform coefficients is less than the predetermined number of quantized transform coefficients, zero-valued decoded quantized transform coefficients will be appended.

5 The decoding process is repeated until the end-of-block parameter of the current parameter set indicates that the current parameter set is the last parameter set to be decoded.

The current entropy encoder for encoding the current parameter set is adaptively chosen from a sequence of entropy encoders, and the current entropy decoder for decoding the entropy encoded information bits is adaptively chosen from a sequence of entropy decoders corresponding to the sequence of entropy encoders. The entropy encoders/decoders can be based on variable length codes or arithmetic codes. Let  $n \geq 2$ , and  $E_1, E_2, \dots, E_n$  be a sequence of

10 entropy encoders/decoders, and  $T_1, T_2, \dots, T_{n-1}$  be the corresponding sequence of positive thresholds of increasing magnitude. The sequence of entropy encoders/decoders is arranged in the order of ability to code increasing levels. A more efficient coding scheme

15 for a particular encoder/decoder is selected based on the information that the levels to be coded by the particular encoder/decoder do not exceed a corresponding threshold. When  $n=2$ , a preferred  $T_1$  is one for the emerging H.26P standard. The adaptation is performed as follows.

20

25 Initially,  $E_1$  is used to encode/decode the first parameter set. Let  $E_{k-1}$  be the entropy encoder/decoder used to encode/decode the previous parameter set,  $(r, l, e)$ . If  $l > T_{k-1}$ , then  $E_k$  is used to encode/decode the current parameter set, otherwise  $E_{k-1}$  is used to

30 encode/decode the current parameter set.

FIG. 3, numeral 300, is a block diagram of an apparatus for adaptive entropy encoding/decoding in accordance with the present invention. The apparatus comprises a first memory unit (302), a parameter set determiner (304), an order reverser (306), an encoder controller (308), and a plurality of entropy encoders (310). When

35



decoding is performed, the apparatus further comprises a plurality of entropy decoders (312), a decoder controller (314), a second memory unit (316), and a parameter set converter (318).

5 For encoding, the quantized transform coefficients (320) are received and stored in the first memory unit (302). The parameter set determiner (304) accesses the quantized coefficients in the memory unit (302). The parameter set determiner (304) parses and converts the quantized transform coefficients (320) into a plurality  
10 of parameter sets (322) in a predetermined order of generally increasing average power and stores the parameter sets in the memory unit (302). The order reverser (306) accesses the parameter sets (322) in the memory unit (302) in the reverse order of the predetermined order. The order reverser (306) sends the level  
15 of the current parameter set (324) to the encoder controller (308). The encoder controller (308) adaptively selects a current entropy encoder from the plurality of entropy encoder (310) based on the previous entropy encoder and the previous level. The encoder controller (308) then switches the current parameter set (326) to  
20 the current entropy encoder and switches the output of the current entropy encoder to form the entropy encoded information bits (328).

For decoding, the decoder controller (314) switches the entropy encoded information bits (328) to the current entropy  
25 decoder in the plurality of entropy decoders (312). The current entropy decoder decodes the entropy encoded information bits (328) and generates the current decoded parameter set (330). The decoder controller (314) then adaptively selects the next entropy decoder from the plurality of entropy decoders (312), corresponding to the  
30 set of entropy encoders (310), based on the current entropy decoder and the current level of the current decoded parameter set (330). The current parameter set (330) is stored in a second memory unit (316). The parameter set converter (318) accesses the second memory unit (316) to convert the parameter sets back into quantized  
35 transform coefficients and store the quantized transform coefficients in the second memory unit (316). The decoded

quantized transform coefficients (332) are then output from the second memory unit (316).

The present invention is based on the observation that the  
5 quantized transform coefficients scanned in the order of decreasing average power have different amount of average power in different locations of the scan. Therefore different entropy encoders should be used adaptively to code the quantized transform coefficients in different location of the scan.

10

FIG. 4, numeral 400, is an exemplary prior art illustration of a method of scanning and transforming a two dimensional block to provide a one dimensional array of scanned coefficients. A two-dimensional block of 64 quantized discrete cosine transform  
15 coefficients is illustrated by the two-dimensional grid (402) in increasing horizontal frequency (406) from left to right and in increasing vertical frequency (408) from top to bottom. The quantized transform coefficients are scanned in a zig-zag order (404) as described in the MPEG-1 and H.261 standard to form a one-  
20 dimensional array of 64 quantized coefficients.

