

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
5 August 2004 (05.08.2004)

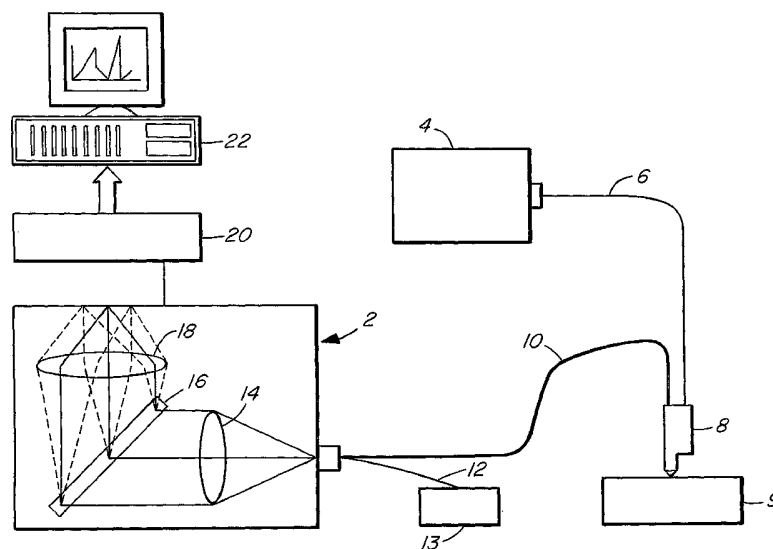
PCT

(10) International Publication Number
WO 2004/064627 A1

- (51) International Patent Classification⁷: **A61B 5/00**, G01N 21/65
- (21) International Application Number: PCT/CA2004/000062
- (22) International Filing Date: 21 January 2004 (21.01.2004)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/441,566 21 January 2003 (21.01.2003) US
- (71) Applicant (for all designated States except US): **SPECTRAVU MEDICAL INC.** [CA/CA]; 601-W. Broadway, Suite 604, Vancouver, British Columbia V5Z 4C2 (CA).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): **ZENG, Haishan** [CA/CA]; 1389 E. 37th Avenue, Vancouver, British Columbia V5W 1G5 (CA).
- (74) Agents: **CLARK, Neil S.** et al.; Fetherstonhaugh & Co., Box 11560, Vancouver Centre, 650 West Georgia Street, Suite 2200, Vancouver, British Columbia V6B 4N8 (CA).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:**
- with international search report
 - before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: IN VIVO RAMAN ENDOSCOPIC PROBE AND METHODS OF USE



(57) Abstract: An apparatus for in vivo, endoscopic laser Raman spectroscopy probe and methods for its use are described. The probe comprises a fiber bundle assembly small enough to fit through an endoscope instrument channel, a novel combination of special coatings to the fiber bundle assembly, including a short-pass filter on the illumination fiber and a long-pass filter on the collection fiber bundle, a novel filter adapter comprising collimating lenses, focusing lenses, a band-pass filter, and a notch filter, and a round-to-parabolic linear array fiber bundle. The apparatus further comprises a laser to deliver illumination light and a spectrometer to analyze Raman-scattered light from a sample. The analysis of Raman spectra from in vivo measurements can discover molecular and structural changes associated with neoplastic transformations and lead to early diagnosis and treatment of cancer.

WO 2004/064627 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

5 In Vivo Raman Endoscopic Probe And Methods Of Use

BACKGROUND OF THE INVENTION

10 Lung cancer is the leading cause of death from cancer in North America, and it has the second most common cancer incidence among both men and women. One in 11 Canadian men will develop lung cancer, and 1 in 12 will die from this condition, while one in 19 Canadian women will develop lung cancer, and 1 in 22 will die from this disease. Lung cancer also results in the most lost years of life due to cancer death in both men and women. The best outcome of lung cancer treatment is achieved
15 when the lesion is discovered in the pre-invasive stage, which is also commonly referred as carcinoma in situ (CIS).

Early and accurate diagnosis of lung cancer offers a better chance of cure, results in the use of less radical treatment methods, and reduces the cost of treatment. The five-year survival for all stages of lung cancer is only 11-14 percent, while for
20 Stage I it is 42 to 47 percent. Under optimal conditions, survival can be even higher. However, with respect to currently available lung imaging techniques, lung cancer is generally asymptomatic until it has reached an advanced stage, when the treatment outcome is poor. In particular, very early lung cancers are difficult to detect and localize by conventional white-light endoscopy since these cancers are only a few cell
25 layers thick and up to a few millimeters in surface diameter, producing insufficient changes to make them visible under white light illumination. In the lung, only about 30 percent of CIS lesions are visible by conventional white-light bronchoscopy.

In the past decade, tissue autofluorescence imaging has been successfully used to improve the early detection of lung cancers. However, fluorescence endoscopy
30 technology (developed at B.C. Cancer Agency and also referred as "LIFE" technology) has less optimal specificity for lung cancer detection (66 percent for LIFE

compared to 90 percent for conventional white light bronchoscopy) although it improved the sensitivity from 25 percent for white light bronchoscope to 67 percent for LIFE. There is still much room for improvement in the diagnostic accuracy.

5 Recently, we have performed Raman spectroscopy measurements on fresh biopsy bronchial tissue samples and found significant spectral differences between normal and malignant lung tissues, demonstrating the potential of Raman spectroscopy for in vivo lung cancer detection.

In contrast to fluorescence technology, Laser-Raman spectroscopy probes molecular vibrations and gives very specific, fingerprint-like spectral features and has high accuracy for differentiation of malignant tissues from benign tissues. Raman spectroscopy can also be used to identify the structural and compositional differences on proteins and genetic materials between malignant lung cancers, their pre-cursors, and normal lung tissues. This knowledge will lead to better understanding, on the biochemical bases, of the evolution process of lung cancers from benign to malignancy. The biochemical information obtained from in vivo Raman measurements may also be helpful for predicting the malignancy potential of pre-invasive and invasive lung cancers. The objective of this invention is to develop a miniaturized laser-Raman probe, which can go through the instrument channel of a bronchoscope to perform Raman spectroscopy measurements of the bronchial tree in vivo. A further objective is to enable the application of Raman spectroscopy for in vivo lung cancer detection and evaluation, therefore, improve the specificity of lung cancer detection and the overall detection accuracy when combined with fluorescence endoscopy technology.

25 When monochromatic light strikes a sample, almost all the observed light is scattered elastically (Rayleigh scattering) with no change in energy (or frequency). A very small portion of the scattered light, about 1 in 10^8 , is inelastically scattered (Raman scattering) with a corresponding change in frequency. The difference between the incident and scattered frequencies corresponds to an excitation of the molecular system, most often excitation of vibrational modes. By measuring the intensity of the scattered photons as a function of the frequency difference, a Raman

spectrum is obtained. Raman peaks are typically narrow (a few wavenumbers) and in many cases can be attributed to the vibration of specific chemical bonds (or normal mode dominated by the vibration of a single functional group) in a molecule. As such, it is a “fingerprint” for the presence of various molecular species and can be used for both qualitative identification and quantitative determination.

In recent years, Raman spectroscopy has been investigated for in vitro diagnosis of malignancies in various organs (e.g., brain, breast, bladder, colon, larynx, cervix, and skin). These studies show that features of tissue Raman spectra can be related to the molecular and structural changes associated with neoplastic transformations. A sensitivity and specificity of 82 percent and 92 percent respectively for differentiating between cervical precancerous and other tissues in vitro have been reported. Mahadevan-Jansen, Raman spectroscopy for the detection of cancers and precancers, *J BIOMED. OPT.* 1, 31-70, 1996.

In vivo NIR Raman measurements have also been reported in the cervix, colon, esophagus, and the skin. Mahadevan-Jansen, Development of a fiber optic probe to measure NIR Raman spectra of cervical tissue in vivo, *PHOTOCHEM. PHOTOBIOLOG.* 68: 427-431, 1998; Shim, In vivo near-infrared Raman spectroscopy: demonstration of feasibility during clinical gastrointestinal endoscopy, *PHOTOCHEM. PHOTOBIOLOG.* 72: 146-150, 2000; Huang, Rapid near-infrared Raman spectroscopy system for real-time in vivo skin measurements, *OPT. LETT.* 26: 1782-1784, 2001; Utzinger, Near-infrared Raman spectroscopy for in vivo detection of cervical precancers, *APPL. SPECTROSC.* 55:955-959, 2001.

