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VALVE FOR AN INTRODUCER ASSEMBLY
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(57) Claim

1. A valve construction for use with an introducer assembly having a tubular member with a valve housing at one end thereof for receiving the valve construction to permit a medical instrument to be introduced therethrough, the valve construction comprising a body formed of an elastic material, said body having a cylindrical wall with an axially-extending bore which has first and second ends, a first wall formed integral with the cylindrical wall and extending across the first end of the axially-extending bore, said first wall having a centrally-disposed opening therein aligned with said axially-extending bore, a second wall formed integral with the cylindrical wall and extending across the second end of the axially-extending bore, said second wall having a single slit therein extending therethrough diametrically of said axially-extending bore to provide first and second semicircular leaflets, said axially-extending bore, said opening in said first wall and said slit in the second wall when seated in said housing permitting a medical instrument to be introduced therethrough and through the tubular member with at least one seal being formed between the valve construction and the

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medical instrument, said second wall having inner and outer surfaces with at least the inner surface being inclined outwardly from a plane extending perpendicular to the axis of the axially-extending bore in a direction towards the axially-extending bore, each of said leaflets having an arcuate base portion and a straight distal portion, said distal portion having a thickness which is less than the thickness of said base portion so as to permit the leaflets to flex and allow the instrument to pass therethrough, said distal portions of said leaflets having a substantial thickness and abutting each other to provide a keystone-like seal so as to avoid prolapse of the leaflets during removal of the instrument from the valve construction and also to provide a more positive seal as pressure is applied to the outer surface of the second wall.

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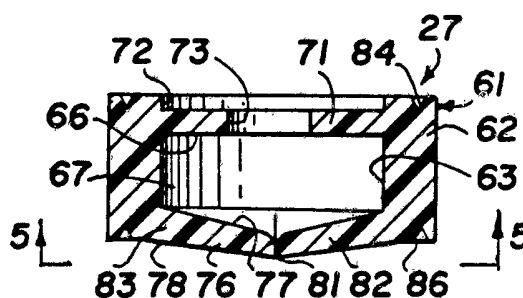


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<b>(21) International Application Number:</b> PCT/US93/06283 <b>(22) International Filing Date:</b> 1 July 1993 (01.07.93) <b>(30) Priority data:</b> 07/911,158 9 July 1992 (09.07.92) US <b>(71) Applicant:</b> UNISURGE, INC. [US/US]; 10231 Bubb Road, Cupertino, CA 95014 (US). <b>(72) Inventor:</b> MILLER, Gary, H. ; 529 Bryce Court, Milpitas, CA 95053 (US). <b>(74) Agents:</b> HOHBACH, Harold, C. et al.; Flehr, Hohbach, Test, Albritton & Herbert, 4 Embarcadero Center, Suite 3400, San Francisco, CA 94111-4187 (US).	<b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>  <b>672807</b>	

**(54) Title:** VALVE FOR AN INTRODUCER ASSEMBLY



**(57) Abstract**

Valve (27) for use with an introducer assembly (11) with a valve housing (19) for receiving the valve (27) to permit a medical instrument to be introduced therethrough. The valve (27) consisting of an elastic body (61) with a cylindrical wall (62) having an axially-extending bore (63). The body (61) is provided with a first planar wall (71) integral with one end of the cylindrical wall (62) and second wall (76) integral with the other end of the cylindrical wall (62). The first wall (71) has an opening (73) aligned with the bore (63) and a slit (81) in the second wall (76) extending diametrically of the bore (63) to provide first and second leaflets (82 and 83). The valve (27) permits a medical instrument to be introduced therethrough providing a seal between the valve (27) and the medical instrument. The second wall (76) has inner and outer surfaces (77 and 78) which are inclined outwardly from a plane extending perpendicular to the axis of the bore (63) to avoid prolapse of the leaflets (82 and 83) during removal of the instrument from the valve (27).

### VALVE FOR AN INTRODUCER ASSEMBLY

This invention relates to an introducer assembly and valve construction for use therein.

5 An introducer assembly is disclosed in United States Patent No. 5,176,648 and a valve is disclosed in United States Patent No. 5,141,498 for use in the introducer assembly. In using such a valve in the introducer assembly it has been found in certain instances that an electrocautery hook which would catch onto one or more of the leaflets of the valve as the hook is being withdrawn causing the valve to prolapse to prevent the valve from maintaining  
10 a good seal. There is, therefore, a need for a new and improved introducer assembly and a valve construction for use therein which will overcome this difficulty.

In general, it is an object of preferred embodiments of the present invention to provide an introducer assembly and a valve construction for use therein which can be utilized in various laproscopic procedures in which hooks are utilized.

15 Another object of preferred embodiments of the present invention is to provide an introducer assembly for which good seals are maintained during introduction and withdrawal of laproscopic instruments.

Another object of preferred embodiments of the present invention is to provide an introducer assembly and valve construction for use therein in which the valve construction  
20 resists prolapse.