FIG. 5, numeral 500, is a graphical depiction of the average power, in general, of the scanned coefficients, where the graph of the average power of the scanned coefficients is separated into  
25 higher (506) and lower (508) power coefficient groupings. A distinction between lower and higher power coefficient is made in accordance with the present invention. In general the average power (502) of the zig-zag scanned coefficients in 400 decreases as a function of its index (504). The index is defined as the order in  
30 which a coefficient was scanned. In this example, the zig-zag scanned coefficient are divided into the higher power coefficients (506), and the lower power coefficients (508) by a threshold (510). Because of different statistical properties of the higher power coefficients (506) and the lower power coefficients (508), one  
35 entropy encoder is used to code the higher power coefficients (506),

and another entropy encoder is used to code the lower power coefficients (508).

5 The present invention codes the quantized transform coefficients with less number of bits than the coding method used in MPEG-1, MPEG-2, and H.261. The present invention adapts to each block of quantized transform coefficients while the coding method in MPEG-1, MPEG-2, and H.261 does not.

## CLAIMS

We claim:

5 1. A method for adaptive entropy encoding/decoding of a plurality of quantized transform coefficients in a video compression system, wherein the plurality of quantized transform coefficients are scanned in a predetermined scanning order giving a generally decreasing average power and the plurality of quantized transform  
10 coefficients are stored in a memory unit, the method comprising at least one of:

1A) encoding by:

15 1A1) parsing a predetermined number of quantized transform coefficients into a plurality of coefficient groups and converting the coefficient groups into a plurality of parameter sets according to a predetermined scheme and storing the parameter sets in the memory unit, wherein each parameter set includes a level  
20 parameter which is a value of a non zero quantized transform coefficient, wherein, where a last coefficient group comprises all zero quantized transform coefficients, the last coefficient group is discarded;

25 1A2) accessing, from the memory unit, each parameter set of the plurality of parameter sets in a reverse order of the predetermined scanning order;

30 1A3) adaptively selecting a current entropy encoder of a plurality of entropy encoders based on a previous level parameter of a previous parameter set and a previously selected entropy encoder; and

35 1A4) encoding, by the current entropy encoder, a current parameter set to provide entropy encoded information bits; and

1B) decoding by:

5 1B1) decoding, by a current entropy decoder, the entropy encoded information bits to provide a decoded current parameter set;

10 1B2) adaptively selecting a next entropy decoder of a plurality of entropy decoders based on a decoded current level parameter of the decoded current parameter set and a previously selected entropy decoder;

15 1B3) storing, into the memory unit, each parameter set of a plurality of decoded parameter sets in the reverse order of the predetermined scanning order; and

20 1B4) converting the decoded parameter sets into a number of decoded quantized transform coefficients according to the predetermined scheme in the predetermined scanning order and storing the decoded quantized transform coefficients in the memory unit, wherein, where the number of decoded quantized transform coefficients is less than the predetermined number of quantized transform coefficients, zero-valued decoded quantized transform coefficients will be appended.

2. The method of claim 1 wherein the predetermined scheme forms coefficient groups by grouping consecutive zero-valued quantized transform coefficients up to and including a non-zero quantized transform coefficient, and where selected, wherein each  
5 parameter set further comprises a run parameter which is a number of consecutive zero-valued quantized transform coefficients prior to the non zero quantized transform coefficient.

3. The method of claim 1, wherein at least one of 3A-3D:  
10 3A) each parameter set further comprises an end-of-block parameter which signifies a final parameter set to be encoded in the plurality of parameter sets;  
3B) each entropy encoder is a variable length encoder;  
3C) each entropy encoder is an arithmetic encoder; and  
15 3D) the plurality of entropy encoders is two entropy encoders.

4. The method of claim 1, wherein the plurality of entropy encoders are in a predetermined order, and where selected, wherein  
20 a next entropy encoder is selected when a current level exceeds a predetermined threshold.