Shim et al. have shown differences for in vivo Raman spectra among normal, precancerous, and cancerous esophageal and gastric tissues. Raman spectroscopy of lung tissues, however, has only been reported on formalin-fixed parenchyma lung diseases, which provide very limited guidance to in vivo applications due to the adverse effect of formalin fixation on tissue Raman spectra. Kaminaka, Near-infrared Raman spectroscopy of human lung tissues: possibility of molecular-level cancer diagnosis, *J. RAMAN SPECTROSC.* 32:139-141, 2001; Kaminaka, Near-infrared multichannel Raman spectroscopy toward real-time in vivo cancer diagnosis, *J.*

RAMAN SPECTROSC. 33:498–502, 2002; Shim, The effects of ex vivo handling procedures on the near-infrared Raman spectra of normal mammalian tissues, PHOTOCHEM. PHOTOBIOLOG. 63: 662-671, 1996.

The development of an in vivo tissue Raman probe is technically challenging
5 due to the weak Raman signal of tissue, interference from tissue fluorescence and spectral contamination caused by the background Raman and fluorescence signals generated in the fiber itself. Most probes published in literature and commercial products are larger than 10 mm in diameter, and therefore are not suitable for endoscopy applications. The instrument channel of commonly-used bronchoscopes
10 are 2.2 mm (for example, Olympus BF-20, BF-40).

To date, the only endoscopic probe utilized for in vivo measurements is the Enviva Raman probe manufactured by Visionex, Inc., Atlanta, GA. However, the company was dissolved two years ago; therefore, the probe is no longer commercially available. That probe consisted of a central delivery fiber (400 μm core diameter)
15 surrounded by seven collection fibers (300 μm core diameter). It incorporated LP filters in the collection fibers and a BP filter in the delivery fiber. The main disadvantages of that probe are that (1) only seven collection fibers were used, which cannot fill the full vertical height of the CCD sensor in the spectrometer; therefore, it was unable to gain the maximum sensitivity; and (2) the size of the collection fibers
20 was big (300 μm), leading to poor spectral resolution ($> 20 \text{ cm}^{-1}$).

BRIEF SUMMARY OF THE INVENTION

The present invention comprises a novel endoscopic Raman probe. In the preferred embodiment, the probe comprises 58 collection fibers (100 μm core diameter), which will fill the CCD full vertical height and achieve spectral resolution
25 of 8 cm^{-1} . However, a probe comprising a smaller or larger number of collection fibers will be appropriate for use with a spectrometer having a different sized CCD. The novel probe will also preserve the round-to-parabolic linear array configuration of the Raman probe for in vivo skin measurements, as described in United States Patent No. 6,486,948. The probe of the present invention therefore, will achieve

similar superior S/N ratios and a short integration time of a few seconds or sub-seconds for each Raman spectral measurement.

The present invention utilizes the state-of-art fiber optic technology, filtering technology, laser machining technology, and the existing rapid Raman spectroscopy system, to build a miniaturized laser-Raman probe for use in an endoscope. In the preferred embodiment, the probe will pass through the instrument channel of a bronchoscope and acquire Raman spectra from the bronchial tree in vivo. In other embodiments, the probe will be used with other endoscopes. In other embodiments, the laser-Raman probe will be integrated with a spectrometer to perform Raman spectroscopy for analysis of the in vivo tissue under examination.

The preferred embodiment of the invention comprises:

1. A special probing fiber bundle assembly of about 65 cm long and 1.9 mm in diameter to pass through the endoscope instrument channel and to be in contact with the tissue to provide illumination and to collect Raman scattering photons;
2. Special coatings applied to the distal end of the probing fiber bundle assembly to produce a short-pass (SP) filter on the single illumination fiber and a long-pass (LP) filter on the collection fiber bundle;
3. A novel filter adapter to accommodate a high quality band-pass (BP) filter to pass through only the laser light transmitted through the illumination fiber and also to accommodate a notch filter to block the back-scattered laser light from passing through the collection fiber bundle;
4. A round-to-parabolic linear array fiber bundle using laser-machining technology to relay the collected Raman signal to the Raman spectrometer for spectral analysis. This special fiber bundle serves to correct the spectrograph image aberration and improve signal to noise (S/N) ratio;

5. Integration of the probing fiber bundle assembly, the filter adapter, the illumination fiber, and the round-to-parabolic linear array fiber bundle to form the endoscopic laser-Raman probe.

This embodiment can be used in an endoscope. In another embodiment, the invention comprises the probe described above used in conjunction with a spectrometer capable of performing Raman spectral analysis.

BRIEF DESCRIPTION OF DRAWINGS

The foregoing and other objects, features, and advantages of the invention will be apparent from the following descriptions of preferred embodiments and drawings illustrating principals of the invention and its uses.

Figure 1 is a schematic block diagram of the rapid Raman spectrometer system for in vivo skin measurements.

Figure 2 is a schematic block diagram of the probe of the rapid Raman spectrometer system of Figure 1.

Figure 3a is an image of a 100 μm slit on a CCD through a spectrograph, showing image aberration.

Figure 3b is an image of the same 100 μm slit on a CCD through a spectrograph as in Figure 3a, corrected compared to the image of Figure 3a.

Figure 4 is a graphical representation for the curve observed in Figure 3a.

Figure 5a is Raman spectra of healthy palm skin in three acquisition modes at a CCD exposure time of 0.05 seconds: spectrum a is from complete software binning mode; spectrum b is from combined hardware and software binning mode; and spectrum c is from complete hardware binning mode.

Figure 5b is Raman spectra of healthy palm skin in three acquisition modes at a CCD exposure time of 0.5 seconds: spectrum a is from complete software binning

mode; spectrum b is from combined hardware and software binning mode; and spectrum c is from complete hardware binning mode.

Figure 6 is mean Raman spectra of normal and malignant (adenocarcinoma and squamous cell carcinoma) bronchial tissues.

5 Figure 7 is a graph of the scatter plot of the ratio of intensities of Raman spectra at 1445 cm^{-1} to that at 1655 cm^{-1} with respect to diagnosis.

Figure 8 is schematic diagram of the in vivo Raman endoscopic probe of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

10 We have successfully built a rapid Raman spectroscopy system, which can obtain a Raman spectrum from in vivo skin in less than one second. This system is described and claimed in United States Patent No. 6,486,948, the disclosure of which is incorporated by reference. Figure 1 shows the block diagram of the system. It consists of an external cavity-stabilized diode laser 4 (785 nm, 300 mW; Model 8530,
15 SDL), a transmissive imaging spectrograph 2 (HoloSpec-f/2.2-NIR, Kaiser), a NIR-optimized, back-illuminated, deep-depletion, CCD detector 20 (LN/ CCD-1024EHRB, Princeton Instruments), and a specially-designed Raman probe 8. The laser 4 is coupled to the Raman probe 8 via a 200- μm core-diameter fiber 6. The CCD 20 consists of 1024 X 256 pixels ($27\mu\text{m}$ X $27\mu\text{m}$) and allowed vertical binning
20 for improved detection sensitivity. The whole system was packed onto a movable cart for outpatient clinical data acquisition.

This Raman probe 8 was designed to maximize the collection of tissue Raman signals while reducing the interference of Rayleigh scattered light, fiber fluorescence, and silica Raman signals. The probe 8 as illustrated in Figure 2 consisted of two
25 arms. An illumination arm 6 incorporated a collimating lens 42, band-pass filter ($785\pm 2.5\text{ nm}$) 34, and focusing lens 24, delivering the laser light onto the skin surface 9 with a spot size of 3.5 mm. A collection arm 10 with collimating lens 15 and refocusing lens 17 and a holographic notch plus filter 30 ($\text{OD} > 6.0$ at 785 nm, Kaiser) was used for collecting Raman emissions. To enhance the detection of the inherently

weak Raman signals, we packed as many fibers 44 into the fiber bundle 10 as allowed by the CCD height (6.9 mm). The fiber bundle 10 consists of 58×100-μm fibers arranged in a circular shape at the input end 46 of the probe 8 and a linear array at the output end 48 which was connected to the spectrograph's entrance. Another 50-μm fiber was placed at the centre of the output linear array and split out of the bundle to terminate with a SMA connector for wavelength calibration. At the circular end 46 the fibers were packed into a 1.6 mm diameter area, which also defined the measurement spot size at the skin surface 9.

It is well known that the image of a straight slit through any spectrograph utilizing a plane grating has a curved line shape that is usually parabolic. This image aberration arises from the fact that rays from different positions along the length of the slit are incident on the grating at varying degrees of obliqueness. For spectrographs with short focal lengths, this obliqueness causes significant distortion that can affect the measurement performance of the detector. Figure 3a shows the image aberration of a 100-μm slit through the spectrograph in an uncorrected system when illuminated by an Hg-Ar lamp. The curvature of the spectral lines is apparent, and in Figure 4 the horizontal displacement of a spectral line from Figure 3a is shown graphically with the displacement rounded to pixels (dashed line). The maximum horizontal displacement is five pixels (135 μm). The solid line is a linear regression-fitted parabolic curve described by

$$x=1.1904E-5y^2+1.9455E-4y-0.98613 \quad \text{Eq. 1}$$

where x is the horizontal displacement at a vertical position, y.