According to a first aspect of the present invention, there is provided a valve construction for use with an introducer assembly having a tubular member with a valve housing at one end thereof for receiving the valve construction to permit a medical instrument to be introduced therethrough, the valve construction comprising a body formed of an elastic  
25 material, said body having a cylindrical wall with an axially-extending bore which has first and second ends, a first wall formed integral with the cylindrical wall and extending across the first end of the axially-extending bore, said first wall having a centrally-disposed opening therein aligned with said axially-extending bore, said first wall having a centrally-disposed opening therein aligned with said axially-extending bore, a second wall formed integral with  
30 the cylindrical wall and extending across the second end of the axially-extending bore, said



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second wall having a single slit therein extending therethrough diametrically of said axially-extending bore to provide first and second semicircular leaflets, said axially-extending bore, said opening in said first wall and said slit in the second wall when seated in said housing permitting a medical instrument to be introduced therethrough and through the tubular member with at least one seal being formed between the valve construction and the medical instrument, said second wall having inner and outer surfaces with at least the inner surface being inclined outwardly from a plane extending perpendicular to the axis of the axially-extending bore in a direction towards the axially-extending bore, each of said leaflets having an arcuate base portion and a straight distal portion, said distal portion having a thickness  
10 which is less than the thickness of said base portion so as to permit the leaflets to flex and allow the instrument to pass therethrough, said distal portions of said leaflets having a substantial thickness and abutting each other to provide a keystone-like seal so as to avoid prolapse of the leaflets during removal of the instrument from the valve construction and also to provide a more positive seal as pressure is applied to the outer surface of the second wall.

15 According to a second aspect of the present invention, there is provided an introducer assembly for introducing medical instruments into the body, comprising a tubular member having proximal and distal extremities and central bore therein, a housing mounted on the proximal extremity of the tubular member, said housing having a recess therein in alignment with said central bore in said tubular member, a valve construction disposed in said housing  
20 and having first and second seals, a cap mounted on said housing and serving to retain said valve construction in said housing, said valve construction having a body with integrally formed first and second spaced-apart walls, said first wall having an orifice therein and said second wall having a diametrically-extending slit therein to form first and second semicircular leaflets, said first and second walls permitting a medical instrument to be inserted  
25 therethrough and withdrawn therefrom while maintaining a seal between the instrument and the tubular member, said second wall having an inner surface which is inclined outwardly in a direction towards the axis of said central bore with respect to an imaginary plane extending perpendicular to the axis of the central bore, each of the said leaflets having an arcuate base portion and a straight distal portion, said distal portion having a thickness which is less than  
30 the thickness of said base portion so as to permit the leaflets to flex and allow the instrument



to pass therethrough, said distal portions of said leaflets having a substantial thickness and abutting each other to provide a keystone-like seal so as to avoid prolapse of the leaflets during removal of the instrument from the valve construction and also to provide a more positive seal as pressure is applied to the outer surface of the second wall.

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Embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings which:-

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## IN THE FIGURES:

FIG. 1 is an elevational view partially in cross-section showing the introducer assembly with a valve construction for use therein incorporating the present invention.

5 FIG. 2 is an enlarged detail view partially in cross-section of a portion of the introducer assembly shown in FIG. 1.

FIG. 3 is a top plan view of the valve construction utilized in the introducer assembly shown in FIG. 1.

10 FIG. 4 is a cross-sectional view taken along the line 4-4 of FIG. 3.

FIG. 5 is a bottom plan view of the valve construction looking along the line 5-5 in FIG. 4.

FIG. 6 is a cross-sectional view similar to FIG. 4 showing 15 an alternative construction for the valve construction shown in FIGS. 3, 4 and 5.

FIG. 7 is a cross-sectional view similar to FIG. 6 and showing another embodiment of the valve construction incorporating the present invention.

20 FIG. 8 is a cross-sectional view similar to FIGS. 6 and 7 showing another embodiment of a valve construction incorporating the present invention.

FIG. 9 is a cross-sectional view similar to FIGS. 6, 7 and 8 showing another embodiment of the valve construction 25 incorporating the present invention.

In general, the valve construction of the present invention is for use with an introducer assembly having a tubular member and with a housing at one end thereof for receiving the valve construction. <sup>Preferably</sup> the valve construction is comprised of a body 30 formed of an elastic material and having an axially-extending bore with first and second ends. <sup>Preferably</sup> the body is provided with a cylindrical wall extending coaxially of the bore and defining the bore. <sup>Preferably</sup> the body is also provided with a first planar wall formed integral with the cylindrical wall and 35 extending across the first end of the bore. <sup>Advantageously,</sup> the first planar wall has a centrally disposed opening in alignment with the bore. <sup>advantageously</sup> A second wall is provided which is formed integral with the cylindrical wall and extends across the second end of the



bore and has a slit therein extending diametrically of the bore to provide first and second leaflets. The bore, the hole in the first planar wall and the slit in the second wall permit a medical instrument to be inserted therethrough and to be withdrawn therefrom while  
5 maintaining a good seal between the introducer assembly and the medical instrument. Advantageously, the second wall has inner and outer surfaces which are inclined outwardly from the plane extending perpendicular to the axis of the bore to inhibit prolapse of leaflets during removal of a medical instrument from the introducer assembly.