5. A apparatus for adaptive entropy encoding/decoding of a plurality of quantized transform coefficients in a video compression system, wherein the plurality of quantized transform coefficients are scanned in a predetermined scanning order giving a generally decreasing average power and the plurality of quantized transform coefficients are stored in a memory unit, the apparatus comprising at least one of:

5A) an encoding apparatus comprising:

5A1) a first memory unit, operably coupled to receive the plurality of quantized transform coefficients and to a parameter set determiner, for storing the quantized transform coefficients;

5A2) the parameter set determiner, operably coupled to the memory unit, for parsing a predetermined number of quantized transform coefficients into a plurality of coefficient groups and converting the coefficient groups into a plurality of parameter sets according to a predetermined scheme and storing the parameter sets in the memory unit, wherein each parameter set includes a level parameter which is a value of a non zero quantized transform coefficient, wherein, where a last coefficient group comprises all zero quantized transform coefficients, the last coefficient group is discarded;

5A3) an order reverser, operably coupled to the memory unit, for accessing each parameter set of the plurality of parameter sets in reverse order of the predetermined scanning order and providing the parameter sets to a encoder controller and a plurality of entropy encoders;

5A4) the encoder controller, operably coupled to the order reverser, for choosing a current entropy encoder of the plurality of entropy encoders based on a previous level parameter of a previous parameter set; and

5A5) the plurality of entropy encoders, operably coupled to the encoder controller and the order reverser, for encoding a current parameter set to provide entropy encoded information bits; and

5

5B) a decoding apparatus comprising:

5B1) a plurality of entropy decoders, operably coupled to receive the entropy encoded information bits from the plurality of entropy encoders, for decoding the entropy encoded information bits to provide a decoded current parameter set;

10

5B2) a decoder controller, operably coupled to the plurality of entropy decoders, for adaptively selecting a next entropy decoder of the plurality of entropy decoders based on a decoded current level parameter of the decoded current parameter set and a previously selected entropy decoder;

15

5B3) a second memory unit, operably coupled to the plurality of entropy decoders, for storing each parameter set of a plurality of decoded parameter sets in the reverse of the predetermined scanning order; and

20

5B4) a parameter set converter, operably coupled to the second memory unit, for converting the decoded parameter sets into a number of decoded quantized transform coefficients according to the predetermined scheme in the predetermined scanning order and storing the decoded quantized transform coefficients in the memory unit, wherein, where the number of decoded quantized transform coefficients is less than the predetermined number of quantized transform coefficients, zero-valued decoded quantized transform coefficients will be appended.

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6. The apparatus of claim 5 wherein at least one of 6A-6F:

6A) the predetermined scheme forms coefficient groups by grouping consecutive zero-valued quantized transform coefficients up to and including a non-zero quantized transform coefficient;

5 6B) each parameter set further comprises a run parameter which is a number of zero-valued quantized transform coefficients prior to the non zero quantized transform coefficient;

10 6C) each parameter set further comprises an end-of-block parameter which signifies a final parameter set in the plurality of parameter sets to be encoded;

6D) each entropy encoder is a variable length encoder;

6E) each entropy encoder is an arithmetic encoder; and

6F) the plurality of entropy encoders is two entropy encoders.

15

7. The apparatus of claim 5, wherein the plurality of entropy encoders are in a predetermined order.

20 8. The apparatus of claim 7, wherein a next entropy encoder is chosen when a current level exceeds a predetermined threshold.

