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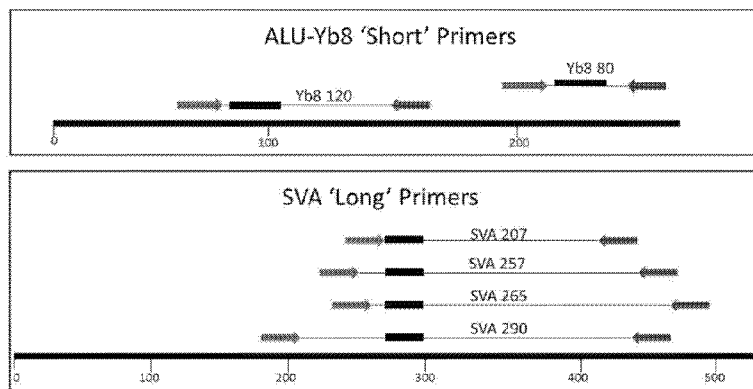
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(54) Title: MULTIPLEXED ASSAY FOR QUANTITATING AND ASSESSING INTEGRITY OF CELL-FREE DNA IN BIOLOGICAL FLUIDS FOR CANCER DIAGNOSIS, PROGNOSIS, AND SURVEILLANCE

FIG. 3



(57) Abstract: A retrotransposable element based multiplexed qPCR assay to robustly quantitate and distinguish cell free DNA integrity and concentration in blood plasma and serum is described. The multiplexed system for characterizing cancer in humans includes a sample of serum, plasma, urine, or other biological fluid, the sample comprising cell free DNA, the cell free DNA comprising long and short retrotransposable element targets and an added internal positive control, the long and short targets being independent of each other, a distinctly labeled TaqMan probe corresponding to each target, a forward primer and a reverse primer corresponding to each target, a DNA standard for generating standard curves, a qPCR system for amplifying the targets and a qPCR data analysis system. The assay provides an accurate, minimally-invasive, rapid, high-throughput, and cost-effective method with the potential to complement or replace existing methods for detection, diagnosis, prognosis, treatment monitoring and/or surveillance of cancer, thereby improving patient outcomes.



## 1 TITLE

2 MULTIPLEXED ASSAY FOR QUANTITATING AND ASSESSING  
3 INTEGRITY OF CELL-FREE DNA IN BIOLOGICAL FLUIDS FOR  
4 CANCER DIAGNOSIS, PROGNOSIS AND SURVEILLANCE

## 5 CLAIM OF PRIORITY

6 [0001] This application makes reference to, incorporates the same herein, and claims all  
7 benefits accruing under 35 U.S.C. §119(e) from an application for *MULTIPLEXED ASSAY FOR*  
8 *QUANTITATING AND ASSESSING INTEGRITY OF CELL-FREE DNA IN BIOLOGICAL*  
9 *FLUIDS FOR CANCER DIAGNOSIS, PROGNOSIS AND SURVEILLANCE*, earlier filed in the  
10 United States Patent and Trademark Office as a provisional application under 35 U.S.C. § 111(b)  
11 on 29 December 2014 and duly assigned Serial No. 62/097,400 and from another application of  
12 the same title earlier filed in the United States Patent and Trademark Office as a provisional  
13 application under 35 U.S.C. § 111(b) on 20 February 2015 and duly assigned Serial No.  
14 62/118,666.

## 15 SEQUENCE LISTING

16 [0002] Sequences are submitted concurrently with this application via EFS-Web as an  
17 ASCII text file named P60279\_Seqprimerprobe122915.txt, created on 29 December 2015, the  
18 file having a size of 7000 bytes. All sequences in the latter ASCII text file are hereby  
19 incorporated by reference.

1

**BACKGROUND OF THE INVENTION**

2

**1. Field of the Invention**

3 [0003] A multiplexed quantitative polymerase chain reaction (qPCR) process for determining the  
4 integrity and concentration of cell free DNA in blood plasma, blood serum, urine, or other  
5 biological fluids for cancer diagnosis, prognosis and surveillance.

6

**2. Impetus for the Invention**

7 [0004] Cancer is one of the leading causes of death in developed, and increasingly also  
8 developing, nations. According to the World Health Organization, in 2012, over 14 million new  
9 cases were reported and over 8 million people died worldwide (Atlanta: American Cancer  
10 Society, Cancer Facts & Figures, 2014). Colorectal cancer (CRC) is the third most commonly  
11 diagnosed cancer and third-leading cause of cancer deaths in the United States. In 2014, nearly  
12 140,000 diagnoses and 50,000 deaths are expected in the U.S. (Atlanta: American Cancer  
13 Society, Colorectal Cancer: Facts & Figures 2014-2016). CRC is often curable if detected early,  
14 and outcomes can be improved with post-treatment monitoring and surveillance for recurrence.

15 [0005] Effective cancer management depends on early diagnosis, accurate tumor staging, and  
16 consistent monitoring.

17

**SUMMARY OF THE INVENTION**

18 [0006] The present application describes a process whereby retrotransposon interspersed element  
19 ('RE') markers can be simultaneously assayed in a single, highly sensitive multiplex qPCR  
20 reaction, with the inclusion of an internal positive control to monitor the presence of PCR  
21 inhibitors potentially present in blood serum or plasma. This method enables development of an

1 accurate, rapid, affordable, minimally invasive, high throughput, cost effective clinical test with  
2 the potential to complement or replace existing procedures and improve cancer diagnosis,  
3 prognosis, surveillance and/or treatment monitoring.

4 [0007] Accordingly, one object of the invention is to develop a multiplexed qPCR method that  
5 accurately quantitates cfDNA in biological fluids including blood plasma or serum.

6 [0008] Another object of the invention is to develop a multiplexed qPCR method that accurately  
7 provides a determination of the extent of fragmentation or integrity of cfDNA in biological fluids  
8 including blood plasma or serum.

9 [0009] Another object of the invention is to develop a three target (one short RE target, one long  
10 RE target, and one internal positive control synthetic target) multiplex RE-qPCR assay to  
11 accurately and robustly obtain cfDNA concentration and DNA integrity values from normal and  
12 CRC patients by direct qPCR from plasma/serum samples without DNA purification.

13 [0010] One embodiment of the invention takes the form of a multiplexed method to quantitate  
14 the integrity of circulating cell free human DNA, comprising providing a sample of serum,  
15 plasma, urine, or other biological fluid, the sample comprising cell free human DNA, the cell  
16 free human DNA comprising a short nucleic acid fragment including less than 180 bp and a long  
17 nucleic acid fragment including more than 180 bp, the short nucleic acid fragment and the long  
18 nucleic acid fragment being retrotransposable element genomic targets that are independent of  
19 each other, using a quantitative polymerase chain reaction (qPCR) method to separately and  
20 simultaneously quantitate the short nucleic acid fragment and the long nucleic acid fragment,  
21 obtaining for each quantitated nucleic acid fragment a threshold cycle number, comparing each  
22 threshold cycle number with a standard curve to determine for each quantitated nucleic acid  
23 fragment a quantity of the DNA fragment that was present in the sample, and calculating a ratio

1 of the quantity of the long nucleic acid fragment to the quantity of the short nucleic acid  
2 fragment, but the present invention is not limited thereto.

3 [0011] In certain embodiments of the multiplexed method of the present invention, the  
4 retrotransposable element genomic targets may be each independently an interspersed ALU,  
5 SVA, or LINE element. In certain embodiments, these retrotransposable element genomic  
6 targets may each have a copy number in excess of 1000 copies per genome.

7 [0012] Some embodiments of the multiplexed method of the present invention further comprise  
8 a step of adding a synthetic DNA sequence as an internal positive control prior to the using step,  
9 quantitating the internal positive control in the using step, and utilizing the quantitative internal  
10 positive control result in the comparing step to improve the accuracy and reliability of the  
11 comparing step.

12 [0013] In embodiments of the multiplexed method of the present invention, the use of an internal  
13 positive control enables a determination of the concentration of cell free DNA in the sample.

14 [0014] In some embodiments of the multiplexed method of the present invention, the sample of  
15 serum, plasma, urine, or other biological fluid may be placed in a single tube, and the qPCR  
16 reactions for quantitation of the nucleic acid fragments may be carried out in that same single  
17 tube.

18 [0015] In some embodiments of the multiplexed method of the present invention, the ratio of the  
19 quantity of the long nucleic acid fragment to the quantity of the short nucleic acid fragment may  
20 serve as an integrity value of circulating cell free DNA for diagnostic applications. These  
21 diagnostic applications may include one or more of the detection, diagnosis, prognosis, treatment  
22 monitoring, and surveillance of cancer.

1 [0016] In certain embodiments, the multiplexed method of the present invention may include a  
2 step of deactivating or eliminating proteins that bind to the short nucleic acid fragment or the  
3 long nucleic acid fragment. This may be done by mixing the sample with a buffer including a  
4 surfactant and chelating agent, enzymatically digesting the protein, then using heat to deactivate  
5 and insolubilize the digested protein, followed by centrifugation. Alternatively, dilution of the  
6 sample using 40 parts sterile water to one part sample by volume may have the effect of  
7 deactivating or eliminating these proteins.

8 [0017] In certain embodiments, the multiplexed method of the present invention may include a  
9 step of providing a hybridization probe corresponding to the short nucleic acid fragment and a  
10 probe corresponding to the long nucleic acid fragment. In certain embodiments, each probe may  
11 include an observable label. In some embodiments, the observable labels may be fluorescent  
12 labels that are distinct from each other.

13 [0018] In some embodiments, the multiplexed method of the present invention may include a  
14 step of separating amplification products obtained from the qPCR reaction using electrophoresis.

15 [0019] In some embodiments, the multiplexed method of the present invention may include a  
16 step of determining an optimum temperature for the qPCR reaction.

17 [0020] The multiplexed method of the present invention may include a sample that comes from  
18 an individual who is suffering from cancer or who is at risk for developing cancer.

19 [0021] In certain embodiments, the present invention may take the form of a multiplexed system  
20 for characterizing cancer in humans including a sample of serum, plasma, urine, or other  
21 biological fluid, the sample comprising cell free DNA, the cell free DNA comprising two  
22 retrotransposable element targets, the first target being a multi-copy retrotransposon having less

1 than 180 bp, the second target being another multi-copy retrotransposon having more than 180  
2 bp, the first target and the second target being independent of each other, the sample further  
3 comprising an added third target, the third target being an internal positive control comprising  
4 synthetic DNA; a TaqMan probe corresponding to each of the first target, the second target and  
5 the third target, each probe comprising a detectable label that is distinct from the labels  
6 incorporated into the other probes; a forward primer and a reverse primer for amplifying each of  
7 the first target, the second target and the third target; a DNA standard for generating standard  
8 curves for the first target and the second target; a qPCR system for simultaneously amplifying  
9 the first target, the second target and the third target and for producing a threshold cycle number  
10 for each target; and a qPCR data analysis system for producing DNA quantitation values for each  
11 target by interpolation using threshold cycle numbers and linear standard curves and for using  
12 the DNA quantitation values to produce an indication of the integrity of the cell free DNA.

13 [0022] In other embodiments of the multiplexed system of the present invention, the first target  
14 is a multi-copy retrotransposon having less than N bp, and the second target is another multi-  
15 copy retrotransposon having more than N bp, where N is 125 bp, 130 bp, 140 bp, 150 bp, 160 bp,  
16 170 bp, 190 bp, 200 bp, or 205 bp.

17 [0023] In some embodiments of the multiplexed system of the present invention, the detectable  
18 labels corresponding to the first target, the second target and the third target may be fluorophores  
19 that are distinct from each other.

20 [0024] In some embodiments of the multiplexed system of the present invention, the qPCR  
21 system may amplify the first target, the second target and the third target without prior  
22 purification of the first, second, or third DNA targets.

1 [0025] In some embodiments, the multiplexed system of the present invention may include DNA  
2 polymerase, and the qPCR system may amplify a template DNA fragment of each of the first  
3 target, the second target and the third target after deactivation or elimination of protein bound to  
4 at least one of a template DNA and DNA polymerase.

5 [0026] In certain embodiments of the multiplexed system of the present invention, the  
6 retrotransposable element genomic targets may be each independently an interspersed ALU,  
7 SVA, or LINE element.

8 [0027] In certain embodiments of the multiplexed system of the present invention, the first target  
9 may be an ALU element having a size selected from the group consisting of 80 bp, 119 bp, 120  
10 bp and 123 bp, and the second target may be an SVA element having a size selected from the  
11 group consisting of 207 bp, 257 bp, 265 bp, 290 bp, 355 bp, 367 bp, 399 bp and 411 bp.

12 [0028] In some embodiments of the multiplexed system of the present invention, the first target  
13 may be an ALU element of the Yb8 subfamily having a size of about 80 bp, and the second  
14 target may be an SVA element having a size of about 207 bp, but the first target and the second  
15 target are not limited thereto. In other embodiments, the first target Yb8 ALU element may have  
16 a size of about 119 bp, about 120 bp, or about 123 bp, and the second target SVA element may  
17 have a size of about 257 bp, about 265 bp, about 290 bp, about 355 bp, about 367 bp, about 399  
18 bp, or about 411 bp. Embodiments of the present invention may be formed from any possible  
19 pairing of a suitable first target ALU element with a suitable second target SVA element.

20 [0029] In some embodiments of the multiplexed system of the present invention, the third target,  
21 which is an internal positive control comprising synthetic DNA, may have a size of about 172  
22 base pairs, but the size of the internal positive control sequence is not limited thereto.

1 [0030] In some embodiments of the multiplexed system of the present invention, the first  
2 retrotransposable element target and the second retrotransposable element target may each have a  
3 copy number in excess of 1000 copies per genome.

4 [0031] In some embodiments of the multiplexed system of the present invention, one or more  
5 additional retrotransposable element targets found in the cell free DNA may be added to the  
6 multiplex. Such multiplexed systems may further comprise a distinctly labeled TaqMan probe  
7 corresponding to each target and a forward and reverse primer set corresponding to each target,  
8 the qPCR system simultaneously amplifying each target.

9 [0032] In some embodiments, the present invention may include a kit for determining  
10 concentration and integrity of cell free DNA in biological fluids, the kit comprising a set of  
11 primers corresponding to each of a short retrotransposable element genomic target sequence and  
12 a long retrotransposable element genomic target sequence, the short retrotransposable element  
13 being shorter than 180 bp in length, the long retrotransposable element being longer than 180 bp  
14 in length, the short retrotransposable element and the long retrotransposable element being  
15 independent of each other, each set of primers comprising a forward primer and a reverse primer;  
16 a synthetic genomic sequence suitable for use as an internal positive control; and one or more  
17 reagents for performing quantitative real-time polymerase chain reaction (PCR) amplification.

18 [0033] In some embodiments, the kit of the present invention may include a vacuum-filled test  
19 tube for collecting a sample of whole blood or an anticoagulant-treated tube for collecting a  
20 sample of whole blood and producing a plasma sample.

21 [0034] In some embodiments, the kit of the present invention may include a probe corresponding  
22 to the short target sequence and/or a probe corresponding to the long target sequence. Each  
23 probe may include an observable label. The observable labels may be fluorescent organic dyes.



1 [0043] Figure 5A shows an amplification plot for the SYBR qPCR analysis of the SVA-399  
2 target using standard DNA (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg), with positive control and no  
3 template control.

4 [0044] Figure 5B shows a standard curve for the SYBR qPCR analysis of the SVA-399 target  
5 using standard DNA (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg).

6 [0045] Figure 5C shows a melt curve for the SYBR qPCR analysis of the SVA-399 target using  
7 standard DNA (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg), with positive control and no template  
8 control.

9 [0046] Figure 5D shows a melt peak plot for the SYBR qPCR analysis of the SVA-399 target  
10 using standard DNA (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg), with positive control and no  
11 template control.

12 [0047] Figure 6A shows an amplification plot for the SYBR qPCR analysis of the Alu-115 target  
13 using standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

14 [0048] Figure 6B shows a standard curve for the SYBR qPCR analysis of the Alu-115 target using  
15 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg).

16 [0049] Figure 6C shows a melt curve for the SYBR qPCR analysis of the Alu-115 target using  
17 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

18 [0050] Figure 6D shows a melt peak plot for the SYBR qPCR analysis of the Alu-115 target using  
19 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

20 [0051] Figure 7A shows an amplification plot for the SYBR qPCR analysis of the Alu-247 target  
21 using standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

22 [0052] Figure 7B shows a standard curve for the SYBR qPCR analysis of the Alu-247 target using  
23 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg).

1 [0053] Figure 7C shows a melt curve for the SYBR qPCR analysis of the Alu-247 target using  
2 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

3 [0054] Figure 7D shows a melt peak plot for the SYBR qPCR analysis of the Alu-247 target using  
4 standard DNA (5 ng, 1 ng, 200 pg, 40 pg, and 8 pg), with positive control and no template control.

5 [0055] Figure 8A shows an amplification plot for the Yb8-80 target of a real-time PCR multiplex  
6 of the Yb8-80 and SVA-207 targets, the quantification of DNA in each sample being determined  
7 by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg), with  
8 amplification of the Yb8-80 target, positive control and no template control.

9 [0056] Figure 8B shows a standard curve for the Yb8-80 target of a real-time PCR multiplex of  
10 the Yb8-80 and SVA-207 targets.

11 [0057] Figure 8C shows an amplification plot for the SVA-207 target of a real-time PCR  
12 multiplex of the Yb8-80 and SVA-207 targets, the quantification of DNA in each sample being  
13 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
14 pg), with amplification of the SVA-207 target, positive control and no template control.

15 [0058] Figure 8D shows a standard curve for the SVA-207 target of a real-time PCR multiplex  
16 of the Yb8-80 and SVA-207 targets.

17 [0059] Figure 8E shows an amplification plot of the internal positive control target within a real-  
18 time PCR multiplex of the Yb8-80 and SVA-207 targets, with amplification of the internal  
19 positive control target.

20 [0060] Figure 9A shows an amplification plot for the Yb8-80 target of a real-time PCR multiplex  
21 of the Yb8-80 and SVA-257 targets, the quantification of DNA in each sample being determined  
22 by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6 pg), with  
23 amplification of the Yb8-80 target, positive control and no template control.

1 [0061] Figure 9B shows a standard curve for the Yb8-80 target of a real-time PCR multiplex of  
2 the Yb8-80 and SVA-257 targets.

3 [0062] Figure 9C shows an amplification plot for the SVA-257 target of a real-time PCR  
4 multiplex of the Yb8-80 and SVA-257 targets, the quantification of DNA in each sample being  
5 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
6 pg), with amplification of the SVA-257 target, positive control and no template control.

7 [0063] Figure 9D shows a standard curve for the SVA-257 target of a real-time PCR multiplex  
8 of the Yb8-80 and SVA-257 targets.

9 [0064] Figure 9E shows an amplification plot of the internal positive control target within a real-  
10 time PCR multiplex of the Yb8-80 and SVA-257 targets, with amplification of the internal  
11 positive control target.

12 [0065] Figure 10A shows an amplification plot for the Yb8-80 target of a real-time PCR  
13 multiplex of the Yb8-80 and SVA-265 targets, the quantification of DNA in each sample being  
14 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
15 pg), with amplification of the Yb8-80 target, positive control and no template control.

16 [0066] Figure 10B shows a standard curve for the Yb8-80 target of a real-time PCR multiplex of  
17 the Yb8-80 and SVA-265 targets.

18 [0067] Figure 10C shows an amplification plot for the SVA-265 target of a real-time PCR  
19 multiplex of the Yb8-80 and SVA-265 targets, the quantification of DNA in each sample being  
20 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
21 pg), with amplification of the SVA-265 target, positive control and no template control.

22 [0068] Figure 10D shows a standard curve for the SVA-265 target of a real-time PCR multiplex  
23 of the Yb8-80 and SVA-265 targets.

1 [0069] Figure 10E shows an amplification plot of the internal positive control target within a  
2 real-time PCR multiplex of the Yb8-80 and SVA-265 targets, with amplification of the internal  
3 positive control target.

4 [0070] Figure 11A shows an amplification plot for the Yb8-80 target of a real-time PCR  
5 multiplex of the Yb8-80 and SVA-290 targets, the quantification of DNA in each sample being  
6 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
7 pg), with amplification of the Yb8-80 target, positive control and no template control.

8 [0071] Figure 11B shows a standard curve for the Yb8-80 target of a real-time PCR multiplex of  
9 the Yb8-80 and SVA-290 targets.

10 [0072] Figure 11C shows an amplification plot for the SVA-290 target of a real-time PCR  
11 multiplex of the Yb8-80 and SVA-290 targets, the quantification of DNA in each sample being  
12 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
13 pg), with amplification of the SVA-290 target, positive control and no template control.

14 [0073] Figure 11D shows a standard curve for the SVA-290 target of a real-time PCR multiplex  
15 of the Yb8-80 and SVA-290 targets.

16 [0074] Figure 11E shows an amplification plot of the internal positive control target within a  
17 real-time PCR multiplex of the Yb8-80 and SVA-290 targets, with amplification of the internal  
18 positive control target.

19 [0075] Figure 12A shows an amplification plot for the Yb8-120 target of a real-time PCR  
20 multiplex of the Yb8-120 and SVA-207 targets, the quantification of DNA in each sample being  
21 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
22 pg), with amplification of the Yb8-120 target, positive control and no template control.

1 [0076] Figure 12B shows a standard curve for the Yb8-120 target of a real-time PCR multiplex  
2 of the Yb8-120 and SVA-207 targets.

3 [0077] Figure 12C shows an amplification plot for the SVA-207 target of a real-time PCR  
4 multiplex of the Yb8-120 and SVA-207 targets, the quantification of DNA in each sample being  
5 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
6 pg), with amplification of the SVA-207 target, positive control and no template control.

7 [0078] Figure 12D shows a standard curve for the SVA-207 target of a real-time PCR multiplex  
8 of the Yb8-120 and SVA-207 targets.

9 [0079] Figure 12E shows an amplification plot of the internal positive control target within a  
10 real-time PCR multiplex of the Yb8-120 and SVA-207 targets, with amplification of the internal  
11 positive control target.

12 [0080] Figure 13A shows an amplification plot for the Yb8-120 target of a real-time PCR  
13 multiplex of the Yb8-120 and SVA-257 targets, the quantification of DNA in each sample being  
14 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
15 pg), with amplification of the Yb8-120 target, positive control and no template control.

