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(54) Title: COMPOSITION COMPRISING TWO ANTIBODIES ENGINEERED TO HAVE REDUCED AND INCREASED EFFECTOR FUNCTION

(57) Abstract: The present invention provides combinations of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in treating a disease in an individual in need thereof. Further provided are pharmaceutical compositions comprising the combinations, and methods of using them.

## COMPOSITION COMPRISING TWO ANTIBODIES ENGINEERED TO HAVE REDUCED AND INCREASED EFFECTOR FUNCTION

### Improved Immunotherapy

#### Field of the invention

The present invention generally relates to immunotherapy. More particularly, the invention concerns antigen-targeted immunoconjugates and Fc-engineered antibodies for combined use as immunotherapeutic agents. In addition, the invention relates to pharmaceutical compositions  
5 comprising combinations of said immunoconjugates and antibodies and methods of using the same in the treatment of disease.

#### Background

The selective destruction of an individual cell or a specific cell type is often desirable in a variety of clinical settings. For example, it is a primary goal of cancer therapy to specifically destroy  
10 tumor cells, while leaving healthy cells and tissues intact and undamaged.

An attractive way of achieving this is by inducing an immune response against the tumor, to make immune effector cells such as natural killer (NK) cells or cytotoxic T lymphocytes (CTLs) attack and destroy tumor cells. Effector cells can be activated by various stimuli, including a number of cytokines that induce signaling events through binding to their receptors on the  
15 surface of immune cells. For example interleukin-2 (IL-2), which, *inter alia*, stimulates proliferation and activation of cytotoxic T cells and NK cells, has been approved for the treatment of metastatic renal cell carcinoma and malignant melanoma. However, rapid blood clearance and lack of tumor specificity require systemic administration of high doses of a cytokine in order to achieve a sufficiently high concentration of the cytokine at the tumor site to  
20 activate an immune response or have an anti-tumor effect. These high systemic levels of cytokine can lead to severe toxicity and adverse reactions, as is the case also for IL-2. For use in cancer therapy, it is therefore desirable to specifically deliver cytokines to the tumor or tumor microenvironment. This can be achieved by conjugating the cytokine to a targeting moiety, e.g. an antibody or an antibody fragment, specific for a tumor antigen. A further advantage of such  
25 immunoconjugates is their increased serum half-life compared to the unconjugated cytokine. Their ability to maximize immunostimulatory activities at the site of a tumor whilst keeping

systemic side effects to a minimum at a lower dose makes cytokine immunoconjugates optimal immunotherapeutic agents.

Another way of activating effector cells is through the engagement of activating Fc receptors on their surface by the Fc portion of immunoglobulins or recombinant fusion proteins comprising an Fc region. The so-called effector functions of an antibody which are mediated by its Fc region are an important mechanism of action in antibody-based cancer immunotherapy. Antibody-dependent cell-mediated cytotoxicity, the destruction of antibody-coated target cells (e.g. tumor cells) by NK cells, is triggered when antibody bound to the surface of a cell interacts with Fc receptors on the NK cell. NK cells express Fc $\gamma$ RIIIa (CD16a) which recognizes immunoglobulins of the IgG<sub>1</sub> or IgG<sub>3</sub> subclass. Further effector functions include antibody-dependent cell-mediated phagocytosis (ADCP) and complement dependent cytotoxicity (CDC), and vary with the class and subclass of the antibody since different immune cell types bear different sets of Fc receptors which recognize different types and subtypes of immunoglobulin heavy chain constant domains (e.g.  $\alpha$ ,  $\delta$ ,  $\gamma$ ,  $\epsilon$ , or  $\mu$  heavy chain constant domains, corresponding to IgA, IgD, IgE, IgG, or IgM class antibodies, respectively). Various strategies have been employed to increase the effector functions of antibodies. For example, Shields et al. (J Biol Chem 9(2), 6591-6604 (2001)) show that amino acid substitutions at positions 298, 333, and/or 334 of the Fc region (EU numbering of residues) improve the binding of antibodies to Fc $\gamma$ RIIIa receptor and ADCC. Further antibody variants having amino acid modifications in the Fc region and exhibiting improved Fc receptor binding and effector function are described e.g. in U.S. Patent No. 6,737,056, WO 2004/063351 and WO 2004/099249. Alternatively, increased Fc receptor binding and effector function can be obtained by altering the glycosylation of an antibody. IgG1 type antibodies, the most commonly used antibodies in cancer immunotherapy, have a conserved N-linked glycosylation site at Asn 297 in each CH2 domain of the Fc region. The two complex biantennary oligosaccharides attached to Asn 297 are buried between the CH2 domains, forming extensive contacts with the polypeptide backbone, and their presence is essential for the antibody to mediate effector functions including antibody-dependent cell-mediated cytotoxicity (ADCC) (Lifely et al., Glycobiology 5, 813-822 (1995); Jefferis et al., Immunol Rev 163, 59-76 (1998); Wright and Morrison, Trends Biotechnol 15, 26-32 (1997)). Protein engineering studies have shown that Fc $\gamma$ Rs interact with the lower hinge region of the IgG CH2 domain (Lund et al., J Immunol 157, 4963-69 (1996)). However, Fc $\gamma$ R binding also requires the presence of the oligosaccharides in the CH2 region (Lund et al., J Immunol 157, 4963-69 (1996); Wright and Morrison, Trends Biotech 15, 26-31 (1997)), suggesting that either

oligosaccharide and polypeptide both directly contribute to the interaction site or that the oligosaccharide is required to maintain an active CH2 polypeptide conformation. Modification of the oligosaccharide structure can therefore be explored as a means to increase the affinity of the interaction between IgG<sub>1</sub> and FcγR, and to increase ADCC activity of IgG<sub>1</sub> antibodies. Umaña et al. (Nat Biotechnol 17, 176-180 (1999) and U.S. Patent No. 6,602,684 (WO 99/54342), the contents of which are hereby incorporated by reference in their entirety) showed that overexpression of β(1,4)-N-acetylglucosaminyltransferase III (GnTIII), a glycosyltransferase catalyzing the formation of bisected oligosaccharides, in Chinese hamster ovary (CHO) cells significantly increases the *in vitro* ADCC activity of antibodies produced in those cells.

10 Overexpression of GnTIII in production cell lines leads to antibodies enriched in bisected oligosaccharides, which are generally also non-fucosylated and of the hybrid type. If in addition to GnTIII, mannosidase II (ManII) is overexpressed in production cell lines, antibodies enriched in bisected, non-fucosylated oligosaccharides of the complex type are obtained (Ferrara et al., Biotechn Bioeng 93, 851-861 (2006)). Both types of antibodies show strongly increased ADCC,

15 as compared to antibodies with unmodified glycans, but only antibodies in which the majority of the N-glycans are of the complex type are able to induce significant complement-dependent cytotoxicity (Ferrara et al., Biotechn Bioeng 93, 851-861 (2006)). The critical factor for the increase of ADCC activity appears to be the elimination of fucose from the innermost N-acetylglucosamine residue of the oligosaccharide core, which improves binding of the IgG Fc

20 domain to FcγRIIIa (Shinkawa et al., J Biol Chem 278, 3466-3473 (2003)). Further methods for producing antibodies with reduced fucosylation include, e.g. expression in α(1,6)-fucosyltransferase deficient host cells (Yamane-Ohnuki et al., Biotech Bioeng 87, 614-622 (2004); Niwa et al., J Immunol Methods 306, 151-160 (2006)).

Despite the successes achieved in anti-cancer immunotherapy by the use of free cytokines,

25 immunoconjugates or engineered antibodies, there is a continuous need for novel efficacious and safe treatment options in cancer therapy.

### Summary of the Invention

The present inventors have found that the combination of these two strategies for local immune cell activation, i.e. simultaneous stimulation of effector cells by cytokine immunoconjugates and

30 by antibodies engineered to have increased effector functions, greatly improves the efficacy of anti-cancer immunotherapy.

Accordingly, the present invention provides a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in treating a disease in an individual in need thereof. In one embodiment the effector moiety is a cytokine. In one embodiment the cytokine is selected from the group consisting of IL-2, GM-CSF, IFN- $\alpha$ , and IL-12. In a particular embodiment the effector moiety is IL-2. In another embodiment the effector moiety is IL-12. In another particular embodiment the IL-2 effector moiety is a mutant IL-2 effector moiety comprising at least one amino acid mutation, particularly an amino acid substitution, that reduces or abolishes the affinity of the mutant IL-2 effector moiety to the  $\alpha$ -subunit of the IL-2 receptor but preserves the affinity of the mutant IL-2 effector moiety to the intermediate-affinity IL-2 receptor, compared to the non-mutated IL-2 effector moiety. In a specific embodiment, the mutant IL-2 effector moiety comprises one, two or three amino acid substitutions at one, two or three position(s) selected from the positions corresponding to residue 42, 45, and 72 of human IL-2 (SEQ ID NO: 1). In a more specific embodiment, the mutant IL-2 effector moiety comprises three amino acid substitutions at the positions corresponding to residue 42, 45 and 72 of human IL-2. In an even more specific embodiment, the mutant IL-2 effector moiety is human IL-2 comprising the amino acid substitutions F42A, Y45A and L72G. In certain embodiments the mutant IL-2 effector moiety additionally comprises an amino acid mutation at a position corresponding to position 3 of human IL-2, which eliminates the O-glycosylation site of IL-2. In a specific embodiment the mutant IL-2 effector moiety comprises the amino acid sequence of SEQ ID NO: 2. In one embodiment the effector moiety is a single-chain effector moiety.

In one embodiment the first antibody is a full-length IgG class antibody, particularly a full-length IgG<sub>1</sub> sub-class antibody. In one embodiment the effector moiety shares an amino- or carboxy-terminal peptide bond with the first antibody. In one embodiment the effector moiety shares an amino-terminal peptide bond with the first antibody. In one embodiment, the effector moiety is fused at its N-terminus to the C-terminus of one of the heavy chains of the first antibody. In a particular embodiment, the immunoconjugate comprises not more than one effector moiety. In one embodiment the immunoconjugate essentially consists of an effector moiety and a first antibody joined by one or more peptide linkers. In a specific embodiment the immunoconjugate comprises an effector moiety, particularly a single chain effector moiety, and a first antibody, particularly a full-length IgG class antibody, wherein the effector moiety is fused at its amino-terminal amino acid to the carboxy-terminus of one of the heavy chains of the first antibody,

optionally through a peptide linker. In certain embodiments the first antibody comprises in the Fc region a modification promoting heterodimerization of two non-identical immunoglobulin heavy chains. In a specific embodiment said modification is a knob-into-hole modification, comprising a knob modification in one of the immunoglobulin heavy chains and a hole modification in the other one of the two immunoglobulin heavy chains. In one embodiment, the first antibody comprises a modification within the interface between the two immunoglobulin heavy chains in the CH3 domain, wherein i) in the CH3 domain of one heavy chain, an amino acid residue is replaced with an amino acid residue having a larger side chain volume, thereby generating a protuberance (“knob”) within the interface in the CH3 domain of one heavy chain which is positionable in a cavity (“hole”) within the interface in the CH3 domain of the other heavy chain, and ii) in the CH3 domain of the other heavy chain, an amino acid residue is replaced with an amino acid residue having a smaller side chain volume, thereby generating a cavity (“hole”) within the interface in the second CH3 domain within which a protuberance (“knob”) within the interface in the first CH3 domain is positionable. In one embodiment, the first antibody comprises the amino acid substitution T366W and optionally the amino acid substitution S354C in one of the immunoglobulin heavy chains, and the amino acid substitutions T366S, L368A, Y407V and optionally Y349C in the other one of the immunoglobulin heavy chains. In a particular embodiment the effector moiety is fused to the amino- or carboxy-terminal amino acid of the immunoglobulin heavy chain comprising the knob modification.

In one embodiment the reduced effector function of the first antibody is selected from the group of reduced binding to an activating Fc receptor, reduced ADCC, reduced ADCP, reduced CDC, and reduced cytokine secretion. In one embodiment the reduced effector function is reduced binding to an activating Fc receptor. In one embodiment the activating Fc receptor is a human receptor. In one embodiment the activating Fc receptor is an Fc $\gamma$  receptor. In a specific embodiment the activating Fc receptor is selected from the group of Fc $\gamma$ RIIIa, Fc $\gamma$ RI, and FcR $\gamma$ IIa. In one embodiment the activating Fc receptor is Fc $\gamma$ RIIIa, particularly human Fc $\gamma$ RIIIa. In one embodiment the reduced effector function is reduced ADCC. In one embodiment the reduced effector function is reduced binding to an activating Fc receptor and reduced ADCC.

In one embodiment the first antibody is engineered by introduction of one or more amino acid mutations in the Fc region. In a specific embodiment the amino acid mutations are amino acid substitutions. In a specific embodiment, the first antibody, particularly a human full-length IgG<sub>1</sub> sub-class antibody, comprises an amino acid substitution at position P329 of the immunoglobulin

heavy chains (Kabat numbering). In a more specific embodiment the amino acid substitution is P329A or P329G, particularly P329G. In one embodiment the antibody comprises a further amino acid substitution at a position selected from S228, E233, L234, L235, N297 and P331 of the immunoglobulin heavy chains. In a more specific embodiment the further amino acid substitution is S228P, E233P, L234A, L235A, L235E, N297A, N297D or P331S. In a particular embodiment the antibody comprises amino acid substitutions at positions P329, L234 and L235 of the immunoglobulin heavy chains (Kabat numbering). In a more particular embodiment the antibody comprises the amino acid substitutions L234A, L235A and P329G (LALA P329G) in the immunoglobulin heavy chains.

10 In certain embodiments the first antibody is directed to an antigen presented on a tumor cell or in a tumor cell environment. In a specific embodiment the first antibody is directed to an antigen selected from the group of Fibroblast Activation Protein (FAP), the A1 domain of Tenascin-C (TNC A1), the A2 domain of Tenascin-C (TNC A2), the Extra Domain B of Fibronectin (EDB), Carcinoembryonic Antigen (CEA) and Melanoma-associated Chondroitin Sulfate Proteoglycan  
15 (MCSP). In a particular embodiment the first antibody is directed to CEA. In another particular embodiment, the first antibody is directed to FAP.

In one embodiment the increased effector function of the second antibody is selected from the group of increased binding to an activating Fc receptor, increased ADCC, increased ADCP, increased CDC, and increased cytokine secretion. In one embodiment the increased effector  
20 function is increased binding to an activating Fc receptor. In a specific embodiment the activating Fc receptor is selected from the group of FcγRIIIa, FcγRI, and FcRγIIa. In one embodiment the activating Fc receptor is FcγRIIIa. In one embodiment the increased effector function is increased ADCC. In one embodiment the increased effector function is increased binding to an activating Fc receptor and increased ADCC.

25 In one embodiment the second antibody is engineered by introduction of one or more amino acid mutations in the Fc region. In a specific embodiment the amino acid mutations are amino acid substitutions. In one embodiment the second antibody is engineered by modification of the glycosylation in the Fc region. In a specific embodiment the modification of the glycosylation in the Fc region is an increased proportion of non-fucosylated oligosaccharides in the Fc region, as  
30 compared to a non-engineered antibody. In an even more specific embodiment the increased proportion of non-fucosylated oligosaccharides in the Fc region is at least 20%, preferably at least 50%, most preferably at least 70% of non-fucosylated oligosaccharides in the Fc region. In

another specific embodiment the modification of the glycosylation in the Fc region is an increased proportion of bisected oligosaccharides in the Fc region, as compared to a non-engineered antibody. In an even more specific embodiment the increased proportion of bisected oligosaccharides in the Fc region is at least about 20%, preferably at least 50%, and most preferably at least 70% of bisected oligosaccharides in the Fc region. In yet another specific embodiment the modification of the glycosylation in the Fc region is an increased proportion of bisected, non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody. Preferably the second antibody has at least about 25%, at least about 35%, or at least about 50% of bisected, non-fucosylated oligosaccharides in the Fc region. In a particular embodiment the second antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. An increased proportion of non-fucosylated oligosaccharides in the Fc region of an antibody results in the antibody having increased effector function, in particular increased ADCC. In a particular embodiment the non-fucosylated oligosaccharides are bisected, non-fucosylated oligosaccharides.

15 In one embodiment the second antibody is a full-length IgG class antibody, particularly a full-length IgG<sub>1</sub> subclass antibody. In certain embodiments the second antibody is directed to an antigen presented on a tumor cell. In a specific embodiment the second antibody is directed to an antigen selected from the group of CD20, Epidermal Growth Factor Receptor (EGFR), HER2, HER3, Insulin-like Growth Factor 1 Receptor (IGF-1R), c-Met, CUB domain-containing protein-1 (CDCP1), Carcinoembryonic Antigen (CEA) and Melanoma-associated Chondroitin Sulfate Proteoglycan (MCSP).

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In a particular embodiment the second antibody is an anti-CD20 antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-CD20 antibodies are described in WO 2005/044859, which is incorporated herein by reference in its entirety. In another particular embodiment the second antibody is an anti-EGFR antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-EGFR antibodies are described in WO 2006/082515 and WO 2008/017963, each of which is incorporated herein by reference in its entirety. In a further particular embodiment the second antibody is an anti-IGF-1R antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-IGF-1R antibodies are described in WO 2008/077546, which is incorporated herein

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by reference in its entirety. In yet another particular embodiment the second antibody is an anti-CEA antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-CEA antibodies are described in PCT publication number WO 2011/023787, which is incorporated herein by  
5 reference in its entirety. In yet another particular embodiment the second antibody is an anti-HER3 antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-HER3 antibodies are described in PCT publication number WO 2011/076683, which is incorporated herein by reference in its entirety. In yet another particular embodiment the second antibody is an anti-  
10 CDCP1 antibody engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. Suitable anti-CDCP1 antibodies are described in PCT publication number WO 2011/023389, which is incorporated herein by reference in its entirety. In one embodiment the second antibody is engineered to have modified glycosylation in the Fc region, as compared to a non-engineered  
15 antibody, by producing the antibody in a host cell having altered activity of one or more glycosyltransferase.

In one embodiment the second antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody, by producing the antibody in a host cell having increased  $\beta(1,4)$ -N-acetylglucosaminyltransferase  
20 III (GnTIII) activity. In a particular embodiment the host cell additionally has increased  $\alpha$ -mannosidase II (ManII) activity. In another embodiment the second antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody, by producing the antibody in a host cell having decreased  $\alpha(1,6)$ -fucosyltransferase activity.

25 In one embodiment the disease is a disorder treatable by stimulation of effector cell function. In one embodiment the disease is a cell proliferation disorder. In a particular embodiment the disease is cancer. In a specific embodiment the cancer is selected from the group of lung cancer, colorectal cancer, renal cancer, prostate cancer, breast cancer, head and neck cancer, ovarian cancer, brain cancer, lymphoma, leukemia, and skin cancer. In one embodiment the individual is  
30 a mammal. In a particular embodiment the individual is a human.

In a particular embodiment, the invention provides a combination of

- (a) an immunoconjugate comprising a first full-length IgG class antibody engineered to have reduced effector function by introduction of one or more amino acid mutation in the Fc region and a cytokine, wherein the effector moiety is fused at its amino-terminal amino acid to the carboxy-terminus of one of the heavy chains of the first antibody, optionally through a peptide linker, and
- (b) a second full-length IgG class antibody engineered to have increased effector function by modification of the glycosylation in the Fc region, for use in treating a disease in an individual in need thereof. In another aspect the invention provides a pharmaceutical composition comprising (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a pharmaceutically acceptable carrier.

The invention also encompasses the use of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for the manufacture of a medicament for the treatment of a disease in an individual.

The invention further provides a method of treating a disease in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a therapeutically effective amount.

Also provided by the invention is a method of stimulating effector cell function in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in an amount effective to stimulate effector cell function.

In a further aspect the invention provides a kit intended for the treatment of a disease, comprising in the same or in separate containers (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, (b) a second antibody engineered to have increased effector function, and (c) optionally a package insert comprising printed instructions directing the use of the combined treatment as a method for treating the disease.

It is understood that the immunoconjugate and the second antibody used in the pharmaceutical composition, use, methods and kit according to the invention may incorporate any of the features, singly or in combination, described in the preceding paragraphs in relation to the second antibodies and immunoconjugates useful for the invention.

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### Short Description of the Drawings

FIGURE 1. The FAP-targeted 28H1 IgG-IL-2 immunoconjugate (A) or the untargeted DP47GS IgG-IL-2 immunoconjugate (B), comprising the IL-2 quadruple mutant (qm) that lacks binding to CD25, and the anti-EGFR GlycoMab were tested in the human head and neck carcinoma cell line FaDu, intralingually injected into SCID mice. The data show that the combination of the  
10 28H1 IgG-IL2 qm immunoconjugate, but not the DP47GS IgG-IL2 qm immunoconjugate, and the anti-EGFR GlycoMab mediates superior efficacy in terms of enhanced median survival compared to the respective immunoconjugate or the anti-EGFR GlycoMab alone (see Example 1).

FIGURE 2. Overall A549 tumor cell killing by PBMCs (E:T = 10:1, 4 hours), pre-treated or not  
15 with 0.57 nM (A) or 5.7 nM (B) FAP-targeted 28H1 IgG-IL2 qm immunoconjugate or IL-2 (Proleukin), in the presence of different concentrations of anti-EGFR GlycoMab (see Example 2).

FIGURE 3. The CEA-targeted CH1A1A IgG-IL-2 immunoconjugate comprising the IL-2 quadruple mutant (qm) that lacks binding to CD25, and the anti-EGFR GlycoMab (A) or cetuximab (B) were tested in the human colorectal carcinoma cell line LS174T, intrasplenically  
20 injected into SCID FcγRIII transgenic mice. The data show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediates superior efficacy in terms of enhanced median and overall survival compared to the respective immunoconjugate, the anti-EGFR GlycoMab or cetuximab alone, as well as the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab (see Example 3).

25 FIGURE 4. The CEA-targeted CH1A1A IgG-IL-2 immunoconjugate comprising the IL-2 quadruple mutant (qm) that lacks binding to CD25, and the anti-EGFR GlycoMab (A) or cetuximab (B) were tested in the human lung carcinoma cell line A549, intravenously injected into SCID FcγRIII transgenic mice. The data show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediates superior efficacy in terms of  
30 enhanced median and overall survival compared to the respective immunoconjugate or the anti-

EGFR GlycoMab alone, as well as the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab (see Example 4).

FIGURE 5. The CEA-targeted CH1A1A IgG-IL-2 immunoconjugate comprising the IL-2 quadruple mutant (qm) that lacks binding to CD25, and the anti-Her3 GlycoMab were tested in the human colorectal carcinoma cell line LS174T, intrasplenically injected into SCID FcγRIII transgenic mice. The data show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-Her3 GlycoMab mediates superior efficacy in terms of enhanced median survival compared to the respective immunoconjugate or the anti-Her3 GlycoMab alone (see Example 5).

10 FIGURE 6. The FAP-targeted 28H1 IgG-IL-2 immunoconjugate comprising the IL-2 quadruple mutant (qm) that lacks binding to CD25, and the anti-EGFR GlycoMab were tested in the human renal carcinoma cell line ACHN, intrarenally injected into SCID FcγRIII transgenic mice. The data show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediates superior efficacy in terms of enhanced median and overall survival compared to the anti-EGFR GlycoMab alone, or the anti-EGFR GlycoMab in combination with Proleukin<sup>®</sup> (see Example 6).

FIGURE 7. Overall LS174T cell killing by PBMCs upon treatment with anti-Her3 GlycoMab alone (left panel), the CH1A1A IgG-IL-2 qm immunoconjugate alone (right panel) or the combination of the CH1A1A IgG-IL-2 qm immunoconjugate with the anti-Her3 GlycoMab (right panel).

FIGURE 8 Expression of CD25 (A) or CD69 (B) on NK cells upon treatment with anti-Her3 GlycoMab alone (left panel), the CH1A1A IgG-IL-2 qm immunoconjugate alone (right panel) or the combination of the CH1A1A IgG-IL-2 qm immunoconjugate with the anti-Her3 GlycoMab (right panel).

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### Detailed Description of the Invention

In a first aspect the present invention provides a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in treating a disease in an individual in need thereof.

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The invention further provides a method of treating a disease in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a therapeutically effective amount.

- 5 Also provided by the invention is a method of stimulating effector cell function in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in an amount effective to stimulate effector cell function.

## 10 Definitions

Terms are used herein as generally used in the art, unless otherwise defined in the following.

- As used herein, the term "immunoconjugate" refers to a polypeptide molecule that includes at least one effector moiety and an antibody. In certain embodiments, the immunoconjugate comprises not more than one effector moiety. Particular immunoconjugates according to the invention essentially consist of one effector moiety and an antibody joined by one or more peptide linkers. Particular immunoconjugates according to the invention are fusion proteins, i.e. the components of the immunconjugate are joined by peptide bonds.

- As used herein, the term "control antibody" refers to an antibody as it would exist free of effector moieties. For example, when comparing a IgG-IL2 immunoconjugate as described herein with a control antibody, the control antibody is free IgG, wherein the IgG-IL2 immunoconjugate and the free IgG molecule can both specifically bind to the same antigenic determinant.

- As used herein, the term "antigenic determinant" is synonymous with "antigen" and "epitope," and refers to a site (e.g. a contiguous stretch of amino acids or a conformational configuration made up of different regions of non-contiguous amino acids) on a polypeptide macromolecule to which an antibody binds, forming an antibody-antigen complex. Useful antigenic determinants can be found, for example, on the surfaces of tumor cells, on the surfaces of virus-infected cells, on the surfaces of other diseased cells, free in blood serum, and/or in the extracellular matrix (ECM).

By "specifically binds" is meant that the binding is selective for the antigen and can be discriminated from unwanted or non-specific interactions. The ability of an antibody to bind to a specific antigenic determinant can be measured either through an enzyme-linked immunosorbent assay (ELISA) or other techniques familiar to one of skill in the art, e.g. surface plasmon resonance technique (analyzed on a BIAcore instrument) (Liljeblad et al., Glyco J 17, 323-329 (2000)), and traditional binding assays (Heeley, Endocr Res 28, 217-229 (2002)).

The terms "anti-[antigen] antibody" and "an antibody that binds to [antigen]" refer to an antibody that is capable of binding the respective antigen with sufficient affinity such that the antibody is useful as a diagnostic and/or therapeutic agent in targeting the antigen. In one embodiment, the extent of binding of an anti-[antigen] antibody to an unrelated protein is less than about 10% of the binding of the antibody to the antigen as measured, e.g., by a radioimmunoassay (RIA). In certain embodiments, an antibody that binds to [antigen] has a dissociation constant ( $K_D$ ) of  $\leq 1\mu\text{M}$ ,  $\leq 100\text{ nM}$ ,  $\leq 10\text{ nM}$ ,  $\leq 1\text{ nM}$ ,  $\leq 0.1\text{ nM}$ ,  $\leq 0.01\text{ nM}$ , or  $\leq 0.001\text{ nM}$  (e.g.  $10^{-8}\text{ M}$  or less, e.g. from  $10^{-8}\text{ M}$  to  $10^{-13}\text{ M}$ , e.g., from  $10^{-9}\text{ M}$  to  $10^{-13}\text{ M}$ ).

"Affinity" refers to the strength of the sum total of non-covalent interactions between a single binding site of a molecule (e.g., an antibody) and its binding partner (e.g., an antigen). Unless indicated otherwise, as used herein, "binding affinity" refers to intrinsic binding affinity which reflects a 1:1 interaction between members of a binding pair (e.g., antibody and antigen). The affinity of a molecule X for its partner Y can generally be represented by the dissociation constant ( $K_D$ ), which is the ratio of dissociation and association rate constants ( $k_{\text{off}}$  and  $k_{\text{on}}$ , respectively). Thus, equivalent affinities may comprise different rate constants, as long as the ratio of the rate constants remains the same. Affinity can be measured by well established methods known in the art, including those described herein. A particular method for measuring affinity is Surface Plasmon Resonance (SPR).

