

**(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE**

(11) Application No. AU 2006263759 B2

(54) Title
Breath sampling device

(51) International Patent Classification(s)
A61B 5/097 (2006.01) **G01N 33/497** (2006.01)

(21) Application No: **2006263759** (22) Date of Filing: **2006.06.13**

(87) WIPO No: **WO07/000568**

(30) Priority Data

(31) Number
0512987.9 (32) Date
2005.06.25 (33) Country
GB

(43) Publication Date: **2007.01.04**
(44) Accepted Journal Date: **2012.02.16**

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(56) Related Art
WO 1996/032062
EP 0133326
US 5826577
WO 2004/058064

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
4 January 2007 (04.01.2007)

PCT

(10) International Publication Number
WO 2007/000568 A1

(51) International Patent Classification:
A61B 5/097 (2006.01) G01N 33/497 (2006.01)

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:
PCT/GB2006/002133

(22) International Filing Date: 13 June 2006 (13.06.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0512987.9 25 June 2005 (25.06.2005) GB

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(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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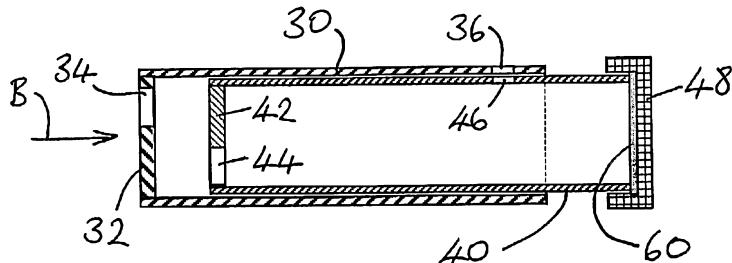
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Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: BREATH SAMPLING DEVICE



(57) **Abstract:** A hollow container defining a sample chamber is formed of at least two parts (30, 40) which fit together and have apertures (34, 36, 44, 46) therein. One of the parts (40) is displaceable relative to the other part (30) between a first relative disposition of the parts in which the apertures are open so as to provide a clear path for air to pass through the container and a second relative disposition of the parts in which the apertures are closed so that there is no path for air to pass through and a volume of air is trapped in the chamber. In one version the part (40) is axially moveable relative to the other part (30). In another version where the parts are tubular one of the parts is rotatable relative to the other. In other versions the apertures are in respective end closures and end caps located thereover and the end caps are rotatable to align the apertures and open the chamber for air to pass through. In other versions, one of the parts is pivotable or bendable relative to the other to open or close the respective apertures. In yet other versions one part is rotatable on an axis transverse to the axis of the other part to open or close the respective apertures.

WO 2007/000568 A1

BREATH SAMPLING DEVICE

The present invention relates to a device for use in testing for the presence of a substance in a sample of gas exhaled by a person. In certain embodiments the 5 invention may be used to detect ammonia in the breath of patients suffering from *Helicobacter pylori* infection.

Breath sampling is often carried out in a clinical context, as a non-invasive diagnostic tool. A sample of gas exhaled by a person is tested for the presence of a substance 10 known to indicate a particular underlying condition.

It is now widely accepted that bacterial infection by *H. Pylori*, discovered approximately 20 years ago, leads to peptic ulcers that affect around 1 in 10 people at some time in their lives, with many more suffering from gastritis. Non-invasive screening for these 15 bacteria may be carried out using breath samples, often collected in a doctor's surgery and sent for analysis in an outside laboratory. Typically a patient provides a breath sample in order to determine a base level of carbon 13 dioxide or carbon 14 dioxide ($^{13}\text{CO}_2$ or $^{14}\text{CO}_2$) present in the exhaled breath due to ingestion of certain foods. The patient is then administered an activating substance, ^{13}C or ^{14}C -labelled urea, and, after 20 a suitable period has elapsed to allow the ingested urea time to contact any *H. Pylori* in the gastro intestinal tract, a second breath sample is taken, and tested for the presence of $^{13}\text{CO}_2$ or $^{14}\text{CO}_2$. *H. Pylori* excretes an enzyme, urease, which catalyses the breakdown of urea into ammonia (NH_3) and carbon dioxide, and which, under normal circumstances, is not present in the human body. If a patient is infected with *H. Pylori* 25 bacteria, the second sample obtained after ingestion of the urea would be expected to exhibit a greater quantity of $^{13}\text{CO}_2$ or $^{14}\text{CO}_2$ than the first or "control" sample taken to establish the base level of $^{13}\text{CO}_2$ or $^{14}\text{CO}_2$ in the patient's body.

A newer test for *H. Pylori* infection is based on the detection of ammonia in the breath 30 samples supplied by the patient, rather than $^{13}\text{CO}_2$ or $^{14}\text{CO}_2$. In a similar way to the CO_2 test, a patient firstly provides a control breath sample in order to determine a base level of ammonia present in the exhaled breath, due to "normal" causes, such as ingestion of certain foods, or due to other conditions, unrelated to *H. Pylori* infection, such as renal failure or certain oral and dental conditions which may result in ammonia production.

A device for collecting a breath sample is disclosed in EP 1149557 A2 in the form of an inflatable bag into which a patient exhales to provide a breath sample. The device incorporates an indicator, which is arranged to contact a breath sample collected in the bag. The indicator changes colour when a given substance to be detected is present in

5 the sample thereby providing a visible indication as to its presence.