16 [0081] Figure 13B shows a standard curve for the Yb8-120 target of a real-time PCR multiplex  
17 of the Yb8-120 and SVA-257 targets.

18 [0082] Figure 13C shows an amplification plot for the SVA-257 target of a real-time PCR  
19 multiplex of the Yb8-120 and SVA-257 targets, the quantification of DNA in each sample being  
20 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
21 pg), with amplification of the SVA-257 target, positive control and no template control.

22 [0083] Figure 13D shows a standard curve for the SVA-257 target of a real-time PCR multiplex  
23 of the Yb8-120 and SVA-257 targets.

1 [0084] Figure 13E shows an amplification plot of the internal positive control target within a  
2 real-time PCR multiplex of the Yb8-120 and SVA-257 targets, with amplification of the internal  
3 positive control target.

4 [0085] Figure 14A shows an amplification plot for the Yb8-120 target of a real-time PCR  
5 multiplex of the Yb8-120 and SVA-265 targets, the quantification of DNA in each sample being  
6 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
7 pg), with amplification of the Yb8-120 target, positive control and no template control.

8 [0086] Figure 14B shows a standard curve for the Yb8-120 target of a real-time PCR multiplex  
9 of the Yb8-120 and SVA-265 targets.

10 [0087] Figure 14C shows an amplification plot for the SVA-265 target of a real-time PCR  
11 multiplex of the Yb8-120 and SVA-265 targets, the quantification of DNA in each sample being  
12 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
13 pg), with amplification of the SVA-265 target, positive control and no template control.

14 [0088] Figure 14D shows a standard curve for the SVA-265 target of a real-time PCR multiplex  
15 of the Yb8-120 and SVA-265 targets.

16 [0089] Figure 14E shows an amplification plot of the internal positive control target within a  
17 real-time PCR multiplex of the Yb8-120 and SVA-265 targets, with amplification of the internal  
18 positive control target.

19 [0090] Figure 15A shows an amplification plot for the Yb8-120 target of a real-time PCR  
20 multiplex of the Yb8-120 and SVA-290 targets, the quantification of DNA in each sample being  
21 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
22 pg), with amplification of the Yb8-120 target, positive control and no template control.

1 [0091] Figure 15B shows a standard curve for the Yb8-120 target of a real-time PCR multiplex  
2 of the Yb8-120 and SVA-290 targets.

3 [0092] Figure 15C shows an amplification plot for the SVA-290 target of a real-time PCR  
4 multiplex of the Yb8-120 and SVA-290 targets, the quantification of DNA in each sample being  
5 determined by use of a calibration curve with serial dilutions (1 ng, 200 pg, 40 pg, 8 pg, and 1.6  
6 pg), with amplification of the SVA-290 target, positive control and no template control.

7 [0093] Figure 15D shows a standard curve for the SVA-290 target of a real-time PCR multiplex  
8 of the Yb8-120 and SVA-290 targets.

9 [0094] Figure 15E shows an amplification plot of the internal positive control target within a  
10 real-time PCR multiplex of the Yb8-120 and SVA-290 targets, with amplification of the internal  
11 positive control target.

## 12 DETAILED DESCRIPTION OF THE INVENTION

13 [0095] Many current diagnostic procedures are invasive, expensive and unpleasant. In multiple  
14 recent published studies, circulating cell-free DNA (cfDNA) concentration and integrity  
15 (fragmentation pattern) has shown promise as a highly sensitive and specific, minimally invasive  
16 blood biomarker for multiple cancer types (see, *e.g.*, Hao, TB, et al., *Circulating cell-free DNA*  
17 *in serum as a biomarker for diagnosis and prognostic prediction of colorectal cancer*, British  
18 *Journal of Cancer* 2014: 1-2, doi 10.1038/bjc.2014.470; Gonzalez-Masía, et al., *Circulating*  
19 *nucleic acids in plasma and serum (CNAPS): applications in oncology*, *Onco. Targets Ther.*  
20 6:819-832 (2013); Yu, J, et al., *Recent advances in clinical applications of circulating cell-free*  
21 *DNA integrity*, *Lab Med.* 45(1): 6-12 (2014)). A number of these studies have indicated the  
22 utility of a highly sensitive assay to measure cfDNA integrity (fragmentation pattern) and

1 concentration based on quantitation of an ALU element, the most common type of  
2 retrotransposable elements (RE) in the human genome (Table 1). RE-based methods for  
3 quantitating DNA are attractive due to their superior sensitivity (multi-copy representation in the  
4 genome) and robustness.

5 [0096] The most commonly employed cfDNA integrity/concentration assessment method, the  
6 ALU 247/115bp index, targets sequences of a single ALU element, and thus the two fragments  
7 analyzed are not independent. This precludes use of these targets in a single multiplexed assay  
8 for maximum accuracy, efficiency and practical clinical use. This prior art method poses several  
9 particular problems. First, evaluating the first target and the second target separately rather than  
10 multiplexing into a single reaction mixture introduces well-to-well variability into the results.  
11 Every PCR reaction is somewhat different from every other PCR reaction, and experimental  
12 variation in set-up steps, such as variation in pipetting volumes, introduces error and can impact  
13 the results. Secondly, data presented herein demonstrates that the primers used in prior art  
14 studies to amplify these specific 247bp/115bp targets show poor primer specificity, with false  
15 signals being generated from non-template controls. Thirdly, single-plex amplification prohibits  
16 the incorporation of an internal PCR control. The use of an internal PCR control is critical for  
17 confirming the success of the reaction and for providing confidence that other experimental  
18 factors such as the presence of PCR inhibitors in the sample have not interfered. Additionally,  
19 single-plex amplification of each target is cumbersome, more labor-intensive and less cost  
20 effective than is running a multiplexed amplification.

21 [0097] One of the cancer types studied using cell free DNA integrity is colorectal cancer (CRC).  
22 The current gold-standard for CRC diagnosis and staging is colonoscopy and subsequent  
23 histological examination. While specific and accurate, colonoscopy is invasive, expensive, and

1 poses some risks; all of which decrease patient compliance to screening recommendations and  
2 discourage routine monitoring. In CRC and a few other cancer types, tissue biopsy is  
3 supplemented with detection of cancer protein biomarkers in blood serum, e.g. carcinoembryonic  
4 antigen (CEA). Such assays have the significant advantage of being minimally invasive and also  
5 do not require immediate localization of the tumor. Nevertheless, these assays suffer from  
6 limited sensitivity. CEA, one component of the current standard of care for CRC post-treatment  
7 monitoring, has relatively low sensitivity and specificity for early (stages I and II) and late  
8 (stages III and IV) disease (early: 36% sensitivity and 87% specificity; late: 74% sensitivity and  
9 83% specificity) (Fakih, M.G.; Padmanabhan, A., *CEA Monitoring in Colorectal Cancer*,  
10 *Oncology* 20(6): 579-587 (2006)). Given this performance, CEA is not recommended for CRC  
11 diagnosis according to the National Comprehensive Cancer Network guidelines for CRC (Ms-  
12 PSEE, Hunt, S., NCCN, Clinical Practice Guidelines in Oncology (NCCN Guidelines®) Colon  
13 Cancer, 2013).

14 [0098] *cfDNA: A brief overview of biology and physiology*

15 [0099] Characterization of cell-free DNA (cfDNA), DNA found in circulation in human blood  
16 plasma and serum, has emerged as an exciting prospect for a new generation of blood-based  
17 tools for cancer detection, monitoring and surveillance. Nucleic acid circulation in human blood  
18 plasma was first reported in 1948 (Mandel P; Metais P., *Les acides nucleiques du plasma sanguin*  
19 *chez l'Homme*, C. R. Acad. Sci. Paris 142: 241-243 (1948)). Leon, et al., (1977) were the first to  
20 report that mean cfDNA levels were significantly higher in the serum of patients with malignant  
21 cancers versus healthy patients (Leon, SA; Shapiro, B; Sklaroff, DM; Yaros, MJ, *Free DNA in*  
22 *the Serum of Cancer Patients and the Effect of Therapy*, *Cancer Research* 1977: 646-650). In the

1 past two decades, many details of cfDNA biology, and the relationship between cfDNA and  
2 disease, have been elucidated. A brief primer of these studies is provided below, with emphasis  
3 on aspects of cfDNA biology that are pertinent to our specific application.

4 [0100] Circulating cfDNA is derived from both the nuclear and mitochondrial genomes of  
5 normal and tumor cells (Mandel and Metais 1948, referenced *supra*; Zhong, S; Ng, MCY; Lo,  
6 YMD; Chan, JCN; Johnson, PJ; Kong H., *Presence of mitochondrial tRNA<sup>Leu(UUR)</sup> A to G 3243*  
7 *mutation in DNA extracted from serum and plasma of patients with type 2 diabetes mellitus*, J.  
8 Clin. Pathol. 53: 466-469 (2000)). Both coding and noncoding portions of the genome are  
9 represented among circulating cfDNA (Bettegowda, C, et al., *Detection of Circulating Tumor*  
10 *DNA in Early- and Late-Stage Human Malignancies*, Sci. Transl. Med. 6(224): 224ra24 (2014),  
11 doi:10.1126/scitranslmed.3007094.Detection). Although several mechanisms are believed to  
12 contribute to the circulating cfDNA pool, including spontaneous release of free, exosome-  
13 encapsulated, and microvesicle-encapsulated DNA into the bloodstream, cell death is the major  
14 generator of circulating cfDNAs (Jahr, S; Hentze, H; Englisch, S; Hardt, D; Fackelmayer, FO;  
15 Hesch, R, *DNA Fragments in the Blood Plasma of Cancer Patients : Quantitations and Evidence*  
16 *for Their Origin from Apoptotic and Necrotic Cells*, Cancer Research 61:1659-1665(2001)).  
17 Cell turnover in normal cells is ordinarily due to apoptosis, which results in stereotyped sized  
18 fragments of DNA: a monomeric form composed of ~180 bp fragments of DNA and associated  
19 nucleosomes, and reduced amounts of oligomeric forms. *Id.* Alternatively, tumor cells turn over  
20 using a diversity of cell death pathways, not only apoptosis, but also necrosis, autophagy, and  
21 mitotic catastrophe (Jin, Z; El-Deiry, WS, *Overview of Cell Death Signaling Pathways*, Cancer  
22 Biology & Therapy 4(2): 139-163 (2005), available at <http://fly-bay.net/journals/cbt/jin4-2.pdf>  
23 (accessed 15 December 2014)). Non-apoptotic pathways non-specifically and incompletely

1 degrade DNA, generating substantially longer DNA fragments, up to 21 kilobases in the case of  
2 necrosis (Jahr, S., cited *supra*). Differences in the rate of cell death and type of cell death  
3 pathway utilized between normal and cancer cells lead to distinct characteristics of cfDNA pools  
4 that distinguish patients with and without cancer. cfDNAs have variable half-life within the  
5 body, ranging from minutes to hours (Lo YMD; Zhang J; Leung TN; Lau TK; Chang AMZ;  
6 Hjelm NM, *Rapid clearance of fetal DNA from maternal plasma*, Am. J. Hum. Genet. 64: 218-  
7 224 (1999); Emlen W; Mannik M., *Effect of DNA size and strandedness on the in vivo clearance*  
8 *and organ localization of DNA*, Clin. Exp. Immunol. 56(1): 185-192 (1984)). Short half-life  
9 implies that circulating cfDNA levels provide a dynamic measure of the physiological and  
10 pathological state of an individual. Finally, there is evidence that a small fraction of circulating  
11 cfDNA from blood is able to pass the kidney barrier and enter urine. These cfDNAs are called  
12 'trans-renal' cfDNAs (Su Y-H, et al., *Human Urine Contains Small, 150 to 250 Nucleotide-*  
13 *Sized, Soluble DNA Derived from the Circulation and May Be Useful in the Detection of*  
14 *Colorectal Cancer*, J. Molecular Diagnostics, 6(2): 101-107 (2004); Botezatu I, et al., *Genetic*  
15 *analysis of DNA excreted in urine: A new approach for detecting specific genomic DNA*  
16 *sequences from cells dying in an organism*, Clin. Chem. 46(8): 1078-1084 (2000)). The specific  
17 physiology of trans-renal cfDNAs awaits detailed exploration.

18 **[0101]** cfDNA and cancer

19 **[0102]** Circulating cfDNAs from patients with and without cancer differ in a number of ways.  
20 Tumor genomes harbor specific genetic and epigenetic alterations that distinguish them from  
21 normal genomes, and these differences are reflected in cfDNAs. Nonspecific characteristics of  
22 cfDNA, such as concentration and integrity, differ between cancer patients and control subjects

1 due to the specific mechanisms of cfDNA release into the blood by normal versus tumor cells.  
2 cfDNA concentration and integrity have often been found to be elevated in patients with cancer  
3 due to high rate of tumor cell death (reviewed in Schwarzenbach H; Hoon DSB; Pantel K., *Cell-*  
4 *free nucleic acids as biomarkers in cancer patients*, Nature Reviews Cancer 11: 426-437 (2011),  
5 doi:10.1038/nrc3066; González-Masiá, JA; García-Olmo, D; García-Olmo, DC, *Circulating*  
6 *nucleic acids in plasma and serum (CNAPS): Applications in oncology*, Onco. Targets. Ther. 6:  
7 819-832 (2013)). However, absolute cfDNA concentration significantly varies among currently  
8 employed assays, significantly hampering the ability to compare results across studies. There is  
9 currently no standardized, validated, commercially available cfDNA concentration and integrity  
10 assay. There are no reports in the prior art of using a multiplexed qPCR system of the kind  
11 described herein for accurate simultaneous measurement of concentration and integrity of Cell  
12 Free DNA.

13 [0103] cfDNA integrity has emerged as a particularly promising method for detecting and  
14 monitoring cancer. This method is based on the fact that normal and tumor cells undergo  
15 different types of cell death, leading to different size cfDNA products in the blood, as explained  
16 above. cfDNA in patients with cancer is expected to be, on average, longer, and therefore of  
17 higher integrity than in patients without cancer. While some studies established an integrity  
18 index based on one or a small number of genes, Umetani, et al., pioneered the use of an ALU  
19 retrotransposon-based integrity index for cfDNA assessment (Umetani N, et al., *Increased*  
20 *integrity of free circulating DNA in sera of patients with colorectal or periampullary cancer:*  
21 *Direct quantitative PCR for ALU repeats*, Clin. Chem. 52(6): 1062-1069 (2006),  
22 doi:10.1373/clinchem.2006.068577; denoted Umetani 2006b in Table 1; Hoon, et al., *Use of free*  
23 *circulating DNA for diagnosis, prognosis, and treatment of cancer funding*, US 2009/0280479

1 AI). They defined integrity as the ratio of a 247 bp fragment (ALU 247) versus 115 bp fragment  
2 (ALU 115) of a single ALU element. ALU 115 measures the total cfDNA concentration and  
3 ALU 247 measures tumor-derived cfDNA. Using their ALU 247/115 integrity index, Umetani,  
4 et al., demonstrated that DNA integrity and concentration were significantly higher in patients  
5 with CRC, periampullary cancer, and breast cancer compared to healthy controls (*Id.*; Umetani,  
6 N, et al., *Prediction of breast tumor progression by integrity of free circulating DNA in serum*, J.  
7 Clin. Oncol. 24(26): 4270-4276 (2006), doi:10.1200/JCO.2006.05.9493; denoted Umetani  
8 2006a in Table 1). They also showed that disease prognosis was predicted by cfDNA integrity in  
9 each of these cases. Subsequently, several authors have reported significant increases in cfDNA  
10 integrity in patients with cancer versus those without using the ALU 247/115 measure  
11 (summarized in Table 1 below).

12 [0104] The ALU-based method is highly sensitive due to the multi-copy nature of the ALU  
13 target (discussed more extensively below). Importantly, this enables development of a rapid,  
14 high-throughput, and cost effective assay due to the relative simplicity of the test. It utilizes real-  
15 time PCR, a standard DNA quantitation method compatible with multiple commonly used  
16 instrument platforms.

Table 1: Detection of cfDNA integrity using the ALU 247/115 index in different cancer types from serum or plasma samples. Area Under the Curve (AUC) from Receiver Operator Characteristic (ROC) curves presented. AUC assess diagnostic potential and ranges from 0.5 (not useful) to 1.0 (most useful).

Cancer Type	Comparison Groups	AUC	Reference
<b>Prostate</b>	Benign prostate hyperplasia vs. prostate cancer	0.91	Feng 2013
<b>Colorectal</b>	Healthy control (clean colon) vs. rectal cancer	0.91	Agostini 2011
<b>Colorectal</b>	Normal control vs. primary colorectal cancer	0.89	Hao 2014
<b>Pleural effusion</b>	No malignant pleural mesothelioma vs. malignant pleural effusion	0.823	Sriram 2012
<b>Breast</b>	No lymph node metastasis vs. lymph node metastasis	0.81	Umetani 2006a
<b>Periampullary</b>	Healthy control vs. periampullary cancer (st. I-IV)	0.8	Umetani 2006b
<b>Breast</b>	Healthy control vs. preoperative breast cancer (st. II-IV)	0.79	Umetani 2006a
<b>Colorectal</b>	Healthy control vs. colorectal cancer (st. I-IV)	0.78	Umetani 2006b
<b>Colorectal</b>	Normal control vs. colorectal cancer	0.772	Mead 2011
<b>Pleural effusion</b>	No malignant pleural effusion vs. malignant pleural effusion	0.766	Sriram 2012
<b>Colorectal</b>	Response vs. non-response to chemoradiotherapy	0.76	Agostini 2011
<b>Hepatocellular Carcinoma</b>	Hepatocellular carcinoma (HCC) vs. HCC with Hepatitis C viral infection	0.75	El-Shazly 2010
<b>Colorectal</b>	Healthy control vs. colorectal cancer	0.74	Leszinski 2014

- 1 [0105] *Overview of Retrotransposable Elements (REs)*
- 2 [0106] Retrotransposable Elements (REs) are mobile element insertion polymorphisms that are
- 3 essentially homoplasmy-free characters, identical by descent and easy to genotype (reviewed in
- 4 Batzer MA; Deininger, PL, *Alu repeats and human genomic diversity*, Nat. Rev. Genet. 3(5):
- 5 370-9 (2002), doi:10.1038/nrg798). ALUs are REs that are approximately 300 bp insertions and
- 6 are distributed throughout the human genome in large copy number. In addition to the major
- 7 retrotransposon families, REs include smaller families of transposons such as SVA or long

1 interspersed element ("LINE"). SVA elements, named after its main components, short  
2 interspersed element ("SINE"), variable number tandem repeat ("VNTR") and Alu element  
3 ("ALU"), contain the hallmarks of retrotransposons, in that they are flanked by target site  
4 duplications ("TSDs"), terminate in a poly(A) tail and they are occasionally truncated and  
5 inverted during their integration into the genome (Ono, M; Kawakami, M; Takezawa, T, *A novel*  
6 *human nonviral retroposon derived from an endogenous retrovirus*. *Nucleic Acids Res.* 15(21):  
7 8725-8737 (1987); Wang, H, et al., *SVA elements: A hominid-specific retroposon family*, *J. Mol.*  
8 *Biol.* 354(4): 994-1007 (2005), doi:10.1016/j.jmb.2005.09.085). Long-Interspersed Elements  
9 (LINE1) are similar to ALU and SVA in that they also contain the hallmarks of retrotransposons  
10 and are high copy number, but differ in size, being up to several kilobases in length (Deininger,  
11 PL; Batzer, MA, *Mammalian Retroelements*. *Genome Res.* 12(10):1455-65 (2002),  
12 doi:10.1101/gr.282402).

13 [0107] RE-based DNA Quantitation

14 [0108] RE-based quantitation methods are advantageous when compared to current,  
15 commercially available systems due to the presence of a large number of fixed insertions. With  
16 a high copy number of subfamily-specific RE repeats within the human genome, these human-  
17 specific DNA assays have a very sensitive dynamic range of 1 pg to 100 ng (Nicklas, JA; Buel,  
18 E., *Development of an Alu-based, Real-Time PCR Method for Quantitation of Human DNA in*  
19 *Forensic Samples*, *J. Forensic Sci.* 48(5): 1-9 (2003)). For example, the ALU Yb lineage  
20 contains approximately 1800 copies per genome and SVA contains approximately 1700 full  
21 length element copies per genome (Wang, H., referenced *supra*; Carter, AB, et al., *Genome-wide*  
22 *analysis of the human Alu Yb-lineage*, *Hum. Genomics* 1(3): 167-178 (2004)). This large copy

1 number minimizes the effect of variation between individuals, resulting in highly reproducible  
2 quantitation values.

3 [0109] U.S. Patent Publication 2014/0051075 A1, to Sudhir K. Sinha, is entitled "Development  
4 of a Highly Sensitive Quantification System for Assessing DNA Degradation and Quality in  
5 Forensic Samples" and describes the detection of DNA quality with a multiplex reaction using  
6 ALU and SVA for human DNA quantification. Though very useful for forensic purposes, the  
7 described method does not detail specific application to cell free DNA from plasma and/or  
8 serum. The amplicon sizes needed for a cfDNA assay are different from those needed for  
9 forensic applications, and other details of the two methods such as amplification conditions and  
10 primer/probe concentrations differ as well.

11 [0110] There is a clear need in cancer management, and CRC treatment specifically, for a  
12 standardized and validated blood test to sensitively and robustly quantitate cfDNA integrity and  
13 concentration. The present application addresses this need by creating a multiplex qPCR assay  
14 for quantitating cfDNA integrity and concentration based on REs.

15 [0111] The most commonly employed method conducted by others in the field of cfDNA  
16 integrity and concentration assessment for cancer detection and monitoring is qPCR using the  
17 ALU 247/115 index. This method has shown promise in multiple studies; however, there is a  
18 strong need for a standardized, validated multiplex that can simultaneously and accurately  
19 measure cfDNA concentration, integrity and PCR inhibition from plasma and serum.