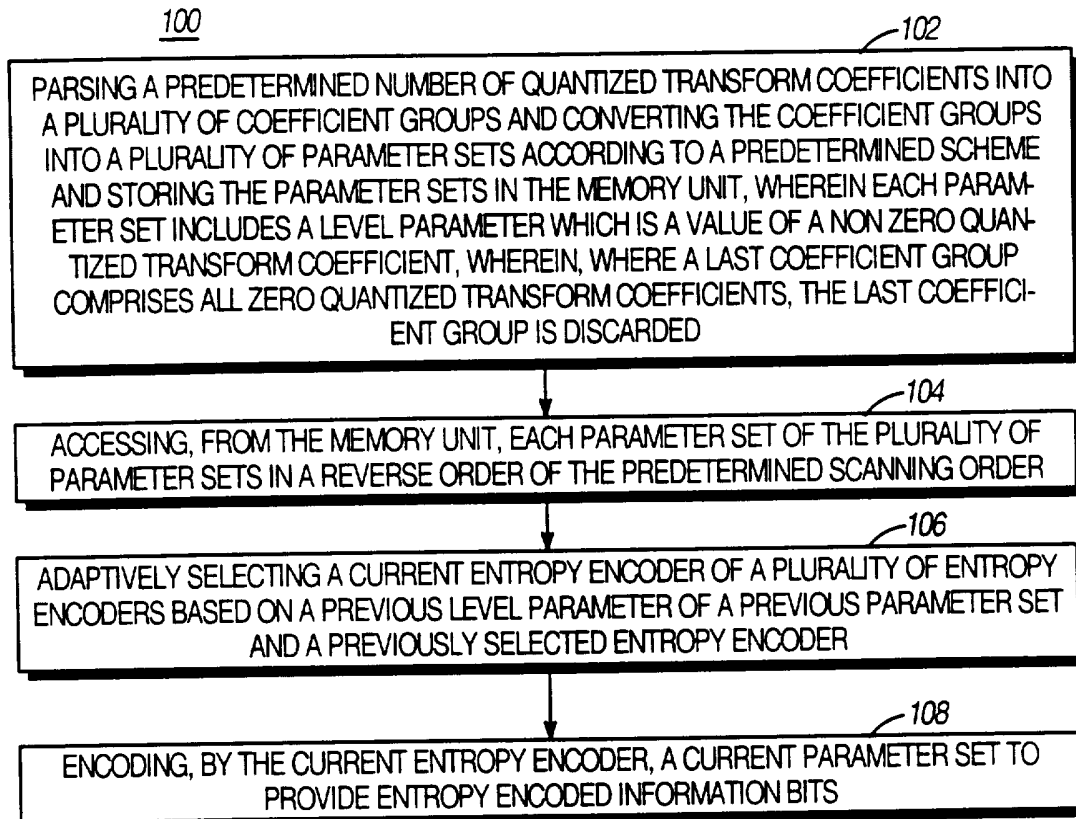


FIG.1

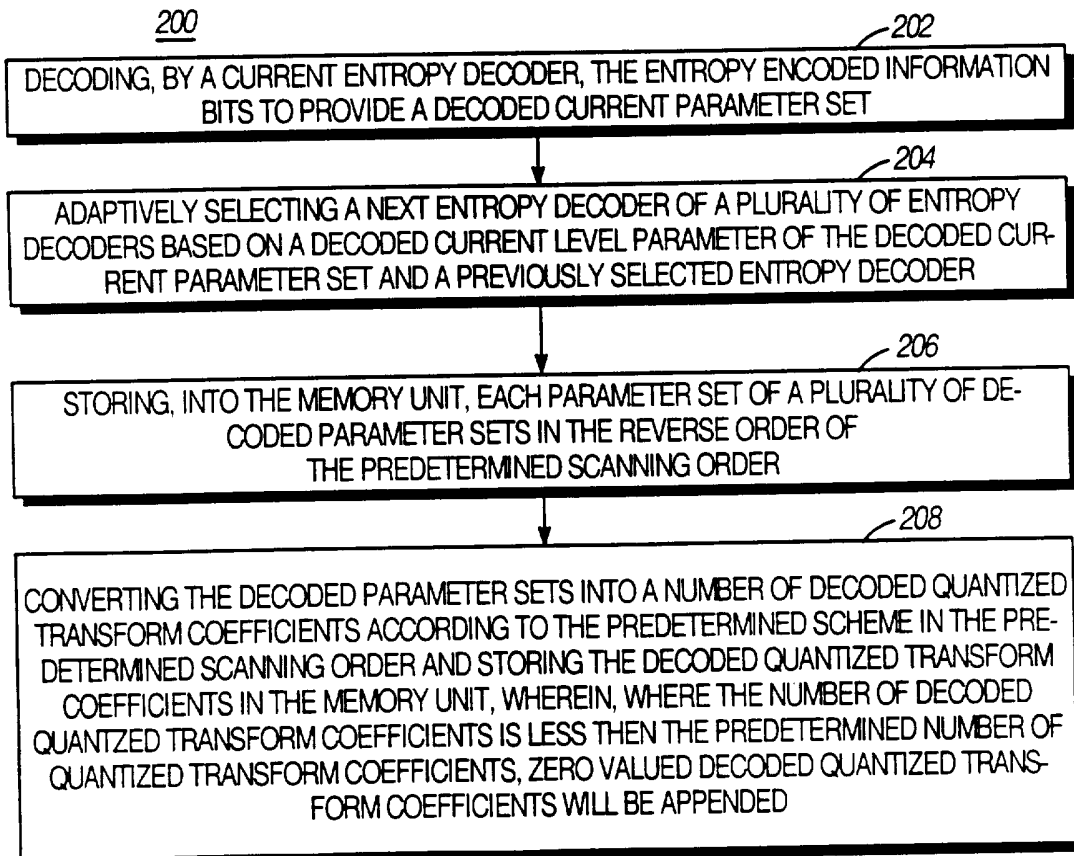