Known systems for detection of ammonia in breath samples suffer from certain practical drawbacks. In particular, this is due to the fact that the level of ammonia in a breath sample is typically only a few ppm. Consequently, devices such as that described in EP

10 1149557 A2 cannot be used for this application since colour changes of an indicator at these low concentrations are not sufficiently strong to be detected by eye. External devices (for example spectrophotometers) need to be used to determine and quantify any change in the colour of the indicator that is used in a gas sampling device for this particular purpose and it is necessary to remove the indicator from the gas sampling

15 device and present it to the colour-measuring device.

An object of the present invention is to provide a sample collecting device for use by a non-specialist operator in an integrated system which allows the presence of a substance (particularly ammonia, but not exclusively so) in a breath sample to be more

20 easily and accurately detected than hitherto.

A further object is to provide a sample collecting device which allows differences between amounts of a substance in a breath sample to be more easily and accurately determined than hitherto.

25 It is desirable to collect, for purposes of testing, a later portion of a patient's breath which has come from an alveolar region of the lungs, rather than an earlier portion which has merely come from the mouth, trachea and bronchial passages. Thus, it is preferable to trap only the final portion of an exhalation in a sampling device.

30 A more specific object of the invention is to design a sample collecting device which is more reliable than known collecting devices in this respect in that when a patient blows into it, an initial portion of a patient's exhalation is able to pass straight through unimpeded, whereas a final portion can be held within the device.

35

With the aforesaid objects in view, the invention provides a breath sampling device comprising a hollow container defining a sample chamber and formed of at least two parts which fit together and have apertures therein, at least one of said parts being displaceable relative to the other part or parts between a first relative disposition of the parts in which the apertures are open so as to provide a clear path for air to pass through the container and a second relative disposition of the parts in which the apertures are closed so that there is no path for air to pass through and a volume of air is trapped in the chamber, wherein the container comprises first and second tubular parts each having side walling and respective end closures, the side walling of the first 5 tubular part having an aperture therein and being a sliding fit within the side walling of the second tubular part, said side walling aperture being respectively uncovered and covered by the side walling of the second (outer) tubular part as the parts are moved between their first relative disposition and their second relative disposition, and wherein the respective end closures of the first and second tubular parts lie at a common end of 10 the sample chamber and each end closure has at least one aperture therein which is opened and closed, respectively, as the parts are moved between their first relative disposition and their second relative disposition.

15

Transition from one relative disposition to the second relative disposition is achieved by 20 relative movement of the two hollow parts with respect to each other, for example by rotation of one with respect to another, by relative linear movement or by bending.

Devices according to the invention preferably include, within the sample chamber, an 25 indicator which is capable of providing a detectable indication of the presence of a given substance in a gas sample contained in said chamber.

The indicator within the chamber of the sampling device may suitably comprise any substrate carrying a material which provides a detectable indication of the presence of a given substance in a gas sample contained in the chamber.

30 The detectable indication is generally a change of colour and/or intensity, which change may lie in that part of the electromagnetic spectrum which is visible to the unaided human eye, or else it may be invisible in which case the indication will be detectable only with the use of additional optical or electro-optic equipment or under particular 35 illumination conditions.

The invention will be described further, by way of example, with reference to the accompanying drawings, in which:

5 Fig. 1 is a longitudinal cross section through a first practical embodiment of a breath sampling device according to the present invention in a first, open configuration;

Fig. 2 is a similar view of the same embodiment in a second, closed configuration;

10 Fig. 3 is an end view, to an enlarged scale, of the same embodiment in the direction of arrow A and in the open configuration as shown in Fig. 1;

Fig. 4 is a similar view in the closed configuration shown in Fig. 2;

15 Fig. 5 is a longitudinal cross section of a second practical embodiment of a breath sampling device according to the present invention in a first, open configuration;

Fig. 6 is a similar view of the second embodiment in a second, closed configuration;

20 Fig. 7 is an end view, to an enlarged scale, of the second embodiment in the direction of arrow B shown in Figs. 5 and 6;

Fig. 8 is a longitudinal cross section of a third practical embodiment of the breath sampling device of the invention in a closed configuration;

25 Fig. 9 is a similar view of the third embodiment in an open configuration.

With reference to Figs. 1 to 4, a preferred embodiment of the breath sampler of the invention comprises two cylinders 10, 20. The first cylinder 10 is open at one end and at the other it has an end closure 12 provided with an eccentrically located aperture 14.

30 The second cylinder 20 has a similar end closure 22 with a similar eccentrically positioned aperture 24 at one end and its other end is also closed, in this case with a removable cap 28. The diameter of the second cylinder 20 is such that it fits snugly into the first cylinder 10 and its length is such that it is longer than the first cylinder 10. The second cylinder 20 is fitted inside the first cylinder 10 such that the end closures 12, 22 are adjacent each other at the same end.

Each cylinder 10, 20 also has a respective opening 16, 26 of similar size in its side walling. These respective openings 16, 26 are so placed that they line up when the cylinders 10, 20 are rotated relative to one another in order to line up the apertures 14, 24 in their end closures 12, 22 (in the open position) shown in Figs 1 and 3. In this 5 disposition there is a clear path for air to pass through the end closure 12 of the first cylinder 10 and through the end closure 22 of the second cylinder 20 into the interior of the second cylinder 20 and then out again through the other openings 16, 26 at the sides of the cylinders 10, 20.