According to one embodiment,  $K_D$  is measured by surface plasmon resonance using a BIACORE® T100 machine (GE Healthcare) at 25°C with ligand (e.g. effector moiety receptor, Fc receptor or target antigen) immobilized on CM5 chips. Briefly, carboxymethylated dextran biosensor chips (CM5, GE Healthcare) are activated with N-ethyl-N'-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) and N-hydroxysuccinimide (NHS) according to the supplier's instructions. Recombinant ligand is diluted with 10 mM sodium acetate, pH 5.5, to 0.5-30  $\mu\text{g/ml}$  before injection at a flow rate of 10  $\mu\text{l/minute}$  to achieve approximately 100-5000 response units (RU) of coupled protein. Following the injection of the ligand, 1 M ethanolamine

is injected to block unreacted groups. For kinetics measurements, three- to five-fold serial dilutions of immunoconjugate (range between ~0.01 nM to 300 nM) are injected in HBS-EP+ (GE Healthcare, 10 mM HEPES, 150 mM NaCl, 3 mM EDTA, 0.05% Surfactant P20, pH 7.4) at 25°C at a flow rate of approximately 30-50  $\mu$ l/min. Association rates ( $k_{on}$ ) and dissociation rates ( $k_{off}$ ) are calculated using a simple one-to-one Langmuir binding model (BIAcore  $\text{\textcircled{R}}$  T100 Evaluation Software version 1.1.1) by simultaneously fitting the association and dissociation sensorgrams. The equilibrium dissociation constant ( $K_D$ ) is calculated as the ratio  $k_{off}/k_{on}$ . See, e.g., Chen et al., J Mol Biol 293, 865-881 (1999).

“Reduced binding”, for example reduced binding to an Fc receptor or to CD25, refers to a decrease in affinity for the respective interaction, as measured for example by SPR. For clarity the term includes also reduction of the affinity to zero (or below the detection limit of the analytic method), i.e. complete abolishment of the interaction. Conversely, “increased binding” refers to an increase in binding affinity for the respective interaction.

As used herein, the terms "first" and "second" with respect to antibodies, effector moieties etc., are used for convenience of distinguishing when there is more than one of each type of moiety. Use of these terms is not intended to confer a specific order or orientation of the immunoconjugate unless explicitly so stated.

As used herein, the term "effector moiety" refers to a polypeptide, e.g., a protein or glycoprotein, that influences cellular activity, for example, through signal transduction or other cellular pathways. Accordingly, the effector moiety of the invention can be associated with receptor-mediated signaling that transmits a signal from outside the cell membrane to modulate a response in a cell bearing one or more receptors for the effector moiety. In one embodiment, an effector moiety can elicit a cytotoxic response in cells bearing one or more receptors for the effector moiety. In another embodiment, an effector moiety can elicit a proliferative response in cells bearing one or more receptors for the effector moiety. In another embodiment, an effector moiety can elicit differentiation in cells bearing receptors for the effector moiety. In another embodiment, an effector moiety can alter expression (*i.e.* upregulate or downregulate) of an endogenous cellular protein in cells bearing receptors for the effector moiety. Non-limiting examples of effector moieties include cytokines, growth factors, hormones, enzymes, substrates, and cofactors. The effector moiety can be associated with an antibody in a variety of configurations to form an immunoconjugate.

As used herein, the term "cytokine" refers to a molecule that mediates and/or regulates a biological or cellular function or process (e.g. immunity, inflammation, and hematopoiesis). The term "cytokine" as used herein includes "lymphokines," "chemokines," "monokines," and "interleukins". Examples of useful cytokines include, but are not limited to, GM-CSF, IL-1 $\alpha$ , IL-1 $\beta$ , IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12, IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , MIP-1 $\alpha$ , MIP-1 $\beta$ , TGF- $\beta$ , TNF- $\alpha$ , and TNF- $\beta$ . Particular cytokines are IL-2 and IL-12. The term "cytokine" as used herein is meant to also include cytokine variants comprising one or more amino acid mutations in the amino acid sequences of the corresponding wild-type cytokine, such as for example the IL-2 variants described in Sauv e et al., Proc Natl Acad Sci USA 88, 4636-40 (1991); Hu et al., Blood 101, 4853-4861 (2003) and US Pat. Publ. No. 2003/0124678; Shanafelt et al., Nature Biotechnol 18, 1197-1202 (2000); Heaton et al., Cancer Res 53, 2597-602 (1993) and US Pat. No. 5,229,109; US Pat. Publ. No. 2007/0036752; WO 2008/0034473; WO 2009/061853; or hereinabove and -below.

As used herein, the term "single-chain" refers to a molecule comprising amino acid monomers linearly linked by peptide bonds. In one embodiment, the effector moiety is a single-chain effector moiety. Non-limiting examples of single-chain effector moieties include cytokines, growth factors, hormones, enzymes, substrates, and cofactors. When the effector moiety is a cytokine and the cytokine of interest is normally found as a multimer in nature, each subunit of the multimeric cytokine is sequentially encoded by the single-chain of the effector moiety. Accordingly, non-limiting examples of useful single-chain effector moieties include GM-CSF, IL-1 $\alpha$ , IL-1 $\beta$ , IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12, IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , MIP-1 $\alpha$ , MIP-1 $\beta$ , TGF- $\beta$ , TNF- $\alpha$ , and TNF- $\beta$ .

As used herein, the term "control effector moiety" refers to an unconjugated effector moiety. For example, when comparing an IL-2 immunoconjugate as described herein with a control effector moiety, the control effector moiety is free, unconjugated IL-2. Likewise, e.g., when comparing an IL-12 immunoconjugate with a control effector moiety, the control effector moiety is free, unconjugated IL-12 (e.g. existing as a heterodimeric protein wherein the p40 and p35 subunits share only disulfide bond(s)).

As used herein, the term "effector moiety receptor" refers to a polypeptide molecule capable of binding specifically to an effector moiety. For example, where IL-2 is the effector moiety, the effector moiety receptor that binds to an IL-2 molecule (e.g. an immunoconjugate comprising IL-2) is the IL-2 receptor. Similarly, e.g., where IL-12 is the effector moiety of an

immunoconjugate, the effector moiety receptor is the IL-12 receptor. Where an effector moiety specifically binds to more than one receptor, all receptors that specifically bind to the effector moiety are “effector moiety receptors” for that effector moiety.

The term "antibody" herein is used in the broadest sense and encompasses various antibody  
5 structures, including but not limited to monoclonal antibodies, polyclonal antibodies, multispecific antibodies (e.g. bispecific antibodies), and antibody fragments so long as they exhibit the desired antigen-binding activity and comprise an Fc region or a region equivalent to the Fc region of an immunoglobulin.

The terms “full-length antibody,” “intact antibody,” and “whole antibody” are used herein  
10 interchangeably to refer to an antibody having a structure substantially similar to a native immunoglobulin structure.

The term “immunoglobulin” refers to a protein having the structure of a naturally occurring antibody. For example, immunoglobulins of the IgG class are heterotetrameric glycoproteins of about 150,000 daltons, composed of two light chains and two heavy chains that are disulfide-  
15 bonded. From N- to C-terminus, each heavy chain has a variable region (VH), also called a variable heavy domain or a heavy chain variable domain, followed by three constant domains (CH1, CH2, and CH3), also called a heavy chain constant region. Similarly, from N- to C-terminus, each light chain has a variable region (VL), also called a variable light domain or a light chain variable domain, followed by a constant light (CL) domain, also called a light chain  
20 constant region. The heavy chain of an immunoglobulin may be assigned to one of five types, called  $\alpha$  (IgA),  $\delta$  (IgD),  $\epsilon$  (IgE),  $\gamma$  (IgG), or  $\mu$  (IgM), some of which may be further divided into subtypes, e.g.  $\gamma_1$  (IgG<sub>1</sub>),  $\gamma_2$  (IgG<sub>2</sub>),  $\gamma_3$  (IgG<sub>3</sub>),  $\gamma_4$  (IgG<sub>4</sub>),  $\alpha_1$  (IgA<sub>1</sub>) and  $\alpha_2$  (IgA<sub>2</sub>). The light chain of an immunoglobulin may be assigned to one of two types, called kappa ( $\kappa$ ) and lambda ( $\lambda$ ), based on the amino acid sequence of its constant domain. An immunoglobulin essentially consists of  
25 two Fab molecules and an Fc region, linked via the immunoglobulin hinge region.

An "antibody fragment" refers to a molecule other than an intact antibody that comprises a portion of an intact antibody that binds the antigen to which the intact antibody binds. Examples of antibody fragments include but are not limited to Fv, Fab, Fab', Fab'-SH, F(ab')<sub>2</sub>, diabodies, linear antibodies, single-chain antibody molecules (e.g. scFv), single-domain antibodies, and  
30 multispecific antibodies formed from antibody fragments. For a review of certain antibody fragments, see Hudson et al., Nat Med 9, 129-134 (2003). For a review of scFv fragments, see

- e.g. Plückerthun, in *The Pharmacology of Monoclonal Antibodies*, vol. 113, Rosenberg and Moore eds., Springer-Verlag, New York, pp. 269-315 (1994); see also WO 93/16185; and U.S. Patent Nos. 5,571,894 and 5,587,458. For discussion of Fab and F(ab')<sub>2</sub> fragments comprising salvage receptor binding epitope residues and having increased in vivo half-life, see U.S. Patent No. 5,869,046. Diabodies are antibody fragments with two antigen-binding sites that may be bivalent or bispecific. See, for example, EP 404,097; WO 1993/01161; Hudson et al., *Nat Med* 9, 129-134 (2003); and Hollinger et al., *Proc Natl Acad Sci USA* 90, 6444-6448 (1993). Triabodies and tetrabodies are also described in Hudson et al., *Nat Med* 9, 129-134 (2003). Single-domain antibodies are antibody fragments comprising all or a portion of the heavy chain variable domain or all or a portion of the light chain variable domain of an antibody. In certain embodiments, a single-domain antibody is a human single-domain antibody (Domantis, Inc., Waltham, MA; see e.g. U.S. Patent No. 6,248,516 B1). Antibody fragments can be made by various techniques, including but not limited to proteolytic digestion of an intact antibody as well as production by recombinant host cells (e.g. *E. coli* or phage), as described herein.
- 15 The term "antigen binding domain" refers to the part of an antibody that comprises the area which specifically binds to and is complementary to part or all of an antigen. An antigen binding domain may be provided by, for example, one or more antibody variable domains (also called antibody variable regions). Particularly, an antigen binding domain comprises an antibody light chain variable region (VL) and an antibody heavy chain variable region (VH).
- 20 The term "variable region" or "variable domain" refers to the domain of an antibody heavy or light chain that is involved in binding the antibody to antigen. The variable domains of the heavy chain and light chain (VH and VL, respectively) of a native antibody generally have similar structures, with each domain comprising four conserved framework regions (FRs) and three hypervariable regions (HVRs). See, e.g., Kindt et al., *Kuby Immunology*, 6<sup>th</sup> ed., W.H. Freeman and Co., page 91 (2007). A single VH or VL domain may be sufficient to confer antigen-binding specificity.

The term "hypervariable region" or "HVR", as used herein, refers to each of the regions of an antibody variable domain which are hypervariable in sequence and/or form structurally defined loops ("hypervariable loops"). Generally, native four-chain antibodies comprise six HVRs; three in the VH (H1, H2, H3), and three in the VL (L1, L2, L3). HVRs generally comprise amino acid residues from the hypervariable loops and/or from the complementarity determining regions (CDRs), the latter being of highest sequence variability and/or involved in antigen recognition.

With the exception of CDR1 in V<sub>H</sub>, CDRs generally comprise the amino acid residues that form the hypervariable loops. Hypervariable regions (HVRs) are also referred to as “complementarity determining regions” (CDRs), and these terms are used herein interchangeably in reference to portions of the variable region that form the antigen binding regions. This particular region has  
 5 been described by Kabat et al., U.S. Dept. of Health and Human Services, Sequences of Proteins of Immunological Interest (1983) and by Chothia et al., J Mol Biol 196:901-917 (1987), where the definitions include overlapping or subsets of amino acid residues when compared against each other. Nevertheless, application of either definition to refer to a CDR of an antibody or variants thereof is intended to be within the scope of the term as defined and used herein. The  
 10 appropriate amino acid residues which encompass the CDRs as defined by each of the above cited references are set forth below in Table 1 as a comparison. The exact residue numbers which encompass a particular CDR will vary depending on the sequence and size of the CDR. Those skilled in the art can routinely determine which residues comprise a particular CDR given the variable region amino acid sequence of the antibody.

15

TABLE 1. CDR Definitions<sup>1</sup>

<b>CDR</b>	<b>Kabat</b>	<b>Chothia</b>	<b>AbM<sup>2</sup></b>
V <sub>H</sub> CDR1	31-35	26-32	26-35
V <sub>H</sub> CDR2	50-65	52-58	50-58
V <sub>H</sub> CDR3	95-102	95-102	95-102
V <sub>L</sub> CDR1	24-34	26-32	24-34
V <sub>L</sub> CDR2	50-56	50-52	50-56
V <sub>L</sub> CDR3	89-97	91-96	89-97

<sup>1</sup>Numbering of all CDR definitions in Table 1 is according to the numbering conventions set forth by Kabat et al. (see below).

20 <sup>2</sup>"AbM" with a lowercase "b" as used in Table 1 refers to the CDRs as defined by Oxford Molecular's "AbM" antibody modeling software.

Kabat et al. also defined a numbering system for variable region sequences that is applicable to any antibody. One of ordinary skill in the art can unambiguously assign this system of "Kabat numbering" to any variable region sequence, without reliance on any experimental data beyond  
 25 the sequence itself. As used herein, "Kabat numbering" refers to the numbering system set forth by Kabat et al., U.S. Dept. of Health and Human Services, "Sequence of Proteins of Immunological Interest" (1983). Unless otherwise specified, references to the numbering of specific amino acid residue positions in an antibody variable region are according to the Kabat numbering system.

The polypeptide sequences of the sequence listing (i.e., SEQ ID NOs 3, 4, 5, 6, 7, 8, 9, etc.) are not numbered according to the Kabat numbering system. However, it is well within the ordinary skill of one in the art to convert the numbering of the sequences of the Sequence Listing to Kabat numbering.

- 5 "Framework" or "FR" refers to variable domain residues other than hypervariable region (HVR) residues. The FR of a variable domain generally consists of four FR domains: FR1, FR2, FR3, and FR4. Accordingly, the HVR and FR sequences generally appear in the following sequence in VH (or VL): FR1-H1(L1)-FR2-H2(L2)-FR3-H3(L3)-FR4.

10 The "class" of an antibody refers to the type of constant domain or constant region possessed by its heavy chain. There are five major classes of antibodies: IgA, IgD, IgE, IgG, and IgM, and several of these may be further divided into subclasses (isotypes), e.g., IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub>, IgG<sub>4</sub>, IgA<sub>1</sub>, and IgA<sub>2</sub>. The heavy chain constant domains that correspond to the different classes of immunoglobulins are called  $\alpha$ ,  $\delta$ ,  $\epsilon$ ,  $\gamma$ , and  $\mu$ , respectively.

15 The term "Fc region" or "Fc domain" herein is used to define a C-terminal region of an immunoglobulin heavy chain that contains at least a portion of the constant region. The term includes native sequence Fc regions and variant Fc regions. Although the boundaries of the Fc region of an IgG heavy chain might vary slightly, the human IgG heavy chain Fc region is usually defined to extend from Cys226, or from Pro230, to the carboxyl-terminus of the heavy chain. However, the C-terminal lysine (Lys447) of the Fc region may or may not be present.  
20 Unless otherwise specified herein, numbering of amino acid residues in the Fc region or constant region is according to the EU numbering system, also called the EU index, as described in Kabat et al., Sequences of Proteins of Immunological Interest, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD, 1991.

25 A "region equivalent to the Fc region of an immunoglobulin" is intended to include naturally occurring allelic variants of the Fc region of an immunoglobulin as well as variants having alterations which produce substitutions, additions, or deletions but which do not decrease substantially the ability of the immunoglobulin to mediate effector functions (such as antibody-dependent cell-mediated cytotoxicity). For example, one or more amino acids can be deleted from the N-terminus or C-terminus of the Fc region of an immunoglobulin without substantial  
30 loss of biological function. Such variants can be selected according to general rules known in the art so as to have minimal effect on activity (see, e.g., Bowie et al., Science 247, 1306-10 (1990)).

A “modification promoting heterodimerization” is a manipulation of the peptide backbone or the post-translational modifications of a polypeptide, e.g. an immunoglobulin heavy chain, that reduces or prevents the association of the polypeptide with an identical polypeptide to form a homodimer. A modification promoting heterodimerization as used herein particularly includes  
5 separate modifications made to each of two polypeptides desired to form a dimer, wherein the modifications are complementary to each other so as to promote association of the two polypeptides. For example, a modification promoting heterodimerization may alter the structure or charge of one or both of the polypeptides desired to form a dimer so as to make their association sterically or electrostatically favorable, respectively. Heterodimerization occurs  
10 between two non-identical polypeptides, such as two immunoglobulin heavy chains wherein further immunoconjugate components fused to each of the heavy chains (e.g. effector moiety) are not the same. In the immunoconjugates of the present invention, the modification promoting heterodimerization is in the heavy chain(s), specifically in the Fc region, of an immunoglobulin molecule. In some embodiments the modification promoting heterodimerization comprises an  
15 amino acid mutation, specifically an amino acid substitution. In a particular embodiment, the modification promoting heterodimerization comprises a separate amino acid mutation, specifically an amino acid substitution, in each of the two immunoglobulin heavy chains.

The term “effector functions” when used in reference to antibodies refer to those biological activities attributable to the Fc region of an antibody, which vary with the antibody isotype.  
20 Examples of antibody effector functions include: C1q binding and complement dependent cytotoxicity (CDC), Fc receptor binding, antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), cytokine secretion, immune complex-mediated antigen uptake by antigen presenting cells, down regulation of cell surface receptors (e.g. B cell receptor), and B cell activation.

25 As used herein, the term “effector cells” refers to a population of lymphocytes that display effector moiety receptors, e.g. cytokine receptors, and/or Fc receptors on their surface through which they bind an effector moiety, e.g. a cytokine, and/or an Fc region of an antibody and contribute to the destruction of target cells, e.g. tumor cells. Effector cells may for example mediate cytotoxic or phagocytic effects. Effector cells include, but are not limited to, effector T  
30 cells such as CD8<sup>+</sup> cytotoxic T cells, CD4<sup>+</sup> helper T cells,  $\gamma\delta$  T cells, NK cells, lymphokine-activated killer (LAK) cells and macrophages/monocytes. Depending on their receptor expression pattern there may be different subsets of effector cells, i.e. (a) cells that express

receptors for a particular effector moiety but no Fc receptors and are stimulated by the immunoconjugates but not the antibodies of the invention (e.g. T cells, expressing IL-2 receptors); (b) cells that express Fc receptors but no receptors for a particular effector moiety and are stimulated by the antibodies but not the immunoconjugates of the invention; and (c) cells that  
5 express both Fc receptors and receptors for a particular effector moiety and are simultaneously stimulated by the antibodies and the immunoconjugates of the invention (e.g. NK cells, expressing Fc $\gamma$ III receptors and IL-2 receptors).

As used herein, the terms “engineer, engineered, engineering,” are considered to include any manipulation of the peptide backbone or the post-translational modifications of a naturally  
10 occurring or recombinant polypeptide or fragment thereof. Engineering includes modifications of the amino acid sequence, of the glycosylation pattern, or of the side chain group of individual amino acids, as well as combinations of these approaches. “Engineering”, particularly with the prefix “glyco-”, as well as the term “glycosylation engineering” includes metabolic engineering of the glycosylation machinery of a cell, including genetic manipulations of the oligosaccharide  
15 synthesis pathways to achieve altered glycosylation of glycoproteins expressed in cells. Furthermore, glycosylation engineering includes the effects of mutations and cell environment on glycosylation. In one embodiment, the glycosylation engineering is an alteration in glycosyltransferase activity. In a particular embodiment, the engineering results in altered glucosaminyltransferase activity and/or fucosyltransferase activity. Glycosylation engineering  
20 can be used to obtain a “host cell having increased GnTIII activity” (e.g. a host cell that has been manipulated to express increased levels of one or more polypeptides having  $\beta$ (1,4)-N-acetylglucosaminyltransferase III (GnTIII) activity), a “host cell having increased ManII activity” (e.g. a host cell that has been manipulated to express increased levels of one or more polypeptides having  $\alpha$ -mannosidase II (ManII) activity), or a “host cell having decreased  $\alpha$ (1,6)  
25 fucosyltransferase activity” (e.g. a host cell that has been manipulated to express decreased levels of  $\alpha$ (1,6) fucosyltransferase).

The term “amino acid mutation” as used herein is meant to encompass amino acid substitutions, deletions, insertions, and modifications. Any combination of substitution, deletion, insertion, and modification can be made to arrive at the final construct, provided that the final construct  
30 possesses the desired characteristics, e.g., reduced binding to an Fc receptor. Amino acid sequence deletions and insertions include amino- and/or carboxy-terminal deletions and insertions of amino acids. Particular amino acid mutations are amino acid substitutions. For the

purpose of altering e.g. the binding characteristics of an Fc region, non-conservative amino acid substitutions, i.e. replacing one amino acid with another amino acid having different structural and/or chemical properties, are particularly preferred. Amino acid substitutions include replacement by non-naturally occurring amino acids or by naturally occurring amino acid derivatives of the twenty standard amino acids (e.g. 4-hydroxyproline, 3-methylhistidine, ornithine, homoserine, 5-hydroxylysine). Amino acid mutations can be generated using genetic or chemical methods well known in the art. Genetic methods may include site-directed mutagenesis, PCR, gene synthesis and the like. It is contemplated that methods of altering the side chain group of an amino acid by methods other than genetic engineering, such as chemical modification, may also be useful. Various designations may be used herein to indicate the same amino acid mutation. For example, a substitution from proline at position 329 of the Fc region to glycine can be indicated as 329G, G329, G<sub>329</sub>, P329G, or Pro329Gly.

"Percent (%) amino acid sequence identity" with respect to a reference polypeptide sequence is defined as the percentage of amino acid residues in a candidate sequence that are identical with the amino acid residues in the reference polypeptide sequence, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent sequence identity, and not considering any conservative substitutions as part of the sequence identity. Alignment for purposes of determining percent amino acid sequence identity can be achieved in various ways that are within the skill in the art, for instance, using publicly available computer software such as BLAST, BLAST-2, ALIGN or Megalign (DNASTAR) software. Those skilled in the art can determine appropriate parameters for aligning sequences, including any algorithms needed to achieve maximal alignment over the full length of the sequences being compared. For purposes herein, however, % amino acid sequence identity values are generated using the sequence comparison computer program ALIGN-2. The ALIGN-2 sequence comparison computer program was authored by Genentech, Inc., and the source code has been filed with user documentation in the U.S. Copyright Office, Washington D.C., 20559, where it is registered under U.S. Copyright Registration No. TXU510087. The ALIGN-2 program is publicly available from Genentech, Inc., South San Francisco, California, or may be compiled from the source code. The ALIGN-2 program should be compiled for use on a UNIX operating system, including digital UNIX V4.0D. All sequence comparison parameters are set by the ALIGN-2 program and do not vary. In situations where ALIGN-2 is employed for amino acid sequence comparisons, the % amino acid sequence identity of a given amino acid sequence A to, with, or against a given amino acid sequence B (which can alternatively be phrased as a given amino acid sequence A

that has or comprises a certain % amino acid sequence identity to, with, or against a given amino acid sequence B) is calculated as follows:

$$100 \text{ times the fraction } X/Y$$

where X is the number of amino acid residues scored as identical matches by the sequence alignment program ALIGN-2 in that program's alignment of A and B, and where Y is the total number of amino acid residues in B. It will be appreciated that where the length of amino acid sequence A is not equal to the length of amino acid sequence B, the % amino acid sequence identity of A to B will not equal the % amino acid sequence identity of B to A. Unless specifically stated otherwise, all % amino acid sequence identity values used herein are obtained as described in the immediately preceding paragraph using the ALIGN-2 computer program.

The terms "host cell," "host cell line," and "host cell culture" are used interchangeably and refer to cells into which exogenous nucleic acid has been introduced, including the progeny of such cells. Host cells include "transformants" and "transformed cells," which include the primary transformed cell and progeny derived therefrom without regard to the number of passages. Progeny may not be completely identical in nucleic acid content to a parent cell, but may contain mutations. Mutant progeny that have the same function or biological activity as screened or selected for in the originally transformed cell are included herein. A host cell is any type of cellular system that can be used to generate the antibodies and immunoconjugates used for the present invention. In one embodiment, the host cell is engineered to allow the production of an antibody with modified oligosaccharides. In certain embodiments, the host cells have been manipulated to express increased levels of one or more polypeptides having  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII) activity. In certain embodiments the host cells have been further manipulated to express increased levels of one or more polypeptides having  $\alpha$ -mannosidase II (ManII) activity. Host cells include cultured cells, *e.g.* mammalian cultured cells, such as CHO cells, BHK cells, NS0 cells, SP2/0 cells, YO myeloma cells, P3X63 mouse myeloma cells, PER cells, PER.C6 cells or hybridoma cells, yeast cells, insect cells, and plant cells, to name only a few, but also cells comprised within a transgenic animal, transgenic plant or cultured plant or animal tissue.

As used herein, the term "polypeptide having GnTIII activity" refers to polypeptides that are able to catalyze the addition of a N-acetylglucosamine (GlcNAc) residue in  $\beta$ -1,4 linkage to the  $\beta$ -linked mannoside of the trimannosyl core of N-linked oligosaccharides. This includes fusion

polypeptides exhibiting enzymatic activity similar to, but not necessarily identical to, an activity of  $\beta(1,4)$ -N-acetylglucosaminyltransferase III, also known as  $\beta$ -1,4-mannosyl-glycoprotein 4-beta-N-acetylglucosaminyl-transferase (EC 2.4.1.144), according to the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (NC-IUBMB), as measured in a particular biological assay, with or without dose dependency. In the case where dose dependency does exist, it need not be identical to that of GnTIII, but rather substantially similar to the dose-dependency in a given activity as compared to the GnTIII (i.e. the candidate polypeptide will exhibit greater activity or not more than about 25-fold less and, preferably, not more than about ten-fold less activity, and most preferably, not more than about three-fold less activity relative to the GnTIII). In certain embodiments the polypeptide having GnTIII activity is a fusion polypeptide comprising the catalytic domain of GnTIII and the Golgi localization domain of a heterologous Golgi resident polypeptide. Particularly, the Golgi localization domain is the localization domain of mannosidase II or GnTI, most particularly the localization domain of mannosidase II. Alternatively, the Golgi localization domain is selected from the group consisting of: the localization domain of mannosidase I, the localization domain of GnTII, and the localization domain of  $\alpha$ 1,6 core fucosyltransferase. Methods for generating such fusion polypeptides and using them to produce antibodies with increased effector functions are disclosed in WO2004/065540, U.S. Provisional Pat. Appl. No. 60/495,142 and U.S. Pat. Appl. Publ. No. 2004/0241817, the entire contents of which are expressly incorporated herein by reference.

As used herein, the term "Golgi localization domain" refers to the amino acid sequence of a Golgi resident polypeptide which is responsible for anchoring the polypeptide to a location within the Golgi complex. Generally, localization domains comprise amino terminal "tails" of an enzyme.

