FORM 1 COMMONWEALTH OF AUSTRALIA 2 3 6

PATENTS ACT 1952

APPLICATION FOR A STANDARD PATENT

I\We,

UNILEVER PLC

of

UNILEVER HOUSE BLACKFRIARS LONDON EC4 **ENGLAND**

hereby apply for the grant of a standard patent for an invention entitled:

ORAL PREPARATIONS.

which is described in the accompanying complete specification

Details of basic application(s):

Number of basic application

Name of Convention country in which basic application was

Date of basic application

filed

8811829.4

GB

19 MAY 88

My/our address for service is care of GRIFFITH HACK & CO., Patent Attorneys, 601 St. Kilda Road, Melbourne 3004, Victoria, Australia.

DATED this 16th day of May 1989

UNILEVER PLC

GRIFFITH HACK & CO.

TO: The Commissioner of Patents.

009053 160589

Forms 7 and 8

AUSTRALIA

Patents Act 1952

DECLARATION IN SUPPORT OF A CONVENTION OR NON-CONVENTION APPLICATION FOR A PATENT OR PATENT OF ADDITION

ame(s) of

In support of the application made by UNILEVER PLC

pplicant(s)

ORAL PREPARATIONS

lame(s) and ddress(es)

itle

I, Dilshad Rajan, Authorized Signatory

of Unilever House, Blackfriars, London E.C.4, Great Britain,

of person(s) aking leclaration

do solemnly and sincerely declare as follows:-

1. I am authorized by the abovementioned applicant to make this declaration on its behalf.

Country filing

2. The basic application(s) as defined by Section 141 of the Act was/were made in the following country or countries on the following date(s) by the following applicant(s) namely:-

in Great Britain on 19th May 1988. by UNILEVER PLC

late and name of Applicant(s) or the or each basic application

- 3. The said basic application(s) was/were the first application(s) made in a Convention country in respect of the invention/the subject of the application.
- 4. The actual inventor(s) of the said invention are Neil John BRISTOW, Peter CARTER, Bryony Emma COULSON and Michael Albert TREVETHAN, all British subjects of 71 Yarrum Avenue, NSW 2322, Australia, Briarfield, The Rake, Burton, South Wirral, Cheshire, Great Britain, 7 Knox Close, Port Sunlight, Wirral, Great Britain and 7 Warren Hey, Bebington, Wirral, Great Britain

See reverse made of this form for guidance in completing this part

5. The facts upon which the applicant(s) is/are entitled to this application are as follows:the applicants would be entitled to have ned to them a patent granted to any of the actual inventors in respect of the said invention

DECLARED at London this 12th day of

1989.

Major

Name(s) and address(es) each actual inventor

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(56) Prior Art Documents
AU 75034/81
AU 52252/86
AU 22816/83

(57) Claim

1. A substantially fluorine-free oral preparation having an anti-caries activity, comprising a water-soluble casein material or sodium trimetaphosphate as anti-caries agent, and a particulate abrasive material, characterised in that the particulate abrasive material is or comprises hydroxyapatite.

AUSTRALIA

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PATENTS ACT 1952

Form 10

COMPLETE SPECIFICATION

(ORIGINAL)

FOR OFFICE USE

Short Title:

Int. Cl:

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Complete Specification-Lodged:

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Related Art:

TO BE COMPLETED BY APPLICANT

Name of Applicant:

UNILEVER PLC

Address of Applicant: UNILEVER HOUSE

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Actual Inventor:

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601 St. Kilda Road,

Melbourne, Victoria 3004,

Australia.

Complete Specification for the invention entitled: ORAL PREPARATIONS.

The following statement is a full description of this invention including the best method of performing it known to me:-

ORAL PREPARATIONS

This invention relates to oral preparations and in particular to oral preparations having an anti-caries activity.