When the cylinders 10, 20 are then rotated relative to each other, e.g. the inner cylinder 10 20 rotated about 180° relative to the outer 10, to the disposition shown in Figs. 2 and 4, there is no longer a passage for air to pass through the apertures 14, 24 at their ends 12, 22 and the other openings 16, 26 at their sides also do not line up. Consequently there is no passage for air to pass from the interior of the second cylinder 20 and a sample of atmosphere is trapped in the interior of the second cylinder 20.

15 The end closure 12 with the aperture 14 of the outer cylinder 10 is so designed that it is compatible with disposable mouth-pieces that are currently used with medical equipment for spirometry.

Visible marks and/or respective formations, such as a protrusion and an indentation which interengage, may be provided on the cylinders 10, 20 to indicate the required 20 relative positions for the open and closed conditions of Figs. 1 and 2 respectively.

With reference to Figs. 5 to 7, an alternative embodiment of a breath sampling device in accordance with the invention is also constructed of two cylindrical parts 30, 40, the second of which (40) is a close sliding fit within the first (30). Each cylinder 30,40 has a 25 respective end closure 32, 42 with an eccentrically positioned aperture 34, 44 therein and it also has a respective additional opening 36, 46 in its respective side walling. However, these side wall openings 36, 46 are so positioned that a clear path for air through the device can be obtained by moving the cylinders 30, 40 axially with respect to each other from the position shown in Fig. 6 (closed) to the position shown in Fig. 5 30 (open). Thus the device is opened once the inner cylinder 40 has been telescopically slid outwards relative to the outer cylinder 30, whereby the end closures 42 and 32 are brought apart to allow air passage through the non-aligned apertures 44 and 34. At the same time the opening 46 is brought into register with the opening 36. A removable

end cap 48, similar to that (28) in the first embodiment, is also provided on the other end of the inner cylinder 40.

Again respective marks or formations may be provided on the cylinder 30, 40 to indicate
5 at least their required relative position to align the openings 36, 46.

Another embodiment of the breath sampler of the invention is illustrated in Figs. 8 and 9. This again comprises two cylinders 70, 80 which are held in engagement with each
10 other. The first cylinder 70 has an open, inlet end 71 for a patient to blow in to. Its other end is cut obliquely relative to the cylinder axis and an end closure 72 with an eccentric aperture 74 is provided just inside the shorter part of the oblique edge 73. The second cylinder 80 has an end closure 82 with an eccentric aperture 84 at one end, and a removable end cap 88 at its other end. The second cylinder 80 is of a slightly smaller
15 diameter than the first cylinder 70 and fits into the end of the first cylinder 70 beyond the end closure 72, adjacent the oblique edge 73, so that the respective end closures 72, 82 lie next to each other, as shown in Fig.12. The apertures 74, 84 are not in alignment in this position. There is an opening 86 in the side walling of the second cylinder 80, but in this position, it is covered by the longer part of the obliquely cut wall
20 of the first cylinder 70. Accordingly, in this condition, the closed condition, there is no passage for air to pass through the container formed by the two cylinders 70, 80.

The second cylinder 80 is actually attached to the first cylinder 70 by a hinge arrangement 76 at the shorter part of the oblique edge 73. Therefore, the second
25 cylinder 80 can be swung down about this hinge 76 to the position shown in Fig. 9 where the end closures 72, 82 are separated so that there is a passage through via the apertures 74,84, and where the opening 86 is also free of the walling at the longer side of the cylinder 70. Thus, in this open condition, air can flow freely through from the first to the second cylinder and outwards therefrom.

• 30

It is preferred in all the above embodiments for the construction of the breath sampler to be such that in the closed condition the sample of breath is isolated from the surrounding atmosphere. In the closed condition the breath sampler need not necessarily completely prevent the passage of gas between its interior and exterior
35 provided that the rate at which gas may flow outwards is sufficiently low that the amount

of gas lost in this way is not great enough to affect the results obtained once samples have been collected.

In each of the illustrated embodiments an indicator 60 is mounted inside the respective container (10, 20; 30, 40; 80). The indicator 60 is made from a suitable substrate, such as paper, that is impregnated with curcumin, a substance that is known to change its colour when exposed to ammonia, but is not affected by other gaseous constituents of the healthy human breath.

10 The chemical name for curcumin is 1, 7-bis (4-hydroxy-3-methoxyphenyl)-1, 6-heptadien-3, 5-dione. It is the main compound responsible for the colour of turmeric and it undergoes a colour change from yellow to red brown on exposure to ammonia. It is insoluble in water, so is largely unaffected by moisture levels in the atmosphere or in a breath sample. It is soluble in ethanol and acetic acid and that is how it is applied to a 15 paper substrate. In comparison to other substances which are used as colour change indicators for ammonia, it is not significantly affected by other substances commonly found in atmosphere or breath. Also, being a plant extract, it is relatively safe and not hazardous to the environment upon disposal. For these reasons it is a particularly suitable indicator substance for the present purpose.

20

In the preferred embodiments of Figs. 1 to 7 and in Figs. 8 and 9 the indicator 60 is mounted on the inside of the respective removable end cap 28, 48, 88 that is placed at the free end of the inner cylinder 20, 40, 80 of the breath sampler described above. The cap 28, 48, 88 is constructed so that when placed on the cylinder 20, 40, 80 only a 25 central part of the indicator 60 is exposed to the sample of breath and the surrounding part, around the perimeter margin, is not so exposed. Thus, if ammonia is present in a significant detectable quantity, there should be a clearly defined boundary between the exposed and unexposed parts of the indicator 60 at the end of the sample collection procedure.