FIG.2

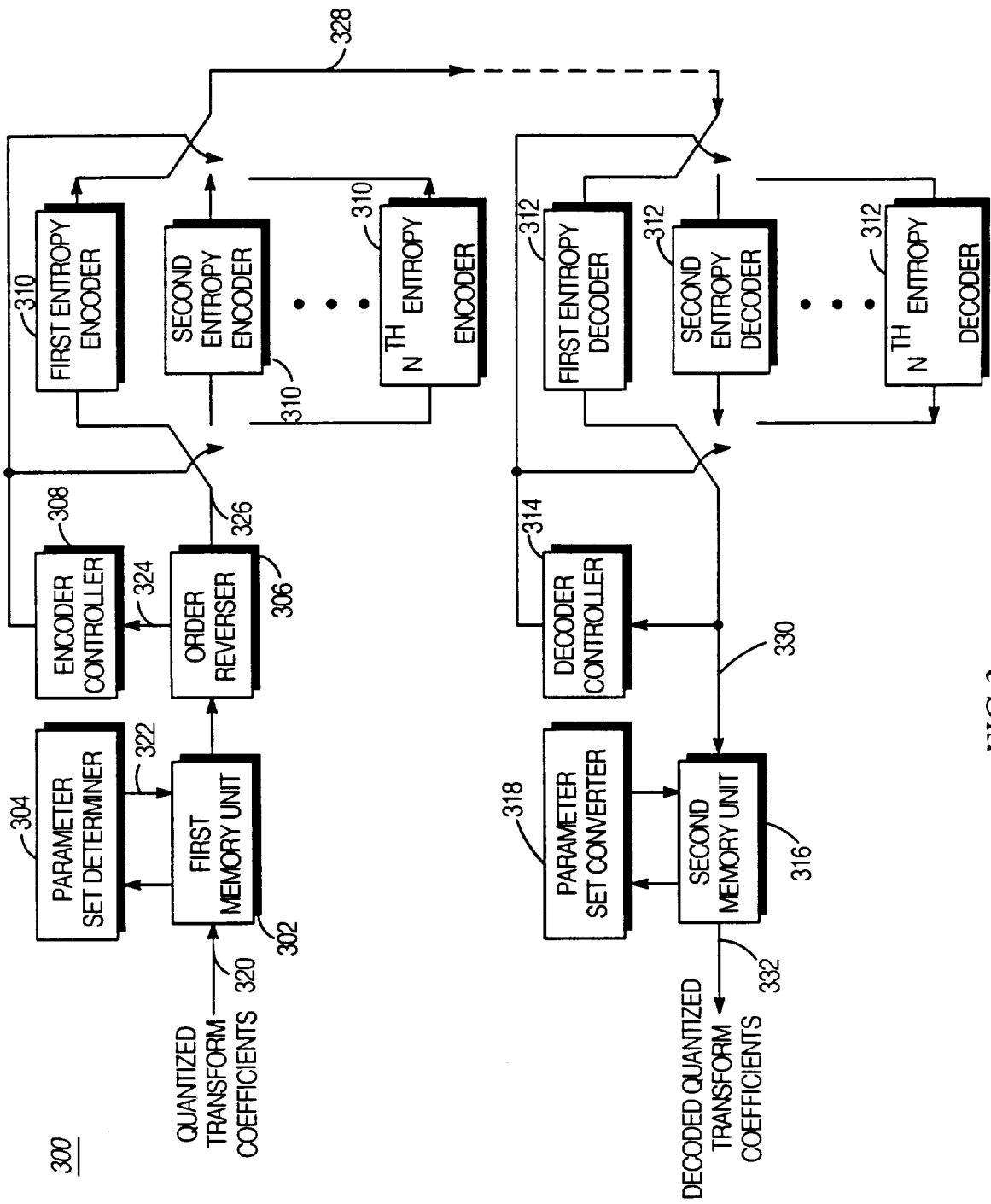


FIG.3