As used herein, the term "polypeptide having ManII activity" refers to polypeptides that are able to catalyze the hydrolysis of the terminal 1,3- and 1,6-linked  $\alpha$ -D-mannose residues in the branched  $\text{GlcNAcMan}_5\text{GlcNAc}_2$  mannose intermediate of N-linked oligosaccharides. This includes polypeptides exhibiting enzymatic activity similar to, but not necessarily identical to, an activity of Golgi  $\alpha$ -mannosidase II, also known as mannosyl oligosaccharide 1,3-1,6- $\alpha$ -mannosidase II (EC 3.2.1.114), according to the Nomenclature Committee of the International Union of Biochemistry and Molecular Biology (NC-IUBMB).

An “activating Fc receptor” is an Fc receptor that following engagement by an Fc region of an antibody elicits signaling events that stimulate the receptor-bearing cell to perform effector functions. Activating Fc receptors include FcγRIIIa (CD16a), FcγRI (CD64), FcγRIIa (CD32), and FcαRI (CD89).

5 Antibody-dependent cell-mediated cytotoxicity (ADCC) is an immune mechanism leading to the lysis of antibody-coated target cells by immune effector cells. The target cells are cells to which antibodies or fragments thereof comprising an Fc region specifically bind, generally via the protein part that is N-terminal to the Fc region. As used herein, the term “increased/reduced ADCC” is defined as either an increase/reduction in the number of target cells that are lysed in a  
10 given time, at a given concentration of antibody in the medium surrounding the target cells, by the mechanism of ADCC defined above, and/or a reduction/increase in the concentration of antibody, in the medium surrounding the target cells, required to achieve the lysis of a given number of target cells in a given time, by the mechanism of ADCC. The increase/reduction in ADCC is relative to the ADCC mediated by the same antibody produced by the same type of  
15 host cells, using the same standard production, purification, formulation and storage methods (which are known to those skilled in the art), but that has not been engineered. For example the increase in ADCC mediated by an antibody produced by host cells engineered to have an altered pattern of glycosylation (e.g. to express the glycosyltransferase, GnTIII, or other glycosyltransferases) by the methods described herein, is relative to the ADCC mediated by the  
20 same antibody produced by the same type of non-engineered host cells.

By “antibody having increased/reduced antibody dependent cell-mediated cytotoxicity (ADCC)” is meant an antibody having increased/reduced ADCC as determined by any suitable method known to those of ordinary skill in the art. One accepted *in vitro* ADCC assay is as follows:

- 1) the assay uses target cells that are known to express the target antigen  
25 recognized by the antigen-binding region of the antibody;
- 2) the assay uses human peripheral blood mononuclear cells (PBMCs), isolated from blood of a randomly chosen healthy donor, as effector cells;
- 3) the assay is carried out according to following protocol:
  - i) the PBMCs are isolated using standard density centrifugation procedures  
30 and are suspended at  $5 \times 10^6$  cells/ml in RPMI cell culture medium;
  - ii) the target cells are grown by standard tissue culture methods, harvested from the exponential growth phase with a viability higher than 90%, washed in RPMI cell

culture medium, labeled with 100 micro-Curies of  $^{51}\text{Cr}$ , washed twice with cell culture medium, and resuspended in cell culture medium at a density of  $10^5$  cells/ml;

iii) 100 microliters of the final target cell suspension above are transferred to each well of a 96-well microtiter plate;

5 iv) the antibody is serially-diluted from 4000 ng/ml to 0.04 ng/ml in cell culture medium and 50 microliters of the resulting antibody solutions are added to the target cells in the 96-well microtiter plate, testing in triplicate various antibody concentrations covering the whole concentration range above;

10 v) for the maximum release (MR) controls, 3 additional wells in the plate containing the labeled target cells, receive 50 microliters of a 2% (V/V) aqueous solution of non-ionic detergent (Nonidet, Sigma, St. Louis), instead of the antibody solution (point iv above);

vi) for the spontaneous release (SR) controls, 3 additional wells in the plate containing the labeled target cells, receive 50 microliters of RPMI cell culture medium instead of the antibody solution (point iv above);

15 vii) the 96-well microtiter plate is then centrifuged at  $50 \times g$  for 1 minute and incubated for 1 hour at  $4^\circ\text{C}$ ;

viii) 50 microliters of the PBMC suspension (point i above) are added to each well to yield an effector:target cell ratio of 25:1 and the plates are placed in an incubator under 5%  $\text{CO}_2$  atmosphere at  $37^\circ\text{C}$  for 4 hours;

20 ix) the cell-free supernatant from each well is harvested and the experimentally released radioactivity (ER) is quantified using a gamma counter;

x) the percentage of specific lysis is calculated for each antibody concentration according to the formula  $(\text{ER}-\text{MR})/(\text{MR}-\text{SR}) \times 100$ , where ER is the average radioactivity quantified (see point ix above) for that antibody concentration, MR is the average radioactivity quantified (see point ix above) for the MR controls (see point v above), and SR is the average radioactivity quantified (see point ix above) for the SR controls (see point vi above);

25 4) "increased/reduced ADCC" is defined as either an increase/reduction in the maximum percentage of specific lysis observed within the antibody concentration range tested above, and/or a reduction/increase in the concentration of antibody required to achieve one  
30 half of the maximum percentage of specific lysis observed within the antibody concentration range tested above. The increase/reduction in ADCC is relative to the ADCC, measured with the above assay, mediated by the same antibody, produced by the same type of host cells, using the

same standard production, purification, formulation and storage methods, which are known to those skilled in the art, but that has not been engineered.

As used herein, "combination" (and grammatical variations thereof such as "combine" or "combining") encompasses combinations of an immunoconjugate and an antibody according to  
5 the invention wherein the immunoconjugate and the antibody are in the same or in different containers, in the same or in different pharmaceutical formulations, administered together or separately, administered simultaneously or sequentially, in any order, and administered by the same or by different routes, provided that the immunoconjugate and the antibody can simultaneously exert their biological effects in the body, i.e. simultaneously stimulate effector  
10 cells. For example "combining" an immunoconjugate and an antibody according to the invention may mean first administering the immunoconjugate in a particular pharmaceutical formulation, followed by administration of the antibody in another pharmaceutical formulation, or *vice versa*.

An "effective amount" of an agent refers to the amount that is necessary to result in a physiological change in the cell or tissue to which it is administered.

15 A "therapeutically effective amount" of an agent, e.g. a pharmaceutical composition, refers to an amount effective, at dosages and for periods of time necessary, to achieve the desired therapeutic or prophylactic result. A therapeutically effective amount of an agent for example eliminates, decreases, delays, minimizes or prevents adverse effects of a disease. A therapeutically effective amount of a combination of several active ingredients may be a therapeutically effective amount  
20 of each of the active ingredients. Alternatively, to reduce the side effects caused by the treatment, a therapeutically effective amount of a combination of several active ingredients may be amounts of the individual active ingredients that are effective to produce an additive, or a superadditive or synergistic effect, and that in combination are therapeutically effective, but which may be sub-therapeutic amounts of one or several of the active ingredients if they were used alone.

25 An "individual" or "subject" is a mammal. Mammals include, but are not limited to, domesticated animals (e.g. cows, sheep, cats, dogs, and horses), primates (e.g. humans and non-human primates such as monkeys), rabbits, and rodents (e.g. mice and rats). Particularly, the individual or subject is a human.

The term "pharmaceutical composition" refers to a preparation which is in such form as to permit  
30 the biological activity of an active ingredient contained therein to be effective, and which

contains no additional components which are unacceptably toxic to a subject to which the formulation would be administered.

A “pharmaceutically acceptable carrier” refers to an ingredient in a pharmaceutical formulation, other than an active ingredient, which is nontoxic to a subject. A pharmaceutically acceptable  
5 carrier includes, but is not limited to, a buffer, excipient, stabilizer, or preservative.

As used herein, “treatment” (and grammatical variations thereof such as “treat” or “treating”) refers to clinical intervention in an attempt to alter the natural course of a disease in the individual being treated, and can be performed either for prophylaxis or during the course of clinical pathology. Desirable effects of treatment include, but are not limited to, preventing  
10 occurrence or recurrence of disease, alleviation of symptoms, diminishment of any direct or indirect pathological consequences of the disease, preventing metastasis, decreasing the rate of disease progression, amelioration or palliation of the disease state, and remission or improved prognosis. In some embodiments, combinations of the invention are used to delay development of a disease or to slow the progression of a disease.

15 The term “package insert” is used to refer to instructions customarily included in commercial packages of therapeutic products, that contain information about the indications, usage, dosage, administration, combination therapy, contraindications and/or warnings concerning the use of such therapeutic products.

### **Immunoconjugates**

20 Immunoconjugates useful in the present invention are polypeptide molecules that comprise an effector moiety and an antibody engineered to have reduced effector function, as compared to a corresponding non-engineered antibody.

Immunoconjugates can be prepared either by chemically conjugating the effector moiety to the antibody, or by expressing the effector moiety and the antibody as a fusion protein (see, e.g.  
25 Nakamura and Kubo, *Cancer* 80, 2650-2655 (1997); and Becker et al., *Proc Natl Acad Sci USA* 93, 7826-7831 (1996)). For use in the present invention, immunoconjugates expressed as fusion proteins are generally preferred. Accordingly, in certain embodiments the effector moiety shares an amino- or carboxy-terminal peptide bond with the antibody (i.e. the immunoconjugate is a fusion protein). In such immunoconjugates, an effector moiety may for example be fused to an  
30 immunoglobulin heavy or light chain. Particularly useful in the present invention are

immunoconjugates comprising a full-length IgG class antibody, particularly a full-length IgG<sub>1</sub> sub-class antibody.

In one embodiment, the effector moiety is a single-chain effector moiety. In one embodiment the effector moiety is a cytokine. The antibodies and effector moieties of the immunoconjugate include those that are described in detail herein above and below. The antibody of the immunoconjugate can be directed against a variety of target molecules (e.g. an antigenic determinant on a protein molecule expressed on a tumor cell or tumor stroma). Non-limiting examples of antibodies are described herein. Particularly useful immunoconjugates as described herein typically exhibit one or more of the following properties: high specificity of action, reduced toxicity, good produceability and/or improved stability, particularly as compared to immunoconjugates of different configurations targeting the same antigenic determinants and carrying the same effector moieties. Particular immunoconjugates for use in the present invention are further described in PCT publication number WO 2012/146628, the entire contents of which are incorporated herein by reference.

#### 15 Immunoconjugate Formats

The immunoconjugates described in PCT publication number WO 2012/146628 comprise not more than one effector moiety. Accordingly, in a particular embodiment, the immunoconjugate for use in the present invention comprises not more than one effector moiety. In a particular embodiment, the effector moiety is a single chain effector moiety. The antibody comprised in the immunoconjugates according to the invention is particularly a full-length IgG class antibody, more particularly a full-length IgG<sub>1</sub> sub-class antibody. In one embodiment the antibody is human. In other embodiments, the antibody is humanized or chimeric. In one embodiment, the antibody comprises a human Fc region, more particularly a human IgG Fc region, most particularly a human IgG<sub>1</sub> Fc region. The antibodies useful in the invention may comprise a human Ig gamma-1 heavy chain constant region, as set forth in SEQ ID NO: 124 (i.e. the antibodies are of human IgG<sub>1</sub> subclass).

In one embodiment the effector moiety shares an amino- or carboxy-terminal peptide bond with the antibody. In one embodiment, the immunoconjugate essentially consists of an effector moiety and an antibody, particularly an IgG class antibody, more particularly an IgG<sub>1</sub> sub-class antibody, joined by one or more peptide linkers. In a specific embodiment the effector moiety is

fused at its amino-terminal amino acid to the carboxy-terminal amino acid of one of the immunoglobulin heavy chains, optionally through a peptide linker.

In certain embodiments, particularly where the immunoconjugate comprises only a single effector moiety, the antibody comprises in the Fc region a modification promoting  
5 heterodimerization of two non-identical immunoglobulin heavy chains. The site of most extensive protein-protein interaction between the two polypeptide chains of a human IgG Fc region is in the CH3 domain of the Fc region. Thus, in one embodiment said modification is in the CH3 domain of the Fc region. In a specific embodiment said modification is a knob-into-hole modification, comprising a knob modification in one of the immunoglobulin heavy chains and a  
10 hole modification in the other one of the immunoglobulin heavy chains. The knob-into-hole technology is described e.g. in US 5,731,168; US 7,695,936; Ridgway et al., *Prot Eng* 9, 617-621 (1996) and Carter, *J Immunol Meth* 248, 7-15 (2001). Generally, the method involves introducing a protuberance (“knob”) at the interface of a first polypeptide and a corresponding cavity (“hole”) in the interface of a second polypeptide, such that the protuberance can be  
15 positioned in the cavity so as to promote heterodimer formation and hinder homodimer formation. Protuberances are constructed by replacing small amino acid side chains from the interface of the first polypeptide with larger side chains (e.g. tyrosine or tryptophan). Compensatory cavities of identical or similar size to the protuberances are created in the interface of the second polypeptide by replacing large amino acid side chains with smaller ones  
20 (e.g. alanine or threonine). The protuberance and cavity can be made by altering the nucleic acid encoding the polypeptides, e.g. by site-specific mutagenesis, or by peptide synthesis. In a specific embodiment a knob modification comprises the amino acid substitution T366W in one of the two immunoglobulin heavy chains, and the hole modification comprises the amino acid substitutions T366S, L368A and Y407V in the other one of the two immunoglobulin heavy  
25 chains (Kabat numbering). In a further specific embodiment, immunoglobulin heavy chain comprising the knob modification additionally comprises the amino acid substitution S354C, and the immunoglobulin heavy chain comprising the hole modification additionally comprises the amino acid substitution Y349C. Introduction of these two cysteine residues results in formation of a disulfide bridge between the two heavy chains, further stabilizing the dimer (Carter, *J*  
30 *Immunol Methods* 248, 7-15 (2001)).

In a particular embodiment the effector moiety is joined to the carboxy-terminal amino acid of the immunoglobulin heavy chain comprising the knob modification.

In an alternative embodiment a modification promoting heterodimerization of two non-identical polypeptide chains comprises a modification mediating electrostatic steering effects, e.g. as described in PCT publication WO 2009/089004. Generally, this method involves replacement of one or more amino acid residues at the interface of the two polypeptide chains by charged amino acid residues so that homodimer formation becomes electrostatically unfavorable but heterodimerization electrostatically favorable.

An Fc region confers to the immunoconjugate favorable pharmacokinetic properties, including a long serum half-life which contributes to good accumulation in the target tissue and a favorable tissue-blood distribution ratio. At the same time it may, however, lead to undesirable targeting of the immunoconjugate to cells expressing Fc receptors rather than to the preferred antigen-bearing cells. Moreover, the co-activation of Fc receptor signaling pathways may lead to cytokine release which, in combination with the effector moiety and the long half-life of the immunoconjugate, results in excessive activation of cytokine receptors and severe side effects upon systemic administration. In line with this, conventional IgG-IL-2 immunoconjugates have been described to be associated with infusion reactions (see e.g. King et al., J Clin Oncol 22, 4463-4473 (2004)).

Accordingly, the antibody comprised in the immunoconjugate is engineered to have reduced effector function, as compared to a corresponding non-engineered antibody. In particular embodiments, the reduced effector function is reduced binding to an activating Fc receptor. In one such embodiment the antibody comprises in its Fc region one or more amino acid mutation that reduces the binding affinity of the immunoconjugate to an activating Fc receptor. Typically, the same one or more amino acid mutation is present in each of the two immunoglobulin heavy chains. In one embodiment said amino acid mutation reduces the binding affinity of the immunoconjugate to the activating Fc receptor by at least 2-fold, at least 5-fold, or at least 10-fold. In embodiments where there is more than one amino acid mutation that reduces the binding affinity of the immunoconjugate to the activating Fc receptor, the combination of these amino acid mutations may reduce the binding affinity of the immunoconjugate to the activating Fc receptor by at least 10-fold, at least 20-fold, or even at least 50-fold. In one embodiment the immunoconjugate comprising an engineered antibody exhibits less than 20%, particularly less than 10%, more particularly less than 5% of the binding affinity to an activating Fc receptor as compared to an immunoconjugate comprising a non-engineered antibody. In a specific embodiment the activating Fc receptor is an Fc $\gamma$  receptor, more specifically an Fc $\gamma$ RIIIa, Fc $\gamma$ RI or Fc $\gamma$ RIIa receptor. Preferably, binding to each of these receptors is reduced. In some

embodiments binding affinity to a complement component, specifically binding affinity to C1q, is also reduced. In one embodiment binding affinity to neonatal Fc receptor (FcRn) is not reduced. Substantially similar binding to FcRn, i.e. preservation of the binding affinity of the antibody to said receptor, is achieved when the antibody (or the immunoconjugate comprising

5 said antibody) exhibits greater than about 70% of the binding affinity of a non-engineered form of the antibody (or the immunoconjugate comprising said non-engineered form of the antibody) to FcRn. Antibodies, or immunoconjugates comprising said antibodies, may exhibit greater than about 80% and even greater than about 90% of such affinity. In one embodiment the amino acid mutation is an amino acid substitution. In one embodiment the antibody, particularly a human

10 IgG<sub>1</sub> sub-class antibody, comprises an amino acid substitution at position P329 of the immunoglobulin heavy chain (Kabat numbering). In a more specific embodiment the amino acid substitution is P329A or P329G, particularly P329G. In one embodiment the antibody comprises a further amino acid substitution at a position selected from S228, E233, L234, L235, N297 and P331 of the immunoglobulin heavy chain. In a more specific embodiment the further amino acid

15 substitution is S228P, E233P, L234A, L235A, L235E, N297A, N297D or P331S. In a particular embodiment the antibody comprises amino acid substitutions at positions P329, L234 and L235 of the immunoglobulin heavy chain (Kabat numbering). In a more particular embodiment the antibody comprises the amino acid substitutions L234A, L235A and P329G (LALA P329G) in the immunoglobulin heavy chain. This combination of amino acid substitutions almost

20 particularly efficiently abolishes Fc $\gamma$  receptor binding of a human IgG molecule, and hence reduces effector function including antibody-dependent cell-mediated cytotoxicity (ADCC), as described in PCT publication no. WO 2012/130831, incorporated herein by reference in its entirety. WO 2012/130831 also describes methods of preparing such mutant antibodies and methods for determining its properties such as Fc receptor binding or effector functions.

25 Mutant antibodies can be prepared by amino acid deletion, substitution, insertion or modification using genetic or chemical methods well known in the art. Genetic methods may include site-specific mutagenesis of the encoding DNA sequence, PCR, gene synthesis, and the like. The correct nucleotide changes can be verified for example by sequencing.

Binding to Fc receptors can be easily determined e.g. by ELISA, or by Surface Plasmon

30 Resonance (SPR) using standard instrumentation such as a BIAcore instrument (GE Healthcare), and Fc receptors such as may be obtained by recombinant expression. A suitable such binding assay is described herein. Alternatively, binding affinity of antibodies or immunoconjugates

comprising an antibody for Fc receptors may be evaluated using cell lines known to express particular Fc receptors, such as NK cells expressing FcγIIIa receptor.

In some embodiments the antibody of the immunoconjugate is engineered to have reduced effector function, particularly reduced ADCC, as compared to a non-engineered antibody.

5 Effector function of an antibody, or an immunoconjugate comprising an antibody, can be measured by methods known in the art. A suitable assay for measuring ADCC is described herein. Other examples of *in vitro* assays to assess ADCC activity of a molecule of interest are described in U.S. Patent No. 5,500,362; Hellstrom et al. Proc Natl Acad Sci USA 83, 7059-7063 (1986) and Hellstrom et al., Proc Natl Acad Sci USA 82, 1499-1502 (1985); U.S. Patent No.  
10 5,821,337; Bruggemann et al., J Exp Med 166, 1351-1361 (1987). Alternatively, non-radioactive assays methods may be employed (see, for example, ACTI™ non-radioactive cytotoxicity assay for flow cytometry (CellTechnology, Inc. Mountain View, CA); and CytoTox 96® non-radioactive cytotoxicity assay (Promega, Madison, WI)). Useful effector cells for such assays include peripheral blood mononuclear cells (PBMC) and Natural Killer (NK) cells. Alternatively,  
15 or additionally, ADCC activity of the molecule of interest may be assessed *in vivo*, e.g. in a animal model such as that disclosed in Clynes et al., Proc Natl Acad Sci USA 95, 652-656 (1998).

In some embodiments binding of the antibody to a complement component, specifically to C1q, is altered. Accordingly, in some embodiments wherein the antibody is engineered to have  
20 reduced effector function, said reduced effector function includes reduced CDC. C1q binding assays may be carried out to determine whether the immunoconjugate is able to bind C1q and hence has CDC activity. See e.g., C1q and C3c binding ELISA in WO 2006/029879 and WO 2005/100402. To assess complement activation, a CDC assay may be performed (see, for example, Gazzano-Santoro et al., J Immunol Methods 202, 163 (1996); Cragg et al., Blood 101,  
25 1045-1052 (2003); and Cragg and Glennie, Blood 103, 2738-2743 (2004)).

In some embodiments, the immunoconjugate comprises one or more proteolytic cleavage sites located between effector moiety and antibody. Components of the immunoconjugate may be linked directly or through various linkers, particularly peptide linkers comprising one or more amino acids, typically about 2-20 amino acids, that are described herein or are known in the art.  
30 Suitable, non-immunogenic peptide linkers include, for example, (G4S)<sub>n</sub>, (SG<sub>4</sub>)<sub>n</sub> or G<sub>4</sub>(SG<sub>4</sub>)<sub>n</sub> peptide linkers, wherein n is generally a number between 1 and 10, typically between 2 and 4.

#### Antibodies of Immunoconjugates

The antibody of the immunoconjugate of the invention is generally an immunoglobulin molecule that binds to a specific antigenic determinant and is able to direct the entity to which it is attached (*e.g.* an effector moiety) to a target site, for example to a specific type of tumor cell or tumor stroma that bears the antigenic determinant. The immunoconjugate can bind to antigenic determinants found, for example, on the surfaces of tumor cells, on the surfaces of virus-infected cells, on the surfaces of other diseased cells, free in blood serum, and/or in the extracellular matrix (ECM). Non-limiting examples of tumor antigens include MAGE, MART-1/Melan-A, gp100, Dipeptidyl peptidase IV (DPPIV), adenosine deaminase-binding protein (ADAbp), cyclophilin b, Colorectal associated antigen (CRC)-C017-1A/GA733, Carcinoembryonic Antigen (CEA) and its immunogenic epitopes CAP-1 and CAP-2, *etv6*, *aml1*, Prostate Specific Antigen (PSA) and its immunogenic epitopes PSA-1, PSA-2, and PSA-3, prostate-specific membrane antigen (PSMA), T-cell receptor/CD3-zeta chain, MAGE-family of tumor antigens (*e.g.*, MAGE-A1, MAGE-A2, MAGE-A3, MAGE-A4, MAGE-A5, MAGE-A6, MAGE-A7, MAGE-A8, MAGE-A9, MAGE-A10, MAGE-A11, MAGE-A12, MAGE-Xp2 (MAGE-B2), MAGE-Xp3 (MAGE-B3), MAGE-Xp4 (MAGE-B4), MAGE-C1, MAGE-C2, MAGE-C3, MAGE-C4, MAGE-C5), GAGE-family of tumor antigens (*e.g.*, GAGE-1, GAGE-2, GAGE-3, GAGE-4, GAGE-5, GAGE-6, GAGE-7, GAGE-8, GAGE-9), BAGE, RAGE, LAGE-1, NAG, GnT-V, MUM-1, CDK4, tyrosinase, p53, MUC family, HER2/neu, p21ras, RCAS1,  $\alpha$ -fetoprotein, E-cadherin,  $\alpha$ -catenin,  $\beta$ -catenin and  $\gamma$ -catenin, p120ctn, gp100 Pmel117, PRAME, NY-ESO-1, *cdc27*, adenomatous polyposis coli protein (APC), fodrin, Connexin 37, Ig-idiotype, p15, gp75, GM2 and GD2 gangliosides, viral products such as human papilloma virus proteins, Smad family of tumor antigens, *Imp-1*, P1A, EBV-encoded nuclear antigen (EBNA)-1, brain glycogen phosphorylase, SSX-1, SSX-2 (HOM-MEL-40), SSX-1, SSX-4, SSX-5, SCP-1 and CT-7, and *c-erbB-2*. Non-limiting examples of viral antigens include influenza virus hemagglutinin, Epstein-Barr virus LMP-1, hepatitis C virus E2 glycoprotein, HIV gp160, and HIV gp120. Non-limiting examples of ECM antigens include syndecan, heparanase, integrins, osteopontin, link, cadherins, laminin, laminin type EGF, lectin, fibronectin, notch, tenascin, and matrixin. The immunoconjugates of the invention can bind to the following specific non-limiting examples of cell surface antigens: FAP, Her2, EGFR, IGF-1R, CD2 (T-cell surface antigen), CD3 (heteromultimer associated with the TCR), CD22 (B-cell receptor), CD23 (low affinity IgE receptor), CD25 (IL-2 receptor  $\alpha$  chain), CD30 (cytokine receptor), CD33 (myeloid cell surface antigen), CD40 (tumor necrosis factor receptor), IL-6R (IL6 receptor), CD20, MCSP, c-Met,

CUB domain-containing protein-1 (CDCP1), and PDGF $\beta$ R ( $\beta$  platelet-derived growth factor receptor).

In certain embodiments the antibody is directed to an antigen presented on a tumor cell or in a tumor cell environment. In a specific embodiment the antibody is directed to an antigen selected  
5 from the group of Fibroblast Activation Protein (FAP), the A1 domain of Tenascin-C (TNC A1), the A2 domain of Tenascin-C (TNC A2), the Extra Domain B of Fibronectin (EDB), Carcinoembryonic Antigen (CEA) and Melanoma-associated Chondroitin Sulfate Proteoglycan (MCSP).

The antibody can be any type of antibody or fragment thereof that retains specific binding to an  
10 antigenic determinant and comprises an Fc region. In one embodiment the antibody is a full-length antibody. Particularly preferred antibodies are immunoglobulins of the IgG class, specifically of the IgG<sub>1</sub> subclass.

In one embodiment, the immunoconjugate comprises an antibody that is specific for the A1 and/or the A4 domain of Tenascin (TNC-A1 or TNC-A4 or TNC-A1/A4). In a specific  
15 embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to either SEQ ID NO: 8 or SEQ ID NO: 9, or variants thereof that retain functionality. In another specific embodiment, the antibody of the immunoconjugate comprises a light chain variable  
20 region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to either SEQ ID NO: 6 or SEQ ID NO: 7, or variants thereof that retain functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or  
25 100% identical to either SEQ ID NO: 8 or SEQ ID NO: 9 or variants thereof that retain functionality, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to either SEQ ID NO: 6 or SEQ ID NO: 7 or variants thereof that retain functionality.

In one embodiment, the immunoconjugate comprises an antibody that is specific for the A2 domain of Tenascin (TNC-A2). In a specific embodiment, the antibody of the immunoconjugate  
30 comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group of SEQ ID NO: 5, SEQ ID NO: 71, SEQ ID NO: 73, SEQ ID NO: 75, SEQ ID NO: 77, SEQ ID NO: 79, SEQ ID

NO: 81, SEQ ID NO: 83 and SEQ ID NO: 85, or variants thereof that retain functionality. In another specific embodiment, the antibody of the immunoconjugate comprises a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group of SEQ ID NO: 3, SEQ ID NO: 4; SEQ ID NO: 70, SEQ ID NO: 72, SEQ ID NO: 74, SEQ ID NO: 76, SEQ ID NO: 78, SEQ ID NO: 80, SEQ ID NO: 82 and SEQ ID NO: 84, or variants thereof that retain functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group of SEQ ID NO: 5, SEQ ID NO: 71, SEQ ID NO: 73, SEQ ID NO: 75, SEQ ID NO: 77, SEQ ID NO: 79, SEQ ID NO: 81, SEQ ID NO: 83 and SEQ ID NO: 85, or variants thereof that retain functionality, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group of SEQ ID NO: 3, SEQ ID NO: 4; SEQ ID NO: 70, SEQ ID NO: 72, SEQ ID NO: 74, SEQ ID NO: 76, SEQ ID NO: 78, SEQ ID NO: 80, SEQ ID NO: 82 and SEQ ID NO: 84, or variants thereof that retain functionality.

