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## ABSTRACT



The invention concerns a carbonies's copy paper and its various aspects.

Thus it provides a pressure-sensitive colour transfer sheet containing electron donating substantially cluster free microcapsules of colorformer and a colour accepting sheet containing electron accepting colour developer which is a water soluble graft copolymer having backbone of carboxymethyl cellulose/gum arabic and side chains of polyacrylic or polymeth acrylic acid.

The carbonless copy paper color transfer system comprises the pressure sensitive colour transfer sheet and one or more of said colour accepting sheets.

The invention also covers the process of preparing colour former microcapsules by dissolving acid treated gelatin in warm water, emulsifying alkyl napthalene containing crystal violet lactone in the gelatin solution adding one or more of thickening agents to the emulsion and diluting with warm water to a pli of 5.0 - 5.5 which is adjusted to 10 with dilute aqueous alkali and adding cellulose powder, starch and partially hydrolysed polyvinyl alcohol.

The invention also proposes method of preparing a colour developer which comprises dispersing silica and kaolin in water to which p-phenyl phenol formaldehyde, oxidised aqueous starch solution and SBR latex are added and mixed.

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This invention relates to carbonles copy paper.

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Carbonless copy paper is really a pression. 14 sure sensitive colour transfer system having color transfer and colour developer sheets.

This invention also includes process of preparation of colour former microcapsules for the carbonless copy paper and the microcapsules so produced.

The present invention further includes a process of preparation of colour developer for the colour transfer system used in carbonless copy paper and the colour developer.

The invention also encompasses process of making the carbonless copy paper, color developer sheet and color developer system.

Carbonless copy paper usually consists of two or more sheets of coated paper. For example, when three sheets of paper are used, the top sheet has a coated back(CB) which is formed by coating in the back or underside of the top sheet with a composition containing microcapsules whichis prepared by dispersing an oil containing a colorless electron donating chromogenic color former in a hydrophilic colloid solution. The middle sheet is coated on its front and back (CFB). The coatingon the front or upperside contains anelectron accepting color developer, and the coating on the back or underside is the same as the microcapsule-containing coating on the sheet. The bottom sheetis coated on its upperside or front (CF) with the same composition that is on the front of the midle sheet. Detailed disclosures of carbonless copy papers Patents 3,554,781; U.S. included i n 4,371,634 4,337,968; 4,352,855; 4,154,462; and 4,4411,451.

In order to form an image with carbonless
copy paper, the system utilizes the color
forming reaction that takes place between
the electron donating chromogenic material

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or color former in the coated back and the malectron acc pting acidic reactant material or color developer in the scated front (CF). The reactants are isolated from each other by microencapsulating one of them until an image is desired. When pressure is applied to the carbonless copy paper, the microcapsules are ruptured and a reaction occurs between the color former and color developer to provide the desired image. In the preferred type of carbonless copy paper, the color former is the reactant that is encapsulated by being dissolved in oil and microencapsulated prior to being used in the coating on the coated back.

A preferred method of making microcapsules containing color former reactants is the complex coacervation procedure described in U.S. Patent 2,800,457. In this procedure an oil containing a color former is dispersed in two colloid materials that have opposite charges, and at least one is gellable. Coacervation of the hydrophilic colloid solution around each oil droplet is caused by dilution and adjusting the pH to the acidic range. The coacervate around each oil droplet is gelled by cooling and hardened by addition of a suitable hardening agent, and the pH of the mixture is adjusted to the alkaline range.