This image aberration causes two problems to hardware binning of CCD columns: (1) it decreases the spectral resolution; and (2) it decreases the S/N ratio achievable otherwise. It also causes problems with wavelength calibration. "Hardware binning" is CCD binning performed before signal read-out by the preamplifier. For signal levels that are readout noise limited such as for weak Raman signal measurements, hardware binning improves S/N linearly with the number of pixels grouped together. Binning can also be done using software after the signal is read out. However, "software binning" improves the S/N only by as much as the square root of the number of pixels added together. Hence, complete hardware binning of the entire

vertical line is preferable for maximizing S/N. Prior to our work there has been no effort reported for correcting this image aberration. The manufacturer (Kaiser) of the HoloSpec spectrograph 2 of Figure 1, which was used in obtaining the spectra of Figure 3a, suggested binning the 11 segments shown in Figure 4 separately using hardware binning and then shifting the appropriate number of pixels before summing them together using software. We call this a “combined hardware and software binning procedure”. Another method is to acquire the whole image first and then add all the pixels along the curved line together by software. We call this “complete software binning procedure.”

10 We conceived a simple but novel solution for dealing with this image aberration: a round-to-parabolic linear array. We aligned the 58×100-μm fibers of the fiber bundle 10 at the spectrograph end 48 along a curved line formed by laser drilling of a stainless steel cylinder piece, the shape of which directly corresponded to the horizontal displacement shown in Figure 4 but in the reverse orientation. Figure 3b shows the CCD image of the fiber bundle 10 illuminated by an Hg-Ar lamp. The central dark spots in the spectral lines are from the calibration fiber that was not illuminated. Using this specific fiber arrangement, the spectral lines are substantially straight, indicating effective image aberration correction that in turn allows us to completely bin the entire CCD vertical line (256 pixels) without losing resolution and reducing S/N. Therefore the S/N improvement we achieve with our system could be up to a maximum value of $11/\sqrt{11} = \sqrt{11} = 3.3$ times when compared to the combined hardware and software binning procedure, and $256/\sqrt{256} = \sqrt{256} = 16$ times compared to the complete software binning.

The in vivo skin Raman measurements using the ‘948 system under hardware binning mode can be obtained in less than 1 second, and some Raman peaks are discernible even with an exposure time of 0.01 seconds. The illumination power density is 1.56 W/cm², less than the ANSI maximum permissible skin exposure limit of 1.63 W/cm² for a 785-nm laser beam. A shutter was mounted at the laser output port and was synchronized with spectral data acquisition to make sure that the skin was only exposed to the laser light during the CCD exposure period.

Figures 5a and 5b shows Raman spectra from a palm at a CCD exposure time of 0.05 seconds (Figure 5a) and 0.5 seconds (Figure 5b). The spectra were obtained under complete software binning (spectra a and d), combined hardware and software binning (spectra b and e), and complete hardware binning (spectra c and f) acquisition modes. In both Figures 5a and 5b, the S/N ratios of the spectra using hardware binning are better than that of the combined hardware and software binning and are much better than that of complete software binning. Please note the Raman peak at 1745 cm^{-1} (from the C=O stretching band of lipid ester carbonyl) is barely visible on curve d, but appeared as a noisy small peak on curve e, whereas on curve f (obtained by our rapid Raman system) it appears as a smooth peak with great confidence.

Using the above rapid Raman system, we have measured Raman spectra on fresh normal and malignant lung tissue biopsies. The results demonstrated consistent spectral difference between normal and cancerous tissues. Figure 6 shows the mean Raman spectra of normal and malignant (adenocarcinoma and squamous cell carcinoma) bronchial tissues. Each of the Raman spectra was normalized to the integration area under the curve to correct for variations in absolute spectral intensity.

It can be seen that while significant Raman spectral differences exist between normal and tumor tissue, Raman spectra of adenocarcinoma are very similar to those of squamous cell carcinoma with slight differences in the relative intensities of the 1335 , 1445 and 1655 cm^{-1} bands. Primary Raman peaks at 752 , 823 , 855 , 876 , 935 , 1004 , 1078 , 1123 , 1152 , 1172 , 1208 , 1265 , 1302 , 1445 , 1518 , 1582 , 1618 , 1655 , and 1745 cm^{-1} can be consistently observed in both normal and tumor tissues, with the strongest signals at 1265 , 1302 , 1445 and 1655 cm^{-1} . The intensities of Raman peaks at 855 , 1078 , 1265 , 1302 , 1445 , and 1745 cm^{-1} in normal tissue are greater than those of tumor tissue, while Raman bands at 752 , 1004 , 1223 , 1335 and 1550 - 1620 cm^{-1} are more intense in tumor tissue.

Besides the intensity differences between normal and tumor tissue, the spectral shape differences were also apparent in the 1000 - 1100 , 1200 - 1400 , and 1500 - 1700 cm^{-1} regions. Raman signals from 1200 - 1400 and 1500 - 1700 cm^{-1} were broader in

tumor tissue as compared to normal tissue, and Raman peaks at 1322 and 1335 cm^{-1} were much enhanced in tumor tissue. In addition, the peak positions at 1078 and 1265 cm^{-1} in normal tissue appeared to have shifted to 1088 and 1260 cm^{-1} in tumor tissue, respectively.

5 Figure 7 shows the scatter plot of the ratio of intensities at 1445 cm^{-1} to that at 1655 cm^{-1} with respect to pathological results. One notes that the peak intensity at 1655 cm^{-1} is higher than that at 1445 cm^{-1} in tumor tissue. In contrast, the band intensity at 1445 cm^{-1} is stronger than that at 1655 cm^{-1} in normal tissue. The mean ratio value (1.25 ± 0.05 , $n=7$) of normal tissue is significantly different from the mean ratio value (0.77 ± 0.03 , $n=8$) of malignant tumor (adenocarcinoma+SCC) tissue
10 (unpaired Student's t-test, $p < 0.0001$). The decision line ($I_{1445}/I_{1655}=1$) completely separates tumor tissue from normal tissue without any overlaps.

Assignment of the various Raman bands observed in Figure 6 is shown in Table 1. From these assignments, the measured Raman spectra suggest that lung tumors have
15 increased nucleic acid, tryptophan, phenylalanine content and decreased phospholipids, proline, and valine content than normal tissue. Further analysis of these spectra also suggests that proteins in normal tissue are more in the α -helical confirmation, while in malignant tissue, some proteins were involved in the β -pleated sheet or random coil configurations. The results of these preliminary data indicate
20 that NIR Raman spectroscopy provides a significant potential for the non-invasive diagnosis of lung cancers and warranty the development of an endoscopic Raman probe for in vivo applications.

Table 1. Peak positions and tentative assignments of major vibrational bands observed in normal and tumor bronchial tissue. Note: ν , stretching mode; ν_s , symmetric stretch; ν_{as} , asymmetric stretch; δ , bending mode; v=very; s=strong; m=medium; w=weak; sh=shoulder.
25

PEAK POSITION (cm^{-1})	PROTEIN ASSIGNMENTS	LIPID ASSIGNMENTS	OTHERS
1745w		ν (C=O),	

		phospholipids	
1655vs	v (C=O) amide I, α -helix, collagen, elastin		
1618s (sh)	v (C=C), tryptophan		v (C=C), porphyrin
1602ms (sh)	δ (C=C), phenylalanine		
1582ms (sh)	δ (C=C), phenylalanine		
1552ms (sh)	v (C=C), tryptophan		v (C=C), porphyrin
1518w			v (C=C), carotenoid
1445vs	δ (CH ₂), δ (CH ₃), collagen	δ (CH ₂) scissoring, phospholipids	
1335s (sh)	CH ₃ CH ₂ wagging, collagen		CH ₃ CH ₂ wagging nucleic acids
1322s	CH ₃ CH ₂ twisting, collagen		
1302vs	δ (CH ₂) twisting, wagging, collagen	δ (CH ₂) twisting, wagging, phospholipids	
1265s (sh)	v (CN), δ (NH) amide III, α -helix, collagen, tryptophan		
1223mw (sh)			$\nu_{as}(\text{PO}_2^-)$, nucleic acids
1208w (sh)	v (C-C ₆ H ₅), tryptophan, phenylalanine		
1172vw	δ (C-H), tyrosine		
1152w	v (C-N), proteins		v (C-C), carotenoid
1123w	v (C-N), proteins		
1078ms		v (C-C) or v (C-O), phospholipids	
1031mw (sh)	δ (C-H), phenylalanine		
1004ms	ν_s (C-C), symmetric ring		

	breathing, phenylalanine	
963w	Unassigned	
935w	ν (C-C), α -helix, proline, valine	
876w (sh)	ν (C-C), hydroxyproline	
855ms	ν (C-C), proline δ (CCH) ring breathing, tyrosine	Polysaccharide
823w	out-of-plane ring breathing, tyrosine	
752w	symmetric breathing, tryptophan	

For successful in vivo Raman spectral measurement through the endoscope, the key specifications of the endoscopic laser-Raman probe are:

- 5 1. Be small enough to pass through the instrument channel (2.2 mm size) of the endoscope;
2. Incorporate proper filtering mechanism to minimize or eliminate the background Raman and fluorescence signals generated from the fiber-optic material; and
- 10 3. Be able to collect enough signal so that a Raman spectrum can be acquired in seconds or sub-seconds.