In one embodiment, the immunoconjugate comprises an antibody that is specific for the Fibroblast Activated Protein (FAP). In a specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group consisting of SEQ ID NO: 12, SEQ ID NO: 14, SEQ ID NO: 15, SEQ ID NO: 17, SEQ ID NO: 19, SEQ ID NO: 21, SEQ ID NO: 23, SEQ ID NO: 25, SEQ ID NO: 27, SEQ ID NO: 29, SEQ ID NO: 31, SEQ ID NO: 33, SEQ ID NO: 35, SEQ ID NO: 37, SEQ ID NO: 39, SEQ ID NO: 41, SEQ ID NO: 43, SEQ ID NO: 45, SEQ ID NO: 47, SEQ ID NO: 49, SEQ ID NO: 51, SEQ ID NO: 53, SEQ ID NO: 55, SEQ ID NO: 57, SEQ ID NO: 59, SEQ ID NO: 61, SEQ ID NO: 63, SEQ ID NO: 65, SEQ ID NO: 67 and SEQ ID NO: 69, or variants thereof that retain functionality. In another specific embodiment, the antibody of the immunoconjugate comprises a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group consisting of: SEQ ID NO: 10, SEQ ID NO: 11, SEQ ID NO: 13, SEQ ID NO: 16, SEQ ID NO: 18, SEQ ID NO: 20, SEQ ID NO: 22, SEQ ID NO: 24, SEQ ID NO: 26, SEQ ID NO: 28, SEQ ID NO: 30, SEQ ID NO: 32, SEQ ID NO: 34, SEQ ID NO: 36, SEQ ID NO: 38, SEQ ID NO: 40, SEQ ID NO: 42, SEQ ID NO: 44, SEQ ID NO: 46, SEQ ID NO: 48, SEQ ID NO: 50, SEQ ID NO: 52, SEQ ID NO: 54, SEQ ID NO: 56, SEQ ID NO: 58, SEQ ID NO: 60, SEQ ID NO: 62, SEQ ID NO: 64, SEQ ID

NO: 66 and SEQ ID NO: 68, or variants thereof that retain functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group consisting of SEQ ID NO: 12, SEQ ID NO: 14, SEQ ID NO: 15, SEQ ID NO: 17, SEQ ID NO: 19, SEQ ID NO: 21, SEQ ID NO: 23, SEQ ID NO: 25, SEQ ID NO: 27, SEQ ID NO: 29, SEQ ID NO: 31, SEQ ID NO: 33, SEQ ID NO: 35, SEQ ID NO: 37, SEQ ID NO: 39, SEQ ID NO: 41, SEQ ID NO: 43, SEQ ID NO: 45, SEQ ID NO: 47, SEQ ID NO: 49, SEQ ID NO: 51, SEQ ID NO: 53, SEQ ID NO: 55, SEQ ID NO: 57, SEQ ID NO: 59, SEQ ID NO: 61, SEQ ID NO: 63, SEQ ID NO: 65, SEQ ID NO: 67 and SEQ ID NO: 69, or variants thereof that retain functionality, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to a sequence selected from the group consisting of: SEQ ID NO: 10, SEQ ID NO: 11, SEQ ID NO: 13, SEQ ID NO: 16, SEQ ID NO: 18, SEQ ID NO: 20, SEQ ID NO: 22, SEQ ID NO: 24, SEQ ID NO: 26, SEQ ID NO: 28, SEQ ID NO: 30, SEQ ID NO: 32, SEQ ID NO: 34, SEQ ID NO: 36, SEQ ID NO: 38, SEQ ID NO: 40, SEQ ID NO: 42, SEQ ID NO: 44, SEQ ID NO: 46, SEQ ID NO: 48, SEQ ID NO: 50, SEQ ID NO: 52, SEQ ID NO: 54, SEQ ID NO: 56, SEQ ID NO: 58, SEQ ID NO: 60, SEQ ID NO: 62, SEQ ID NO: 64, SEQ ID NO: 66 and SEQ ID NO: 68, or variants thereof that retain functionality. In another specific embodiment, the antibody of the immunoconjugate comprises the heavy chain variable region sequence of SEQ ID NO: 12 and the light chain variable region sequence of SEQ ID NO: 11. In another specific embodiment, the antibody of the immunoconjugate comprises the heavy chain variable region sequence of SEQ ID NO: 17 and the light chain variable region sequence of SEQ ID NO: 16. In another specific embodiment, the antibody of the immunoconjugate comprises the heavy chain variable region sequence of SEQ ID NO: 47 and the light chain variable region sequence of SEQ ID NO: 46. In another specific embodiment, the antibody of the immunoconjugate comprises the heavy chain variable region sequence of SEQ ID NO: 63 and the light chain variable region sequence of SEQ ID NO: 62. In another specific embodiment, the antibody of the immunoconjugate comprises the heavy chain variable region sequence of SEQ ID NO: 67 and the light chain variable region sequence of SEQ ID NO: 66. In another specific embodiment, the immunoconjugate of the present invention comprises a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 125 or variants thereof that retain functionality, a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 126 or variants thereof that retain functionality, and a polypeptide

sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 129 or variants thereof that retain functionality. In another specific embodiment, the immunoconjugate of the present invention comprises a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 127 or variants thereof that retain functionality, a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 128 or variants thereof that retain functionality, and a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 129 or variants thereof that retain functionality. In another specific embodiment, the immunoconjugate of the present invention comprises a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 130 or variants thereof that retain functionality, a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 131 or variants thereof that retain functionality, and a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 132 or variants thereof that retain functionality.

In one embodiment, the immunoconjugate comprises an antibody that is specific for the Melanoma Chondroitin Sulfate Proteoglycan (MCSP). In a specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of either SEQ ID NO: 86 or SEQ ID NO: 122 or variants thereof that retain functionality. In another specific embodiment, the antibody of the immunoconjugate comprises a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of either SEQ ID NO: 87 or SEQ ID NO: 123 or variants thereof that retain functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of either SEQ ID NO: 86 or SEQ ID NO: 122, or variants thereof that retain functionality, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of either SEQ ID NO: 87 or SEQ ID NO: 123, or variants thereof that retain functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 86, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of

SEQ ID NO: 87. In another specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 122, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or  
5 100% identical to the sequence of SEQ ID NO: 123.

In one embodiment, the immunoconjugate comprises an antibody that is specific for the Carcinoembryonic Antigen (CEA). In a specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 114 or  
10 a variant thereof that retains functionality. In another specific embodiment, the antibody of the immunoconjugate comprises a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 115 or a variant thereof that retains functionality. In a more specific embodiment, the antibody of the immunoconjugate comprises a heavy chain variable region sequence that is at least about 80%,  
15 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 114, or a variant thereof that retains functionality, and a light chain variable region sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to the sequence of SEQ ID NO: 115, or a variant thereof that retains functionality. In another specific embodiment, the immunoconjugate of the present invention comprises a polypeptide sequence that is at least  
20 about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 136 or variants thereof that retain functionality, a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 137 or variants thereof that retain functionality, and a polypeptide sequence that is at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99% or 100% identical to SEQ ID NO: 138 or variants thereof that retain  
25 functionality.

Immunoconjugates according to the invention include those that comprise sequences that are at least about 80%, 85%, 90%, 95%, 96%, 97%, 98%, 99%, or 100% identical to the sequences set forth in SEQ ID NOs 3-87, 108-132 and 136-138, including functional fragments or variants thereof. The immunoconjugates according to the invention also encompasses antibodies  
30 comprising sequences of SEQ ID NOs 3-127 with conservative amino acid substitutions. It is understood that in the sequences of SEQ ID NOs 126, 128, 131, 134 and 137, the sequence of

the sequence of the mutant IL-2 described herein (see SEQ ID NO: 2) may be replaced by the sequence of human IL-2 (see SEQ ID NO: 1).

#### Effector Moieties of Immunoconjugates

The effector moieties for use in the invention are generally polypeptides that influence cellular activity, for example, through signal transduction pathways. Accordingly, the effector moiety of the immunoconjugate useful in the invention can be associated with receptor-mediated signaling that transmits a signal from outside the cell membrane to modulate a response within the cell. For example, an effector moiety of the immunoconjugate can be a cytokine. In a particular embodiment, the effector moiety is a single-chain effector moiety as defined herein. In one embodiment, the effector moiety, typically a single-chain effector moiety, of the immunoconjugate according to the invention is a cytokine selected from the group consisting of: IL-2, GM-CSF, IFN- $\alpha$ , and IL-12. In one embodiment the effector moiety is IL-2. In another embodiment, the single-chain effector moiety of the immunoconjugate is a cytokine selected from the group consisting of: IL-8, MIP-1 $\alpha$ , MIP-1 $\beta$ , and TGF- $\beta$ .

In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is IL-2. In a specific embodiment, the IL-2 effector moiety can elicit one or more of the cellular responses selected from the group consisting of: proliferation in an activated T lymphocyte cell, differentiation in an activated T lymphocyte cell, cytotoxic T cell (CTL) activity, proliferation in an activated B cell, differentiation in an activated B cell, proliferation in a natural killer (NK) cell, differentiation in a NK cell, cytokine secretion by an activated T cell or an NK cell, and NK/lymphocyte activated killer (LAK) antitumor cytotoxicity. In certain embodiments, the IL-2 effector moiety is a mutant IL-2 effector moiety comprising at least one amino acid mutation that reduces or abolishes the affinity of the mutant IL-2 effector moiety to the  $\alpha$ -subunit of the IL-2 receptor (also known as CD25) but preserves the affinity of the mutant IL-2 effector moiety to the intermediate-affinity IL-2 receptor (consisting of the  $\beta$ - and  $\gamma$ -subunits of the IL-2 receptor), compared to the non-mutated IL-2 effector moiety. In one embodiment the amino acid mutations are amino acid substitutions. In a specific embodiment, the mutant IL-2 effector moiety comprises one, two or three amino acid substitutions at one, two or three position(s) selected from the positions corresponding to residue 42, 45, and 72 of human IL-2 (SEQ ID NO: 1). In a more specific embodiment, the mutant IL-2 effector moiety comprises three amino acid substitutions at the positions corresponding to residue 42, 45 and 72 of human IL-2. In an even more specific embodiment, the mutant IL-2 effector moiety is human

IL-2 comprising the amino acid substitutions F42A, Y45A and L72G. In one embodiment the mutant IL-2 effector moiety additionally comprises an amino acid mutation at a position corresponding to position 3 of human IL-2, which eliminates the O-glycosylation site of IL-2. Particularly said additional amino acid mutation is an amino acid substitution replacing a threonine residue by an alanine residue. The sequence of a quadruple mutant (QM) IL-2 comprising the amino acid substitutions T3A, F42A, Y45A and L72G is shown in SEQ ID NO: 2. Suitable mutant IL-2 molecules are described in more detail in PCT publication number WO 2012/107417.

Mutant IL-2 molecules useful as effector moieties in the immunoconjugates can be prepared by deletion, substitution, insertion or modification using genetic or chemical methods well known in the art. Genetic methods may include site-specific mutagenesis of the encoding DNA sequence, PCR, gene synthesis, and the like. The correct nucleotide changes can be verified for example by sequencing. In this regard, the nucleotide sequence of native IL-2 has been described by Taniguchi et al. (Nature 302, 305-10 (1983)) and nucleic acid encoding human IL-2 is available from public depositories such as the American Type Culture Collection (Rockville MD). An exemplary sequence of human IL-2 is shown in SEQ ID NO: 1. Substitution or insertion may involve natural as well as non-natural amino acid residues. Amino acid modification includes well known methods of chemical modification such as the addition or removal of glycosylation sites or carbohydrate attachments, and the like.

In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is GM-CSF. In a specific embodiment, the GM-CSF effector moiety can elicit proliferation and/or differentiation in a granulocyte, a monocyte or a dendritic cell. In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is IFN- $\alpha$ . In a specific embodiment, the IFN- $\alpha$  effector moiety can elicit one or more of the cellular responses selected from the group consisting of: inhibiting viral replication in a virus-infected cell, and upregulating the expression of major histocompatibility complex I (MHC I). In another specific embodiment, the IFN- $\alpha$  effector moiety can inhibit proliferation in a tumor cell. In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is IL-12. In a specific embodiment, the IL-12 effector moiety can elicit one or more of the cellular responses selected from the group consisting of: proliferation in a NK cell, differentiation in a NK cell, proliferation in a T cell, and differentiation in a T cell. In one embodiment, the effector moiety, particularly a single-chain

effector moiety, of the immunoconjugate is IL-8. In a specific embodiment, the IL-8 effector moiety can elicit chemotaxis in neutrophils. In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate, is MIP-1 $\alpha$ . In a specific embodiment, the MIP-1 $\alpha$  effector moiety can elicit chemotaxis in monocytes and T lymphocyte cells. In one  
5 embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is MIP-1 $\beta$ . In a specific embodiment, the MIP-1 $\beta$  effector moiety can elicit chemotaxis in monocytes and T lymphocyte cells. In one embodiment, the effector moiety, particularly a single-chain effector moiety, of the immunoconjugate is TGF- $\beta$ . In a specific  
10 embodiment, the TGF- $\beta$  effector moiety can elicit one or more of the cellular responses selected from the group consisting of: chemotaxis in monocytes, chemotaxis in macrophages, upregulation of IL-1 expression in activated macrophages, and upregulation of IgA expression in activated B cells.

### **Antibodies for Combination with the Immunconjugates**

According to the invention, antibodies for combination with the immunoconjugates are  
15 engineered to have increased effector function. Antibodies useful in the present invention for combination with the immunoconjugates include antibodies or antibody fragments that bind to a specific antigenic determinant, for example a specific tumor cell antigen, and comprise an Fc region. In certain embodiments the antibody is directed to an antigen presented on a tumor cell. Particular target antigens of the antibodies useful in the present invention include antigens  
20 expressed on the surface of tumor cells, including, but not limited to, cell surface receptors such as epidermal growth factor receptor (EGFR), insulin-like growth factor receptors (IGFR) and platelet-derived growth factor receptors (PDGFR), prostate specific membrane antigen (PSMA), carcinoembryonic antigen (CEA), dipeptidyl peptidase IV (CD26, DPPIV), FAP, HER2/neu, HER-3, E-cadherin, CD20, melanoma-associated chondroitin sulfate proteoglycan (MCSP), c-  
25 Met, CUB domain-containing protein-1 (CDCP1), and squamous cell carcinoma antigen (SCCA).

In a specific embodiment the antibody is directed to an antigen selected from the group of CD20, Epidermal Growth Factor Receptor (EGFR), HER2, HER3, Insulin-like Growth Factor 1 Receptor (IGF-1R), Carcinoembryonic Antigen (CEA), c-Met, CUB domain-containing protein-1 (CDCP1), and Melanoma-associated Chondroitin Sulfate Proteoglycan (MCSP). In one  
30 embodiment, the antibody a multispecific antibody directed to two or more antigens selected from the group of CD20, Epidermal Growth Factor Receptor (EGFR), HER2, HER3, Insulin-like Growth Factor 1 Receptor (IGF-1R), Carcinoembryonic Antigen (CEA), c-Met, CUB domain-

containing protein-1 (CDCP1), and Melanoma-associated Chondroitin Sulfate Proteoglycan (MCSP).

Specific anti-CD20 antibodies useful in the present invention are humanized, IgG-class Type II anti-CD20 antibodies, having the binding specificity of the murine B-Ly1 antibody (Poppema and Visser, Biotest Bulletin 3, 131-139 (1987)). Particularly useful is a humanized, IgG-class  
5 Type II anti-CD20 antibody, comprising

a) in the heavy chain variable domain a CDR1 of SEQ ID NO: 88, a CDR2 of SEQ ID NO: 89, and a CDR3 of SEQ ID NO: 90, and

b) in the light chain variable domain a CDR1 of SEQ ID NO: 91, a CDR2 of SEQ ID NO:  
10 92, and a CDR3 of SEQ ID NO: 93.

Particularly, the heavy chain variable region framework regions (FRs) FR1, FR2, and FR3 of said antibody are human FR sequences encoded by the VH1\_10 human germ-line sequence, the heavy chain variable region FR4 of said antibody is a human FR sequence encoded by the JH4 human germ-line sequence, the light chain variable region FRs FR1, FR2, and FR3 of said  
15 antibody are human FR sequences encoded by the VK\_2\_40 human germ-line sequence, and the light chain variable region FR4 of said antibody is a human FR sequence encoded by the JK4 human germ-line sequence.

A more particular anti-CD20 antibody which is useful in the present invention comprises the heavy chain variable domain of SEQ ID NO: 94 and the light chain variable domain of SEQ ID  
20 NO: 95.

Such anti-CD20 antibodies are described in WO 2005/044859, which is incorporated herein by reference in its entirety.

Specific anti-EGFR antibodies useful in the present invention are humanized, IgG-class antibodies, having the binding specificity of the rat ICR62 antibody (Modjtahedi et al., Br J  
25 Cancer 67, 247-253 (1993)). Particularly useful is a humanized, IgG-class anti-EGFR antibody, comprising

a) in the heavy chain variable domain a CDR1 of SEQ ID NO: 96, a CDR2 of SEQ ID NO: 97, and a CDR3 of SEQ ID NO: 98, and

b) in the light chain variable domain a CDR1 of SEQ ID NO: 99, a CDR2 of SEQ ID NO:  
30 100, and a CDR3 of SEQ ID NO: 101.

A more particular anti-EGFR antibody which is useful in the invention comprises the heavy chain variable domain of SEQ ID NO: 102 and the light chain variable domain of SEQ ID NO: 103.

Such anti-EGFR antibodies are described in WO 2006/082515 and WO 2008/017963, each of which is incorporated herein by reference in its entirety.

Other suitable humanized IgG-class anti-EGFR antibodies useful for the invention include cetuximab/IMC-C225 (Erbix®), described in Goldstein et al., Clin Cancer Res 1, 1311-1318 (1995), panitumumab/ABX-EGF (Vectibix®), described in Yang et al., Cancer Res 59, 1236-1243 (1999), Yang et al., Critical Reviews in Oncology/Hematology 38, 17-23 (2001), nimotuzumab/h-R3 (TheraCim®), described in Mateo et al., Immunotechnology 3, 71-81 (1997); Crombet-Ramos et al., Int J Cancer 101, 567-575 (2002), Boland & Bebb, Expert Opin Biol Ther 9, 1199-1206 (2009), matuzumab/EMD 72000 (described in Bier et al., Cancer Immunol Immunother 46, 167-173 (1998), Kim, Curr Opin Mol Ther 6, 96-103 (2004)), and zalutumumab/2F8 (described in Bleeker et al., J Immunol 173, 4699-4707 (2004), Lammerts van Bueren, PNAS 105, 6109-6114 (2008)).

Specific anti-IGF-1R antibodies useful in the present invention are described in WO 2005/005635 and WO 2008/077546, the entire content of each of which is incorporated herein by reference, and inhibit the binding of insulin-like growth factor-1 (IGF-1) and insulin-like growth factor-2 (IGF-2) to insulin-like growth factor-1 receptor (IGF-1R).

The anti-IGF-1R antibodies useful for the invention are preferably monoclonal antibodies and, in addition, chimeric antibodies (human constant domain), humanized antibodies and especially preferably fully human antibodies. Particular anti-IGF-1R antibodies useful for the invention bind to human IGF-1R in competition to antibody 18, i.e. they bind to the same epitope of IGF-1R as antibody 18, which is described in WO 2005/005635. Particular anti-IGF-1R antibodies are further characterized by an affinity to IGF-1R of  $10^{-8}$  M ( $K_D$ ) or less, particularly of about  $10^{-9}$  to  $10^{-13}$  M, and preferably show no detectable concentration-dependent inhibition of insulin binding to the insulin receptor.

Particular anti-IGF-1R antibodies useful for the invention comprise complementarity determining regions (CDRs) having the following sequences:

- a) an antibody heavy chain comprising as CDRs CDR1, CDR2 and CDR3 of SEQ ID NO: 104 or 106;
- b) an antibody light chain comprising as CDRs CDR1, CDR2 and CDR3 of SEQ ID NO: 105 or 107.

5 Particularly, the anti-IGF-1R antibodies useful for the invention comprise an antibody heavy chain variable domain amino acid sequence of SEQ ID NO: 104 and an antibody light chain variable domain amino acid sequence of SEQ ID NO: 105, or an antibody heavy chain variable domain amino acid sequence of SEQ ID NO: 106 and an antibody light chain variable domain amino acid sequence of SEQ ID NO: 107.

10 Particular anti-IGF-1R antibodies useful for the invention are obtainable from the hybridoma cell lines <IGF-1R> HUMAB-Clone 18 and <IGF-1R> HUMAB-Clone 22, which are deposited with Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH (DSMZ), Germany, under deposition numbers DSM ACC 2587 and DSM ACC 2594, respectively.

Other suitable anti-IGF-1R antibodies useful for the invention are e.g. the fully human IgG<sub>1</sub> mAb  
15 cixutumumab/IMC-A12 (described in Burtrum et al., *Cancer Res* 63, 8912-21 (2003); Rowinsky et al., *Clin Cancer Res* 13, 5549s-5555s (2007), the fully human IgG<sub>1</sub> mAb AMG-479 (described in Beltran et al., *Mol Cancer Ther* 8, 1095-1105 (2009); Tolcher et al., *J Clin Oncol* 27, 5800-7 (2009)), the humanized IgG<sub>1</sub> mAb MK-0646/h7C10 (described in Goetsch et al., *Int J Cancer* 113, 316-28 (2005); Broussas et al., *Int J Cancer* 124, 2281-93 (2009); Hidalgo et al., *J*  
20 *Clin Oncol* 26, abstract 3520 (2008); Atzori et al., *J Clin Oncol* 26, abstract 3519 (2008)), the humanized IgG<sub>1</sub> mAb AVE1642 (described in Descamps et al., *Br J Cancer* 100, 366-9 (2009); Tolcher et al., *J Clin Oncol* 26, abstract 3582 (2008); Moreau et al., *Blood* 110, abstract 1166 (2007); Maloney et al., *Cancer Res* 63, 5073-83 (2003)), the fully human IgG<sub>2</sub> mAb figitutumumab/CP-751,871 (Cohen et al., *Clin Cancer Res* 11, 2063-73 (2005); Haluska et al., *Clin*  
25 *Cancer Res* 13, 5834-40 (2007); Lacy et al., *J Clin Oncol* 26, 3196-203 (2008); Gualberto & Karp, *Clin Lung Cancer* 10, 273-80 (2009), the fully human IgG<sub>1</sub> mAb SCH-717454 (described in WO 2008/076257 or Kolb et al., *Pediatr Blood Cancer* 50, 1190-7 (2008)), the 2.13.2. mAb (described in US 7,037,498 (WO 2002/053596)) or the fully human IgG<sub>4</sub> mAb BIIB022.

Specific anti-CEA antibodies useful in the present invention are humanized, IgG-class antibodies,  
30 having the binding specificity of the murine PR1A3 antibody (Richman and Bodmer, *Int J*

Cancer 39, 317-328 (1987)). Particularly useful is a humanized, IgG-class anti-CEA antibody, comprising

a) in the heavy chain variable domain a CDR1 of SEQ ID NO: 108, a CDR2 of SEQ ID NO: 109, and a CDR3 of SEQ ID NO: 110, and

5 b) in the light chain variable domain a CDR1 of SEQ ID NO: 111, a CDR2 of SEQ ID NO: 112, and a CDR3 of SEQ ID NO: 113.

A more particular anti-CEA antibody which is useful in the invention comprises the heavy chain variable domain of SEQ ID NO: 114 and the light chain variable domain of SEQ ID NO: 115.

Such anti-CEA antibodies are described in PCT publication number WO 2011/023787, which is  
10 incorporated herein by reference in its entirety.

Specific anti-HER3 antibodies that are useful in the present invention are humanized, IgG-class antibodies, such as the Mab 205.10.1, Mab 205.10.2 and Mab 205.10.3, particularly Mab 205.10.2, described in PCT publication number WO 2011/076683. Particularly useful is a humanized, IgG-class anti-HER3 antibody, comprising

15 a) in the heavy chain variable domain a CDR1 of SEQ ID NO: 139, a CDR2 of SEQ ID NO: 140, and a CDR3 of SEQ ID NO: 141, and

b) in the light chain variable domain a CDR1 of SEQ ID NO: 143, a CDR2 of SEQ ID NO: 144, and a CDR3 of SEQ ID NO: 145.

A more particular anti-HER3 antibody which is useful in the invention comprises the heavy  
20 chain variable domain of SEQ ID NO: 142 and the light chain variable domain of SEQ ID NO: 146.

Specific anti-CDCP1-antibodies that are useful in the present invention are humanized, IgG-class antibodies derived from the CUB4 antibody (deposition number DSM ACC 2551 (DSMZ), as described in PCT publication number WO 2011/023389.

25 Exemplary anti-MCSP antibodies that can be used in the present invention are described e.g. in European patent application number EP 11178393.2. Particularly useful is a humanized, IgG-class anti-MCSP antibody, comprising

a) in the heavy chain variable domain a CDR1 of SEQ ID NO: 116, a CDR2 of SEQ ID NO: 117, and a CDR3 of SEQ ID NO: 118, and

b) in the light chain variable domain a CDR1 of SEQ ID NO: 119, a CDR2 of SEQ ID NO: 120, and a CDR3 of SEQ ID NO: 121.

A more particular anti-MCSP antibody which is useful in the invention comprises the heavy chain variable domain of SEQ ID NO: 122 and the light chain variable domain of SEQ ID NO:  
5 123.

In one embodiment the antibody is a full-length antibody of the IgG-class. In a particular embodiment, the antibody is an IgG<sub>1</sub> antibody. In one embodiment, the antibody comprises a human Fc region, more particularly a human IgG Fc region, most particularly a human IgG<sub>1</sub> Fc region. The antibodies useful in the invention, such as the anti-IGF-1R, anti-EGFR and anti-  
10 CD20 antibodies described above, may comprise a human Ig gamma-1 heavy chain constant region, as set forth in SEQ ID NO: 124 (i.e. the antibodies are of human IgG<sub>1</sub> subclass).

The antibodies useful in the present invention are engineered to have increased effector function, as compared to a corresponding non-engineered antibody. In one embodiment the antibody engineered to have increased effector function has at least 2-fold, at least 10-fold or even at least  
15 100-fold increased effector function, compared to a corresponding non-engineered antibody. The increased effector function can include, but is not limited to, one or more of the following: increased Fc receptor binding, increased C1q binding and complement dependent cytotoxicity (CDC), increased antibody-dependent cell-mediated cytotoxicity (ADCC), increased antibody-dependent cellular phagocytosis (ADCP), increased cytokine secretion, increased immune  
20 complex-mediated antigen uptake by antigen-presenting cells, increased binding to NK cells, increased binding to macrophages, increased binding to monocytes, increased binding to polymorphonuclear cells, increased direct signaling inducing apoptosis, increased crosslinking of target-bound antibodies, increased dendritic cell maturation, or increased T cell priming.