30

The human eye is more sensitive to assessing a difference in appearance, such as colour or colour intensity, than to judging an absolute state, even when compared with a colour chart of some sort. Therefore, with the arrangement just described, where only part of the indicator is exposed to the breath sample, any medically significant amount 35 of ammonia should be visually apparent, even to an untrained or unskilled operative or the patient him/herself, because of the contrast in colour or intensity with the

unexposed area. An unexposed, differently coloured boundary to the indicator makes visual detection especially easy.

5 In cases such as those just mentioned where the colour change resulting from the exposure of the indicator 60 to a breath sample containing ammonia is sufficiently pronounced that it can be observed by the naked eye, an optical reader is required only when it is desired to quantify the magnitude of the colour change. In other cases where the colour change is not large enough to be unequivocally determined by the naked eye a reader will always have to be used. The exposed indicator, or the exposed region of
10 the indicator, is then presented to the input of the reader where it is illuminated by a light of specific wavelength. The amount of scattered and reflected light is detected by a suitable light detector and converted to an electrical signal. The magnitude of such signal is then compared to that obtained when the indicator was tested prior to being exposed to the sample of the captured breath.

15 In a preferred system, of which the sample collection device of the invention forms part, the cap 28, 48, 80 in which the indicator 60 is mounted is designed to fit snugly onto an opening on the optical reader where the indicator 60 needs to be placed for measurement as just described. Thus, there is no need for separation of the indicator
20 60 from its cap 28, 48, 80. Manipulation and handling of the indicator 60, which may be fragile, and which could lead to errors, is avoided. The indicator 60 is retained in the cap 28, 48, 80 while reading takes place.

25 The breath sampler of the invention, including, of course, the above described embodiments, may be formed from any suitable material, or materials, which do not interfere with any samples collected in it, or the indicators used, in a way which might affect results. For example, the material should not contain any additives which might render the indicator inoperative, provide a "false positive" result or impede sample gases from coming into contact with the indicator.

30 Preferably the breath sampler of the invention is made of paper and/or cardboard and/or plastics materials. The use of such materials should provide an economical device which is suitable for disposal after a single use. The device may comprise a single material, or may comprise a combination of materials, such as a laminate or more
35 than one layer of the same or different materials.

It will be appreciated that the properties of the materials, eg thickness, basis weight etc. should be chose as appropriate with regard to the environmental conditions which the device must withstand in use. For example, the device should retain its integrity over the temperature and pressure ranges likely to be encountered, and over timescales 5 from the collection of samples to the analysis of results, and over any period that the device may subsequently be stored.

The volume of the sample chamber within the breath sampler device is preferably in the range from 10 to 500 cm³, most preferably 100 to 200cm³.

10

The breath sampler according to the present invention is advantageously constructed so as to be disposable after a single use preferably according to standard procedures, such as incineration or burial, without presenting a hazard to the environment.

15

The breath sampler of the invention is preferably biodegradable.

20

In use, a breath sampler device in accordance with any of the above described embodiments of the present invention, with an integral indicator 60, is firstly arranged in its open condition (Figs. 1, 5 or 9). A disposable mouthpiece (not shown) is attached to the relevant end of the breath sampler, which is the left hand end, as shown in the accompanying drawings. A patient breathes out through the disposable mouthpiece and the breath sampler. At the end of the patient's exhalation, the disposable mouthpiece is discarded and the breath sampler is immediately moved into the closed position. (Figs 2, 6, 8).

25

If required the procedure is repeated to obtain more then one "control" sample of breath.

30

After a period of time that is sufficiently long for any possible ammonia in the breath sample to induce changes in optical properties of the indicator (and a minimum period of about two minutes is probably sufficient), the cap containing the indicator is removed and presented to the reader (not shown). The reading thus obtained is referred to as the base reading. Obviously the aforesaid step is repeated if more than one base reading is required.

35

The patient is then administered an activating substance, eg ordinary unlabelled urea in a drink, and after a suitable period has elapsed to allow the ingested urea time to contact any H. Pylori in the gastro intestinal tract, a second breath sample is taken. This is tested for the presence of ammonia in the same way and the sample reading obtained compared with the base reading. If a patient is infected with H. Pylori bacteria, the second "test" sample obtained after ingestion of the activating substance would be expected to exhibit a greater quantity of ammonia than the "control" sample taken to establish the base level of ammonia in the patient's body.

5

10 The aforesaid method could also be employed without a reader in some circumstances, for instance as an initial test for a potential problem carried out in a doctor's surgery where there is no reader, by simple visual comparison of the indicator from the control and test samples. Once a positive or negative result is thus obtained, quantitative testing using an optical reader could be carried out later if required.

15

15 The foregoing is illustrative and not limitative of the scope of the invention. It will be understood by those skilled in the art that various changes in form and detail may be made within the scope of the invention as defined in claim 1.

20 As to the indicator, in other embodiments this could be incorporated into the structure of the inner cylinder or single cylinder body or any of the end closures or end caps which define the ends of the sample chamber within the container. Alternatively, it can be loosely placed in the said chamber where it is exposed to the trapped sample of patient's breath, and then removed for visual inspection or reading in a machine.

25 Another possibility is that part of the indicator remains outside of the chamber to provide an unexposed part for more ready visual contrast as already discussed.

Obviously, other indicator substances (other than curcumin) may be used to detect the presence of ammonia by colour change or otherwise, and as these are known they need not be detailed here. Other substances (other than ammonia) may be tested for using the device of the invention, in which case the indicator will be chosen appropriately. Also, of course, the device of the invention is applicable more widely to collection of breath samples and for testing for conditions other than just H. Pylori infections by choice of appropriate indicators and associated methodology.