Carbonless copy papers that are produced from multi-nuclear and clustered microcapsules are subject to premature rupturing of the microcapsules during handling or during post-coating conversion processes, especially in the printing of business forms. Also, such copy papers have very poor humidity and heat resistance, and those copy papers have only very limited utility in hot, humid climates. Much effort has been expended to solve these problems by preparing coacervation microcapsules that are mononuclear

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and cluster-free. For example, maleic anhydride 1 2

copolymers or carboxymethyl cellulose have been incorpo

into the walls of the microcapsules, but these prior 3

art procedures have not been successful, although reaction 4

conditions were strictly maintained during the 5

coacervation procedure. 6

Also, there are unsolved problems with the coated 7 fronts that are used in prior art carbonless copy papers. 8 Usually an acidic clay or an acidic polymer is used in 9 the coated front. Images on acidic clay-coated paper are 10 not sufficiently permanent and they have only limited 11 resistance to moisture. Images on acidic polymer-coated 12 paper are time and temperature dependent and frequently 13 too slow. Better results are sometimes obtained by using 14 a combination of acidic clay and acidic polymer, but image 15 formation is still too slow, especially at low temperatures 16 such as at 5°C.

#### SUMMARY OF THE INVENTION 18

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It is an object of this invention to provide an 19 improved carbonless copy paper that does not have the 20 problems and disadvantages discussed above. 21

It is another object of this invention to 22 provide an improved carbonless copy paper having the 23 color former in microcapsules that are mononuclear and 24 substantially cluster-free and are resistant to premature 25 rupturing, especially at high humidity and high 26 temperature. 27

It is a further object of this invention to 28 provide an improved carbonless copy paper having a faster 29 image formation capability, especially at low 30 temperatures. 31

#### DETAILED DESCRIPTION 32

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In accordance with this invention, we have NOTARY found that an improved carbonless copy paper that NO. 141/80 has an improved resistance to smudging at high and humidity can be prepared by dispersing an oil containing an electron donating chromogenic color former in a hydrophilic colloid solution to form microcapsules that are mononuclear and cluster-free. The hydrophilic colloid solution contains a water soluble graft copolymer having a backbone of carboxymethyl cellulose or gum arabic and side chains of polyacrylic acid or polymethacrylic acid, said side chains comprising from 5 to 10 per cent by weight of the copolymer.

The hydrophilic colloid solution is coated on one side of a sheet of paper to form a pressure-sensitive color transfer sheet, and the color transfer sheet is placed in contact with an electron accepting color developer that has been coated on a second sheet of paper. The resulting system is a pressure-sensitive carbonless copy paper that is resistant to smudging at high temperature and high humidity.

We have also found that the image response time of the second sheet of paper containing the color developer can be improved, particularly at low temperatures, such as 5°C, by incorporating pectin or sulfated starch in the acidic coating containing the color developer.

The coacervation procedure that is described in U.S. Patent 2,800,457 is suitable for preparing the microcapsules containing color forming material that are used in preparing the carbonless copy paper of this invention. The preferred hydrophilic or colloid material that is used is an acid-treated gelatin; and in order to obtain mononuclear, cluster-free microcapsules, a graft copolymer is used with the acid-treated gelatin.

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The graft copolymer contains carboxymeth cellulose (CMC) or gum arabic (GA) as a backbone and polyacrylic acid or polymethacrylic acid in the side chains. The graft copolymers are carboxymethyl cellulose with polyacrylic acid (CMC-PAA) or polymethacrylic acid (CMC-PMA), and gum arabic with polyacrylic acid (GA-PAA) or polymethacrylic acid

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(GA-PMA).

The graft copolymers that are used contain from 5% to 10% by weight of polyacrylic acid (PAA) or polymethacrylic acid (PMA). When less than 5% by weight is used, the benefits of our invention are not achieved; and when more than 10% by weight is used, the microcapsules have been found to agglomerate.

The amount of copolymer that is used, when expressed in relation to the amount of gelatin or other hydrophilic colloid material, is within the range of 1/8 to 1/4 or 12.5% to 25% by weight.

The graft copolymers that are used can be prepared by a free radical polymerization technique. Free radicals are created on the carboxymethyl cellulose or gum arabic backbone by higher valence metallic ions, and the acrylic acid or methacrylic acid monomers are polymerized mainly on the chain of the substrate polymer. The graft copolymers can then be purified by the solvent-nonsolvent technique.