20 [0112] In one embodiment, the developed multiplex uses two independent retrotransposable  
21 element genomic targets, an ALU element in the Yb8 subfamily of 80 bp in size and an SVA  
22 element of 207 bp in size, in a multiplex based, real-time qPCR assay for the detection of two  
23 sized targets to assess the extent of DNA integrity. The multiplex exhibits high PCR efficiencies

1 for both targets (see Figure 1). The system also incorporates an internal positive control target  
2 synthetic sequence of 172 bp in size to monitor and enable the detection of PCR inhibitors in  
3 forensic samples. The system is highly sensitive due to its multi-copy nature, and the system is  
4 highly reproducible and accurate due to the high copy number (>1000 copies per genome) of the  
5 selected targets.

6 [0113] A pilot study with serum and plasma samples tested with the qPCR multiplex of 207 bp  
7 and 80 bp target sizes has been performed. Serum and plasma samples from normal healthy  
8 individuals were processed both with DNA purification and without purification (direct qPCR).  
9 The data shows several interesting observations. First, it is observed that the short target  
10 produces a quantification value as low as 0.3 picograms of cfDNA (Table 1). It should be noted  
11 that in this pilot study, the PCR was performed at 32 PCR cycles (a literature search reveals  
12 cfDNA qPCR assays are typically performed as low as 35 cycles). Even with 32 cycles, the  
13 ability of the RE-qPCR multiplex to detect cfDNA at very low levels is demonstrated. Cycle  
14 numbers and other PCR parameters may be further optimized.

15 [0114] Secondly, it is observed from this pilot study that the main challenge to overcome is the  
16 complete inhibition or lack of detection of the long target with serum and plasma samples  
17 processed using direct qPCR without DNA purification. This can be overcome in part by testing  
18 fragments of cfDNA of varying sizes and selecting the most sensitive and accurate targets. The  
19 use of robust enzymes and PCR additives may be of primary importance in overcoming the  
20 problem of lack of detection of the long target. This pilot study demonstrates that the basic  
21 concept in this effort of using the high copy number retrotransposon targets Yb8 and SVA in a  
22 multiplex qPCR system to detect DNA integrity for the application of colorectal cancer diagnosis  
23 and prognosis is feasible.

[0115] Table 2: RE qPCR on purified and direct qPCR serum and plasma samples from normal individuals.

	ALU 80; Short (ng/μL)	SVA 207; Long (ng/μL)	DNA Integrity (SVA207/ALU80)	IPC Ct (acceptable range from 18-22)
Whole serum 1 (direct)	0.002	0	0	Undetermined+
Whole serum 2 (direct)	0.022	0	0	Undetermined+
Whole serum 3 (direct)	0.009	0	0	Undetermined+
Purified serum 1	0.020	0.009	0.45	20.2
Purified serum 2	0.733	0.421	0.57	19.7
Purified serum 3	0.045	0.013	0.29	20.2
Whole plasma 4 (direct)*	0.0003	0	0	<b>24.9</b>
Whole plasma 5 (direct)*	0.002	0	0	<b>25.1</b>
Whole plasma 6 (direct)*	0.0004	0	0	<b>23.5</b>
Purified plasma 4	0.056	0.019	0.33	21.38
Purified plasma 5	0.409	0.032	0.08	21.04
Purified plasma 6	0.080	0.020	0.24	21.10

Breitbach 2014 method used for direct qPCR of plasma (The plasma was diluted in sterile water in a 1:40 ratio for direct qPCR measurement).

+ Did not cross the cycle threshold at 32 PCR cycles.

Bold font indicates internal positive control Ct values outside of the acceptable range.

1 [0116] Furthermore, the utility of the internal positive control (IPC) with direct qPCR serum and  
 2 plasma samples is shown by the results of this pilot study. It is observed that with purified DNA  
 3 from serum and plasma, no PCR inhibition is noted, as indicated by the acceptable IPC Ct  
 4 values. On the other hand, the direct qPCR of both serum and plasma samples without DNA  
 5 purification exhibits PCR inhibition as indicated by the failure of the IPC target to amplify as  
 6 expected (see IPC amplification plots in Figure 2 and IPC Ct values in Table 2). This work  
 7 demonstrates the challenge in performing direct qPCR on serum and plasma samples.

8 **EXAMPLES**

9 [0117] Example 1: Experimental Design

10 [0118] The objective of this work was the development of a three target (one short RE target,  
 11 one long RE target, and one internal positive control synthetic target) multiplex RE-qPCR assay

1 to accurately and robustly obtain cfDNA concentration and DNA integrity values from normal  
2 and CRC patients directly from plasma/serum samples without DNA purification. The following  
3 process was used to address the goal of this work.

4 **[0119]** *Step 1: Identification of appropriate multi copy targets (ALU, LINE, and/or*  
5 *SVA element) for accurate quantitation of cfDNA concentration.*

6 **[0120]** Diagnostic potential of cfDNA integrity has been shown to be similar when different  
7 interspersed genetic elements are used (ALU and LINE1 in Madhavan, D, et al., *Plasma DNA*  
8 *integrity as a biomarker for primary and metastatic breast cancer and potential marker for early*  
9 *diagnosis*, Breast Cancer Res. Treat. 146(1): 163-74 (2014), doi:10.1007/s10549-014-2946-2).  
10 Diagnostic potential is enhanced when multiple measures (e.g. concentration and integrity) are  
11 combined (Hao, TB, et al., *Circulating cell-free DNA in serum as a biomarker for diagnosis and*  
12 *prognostic prediction of colorectal cancer*, British Journal of Cancer 2014: 1-8, doi  
13 10.1038/bjc.2014.470; Madhavan, et al., referenced *supra*). These results highlight the utility of  
14 evaluating different RE-based DNA quantitation methods for determining cfDNA concentration  
15 and integrity. In the case of the commonly employed ALU 247/115 protocol, targeted sequences  
16 of a single ALU element are used, and thus the two fragments analyzed are not independent.  
17 This can lead to less than accurate quantification values. As explained more fully above, the  
18 target sequences of the ALU 247/115 protocol cannot be multiplexed, and single-plexing is a  
19 much less advantageous experimental arrangement for the present purpose. The system of the  
20 present invention is one in which independent targets are used to accurately quantitate  
21 concentration and integrity within a single qPCR reaction.

22 **[0121]** For this application, a qPCR system employing two different sized targets has been  
23 assessed with blood serum and plasma samples. Additional targets may be assessed with the

1 purpose of selecting the most accurate targets. Assessment included evaluating the ability of  
2 each tested target to accurately quantify DNA as compared to the known quantitation standard  
3 NIST SRM 2372 (Human DNA Quantitation Standard). The individual short RE target and long  
4 RE target that most accurately quantifies cfDNA and cfDNA integrity in the normal and CRC  
5 patient groups will be incorporated into the three-target multiplex. This step enables the  
6 identification of optimal targets for the accurate detection of cfDNA and cfDNA integrity.

7 **[0122]** *Step 2: Creation of a robust three target multiplex assay to accurately*  
8 *quantitate cfDNA concentration and integrity.*

9 **[0123]** The two targets identified which most accurately quantify cfDNA concentration and  
10 integrity in the normal and CRC patient groups in a single-amplification multiplex reaction are  
11 used. The third target is a synthetic internal positive control (IPC) to monitor presence of  
12 inhibitors in the sample that can affect the accuracy of cfDNA measurement by qPCR. The  
13 approach to achieve the subject goal requires strategic planning as well as multiple attempts to  
14 optimize the reaction conditions. The task increases in complexity as one tries to multiplex more  
15 primers. There are several published reports that provide guidance to achieve successful PCR  
16 multiplexing (Markoulatos, P; Siafakas, N; Moncany, M, *Multiplex polymerase chain reaction :*  
17 *a practical approach*, J. Clin. Lab. Anal. 16(1): 47-51 (2002); Schoske, R; Vallone, PM;  
18 Ruitberg, CM; Butler, JM, *Multiplex PCR design strategy used for the simultaneous*  
19 *amplification of 10 Y chromosome short tandem repeat ( STR ) loci*, Anal. Bioanal. Chem.  
20 375(3): 333-343 (2003); Henegariu, O; Heerema, NA; Dlouhy, SR; Vance, GH; Vogt, PH,  
21 *Multiplex PCR: critical parameters and step-by-step protocol*, Biotechniques 23(3): 504-511  
22 (1997); Shuber, AP; Grondin, VJ; Klinger, KW, *A simplified procedure for developing multiplex*  
23 *PCRs*, Genome Res. 5(5): 488-493 (1995), doi:10.1101/gr.5.5.488). The parameters to consider

1 for developing a multiplexed PCR system are: 1) Primer length and sequence; 2) Melting  
2 temperature of each primer; 3) Relative concentration of primers; 4) Concentration of PCR  
3 buffer; 5) Balance between magnesium chloride and dNTP concentration; 6) Cycling  
4 Temperatures; 7) Cycling Times; and 8) Taqman probe design. We have analyzed performance  
5 of the multiplex assay in the following ways: melt curve analyses to show sequence specificity of  
6 the primer sequences; quantitation of the NIST SRM 2372 human DNA quantitation standard to  
7 determine accuracy; and quantitation of a dilution series of the NIST standard to assess  
8 sensitivity. This step enables the development of an accurate, sensitive, reproducible, and robust  
9 multiplex qPCR assay to determine cfDNA concentration and integrity in a single reaction in less  
10 than 2 hours.

11 **[0124]** *Step 3: Determine if and how PCR inhibition affects accuracy of RE-qPCR from human*  
12 *blood plasma and serum.*

13 **[0125]** Serum and plasma specimens are known to frequently contain PCR inhibitors, including  
14 hematin, Immunoglobulin G, and low molecular mass solutes and proteins (Al-Soud, WA;  
15 Jönsson, LJ; Rådström, P, *Identification and Characterization of Immunoglobulin G in Blood as*  
16 *a Major Inhibitor of Diagnostic PCR*, J. Clin. Microbiol. 38(1): 345-350 (2000)). These  
17 substances can significantly reduce PCR efficiency and cause false negative results. An  
18 important contribution of the present multiplex assay is the introduction of a synthetic DNA  
19 sequence used as an internal positive control (IPC) within each RE-qPCR reaction. This control  
20 evaluates PCR inhibition and determines successful PCR within a sample. Previous work has  
21 demonstrated that such a control increases reliability of PCR data by successfully identifying the  
22 effect of PCR inhibitors in a specimen (Pineda, GM; Montgomery, AH; Thompson, R; Indest, B;  
23 Carroll, M; Sinha, SK, *Development and validation of InnoQuant<sup>TM</sup>, a sensitive human DNA*

1 *quantitation and degradation assessment method for forensic samples using high copy number*  
2 *mobile elements Alu and SVA*, *Forensic Sci. Int. Genet.* 13: 224-235 (2014),  
3 doi:10.1016/j.fsigen.2014.08.007). Several studies show that the distribution of cfDNA  
4 concentration and integrity values from CRC patients and control subjects differ significantly,  
5 but the distributions of values are overlapping. It is possible that addressing inhibition may add  
6 resolution to these distributions. Incorporation of an IPC in the multiplex allows verification that  
7 the PCR reaction took place as expected without inhibitor or other adverse effects. IPC data has  
8 been analyzed from both purified DNA and direct RE-qPCR experiments to determine the extent  
9 of inhibition with respect to an empirically derived  $C_T$  threshold. This step enables utilization of  
10 the developed multiplex in a direct qPCR reaction that includes verification of PCR success,  
11 while reducing false negative results.

12 [0126] Example 2: Protocol for serum and plasma separation.

13 [0127] Serum and plasma separation are performed according to the standard protocol and  
14 within four hours of collection, and stored at  $-80^{\circ}\text{C}$  until they are processed. Care is taken to  
15 avoid freeze-thaw cycles. For serum specimens, whole blood is collected in the commercially  
16 available red-topped test tube Vacutainer (Becton Dickinson). For plasma specimens, whole  
17 blood is collected in the commercially available anticoagulant-treated tubes e.g., EDTA-treated  
18 (lavender tops) or citrate-treated (light blue tops).

19 [0128] Example 3: Protocol for direct DNA quantitation.

20 [0129] Two separate protocols have previously been described for direct DNA quantification  
21 from either human serum (Umetani, N., et al., *Increased integrity of free circulating DNA in sera*

1 *of patients with colorectal or periampullary cancer: Direct quantitative PCR for ALU repeats,*  
2 *Clin. Chem. 52(6): 1062-1069 (2006), doi:10.1373/clinchem.2006.068577) or plasma*  
3 *(Breitbach, S, et al., Direct quantification of cell-free, circulating DNA from unpurified plasma,*  
4 *PLOS One 9(3): e87838 (2014), doi:10.1371/journal.pone.0087838). We have tested both of*  
5 *these methods on serum and plasma in order to compare amplification efficiency from both*  
6 *methods. The first method includes deactivation or elimination of proteins that bind to template*  
7 *DNA or DNA polymerase and might invalidate qPCR results. A volume of 20  $\mu$ L of each serum*  
8 *or plasma sample is mixed with 20  $\mu$ L of a preparation buffer that contains 25 mL/L Tween 20,*  
9 *50 mM Tris, and 1 mM EDTA. This mixture is then digested with 16  $\mu$ g of proteinase K*  
10 *solution (Qiagen) at 50 °C for 20 min, followed by 5 min of heat deactivation and*  
11 *insolubilization at 95°C. After subsequent centrifugation at 10,000g for 5 min, 0.2  $\mu$ L of the*  
12 *supernatant (containing 0.1- $\mu$ L equivalent volume of serum/plasma) is used as a template for*  
13 *each direct RE-qPCR reaction. The second method bypasses the protein removal step and only*  
14 *requires 1:40 dilution of the serum/plasma sample with sterile H<sub>2</sub>O.*

15 **[0130]** Example 4: Procedure for DNA purification.

16 **[0131]** For comparison to and validation of direct quantification of cfDNA, RE-qPCR has been  
17 performed on isolated, purified cfDNA. cfDNA may be purified by magnetic bead extraction or  
18 by using the silica based membrane QIAamp DNA Investigator Kit (Qiagen).

19 **[0132]** Example 5: Design of primers and TaqMan probes.

20 **[0133]** Primers and labeled probes used in the qPCR reactions may be obtained from Eurofins  
21 MWG/Operon, Integrated DNA Technologies, or a variety of other vendors. Primers for

1 amplifying the ALU 115 and ALU 247 fragments and LINE1 79 and 300 fragments have been  
2 reported previously (Umetani N, et al., *Increased integrity of free circulating DNA in sera of*  
3 *patients with colorectal or periampullary cancer: Direct quantitative PCR for ALU repeats,*  
4 *Clin. Chem.* 52(6): 1062-1069 (2006), doi:10.1373/clinchem.2006.068577; Mead, R; Duku, M;  
5 Bhandari, P; Cree IA, *Circulating tumour markers can define patients with normal colons,*  
6 *benign polyps, and cancers,* *Br. J. Cancer* 105(2): 239-245 (2011), doi:10.1038/bjc.2011.230).

7 [0134] Primers for our proposed targets ALU Yb8 and SVA were designed and tested. Two  
8 short ALU primer sets designed to produce amplicon lengths of 80 bp and 120 bp, among others,  
9 were developed for use in the multiplexed assay of the present invention. Four primer sets  
10 designed to produce amplicon lengths of 207 bp, 257 bp, 265 bp and 290 bp, among others, were  
11 developed using Primer 3 software and an SVA retrotransposon ssequence. Because the SVA  
12 sequences are truncated in many individuals and also have sequence similarities with ALU  
13 sequences in certain regions, the target SVA sequence was selected from the SVA-R region,  
14 which has no or minimal sequence similarity as compared with the ALU sequence. Figure 3  
15 shows a schematic representation of the relative positions of the forward and reverse primers and  
16 the double labeled probes for both ALU-Yb8 and SVA sequences for qPCR analysis. The  
17 primer sequences are shown in Table 3. Any additional primer design may be done using Primer  
18 3 software (Koressaar, T; Remm, M, *Enhancements and modifications of primer design program*  
19 *Primer3,* *Bioinformatics* 23(10): 1289-91 (2007), doi:10.1093/bioinformatics/btm091;  
20 Untergasser A, et al., *Primer3--new capabilities and interfaces,* *Nucleic Acids Res.* 40(15): e115  
21 (2012), doi:10.1093/nar/gks596). Additionally, appropriate probes were developed for use in  
22 these amplifications. Probe sequences are shown in Table 4.

Table 3: Designed primers for ALU short and SVA long targets

Marker Name	Target Size	Forward Primer	Reverse Primer
ALU	80	GGAAGCGGAGCTTGCAGTGA SEQ ID NO: 1	AGACGGAGTCTCGCTCTGTCCG SEQ ID NO: 2
ALU	119	AGACCATCCTGGCTAACAA SEQ ID NO: 3	GCCATTCTCCTGCCTCA SEQ ID NO: 4
ALU	120	TGGATCATGAGGTCAGGAGAT SEQ ID NO: 22	CCGAGTAGCTGGGACTACA SEQ ID NO: 23
ALU	123	ATCCTGGCTAACAAAGGTCAAA SEQ ID NO: 5	CGGGTTCACGCCATTCT SEQ ID NO: 6
SVA	207	CTGTGTCCACTCAGGGTTAAAT SEQ ID NO: 7	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 8
SVA	257	CCTGTGCTCTCTGAAACATGTGCT SEQ ID NO: 9	GATTTGGCAGGGTCATGGGACAAT SEQ ID NO: 10
SVA	265	ATGTGCTGTGTCCACTCAGGGTTA SEQ ID NO: 11	ATTCTTGGGTGTTTCTCACAGAGG SEQ ID NO: 12
SVA	290	TGGGATCCTGTTGATCTGTGACCT SEQ ID NO: 13	GATTTGGCAGGGTCATGGGACAAT SEQ ID NO: 14
SVA	355	GTTGCCGTGTCTGTGTAGAA SEQ ID NO: 24	ATGGGACAATAGTGGAGGGA SEQ ID NO: 25
SVA	367	CCGTGTCTGTGTAGAAAGAAGTAG SEQ ID NO: 26	GGGATTTGGCAGGGTCAT SEQ ID NO: 27
SVA	399	GGCGGCTTTGTGGAATAGA SEQ ID NO: 28	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 29
SVA	411	TGGAATAGAAAGGCAGGAAAGG SEQ ID NO: 30	GCAGGGTCATGGGACAATAG SEQ ID NO: 31

Table 4: Designed probes for ALU short and SVA long targets

Marker Name	Target Size	Probe
ALU	80	AGATTGCGCCACTGCAGTCCGCAGT SEQ ID NO: 15
ALU	119	TGTAGTCCCAGCTACTCGGGAG SEQ ID NO: 16
ALU	120	ACCATCCTGGCTAACAAGGTGAAACC SEQ ID NO: 32
ALU	123	TGTAGTCCCAGCTACTCGGGAG SEQ ID NO: 17
SVA	207	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 18
SVA	257	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 19
SVA	265	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 20
SVA	290	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 21
SVA	399	ATCAGGGACACAAACACTGCCGAA SEQ ID NO: 33

- 1 [0135] Example 6: Optimization of Oligonucleotide Primers
- 2 [0136] Primers were evaluated by gel electrophoresis analysis of PCR products, noting the
- 3 ability of each primer pair to produce a single PCR product when PCR amplification was carried

4 out in the presence of human genomic DNA. Care was taken to ensure that no PCR  
 5 amplification product was formed in the absence of genomic DNA. Primers were further  
 6 evaluated using a SYBR green assay and melt curve analysis to examine the specificity of the  
 7 PCR amplification. Figures 4 and 5 show exemplary SYBR green data in the form of an  
 8 amplification plot, a standard curve, a melt curve and a melt peak (derivative melt curve) for the  
 9 Yb8-119 and SVA-399 targets.

10 [0137] Figures 6 and 7 show the unacceptable SYBR green results obtained for the most  
 11 common cell free DNA PCR biomarker, which includes ALU-115 and ALU-247 targets.  
 12 Amplifications based on the ALU-115 and ALU-247 biomarkers were both unsuccessful as  
 13 shown by primer dimer background in the no template controls.

14 [0138] Results obtained from SYBR green assays for particular primer pairs are summarized in  
 15 Table 5 below:

16 Table 5: SYBR Green Assay Results for Individual Primer Pairs

Primer Pair	Optimal Temperature	Results
Yb8-80	61°C	High efficiency, low levels of DNA detected without any primer dimer background
Yb8-119	61°C	Unsuitable due to high primer dimer background
Yb8-120	62.5°C	High efficiency, low levels of DNA detected without any primer dimer background
SVA-207	61°C	High efficiency, low levels of DNA detected without any primer dimer background
SVA-257	64°C	High efficiency, low levels of DNA detected without any primer dimer background

Primer Pair	Optimal Temperature	Results
SVA-265	57°C	High efficiency, low levels of DNA detected without any primer dimer background
SVA-290	64°C	High efficiency, low levels of DNA detected with very low levels of primer dimer background
SVA-367	---	Unsuitable due to high primer dimer background
SVA-399	---	Unsuitable due to high primer dimer background
SVA-411	---	Unsuitable due to high primer dimer background
ALU-115	64°C	Primer pairs reported in the prior art indicated high primer dimer background and therefore are not optimal for this application
ALU-147	64°C	Primer pair reported in the prior art indicated high primer dimer background and therefore is not optimal for this application

17

18 [0139] Example 6: Procedure for qPCR.

19 [0140] The qPCR assays may be run on an Applied Biosystems 7500 Real Time PCR instrument  
20 and/or the Biorad CFX, but useful instrument platforms are not limited thereto. The qPCR  
21 assays of the present invention may be adapted to work on most Real-Time PCR instruments.  
22 To assess the concentration and integrity index of serum and plasma circulating cfDNA, both  
23 short and long fragments may be amplified and quantified. The short fragment primer sets may  
24 amplify the short (apoptotic) DNA fragments, whereas the long fragment primer sets may  
25 amplify the long (non-apoptotic) DNA fragments. The RE-qPCR multiplex reaction may  
26 contain three targets in a Taqman based assay: a short RE target, a long RE target, and a  
27 synthetic IPC sequence. The hybridization probes detecting each target may be  
28 labeled with different fluorophores (e.g. FAM, Cy5, or Cy3) to enable simultaneous detection.  
29 The following PCR conditions may be used, but they can be modified as necessary: 10 min 95°C

1 denaturation cycle, followed by 32 cycles of 2-step qPCR (15 s at 95°C and 2 min at 61°C  
2 combined annealing/extension time) at maximum ramp speed. Additional PCR parameters (i.e.  
3 cycle number, denaturation and annealing/extension times and temperatures) are investigated to  
4 obtain a robust, sensitive qPCR multiplex.