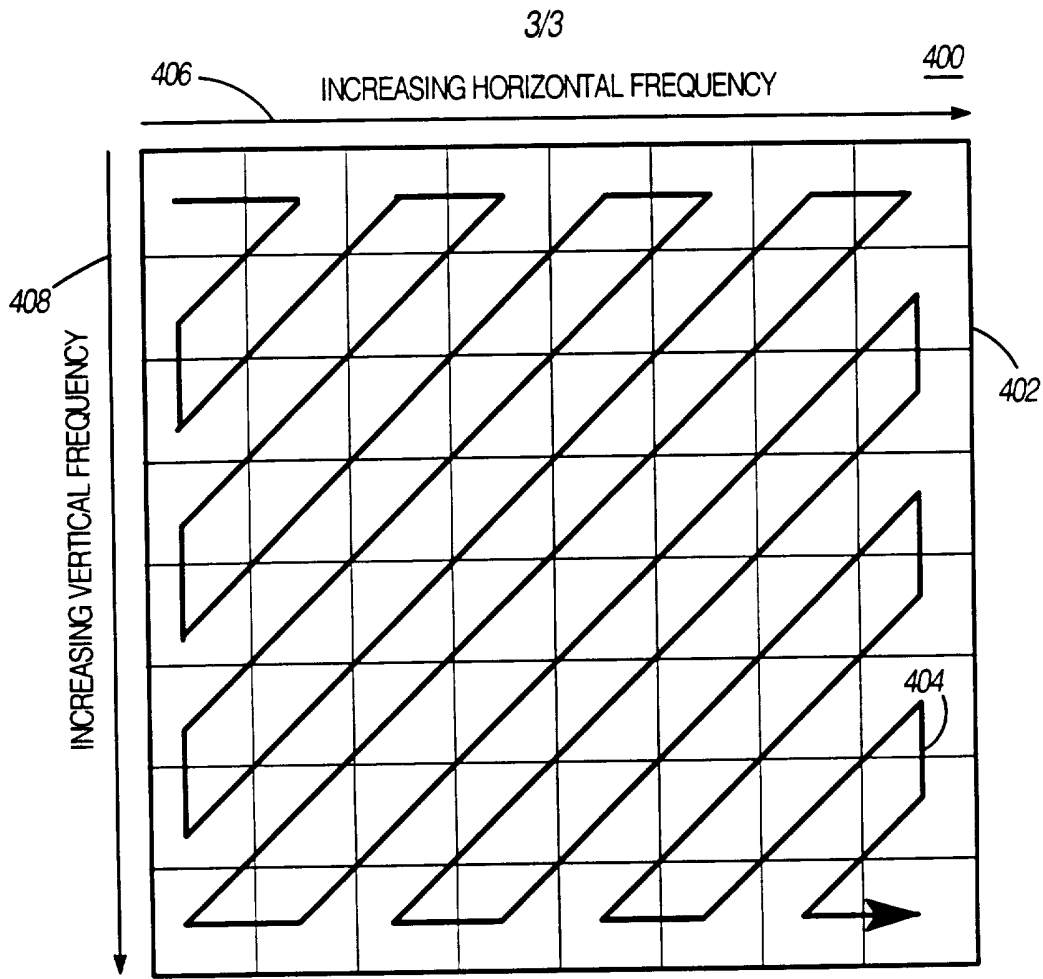
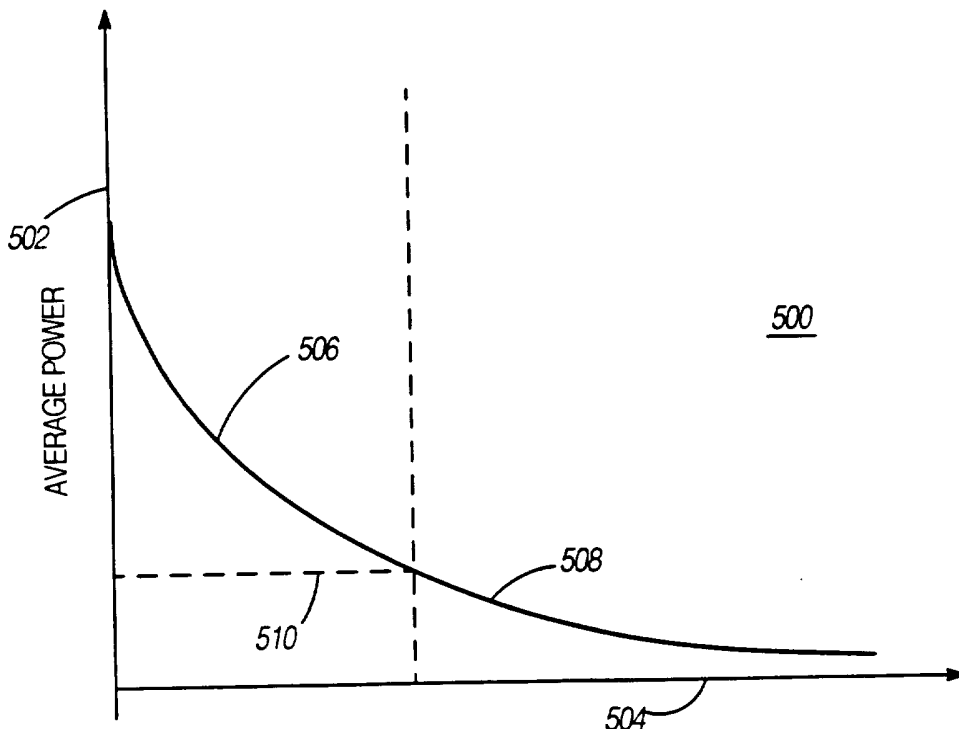