- 5 It is well known that various water-soluble fluorine-containing compounds are useful for combating dental caries. Examples are sodium monofluorophosphate, sodium fluoride and stannous fluoride.
- 10 There have been proposals to combat dental caries through the use in oral compositions of agents which do not contain fluorine.
 - It is known from EP-A-73 210 (University of Melbourne and the Victorian Dairy Industry Authority) to employ certain water-soluble casein materials as anti-caries agents. In particular it is suggested to use alphascasein, beta-casein, water-soluble salts thereof and water-soluble salts of whole casein. These casein materials are phosphoproteins and contain the aminoacid sequence (X-Y-Z), where X and Z are a phosphoserine, phosphothreonine, phosphotyrosine, glutamate or aspartate and Y is any aminoacid. Dentifrices containing casein materials are disclosed in an amount of from 0.5% to 10% by weight. The water-soluble material may also contain a plurality of units each having the aminoacid sequence (X-Y-Z), where X, Y and Z are as stated above.
- Dairy Industry Authority) contains a similar disclosure save that in this case the casein material employed is a casein digest. In particular, a casein digested by means of an enzyme, for example, trypsin, pepsin, chymotrypsin or pronase, to produce shorter chain phosphopeptides is

disclosed.

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It has been proposed in WO 87/07615 (University of Melbourne and the Victorian Dairy Industry Authority) 5 that phosphopeptides or salts thereof having from 5 to 30 aminoacids including the sequence A-B-C-D-E, where A, B, C, D and E are independently phosphoserine, phosphothreonine, phosphotyrosine, phosphohistidine, glutamate and aspartate, may be used to inhibit caries and gingivitis. Sources of such phosphopeptides include casein, particular α_s -casein or β -casein or salts thereof such as sodium caseinates, phosvitin and phosphoproteins from cereals, nuts, vegetables, soyabean and meat, which have been enzymically digested with trypsin, pepsin, chymotrypsin, papain, thermolysin or pronase. Also calcium phosphate complexes of the tryptic digest of casein are disclosed as anti-caries agents. Compositions containing the phosphopeptide or salt thereof at 0.01% to 10% by weight are disclosed.

In U.S. Patent N° 4 132 773 (Best et al.) is disclosed an anti-caries toothpaste comprising a silica xerogel abrasive and sodium trimetaphosphate.

In the formulation of products containing agents to enhance or maintain oral health it is important that the potential efficacy of such agents is not jeopardised by interaction with other components of the oral product. It is well known to those skilled in the art that the solid particulate abrasive agent may have a propensity for binding active agents thus reducing the effectiveness of the product.

It is an object of the invention to formulate an improved anti-caries composition which does not include a fluorine-containing ingredient.

Accordingly, there is provided by the present invention a substantially fluorine free anti-caries oral composition comprising finely-divided hydroxyapatite and an anti-caries agent selected from water-soluble casein materials and sodium trimetaphosphate.

We have now found that finely divided hydroxyapatite is an abrasive agent that has a high degree of compatibility with casein materials and with sodium 10 trimetaphosphate. The suitablility of finely divided hydroxyapatite as a dentifrice abrasive is already known from CA-A-999 238, US-A-4 634 589 and US-A-4 327 079 but its use in products containing a casein material or sodium trimetaphosphate as active agent has not previously been suggested.

The hydroxyapatite abrasive is used in a particle size giving satisfactory cleaning without being harmful to the tooth surface when used in appropriate amounts in oral compositions of the invention. The average particle size will usually be in the range from about 1 micron to about 15 microns, preferably 2 to 10 and particularly preferably about 3 to about 10 microns.

- Preferred particulate hydroxyapatites for use in oral compositions of this invention are synthetic hydroxyapatites of high purity consisting of at least 92% of Ca₁₀(PO₄)₆(OH)₂. The remainder will comprise mainly bound water (typically 6% maximum) and a minor amount of calcium carbonate (typically 2% minimum). A process for the preparation of hydroxyapatites is described in GB-A-1 586 915 (British Charcoals & Macdonalds).
- 35 A highly pure synthetic hydroxyapatite available15 commercially is that sold under the trade name CAPTAL by British Charcoals & Macdonalds of Greenock, Scotland.