5 [0141] 'Short' Yb8 and 'long' SVA primer pairs selected from those shown in Table 3 above  
6 were combined into eight different multiplex sets (Yb8-80 & SVA-207, Yb8-80 & SVA-257,  
7 Yb8-80 & SVA-265, Yb8-80 & SVA-290, Yb8-120 & SVA-207, Yb8-120 & SVA-257, Yb8-  
8 120 & SVA-265, and Yb8-120 & SVA-290). The optimal temperature for each multiplex was  
9 determined by a temperature gradient ranging from 64.0°C to 55.0°C. The concentration of  
10 primers and additives including DMSO and additional MgCl<sub>2</sub> were optimized for each multiplex  
11 set.

12 [0142] The reaction mixture of each multiplex Yb8-SVA-qPCR included a template, forward  
13 primer, reverse primer, fluorescent probe, Brilliant Multiplex QPCR Master Mix (Agilent) and  
14 the additives bovine serum albumin ('BSA'), dimethyl sulfoxide ('DMSO'), and magnesium  
15 chloride ('MgCl<sub>2</sub>'). Real-time PCR amplification was performed with pre-cycling heat  
16 activation of DNA polymerase at 95°C for 10 min followed by 32 cycles of denaturation at 95°C  
17 for 15 sec and extension at 61-62.5°C (depending on the multiplex set) in a CFX96 Touch Real-  
18 Time PCR Detection System (Bio-Rad Laboratories). The quantification of DNA in each sample  
19 was determined by use of a calibration curve with serial dilutions (5 ng/μL to 1.6 pg/μL).  
20 Selected results are shown in Figures 8-15.

1 **[0143]** Example 7: Procedure for qPCR data analysis and quality control.

2 **[0144]** Data analysis may be performed utilizing the respective AB 7500 or BioRad CFX  
3 instrument software. Melt curve analysis may be generated using Qiagen's QuantiTect 1 SYBR1  
4 Green PCR Kit (Cat# 204141) and operated using the Applied Biosystems 7500 Real Time PCR  
5 instrument. For each experiment, a freshly prepared 3-fold serial dilution of high molecular  
6 weight standard DNA (ranging from 10 ng/ $\mu$ L to 0.004 ng/ $\mu$ L) was run in duplicate on each  
7 plate to generate standard curves for the long and short targets. The standard curves are plotted  
8  $C_T$  vs. Delta  $R_n$  (the fluorescence emission intensity of the reporter dye divided by the  
9 fluorescence emission intensity of the passive reference dye). Resultant DNA quantitation  
10 values are interpolated from the resulting linear standard curves. At least one negative No  
11 Template Control (NTC) is run on each plate. The ratio between DNA concentration of the long  
12 target divided by DNA concentration of the short target provides an indication as to the degree of  
13 DNA integrity for the quantified sample. DNA integrity index is calculated as the ratio of  
14 concentrations ([concentration of long RE marker] / [concentration of short RE marker]).  
15 Quality metrics, including PCR efficiencies (i.e. slope) of both short and long targets, Y-  
16 intercept values, and verification of no true amplification in negative controls was assessed.

17 **[0145]** Efficiencies and integrity indices (long/short ratio) for some of the multiplex sets named  
18 above are shown in Table 6. As noted in Table 5, the primer pairs that performed exceptionally  
19 well individually in the SYBR green assay were Yb8-80, Yb8-120, SVA-207, SVA-257, SVA-  
20 265, and SVA-290.

1 [0146] Table 6: Efficiencies and Integrity Indices for Selected Multiplex Sets

Short Target	Long Target	Efficiency Short Target	Efficiency Long Target	Integrity Index
Yb8-80	SVA-207	101.8%	101.7%	0.995
Yb8-80	SVA-257	99.4	90.5	1.041
Yb8-80	SVA-265	99.9	98.3	1.069
Yb8-80	SVA-290	101.2	99.3	1.089
Yb8-120	SVA-207	99.9	93.5	0.786
Yb8-120	SVA-257	105.3	95.0	1.15
Yb8-120	SVA-265	98.7	95.9	1.046
Yb8-120	SVA-290	99.0	96.3	1.12

2

3 [0147] While this invention has been particularly shown and described with reference to  
 4 embodiments thereof, it will be understood by those skilled in the art that various changes in  
 5 form and details may be made therein without departing from the spirit and scope of the  
 6 invention as defined by the appended claims.

**WHAT IS CLAIMED IS:**

- 1 1. A multiplexed method to quantitate the integrity of circulating cell free human DNA,  
2 comprising:
  - 3 providing a sample of serum, plasma, urine, or other biological fluid, the sample  
4 comprising cell free human DNA, the cell free human DNA comprising a short  
5 nucleic acid fragment including less than 180 bp and a long nucleic acid fragment  
6 including more than 180 bp, the short nucleic acid fragment and the long nucleic  
7 acid fragment being retrotransposable element genomic targets that are  
8 independent of each other;
  - 9 using a quantitative polymerase chain reaction (qPCR) method to separately and  
10 simultaneously quantitate the short nucleic acid fragment and the long nucleic  
11 acid fragment, obtaining for each quantitated nucleic acid fragment a threshold  
12 cycle number;
  - 13 comparing each threshold cycle number with a standard curve to determine for each  
14 quantitated nucleic acid fragment a quantity of the DNA fragment that was  
15 present in the sample; and
  - 16 calculating a ratio of the quantity of the long nucleic acid fragment to the quantity of the  
17 short nucleic acid fragment.
- 1 2. The multiplexed method of claim 1, the retrotransposable element genomic targets being  
2 each independently an interspersed ALU, SVA, or LINE element.

1 3. The multiplexed method of claim 2, the retrotransposable element genomic targets each  
2 having a copy number in excess of 1000 copies per genome.

1 4. The multiplexed method of claim 1, further comprising a step of adding a synthetic DNA  
2 sequence as an internal positive control prior to the using step, quantitating the internal positive  
3 control in the using step, and utilizing the quantitative internal positive control result in the  
4 comparing step to improve the accuracy and reliability of the comparing step.

1 5. The multiplexed method of claim 4, the use of the internal positive control enabling a  
2 determination of the concentration of cell free DNA in the sample.

1 6. The multiplexed method of claim 1, the providing and using steps being carried out in a  
2 single tube.

1 7. The multiplexed method of claim 1, the ratio serving as an integrity value of circulating  
2 cell free DNA for diagnostic applications.

1 8. The multiplexed method of claim 7, the diagnostic applications comprising one or more  
2 of the detection, diagnosis, prognosis, treatment monitoring, and surveillance of cancer.

1 9. The multiplexed method of claim 1, the method further comprising a step of deactivating  
2 or eliminating proteins that bind to the short nucleic acid fragment or the long nucleic acid  
3 fragment.

- 1 10. The multiplexed method of claim 1, the method further comprising a step of diluting the  
2 sample of serum or plasma with sterile water.
- 1 11. The multiplexed method of claim 10, the dilution consisting of mixing one part of sample  
2 with 40 parts of sterile water by volume.
- 1 12. The multiplexed method of claim 1, the providing step further comprising providing a  
2 hybridization probe corresponding to the short nucleic acid fragment and a probe corresponding  
3 to the long nucleic acid fragment.
- 1 13. The multiplexed method of claim 12, each probe including an observable label.
- 1 14. The multiplexed method of claim 13, each observable label being a fluorescent label, the  
2 fluorescent labels being distinct from each other.
- 1 15. The multiplexed method of claim 1, the using step further comprising a step of separating  
2 amplification products obtained from the qPCR reaction using electrophoresis.
- 1 16. The multiplexed method of claim 1, the method further comprising a step of determining  
2 an optimum temperature for the qPCR reaction.
- 1 17. The multiplexed method of claim 1, the sample being from an individual who is suffering  
2 from cancer or who is at risk for developing cancer.

1 18. A multiplexed system for characterizing cancer in humans, the system comprising:  
2 a sample of serum, plasma, urine, or other biological fluid, the sample comprising cell  
3 free DNA, the cell free DNA comprising two retrotransposable element targets,  
4 the first target being a multi-copy retrotransposon having less than 180 bp, the  
5 second target being another multi-copy retrotransposon having more than 180 bp,  
6 the first target and the second target being independent of each other, the sample  
7 further comprising an added third target, the third target being an internal positive  
8 control comprising synthetic DNA;  
9 a TaqMan probe corresponding to each of the first target, the second target and the third  
10 target, each probe comprising a detectable label that is distinct from the labels  
11 incorporated into the other probes;  
12 a forward primer and a reverse primer for amplifying each of the first target, the second  
13 target and the third target;  
14 a DNA standard for generating standard curves for the first target and the second target;  
15 a qPCR system for simultaneously amplifying the first target, the second target and the  
16 third target and for producing a threshold cycle number for each target; and  
17 a qPCR data analysis system for producing DNA quantitation values for each target by  
18 interpolation using threshold cycle numbers and standard curves and for using the  
19 DNA quantitation values to produce an indication of the integrity of the cell free  
20 DNA.

1 19. The multiplexed system according to claim 18, the detectable labels corresponding to the  
2 first target, the second target and the third target being fluorophores that are distinct from each  
3 other.

1 20. The multiplexed system according to claim 18, the qPCR system amplifying the first  
2 target, the second target and the third target without prior purification of the first, second, or third  
3 DNA targets.

1 21. The multiplexed system according to claim 18, the qPCR system including DNA  
2 polymerase, the qPCR system amplifying a template DNA fragment of each of the first target,  
3 the second target and the third target after deactivation or elimination of protein bound to at least  
4 one of a template DNA and DNA polymerase.

1 22. The multiplexed system according to claim 18, the retrotransposable element genomic  
2 targets being each independently an interspersed ALU, SVA, or LINE element.

1 23. The multiplexed system according to claim 18, the first target being an ALU element  
2 having a size selected from the group consisting of 80 bp, 119 bp, 120 bp and 123 bp, the second  
3 target being an SVA element having a size selected from the group consisting of 207 bp, 257 bp,  
4 265 bp, 290 bp, 355 bp, 367 bp, 399 bp and 411 bp.

1 24. The multiplexed system according to claim 18, the first target being an ALU element of  
2 the Yb8 subfamily having a size of about 80 bp, the second target being an SVA element having  
3 a size of about 207 bp.

1 25. The multiplexed system according to claim 18, the third target having a size of about 172  
2 bp.

1 26. The multiplexed system according to claim 18, the first target and the second target each  
2 having a copy number in excess of 1000 copies per genome.

1 27. The multiplexed system according to claim 18, the first target being an ALU element  
2 having one of the sizes indicated below, the second target being an SVA element having one of  
3 the sizes indicated below, the forward primers and the reverse primers for the first target and the  
4 second target being selected from those presented in the table below:

Marker Name	Target Size (bp)	Forward Primer	Reverse Primer
ALU	80	GGAAGCGGAGCTTGCAGTGA SEQ ID NO: 1	AGACGGAGTCTCGCTCTGTCGC SEQ ID NO: 2
ALU	119	AGACCATCCTGGCTAACAA SEQ ID NO: 3	GCCATTCTCCTGCCTCA SEQ ID NO: 4
ALU	120	TGGATCATGAGGTCAGGAGAT SEQ ID NO: 22	CCGAGTAGCTGGGACTACA SEQ ID NO: 23
ALU	123	ATCCTGGCTAACAAAGGTCAAA SEQ ID NO: 5	CGGGTTCACGCCATTCT SEQ ID NO: 6
SVA	207	CTGTGTCCACTCAGGGTTAAAT SEQ ID NO: 7	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 8
SVA	257	CCTGTGCTCTCTGAAACATGTGCT SEQ ID NO: 9	GATTTGGCAGGGTCATGGGACAAT SEQ ID NO: 10
SVA	265	ATGTGCTGTGTCCACTCAGGGTTA SEQ ID NO: 11	ATTCTTGGGTGTTTCTCACAGAGG SEQ ID NO: 12

Marker Name	Target Size (bp)	Forward Primer	Reverse Primer
SVA	290	TGGGATCCTGTTGATCTGTGACCT SEQ ID NO: 13	GATTTGGCAGGGTCATGGGACAAT SEQ ID NO: 14
SVA	355	GTTGCCGTGTCTGTGTAGAA SEQ ID NO: 24	ATGGGACAATAGTGGAGGGA SEQ ID NO: 25
SVA	367	CCGTGTCTGTGTAGAAAGAAGTAG SEQ ID NO: 26	GGGATTTGGCAGGGTCAT SEQ ID NO: 27
SVA	399	GGCGGCTTTGTGGAATAGA SEQ ID NO: 28	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 29
SVA	411	TGGAATAGAAAGGCAGGAAAGG SEQ ID NO: 30	GCAGGGTCATGGGACAATAG SEQ ID NO: 31

- 1 28. The multiplexed system according to claim 18, the first target being an ALU element  
2 having one of the sizes indicated below, the second target being an SVA element having one of  
3 the sizes indicated below, the probes for the first target and the second target being selected from  
4 those presented in the table below:

Marker Name	Target Size (bp)	Probe
ALU	80	AGATTGCGCCACTGCAGTCCGCAGT SEQ ID NO: 15
ALU	119	TGTAGTCCCAGCTACTCGGGAG SEQ ID NO: 16
ALU	120	ACCATCCTGGCTAACAAGGTGAAACC SEQ ID NO: 32
ALU	123	TGTAGTCCCAGCTACTCGGGAG

Marker Name	Target Size (bp)	Probe
		SEQ ID NO: 17
SVA	207	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 18
SVA	257	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 19
SVA	265	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 20
SVA	290	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 21
SVA	399	ATCAGGGACACAAACACTGCGGAA SEQ ID NO: 33

1 29. The multiplexed system according to claim 18, the cell free DNA comprising at least  
2 three retrotransposable element targets, the multiplexed system further comprising a distinctly  
3 labeled TaqMan probe corresponding to each target and a forward and reverse primer set  
4 corresponding to each target, the qPCR system simultaneously amplifying each target.

1 30. A kit for determining concentration and integrity of cell free DNA in biological fluids,  
2 the kit comprising:

3 a set of primers corresponding to each of a short retrotransposable element genomic  
4 target sequence and a long retrotransposable element genomic target sequence, the short  
5 retrotransposable element being shorter than 180 bp in length, the long retrotransposable element

6 being longer than 180 bp in length, the short retrotransposable element and the long  
7 retrotransposable element being independent of each other, each set of primers comprising a  
8 forward primer and a reverse primer;

9 a synthetic genomic sequence suitable for use as an internal positive control; and

10 one or more reagents for performing quantitative real-time polymerase chain reaction  
11 (PCR) amplification.

1 31. The kit of claim 30, the kit further comprising a vacuum-filled test tube for collecting  
2 a sample of whole blood.

1 32. The kit of claim 30, the kit further comprising an anticoagulant-treated tube for  
2 collecting a sample of whole blood and producing a plasma sample.

1 33. The kit of claim 30, the kit further comprising a probe corresponding to the short  
2 target sequence.

1 34. The kit of claim 33, the probe including an observable label.

1 35. The kit of claim 30, the kit further comprising a probe corresponding to the long target  
2 sequence.

1 36. The kit of claim 35, the probe including an observable label.

- 1 37. The kit of claim 30, the set of primers corresponding to the short target sequence being  
 2 selected from the group consisting of the following primer pairs:

Marker Name	Target Size (bp)	Forward Primer	Reverse Primer
ALU	80	GGAAGCGGAGCTTGCAGTGA SEQ ID NO: 1	AGACGGAGTCTCGCTCTGTCGC SEQ ID NO: 2
ALU	119	AGACCATCCTGGCTAACAA SEQ ID NO: 3	GCCATTCCTGCCTCA SEQ ID NO: 4
ALU	120	TGGATCATGAGGTCAGGAGAT SEQ ID NO: 22	CCGAGTAGCTGGGACTACA SEQ ID NO: 23
ALU	123	ATCCTGGCTAACAAAGGTCAAA SEQ ID NO: 5	CGGGTTCACGCCATTCT SEQ ID NO: 6
SVA	207	CTGTGTCCACTCAGGGTTAAAT SEQ ID NO: 7	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 8
SVA	257	CCTGTGCTCTCTGAAACATGTGCT SEQ ID NO: 9	GATTGGCAGGGTCATGGGACAAT SEQ ID NO: 10
SVA	265	ATGTGCTGTGTCCACTCAGGGTTA SEQ ID NO: 11	ATTCTTGGGTGTTTCTCACAGAGG SEQ ID NO: 12
SVA	290	TGGGATCCTGTTGATCTGTGACCT SEQ ID NO: 13	GATTGGCAGGGTCATGGGACAAT SEQ ID NO: 14
SVA	355	GTTGCCGTGTCTGTGTAGAA SEQ ID NO: 24	ATGGGACAATAGTGGAGGGA SEQ ID NO: 25
SVA	367	CCGTGTCTGTGTAGAAAGAAGTAG SEQ ID NO: 26	GGGATTGGCAGGGTCAT SEQ ID NO: 27
SVA	399	GGCGGCTTTGTGGAATAGA SEQ ID NO: 28	GAGGGAAGGTCAGCAGATAAAC SEQ ID NO: 29
SVA	411	TGGAATAGAAAGGCAGGAAAGG SEQ ID NO: 30	GCAGGGTCATGGGACAATAG SEQ ID NO: 31

3

- 1 38. The kit of claim 30, the kit further comprising a hybridization probe corresponding to  
 2 the short target sequence and a hybridization probe corresponding to the long target sequence,  
 3 the probes being selected from the group consisting of the following nucleic acid sequences:

Marker Name	Target Size (bp)	Probe
ALU	80	AGATTGCGCCACTGCAGTCCGCAGT SEQ ID NO: 15
ALU	119	TGTAGTCCCAGCTACTCGGGAG SEQ ID NO: 16
ALU	120	ACCATCCTGGCTAACAAGGTGAAACC SEQ ID NO: 32
ALU	123	TGTAGTCCCAGCTACTCGGGAG SEQ ID NO: 17
SVA	207	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 18
SVA	257	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 19
SVA	265	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 20
SVA	290	AAGGGCGGTGCAAGATGTGCTTTGTT SEQ ID NO: 21
SVA	399	ATCAGGGACACAAACACTGCGGAA SEQ ID NO: 33

FIG. 1

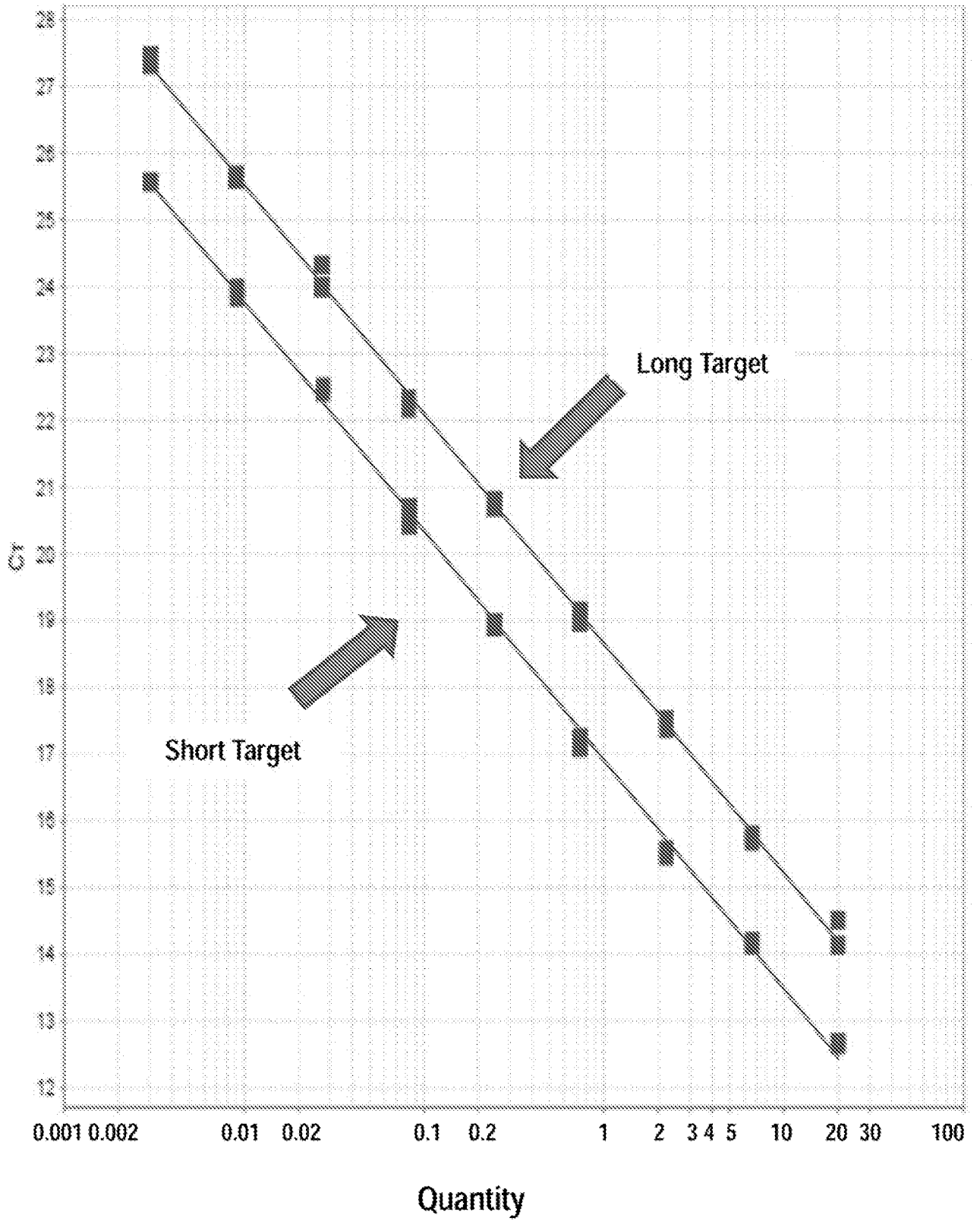
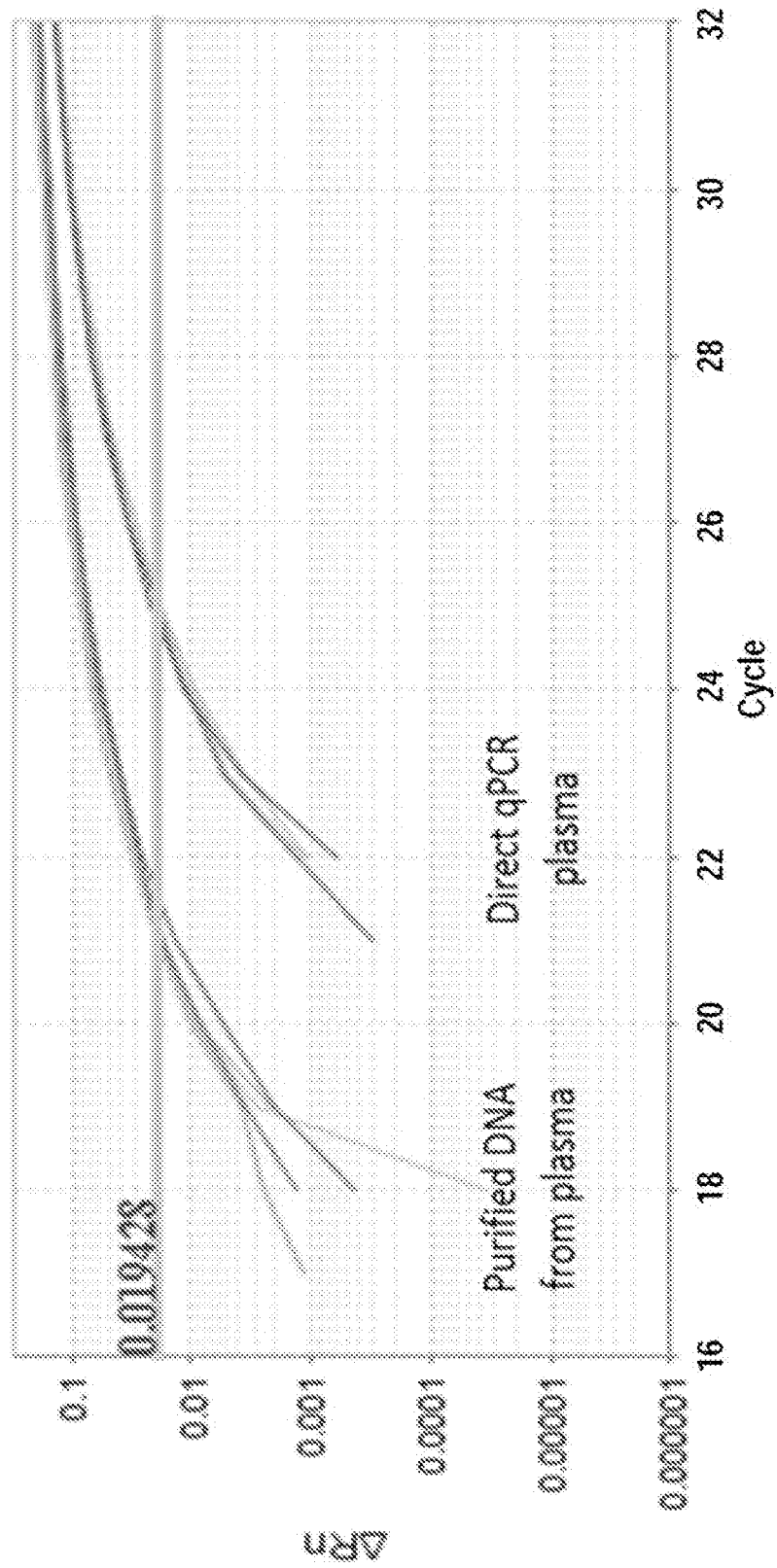