More in particular, the introducer assembly 11, as shown in FIG.1, is very similar to  
10 the introducer assembly as shown in United States Patent No. 5,176,648. The introducer assembly is to be utilized for penetrating an abdominal wall 12 as shown in FIG. 1.

The introducer assembly 11 consists of a tubular member or cannula 13 and which is provided with an axially-extending bore 14. The cannula 13 is provided with proximal and distal extremities 17 and 18. A housing 19 is mounted on the proximal extremity 17 and is  
15 secured thereto by suitable means such as an adhesive. The housing 19 is provided with an upwardly flared circular flange 21. The housing 19 is provided with a cylindrical wall 22 which has a cylindrical bore 23 therein that is in communication with the bore 14 of the cannula 13. A cylindrical cap 26 then fitted onto the housing 19 in a suitable manner such as by friction-fit.

20 A valve construction 27 incorporating the present invention is disposed within the cap with the outer extremity of the valve construction 27 being engaged by an annular lip 28 forming a part of the cap. and with the lower extremity of the valve construction being engaged by a shoulder 29 provided by the upper extremity of the cylindrical wall 22. A Luer-type inlet fitting 31 is mounted at approximately a 45° angle in the housing 19 and is  
25 provided with a stopcock 32 which is provided with a handle 33 to permit opening and closing of the fitting 31.



A diaphragm 36 is adjustably positioned on the distal extremity 18 of the cannula 13 and is of the type described in United States Patent No. 5,176,648. The diaphragm 36 is provided with an annular convolution 36a which adjoins a centrally disposed hub 37 that has a lock ring 38 disposed therein (see Figure 2). The lock ring 38 is provided with upwardly and inwardly inclined lower and upper surfaces 39 and 41 which are substantially parallel to each other. Surfaces 39 and 41 can be inclined at a suitable angle as, for example, 45°.

The cannula 13, the housing 19 and the cap 26 can be formed of a suitable plastic as, for example, Ultem 1,000. Diaphragm 36 can be formed with a suitable material such as Kraton. The lock ring 38 also can be formed of a suitable material such as Santoprene of about 45 durometer, Shore A.

A lock cap 43 formed of a suitable material such as Ultem 1,000 is provided with a knurled knob 44 and an externally-threaded cylindrical extension 46 which threadly engages internal threads 47 provided in the hub 37. The extension 46 is provided with a tapered surface 48 and which is adapted to seat against the surface 41 of the lock ring 38. Thus, it can be seen that by rotating the knob 44, the lock ring 38 can be compressed to form a liquid-tight and air-tight seal between a cannula 13 extending therethrough and the hub 37.

A layer 49 is provided on the lower surface of the diaphragm 36 and is formed of a suitable material such as a double-sided sticky adhesive formed to provide good adherence between the diaphragm 36 and the abdominal wall 12.

An obturator 51 is provided as part of the introducer assembly 11 and is provided with a shaft 52 of a suitable material such as stainless steel to provide a spike or a shaft which has a pointed distal extremity 53 thereon. A knob 54 of a suitable material such as plastic is mounted on the proximal extremity of the shaft 52. As can be seen, the obturator 51 is adapted to extend through the valve construction 27 and through the cannula 13 as shown in FIG. 1 with the valve construction 27 providing sealing means



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between the obturator 51 and the cannula 13 to prevent the escape of fluid between the cannula 13 and the obturator 51.

The valve construction 27 is shown in detail in FIGS. 3, 4, and 5 and, as shown therein, consists of a body 61. The body 61 is formed of a suitable elastomeric material such as silicone having a medium durometer as, for example, ranging from 40 to 70 shore A hardness. Preferably, it is desirable to utilize a hardness of 40 to 50 durometer shore A with a 100-200 pound per square inch, in tear strength. Other suitable materials can be utilized as, for example, heat-cured rubber. The silicone or rubber can be formed in a liquid injection molding process to form the body 61. The body 61 is provided with a cylindrical wall 62 which has a bore 63 extending axially therethrough. The bore 63 is provided with a first or upper end 66 and a second or lower end 67. The body 61 is formed with a first or upper wall 71 which is formed integral with the cylindrical wall 62 and extends across the upper or first end of the bore 66. As shown (see Fig. 4), the first wall 71 is substantially planar and is slightly recessed with respect to the uppermost extremity of the cylindrical wall 62 to form a cylindrical recess 72. A centrally disposed hole 73 is provided within the wall 71 and is in axial alignment with the axis of the bore 63. The hole 73 is sized so as to form a seal with respect to the obturator 51 when it is introduced therethrough. The body 61 is also provided with a second or lower wall 76 which extends across the second or lower end 67 of the bore 63. The second or lower wall 76 is provided with inner and outer walls and with upper or inner and lower or outer surfaces 77 and 78 which are inclined outwardly from an imaginary plane extending perpendicular to the axis of the bore 63 at a suitable angle ranging from 0° to 85°. By way of example, as shown in FIG. 4, the surface 77 can then extend at an angle of 15° whereas the surface 76 can extend at an angle of 10°. By way of example, a valve construction of one type incorporating the present invention had a outside diameter of .750 inches and a bore 63 of 0.550 inches.