This hydroxyapatite contains about 97% $Ca_{10}(PO_4)_6(OH)_2$. The remaining 3% is mostly bound water with approximately 0.3% calcium carbonate.

- The amount of the hydroxyapatite present in oral compositions of this invention will range from 1-50%, usually from about 2% to about 20%, preferably from 3% to 15%, by weight of the oral composition.
- 10 The amount of the water-soluble casein derivative may range from about 0.01& to about 10% by weight, and the amount of sodium trimetaphosphate may range from about 0.5% to about 5% by weight.
- Additional benefits which are already associated with the use of hydroxyapatite in the prior literature are a desensitising activity for users having hypersensitive teeth.
- Together with the hydroxyapatite and casein material and/or sodium trimetaphosphate, the oral product of the invention will contain other conventional ingredients well known to those skilled in art depending on the form of the oral product. For instance, in the case of an oral product in the form of a dentifrice cream or paste, the product will comprise an humectant-containing liquid phase and a binder or thickener which acts to maintain the particulate solid abrasive in stable suspension in the liquid phase. A surfactant and a flavouring agent are also usual ingredients of commercially acceptable dentifrices.

Humectants commonly used are glycerol and sorbitol syrup (usually comprising an approximately 70% solution). However, other humectants are known to those in the art including propylene glycol, lactitol and hydrogenated corn syrup. The amount of humectant will

generally range from about 10 to 85% by weight of the dentifrice. The remainder of the liquid phase will consist substantially of water.

5 Likewise, numerous binding or thickening agents have been indicated for use in dentifrices, preferred ones being sodium carboxymethylcellulose and xanthan gum. Others include natural gum binders such as gum tragacanth, gum karaya and gum arabic, Irish moss,

alginates and carrageenans. Silica thickening agents include the silica aerogels and various precipitated silicas. Mixtures of binding and thickening agents may be used. The amount of binder and thickening agent included in a dentifrice is generally between 0.1 and 10% by weight.

It is usual to include a surfactant in a dentifrice and again the literature discloses a wide variety of suitable materials. Surfactants which have found wide use in practice are sodium lauryl sulphate, sodium dodecylbenzene sulphonate and sodium lauroylsarcosinate. Other anionic surfactants may be used as well as other types such as cationic, amphoteric and nonionic surfactants. Surfactants are usually present in an amount of from 0.5 to 5% by weight of the dentifrice.

Flavours that are usually used in dentifrices are those based on oils of spearmint and peppermint.

Examples of other flavouring materials used are menthol, clove, wintergreen, eucalyptus and aniseed. An amount of from 0.1% to 5% by weight is a suitable amount of flavour to incorporate in a dentifrice.

The oral compositions of the invention may also
comprise a proportion of a supplementary abrasive agent
such as silica, alumina, hydrated alumina, calcium
carbonate, anhydrous dicalcium phosphate, dicalcium

phosphate dihydrate and water-insoluble sodium metaphosphate.

The oral composition of the invention may include a

wide variety of optional ingredients. These include an
anti-plaque agent such as an antimicrobial compound for
example chlorhexidine or 2,4,4'-trichloro-2'hydroxy-diphenyl ether, or a zinc compound (see
EP-A-161 898); an anti-tartar ingredient such as a

condensed phosphate, e.g. an alkali metal
pyrophosphate, hexametaphosphate or polyphosphate, (see
US-A-4 515 772 and US-A-4 627 977) or zinc citrate (see
US-A-4 100 269); sweetening agent, such as saccharin; an
opacifying agent, such as titanium dioxide; a

preservative, such as formalin; a colouring agent; or
pH-controlling agent such as an acid, base or buffer,
such as benzoic acid.