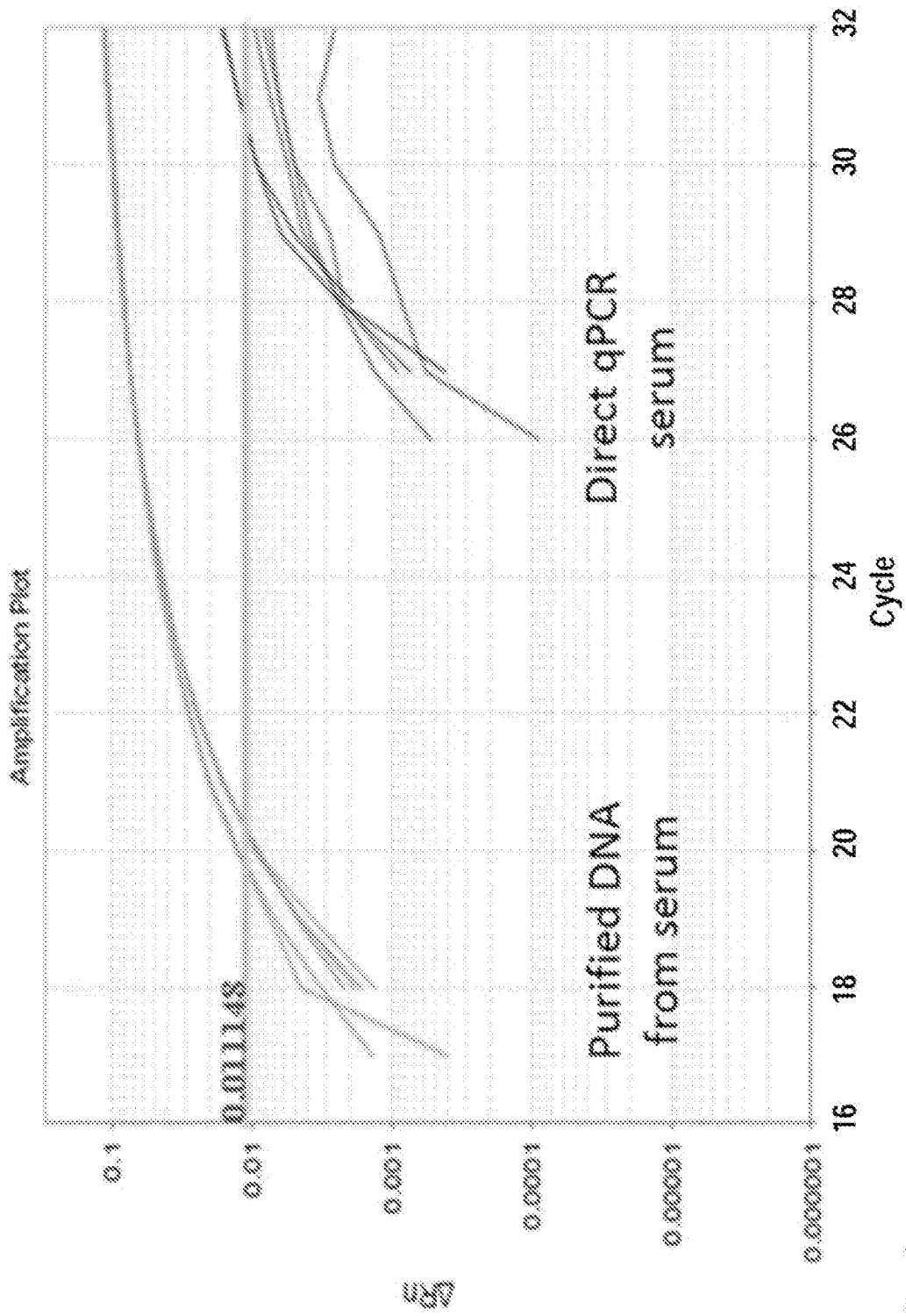
FIG. 2A

IPC

Amplification Plot



**FIG. 2B**



0.01148

FIG. 3

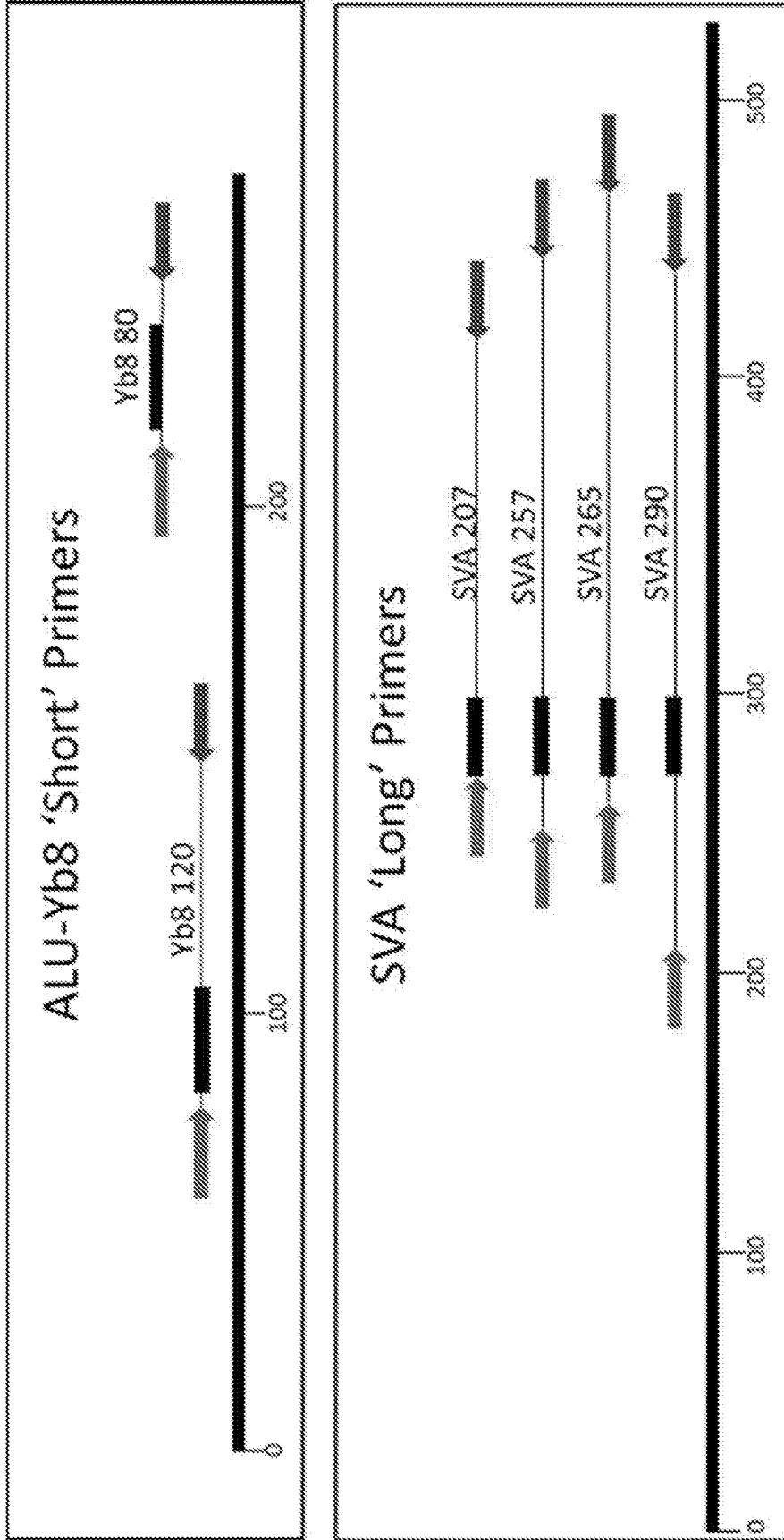


FIG. 4A

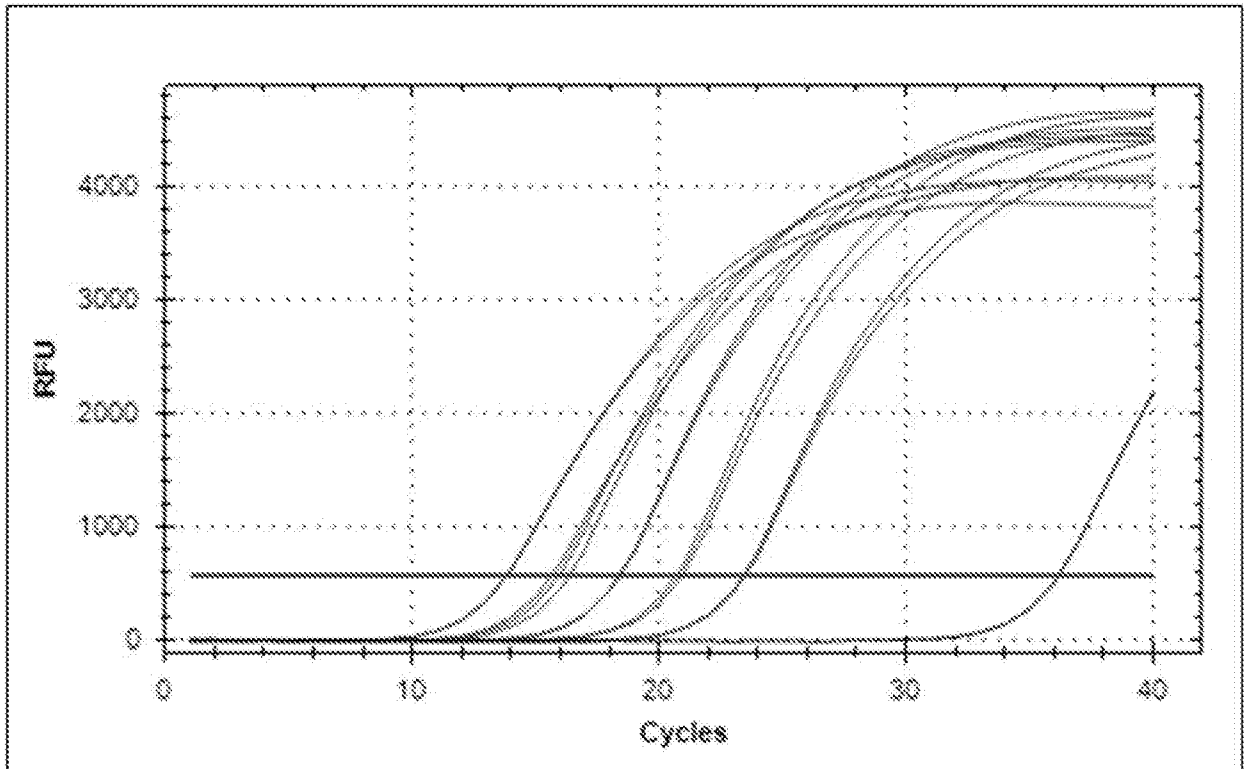


FIG. 4B

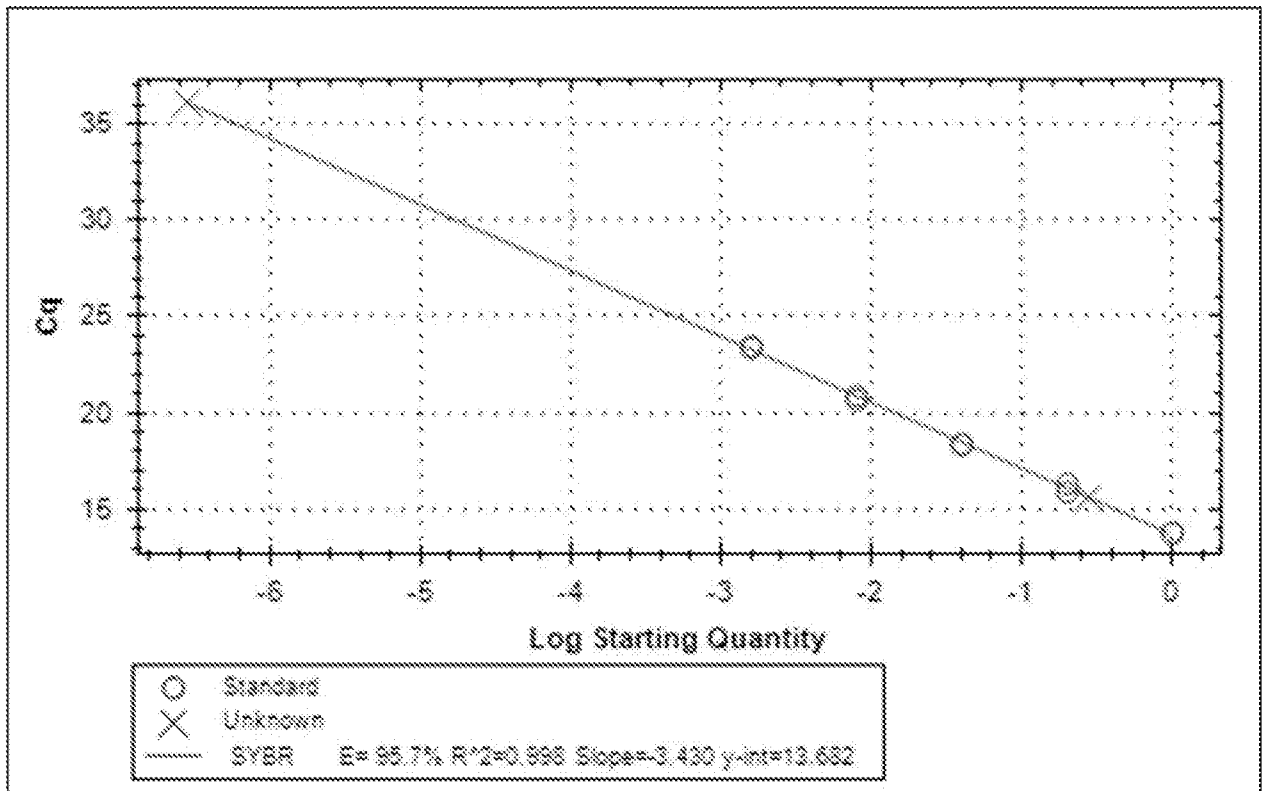


FIG. 4C

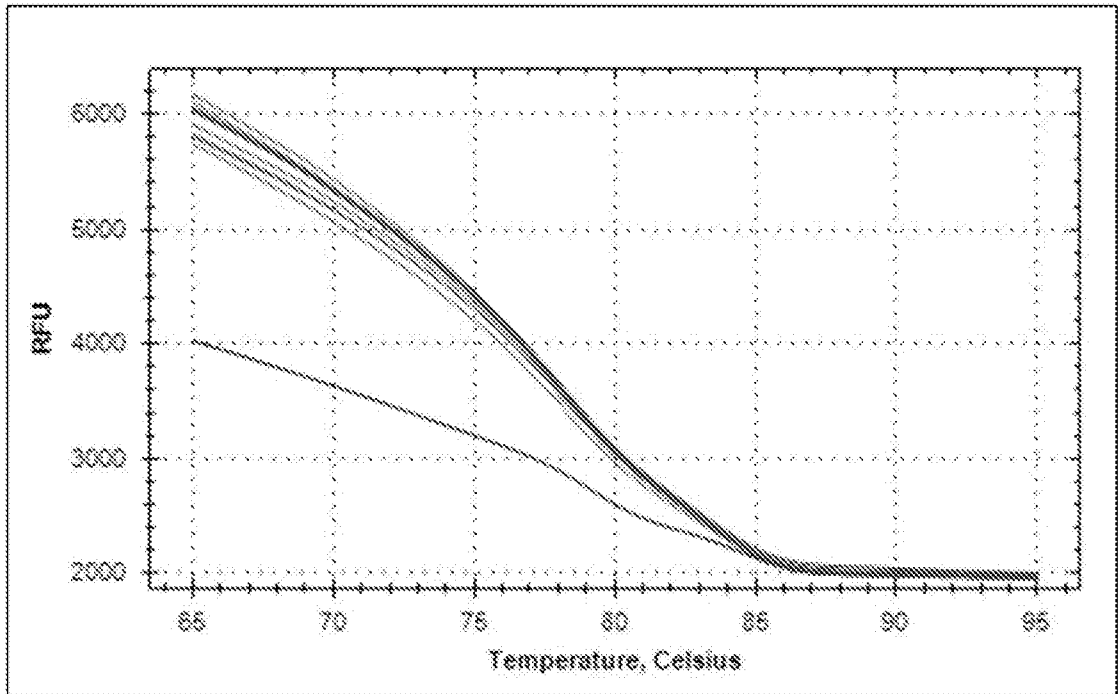


FIG. 4D

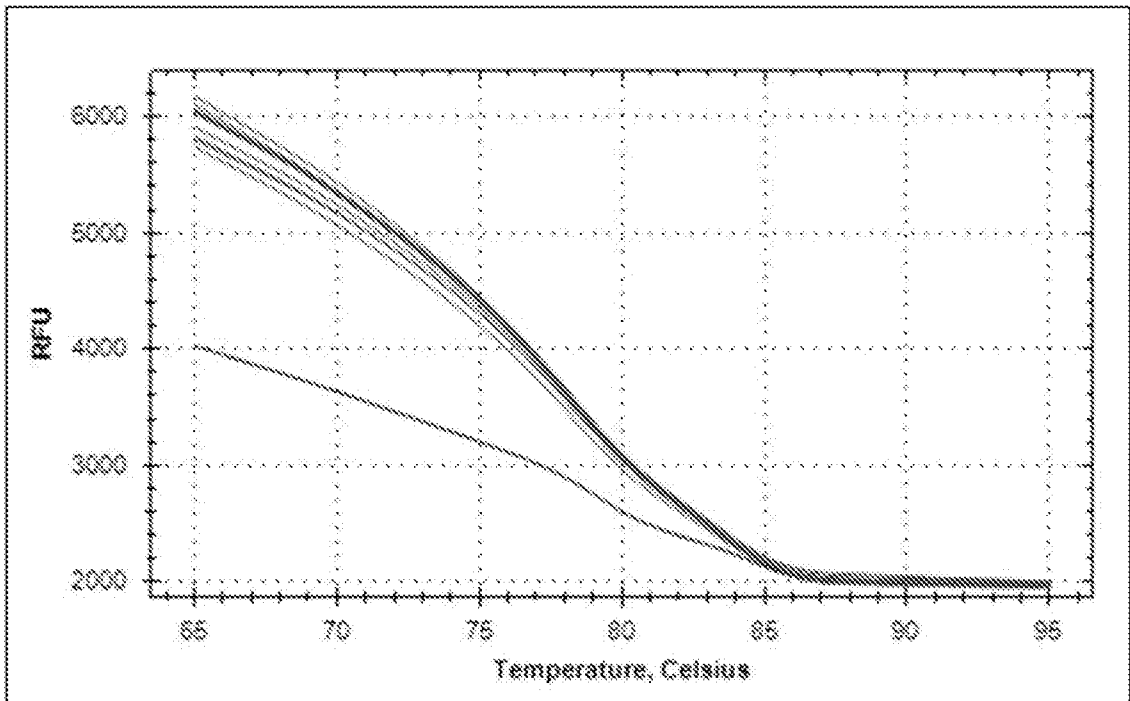


FIG. 5A