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The second or lower wall 76 is provided with a diametrically-extending slit 81 so that two leaflets 82 and 83 are formed by the second or lower wall 76. The second or lower wall 76 is inclined upwardly and outwardly towards an apex to inhibit or minimize prolapse of the leaflets 82 and 83 during removal of an obturator 51 therefrom. This prolapse is inhibited by a keystone effect provided by the outwardly inclined leaflets 82 and 83 that provides a maximum sealing force at the slit 81. Thus, as can be seen from FIG. 1, first and second seals are provided when the obturator 51 is introduced through the valve construction into the cannula 13. The first seal is formed by leaflets 82 and 83 engaging the shaft 52. The other or second seal is formed by the wall 71 engaging the circumference of the shaft 52. As the obturator 51 is removed, the shape of the leaflets 82 and 83 permits the obturator 51 to be removed without causing prolapse of the leaflets 82 and 83 and thereby continuously maintaining a seal between the cannula 13 and the obturator 51. As soon as the obturator 51 is removed from the slit 81, the leaflets 82 and 83 will close to the position shown in FIG. 5 to form a fluid-tight seal. Thus, a good seal is provided even though the obturator is completely removed from the hole 73.

Additional sealing means is provided between the upper and lower extremities of the valve construction 27 and consists of annular recesses 84 and 86 provided in the cylindrical wall 62 on the upper and lower extremities of the same which are V-shaped in cross-section as shown in FIG. 4 to facilitate forming a good seal between the lip 28 and the shoulder 29.

It has been found that when large medical instruments or tools are utilized in conjunction with the introducer assembly 11, there is a tendency for the large tools to cause the valve construction 27 to become non-circular and, thereby, tending to inhibit appropriate sealing action. As shown in Figure 6, valve construction 91 very similar to the valve construction 11 hereinbefore described is provided to overcome this difficulty with the exception that a reinforcing ring

92 formed of a suitable material such as stainless steel has been molded in the body 61 and, as shown, is provided on inner surface 93 of the cylindrical wall 62 and extends the length of the bore 63. The ring 92 has its upper extremity 5 terminating at the wall 71 and has its lower extremity extending into the second or lower wall as shown in FIG. 7. The ring 92 has a sufficient wall thickness to provide the desired rigidity as, for example, a thickness ranging from .015 to .020 inches. If a softer material such as brass is 10 utilized, the ring can be thicker as, for example, .030 to .040 inches. If desired, the ring 92 can also be formed of a rigid plastic material.

By providing the reinforcing ring 92, the placement of a large trocar or obturator 51 through the valve construction 15 27 prevents the upper extremity of the body 61 from being pulled inwardly as the shaft 52 passes through the hole 73. This helps to ensure that a good seal is continuously maintained as the shaft 52 is passed through the valve construction 27.

20 The lower wall 96 has first or inner and second or outer surfaces 97 and 98 and a diametrically extending slit 99 to form leaflets 101 and 102. The leaflets 101 and 102 are thicker in cross section to provide an additional closing force at the slit 99.

25 Another embodiment of a valve construction 106 is shown in FIG. 7 in which a second or lower wall 107 is provided which is thicker in cross-section adjacent the diametrically extending slit 108. In addition, the upper and lower surfaces 109 and 111 of the thicker wall 107 are positioned such so 30 that the surface 109 is then inclined at a greater angle as, for example, at an angle of 25° rather than the 15° for the surface 77. It has been found that this greater angle inhibits the obturator 51 from slicing or cutting the wall 109 forming the slit 108 to also help ensure that a good seal 35 is maintained when the obturator or trocar 51 is being removed and after removal.

Still another embodiment of a valve construction 121 is shown in FIG. 8 in which a reinforcing ring 122 is embedded

within the cylindrical wall 62. The valve construction 121 is provided with a thicker lower wall 123 which is provided with inner and outer surfaces 124 and 126 respectively in which the surface 124 is inclined at a greater angle from the horizontal as, for example, 30° with the outer surface 126 being inclined at an angle of 0°. In order to permit easier bending of the leaflets 127 and 128, an annular slice 131 is provided in the body 61 adjacent the wall 103 which terminates in an annular bead 132 which is circular in cross-section as shown in FIG. 8. This bead 132 with the annular slice 131 provide a thinner wall portion to form hinges 133 for the leaflets 127 and 128 to facilitate less restrictive opening of the flaps or leaflets 127 and 128. Thus, easier opening of the leaflets 127 and 128 with a greater incline provided on the surface 124 helps to ensure that the trocar will not dissect or slit the lower wall 123 to thereby maintain the integrity of the sealed slit 134. The hinging of the leaflets 127 and 128 also serves to facilitate closing of the seal 124 when the obturator or trocar 51 is removed. The hinges 133 of the leaflets 127 and 128 facilitate the return of the leaflets 127 and 128 to their at-home position to provide a good seal.