The carboxymethyl cellulose that is used to form the graft copolymers has an average degree of polymerisation (DP) of 200-500 and a degree of substitution (DS) of 0.6 to 0.8. Commercial grades of gum arabic, acrylic acid, and methacrylic acid are also used.

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Chromogenic materials that can be used as color formers are crystal violet lactone, behind leucomethylene blue, malachite green lactone, rhodamine B-lactone, and fluoran derivatives, either alone or in combination.

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Conventional hydrophobic materials are used, either alone or in combination. Typical examples are castor oil, alkyldiphenyl, biphenyl derivatives, naphthalene derivatives, alkylbenzene phthalic acid esters, and kerosene.

Coacervate hardening agents are also used, either alone or in combination. Suitable agents are formaldehyde, glyoxal, and glutaraldehyde. From 3-30 parts by weight of hardening agent per 100 parts of hydrophilic colloid material are used.

The preferred color developers are acidic polymers of thermoplastic materials having good solubility in oil. A preferred polymer is p-substituted phenol-formaldehyde novolac resin. The resin can be used in combination with an absorbent such as kaolin, attapulgite, and precipitated silica. In the polymer p-chloro phenol, p-octyl phenol, or p-tertiary butyl phenol can be used in place of p-phenyl phenol.

Binders that can be used with the color developers are polyviny! alcohol, styrene-butadiene rubber (SBR) latex, carboxymethyl cellulose, hydroxyethyl cellulose, oxidized starch, and polyvinyl acetate emulsion. The most preferred binders are oxidized starch and styrene-butadiene rubber latex.

Anionic, cationic, and nonionic emulsifying agents can also be used with the color developer.

Preferred emulsifiers are teepol, turkey red oil,

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tetrasodium pyrophosphate, cetyltrimethyl ammodium 1 chloride, and polyoxyethylene dodecyl sulfonic acido, 141/80 2 Suitable protective agents for the color developer, 3 are pectin, pectic acid, dialdehyde starch, sulfated41 4 starch, and ureaformaldehyde polymers. Sulfated starch 5 and pectin are preferred. 6 The amount of pectin or sulfated starch that 7 is used is within the range of 3 to 8% by weight of 8 the color developer, for example, p-phenyl phenol 9 formaldehyde resin. When sulfated starch is used, it 10 is preferred that the degree of esterification be 11 within the range of 0.55 to 0.65. 12 The following examples illustrate our invention. 13 In these examples, parts and percentages are by 14 weight unless otherwise indicated. 15 Preparation of Color Former Microcapsules: 16 Example 1 17 100 parts acid treated gelatin is dissolved in 1200 parts water at 45°C and 600 parts KMC-113 (alkylnapthalene) 18 19 containing 12 parts crystal violet lactone is emulsified 20 into the gelatin solution to a particle size of 5-8 $\mu$ . 21 To the emulsion, 1000 parts 2.5% CMC solution (DP=300 22 & DS=0.6) in water is added gradually under mild 23 stirring. Then 3000 parts warm water are added and 24 stirred for another 15 minutes. The pH of the mixture 25 is adjusted to 5.0-5.5 with 10% sodium hydroxide in 26 water. The mixture is then cooled externally under 27 constant stirring and 50 parts of formaldehyde (37%) 28 is added to it. The pH of the system is then adjusted 29 to 10.0 with 10% sodium hydroxide solution. 30

Example II

32 Example I is repeated by using a CMC (DP=300 & DS=0.6)-

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1 graft copolymer containing 10% PAA, instead o

2 alone.