To preserve the high S/N ratio advantage of the skin Raman probe described in the '948 patent, the present invention utilizes a two-step filtering strategy for the
15 endoscopic Raman probe: (1) first-order filtering at the tip of the fiber bundle and (2)

high-performance filtering at the entrance point of the instrument channel of the endoscope.

Figure 8 shows the schematics of the preferred embodiment of the endoscopic Raman probe system 100 of the present invention. It consists of a probing fiber bundle assembly 110, a filter adapter 120, an illumination fiber 130, and a round-to-parabolic linear array fiber bundle 140. The illumination light from the diode laser 150 is focused into the illumination fiber 130, which is connected to the filter adapter 120 close to the entrance of the instrument channel of the bronchoscope 116. The illumination light is chosen to be at a wavelength as close as possible that will excite the molecules of interest. In the preferred embodiment, laser light at 785 nm meets this objective for detection of lung cancer. Other wavelengths can be chosen depending on the properties of the molecules of interest.

A high-performance BP filter (in the preferred embodiment, 785 ± 2.5 nm) 122 passes through the laser light and filters out the background Raman and fluorescence signals generated inside the illumination fiber 130 between the diode laser 150 and the filter adapter 120. The filtered laser light is refocused into the illumination fiber 132 in the probing fiber bundle assembly 110. Because this part of the illumination fiber 132 is short, the generated background Raman and fluorescence from the fiber is small. Nevertheless, the distal end 114 of the illumination fiber 132 is coated with a SP filter 160 to further reduce these background signals. The induced Raman signal from the tissue 170 is picked up by collection fibers 180 in the probing fiber bundle assembly 110. LP filter coatings 190 are applied to these fibers 180 to block the back-scattered laser light from entering the probe 110. At the proximal end 112 of the probing fiber bundle assembly 110, these collection fibers 180 are packed into a round bundle 184 and connected to the filter adapter 120. A notch filter (in the preferred embodiment, OD > 6.0 at 785 nm, Kaiser) 126 is used to further block the laser wavelengths and allow the Raman signals to pass through. The Raman signals are refocused by a focusing lens 127 into the round-to-parabolic linear array fiber bundle 140. At the entrance of the spectrometer 152, these collection fibers 140 are aligned along a parabolic line 154 to correct for image aberration of the spectrograph to

achieve better spectral resolution and higher S/N ratio in a fashion similar to the skin Raman probe described in the '948 patent.

To preserve the high S/N ratio advantage achieved in the skin Raman probe work described in the '948 patent, the probing fiber bundle assembly 110 of the preferred embodiment will consist of 58 100- μm collection fibers 180 and a 200- μm illumination fiber 132. These fibers are preferably low-OH fused silica type with N.A. = 0.22. The bifurcated assembly 110 has a diameter of 1.9 mm and a length of 65 cm to feed through the instrument channel of an endoscope 116, preferably an Olympus BF-20 or BF-40 bronchoscope. This assembly 110 is kept as short as possible to reduce background Raman and fluorescence signals from the fiber material. It is just about two cm longer than the length of the instrument channel.

At the distal common end 114, the illumination fiber 132 is located at the centre of the bundle 182 and its end is coated (such as coatings made by LightMatrix Technologies, Inc.) to generate a SP filter 160 with a cut-off wavelength, in the preferred embodiment, of around 825 nm. The collection fibers 180 are arranged around the illumination fiber 132 and their ends are coated to generate a LP filter 190 with a cut-off wavelength, in the preferred embodiment, of around 825 nm. Alternatively, more advanced technologies, e.g. fiber-Bragg gratings, may be used. At the proximal end 112, the assembly 110 is branched out into a single illumination fiber 132 and a collection fiber bundle 184 (circular shape) to be connected to the filter adapter 120. In the assembly 110, the illumination fiber 132 is metal coated for optical isolation to prevent cross-talks with collection fibers 180.

The filter specifications described and utilized are dependent upon the laser wavelength used and are described for the preferred embodiment. Generally, if the laser wavelength is λ_0 , the BP filter should pass light of $\lambda_0 \pm \Delta\lambda$. The cut-off wavelength for LP and SP filters is $\lambda_0 + \Delta\lambda_1$. In the preferred embodiment, $\lambda_0 = 785$ nm, $\Delta\lambda = 2.5$ nm and $\Delta\lambda_1 = 40$ nm.

So, in the preferred embodiment, the BP filter 122 passes light between 782.5 and 787.5 nm, thereby isolating the illumination light from the laser 150 to the

illumination fiber 132 at 785 nm. This illumination light then pass through a SP filter 160 with a cut-off of 825 nm, to pass light shorter than this wavelength and attenuate longer wavelengths, to further ensure that no illumination light exists in the signal range above 825 nm. The illumination light then encounters the subject 170 and
5 induces Raman scattering. The Raman-scattered light enters the collection fibers 180 after first passing through the LP filter 190 with a cut-off of 825, pass light above this wavelength and attenuate shorter wavelengths, to ensure that no non-specific light, such as would result from reflection from the laser illumination light or non-Raman-scattered light, will enter the measurement system. The notch filter 126 then further
10 ensures that no laser light proceeds into the spectrometer 152.

When performing endoscopic Raman measurements, the distal end 114 of the endoscope 116 is in gentle contact with the tissue surface 170. A quartz window 118 (or other materials with low Raman and fluorescence background) may be attached to the end of the probe 116 to keep the probe 116 at a fixed distance from the tissue
15 surface 170. When the probe 116 is moved away from the tissue surface 170, the sampling depth will change. Pulling the probe 116 away from the tissue surface 170 will increase the overlap between the illumination area and the collection area; therefore, it may increase the Raman signals collected. Theoretical modeling (Monte Carlo simulation) and experimental testing will be combined to optimize the distance
20 (i.e. the thickness of the window) between the probe 116 and the tissue surface 170.

The filter adapter 120 will consist of two identical pre-aligned filter holders 125 and 129, preferably from OZ Optics Ltd. (Carp, ON, Canada). The OZ Optics filter holder 125 consists of a collimating lens 124 to collimate the light beam from the illumination fiber 130 before incident on the BP filter 122 and a refocusing lens
25 123 to focus the filtered beam onto the illumination fiber 132 in the fiber bundle assembly 110. The filter holder 129 consists of a collimating lens 128 to collimate the light from the collection fibers 180 before incident on the notch filter 126 and a refocusing lens 127 to focus the filtered light into the round-to-parabolic linear array 140. The single fiber 132 and fiber bundle 180 are connected to the filter adapter 120
30 by SMA connectors. A holder will attach the filter adapter 120 to the bronchoscope 116.

The fiber bundle 110 consists of 58 100- μm fibers. It is packed into circular shape and terminated with an SMA connector to the filter adapter 122. At the spectrometer 152 end, the 58 fibers 40 are aligned along a curve 154 formed by laser drilling of a stainless steel cylinder piece, the shape of which corresponds directly to the horizontal displacement shown in Figure 4 and expressed as a parabolic curve in Eq. (1) above. This curve serves to correct for image aberration of the spectrograph 52 to achieve better spectral resolution and higher S/N ratio in a fashion similar to the skin Raman probe described in the '948 patent.

The probing fiber bundle assembly 110, the filter adapter 120, the laser light source 150 and illumination fiber 130, 32, the round-to-parabolic linear array 140, and the spectrometer 152 are integrated to form the endoscopic Raman probe system 100.

While a preferred embodiment of the present invention is shown and described, it is envisioned that those skilled in the art may devise various modifications of the present invention without departing from the spirit and scope of the appended claims.