CLAIMS

1. A breath sampling device comprising a hollow container defining a sample chamber and formed of at least two parts which fit together and have apertures therein, at least one of said parts being displaceable relative to the other part or parts between a first relative disposition of the parts in which the apertures are open so as to provide a clear path for air to pass through the container and a second relative disposition of the parts in which the apertures are closed so that there is no path for air to pass through and a volume of air is trapped in the chamber, **wherein** the container comprises first and second tubular parts each having side walling and respective end closures, the side walling of the first tubular part having an aperture therein and being a sliding fit within the side walling of the second tubular part, said side walling aperture being respectively uncovered and covered by the side walling of the second (outer) tubular part as the parts are moved between their first relative disposition and their second relative disposition, **and wherein** the respective end closures of the first and second tubular parts lie at a common end of the sample chamber and each end closure has at least one aperture therein which is opened and closed, respectively, as the parts are moved between their first relative disposition and their second relative disposition.
2. A device according to claim 1 wherein the side walling of the second tubular part also has an aperture therein, the respective apertures in the side walling of the first and second parts being moved into and out of register as the parts are moved between their first relative disposition and their second relative disposition.
3. A device according to claim 1 or 2 wherein the first and second tubular parts are cylindrical and relatively rotatable between their first relative disposition and their second relative disposition and the apertures in their respective end closures are moved into and out of alignment as the parts are so rotated.
4. A device according to claim 1 or 2 wherein the first and second tubular parts are axially moveable relative to each other.

5. A device according to claim 1 wherein the first tubular part is pivotable relative to the second tubular part so as to swing apart from the second part to move from the second relative disposition of the parts wherein the apertures are closed to the first relative disposition of the parts wherein the apertures are open.

5

6. A device according to claim 1 further comprising, within the sample chamber, an indicator which is capable of providing a detectable indication, by change of colour or colour intensity, of the presence of a given substance in a gas sample contained in said chamber, the indicator being mounted inside the sample chamber in such a manner that only a part of the indicator is exposed to gas within the sample chamber and part thereof is unexposed so that there will be a boundary between the exposed and unexposed parts after the former has been held in contact with gas trapped within the chamber.

10

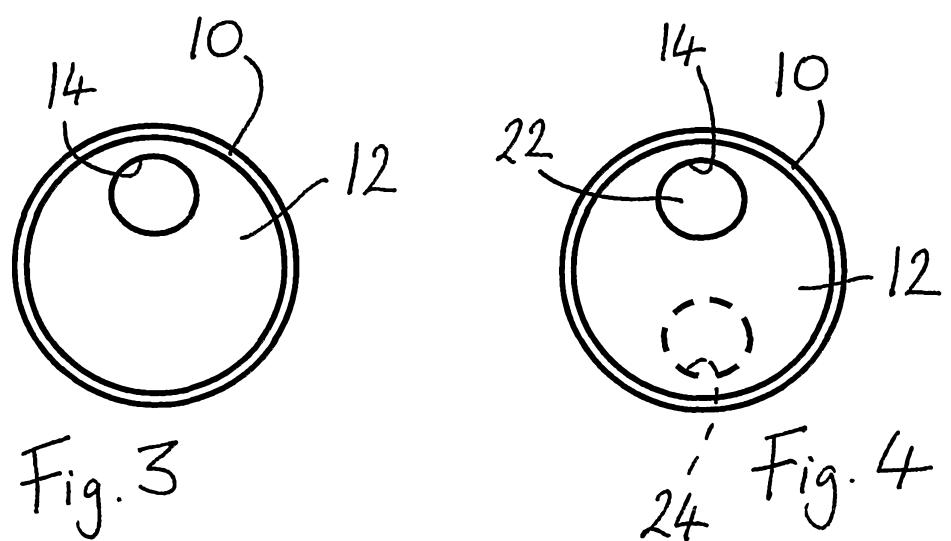
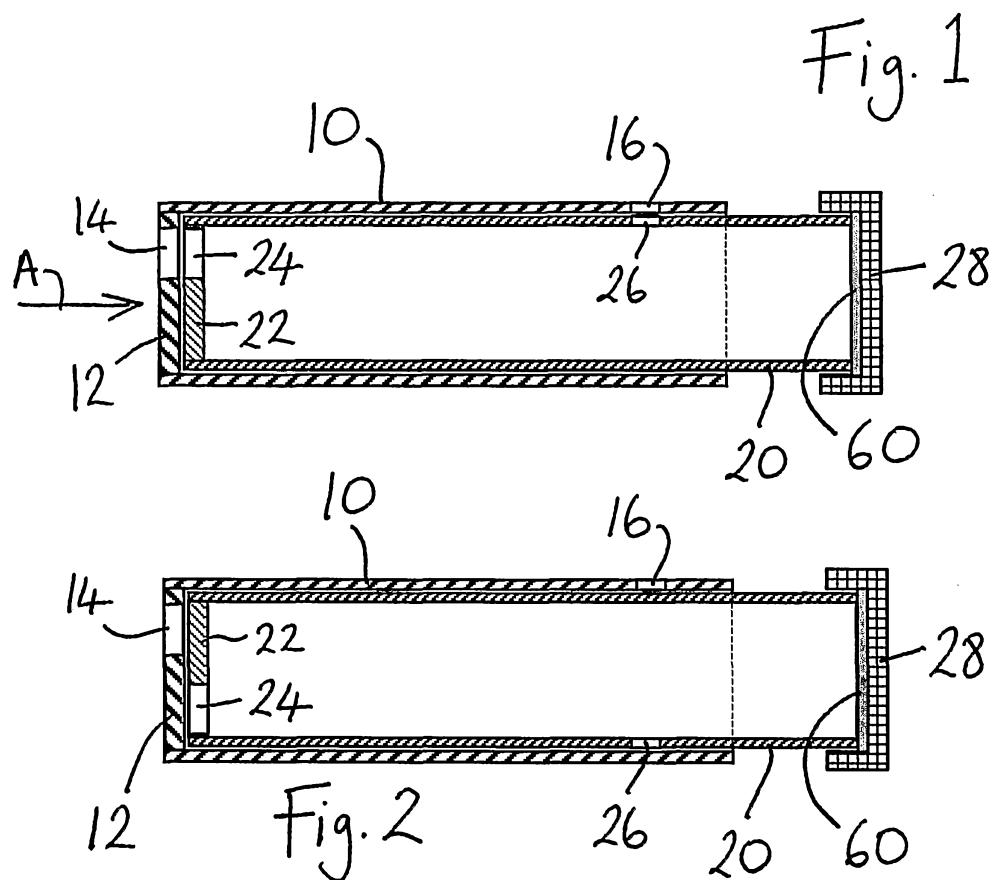
15 7. A device according to claim 6 wherein the indicator is mounted inside the sample chamber in such a manner that only a central part thereof is exposed to gas within the sample chamber and a surrounding peripheral part thereof is unexposed.