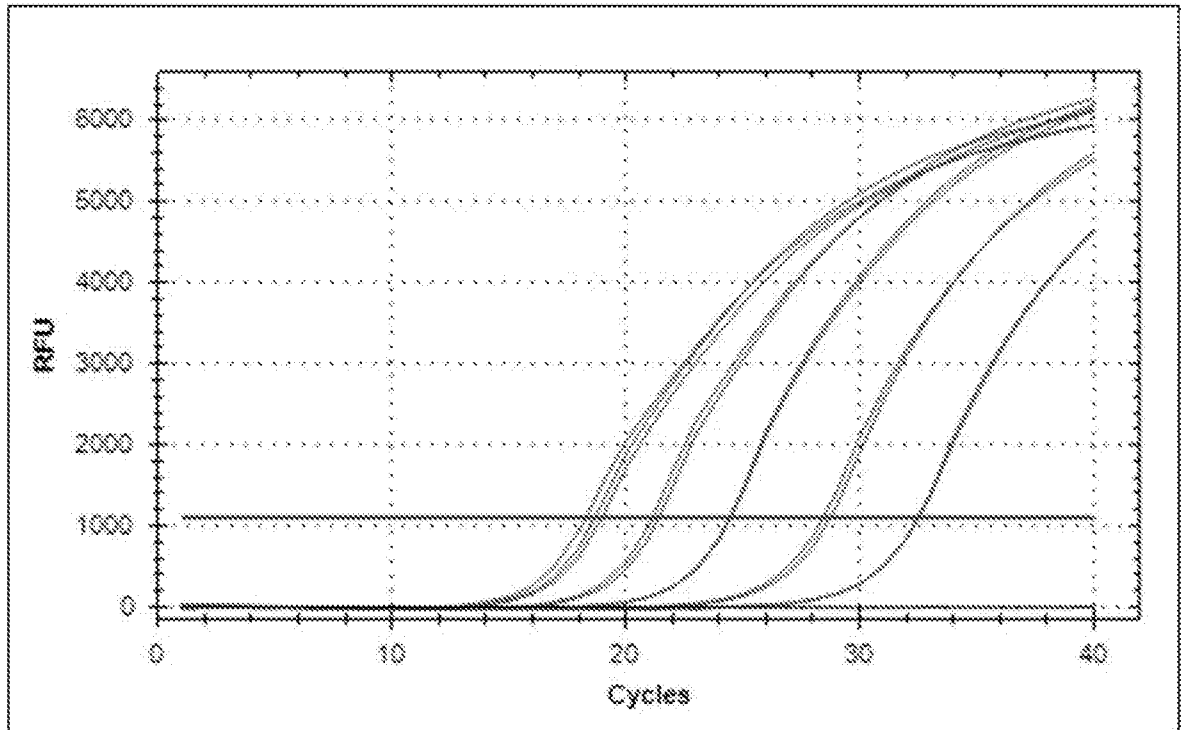


FIG. 5B

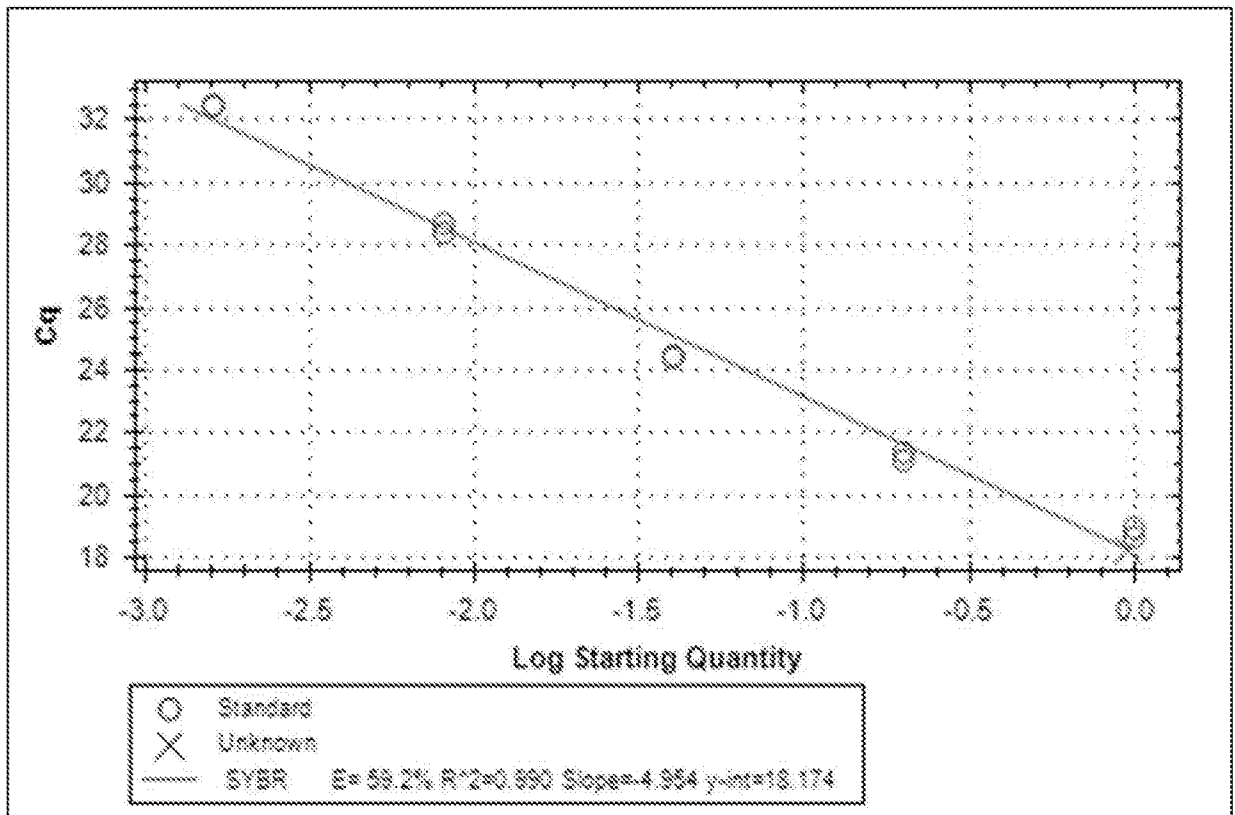


FIG. 5C

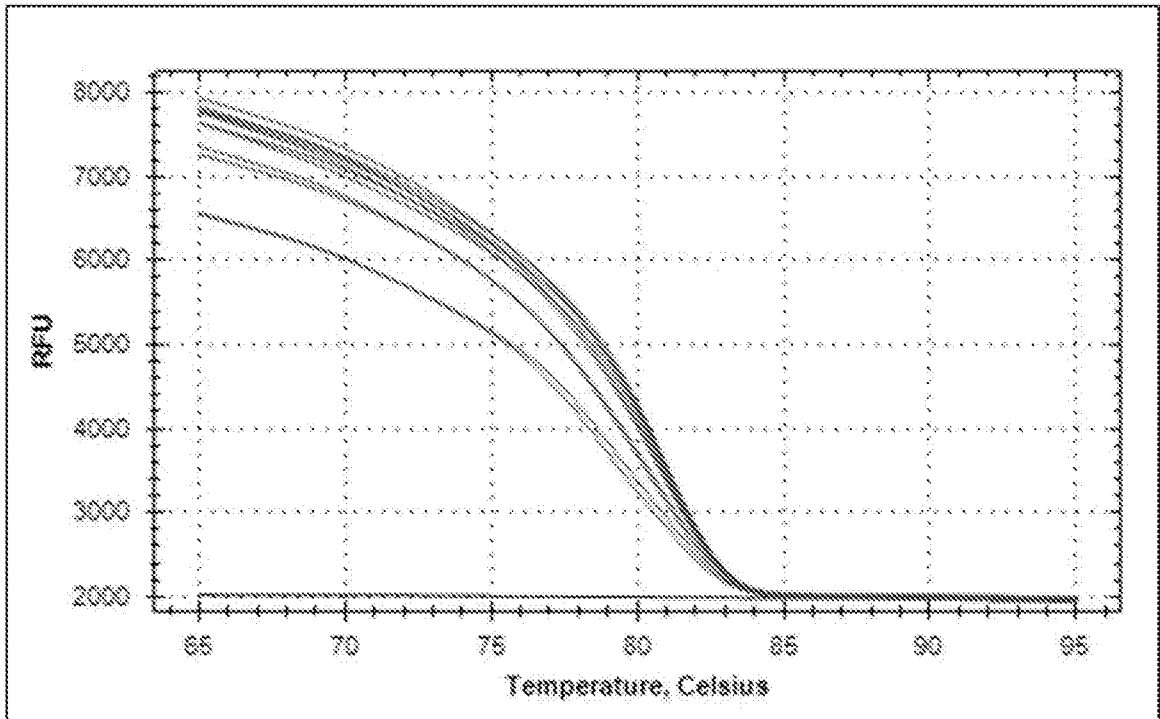


FIG. 5D

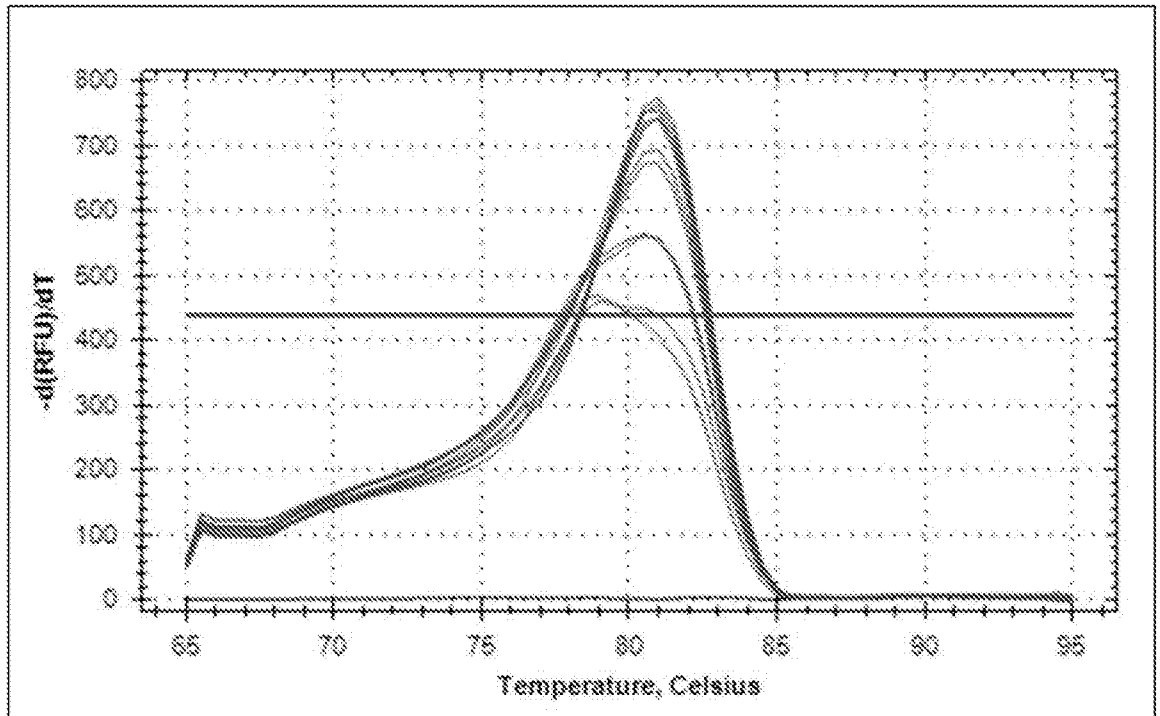


FIG. 6A

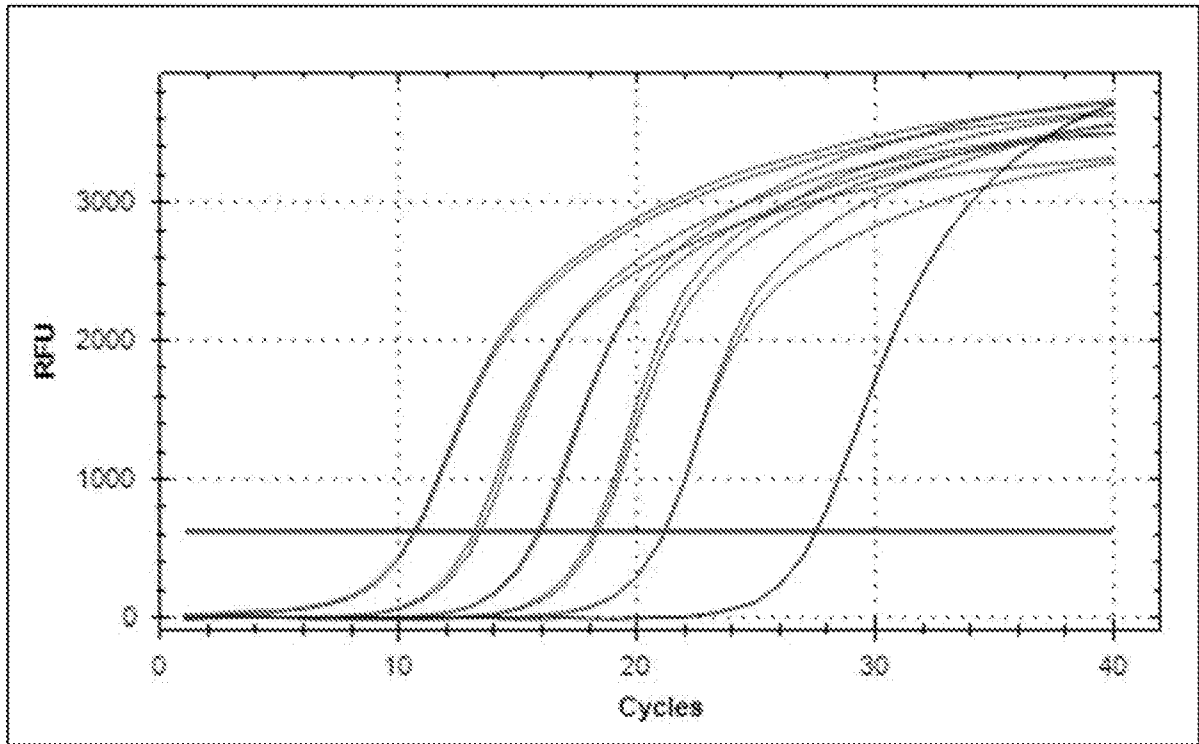


FIG. 6B

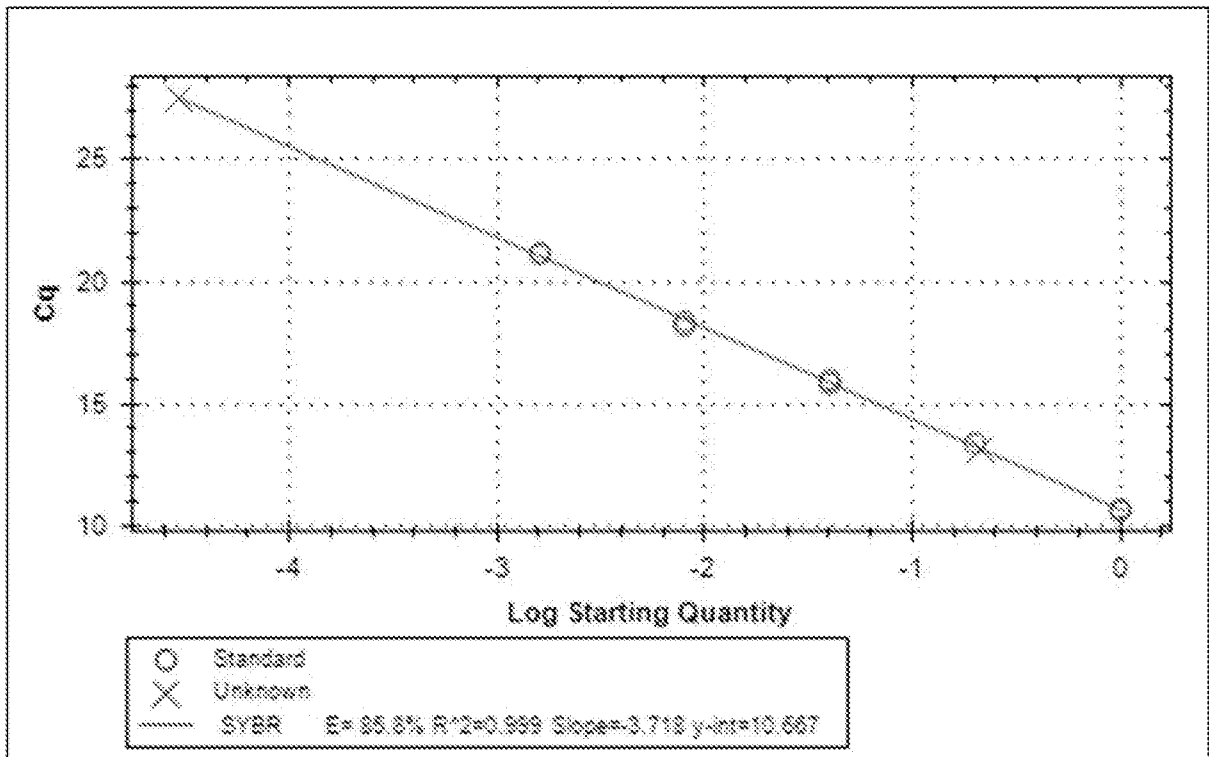


FIG. 6C

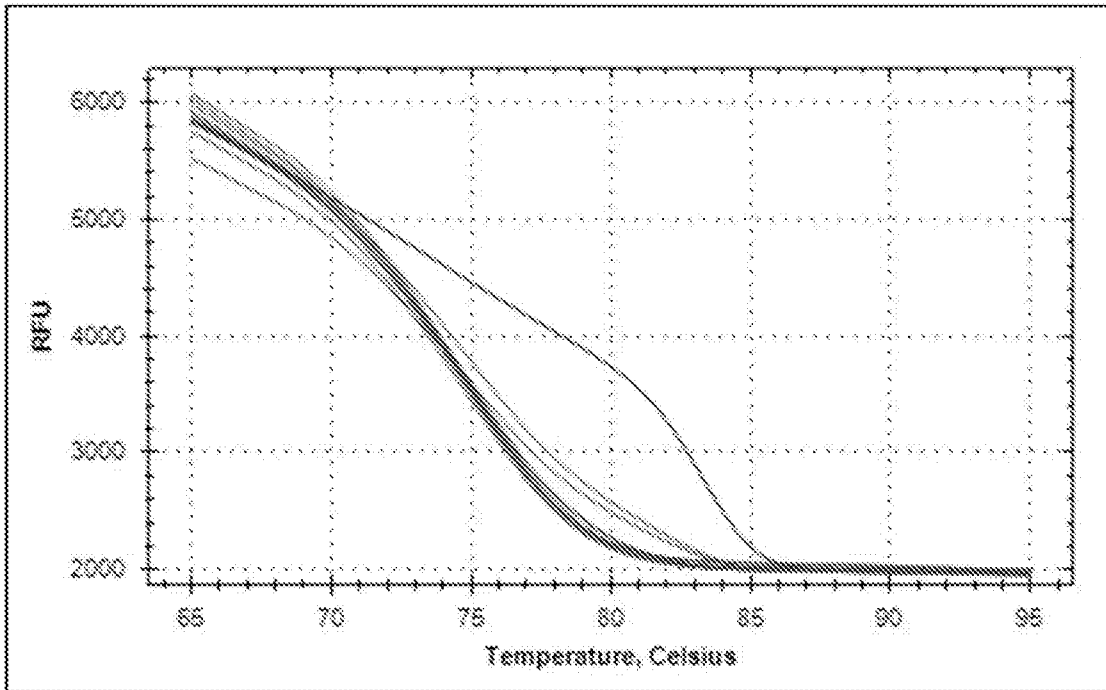


FIG. 6D

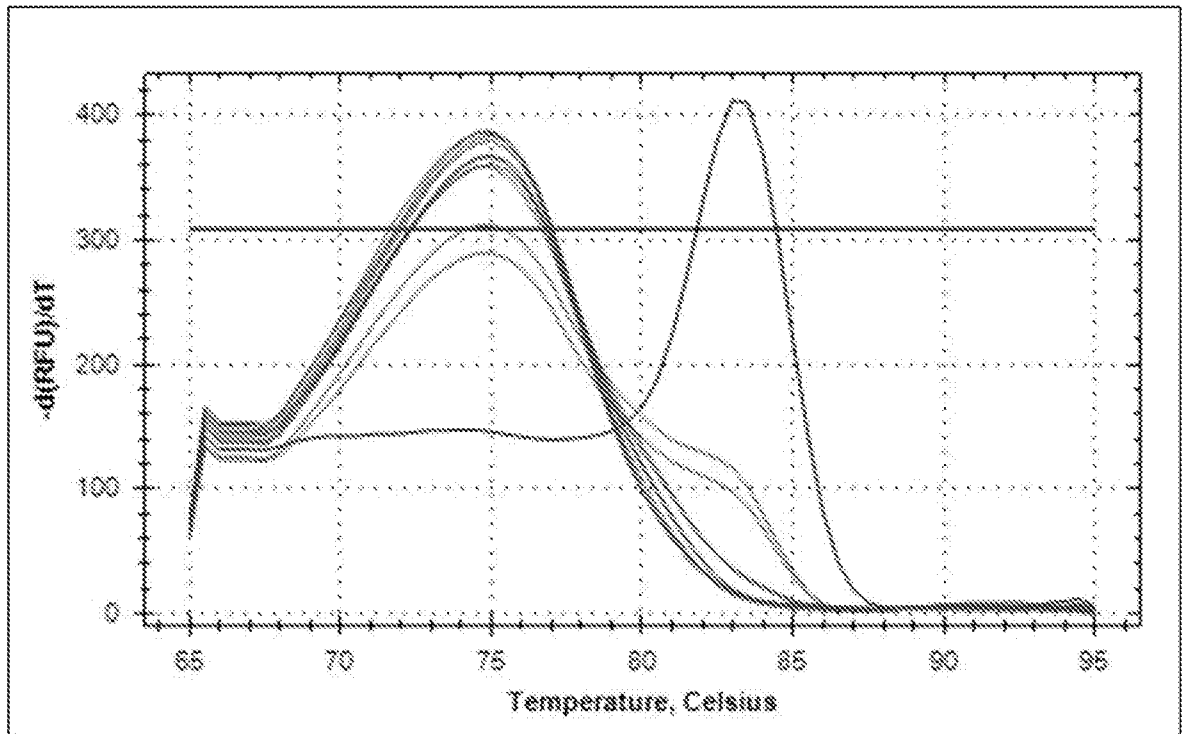