3 Example III

- 4 Example 1 is repeated by using a OMC (DP=300 & DS=0.6)-
- 5 graft copolymer containing 10% PMA, instead of using
- 6 CMC alone.
- 7 Example IV
- 8 Example I is repeated by using 100 parts of gum arabic
- 9 (GA) in place of carboxymethyl cellulose (CMC).
- 10 Example V
- 11 Example I is repeated by using a GA-graft copolymer
- 12 containing 10% PAA in place of CMC alone.
- 13 Example VI
- 14 Example I is repeated by using GA-graft copolymer
- 15 containing 10% PMA in place of CMC alone.
- To each of the above capsule dispersions,
- 17 150 parts cellulose powder, 75 parts starch, and 200
- 18 parts 10% partially hydrolysed polyvinyl alcohol are
- 19 added and the final composition coated at  $5 \text{ g/m}^2$
- 20 onto a 50 g/m $^2$  base paper.
- 21 The coated papers with microcapsules (Examples
- 22 I-VI), i.e. the CB sheets, are tested by using the
- 23 following procedures and the results are presented
- 24 in the comparative analytical table (Table I).
- 25 Test Procedures:
- 26 (i) Capsule nature and cluster
- 27 This test is done with a microscope of 1500x
- 28 magnification by taking capsule suspensions

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1 2 3 4 5 6 7 8 9	(ii) (iii)	onto a slide and counting the number of NOTARY NOTARY clusters per 100 capsules under the microscope of the capsule suspensions are kept in an air oven at 150° ± 2°C for testing heat resistance and in a humidifier at 50° ± 0.5°C and 98% RH for testing the humidity resistance.  Humidity resistance under weight This is considered to be a measure of the smudge resistance of the carbonless paper.	
11		Coated papers from the capsule suspensions (CB)	
12 13		are placed on the CF coated papers face to	
13		face, under weight in a humidifier at 50° ±	
15		0.5°C and 98% RH.	
16 17 18 19 20 21	(iv)	Hardening time This is the time required for dropwise addition of a sodium hydroxide solution in water to make the pH of the prematured capsule suspension at 10°C reach the range of 10.0-10.5.	
22		TABLE I	
23		Comparative Analytical Tabel (CB)	
24 25	Ехатр	Cluster/Heat Humidity *Humidity Hardening e Capsule Shape 100 Resis- Resis- Resistance Time Capsules tance tance under Weight(minutes)	
26 27		2 3 4 5 6 7	
27 28 29	 I	Mixture of 15 ± 5 4 hrs. 1/2 hr. 1/4 Kg/in <sup>2</sup> @ 40 ± 5  Multi-nuclear at ⊕ 50°C 50°C & 98% RH  & Mononuclear 150°C & 98% RH for 1/4 hr.	-
30 31 32	11	Mononuclear 1 7 hrs. 1 hr. 1/2 Kg/in <sup>2</sup> 15 ± 5 at @50°C 50°C & 98% RH	
33 34 35 36	111	150°C & 98°ANH for 1/2 hr.  Nononuclear 1 7 hrs 1 hr. 1/2 Kg/in²m 15 ± 5  at @50°C 50°C & 98°NH  150°C & 98°NH for 1/2 hr.	