In one embodiment the increased effector function one or more selected from the group of  
25 increased Fc receptor binding, increased CDC, increased ADCC, increased ADCP, and increased cytokine secretion. In one embodiment the increased effector function is increased binding to an activating Fc receptor. In one such embodiment the binding affinity to the activating Fc receptor is increased at least 2-fold, particularly at least 10-fold, compared to the binding affinity of a corresponding non-engineered antibody. In a specific embodiment the activating Fc receptor is  
30 selected from the group of FcγRIIIa, FcγRI, and FcγRIIa. In one embodiment the activating Fc receptor is FcγRIIIa, particularly human FcγRIIIa. In another embodiment the increased effector

function is increased ADCC. In one such embodiment the ADCC is increased at least 10-fold, particularly at least 100-fold, compared to the ADCC mediated by a corresponding non-engineered antibody. In yet another embodiment the increased effector function is increased binding to an activating Fc receptor and increased ADCC.

- 5 Increased effector function can be measured by methods known in the art. A suitable assay for measuring ADCC is described herein. Other examples of *in vitro* assays to assess ADCC activity of a molecule of interest are described in U.S. Patent No. 5,500,362; Hellstrom et al. Proc Natl Acad Sci USA 83, 7059-7063 (1986) and Hellstrom et al., Proc Natl Acad Sci USA 82, 1499-1502 (1985); U.S. Patent No. 5,821,337; Bruggemann et al., J Exp Med 166, 1351-1361 (1987).
- 10 Alternatively, non-radioactive assays methods may be employed (see, for example, ACTI™ non-radioactive cytotoxicity assay for flow cytometry (CellTechnology, Inc. Mountain View, CA); and CytoTox 96® non-radioactive cytotoxicity assay (Promega, Madison, WI)). Useful effector cells for such assays include peripheral blood mononuclear cells (PBMC) and Natural Killer (NK) cells. Alternatively, or additionally, ADCC activity of the molecule of interest may be assessed
- 15 in vivo, e.g. in a animal model such as that disclosed in Clynes et al., Proc Natl Acad Sci USA 95, 652-656 (1998). Binding to Fc receptors can be easily determined e.g. by ELISA, or by Surface Plasmon Resonance (SPR) using standard instrumentation such as a BIAcore instrument (GE Healthcare), and Fc receptors such as may be obtained by recombinant expression. According to a particular embodiment, binding affinity to an activating Fc receptor is measured
- 20 by surface plasmon resonance using a BIACORE® T100 machine (GE Healthcare) at 25°C. Alternatively, binding affinity of antibodies for Fc receptors may be evaluated using cell lines known to express particular Fc receptors, such as NK cells expressing FcγIIIa receptor. C1q binding assays may also be carried out to determine whether the antibody is able to bind C1q and hence has CDC activity. See e.g., C1q and C3c binding ELISA in WO 2006/029879 and WO
- 25 2005/100402. To assess complement activation, a CDC assay may be performed (see, for example, Gazzano-Santoro et al., J Immunol Methods 202, 163 (1996); Cragg et al., Blood 101, 1045-1052 (2003); and Cragg and Glennie, Blood 103, 2738-2743 (2004)).

Increased effector function may result e.g. from glycoengineering of the Fc region or the introduction of amino acid mutations in the Fc region of the antibody. In one embodiment the

30 antibody is engineered by introduction of one or more amino acid mutations in the Fc region. In a specific embodiment the amino acid mutations are amino acid substitutions. In an even more specific embodiment the amino acid substitutions are at positions 298, 333, and/or 334 of the Fc

region (EU numbering of residues). Further suitable amino acid mutations are described e.g. in Shields et al., J Biol Chem 9(2), 6591-6604 (2001); U.S. Patent No. 6,737,056; WO 2004/063351 and WO 2004/099249. Mutant Fc regions can be prepared by amino acid deletion, substitution, insertion or modification using genetic or chemical methods well known in the art.

5 Genetic methods may include site-specific mutagenesis of the encoding DNA sequence, PCR, gene synthesis, and the like. The correct nucleotide changes can be verified for example by sequencing.

In another embodiment the antibody is engineered by modification of the glycosylation in the Fc region. In a specific embodiment the antibody is engineered to have an increased proportion of  
10 non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody. An increased proportion of non-fucosylated oligosaccharides in the Fc region of an antibody results in the antibody having increased effector function, in particular increased ADCC.

In a more specific embodiment, at least about 20%, about 25%, about 30%, about 35%, about 40%, about 45%, about 50%, about 55%, about 60%, about 65%, about 70%, about 75%, about  
15 80%, about 85%, about 90%, about 95%, or about 100%, preferably at least about 50%, more preferably at least about 70%, of the N-linked oligosaccharides in the Fc region of the antibody are non-fucosylated. The non-fucosylated oligosaccharides may be of the hybrid or complex type.

In another specific embodiment the antibody is engineered to have an increased proportion of  
20 bisected oligosaccharides in the Fc region as compared to a non-engineered antibody. In a more specific embodiment, at least about 10%, about 15%, about 20%, about 25%, about 30%, about 35%, about 40%, about 45%, about 50%, about 55%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or about 100%, preferably at least about 50%, more preferably at least about 70%, of the N-linked oligosaccharides in the Fc region of  
25 the antibody are bisected. The bisected oligosaccharides may be of the hybrid or complex type.

In yet another specific embodiment the antibody is engineered to have an increased proportion of bisected, non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody. In a more specific embodiment, at least about 10%, about 15%, about 20%, about 25%, about 30%, about 35%, about 40%, about 45%, about 50%, about 55%, about 60%, about 65%,  
30 about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or about 100%, preferably at least about 15%, more preferably at least about 25%, at least about 35% or at least

about 50%, of the N-linked oligosaccharides in the Fc region of the antibody are bisected, non-fucosylated. The bisected, non-fucosylated oligosaccharides may be of the hybrid or complex type.

The oligosaccharide structures in the antibody Fc region can be analysed by methods well known in the art, e.g. by MALDI TOF mass spectrometry as described in Umana et al., *Nat Biotechnol* 17, 176-180 (1999) or Ferrara et al., *Biotechn Bioeng* 93, 851-861 (2006). The percentage of non-fucosylated oligosaccharides is the amount of oligosaccharides lacking fucose residues, relative to all oligosaccharides attached to Asn 297 (e. g. complex, hybrid and high mannose structures) and identified in an N-glycosidase F treated sample by MALDI TOF MS. Asn 297 refers to the asparagine residue located at about position 297 in the Fc region (EU numbering of Fc region residues); however, Asn297 may also be located about  $\pm 3$  amino acids upstream or downstream of position 297, i.e., between positions 294 and 300, due to minor sequence variations in antibodies. The percentage of bisected, or bisected non-fucosylated, oligosaccharides is determined analogously.

In one embodiment the antibody is engineered to have modified glycosylation in the Fc region, as compared to a non-engineered antibody, by producing the antibody in a host cell having altered activity of one or more glycosyltransferase. Glycosyltransferases include  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII),  $\beta(1,4)$ -galactosyltransferase (GalT),  $\beta(1,2)$ -N-acetylglucosaminyltransferase I (GnTI),  $\beta(1,2)$ -N-acetylglucosaminyltransferase II (GnTII) and  $\alpha(1,6)$ -fucosyltransferase. In a specific embodiment the antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody, by producing the antibody in a host cell having increased  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII) activity. In an even more specific embodiment the host cell additionally has increased  $\alpha$ -mannosidase II (ManII) activity. The glycoengineering methodology that can be used for engineering antibodies useful for the present invention has been described in greater detail in Umana et al., *Nat Biotechnol* 17, 176-180 (1999); Ferrara et al., *Biotechn Bioeng* 93, 851-861 (2006); WO 99/54342 (U.S. Pat. No. 6,602,684; EP 1071700); WO 2004/065540 (U.S. Pat. Appl. Publ. No. 2004/0241817; EP 1587921), WO 03/011878 (U.S. Pat. Appl. Publ. No. 2003/0175884), the entire content of each of which is incorporated herein by reference in its entirety. Antibodies glycoengineered using this methodology are referred to as GlycoMabs herein.

Generally, any type of cultured cell line, including the cell lines discussed herein, can be used to generate cell lines for the production of anti-TNC A2 antibodies with altered glycosylation pattern. Particular cell lines include CHO cells, BHK cells, NS0 cells, SP2/0 cells, YO myeloma cells, P3X63 mouse myeloma cells, PER cells, PER.C6 cells or hybridoma cells, and other mammalian cells. In certain embodiments, the host cells have been manipulated to express increased levels of one or more polypeptides having  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII) activity. In certain embodiments the host cells have been further manipulated to express increased levels of one or more polypeptides having  $\alpha$ -mannosidase II (ManII) activity. In a specific embodiment, the polypeptide having GnTIII activity is a fusion polypeptide comprising the catalytic domain of GnTIII and the Golgi localization domain of a heterologous Golgi resident polypeptide. Particularly, said Golgi localization domain is the Golgi localization domain of mannosidase II. Methods for generating such fusion polypeptides and using them to produce antibodies with increased effector functions are disclosed in Ferrara et al., *Biotechn Bioeng* 93, 851-861 (2006) and WO2004/065540, the entire contents of which are expressly incorporated herein by reference.

The host cells which contain the coding sequence of an antibody useful for the invention and/or the coding sequence of polypeptides having glycosyltransferase activity, and which express the biologically active gene products may be identified e.g. by DNA-DNA or DNA-RNA hybridization; the presence or absence of "marker" gene functions; assessing the level of transcription as measured by the expression of the respective mRNA transcripts in the host cell; or detection of the gene product as measured by immunoassay or by its biological activity - methods which are well known in the art. GnTIII or Man II activity can be detected e.g. by employing a lectin which binds to biosynthetic products of GnTIII or ManII, respectively. An example for such a lectin is the E<sub>4</sub>-PHA lectin which binds preferentially to oligosaccharides containing bisecting GlcNAc. Biosynthesis products (i.e. specific oligosaccharide structures) of polypeptides having GnTIII or ManII activity can also be detected by mass spectrometric analysis of oligosaccharides released from glycoproteins produced by cells expressing said polypeptides. Alternatively, a functional assay which measures the increased effector function, e.g. increased Fc receptor binding, mediated by antibodies produced by the cells engineered with the polypeptide having GnTIII or ManII activity may be used.

In another embodiment the antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region, as compared to a non-engineered antibody, by

producing the antibody in a host cell having decreased  $\alpha(1,6)$ -fucosyltransferase activity. A host cell having decreased  $\alpha(1,6)$ -fucosyltransferase activity may be a cell in which the  $\alpha(1,6)$ -fucosyltransferase gene has been disrupted or otherwise deactivated, e.g. knocked out (see Yamane-Ohnuki et al., *Biotech Bioeng* 87, 614 (2004); Kanda et al., *Biotechnol Bioeng*, 94(4), 680-688 (2006); Niwa et al., *J Immunol Methods* 306, 151-160 (2006)).

Other examples of cell lines capable of producing defucosylated antibodies include Lec13 CHO cells deficient in protein fucosylation (Ripka et al., *Arch Biochem Biophys* 249, 533-545 (1986); US Pat. Appl. No. US 2003/0157108; and WO 2004/056312, especially at Example 11). The antibodies useful in the present invention can alternatively be glycoengineered to have reduced fucose residues in the Fc region according to the techniques disclosed in EP 1 176 195 A1, WO 03/084570, WO 03/085119 and U.S. Pat. Appl. Pub. Nos. 2003/0115614, 2004/093621, 2004/110282, 2004/110704, 2004/132140, US Pat. No. 6,946,292 (Kyowa), e.g. by reducing or abolishing the activity of a GDP-fucose transporter protein in the host cells used for antibody production.

Glycoengineered antibodies useful in the invention may also be produced in expression systems that produce modified glycoproteins, such as those taught in WO 03/056914 (GlycoFi, Inc.) or in WO 2004/057002 and WO 2004/024927 (Greenovation).

### **Recombinant Methods**

Methods to produce antibodies and immunoconjugates useful in the invention are well known in the art, and described for example in WO 2012/146628, WO 2005/044859, WO 2006/082515, WO 2008/017963, WO 2005/005635, WO 2008/077546, WO 2011/023787, WO 2011/076683, WO 2011/023389 and WO 2006/100582. Established methods to produce polyclonal antibodies and monoclonal antibodies are also described, e.g., in Harlow and Lane, "Antibodies, a laboratory manual", Cold Spring Harbor Laboratory, 1988.

Non-naturally occurring antibodies or fragments thereof can be constructed using solid phase-peptide synthesis, can be produced recombinantly (e.g. as described in U.S. Patent No. 4,816,567) or can be obtained, for example, by screening combinatorial libraries comprising variable heavy chains and variable light chains (see e.g. U.S. Patent. No. 5,969,108 to McCafferty). For recombinant production of immunoconjugates and antibodies useful in the invention, one or more polynucleotide(s) encoding said immunoconjugate or antibody is isolated and inserted into

one or more vectors for further cloning and/or expression in a host cell. Such polynucleotides may be readily isolated and sequenced using conventional procedures. Methods which are well known to those skilled in the art can be used to construct expression vectors containing the coding sequence of an antibody or immunoconjugate along with appropriate transcriptional/translational control signals. These methods include *in vitro* recombinant DNA techniques, synthetic techniques and *in vivo* recombination/genetic recombination. See, for example, the techniques described in Maniatis et al., MOLECULAR CLONING: A LABORATORY MANUAL, Cold Spring Harbor Laboratory, N.Y. (1989); and Ausubel et al., CURRENT PROTOCOLS IN MOLECULAR BIOLOGY, Greene Publishing Associates and Wiley Interscience, N.Y (1989).

Immunoconjugates useful in the invention may be expressed from a single polynucleotide that encodes the entire immunoconjugate or from multiple (e.g., two or more) polynucleotides that are co-expressed. Polypeptides encoded by polynucleotides that are co-expressed may associate through, e.g., disulfide bonds or other means to form a functional immunoconjugate. For example, the light chain portion of an antibody may be encoded by a separate polynucleotide from the portion of the immunoconjugate comprising the heavy chain portion of the antibody and the effector moiety. When coexpressed, the heavy chain polypeptides will associate with the light chain polypeptides to form the antibody.

Host cells suitable for replicating and for supporting expression of recombinant proteins are well known in the art. Such cells may be transfected or transduced as appropriate with the particular expression vector and large quantities of vector containing cells can be grown for seeding large scale fermenters to obtain sufficient quantities of the proteins, e.g. for clinical applications. Suitable host cells include prokaryotic microorganisms, such as *E. coli*, or various eukaryotic cells, such as Chinese hamster ovary cells (CHO), insect cells, or the like. For example, recombinant proteins may be produced in bacteria in particular when glycosylation is not needed. After expression, the protein may be isolated from the bacterial cell paste in a soluble fraction and can be further purified. In addition to prokaryotes, eukaryotic microbes such as filamentous fungi or yeast are suitable cloning or expression hosts for protein-encoding vectors, including fungi and yeast strains whose glycosylation pathways have been "humanized," resulting in the production of a protein with a partially or fully human glycosylation pattern. See Gerngross, Nat Biotech 22, 1409-1414 (2004), and Li et al., Nat Biotech 24, 210-215 (2006). Suitable host cells for the expression of (glycosylated) proteins are also derived from multicellular organisms

(invertebrates and vertebrates). Examples of invertebrate cells include plant and insect cells. Numerous baculoviral strains have been identified which may be used in conjunction with insect cells, particularly for transfection of *Spodoptera frugiperda* cells. Plant cell cultures can also be utilized as hosts. See e.g. US Patent Nos. 5,959,177; 6,040,498; 6,420,548; 7,125,978, and 5 6,417,429 (describing PLANTIBODIES<sup>TM</sup> technology for producing antibodies in transgenic plants). Vertebrate cells may also be used as hosts. For example, mammalian cell lines that are adapted to grow in suspension may be useful. Other examples of useful mammalian host cell lines are monkey kidney CV1 line transformed by SV40 (COS-7); human embryonic kidney (HEK) line (293 or 293T cells as described, e.g., in Graham et al., *J Gen Virol* 36, 59 (1977)), 10 baby hamster kidney cells (BHK), mouse sertoli cells (TM4 cells as described, e.g., in Mather, *Biol Reprod* 23, 243-251 (1980)), monkey kidney cells (CV1), African green monkey kidney cells (VERO-76), human cervical carcinoma cells (HELA), canine kidney cells (MDCK), buffalo rat liver cells (BRL 3A), human lung cells (W138), human liver cells (Hep G2), mouse mammary tumor cells (MMT 060562), TRI cells (as described, e.g., in Mather et al., *Annals N.Y. Acad Sci* 383, 44-68 (1982)), MRC 5 cells, and FS4 cells. Other useful mammalian host cell lines include Chinese hamster ovary (CHO) cells, including dhfr<sup>-</sup> CHO cells (Urlaub et al., *Proc Natl Acad Sci USA* 77, 4216 (1980)); and myeloma cell lines such as YO, NS0, P3X63 and Sp2/0. For a review of certain mammalian host cell lines suitable for protein production, see, e.g., 15 Yazaki and Wu, *Methods in Molecular Biology*, Vol. 248 (B.K.C. Lo, ed., Humana Press, Totowa, NJ), pp. 255-268 (2003). Host cells include cultured cells, e.g., mammalian cultured cells, yeast cells, insect cells, bacterial cells and plant cells, to name only a few, but also cells comprised within a transgenic animal, transgenic plant or cultured plant or animal tissue. In one embodiment, the host cell is a eukaryotic cell, particularly a mammalian cell, e.g. a Chinese Hamster Ovary (CHO) cell, a human embryonic kidney (HEK) 293 cell, or lymphoid cell (e.g., 20 YO, NS0, Sp20 cell).

If the antibody and immunoconjugate are intended for human use, chimeric forms of antibodies may be used wherein the antibody constant regions are from a human. A humanized or fully human form of the antibody can also be prepared in accordance with methods well known in the art (see e. g. U.S. Patent No. 5,565,332 to Winter). Humanization may be achieved by various 30 methods including, but not limited to (a) grafting the non-human (e.g., donor antibody) CDRs onto human (e.g. recipient antibody) framework and constant regions with or without retention of critical framework residues (e.g. those that are important for retaining good antigen binding affinity or antibody functions), (b) grafting only the non-human specificity-determining regions

(SDRs or a-CDRs; the residues critical for the antibody-antigen interaction) onto human framework and constant regions, or (c) transplanting the entire non-human variable domains, but "cloaking" them with a human-like section by replacement of surface residues. Humanized antibodies and methods of making them are reviewed, e.g., in Almagro and Fransson, *Front Biosci* 13, 1619-1633 (2008), and are further described, e.g., in Riechmann et al., *Nature* 332, 323-329 (1988); Queen et al., *Proc Natl Acad Sci USA* 86, 10029-10033 (1989); US Patent Nos. 5,821,337, 7,527,791, 6,982,321, and 7,087,409; Jones et al., *Nature* 321, 522-525 (1986); Morrison et al., *Proc Natl Acad Sci* 81, 6851-6855 (1984); Morrison and Oi, *Adv Immunol* 44, 65-92 (1988); Verhoeven et al., *Science* 239, 1534-1536 (1988); Padlan, *Molec Immun* 31(3), 169-217 (1994); Kashmiri et al., *Methods* 36, 25-34 (2005) (describing SDR (a-CDR) grafting); Padlan, *Mol Immunol* 28, 489-498 (1991) (describing "resurfacing"); Dall'Acqua et al., *Methods* 36, 43-60 (2005) (describing "FR shuffling"); and Osbourn et al., *Methods* 36, 61-68 (2005) and Klimka et al., *Br J Cancer* 83, 252-260 (2000) (describing the "guided selection" approach to FR shuffling). Human antibodies and human variable regions can be produced using various techniques known in the art. Human antibodies are described generally in van Dijk and van de Winkel, *Curr Opin Pharmacol* 5, 368-74 (2001) and Lonberg, *Curr Opin Immunol* 20, 450-459 (2008). Human variable regions can form part of and be derived from human monoclonal antibodies made by the hybridoma method (see e.g. *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63 (Marcel Dekker, Inc., New York, 1987)). Human antibodies and human variable regions may also be prepared by administering an immunogen to a transgenic animal that has been modified to produce intact human antibodies or intact antibodies with human variable regions in response to antigenic challenge (see e.g. Lonberg, *Nat Biotech* 23, 1117-1125 (2005). Human antibodies and human variable regions may also be generated by isolating Fv clone variable region sequences selected from human-derived phage display libraries (see e.g., Hoogenboom et al. in *Methods in Molecular Biology* 178, 1-37 (O'Brien et al., ed., Human Press, Totowa, NJ, 2001); and McCafferty et al., *Nature* 348, 552-554; Clackson et al., *Nature* 352, 624-628 (1991)). Phage typically display antibody fragments, either as single-chain Fv (scFv) fragments or as Fab fragments.

In certain embodiments, the antibodies useful in the present invention are engineered to have enhanced binding affinity according to, for example, the methods disclosed in U.S. Pat. Appl. Publ. No. 2004/0132066, the entire contents of which are hereby incorporated by reference. The ability of the antibodies useful in the invention to bind to a specific antigenic determinant can be measured either through an enzyme-linked immunosorbent assay (ELISA) or other techniques

familiar to one of skill in the art, e.g. surface plasmon resonance technique (analyzed on a BIACORE T100 system) (Liljeblad, et al., Glyco J 17, 323-329 (2000)), and traditional binding assays (Heeley, Endocr Res 28, 217-229 (2002)).

Antibodies and immunoconjugates prepared as described herein may be purified by art-known techniques such as high performance liquid chromatography, ion exchange chromatography, gel electrophoresis, affinity chromatography, size exclusion chromatography, and the like. The actual conditions used to purify a particular protein will depend, in part, on factors such as net charge, hydrophobicity, hydrophilicity *etc.*, and will be apparent to those having skill in the art.

### **Pharmaceutical Compositions**

- 10 In another aspect the invention provides a pharmaceutical composition comprising (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a pharmaceutically acceptable carrier. These pharmaceutical compositions may be used, e.g., in any of the therapeutic methods described below.
- 15 Pharmaceutical compositions of an immunoconjugate and an antibody having increased effector function as described herein are prepared by mixing such immunoconjugate and antibody having the desired degree of purity with one or more optional pharmaceutically acceptable carriers (Remington's Pharmaceutical Sciences 18th edition, Mack Printing Company (1990)), in the form of lyophilized formulations or aqueous solutions. Pharmaceutically acceptable carriers are
- 20 generally non-toxic to recipients at the dosages and concentrations employed, and include, but are not limited to: buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride; benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10
- 25 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars
- 30 such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (*e.g.* Zn-protein complexes); and/or non-ionic surfactants such as polyethylene glycol

(PEG). Exemplary pharmaceutically acceptable carriers herein further include interstitial drug dispersion agents such as soluble neutral-active hyaluronidase glycoproteins (sHASEGP), for example, human soluble PH-20 hyaluronidase glycoproteins, such as rHuPH20 (HYLENEX<sup>®</sup>, Baxter International, Inc.). Certain exemplary sHASEGPs and methods of use, including  
5 rHuPH20, are described in US Patent Publication Nos. 2005/0260186 and 2006/0104968. In one aspect, a sHASEGP is combined with one or more additional glycosaminoglycanases such as chondroitinases.

Exemplary lyophilized formulations are described in US Patent No. 6,267,958. Aqueous formulations include those described in US Patent No. 6,171,586 and WO2006/044908, the latter  
10 formulations including a histidine-acetate buffer.

The pharmaceutical composition herein may also contain additional active ingredients as necessary for the particular indication being treated, particularly those with complementary activities that do not adversely affect each other. For example, if the disease to be treated is cancer, it may be desirable to further provide one or more anti-cancer agents, e.g. a  
15 chemotherapeutic agent, an inhibitor of tumor cell proliferation, or an activator of tumor cell apoptosis. Such active ingredients are suitably present in combination in amounts that are effective for the purpose intended.

Active ingredients may be entrapped in microcapsules prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-  
20 microcapsules and poly-(methylmethacrylate) microcapsules, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nanoparticles and nanocapsules) or in macroemulsions. Such techniques are disclosed in Remington's Pharmaceutical Sciences 18th edition, Mack Printing Company (1990).

Sustained-release preparations may be prepared. Suitable examples of sustained-release  
25 preparations include semipermeable matrices of solid hydrophobic polymers containing the antibody, which matrices are in the form of shaped articles, e.g. films, or microcapsules.

The compositions to be used for *in vivo* administration are generally sterile. Sterility may be readily accomplished, e.g., by filtration through sterile filtration membranes.

## Methods of Treatment

The combination provided herein of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, may be used in therapeutic methods.

In one aspect, a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use as a medicament is provided. In further aspects, a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in treating a disease is provided. In certain embodiments, a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in a method of treatment is provided. In certain embodiments, the invention provides a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in a method of treating an individual having a disease comprising administering to the individual a therapeutically effective amount of the combination. In one such embodiment, the method further comprises administering to the individual a therapeutically effective amount of at least one additional therapeutic agent, e.g., as described below. In further embodiments, the invention provides a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in stimulating effector cell function. In certain embodiments, the invention provides a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in a method of stimulating effector cell function in an individual comprising administering to the individual an effective amount of the combination to stimulate effector cell function. An “individual” according to any of the above embodiments is a mammal, particularly a human. A “disease” according to any of the above embodiments is a disease treatable by stimulation of effector cell function. In certain embodiments the disease is a cell proliferation disorder, particularly cancer.

In a further aspect, the invention provides for the use of a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and

an effector moiety, and (b) a second antibody engineered to have increased effector function, in the manufacture or preparation of a medicament. In one embodiment, the medicament is for treatment of a disease. In a further embodiment, the medicament is for use in a method of treating a disease comprising administering to an individual having the disease a therapeutically effective amount of the medicament. In one such embodiment, the method further comprises administering to the individual a therapeutically effective amount of at least one additional therapeutic agent, e.g., as described below. In a further embodiment, the medicament is for stimulating effector cell function. In a further embodiment, the medicament is for use in a method of stimulating effector cell function in an individual comprising administering to the individual an amount of the medicament effective to stimulate effector cell function. An “individual” according to any of the above embodiments is a mammal, particularly a human. A “disease” according to any of the above embodiments is a disease treatable by stimulation of effector cell function. In certain embodiments the disease is a cell proliferation disorder, particularly cancer.

15 In a further aspect, the invention provides a method for treating a disease. In one embodiment, the method comprises administering to an individual having such disease a therapeutically effective amount of a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function. In one such embodiment, the method further comprises administering to the individual a therapeutically effective amount of at least one additional therapeutic agent, as described below. An “individual” according to any of the above 20 embodiments is a mammal, particularly a human. A “disease” according to any of the above embodiments is a disease treatable by stimulation of effector cell function. In certain embodiments the disease is a cell proliferation disorder, particularly cancer.

25 In a further aspect, the invention provides a method for stimulating effector cell function in an individual. In one embodiment, the method comprises administering to the individual an effective amount of a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, to stimulate effector cell function. In one 30 embodiment, an “individual” is a mammal, particularly a human.

In a further aspect, the invention provides pharmaceutical composition comprising any of the combinations of (a) an immunoconjugate comprising a first antibody engineered to have reduced

effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function provided herein, e.g., for use in any of the above therapeutic methods. In one embodiment, a pharmaceutical composition comprises a combination provided herein, of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and  
5 an effector moiety and (b) a second antibody engineered to have increased effector function, and a pharmaceutically acceptable carrier. In another embodiment, a pharmaceutical composition comprises any of the combinations provided herein and at least one additional therapeutic agent, e.g., as described below.

According to any of the above embodiments, the disease is a disorder treatable by stimulation of  
10 effector cell function. Combinations of the invention are useful in treating disease states where stimulation of the immune system of the host is beneficial, in particular conditions where an enhanced cellular immune response is desirable. These may include disease states where the host immune response is insufficient or deficient. Disease states for which the combinations of the invention can be administered comprise, for example, a tumor or infection where a cellular  
15 immune response would be a critical mechanism for specific immunity. Specific disease states for which the combinations of the present invention can be employed include cancer, specifically renal cell carcinoma or melanoma; immune deficiency, specifically in HIV-positive patients, immunosuppressed patients, chronic infection and the like. In certain embodiments the disease is a cell proliferation disorder. In a particular embodiment the disease is cancer, specifically a  
20 cancer selected from the group of lung cancer, colorectal cancer, renal cancer, prostate cancer, breast cancer, head and neck cancer, ovarian cancer, brain cancer, lymphoma, leukemia, skin cancer.