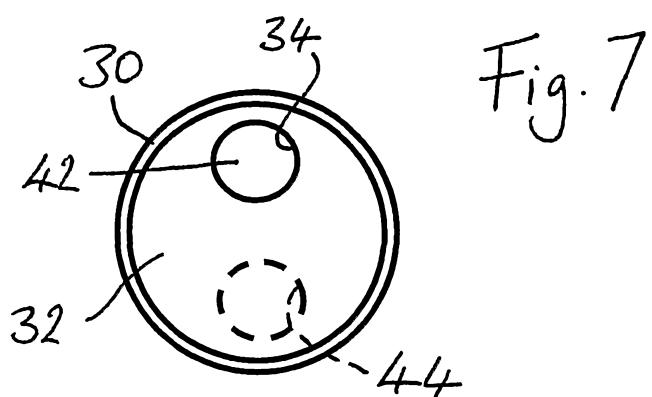
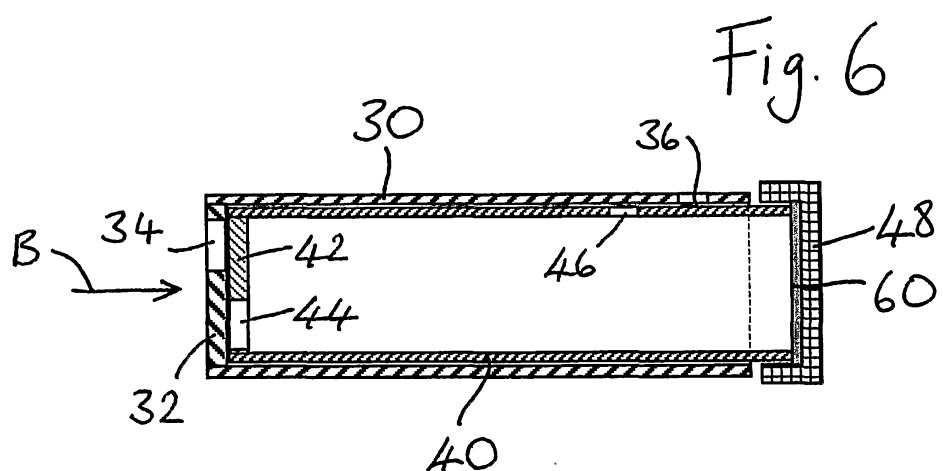
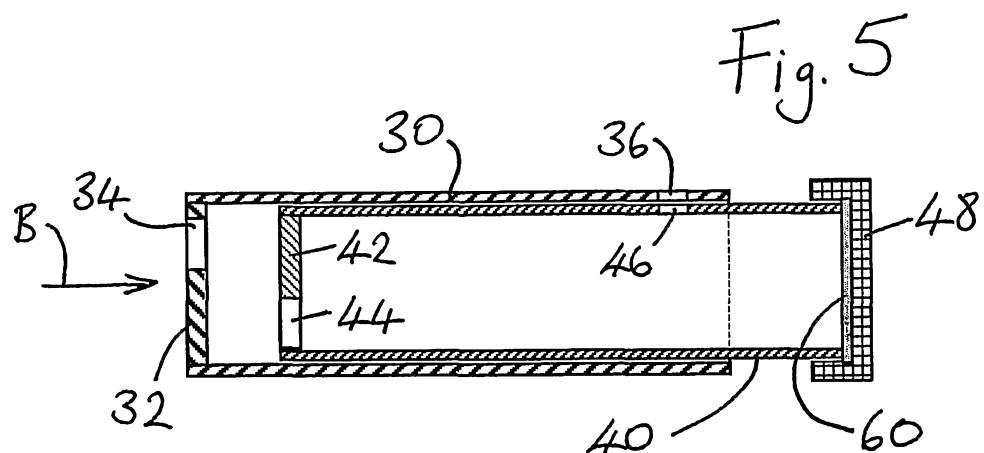
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8. A device according to claim 6, wherein an end cap is provided on one of the tubular parts and the indicator is mounted inside the end closure and/or the end cap of the container.