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		11 N 24-N
1	IV Multi-nuclear 25 ± 5	3 hrs 1/2 hr. 1/4 Kg/in <sup>2</sup> @ 45 15
2		at @50°C 50°C & 98% RI J R. K. ROY Z
3		150°C & 98% RI for 1/4 hr. HO; 141/80 *
4	V Mononuclear 5 ± 2	6 hrs. 1 hr. 1/2 Kg/in <sup>2</sup> (20 10)
5		at @ 50°C 50°C & 98% FII
6		150°C & 98% № for 1/2 hr.
7	VI Mononuclear 5 ± 2	6 hrs. 1 hr. $1/2 \text{ Kg/in}^2 \oplus 20 \pm 5$
8		at @50°C 50°C & 98% 刊 1
9		150°C & 98%431 for 1/2 hr.
10		has been done by keeping both weight
11	and time as varia	ables.
12		erved that for Examples II, III, V, and
13		e is almost four times (giving equal
14	emphasis to weigh	t and time) that of Examples I and IV.
1 5	The faller	ving observations are found with the CB
15		the microcapsules used for making
16 17	· ·	ording to the process of the invention
18	(Examples II, III	-
1 77	(Examples 11, 111	, v, and vij.
19	(i) The averag	ge capsule size is 5-8 μ.
20	• •	actical purposes, the microcapsules
21	· ·	iclear and cluster-free when compared
22		obtained in Examples I and IV.
23		ty resistance of the CB sheets is
24	relatively	
25	-	ty resistance under weight, or in
26	· ·	is the smudge resistance of the microcapsule
27		is far better than that as obtained
28	in Example	es I and IV.
29	(v) The coated	i papers are not affected when stored
30	at lower t	emperatures like 5°C.
31	(vi) Up to seve	en clear copies on 50 g/m² base paper
32	are obtair	ned.
33	Р	reparation of Color Developer:
34		Example A
35		ated silica and 40 parts kaolin are
36	dispersed in 180	parts water under stirring. To the

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dispersion, 100 parts 25% p-phenyl phenol jormal dehyde resin, 100 parts 20% oxidized starch solution in 2 water, and 20 parts 50% SBR latex are added and mixe 3 thoroughly in a ball mill. 4 Example B 5 60 parts precipitated silica and 40 parts kaolin 6 are dispersed in 180 parts water containing 5 parts 7 pectin under stirring. To the dispersion, 100 parts of 8 25% p-phenyl phenol formaldehyde resin, 100 parts 9 20% oxidized starch solution in water, and 20 parts 10 50% SBR latex are added and mixed thoroughly in a 11 ball mill. 12 Example C 1.3 Example B is repeated by using 5 parts sulfated starch 14 instead of 5 parts pectin. 15 The final compositions from each of these 16 examples are coated at 5  $g/m^2$  onto a 50  $g/m^2$  tase 17 paper. 18 These coated papers (Examples A, B and C), 19 i.e. the CF sheets, are tested by using the following 20 procedures and the results are presented in the 21 comparative analytical table (Table II). 22 Testing Procedures: 23 Humidity resistance (i) 24 This is considered to be a measure of the 25 life of the CF paper in an acceptable active 26 condition. Coated papers are kept in a humidifier at 50°C 27 28  $\pm$  0.5°C and 98% RH for 4 hours. 29

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ed under vater. es on CF ed by co benzene acetone. es on CF under ar source away fr		re of re let
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The following observations are found with heloTARY ì CF coated papers and the images developed there in 2 according to the process of the invention (Examples) 50 3 B and C): 4 Image formation is relatively quick at 30°C 5 (i) as well as at 5°C and much faster than that 6 as obtained in Example A. 7 The coated papers have a relatively good (ii) moisture resistance. The image stability is good which is demonstrated 9 (iii) 10 by the fact that the image has good resistance 11 to water, solvents, ultraviolet light, and 12 sunlight. 13

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### What is claimed is:

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A pressure-sensitive color transfer 2 containing an electron donating chromogenic color 3 former for use in combination with a second sheet 4 containing an electron accepting color developer, 5 said color former being dispersed in a hydrophilic 6 7 colloid solution to form substantially cluster-free 8 microcapsules, the said colloid solution containing a water soluble graft copolymer having a backbone of 9 carboxymethyl cellulose or gum arabic and side chains 10 of polyacrylic acid or polymethacrylic acid, said 11 side chains comprising from 5 to 10 per cent by weight 12 13 of said copolymer.