Combinations of the invention can be used either alone or together with other agents in a therapy. For instance, a combination of the invention may be co-administered with at least one additional  
25 therapeutic agent. In certain embodiments, an additional therapeutic agent is an anti-cancer agent, e.g. a chemotherapeutic agent, an inhibitor of tumor cell proliferation, or an activator of tumor cell apoptosis.

Combination therapies as provided herein encompass administration of the antibody and the immunoconjugate together (where the two or more therapeutic agents are included in the same or  
30 separate formulations), and separately, in which case, administration of the antibody can occur prior to, simultaneously, and/or following, administration of the immunoconjugate, additional

therapeutic agent and/or adjuvant. Combinations of the invention can also be combined with radiation therapy.

A combination of the invention (and any additional therapeutic agent) can be administered by any suitable route, including parenteral, intrapulmonary, and intranasal, and, if desired for local  
5 treatment, intralesional administration. Parenteral infusions include intramuscular, intravenous, intraarterial, intraperitoneal, or subcutaneous administration. The antibody and the immunconjugate may be administered by the same or by different routes. Dosing can be by any suitable route, e.g. by injections, such as intravenous or subcutaneous injections, depending in  
10 part on whether the administration is brief or chronic. Various dosing schedules including but not limited to single or multiple administrations over various time-points, bolus administration, and pulse infusion are contemplated herein.

Combinations of the invention would be formulated, dosed, and administered in a fashion consistent with good medical practice. Factors for consideration in this context include the particular disorder being treated, the particular mammal being treated, the clinical condition of  
15 the individual patient, the cause of the disorder, the site of delivery of the agents, the method of administration, the scheduling of administration, and other factors known to medical practitioners. The combination need not be, but is optionally formulated with one or more agents currently used to prevent or treat the disorder in question. The effective amount of such other agents depends on the amount of antibody and immunoconjugate present in the formulation, the  
20 type of disorder or treatment, and other factors discussed above. These are generally used in the same dosages and with administration routes as described herein, or about from 1 to 99% of the dosages described herein, or in any dosage and by any route that is empirically/clinically determined to be appropriate.

For the prevention or treatment of disease, the appropriate dosage of an antibody and  
25 immunoconjugate (when used in the combinations of the invention, optionally together with one or more other additional therapeutic agents) will depend on the type of disease to be treated, the type of antibody and immunoconjugate, the severity and course of the disease, whether the combination is administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the antibody and/or immunoconjugate, and the  
30 discretion of the attending physician. The antibody and the immunoconjugate are suitably administered to the patient at one time or over a series of treatments.

Depending on the type and severity of the disease, about 1 µg/kg to 15 mg/kg (e.g. 0.1 mg/kg – 10 mg/kg) of antibody can be an initial candidate dosage for administration to the patient, whether, for example, by one or more separate administrations, or by continuous infusion. One typical daily dosage might range from about 1 µg/kg to 100 mg/kg or more, depending on the factors mentioned above. For repeated administrations over several days or longer, depending on the condition, the treatment would generally be sustained until a desired suppression of disease symptoms occurs. One exemplary dosage of the antibody would be in the range from about 0.05 mg/kg to about 10 mg/kg. Thus, one or more doses of about 0.5 mg/kg, 2.0 mg/kg, 4.0 mg/kg or 10 mg/kg (or any combination thereof) may be administered to the patient. Such doses may be administered intermittently, e.g. every week or every three weeks (e.g. such that the patient receives from about two to about twenty, or e.g. about six doses of the antibody). An initial higher loading dose, followed by one or more lower doses may be administered. An exemplary dosing regimen comprises administering an initial loading dose of about 4 mg/kg, followed by a weekly maintenance dose of about 2 mg/kg of the antibody. The same considerations with respect to dosage apply to the immunconjugate to be used in the combinations according to the invention. However, other dosage regimens may be useful. The progress of this therapy is easily monitored by conventional techniques and assays.

### Articles of Manufacture

In another aspect of the invention, an article of manufacture containing materials useful for the treatment, prevention and/or diagnosis of the disorders described above is provided. The article of manufacture comprises one or more container and a label or package insert on or associated with the container. Suitable containers include, for example, bottles, vials, syringes, IV solution bags, etc. The containers may be formed from a variety of materials such as glass or plastic. The container holds a composition which is by itself or combined with another composition effective for treating, preventing and/or diagnosing the condition and may have a sterile access port (for example the container may be an intravenous solution bag or a vial having a stopper pierceable by a hypodermic injection needle). At least one active agent in the composition is an antibody to be used in the combinations of the invention. Another active agent is the immunoconjugate to be used in the combinations of the invention, which may be in the same composition and container like the antibody, or may be provided in a different composition and container. The label or package insert indicates that the composition is used for treating the condition of choice.

In one aspect the invention provides a kit intended for the treatment of a disease, comprising in the same or in separate containers (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, and optionally further comprising (c) a package insert comprising printed instructions directing the use of the combined treatment as a method for treating the disease. Moreover, the kit may comprise (a) a first container with a composition contained therein, wherein the composition comprises an antibody engineered to have increased effector function; (b) a second container with a composition contained therein, wherein the composition comprises an immunoconjugate comprising an antibody engineered to have reduced effector function and an effector moiety; and optionally (c) a third container with a composition contained therein, wherein the composition comprises a further cytotoxic or otherwise therapeutic agent. The kit in this embodiment of the invention may further comprise a package insert indicating that the compositions can be used to treat a particular condition. Alternatively, or additionally, the kit may further comprise a third (or fourth) container comprising a pharmaceutically-acceptable buffer, such as bacteriostatic water for injection (BWFI), phosphate-buffered saline, Ringer's solution and dextrose solution. It may further include other materials desirable from a commercial and user standpoint, including other buffers, diluents, filters, needles, and syringes.

### Examples

The following are examples of methods and compositions of the invention. It is understood that various other embodiments may be practiced, given the general description provided above.

### General Methods

Glycoengineering of the Fc region of an antibody leads to increased binding affinity to human FcγRIII receptors, which in turn translates into enhanced ADCC induction and anti-tumor efficacy. Human FcγRIII receptors are expressed on macrophages, neutrophils, and natural killer (NK), dendritic and  $\gamma\delta$  T cells. In the mouse, the most widely utilized species for preclinical efficacy testing, murine FcγRIV, the murine homologue of human FcγRIIIa, is present on macrophages and neutrophils but not on NK cells. Therefore, not the full extent of any expected improved efficacy with glycoengineered antibodies is reflected in those models. We have generated a mouse transgenic for human FcγRIIIa (CD16a), exhibiting stable human CD16a expression on murine NK cells in blood, lymphoid tissues and tumors. Moreover, the expression

level of human CD16a on unstimulated NK cells in the blood of these transgenic mice mirrors that found in human. We also showed that a down-regulation of human FcγRIIIa on the tumor-associated NK cells after antibody therapy correlates with antitumoral activity. Finally, we showed significantly improved efficacy of glycoengineered antibody treatment in tumor models using this new mouse strain as compared to their human CD16-negative littermates.

### Example 1

#### FaDu Head and Neck carcinoma Xenograft Model

The FAP-targeted 28H1 IgG-IL2 and untargeted DP47GS IgG-IL2 immunoconjugates comprising the IL-2 quadruple mutant (qm) (SEQ ID NOs: 125, 126, 129, and SEQ ID NOs: 133-135, respectively) and the anti-EGFR GlycoMab (SEQ ID NOs: 102 and 103) were tested in the human head and neck carcinoma cell line FaDu, intralingually injected into SCID mice. This tumor model was shown by IHC on fresh frozen tissue to be positive for FAP. FaDu cells were originally obtained from ATCC (American Type Culture Collection) and after expansion deposited in the Glycart internal cell bank. The tumor cell line was routinely cultured in DMEM containing 10% FCS (Gibco), at 37°C in a water-saturated atmosphere at 5% CO<sub>2</sub>. In vitro passage 9 was used for intralingual injection, at a viability of 95.8%. Twenty μl cell suspension (2 x 10<sup>5</sup> FaDu cells in AimV medium (Gibco)) was injected intralingually. Female SCID mice (Taconics, Denmark), aged 8-9 weeks at the start of the experiment were maintained under specific-pathogen-free conditions with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government (P 2008016). After arrival, animals were maintained for one week to get accustomed to new environment and for observation. Continuous health monitoring was carried out on a regular basis. Mice were injected intralingually on study day 0 with 2 x 10<sup>5</sup> FaDu cells, randomized and weighed. One week after the tumor cell injection, mice were injected i.v. with the 28H1 IgG-IL2 qm immunoconjugate, the DP47GS IgG-IL2 qm immunoconjugate, the anti-EGFR GlycoMab, the combination of the 28H1 IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab, or the combination of the DP47GS IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab once weekly for four weeks. All mice were injected i.v. with 200 μl of the appropriate solution. Doses are specified in Table 2. The mice in the vehicle group were injected with PBS and the treatment groups with the 28H1 IgG-IL2 qm immunoconjugate, the DP47GS IgG-IL2 qm immunoconjugate, the anti-EGFR GlycoMab, the

combination of the 28H1 IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab, or the combination of the DP47GS IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab. To obtain the proper amount of immunoconjugate per 200  $\mu$ l, the stock solutions were diluted with PBS when necessary. Figure 1A shows that only the combination of the FAP-targeted 28H1 IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediated superior efficacy in terms of enhanced median survival compared to the 28H1 IgG-IL2 qm immunoconjugate or the anti-EGFR GlycoMab alone. In contrast thereto, the combination of the untargeted DP47GS IgG-IL2 qm and the anti-EGFR GlycoMab did not show superiority over the single agent administration (Figure 1B).

10

TABLE 2.

Compound	Dose/mouse	Formulation buffer	Concentration (mg/mL)
Anti-EGFR Glycomab	625 $\mu$ g	20 mM His/HisCl 240 mM trehalose 0.02% polysorbate 80 10 mM methionine pH 5.5	26.65 (= stock solution)
FAP 28H1 IgG-IL2 qm	50 $\mu$ g	20 mM histidine, 140 mM NaCl, pH 6.0	3.46 (= stock solution)
untargeted DP47GS IgG-IL2 qm	50 $\mu$ g	20 mM histidine, 140 mM NaCl, pH 6.0	5.87 (= stock solution)

### Example 2

#### *In vitro* boosting of NK cell killing capacity by IL-2 immunoconjugates

To determine the effect of immunoconjugates on NK cells, we assessed the killing of tumor cells upon treatment with the immunoconjugates, particularly immunoconjugates comprising IL-2 as effector moiety. For this purpose, peripheral blood mononuclear cells (PBMCs) were isolated according to standard procedures, using Histopaque-1077 (Sigma Diagnostics Inc., St. Louis, MO, USA). In brief, venous blood was taken with heparinized syringes from healthy volunteers. The blood was diluted 2:1 with PBS not containing calcium or magnesium and layered on Histopaque-1077. The gradient was centrifuged at 450 x g for 30 min at room temperature (RT) without brake. The interphase containing the PBMCs was collected and washed with PBS in total three times (350 x g followed by 300 x g for 10 min at RT).

The isolated PBMCs were incubated with IL-2 (Proleukin) or IL-2 immunoconjugates, added to the cell supernatant, for 45 h. Subsequently, the PBMCs were recovered and used for anti-EGFR GlycoMab-mediated ADCC of A549 cells at an E:T of 10:1, for 4 h. Target cell killing was detected by measuring LDH release into the cell supernatants (Roche Cytotoxicity Detection Kit  
5 LDH). Figure 2 shows the overall A549 tumor cell killing by PBMCs, pre-treated or not with 0.57 nM (A) or 5.7 nM (B) FAP-targeted 28H1 IgG-IL2 qm immunoconjugate or IL-2 (Proleukin), in the presence of different concentrations of anti-EGFR GlycoMab. The graphs show that immunoconjugate pre-treatment of effector cells results in a greater increase in target cell killing with increasing concentrations of GlycoMab, as compared to untreated effector cells.

10

### Example 3

#### LS174T Colorectal Xenograft Model

The CEA-targeted CH1A1A IgG-IL2 qm immunoconjugate (SEQ ID NOs: 136-138), the anti-EGFR GlycoMab (SEQ ID NOs: 102 and 103) and cetuximab were tested in the human colorectal LS174T cell line, intrasplenically injected into SCID-human FcγRIII transgenic mice.  
15 This tumor model was shown by IHC on fresh frozen tissue to be positive for CEA. LS174T cells (human colon carcinoma cells) were originally obtained from ECACC (European Collection of Cell Culture) and after expansion deposited in the Glycart internal cell bank. LS174T were cultured in MEM Eagle's medium containing 10% FCS (PAA Laboratories, Austria), 1% Glutamax and 1% MEM Non-Essential Amino Acids (Sigma). The cells were  
20 cultured at 37°C in a water-saturated atmosphere at 5 % CO<sub>2</sub>. In vitro passage 19 or 23 was used for intrasplenic injection, at a viability of 99%. A small incision was made at the left abdominal site of anesthetized SCID FcγRIII transgenic mice. Thirty microliters cell suspension (2 x 10<sup>6</sup> LS174T cells in AimV medium) was injected through the abdominal wall just under the capsule of the spleen. Skin wounds were closed using clamps or resolvable sutures. Female SCID  
25 FcγRIII transgenic mice; aged 8-9 weeks at the start of the experiment (purchased from Taconics, Denmark) were maintained under specific-pathogen-free conditions with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government (P 2008016, P2011/128). After arrival, animals were maintained for one week to get accustomed to the new  
30 environment and for observation. Continuous health monitoring was carried out on a regular basis. Mice were injected intrasplenically on study day 0 with 2 x 10<sup>6</sup> LS174T cells, randomized and weighed. One week after the tumor cell injection mice were injected i.v. with the CH1A1A

IgG-IL2 qm immunoconjugate, the anti-EGFR GlycoMab, cetuximab the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab once weekly for three weeks. All mice were injected i.v. with 200 µl of the appropriate solution. Doses are specified in Table 3.

5 The mice in the vehicle group were injected with PBS and the treatment groups with the CH1A1A IgG-IL2 qm immunoconjugate or the anti-EGFR GlycoMab, cetuximab, the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab, or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab. To obtain the proper amount of immunoconjugate per 200 µl, the stock solutions were diluted with PBS when

10 necessary. Figure 3 and Tables 3A and 3B show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediated superior efficacy in terms of enhanced median and overall survival compared to the CH1A1A IgG-IL2 qm immunoconjugate alone, the anti-EGFR GlycoMab alone, cetuximab alone, or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab.

15

TABLE 3.

Compound	Dose/mouse	Formulation buffer	Concentration (mg/mL)
Anti-EGFR Glycomab	500 µg	20 mM His/HisCl 240 mM trehalose 0.02% Tween 20 pH 6.0	25.3 (= stock solution)
CH1A1A IgG-IL2 qm	40 µg (Fig. 3A) or 20 µg (Fig 3B)	20 mM histidine, 140 mM NaCl, pH 6.0	4.27 (= stock solution)
Cetuximab	500 µg	10 mM Na-citrate, NaCl, glycine, Tween 80, pH 5.5	4.36 (= stock solution)

TABLE 3A. Summary of survival data corresponding to Figure 3A.

Treatment	Median survival (days)	Overall survival
Vehicle	24	0/8
CH1A1A IgG-IL2 qm	33	0/8
Anti-EGFR GlycoMab	40	0/8
CH1A1A IgG-IL2 qm + anti-EGFR GlycoMab	141	3/8

TABLE 3B. Summary of survival data corresponding to Figure 3B.

Treatment	Median survival (days)	Overall survival
Vehicle	29	0/8
CH1A1A IgG-IL2 qm	35	0/8
Cetuximab	39	0/8
CH1A1A IgG-IL2 qm + Cetuximab	53 (ongoing)	2/8 (ongoing)

#### Example 4

##### A549 Lung Xenograft Model

The CEA-targeted CH1A1A IgG-IL2 qm immunoconjugate (SEQ ID NOs: 136-138), the anti-EGFR GlycoMab (SEQ ID NOs: 102 and 103) and cetuximab were tested in the human NSCLC cell line A549, injected i.v. into SCID-human FcγRIII transgenic mice.

The A549 non-small cell lung carcinoma cells were originally obtained from ATCC (CCL-185) and after expansion deposited in the Roche-Glycart internal cell bank. The tumor cell line was routinely cultured in DMEM containing 10% FCS (Gibco) at 37°C in a water-saturated atmosphere at 5% CO<sub>2</sub>. Passage 8 was used for transplantation, at a viability of 97-98%. 5 x 10<sup>6</sup> cells per animal were injected i.v. into the tail vein in 200 μl of Aim V cell culture medium (Gibco).

Female SCID-FcγRIII mice (Roche-Glycart; Switzerland), aged 8-9 weeks at the start of the experiment (bred at Charles Rivers, Lyon, France) were maintained under specific-pathogen-free condition with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government (P 2011/128). After arrival, animals were maintained for one week to get accustomed to the new environment and for observation. Continuous health monitoring was carried out on a regular basis.

Mice were injected i.v. on study day 0 with 5 x 10<sup>6</sup> A549 cells, randomized and weighed. One week (Figure 4A) or two weeks (Figure 4B) after the tumor cell injection, mice were injected i.v. with the CH1A1A IgG-IL2 qm immunoconjugate, the anti-EGFR GlycoMab, cetuximab, the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab, or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab once weekly for three weeks. All mice were injected i.v. with 200 μl of the appropriate solution. Doses are specified in Table 4. The mice in the vehicle group were injected with PBS and the treatment group with the CH1A1A IgG-IL2 qm immunoconjugate, the anti-EGFR GlycoMab, the

combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab, or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab. To obtain the proper amount of immunoconjugate per 200 µl, the stock solutions were diluted with PBS when necessary.

5 Figure 4 and Tables 4A and 4B show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-EGFR GlycoMab mediates superior efficacy in terms of enhanced median and overall survival compared to the respective immunoconjugate, the anti-EGFR GlycoMab or cetuximab alone, as well as the combination of the CH1A1A IgG-IL2 qm immunoconjugate and cetuximab.

10

TABLE 4.

<b>Compound</b>	<b>Dose</b>	<b>Formulation buffer</b>	<b>Concentration (mg/mL)</b>
CH1A1A IgG-IL2 qm	20 µg	20mM histidine, 140mM NaCl, pH6.0	4.27 (= stock solution)
Anti-EGFR Glycomab	500 µg	20 mM His/HisCl, 240 mM trehalose, 0.02% Tween 20, pH 6.0	25.3 (= stock solution)
Cetuximab	500 µg	10 mM Na-citrate, NaCl, glycine, Tween 80, pH 5.5	4.36 (= stock solution)

TABLE 4A. Summary of survival data corresponding to Figure 4A.

Treatment	Median survival (days)	Overall survival
Vehicle	53	0/9
CH1A1A IgG-IL2 qm	103	0/9
Anti-EGFR GlycoMab	211	2/9
CH1A1A IgG-IL2 qm + anti-EGFR GlycoMab	not reached	9/9

15

TABLE 4B. Summary of survival data corresponding to Figure 4B.

Treatment	Median survival (days)	Overall survival
Vehicle	49	0/10
CH1A1A IgG-IL2 qm	64	0/10
Cetuximab	68	0/10
CH1A1A IgG-IL2 qm + Cetuximab	91	0/10

## Example 5

### LS174T Colorectal Xenograft Model

The CEA-targeted CH1A1A IgG-IL2 qm immunoconjugate (SEQ ID NOs: 136-138) and the anti-Her3 GlycoMab (SEQ ID NOs: 142 and 146) were tested in the human colorectal LS174T  
5 cell line, intrasplenically injected into SCID-human FcγRIII transgenic mice.

LS174T cells (human colon carcinoma cells) were originally obtained from ECACC (European Collection of Cell Culture) and after expansion deposited in the Roche-Glycart internal cell bank. LS174T were cultured in MEM Eagle's medium containing 10% FCS (PAA Laboratories, Austria), 1% Glutamax and 1% MEM Non-Essential Amino Acids (Sigma). The cells were  
10 cultured at 37°C in a water-saturated atmosphere at 5% CO<sub>2</sub>. *In vitro* passage 21 was used for intrasplenic injection, at a viability of 97.9%. A small incision was made at the left abdominal site of anesthetized SCID-human FcγRIII transgenic mice. Thirty microliters (2 x 10<sup>6</sup> LS174T cells in AimV medium) cell suspension was injected through the abdominal wall just under the capsule of the spleen. Skin wounds were closed using resolvable sutures.

15 Female SCID-human FcγRIII transgenic mice; aged 8-9 weeks at the start of the experiment (Roche-Glycart, Switzerland) were maintained under specific-pathogen-free conditions with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government (P 2011/128). After arrival, animals were maintained for one week to get accustomed to the new  
20 environment and for observation. Continuous health monitoring was carried out on a regular basis.

Mice were injected intrasplenically on study day 0 with 2 x 10<sup>6</sup> LS174T cells, randomized and weighed. One week after the tumor cell injection mice were injected i.v. with the CH1A1A IgG-IL2 qm immunoconjugate, the anti-Her3 GlycoMab or the combination of the CH1A1A IgG-IL2  
25 qm immunoconjugate and the anti-Her3 GlycoMab once weekly for three weeks.

All mice were injected i.v. with 200 μl of the appropriate solution. Doses are specified in Table 5. The mice in the vehicle group were injected with PBS and the treatment groups with the CH1A1A IgG-IL2 qm immunoconjugate, the anti-Her3 GlycoMab or the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-Her3 GlycoMab. To obtain the proper  
30 amount of immunoconjugate per 200 μl, the stock solutions were diluted with PBS when necessary. Figure 5 and Table 5A show that the combination of the CH1A1A IgG-IL2 qm immunoconjugate and the anti-Her3 GlycoMab mediated superior efficacy in terms of enhanced

median survival compared to the CH1A1A IgG-IL2 qm immunoconjugate or the anti-Her3 GlycoMab alone.

TABLE 5.

Compound	Dose	Formulation buffer	Concentration (mg/mL)
Anti-Her3 GlycoMab	200 µg		10.0 (= stock solution)
CH1A1A IgG-IL2 qm	20 µg	20 mM histidine, 140 mM NaCl, pH6.0	13.60 (= stock solution)

5

TABLE 5A. Summary of survival data corresponding to Figure 5.

Treatment	Median survival (days)	Overall survival
Vehicle	24	0/10
CH1A1A IgG-IL2 qm	25	0/10
Anti-Her3 GlycoMab	27	0/10
CH1A1A IgG-IL2 qm + anti-Her3 GlycoMab	34	0/10

### Example 6

#### ACHN Renal carcinoma Xenograft Model

10 The FAP-targeted 28H1 IgG-IL2 immunoconjugate comprising the IL-2 quadruple mutant (qm) (SEQ ID NOs: 125, 126 and 129) and the anti-EGFR GlycoMab (SEQ ID NOs: 102 and 103) were tested in the human renal cell line ACHN, intrarenally injected into SCID-human FcγRIII transgenic mice.

ACHN cells (human renal adenocarcinoma cells) were originally obtained from ATCC  
 15 (American Type Culture Collection) and after expansion deposited in the Roche-Glycart internal cell bank. ACHN were cultured in DMEM containing 10% FCS. The cells were cultured at 37°C in a water-saturated atmosphere at 5 % CO<sub>2</sub>. *In vitro* passage 22 was used for intrarenal injection, at a viability of 96.4%. A small incision (2 cm) was made at the right flank and peritoneal wall of anesthetized SCID-human FcγRIII transgenic mice. Fifty µl (1 x 10<sup>6</sup> ACHN  
 20 cells in AimV medium) cell suspension was injected 2 mm subcapsularly in the kidney. Skin wounds and peritoneal wall were closed using resolvable sutures.

Female SCID-human Fc $\gamma$ RIII transgenic mice; aged 8-9 weeks at the start of the experiment (Roche-Glycart, Switzerland) were maintained under specific-pathogen-free conditions with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government (P  
5 2011/128). After arrival, animals were maintained for one week to get accustomed to new environment and for observation. Continuous health monitoring was carried out on a regular basis.

Mice were injected intrarenally on study day 0 with  $1 \times 10^6$  ACHN cells, randomized and weighed. One week after the tumor cell injection, mice were injected i.v. with vehicle, anti-  
10 EGFR-GlycoMab, the combination of the 28H1 IgG-IL2 immunoconjugate and the anti-EGFR-GlycoMab, or the combination of Proleukin<sup>®</sup> and the anti-EGFR-GlycoMab. The EGFR-GlycoMab and the 28H1 IgG-IL2 immunoconjugate were dose once a week for 3 weeks. Proleukin<sup>®</sup> was injected daily from Monday to Friday for 3 weeks.

All mice were injected i.v. with 200  $\mu$ l of the appropriate solution. Doses are specified in Table  
15 6. The mice in the vehicle group were injected with PBS and the treatment groups with anti-EGFR-GlycoMab, the combination of the 28H1 IgG-IL2 immunoconjugate and the anti-EGFR-GlycoMab, or the combination of Proleukin<sup>®</sup> and the anti-EGFR-GlycoMab. To obtain the proper amount of immunoconjugate per 200  $\mu$ l, the stock solutions were diluted with PBS when necessary.

20 Figure 6 and Table 6A show that the combination of the 28H1 IgG-IL2 immunoconjugate and the anti-EGFR-GlycoMab mediated superior efficacy in terms of enhanced median and overall survival compared to anti-EGFR-GlycoMab alone and the combination of Proleukin<sup>®</sup> and the anti-EGFR-GlycoMab.

25

TABLE 6.

Compound	Dose	Formulation buffer	Concentration (mg/mL)
Anti-EGFR-Glycomab	625 $\mu$ g	20 mM His/HisCl 240 mM trehalose 0.02% Tween 20, pH 6.0	26.65 (= stock solution)
28H1 IgG-IL2	78 $\mu$ g	20mM histidine, 140mM NaCl, pH6.0	3.46 (= stock solution)
Proleukin <sup>®</sup>	22.2 $\mu$ g		1 (= stock solution)

TABLE 6A. Summary of survival data corresponding to Figure 6.

Treatment	Median survival (days)	Overall survival
Vehicle	59	0/7
Anti-EGFR GlycoMab	155	0/7
Anti-EGFR GlycoMab + Proleukin <sup>®</sup>	174	0/7
28H1 IgG-IL2 qm + anti-EGFR GlycoMab	not reached	7/7

### Example 7

#### ***In vitro* boosting of NK cell killing capacity and NK cell CD25 and CD69 expression by IL-2 immunconjugates**

As in Example 2, we assessed the killing of tumor cells (LS174T) by NK cells upon treatment with an immunconjugate, particularly an immunconjugate comprising IL-2 as effector moiety, and a GlycoMab, in this case an anti-Her3 GlycoMab. Target cell killing was detected by measuring LDH release into the cell supernatant.

10 PBMCs were isolated from fresh blood. Briefly, blood was diluted 3:1 with PBS. About 30 ml of the blood/PBS mixture was stacked on 15 ml of Histopaque (Sigma) and centrifuged for 30 min at 450 g for 30 min without brake. The lymphocytes were collected with a 5 ml pipette into 50 ml tubes containing PBS. The tubes were filled up to 50 ml with PBS and centrifuged for 10 min at 350 g. The supernatant was discarded, the pellet re-suspended in 50 ml PBS and centrifuged  
15 for 10 min at 300 g. The washing step was repeated once. The cells were counted and re-suspended in pre-warmed RPMI containing 1% glutamine and 10% FCS with  $1 \times 10^6$  cells per ml. The cells were incubated overnight at 37°C. On the next day the cells were harvested and counted.