25

9. A breath sampling device substantially as hereinbefore described with reference to and as illustrated by Figs. 1 to 4, or Figs. 5 to 7, or Figs. 8 and 9 of the accompanying drawings.





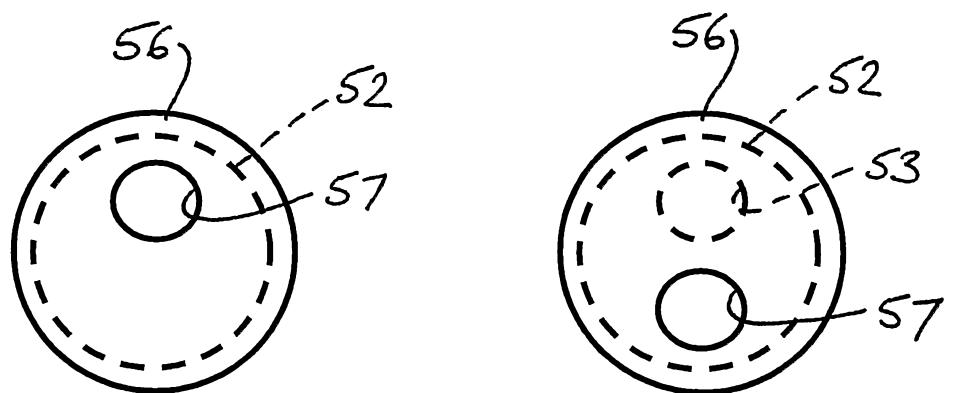
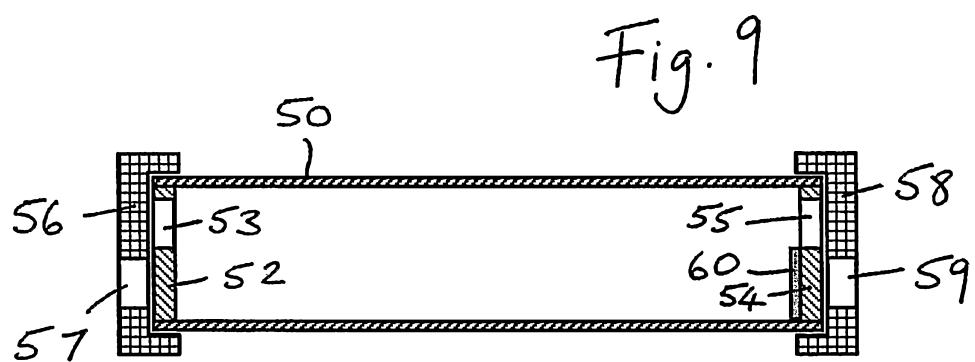
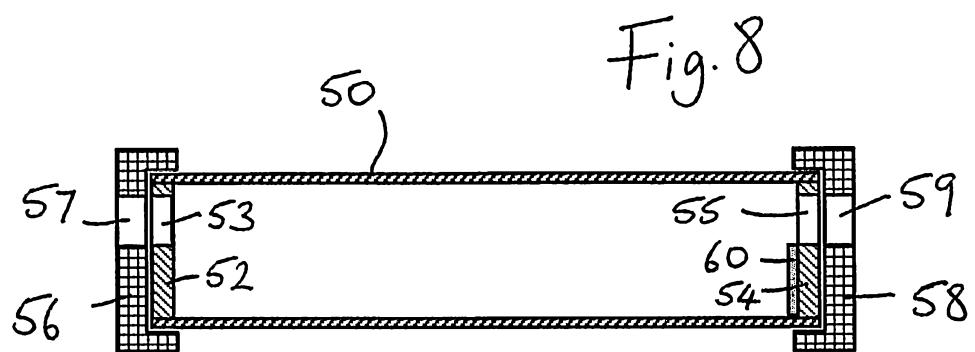


Fig. 10

Fig. 11

Fig. 12

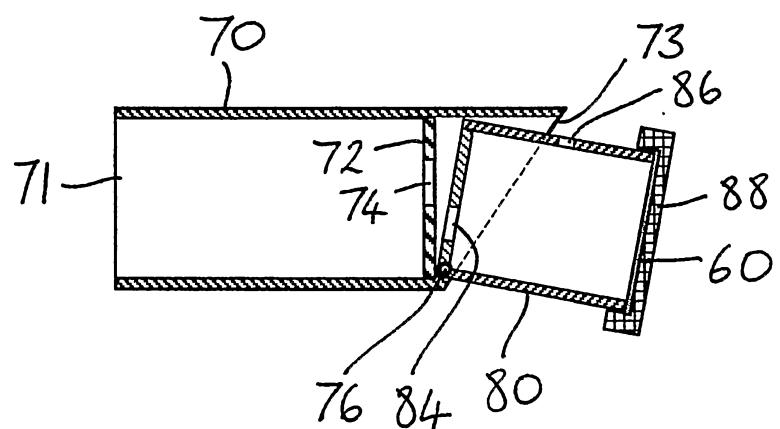
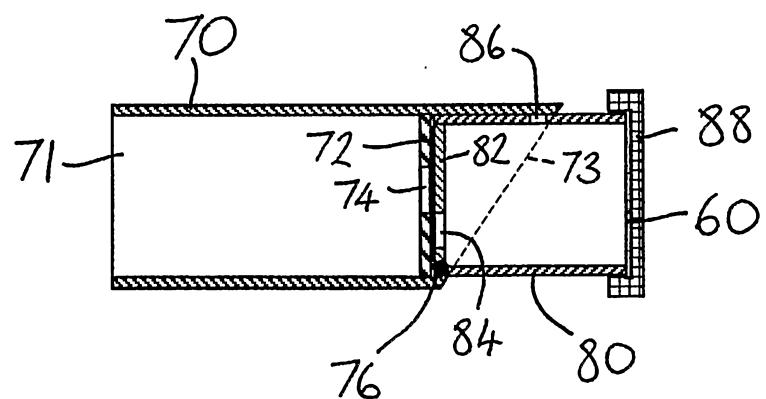