FIG. 7A

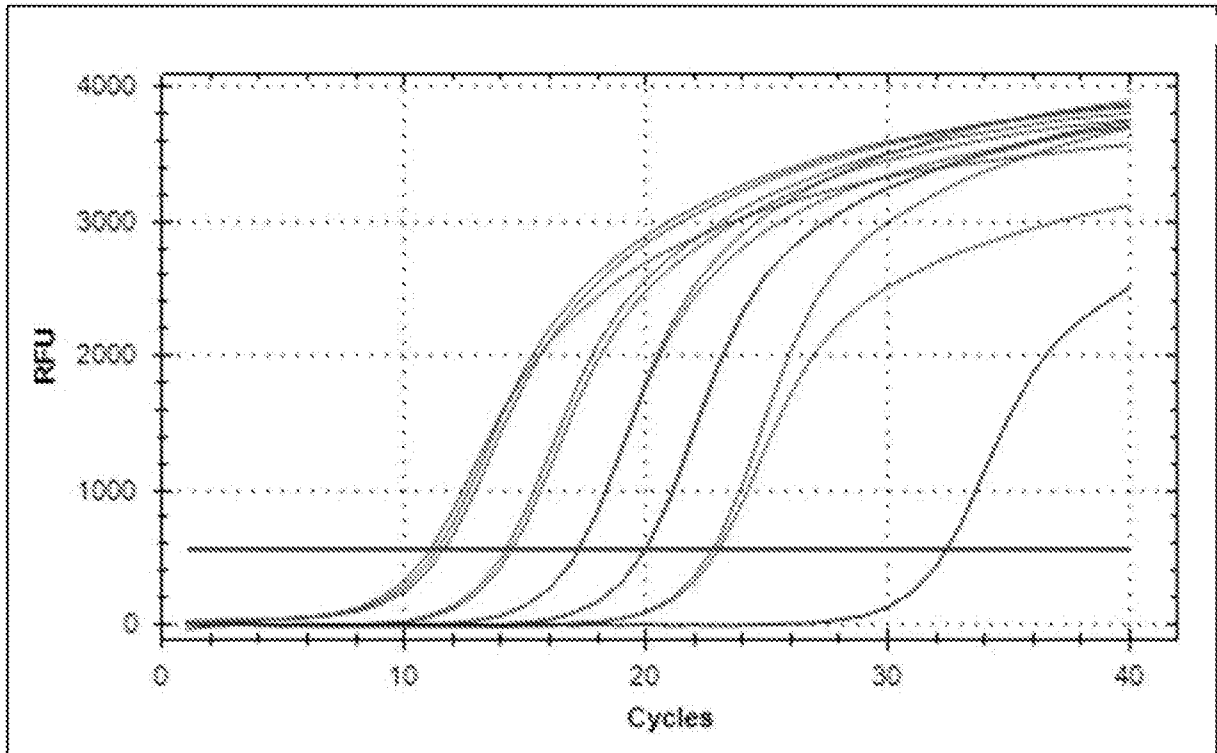


FIG. 7B

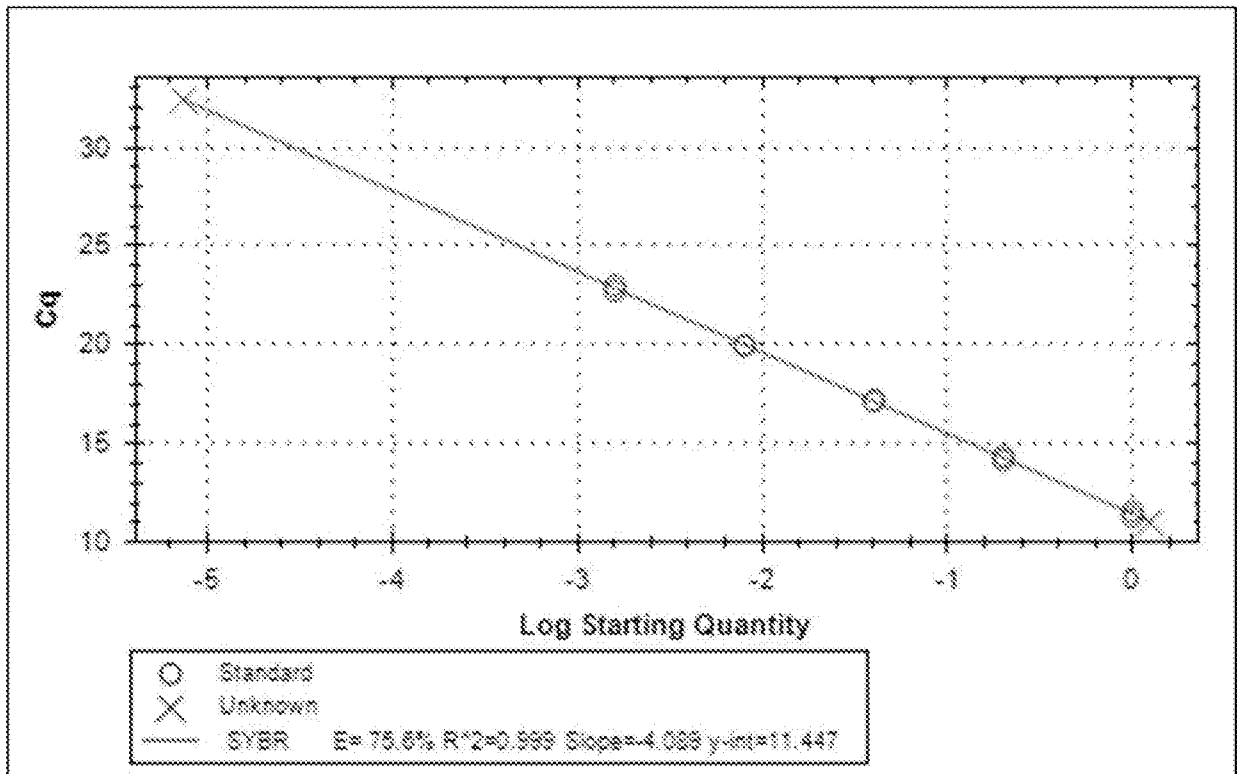


FIG. 7C

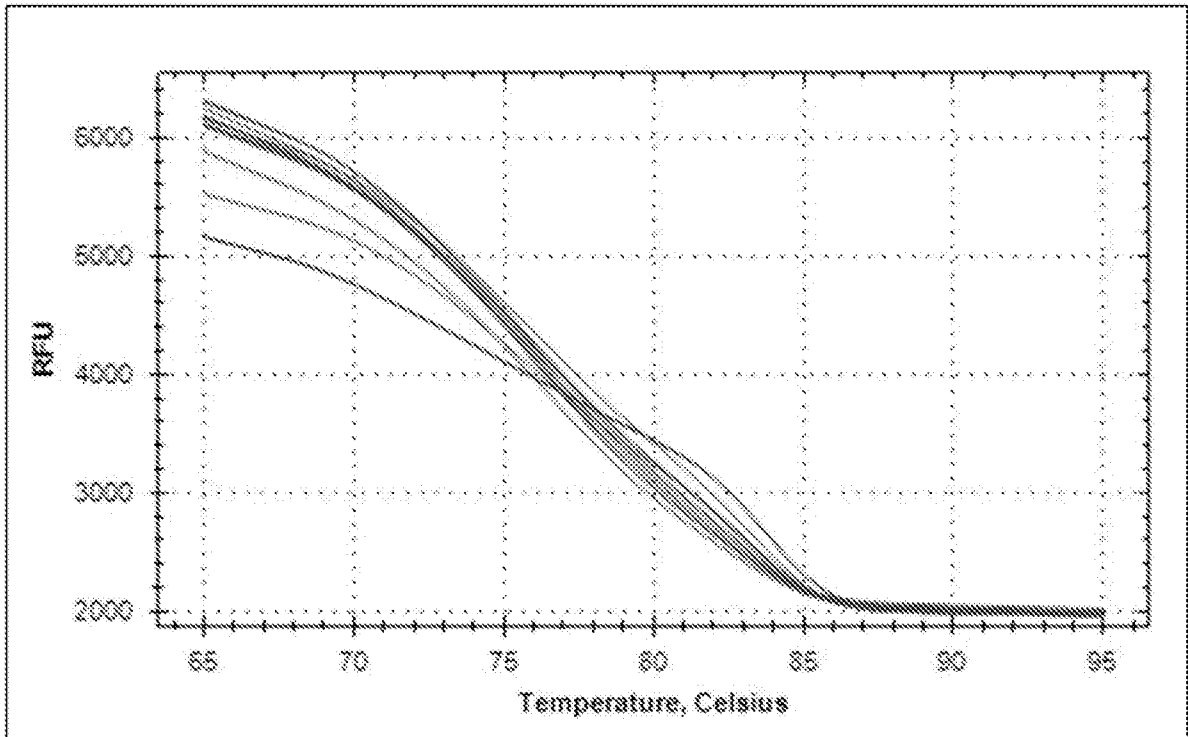


FIG. 7D

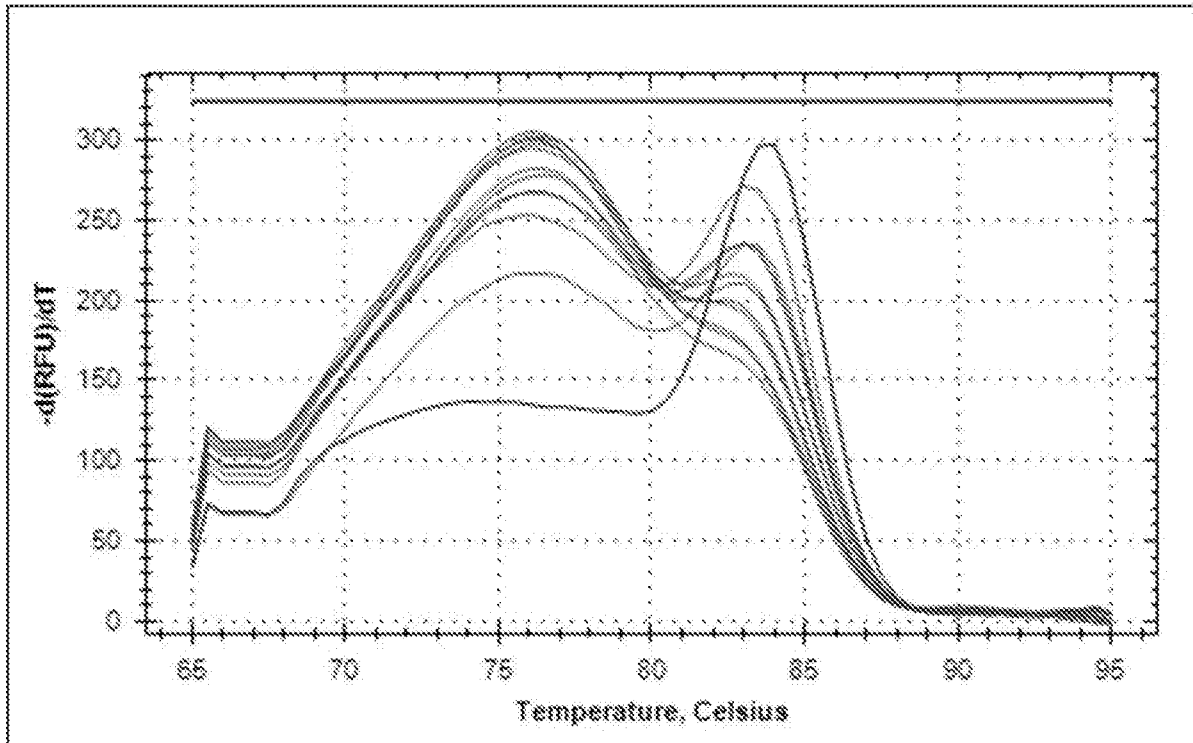


FIG. 8A

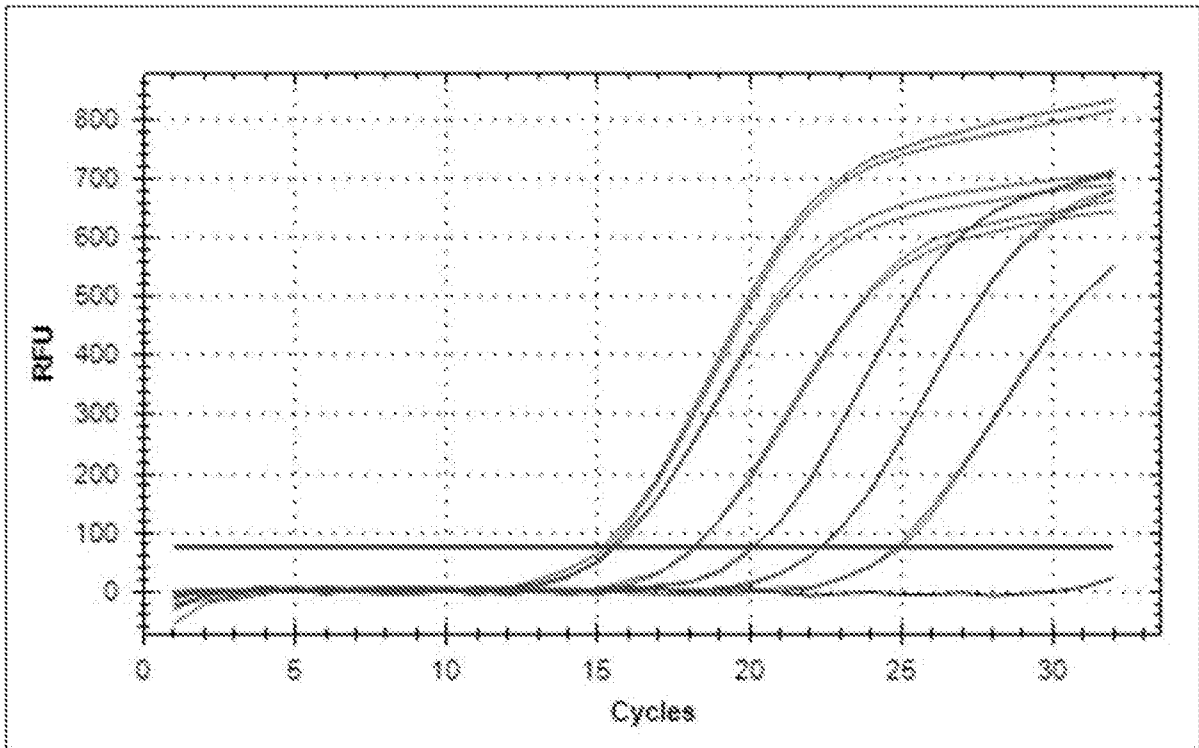


FIG. 8B

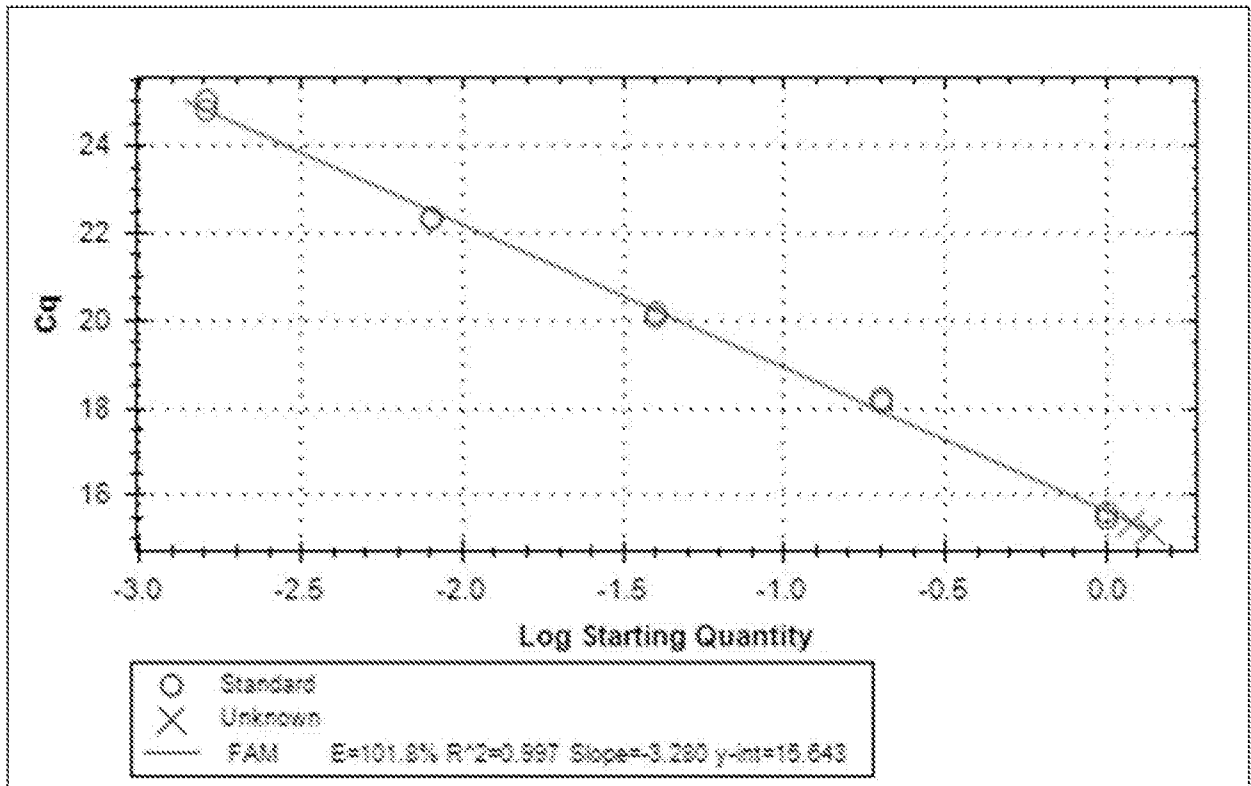


FIG. 8C

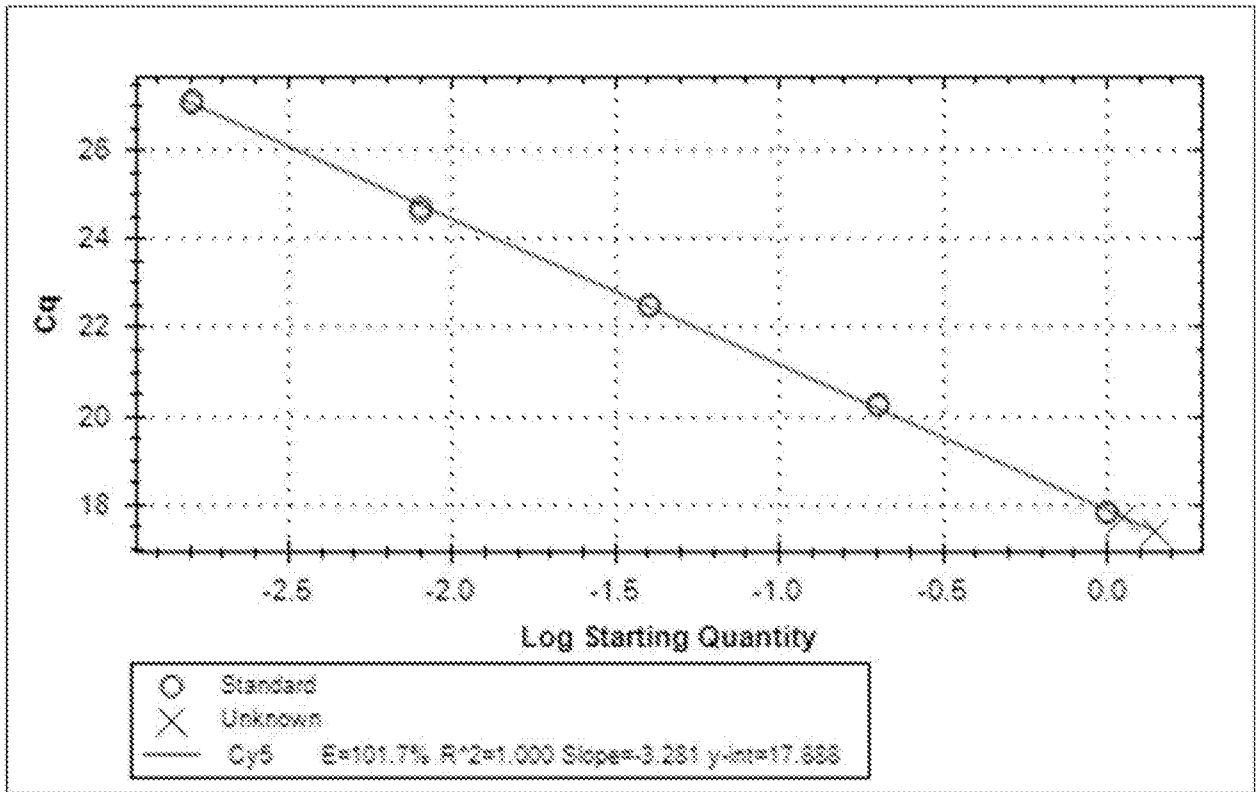