LS174T (ECACC #87060401) is a human Caucasian colon adenocarcinoma cell line. The cells  
20 were cultured in EMEM containing 1% glutamine, 1% non-essential amino acids and 10% FCS and splitted every two to three days before reaching confluence. LS174T cells were detached using trypsin. The cells were counted and viability was checked. The viability of the cells before the assay was 99.4 %. The cells were re-suspended in their respective medium at  $0.3 \times 10^6$  per ml. 100  $\mu$ l of the cell suspension was seeded into a 96 well cell culture treated flat bottom plate and  
25 incubated overnight at 37°C. On the next day the supernatant was removed from the cells. Then 50  $\mu$ l of the diluted anti-Her3 GlycoMab (SEQ ID NOs: 142 and 146) at 1000 ng/ml, 100 ng/ml

and 10 ng/ml or medium was added to the respective wells. Then, 50 µl of the CEA-targeted CH1A1A IgG-IL2 qm immunoconjugate (SEQ ID NOs: 136-138) at the indicated concentrations (see Figure 7) or medium was added per well. After 10 minutes incubation at room temperature 100 µl of PBMCs at  $3 \times 10^6$  cells per ml or medium were added to reach a final volume of 200 µl per well. The cells were incubated for 24 hours in the incubator. After the incubation the plate was centrifuged for 4 minutes at 400 g and the supernatant was collected. 50 µl per well of the supernatant were used to measure LDH release (Roche Cytotoxicity Detection Kit LDH). The remaining supernatant was stored at -20°C until further use. The cells were re-suspended in FACS buffer and stored at 4°C before starting with the FACS staining (see below)

10 Figure 7 shows the overall LS174T cell killing by PBMCs upon treatment with anti-Her3 GlycoMab alone (left panel), the CH1A1A IgG-IL-2 qm immunoconjugate alone (right panel) or the combination of the CH1A1A IgG-IL-2 qm immunoconjugate with the anti-Her3 GlycoMab (right panel).

The PBMCs were harvested after 24 h and used for FACS analysis of NK cell CD25 and CD69 expression. The cells were centrifuged for 4 min at 400 g and washed once with PBS containing 0.1% BSA (FACS buffer). Then 20 µl per well of the antibody mix was added to the cells. The cells were incubated for 30 min in the fridge. Afterwards the cells were washed twice with FACS buffer and re-suspended in 200 µl FACS buffer containing 2% PFA per well. The analysis was performed using the BD FACS Fortessa, and the following antibody mix: CD3 PE/Cy7 (BioLegend, #300420; diluted 1:40), CD56 APC (BioLegend #318310; diluted 1:20), CD69 Brilliant Violet 421 (BioLegend #310929; diluted 1:40), CD25 PE (BD Bioscience #557138; diluted 1:20).

Figure 8 shows expression of CD25 (A) or CD69 (B) on NK cells upon treatment with anti-Her3 GlycoMab alone (left panel), the CH1A1A IgG-IL-2 qm immunoconjugate alone (right panel) or the combination of the CH1A1A IgG-IL-2 qm immunoconjugate with the anti-Her3 GlycoMab (right panel).

\* \* \*

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, the descriptions and examples should not be construed as limiting the scope of the invention. The disclosures of all patent and scientific literature cited herein are expressly incorporated in their entirety by reference.

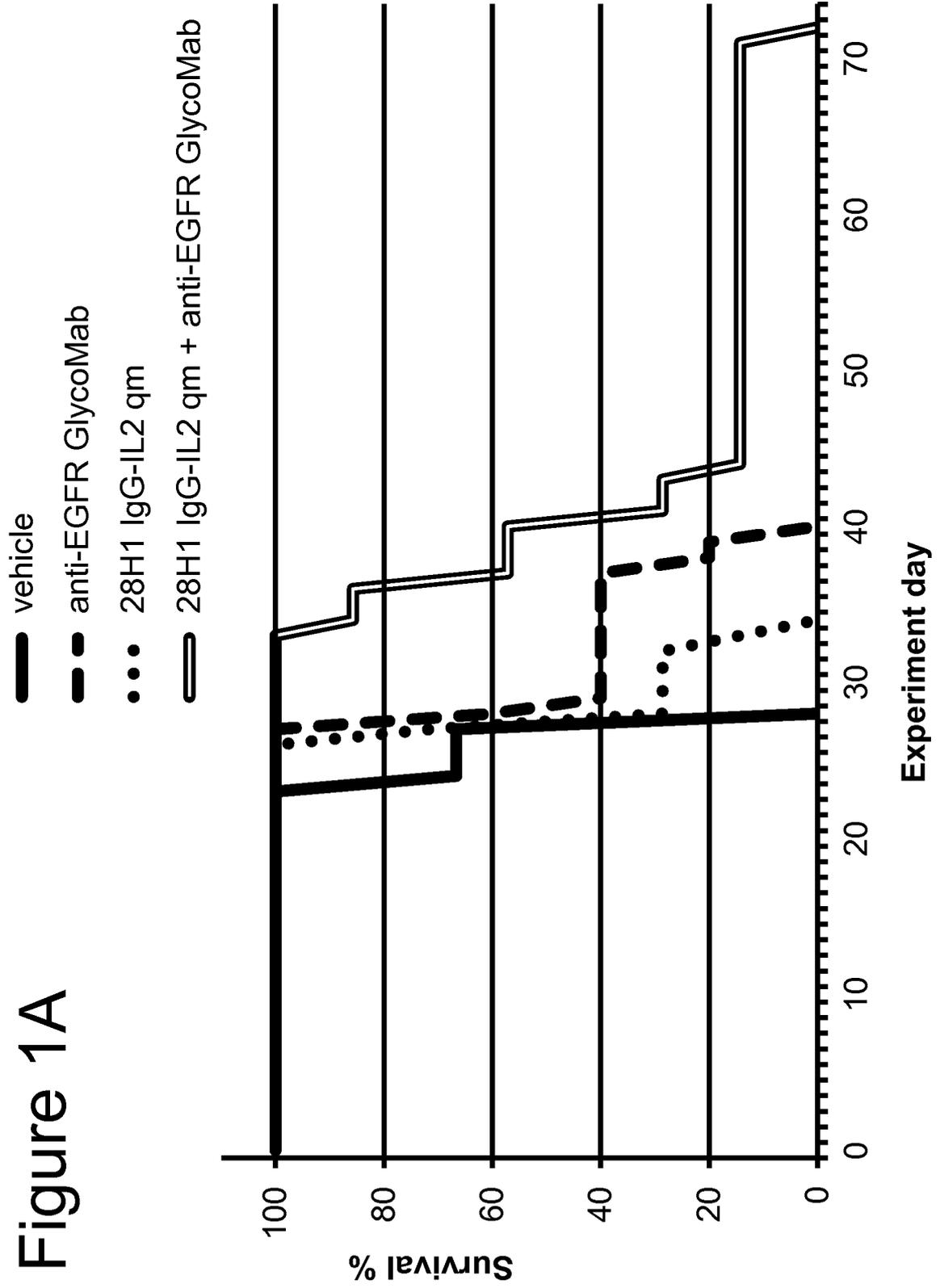
### Claims

1. A combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for use in treating a disease in an individual in need thereof.
2. The combination of claim 1, wherein the effector moiety is a cytokine.
3. The combination of claim 1 or 2, wherein the effector moiety is a cytokine selected from the group consisting of IL-2, GM-CSF, IFN- $\alpha$ , and IL-12.
4. The combination of any one of claims 1 to 3, wherein the effector moiety is IL-2.
5. The combination of claim 4, wherein the IL-2 effector moiety is a mutant IL-2 effector moiety comprising at least one amino acid mutation, particularly an amino acid substitution, that reduces or abolishes the affinity of the mutant IL-2 effector moiety to the  $\alpha$ -subunit of the IL-2 receptor but preserves the affinity of the mutant IL-2 effector moiety to the intermediate-affinity IL-2 receptor, compared to the non-mutated IL-2 effector moiety.
6. The combination of any one of claims 1 to 5, wherein the first antibody is a full-length antibody, particularly an IgG class antibody, more particularly and IgG<sub>1</sub> sub-class antibody.
7. The combination of any one of claims 1 to 6, wherein the effector moiety shares an amino-or carboxy-terminal peptide bond with the first antibody.
8. The combination of any one of claims 1 to 7, wherein the first antibody is engineered to have reduced binding to an activating Fc receptor, particularly reduced binding to human Fc $\gamma$ RIIIa.
9. The combination of any one of claims 1 to 8, wherein the first antibody comprises an amino acid substitution at position P329 of the immunoglobulin heavy chains (Kabat numbering).

10. The combination of any one of claims 1 to 9, wherein the first antibody comprises the amino acid substitutions L234A, L235A and P329G in the immunoglobulin heavy chains.
11. The combination of any one of claims 1 to 10, wherein the immunoconjugate essentially consists of an effector moiety, particularly a single chain effector moiety, and a first antibody engineered to have reduced effector function, wherein the effector moiety is fused at its amino-terminal amino acid to the carboxy-terminus of one of the heavy chains of the first antibody, optionally through a peptide linker.
12. The combination of any one of claims 1 to 11, wherein the first antibody is directed to an antigen presented on a tumor cell or in a tumor cell environment.
13. The combination of any one of claims 1 to 12, wherein the second antibody is a full-length IgG class antibody, particularly an IgG<sub>1</sub> subclass antibody.
14. The combination of any one of claims 1 to 13, wherein the effector function is selected from the group of binding to an activating Fc receptor, ADCC, ADCP, CDC, and cytokine secretion.
15. The combination of any one of claims 1 to 14, wherein the effector function is increased binding to an activating Fc receptor and/or increased ADCC.
16. The combination of any one of claims 1 to 15, wherein the second antibody is engineered by introduction of one or more amino acid mutations in the Fc region or by modification of the glycosylation in the Fc region.
17. The combination of any one of claims 1 to 16, wherein the second antibody is engineered to have an increased proportion of non-fucosylated oligosaccharides in the Fc region as compared to a non-engineered antibody.
18. The combination of any one of claims 1 to 17, wherein the second antibody is directed to an antigen presented on a tumor cell.
19. The combination of any one of claims 1 to 18, wherein the disease is a disorder treatable by stimulation of effector cell function, particularly cancer.
20. The combination of any one of claims 1 to 19, wherein the individual is a mammal, particularly a human.

21. A pharmaceutical composition comprising (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a pharmaceutically acceptable carrier.
- 5 22. Use of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, for the manufacture of a medicament for the treatment of a disease in an individual.
- 10 23. A method of treating a disease in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in a therapeutically effective amount.
- 15 24. A method of stimulating effector cell function in an individual, comprising administering to the individual a combination of (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, and (b) a second antibody engineered to have increased effector function, in an amount effective to stimulate effector cell function.
- 20 25. A kit intended for the treatment of a disease, comprising in the same or in separate containers (a) an immunoconjugate comprising a first antibody engineered to have reduced effector function and an effector moiety, (b) a second antibody engineered to have increased effector function, and (c) optionally a package insert comprising printed instructions directing the use of the combined treatment as a method for treating the disease.
- 25 26. The invention as described hereinbefore.

Figure 1A



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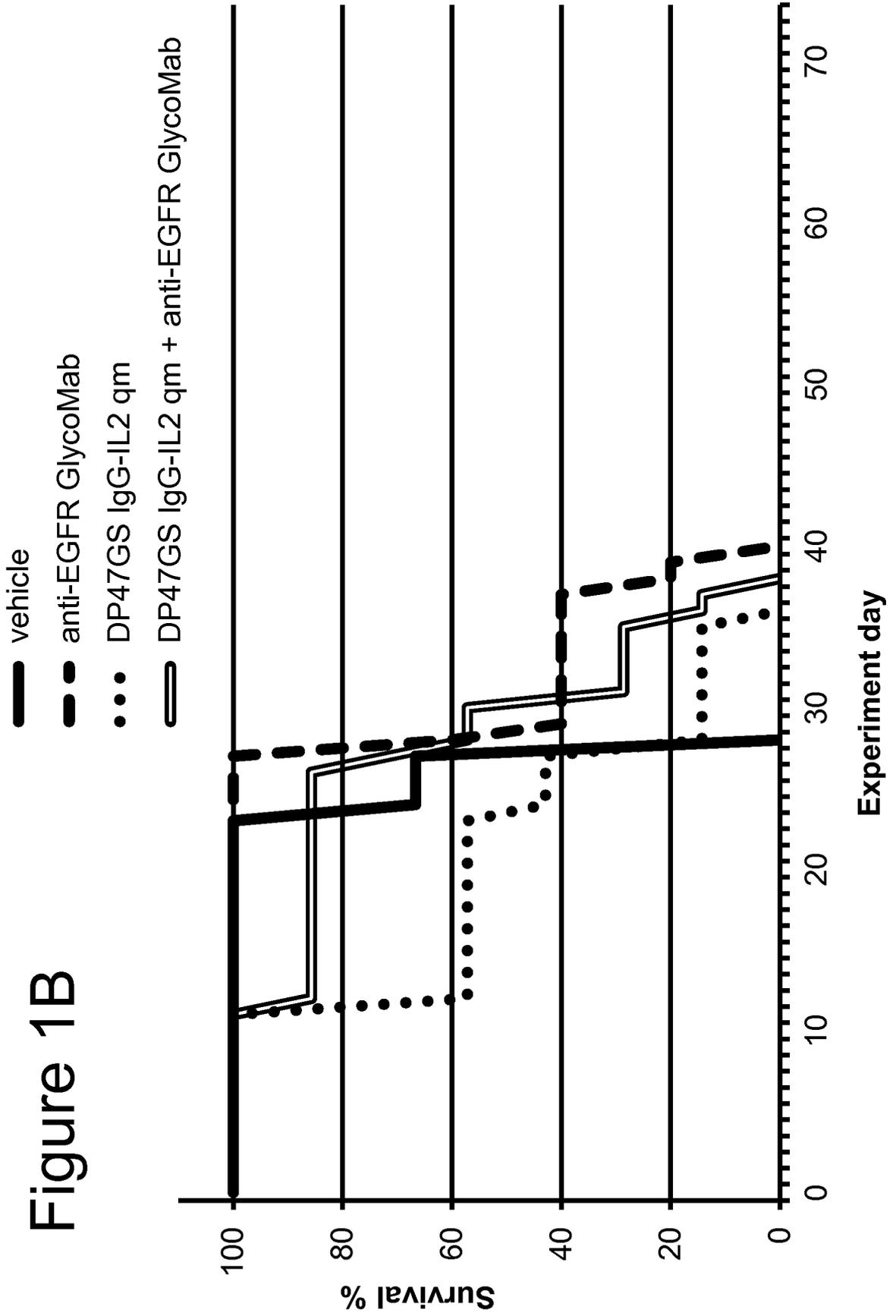
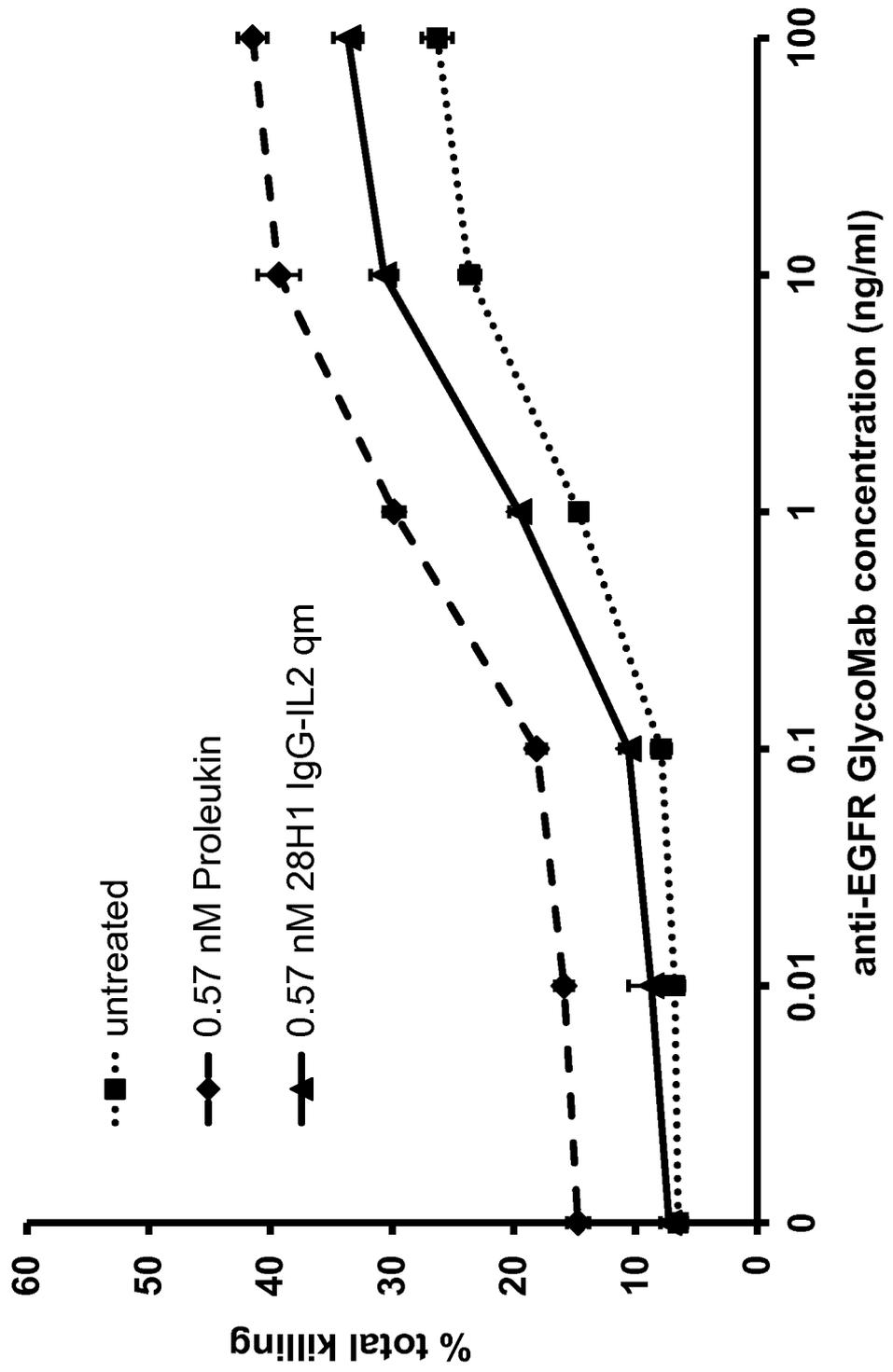
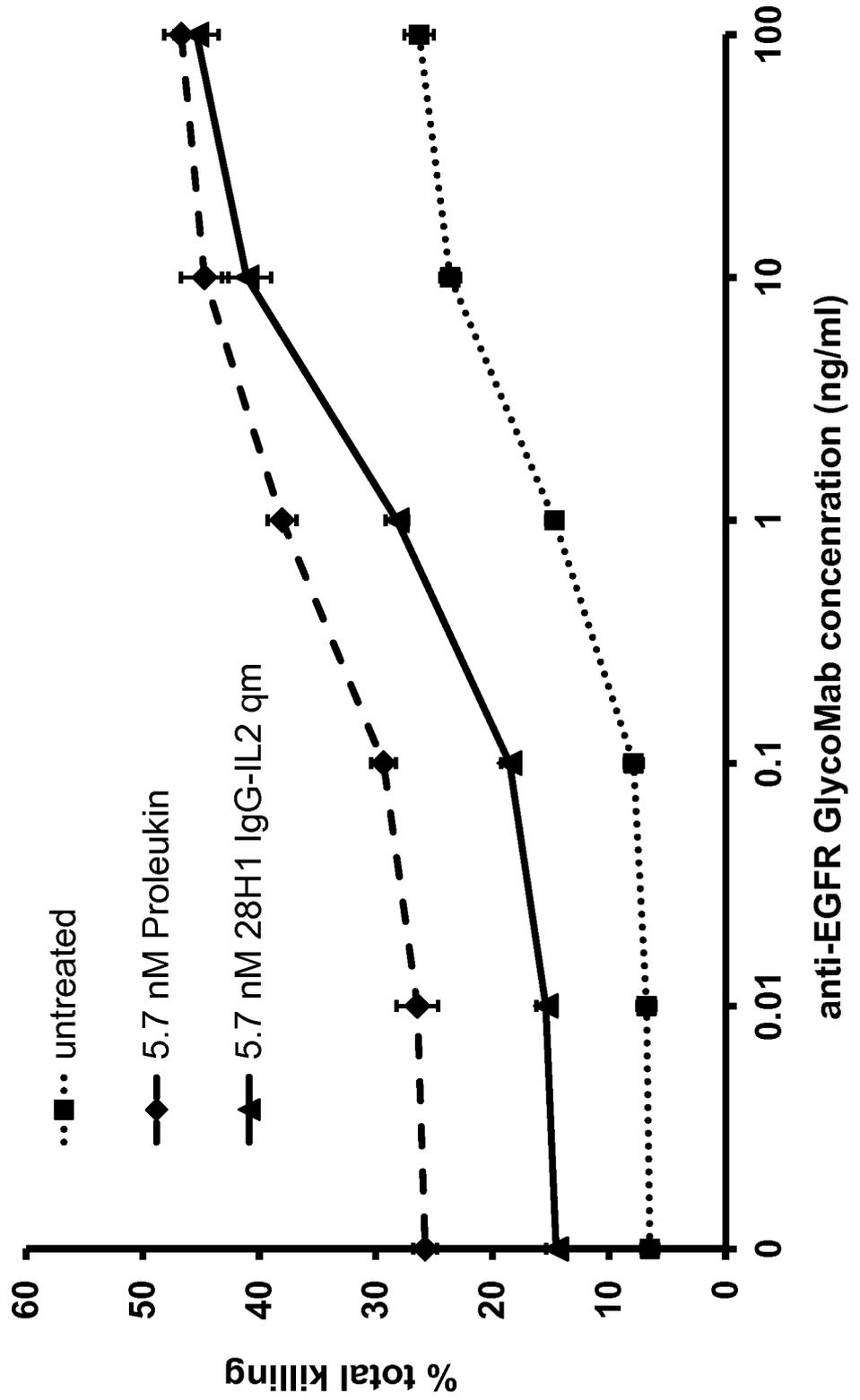