Fig. 13

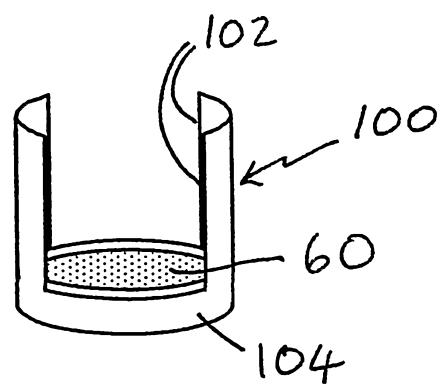
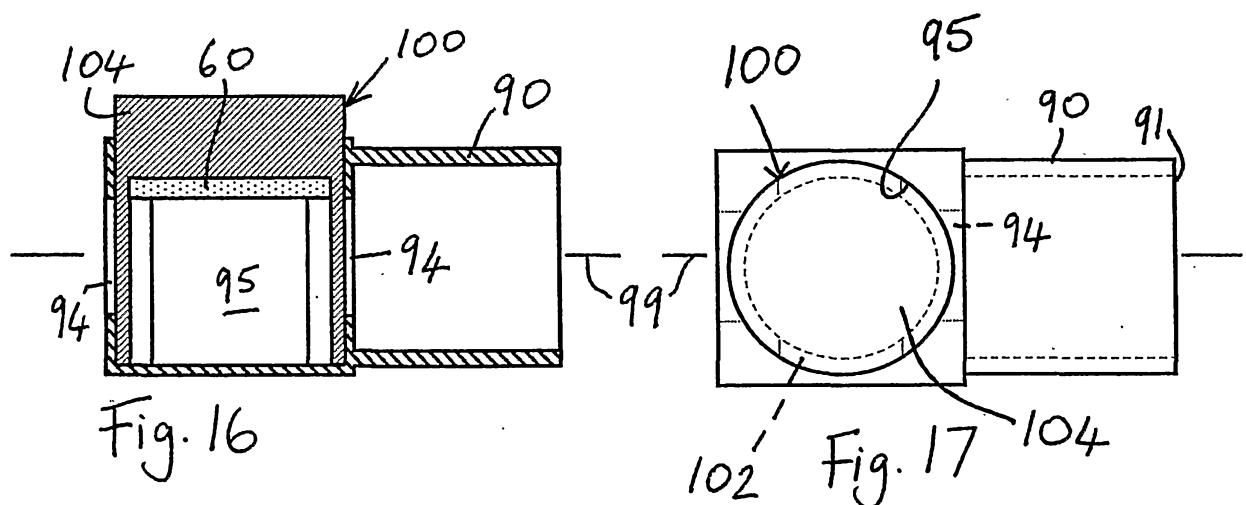
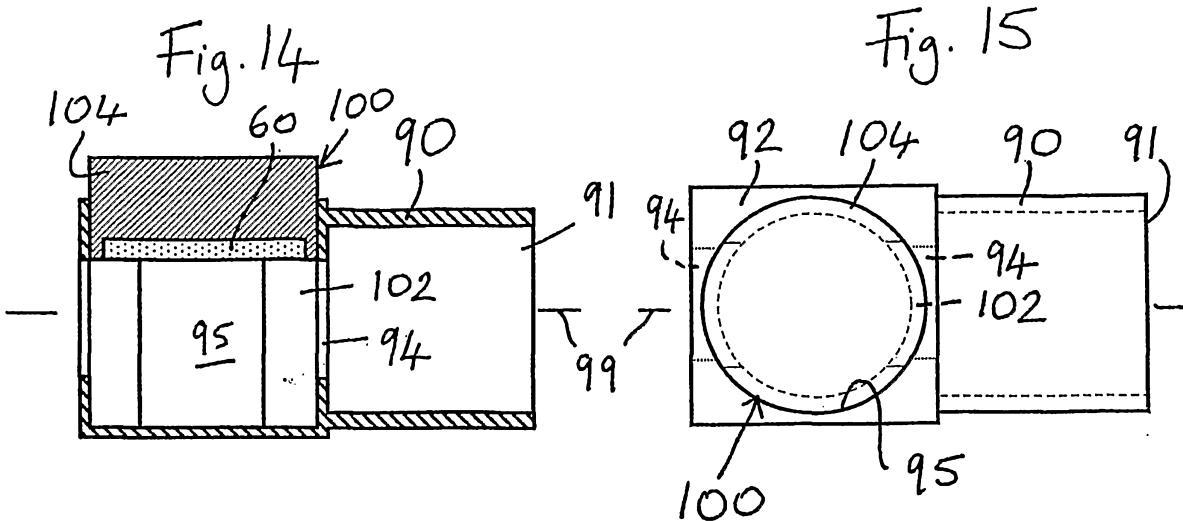


Fig. 18