FIG.4

— PRIOR ART —



INDEX  
FIG.5

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/12106

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6<sup>1</sup> : H04N 7/26, 7/30

US CL : 348/403, 405, 404, 419, 420

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 348/384, 395, 403, 404, 405, 419, 420

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,162,908 (KIM) 10 November 1992, column 1, lines 65-68; column 2, lines 1-13; column 4, lines 5-25; column 5, lines 45-63; column 6, lines 1-26.	1-8
A	US, A, 4,633,296 (CHAM ET AL) 30 December 1986.	1-8
A	US, A, 5,282,031 (KIM) 25 January 1994.	1-8
A	US, A, 5,253,055 (CIVANLAR ET AL) 20 October 1993.	1-8
A, P	US, A, 5,371,549 (PARK) 06 December 1994.	1-8
A, P	US, A, 5,396,291 (SANPEI) 12 March 1995.	1-8

Further documents are listed in the continuation of Box C.  See patent family annex.

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| <ul style="list-style-type: none"> <li>* Special categories of cited documents:</li> <li>*A* document defining the general state of the art which is not considered to be part of particular relevance</li> <li>*E* earlier document published on or after the international filing date</li> <li>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>*O* document referring to an oral disclosure, use, exhibition or other means</li> <li>*P* document published prior to the international filing date but later than the priority date claimed</li> </ul> | <ul style="list-style-type: none"> <li>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</li> <li>*Z* document member of the same patent family</li> </ul> |
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Authorized officer  
*Tommy P. Chin*  
TOMMY P. CHIN  
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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/12106

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 5,038,209 (HANG) 06 August 1991.	1-8
A	US, A, 5,272,528 (JURI ET AL) 12 December 1993.	1-8
A	US, A, 5,144,423 (KNAUER ET AL) 01 September 1992.	1-8