I claim:

1. An in vivo Raman endoscope comprising:
 - 5 a probing fiber bundle having a distal end and a proximal end and comprising at least one illumination fiber and a plurality of collection fibers,
a short-pass filter on the distal end of said at least one illumination fiber,
10 a long-pass filter on the distal end of said plurality of collection fibers,
a filter adapter on the proximal end of said fiber bundle, comprising a band-pass filter in optical communication with said illumination fiber and a notch filter in optical communication with said plurality of collection fibers, and
15 a round-to-parabolic linear array fiber bundle in optical communication with said plurality of collection fibers through said notch filter.
- 20 2. The system of claim 1, wherein said short-pass filter comprises a coating on the distal end of said at least one illumination fiber.
3. The system of claim 2, wherein said short-pass filter has a cut-off wavelength of about 825 nm.
25
4. The system of claim 1, wherein said long-pass filter comprises a coating on the distal end of said plurality of collection fibers.
5. The system of claim 4, wherein said long-pass filter has a cut-off wavelength
30 of about 825 nm.

6. The system of claim 4, wherein said short-pass filter comprises a coating on the distal end of said at least one illumination fiber.
7. The system of claim 6, wherein said short-pass filter has a cut-off wavelength of about 825 nm and said long-pass filter has a cut-off wavelength of about 825 nm.
8. The system of claim 1, wherein said band-pass filter transmits in a range around 785 nm.
9. The system of claim 8, wherein said range is plus-or-minus 2.5 nm.
10. The system of claim 1, wherein said notch filter has an OD greater than 6.0 at 785 nm.
11. The system of claim 1, further comprising means for delivering illumination light to said filter adapter and wherein said filter adapter further comprises a collimating lens between said means for delivering and said band-pass filter.
12. The system of claim 11, wherein said means for delivering comprises a laser.
13. The system of claim 11, further comprising a focusing lens between said band-pass filter and said illumination fiber.
14. The system of claim 1, wherein said filter adapter further comprises a collimating lens between said plurality of collection fibers and said notch filter.
15. The system of claim 14, further comprising a focusing lens between said notch filter and said round-to-parabolic linear array fiber bundle.
16. The system of claim 1, further comprising means for delivering illumination

light to said filter adapter and said filter adapter further comprises a collimating lens between said means for delivering and said band-pass filter, a focusing lens between said band-pass filter and said illumination fiber, a collimating lens between said plurality of collection fibers and said notch filter, and a focusing lens between said notch filter and said round-to-parabolic linear array fiber bundle.

- 5
17. The system of claim 1, further comprising a quartz window at the distal end of said fiber bundle.
- 10
18. The system of claim 11, wherein said illumination light is chosen at a wavelength to induce Raman scattering.
19. The system of claim 18, wherein said illumination light is monochromatic.
- 15
20. The system of claim 19, wherein said light source is a laser.
21. The system of claim 20, wherein said laser is a diode laser.
- 20
22. The system of claim 21, wherein said illumination light is about 785 nm.
23. An in vivo Raman endoscopic probe system, comprising:
- 25
- a probe comprising a probing fiber bundle having a distal end and a proximal end and comprising at least one illumination fiber and a plurality of collection fibers,
- a short-pass filter on the distal end of said at least one illumination fiber,
- 30
- a long-pass filter on the distal end of said plurality of collection fibers,
- a filter adapter on the proximal end of said fiber bundle, comprising a band-

pass filter in optical communication with said illumination fiber and a notch filter in optical communication with said plurality of collection fibers,

5 a round-to-parabolic linear array fiber bundle in optical communication with said plurality of collection fibers through said notch filter,

a light source providing illumination light to said illumination fiber through said band-pass filter,

10 a spectrometer in optical communication with said plurality of collection fibers through said notch filter.

24. The system of claim 23, wherein said short-pass filter comprises a coating on the distal end of said at least one illumination fiber.

15

25. The system of claim 24, wherein said short-pass filter has a cut-off wavelength of about 825 nm.

26. The system of claim 23, wherein said long-pass filter comprises a coating on the distal end of said plurality of collection fibers.

20

27. The system of claim 26, wherein said long-pass filter has a cut-off wavelength of about 825 nm.

25 28. The system of claim 26, wherein said short-pass filter comprises a coating on the distal end of said at least one illumination fiber.

29. The system of claim 28, wherein said short-pass filter has a cut-off wavelength of about 825 nm and said long-pass filter has a cut-off wavelength of about 825 nm.

30

30. The system of claim 23, wherein said band-pass filter transmits in a range around 785 nm.
31. The system of claim 30, wherein said range is plus-or-minus 2.5 nm.
- 5 32. The system of claim 23, wherein said notch filter has an OD greater than 6.0 at 785 nm.
- 10 33. The system of claim 23, further comprising means for delivering illumination light to said filter adapter and wherein said filter adapter further comprises a collimating lens between said means for delivering and said band-pass filter.
34. The system of claim 33, wherein said means for delivering comprises a laser.
- 15 35. The system of claim 33, further comprising a focusing lens between said band-pass filter and said illumination fiber.
- 20 36. The system of claim 23, wherein said filter adapter further comprises a collimating lens between said plurality of collection fibers and said notch filter.
- 25 37. The system of claim 36, further comprising a focusing lens between said notch filter and said round-to-parabolic linear array fiber bundle.
- 30 38. The system of claim 23, further comprising means for delivering illumination light to said filter adapter and said filter adapter further comprises a collimating lens between said means for delivering and said band-pass filter, a focusing lens between said band-pass filter and said illumination fiber, a collimating lens between said plurality of collection fibers and said notch filter, and a focusing lens between said notch filter and said round-to-parabolic linear array fiber bundle.

39. The system of claim 23, further comprising a quartz window at the distal end of said fiber bundle.
- 5 40. The system of claim 23, wherein said illumination light is chosen to induce Raman scattering.
41. The system of claim 37, wherein said illumination light is monochromatic.
- 10 42. The system of claim 37, wherein said light source is a laser.
43. The system of claim 39, wherein said laser is a diode laser.
44. The system of claim 40, wherein said illumination light is about 785 nm.
- 15 45. The system of claim 23, wherein said plurality of collection fibers have a core diameter of about 100 μ m.
46. The system of claim 23, wherein the number and core diameter of said plurality of collection fibers are selected to fill the vertical height of a detector of said spectrometer.
- 20 47. The system of claim 43, wherein said detector is a CCD.
- 25 48. A method of measuring Raman spectra in vivo, comprising the following:
- providing illumination light,
- band-pass filtering said illumination light to reduce background Raman and fluorescence signals,
- 30 short-pass filtering said illumination light to reduce background Raman and

fluorescence signals,

illuminating a subject with said illumination light to induce measurable Raman scattered light,

5

collecting a sample of light comprising said Raman scattered light,

long-pass filtering said sample to reduce reflected light,

10

notch filtering said sample to reduce reflected light,

providing said sample with a substantially inverse shape that is complementary to a distortion to said sample caused by passing said sample through a light-dispersive element,

15

passing said sample through a plane grating to provide substantially straight spectral lines, and

performing Raman spectroscopic analysis on said substantially straight lines.

20

49. The method of claim 48, wherein said short-pass filtering step comprises attenuating wavelengths above 825 nm.

50. The method of claim 48, wherein said long-pass filtering step comprises
25 attenuating wavelengths below about 825 nm.

51. The method of claim 50, wherein said short-pass filtering step comprises attenuating wavelengths above 825 nm.

30

52. The method of claim 48, wherein said band-pass filtering step comprises transmitting in a range around 785 nm.

53. The method of claim 52, wherein said range is plus-or-minus 2.5 nm.
54. The method of claim 48, wherein said notch filtering step comprises
5 attenuating at an OD greater than 6.0 at 785 nm.
55. The method of claim 48, further comprising providing a quartz window
between said subject and said illumination light.
- 10 56. The method of claim 48, further comprising selecting said illumination light to
induce Raman scattering.
57. The method of claim 56, wherein said illumination light is monochromatic.
- 15 58. The method of claim 56, further comprising providing said illumination light
from a laser.
59. The method of claim 58, wherein said laser is a diode laser.
- 20 60. The method of claim 59, wherein said illumination light is about 785 nm.
61. The method of claim 48, further comprising collimating said illumination light
before said band-pass filtering step.
- 25 62. The method of claim 61, further comprising focusing said illumination light
after said band-pass filtering step.
63. The method of claim 48, further comprising collimating said sample before
said notch filtering step.
- 30 64. The method of claim 63, further comprising focusing said sample after said
notch filtering step.