As shown in Figure 8, the valve construction 121 is provided with an upper wall 136 and a central opening 137. The upper wall 136 is tapered so that it becomes progressively thinner in cross-section in a direction towards the central opening 137 and terminating in a full radius at the opening 137 as shown. Thus, the upper and lower surfaces 138 and 139 can be inclined at an angle from the horizontal in opposite directions by a suitable angle such as 6°. The upper wall 136 has a rounded inner margin which circumscribes the central opening 137.

Still another alternative valve construction 141 is shown in FIG. 9 in which a reinforcing ring 142 is provided as an insert adjacent the surface of the wall 62. A liner 143 formed of a elastomeric material similar to the elastomeric material utilized for the body 61 is provided but of a higher



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durometer, as for example, 60 to 80 durometer Shore A. It can be seen the liner 143 covers the ring 142 and also covers the surface 97. The liner of higher durometer material is provided to inhibit cutting of the valve construction by the 5 obturator or trocar 51 when it is inserted through the valve construction 141. The liner 143 can have a suitable wall thickness as, for example, ranging from .03 to .030 inches. A higher durometer silicone or heat-cured rubber also can be utilized for this purpose. The liner 143 serves to inhibit 10 the trocar or obturator 51 from cutting the valve flaps 101 and 102. The thickness of the liner 143 is thin enough so that it does not substantially affect the opening and closing of the leaflets 101 and 102 with respect to the slit 99.

From the foregoing, it can be seen that there has been 15 provided a valve construction which can be utilized with an introducer assembly which serves to permit air or C<sub>2</sub>O or other gas introduced into the abdominal cavity during a laparoscopic procedure from escaping out past the trocar or other instrument introduced through the cannula 13. When a medical 20 device or tool is in place in the introducer assembly, the hermetic seal is established by the upper wall 71 with the circular orifice or hole 73 therein. When the medical device has been removed from the cannula 13, the principal seal is established by the lower wall 76 which has the leaflets 25 forming the seal along the slit. The valve construction is constructed in such a manner so that it cannot be accidentally cut or sliced by a trocar. Similarly, the valve construction is also constructed so that the leaflets cannot be grasped by hooks and the like. The small angle on the outside 30 surface, as for example, 10° greatly reduces the possibility of grasping of the leaflets by a hook. The greater angle of the inside surface of the lower wall as, for example, 15° and greater is to ensure that the lower wall will not be inadvertently cut by the trocar. Also, this possible cutting 35 by the trocar can be minimized or eliminated by utilizing a high durometer liner. By providing reinforcing members within the valve, the valve construction retains its shape during introduction and removal of the medical tools to th

ensure that positive seals are maintained at all times during insertion and withdrawal of the medical tools or instruments.

By providing an improved hinging action for the leaflets of the valve, it is possible to readily withdraw and remove the  
5 medical tool. It also helps to ensure that prolapse does not occur during withdrawal of the medical instrument.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A valve construction for use with an introducer assembly having a tubular member with a valve housing at one end thereof for receiving the valve construction to permit  
5 a medical instrument to be introduced therethrough, the valve construction comprising a body formed of an elastic material, said body having a cylindrical wall with an axially-extending bore which has first and second ends, a first wall formed integral with the cylindrical wall and extending across the  
10 first end of the axially-extending bore, said first wall having a centrally-disposed opening therein aligned with said axially-extending bore, a second wall formed integral with the cylindrical wall and extending across the second end of the axially-extending bore, said second wall having a single slit  
15 therein extending therethrough diametrically of said axially-extending bore to provide first and second semicircular leaflets, said axially-extending bore, said opening in said first wall and said slit in the second wall when seated in said housing permitting a medical instrument to be introduced  
20 therethrough and through the tubular member with at least one seal being formed between the valve construction and the medical instrument, said second wall having inner and outer surfaces with at least the inner surface being inclined outwardly from a plane extending perpendicular to the axis  
25 of the axially-extending bore in a direction towards the axially-extending bore, each of said leaflets having an arcuate base portion and a straight distal portion, said distal portion having a thickness which is less than the thickness of said base portion so as to permit the leaflets to flex and allow  
30 the instrument to pass therethrough, said distal portions of said leaflets having a substantial thickness and abutting each other to provide a keystone-like seal so as to avoid prolapse of the leaflets during removal of the instrument from the valve construction and also to provide a more positive seal as pressure is applied to the outer surface of the second wall.