Figure 2A

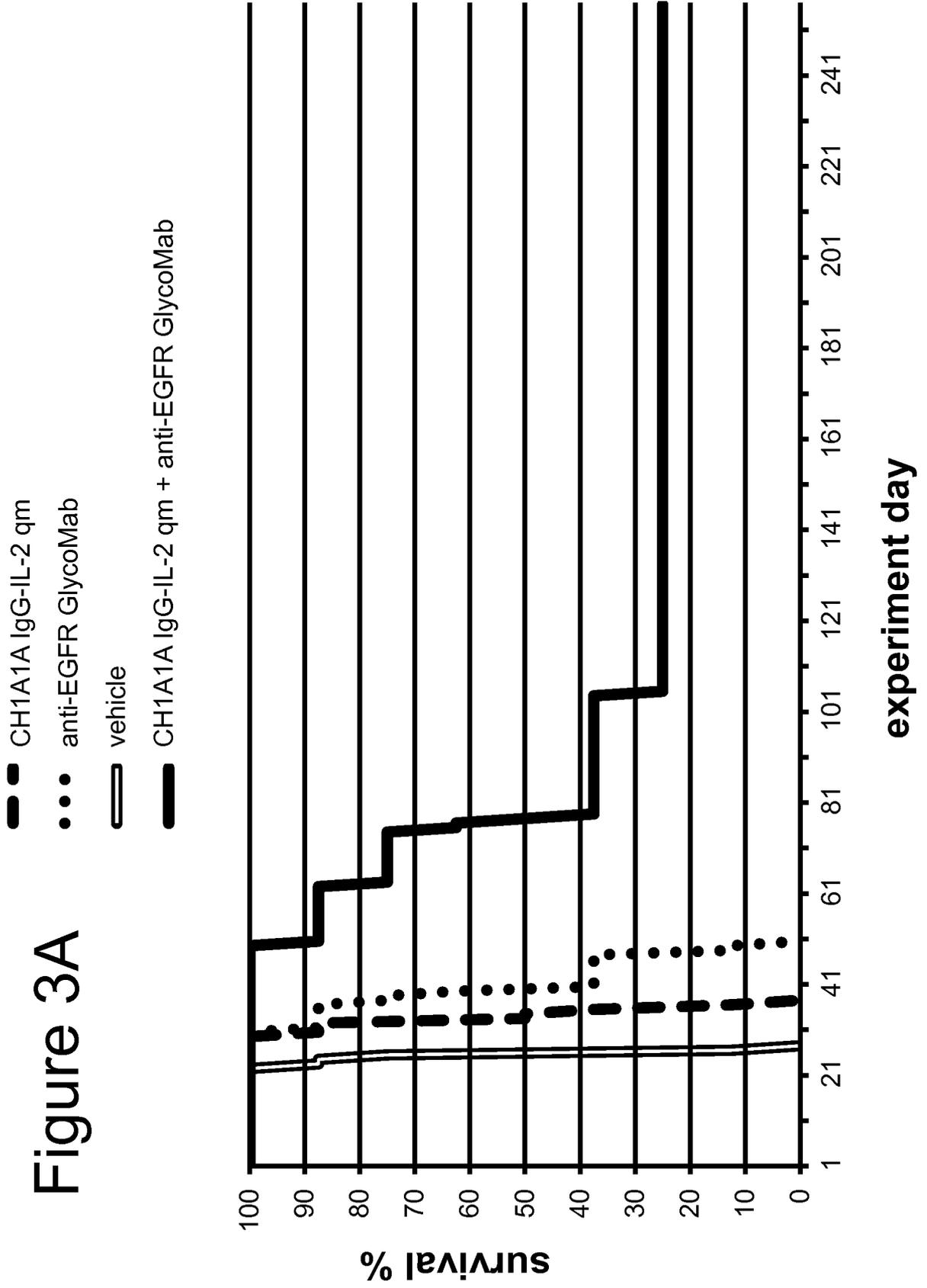


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Figure 2B

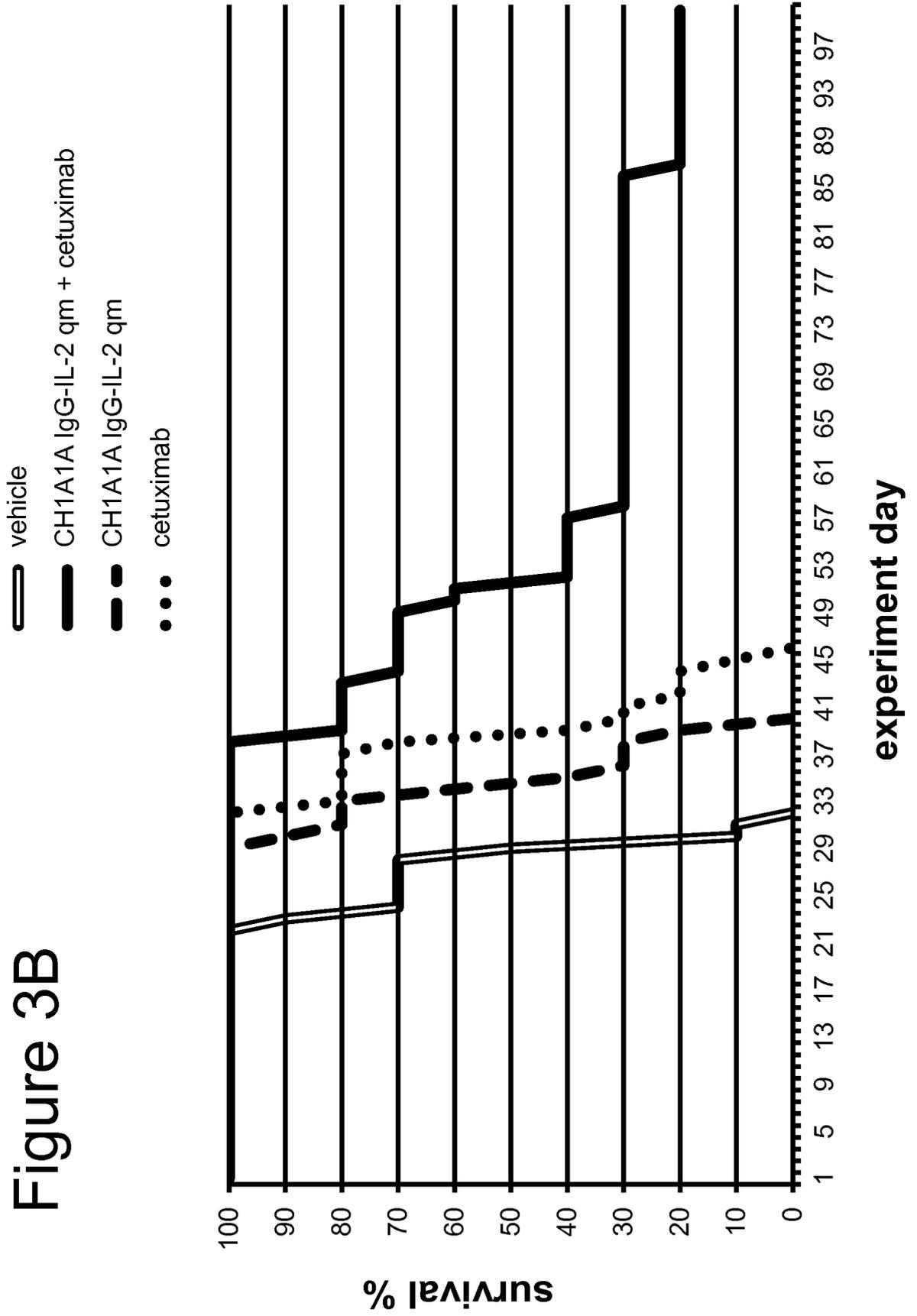


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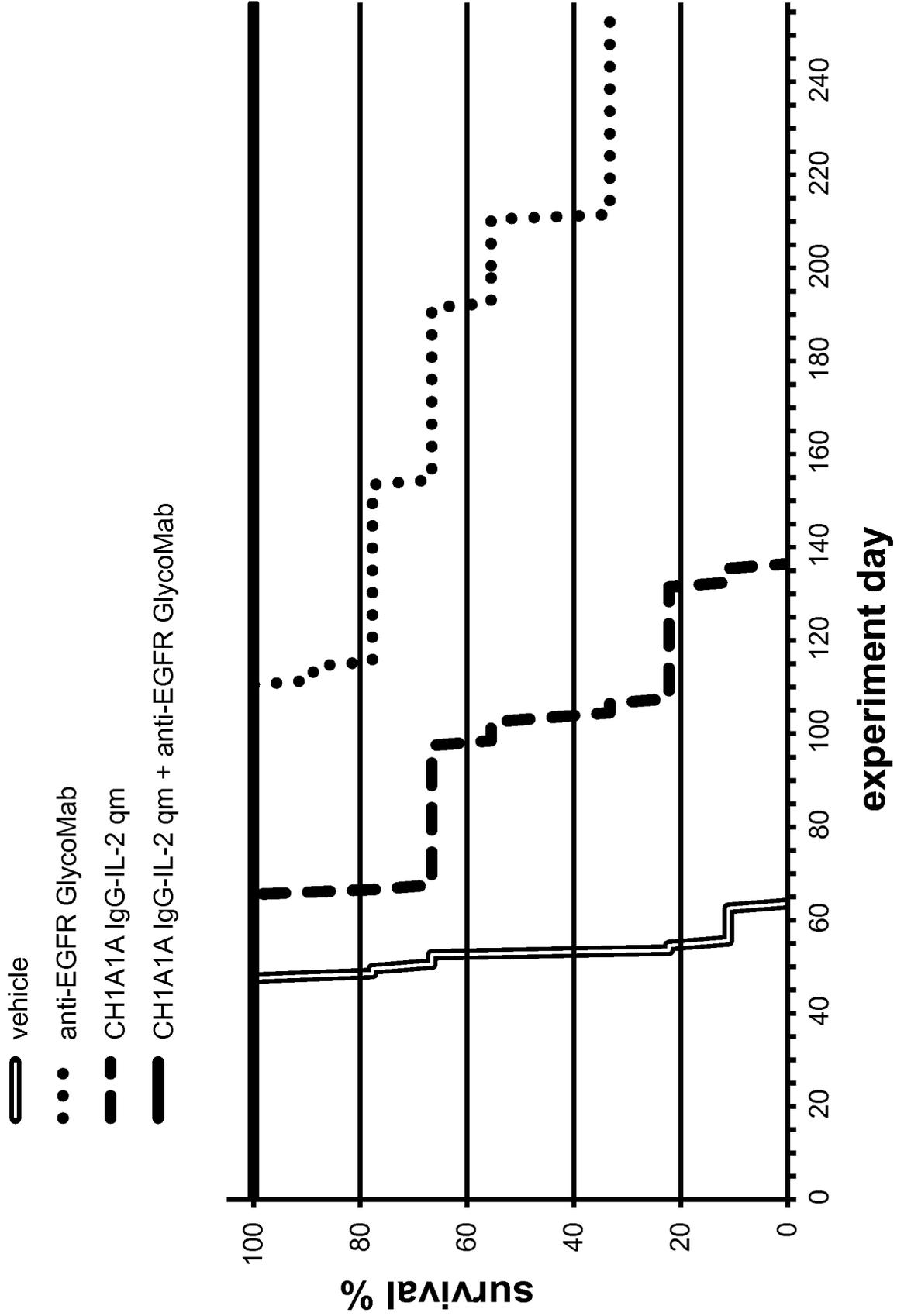
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Figure 3B



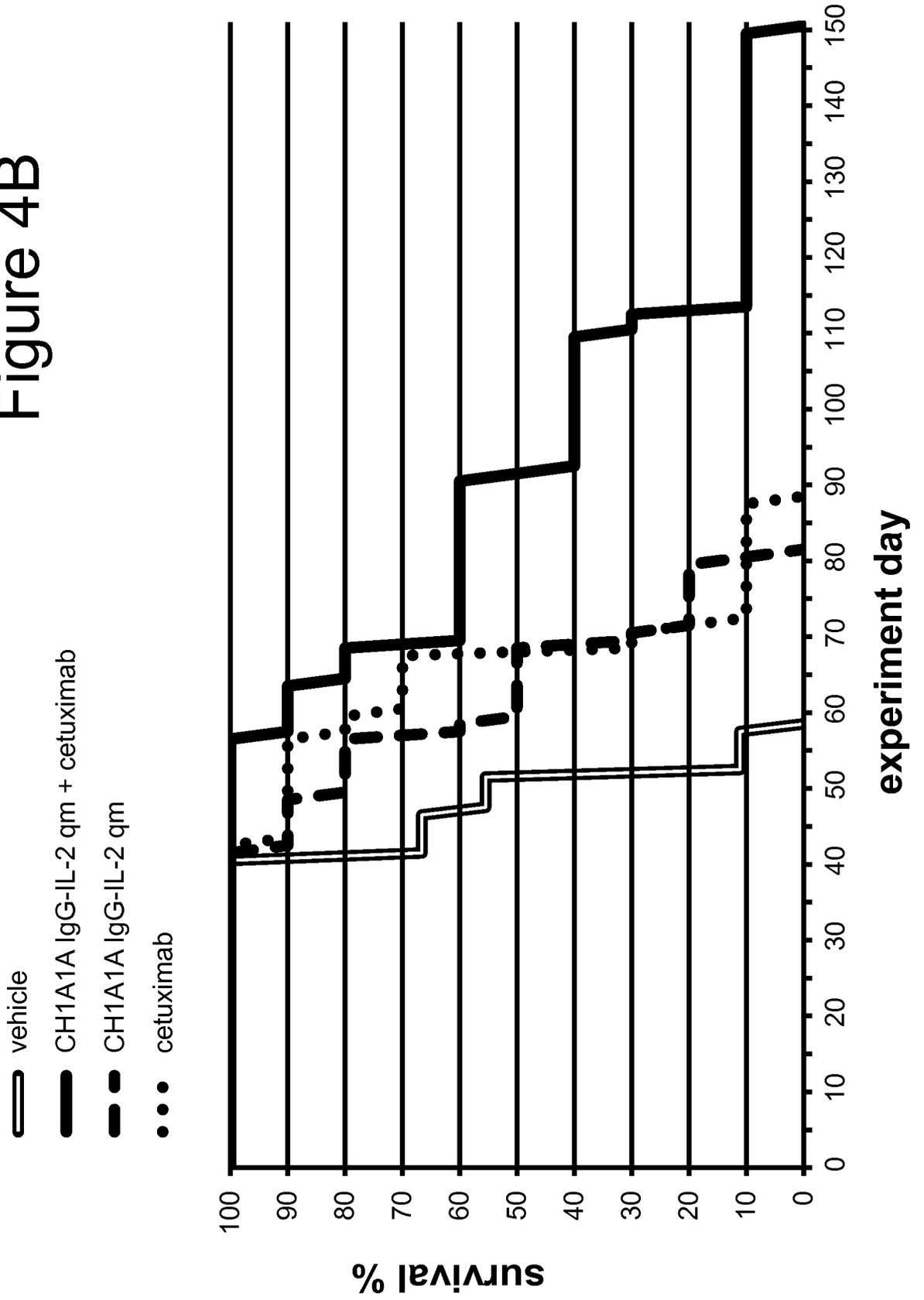
7/13

Figure 4A



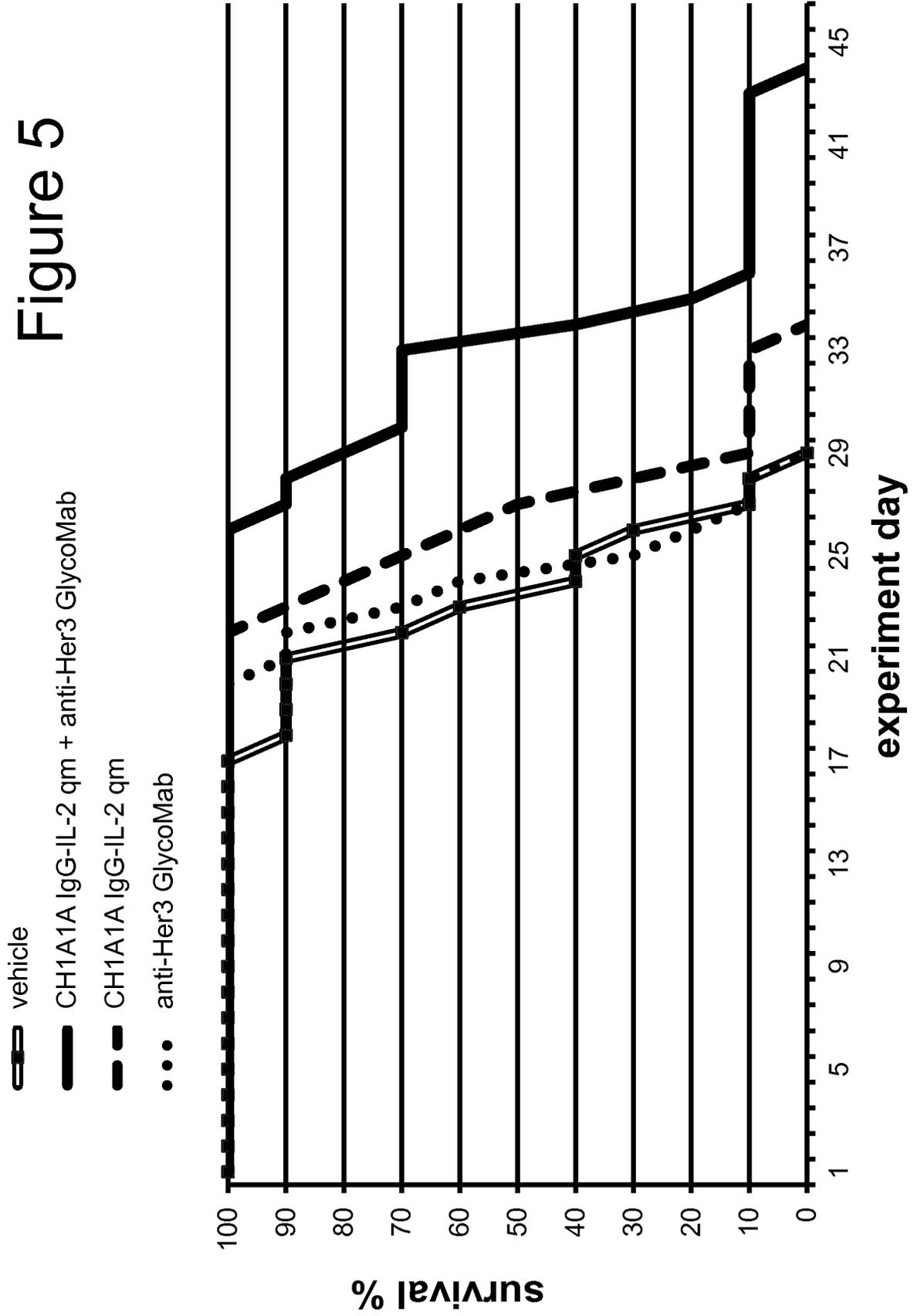
8/13

Figure 4B



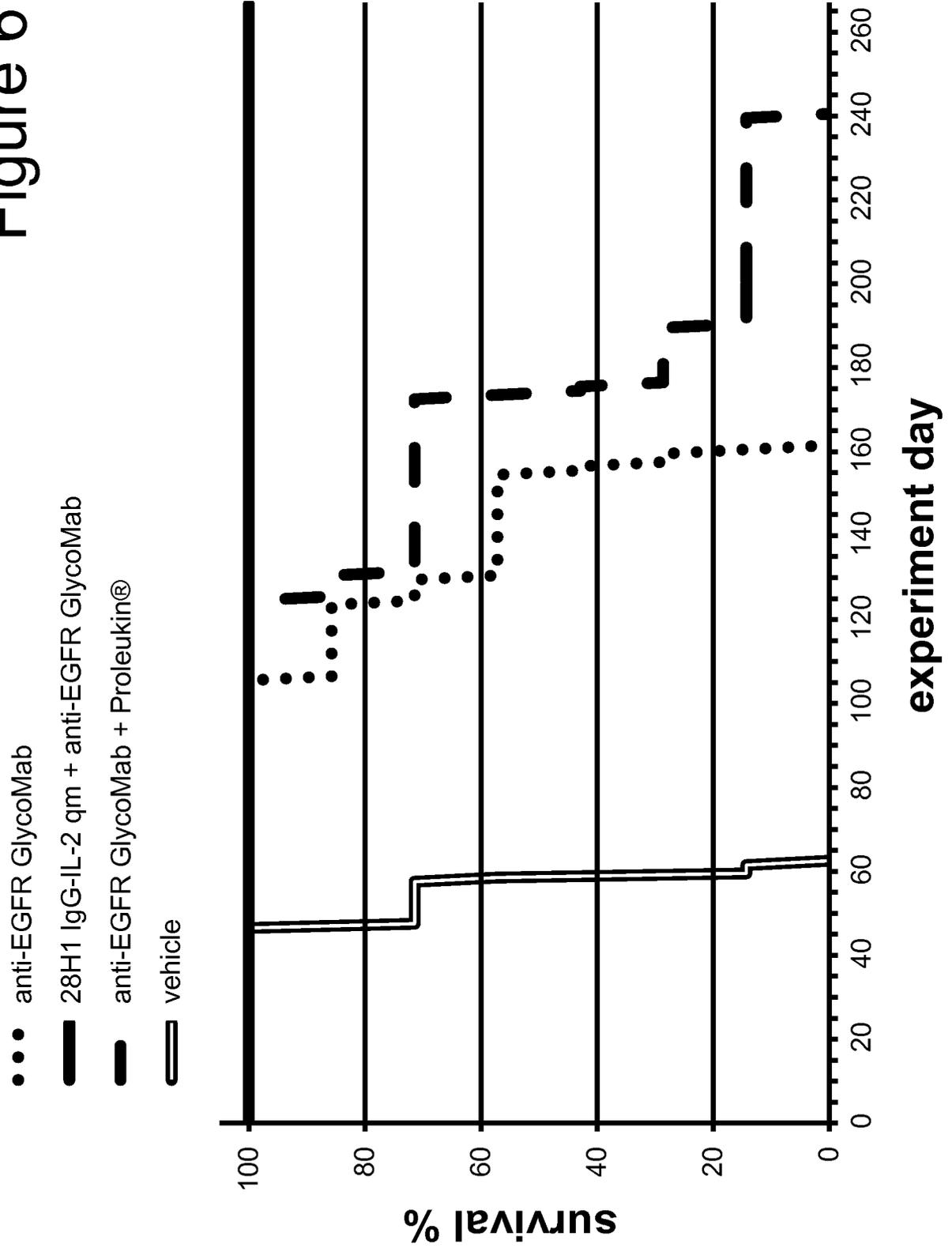
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Figure 5



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Figure 6



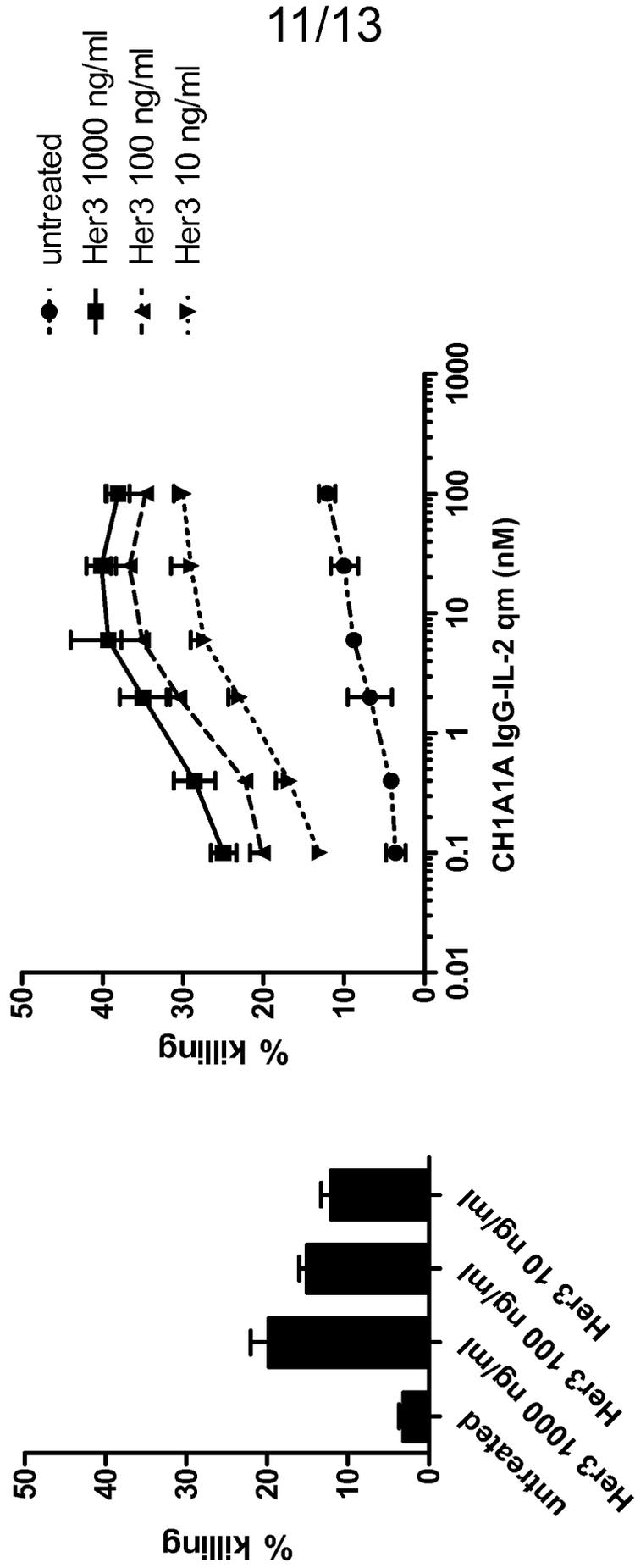


Figure 7

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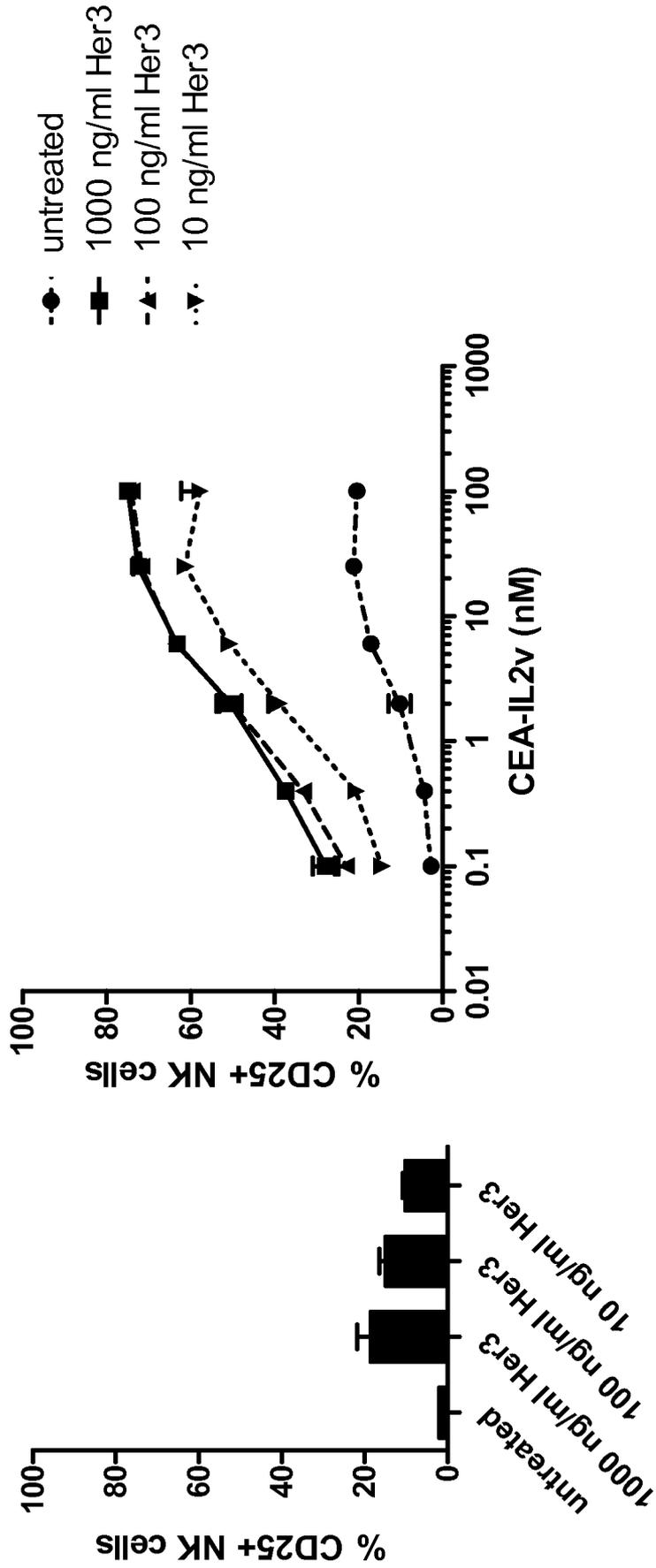


Figure 8A

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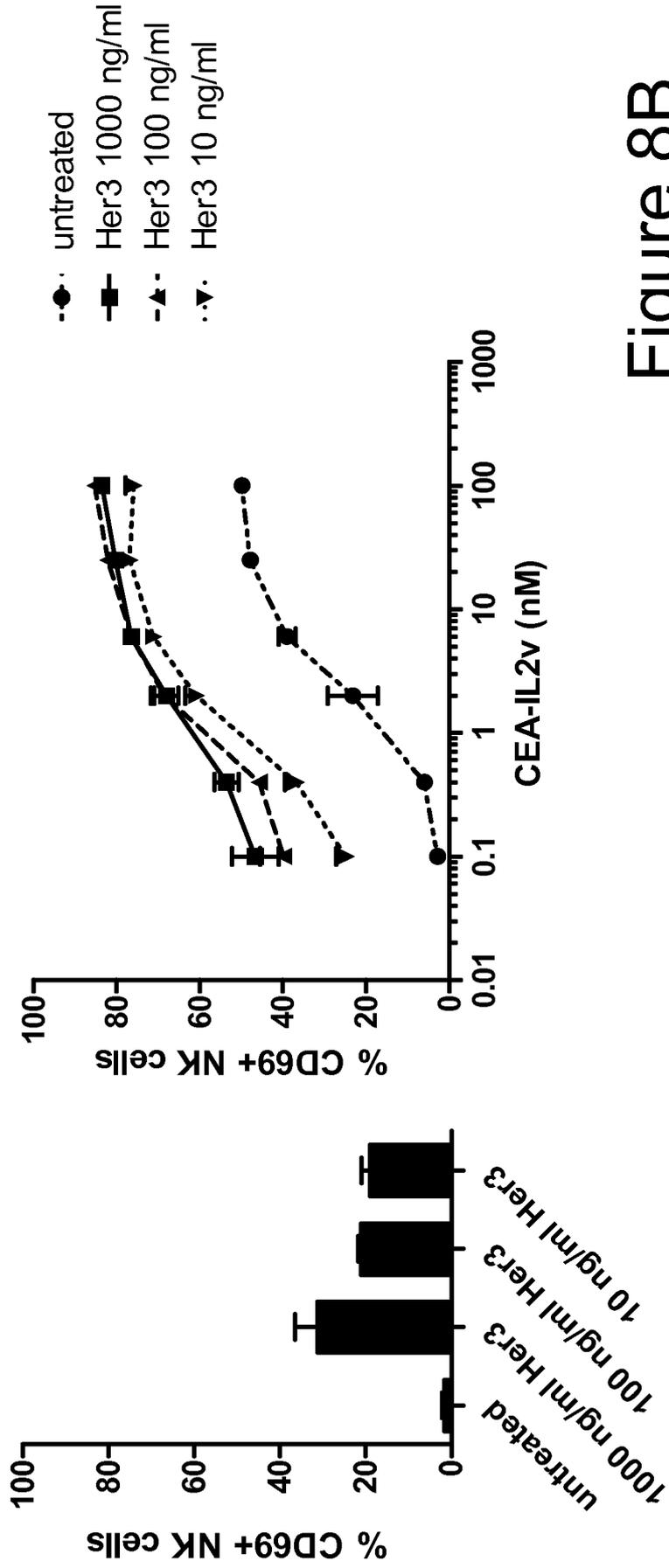


Figure 8B

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP2013/066351

## Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, the international search was carried out on the basis of:
- a. (means)
- on paper
- in electronic form
- b. (time)
- in the international application as filed
- together with the international application in electronic form
- subsequently to this Authority for the purpose of search
2.  In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:

INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2013/066351

A. CLASSIFICATION OF SUBJECT MATTER  
 INV. C07K16/32 C07K16/28 C07K16/40 C07K16/30 A61K47/48  
 A61P35/00  
 ADD.  
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
 Minimum documentation searched (classification system followed by classification symbols)  
 C07K A61K  
 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 EPO-Internal, BIOSIS, EMBASE, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
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Date of the actual completion of the international search

11 October 2013

Date of mailing of the international search report

28/10/2013

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
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Authorized officer

Covone-van Hees, M

## INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2013/066351

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
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Information on patent family members

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