- A pressure-sensitive color transfer sheet according to claim I wherein the hydrophilic colloid solution comprises gelatin and the graft copolymer.
- 17 3. A pressure-sensitive color transfer sheet
  18 according to claim 2 wherein the amount of graft
  19 copolymer is within the range of 1/8 to 1/4 by weight
  20 of the amount of gelatin.
  - comprising a pressure-sensitive color transfer system comprising a pressure-sensitive color transfer sheet having one side coated with a layer containing an electron donating chromogenic color former, said layer being in contact with a second layer coated on a second sheet, said second layer containing an electron accepting color developer, said color former being dispersed in a hydrophilic colloid solution to form substantially cluster-free microcapsules, the said colloid solution containing a water soluble graft copolymer having a backbone of carboxymethyl cellulose or gum arabic and side chains of polyacrylic acid or polymethacrylic acid, said side chains comprising from 5 to 10 per cent by weight of said copolymer.

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Acarbonless copy paper colour transfer 5. 1 system comprising a pressure sensitive colour. 2 transfer sheet referred to as top sheet, having. 3 side coated with a layer containing an electron 4 donating chromogenic colour former, a plurality 5 of further set of sheets, a second layer coated 6 on upper face of each sheet of said further set 7 of sheets, said second layer on each such upper 8 face containing an electron accepting colour 9 developer, said color former being dispersed in a 10 hydrophilic colloid solution to form substantially 11 cluster-free micro-capsules, the said colloid solution 12 containing a water soluble graft copolymer having a 13 backbone of carboxymethyl cellulose or gum arabic 14 and side chains of polyacrylic acid or polymethacrylic 15 acid, said side chains comprising from 5 to 10 per cent 16 by weight of said copolymer, bottom face of each sheet 17 with the exception, if desired, of bottom most sheet, 18 in said further set of sheets, being coated as the 19 bottom face of the top sheet, said second layer being 20 in contact with the said first layer coated on one 21 face of the sheet above it. 22

- A carbonless copy paper according to claim 4 23 or 5 wherein the hydrophilic colloid solution comprises 24 gelatin and the graft copolymer. 25
- A carbonless copy paper according to claim 6 26 wherein the amount of graft copolymer is within the 27 range of 1/8 to 1/4 by weight of the amount of gelatin. 28
- A carbonless copy paper according to claim 4 29 8. or 5 wherein the color developer contains pectin 30
- or sulfated starch. 31
- A carbonless copy paper according to claim 4 9. 32 or 5 wherein the color developer is p-phenyl 33

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formaldehyde resin. 34

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1 10. A color developer sheet for use in a

2 copy paper color transfer syst having a mice

3 capsulated electron donating chromogenic color former,

4 said sheet containing pectin or sulfated starch

5 to improve the image response time.

6 11. A color developer sheet according to claim 10

7 containing p-phenyl phenol formaldehyde resin and

8 pectin or sulfated starch.

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9 12. Process of preparing colour former microcapsules

for use in carbonless copy paper comprising dissolving

ll acid treated gelatin in warm water, emulsifying

12 alkylnapthalene containing crystal violet lactone

13 in said gelatin solution, adding dilute aqueous

14 solution of one or more of a thickening agent such

as CAC, GA, graft copolymers of CAC and GA containing

16 PAA, PMA to said emulsion under mild stirring and

17 adding to it large quantities of warm water, stirring,

adjusting the pH thereof to 5.0 - 5.5 with aquous

alkali, adding 50 parts of formaldelyde to it, adjusting

the pH to 10 with dilute acqueous alkali, to the

21 dispersion so obtained adding cellulose powder,

22 starch and partially hydrolysed polyvinyl alcohol.

26 14. Process for preparing color developer for

27 use in carbonless copy paper comprising dispersing

28 silica and kaolin in water under stirring, adding

29 to the dispersion so obtained p-phenyl phenol

30 formaldehyde, oxidised starch solution in water

31 and SBR latex and mixing thoroughly, e.g. in a ball

32 mill.

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- 1 15. Process as claimed in claim 14 in which water
- 2 in which silica and kaolin are dispersed, contains
- 3 pectin or sulfated starch.