2. A valve construction as in Claim 1 wherein said first wall has a cross section which decreases in thickness in a direction towards the centrally disposed opening



3. A valve construction as in Claim 2 wherein said first wall has an inner margin which circumscribes the centrally disposed opening and is rounded.

4. A valve construction of Claim 1, wherein said outer surface is inclined from the plane extending perpendicular to the axis of the axially-extending bore in a direction towards the axially-extending bore at an angle of at least 0°.

5. A valve construction as in Claim 4, wherein said outer surface is inclined at an angle ranging from 0° to 85° from the plane extending perpendicular to the axis of the axially-extending bore.

6. A valve construction as in Claim 5, wherein said inner surface is inclined at an angle of at least 10° from the plane extending perpendicular to the axis of the axially-extending bore.

7. A valve construction as in Claim 1, together with a cylindrical reinforcing member for reinforcing the same.

8. A valve construction as in Claim 7, wherein said cylindrical wall has an inner surface and wherein said reinforcing member is disposed adjacent the inner surface of the cylindrical wall.

10. A valve construction as in Claim 1, wherein said inner surface is inclined outwardly from said plane at an angle which is greater than the angle at which said outer surface is inclined outwardly from said plane.

11. An introducer assembly for introducing medical instruments into the body, comprising a tubular member having proximal and distal extremities and a central bore therein, a housing mounted on the proximal extremity of the tubular member, said housing having a recess therein in alignment with said central bore in said tubular member, a valve construction disposed in said housing and having first and second seals, a cap mounted on said housing and serving to retain said valve construction in said housing, said valve construction having a body with integrally formed first and second spaced-apart walls, said first wall having an orifice therein and said second wall having a diametrically-extending slit therein to



form first and second semicircular leaflets, said first and second walls permitting a medical instrument to be inserted therethrough and withdrawn therefrom while maintaining a seal between the instrument and the tubular member, said second wall having an inner surface which is inclined outwardly in a direction towards the axis of said central bore with respect to an imaginary plane extending perpendicular to the axis of the central bore, each of said leaflets having an arcuate base portion and a straight distal portion, said distal portion having a thickness which is less than the thickness of said base portion so as to permit the leaflets to flex and allow the instrument to pass therethrough, said distal portions of said leaflets having a substantial thickness and abutting each other to provide a keystone-like seal so as to avoid prolapse of the leaflets during removal of the instrument from the valve construction and also to provide a more positive seal as pressure is applied to the outer surface of the second wall.

12. An assembly as in Claim 11, wherein said inner surface extends from said plane an angle ranging from 10° to 85°.

13. An assembly as in Claim 11, wherein said valve construction has a cylindrical wall between said first and second walls for forming an axially-extending bore and is provided with a reinforcing ring for reinforcing the cylindrical wall.

14. An assembly as in Claim 11, wherein said valve construction has a cylindrical wall between said first and second walls for forming an axially-extending bore and a liner disposed within the axially-extending bore and on the first surface of the second wall and being formed of a material which is of a higher durometer than the material of said second wall.

15. An assembly as in Claim 11, together with a diaphragm, said diaphragm having a centrally disposed hub, a lock ring disposed within the hub and adapted to engage the tubular member and a threaded knob having interior threads engaging the hub for compressing the lock ring to retain the tubular member in a predetermined longitudinal position within the diaphragm and also to form a fluid-tight seal between the tubular member and the hub.



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16. A valve construction for use with an introducer assembly, substantially as hereinbefore described with reference to the accompanying drawings.

17. An introducer assembly for introducing medical instruments into the body,  
5 substantially as hereinbefore described with reference to the  
accompanying drawings.

DATED this 16th day of August, 1996.

10 **Unisurge, Inc.**

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Patent Attorneys for the applicants.