65. The method of claim 48, further comprising collimating said illumination light before said band-pass filtering step, focusing said illumination light after said band-pass filtering step, collimating said sample before said notch filtering step, and focusing said sample after said notch filtering step.
- 5

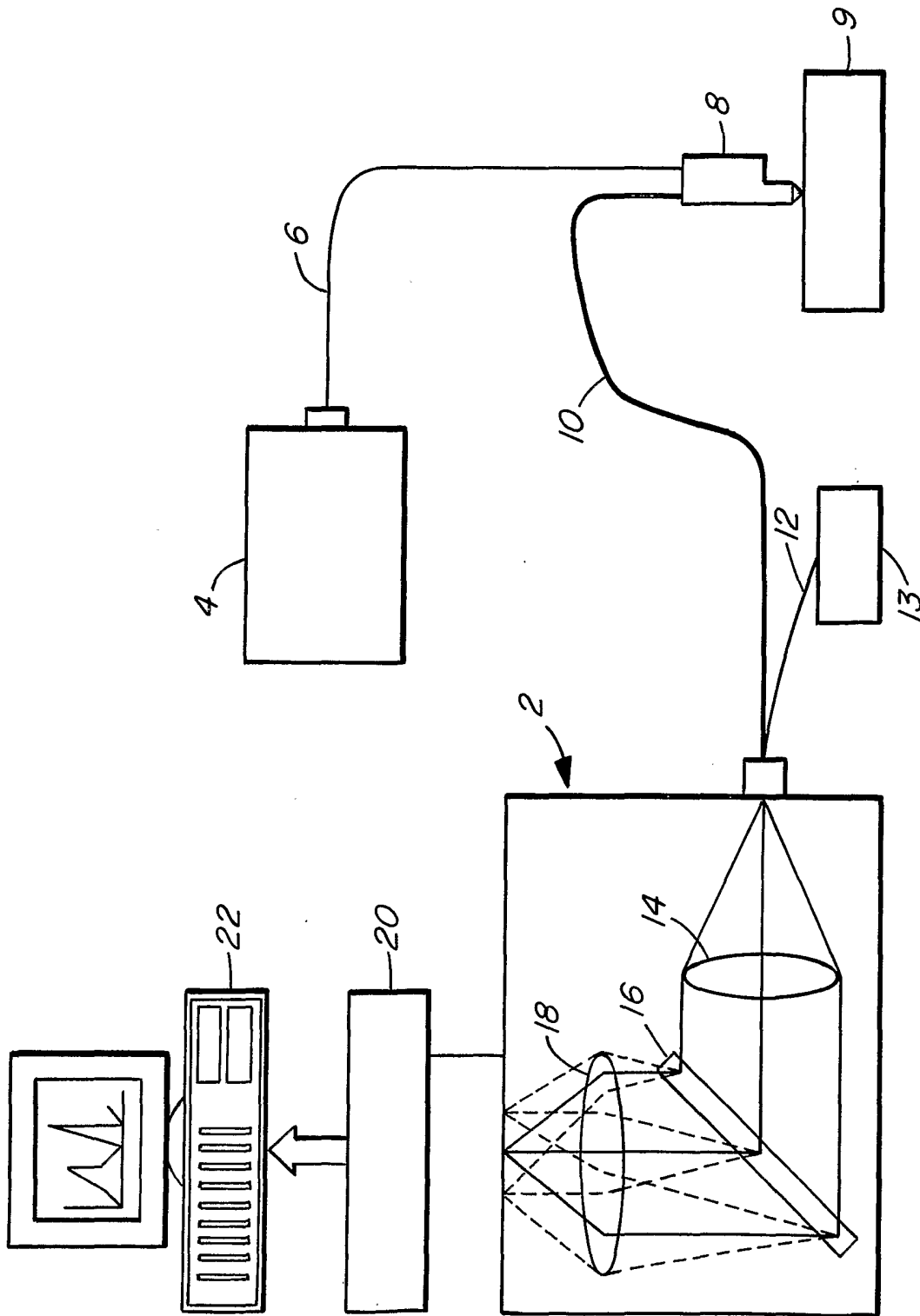


FIG. 1

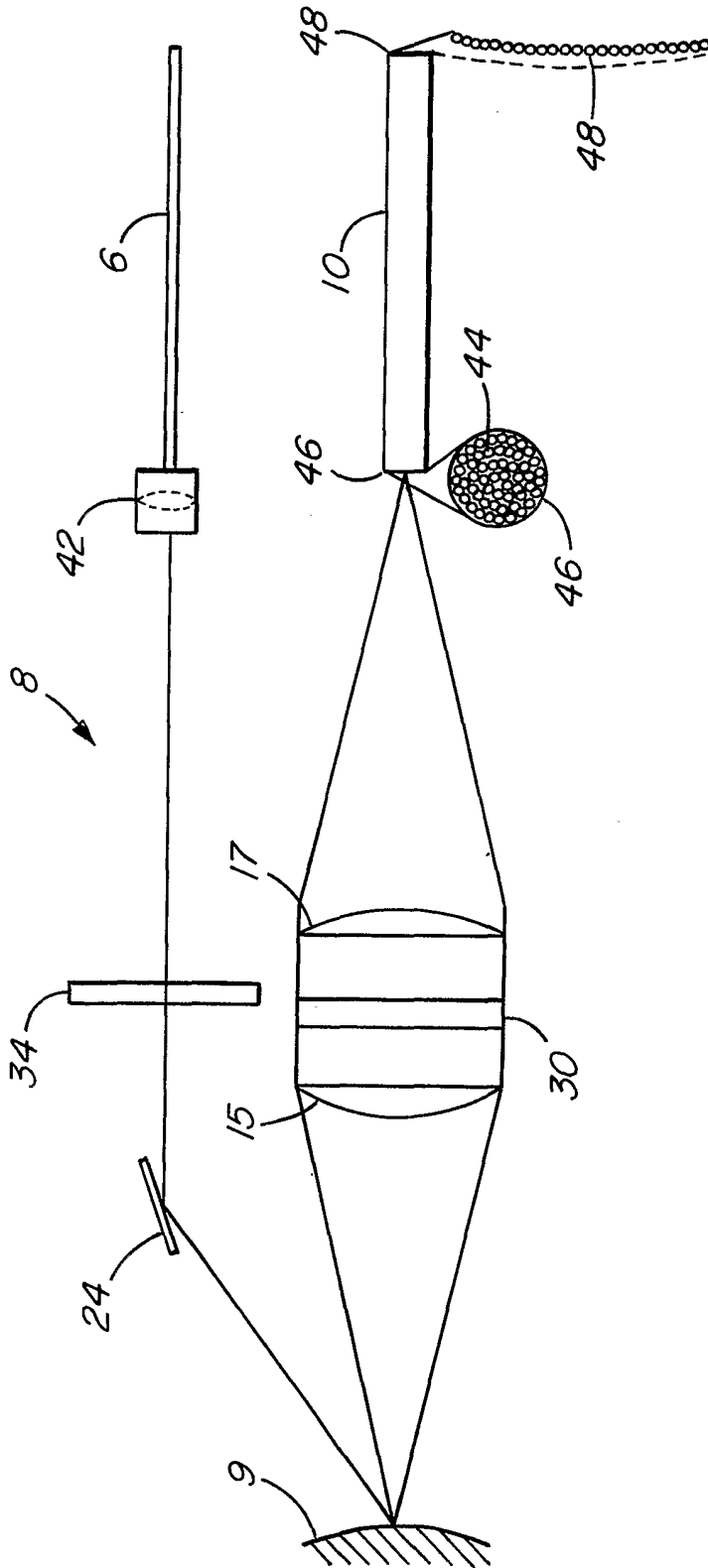


FIG. 2

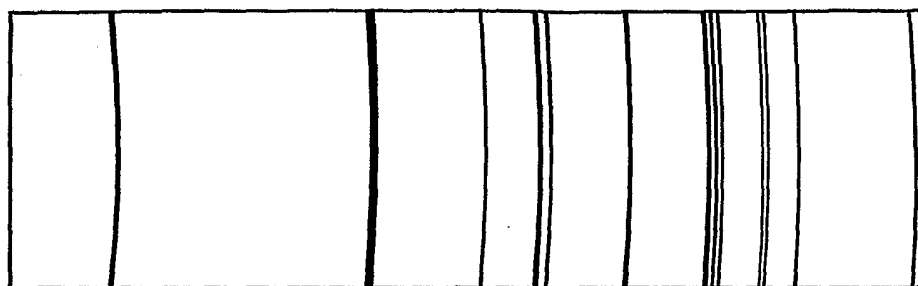


FIG. 3a

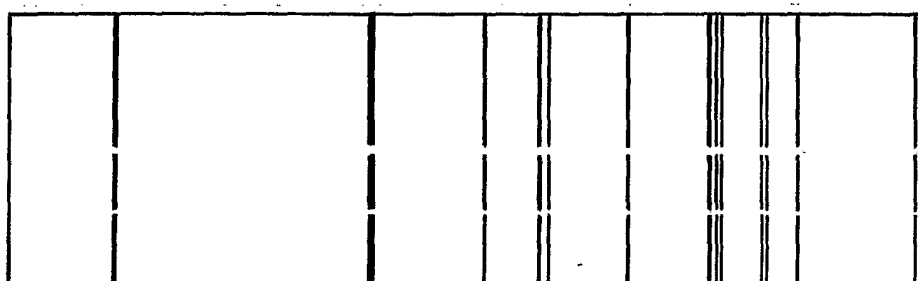


FIG. 3b

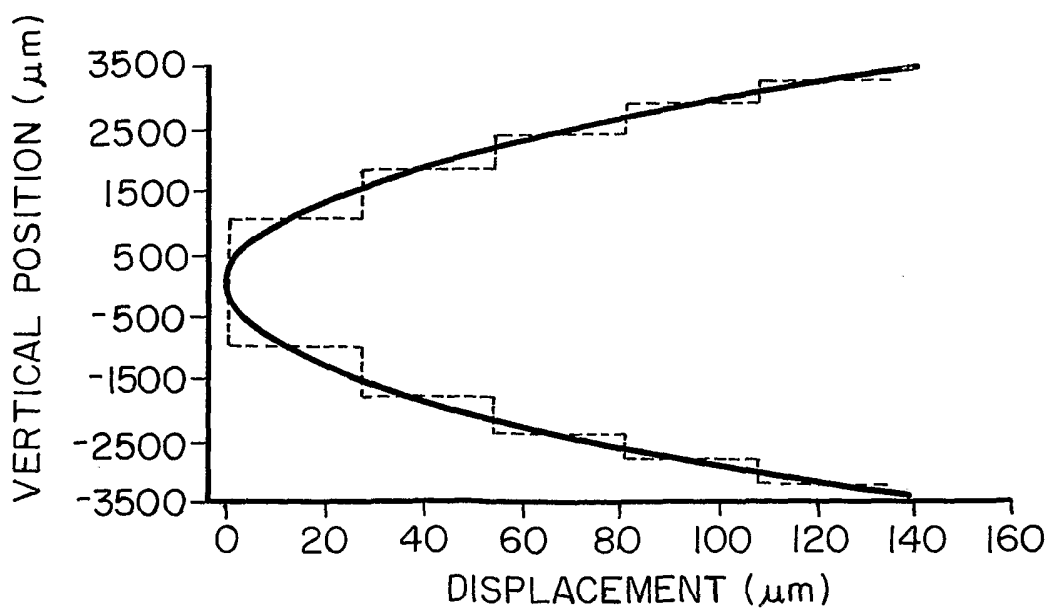


FIG. 4

4/6

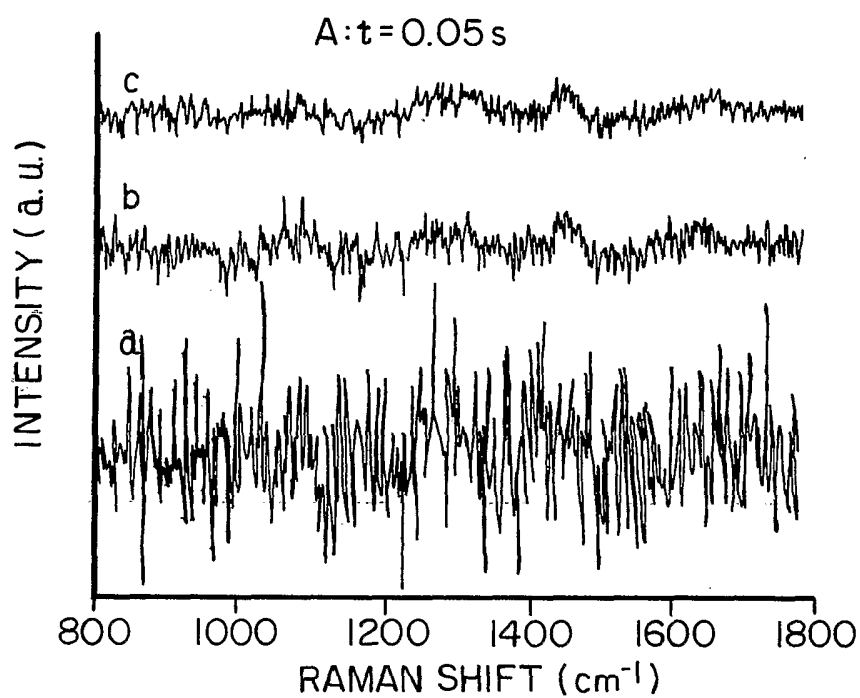


FIG. 5a

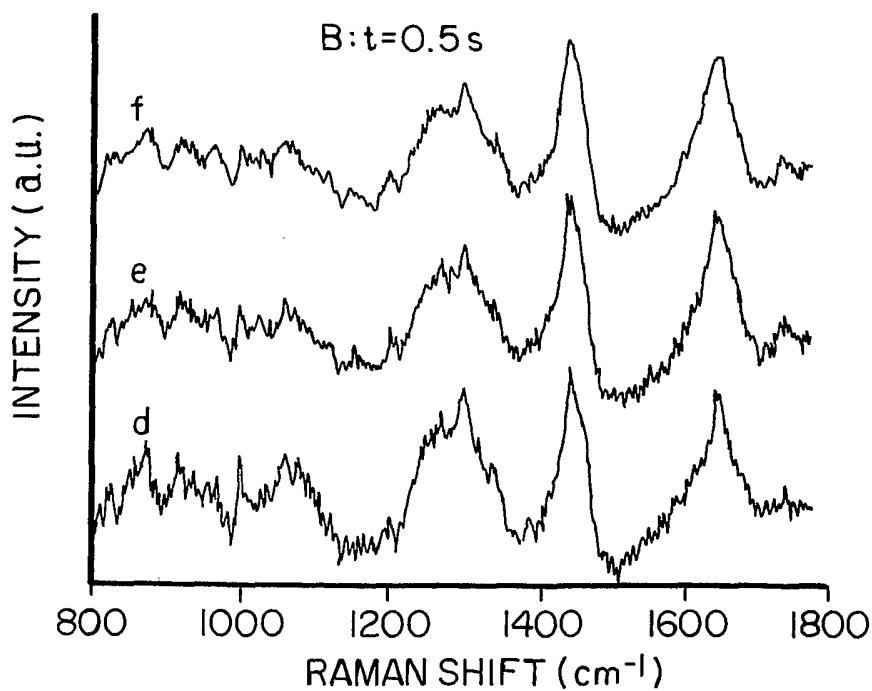


FIG. 5b

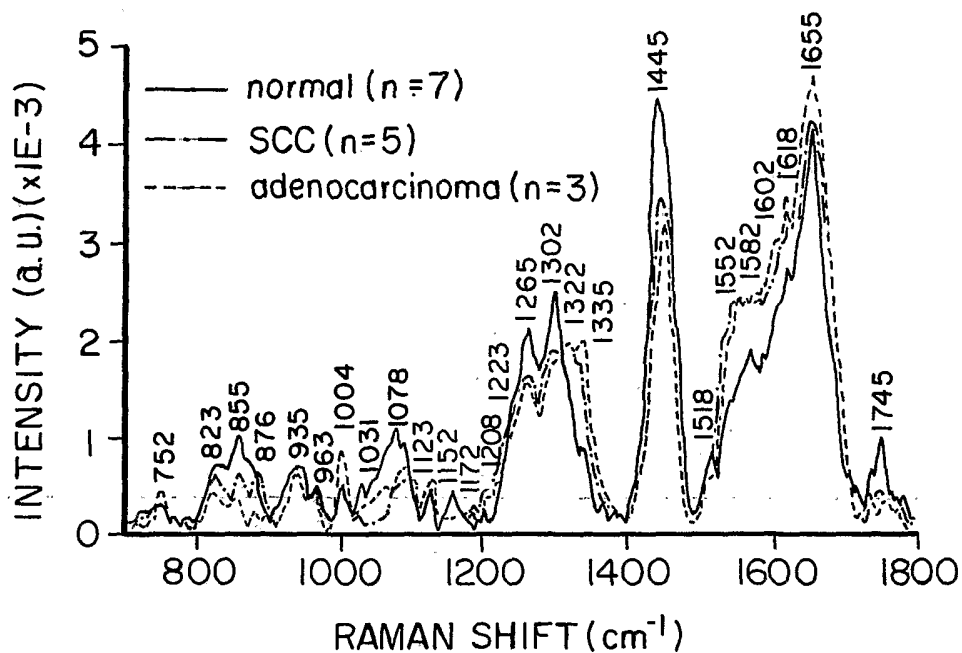


FIG. 6

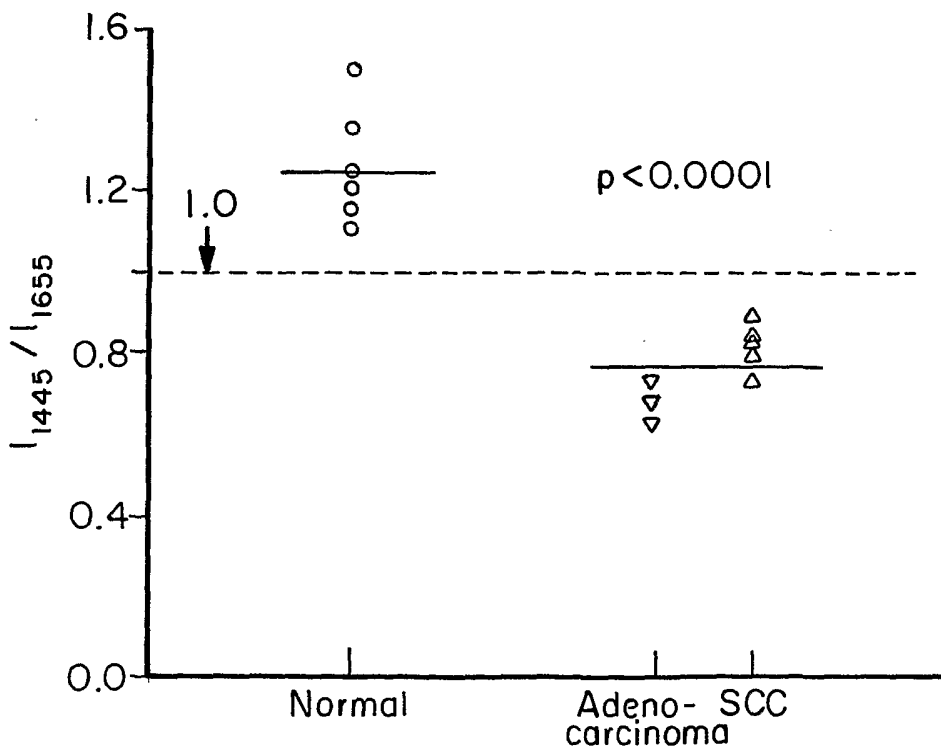


FIG. 7

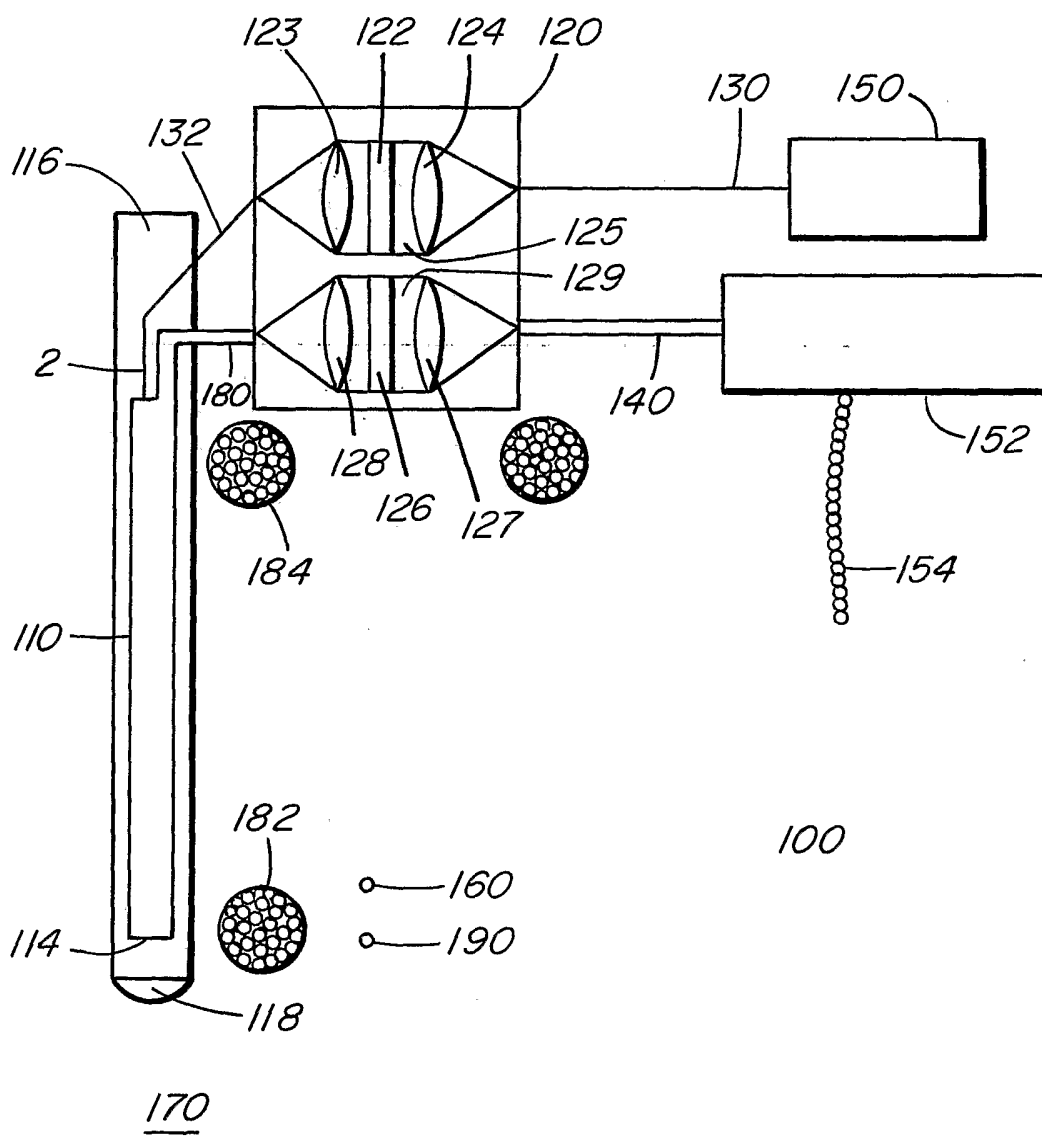


FIG. 8

INTERNATIONAL SEARCH REPORT

International Application No
PCT/CA2004/000062

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61B5/00 G01N21/65

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 IPC 7 A61B G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
 EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98/00057 A (MITCHELL MICHELE FOLLEN ; RICHARDS KORTUM REBECCA (US); UNIV TEXAS (US) 8 January 1998 (1998-01-08) page 20, line 4 - page 22, line 23 figures 2,3,6	1-65
X	US 2001/012429 A1 (MARPLE ERIC TODD ET AL) 9 August 2001 (2001-08-09) paragraphs '0195!, ' 296!, ' 350!, ' 371!, ' 388! figures 61-63	1-65
Y	US 6 069 689 A (PALCIC BRANKO ET AL) 30 May 2000 (2000-05-30) column 8, line 40 - column 9, line 64	1-65
	-/--	

Further documents are listed in the continuation of box C. Patent family members are listed in annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family
---	---

Date of the actual completion of the international search	Date of mailing of the international search report
6 May 2004	26/05/2004

Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer <p style="text-align: center;">Lohmann, S</p>
--	---

INTERNATIONAL SEARCH REPORT

International Application No
PCT/CA2004/000062

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 6 486 948 B1 (ZENG HAISHAN) 26 November 2002 (2002-11-26) cited in the application column 8, line 27 - column 9, line 34 -----	1-65
P, X	WO 03/087793 A (MASSACHUSETTS INST TECHNOLOGY ; GANDHI SAUMIL (US); HUNTER MARTIN (US)) 23 October 2003 (2003-10-23) page 20, line 20 - line 30 page 59, line 18 - line 27 figures 4A, 4C, 8 -----	1-65

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/CA2004/000062

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
WO 9800057	A	08-01-1998	US 5842995 A	01-12-1998
			AU 3495797 A	21-01-1998
			WO 9800057 A1	08-01-1998
US 2001012429	A1	09-08-2001	US 6222970 B1	24-04-2001
			US 5953477 A	14-09-1999
			US 5764840 A	09-06-1998
			US 6580935 B1	17-06-2003
			US 6174424 B1	16-01-2001
			US 6144791 A	07-11-2000
			US 6208783 B1	27-03-2001
			US 6404953 B1	11-06-2002
			US 6370406 B1	09-04-2002
			US 6366726 B1	02-04-2002
			US 6416234 B1	09-07-2002
			US 6542673 B1	01-04-2003
			US 5878178 A	02-03-1999
			AU 2534197 A	01-10-1997
			CA 2248912 A1	18-09-1997
			EP 0886796 A1	30-12-1998
			JP 2000510604 T	15-08-2000
WO 9734175 A1	18-09-1997			
US 6069689	A	30-05-2000	US 6008889 A	28-12-1999
			AU 7021198 A	11-11-1998
			WO 9846133 A1	22-10-1998
US 6486948	B1	26-11-2002	US 2003231305 A1	18-12-2003
WO 03087793	A	23-10-2003	US 2003191398 A1	09-10-2003
			WO 03087793 A1	23-10-2003
			US 2004073120 A1	15-04-2004