For a fuller discussion of the formulation of oral compositions, reference is made to Harry's Cosmeticology, Seventh Edition, 1982, Edited by J.B. Wilkinson and R.J. Moore, pages 609 to 617.

The invention also relates to a method of combating
dental caries which consists in applying to the teeth,
such as by brushing, an oral composition according to
the invention.

The following Examples illustrate the invention.

Percentages and parts are by weight.

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Examples 1-2

Toothpastes are prepared from the following ingredients:

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	<u>Ingredient</u>			<u>%</u>
		Example:	<u>1</u>	<u>2</u>
	Hydroxyapatite		5.00	5.00
	Silica aerogel (Gasil 2	23)	10.00	10.00
10	Sorbitol syrup		40.00	40.00
	Sodium lauryl sulphate		1.50	1.50
	Sodium carboxymethylcell	lulose	1.00	1.00
	Sodium caseinate		5.00	
15	Calcium salt of Ti phosp according to WO 87/076		-	1.00
	Sodium saccharin		0.20	0.20
) (0	Titanium dioxide		1.00	1.00
	Formalin		0.04	0.04
, ,	Flavour		1.00	1.00
20	Water	to	100.00	to 100.00

Example 3

A toothpaste is prepared from the following ingredients:

,	я	2	5

	Ingredient	<u>%</u>
4	Hydroxyapatite	5.00
63	Silica aerogel (Gasil 23)	10.00
6 6	Sorbitol syrup	40.00
30	Sodium lauryl sulphate	1.50
	Sodium carboxymethylcellulose	1.00
	Sodium trimetaphosphate	3.00
	Sodium saccharin	0.20
	Titanium dioxide	1.00
35	Formalin	0.04
	Flavour	1.00
	Water	100.00

Examples 4 and 5

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eg(C6)

Toothpastes are made from the ingredients indicated below.

	Ingredient		<u>%</u>	
	Exar	nple:	<u>4</u>	<u>5</u>
	Hydroxyapatite	10	.0	10.0
	Thickening silica	10	.0	10.0
10	Sorbitol syrup (70% solution	on) 40	.0	40.0
	Sodium lauryl sulphate	1	. • 5	1.5
	Sodium carboxymethylcellulo	ose 1	.0	1.0
	Sodium caseinate	5	.0	
0	Sodium trimetaphosphate		- 1 1	3.0
15	Triclosan	0	.2	_
4	Zinc citrate trihydrate	0	.5	-
ed .	Glucoseoxidase		_	0.3
3	Amyloglucosidase		<u>-</u> :	1.2
	Potassium thiocyanate		<u>-</u> :	0.02
20	Sodium saccharin	0	.2	0.2
0	Titanium dioxide	1	0	1.0
	Formalin	0	.04	0.04
	Flavour	. · · · · · · · · · · · · · · · · · · ·	. 0	1.0
	Water	to 100	.0 to	100.0

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- 1. A substantially fluorine-free oral preparation having an anti-caries activity, comprising a water-soluble casein material or sodium trimetaphosphate as anti-caries agent, and a particulate abrasive material, characterised in that the particulate abrasive material is or comprises hydroxyapatite.
- 2. A preparation according to claim 1, characterised in that the hydroxyapatite has an average particle size of from 1 to 15 microns.
- 3. A preparation according to claim 1, characterised in that the hydroxyapatite is a synthetic hydroxyapatite which consists for at least 92% by weight of $Ca_{10}(PO_4)_6(OH)_2$.
- 4. A preparation according to claim 1, characterised in that the hydroxyapatite is present in an amount of 1-50% by weight.
- 5. A preparation according to claim 1, characterised in that it contains from 0.01 to 10% by weight of the water-soluble casein material or 0.5 to 5% by weight of the sodium trimetaphosphate.

DATED THIS 16TH DAY OF MAY 1989
UNILEVER PLC
By its Patent Attorneys:
GREFFITH HACK & CO.
Fellows Institute of Patent
Attorneys of Australia