46616/93

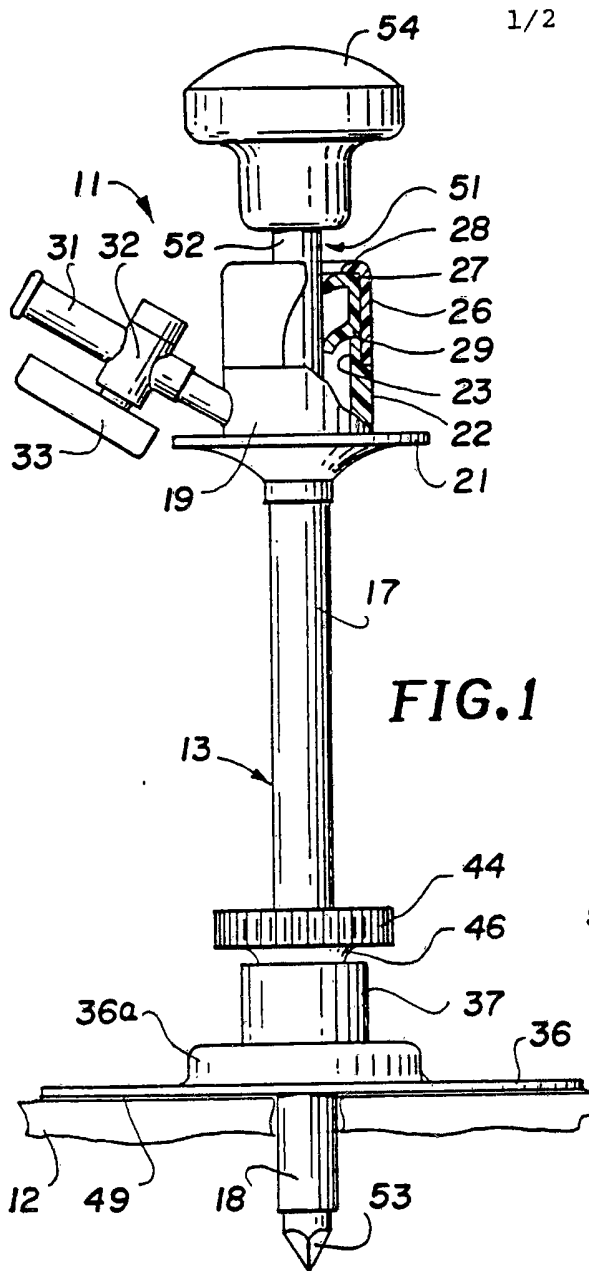


FIG. 1

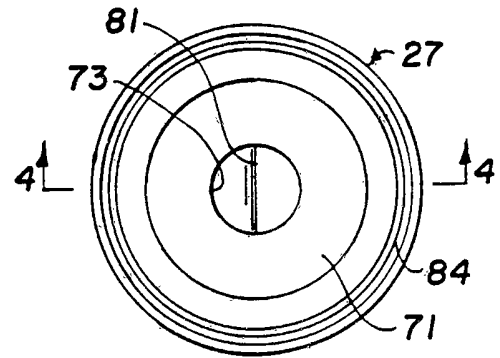


FIG. 3

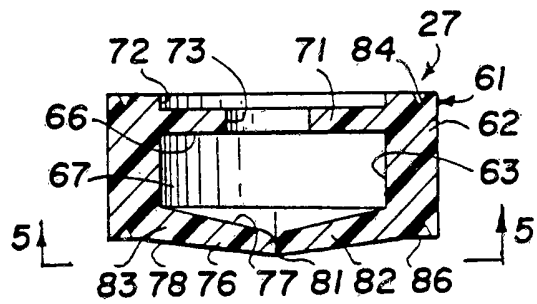


FIG. 4

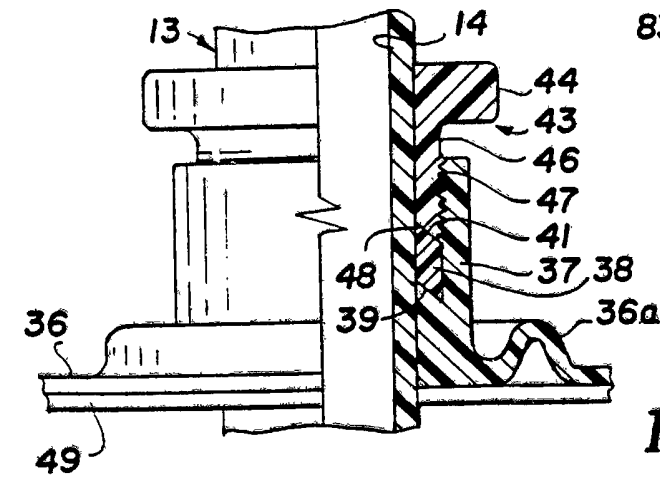


FIG. 2

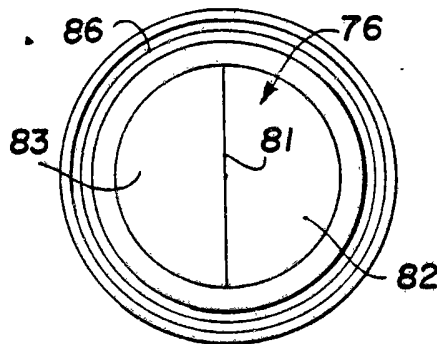


FIG. 5

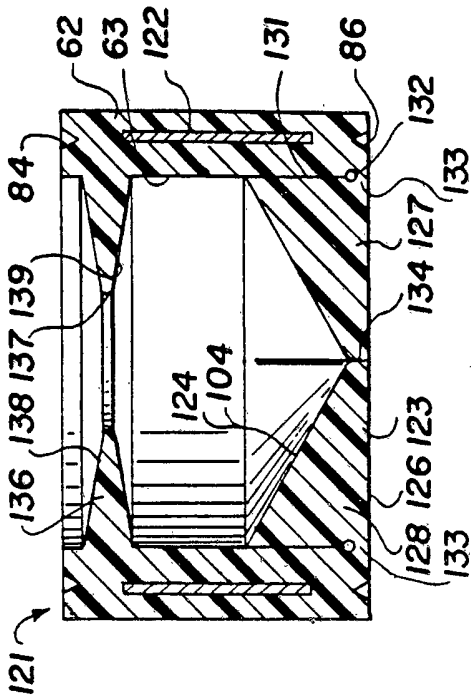


FIG. 8

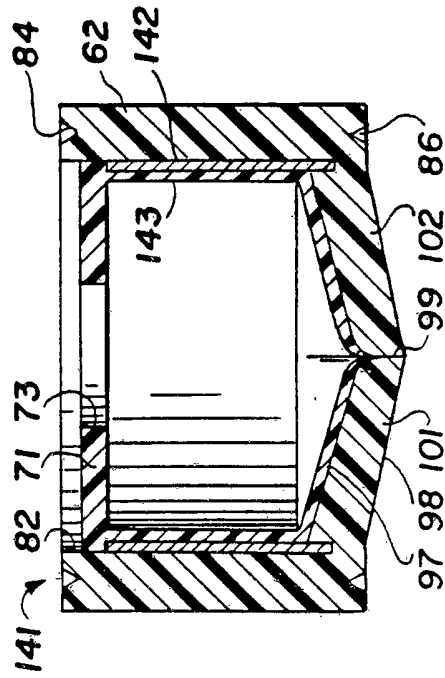


FIG. 9

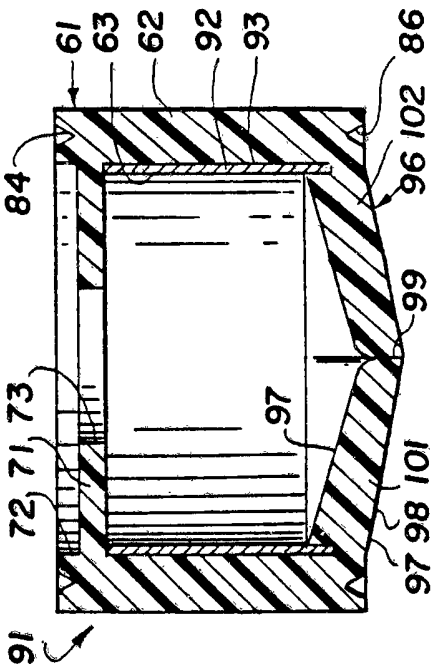


FIG. 6

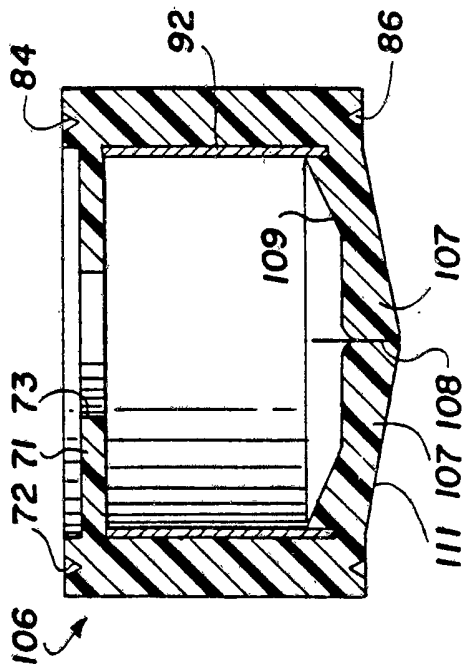


FIG. 7

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/06283

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : A61M 5/178, 5/00; F16K 51/00  
US CL : 604/167, 256; 251/149.1

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 604/167, 256, 164, 905; 251/149.1; 137/843, 844, 845, 846, 847

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US, A, 4,946,133 (JOHNSON ET AL) 07 AUGUST 1990, SEE ENTIRE DOCUMENT	1,6 AND 8 ----- 2-4,7 AND 9
Y	US, A, 5,102,395 (CHEER ET AL) 07 APRIL 1992, SEE COL. 3, LINES 37-46	2
Y	US, A, 3,861,416 (WICHTERLE) 21 JANUARY 1975, SEE ENTIRE DOCUMENT	3,4 AND 7
Y, P	US, A, 5,176,648 (HOLMES ET AL) 05 JANUARY 1993, SEE ENTIRE DOCUMENT	9

Further documents are listed in the continuation of Box C.       See patent family annex.

<ul style="list-style-type: none"> <li>* "A" document defining the general state of the art which is not considered to be part of particular relevance</li> <li>* "E" earlier document published on or after the international filing date</li> <li>* "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>* "O" document referring to an oral disclosure, use, exhibition or other means</li> <li>* "P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul style="list-style-type: none"> <li>* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>* "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>* "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</li> <li>* "&amp;" document member of the same patent family</li> </ul>
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Date of the actual completion of the international search  24 August 1993	Date of mailing of the international search report  <b>SEP 29 1993</b>
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