FIG. 8D

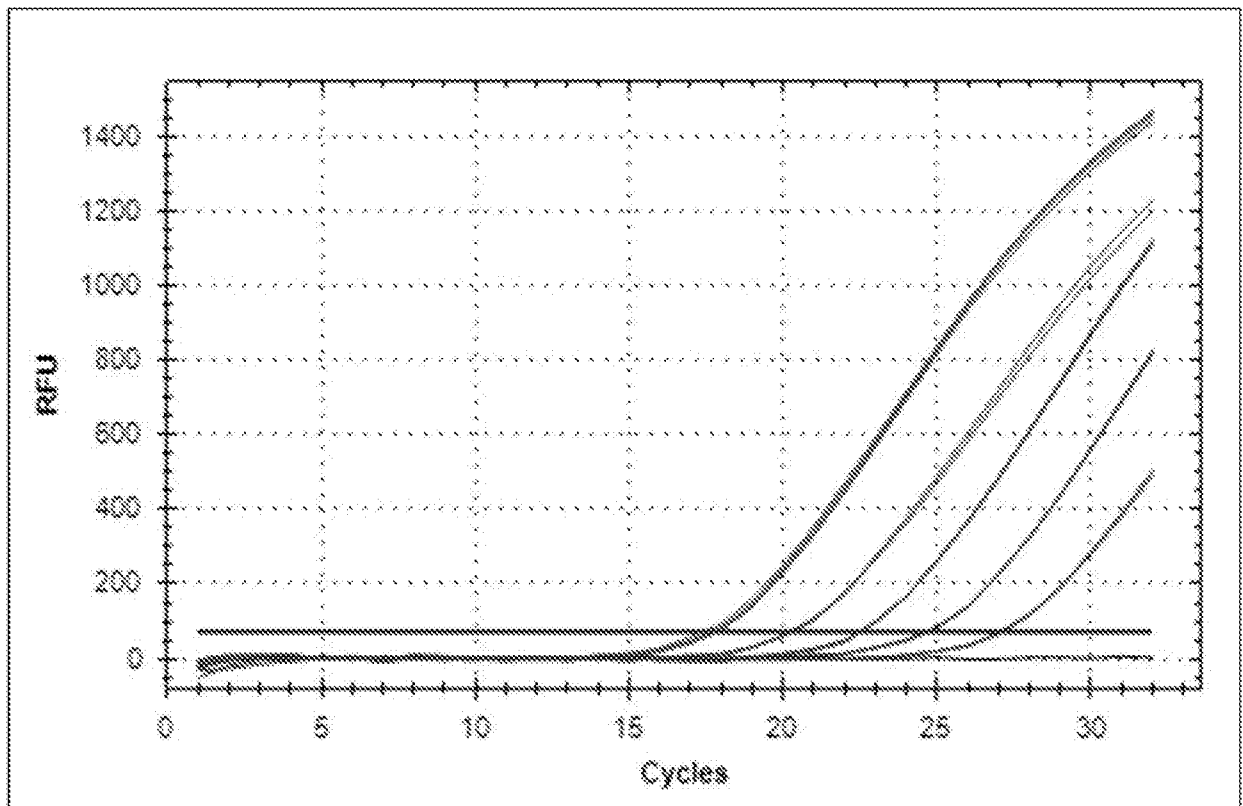


FIG.8E

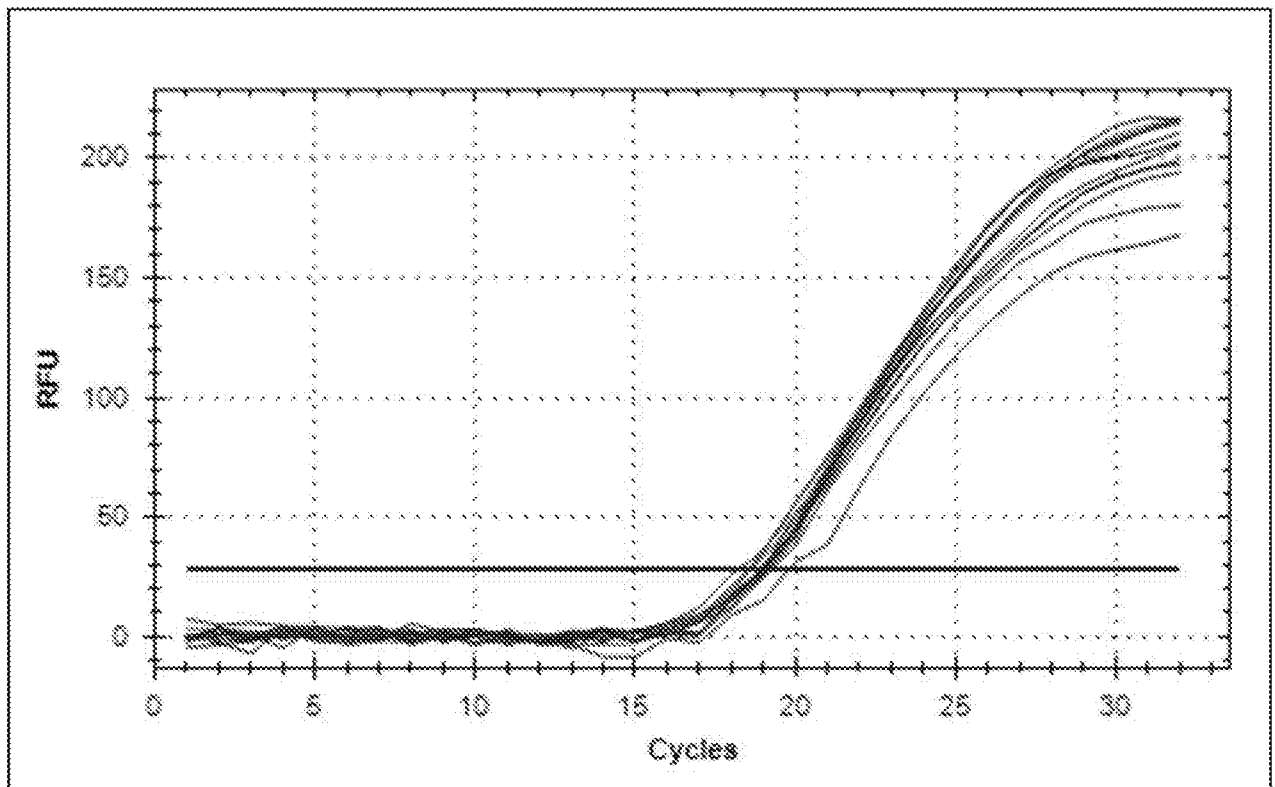


FIG. 9A

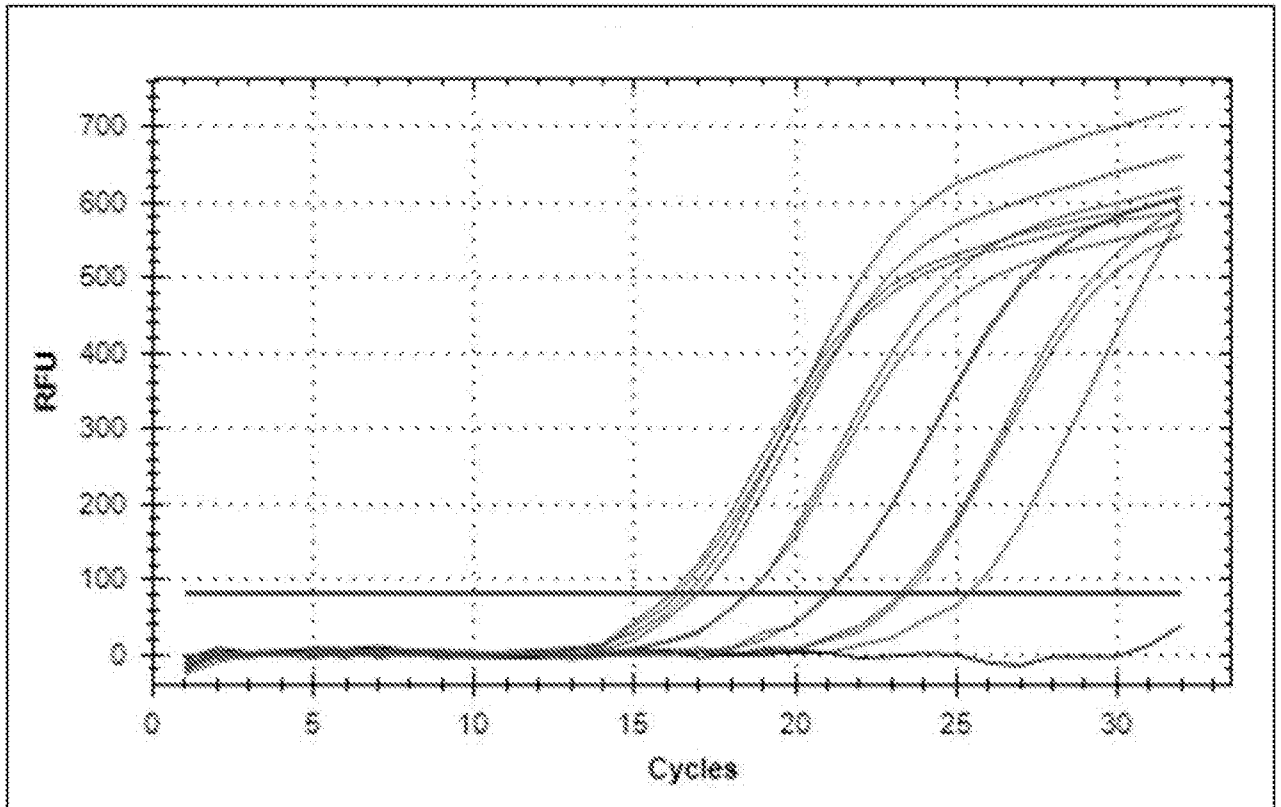


FIG. 9B

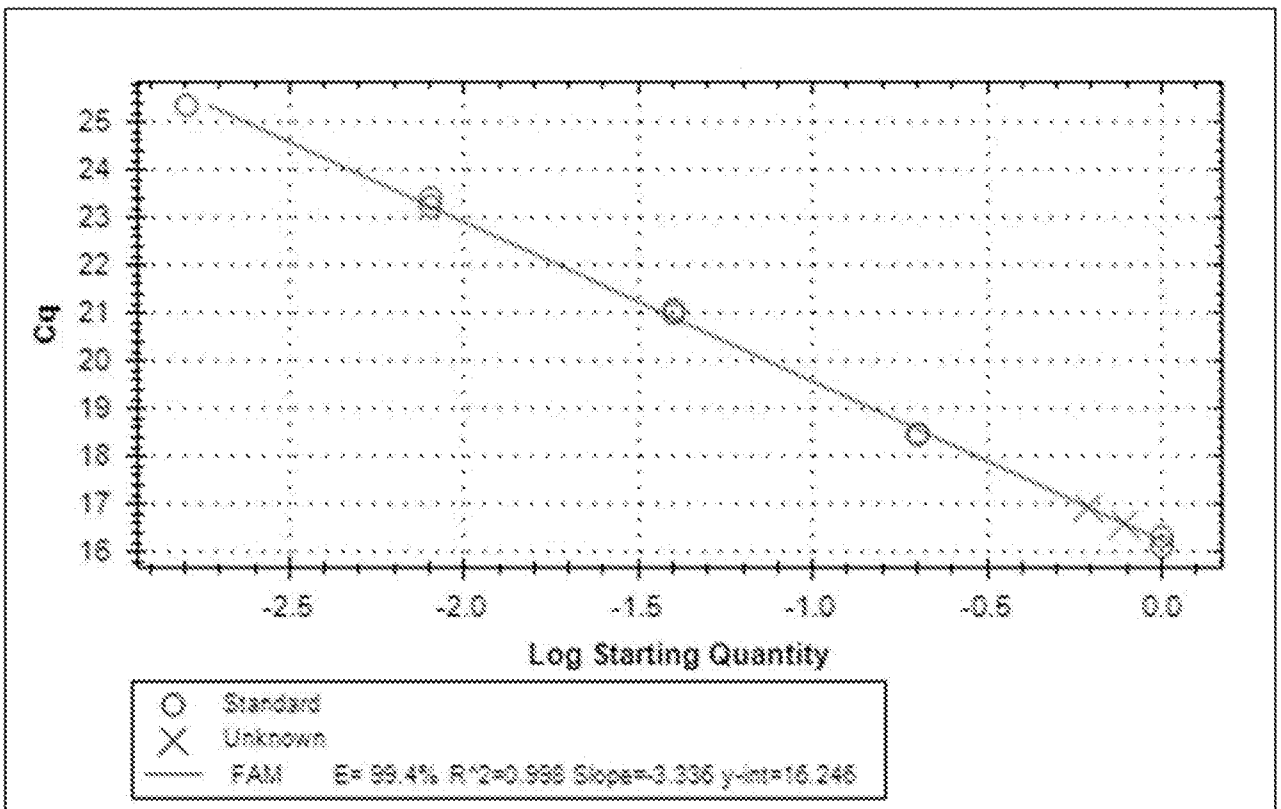


FIG. 9C

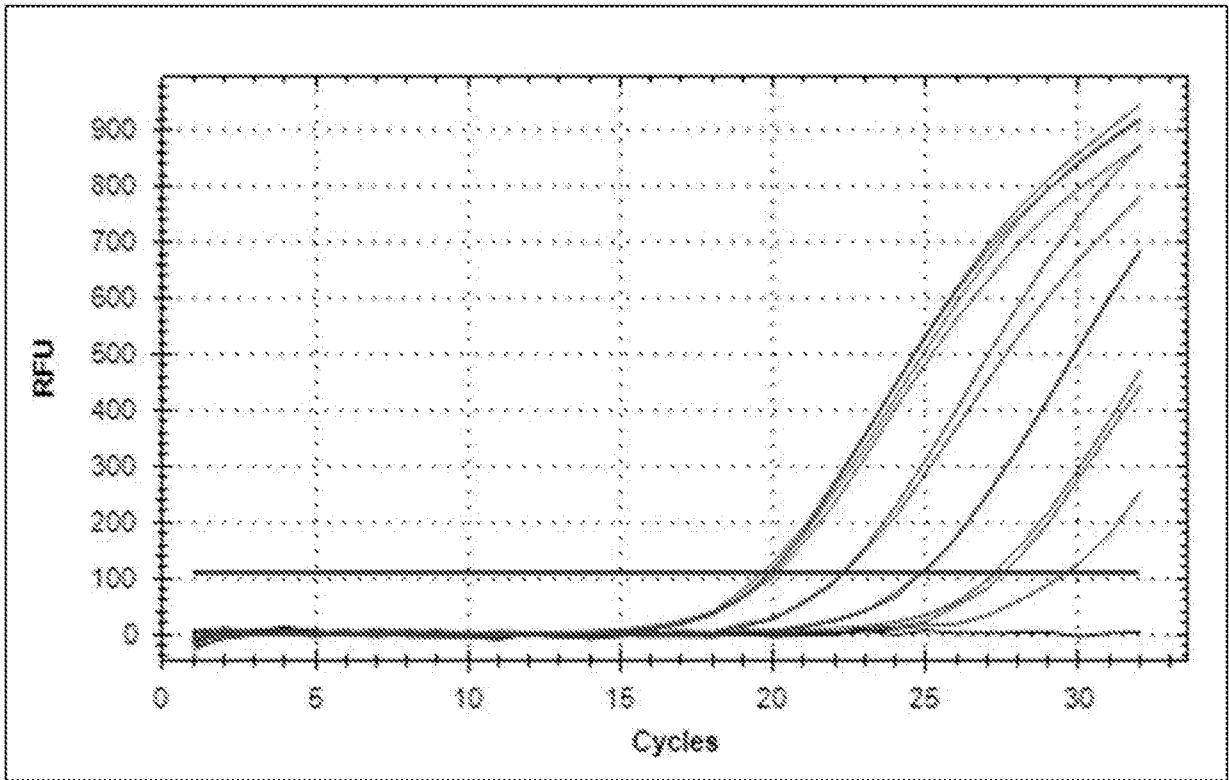


FIG. 9D

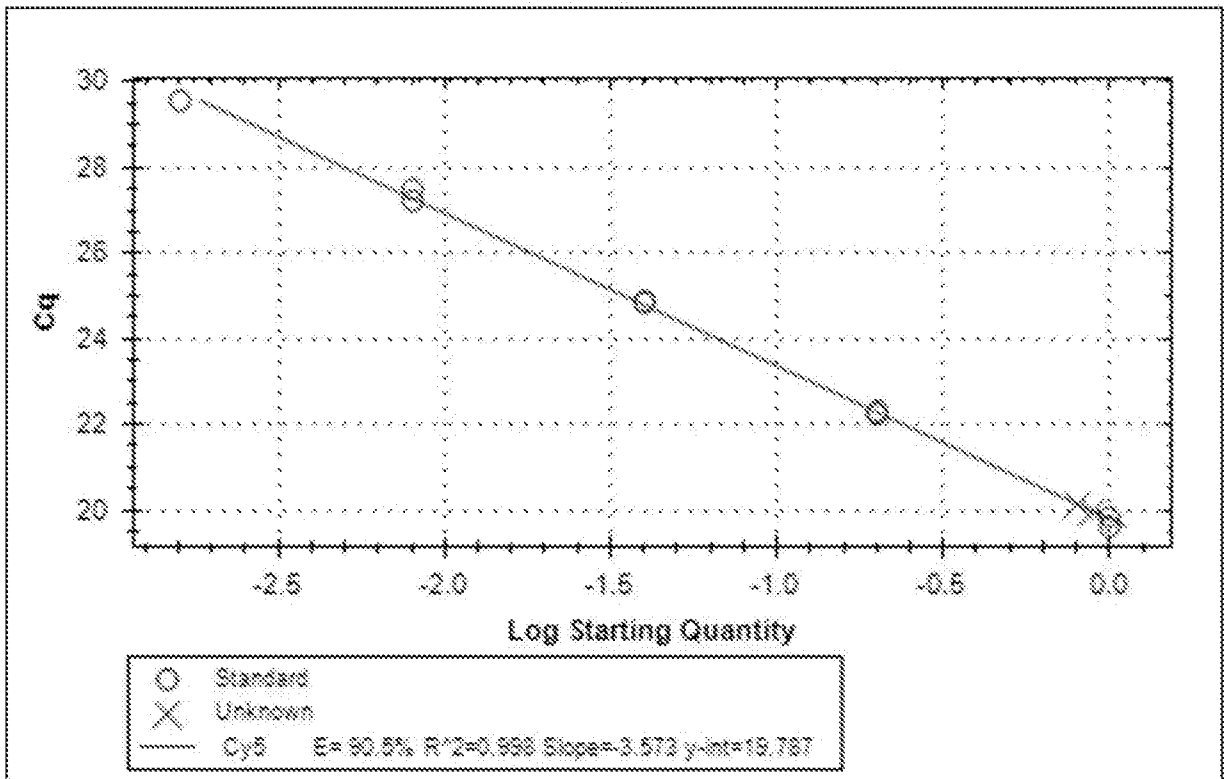


FIG. 9E

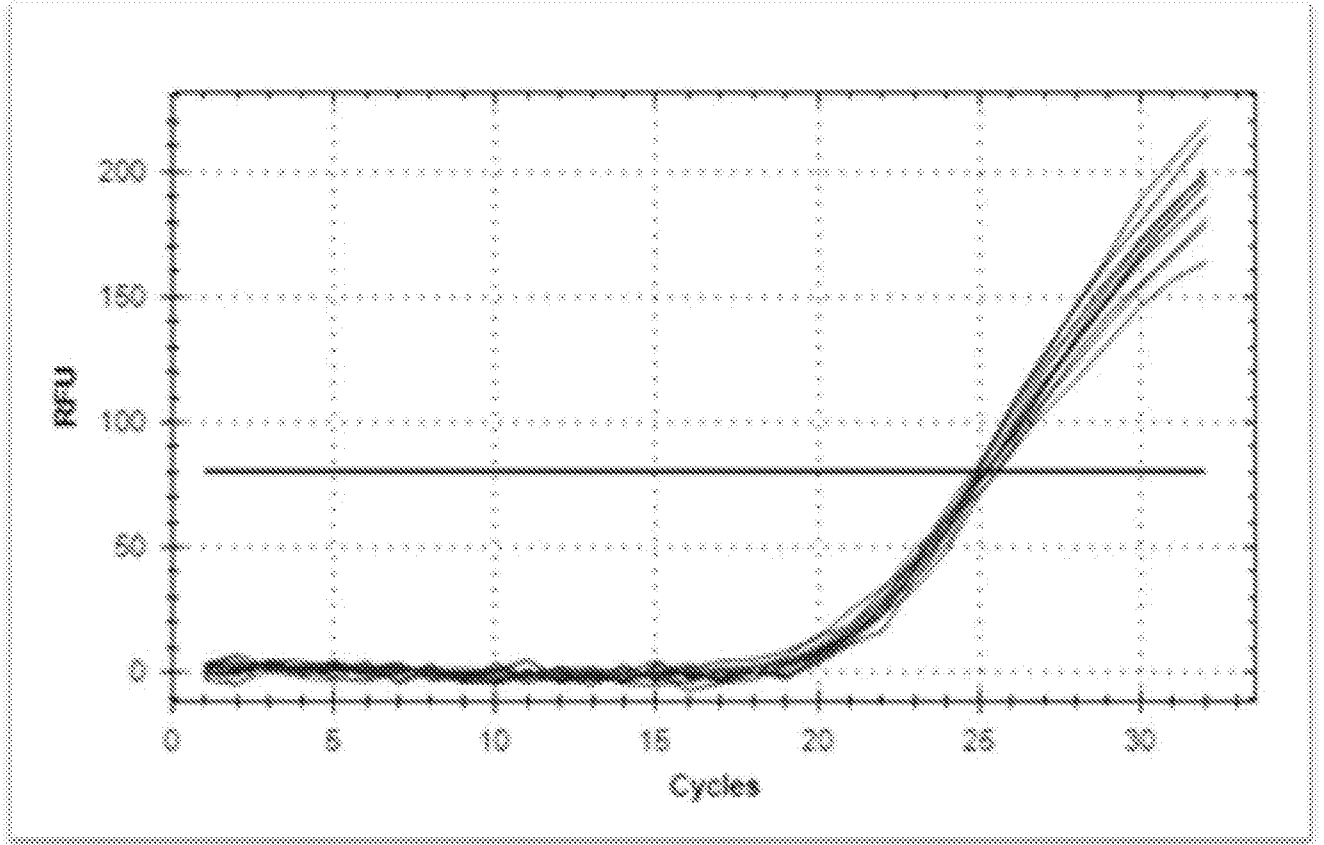


FIG. 10A

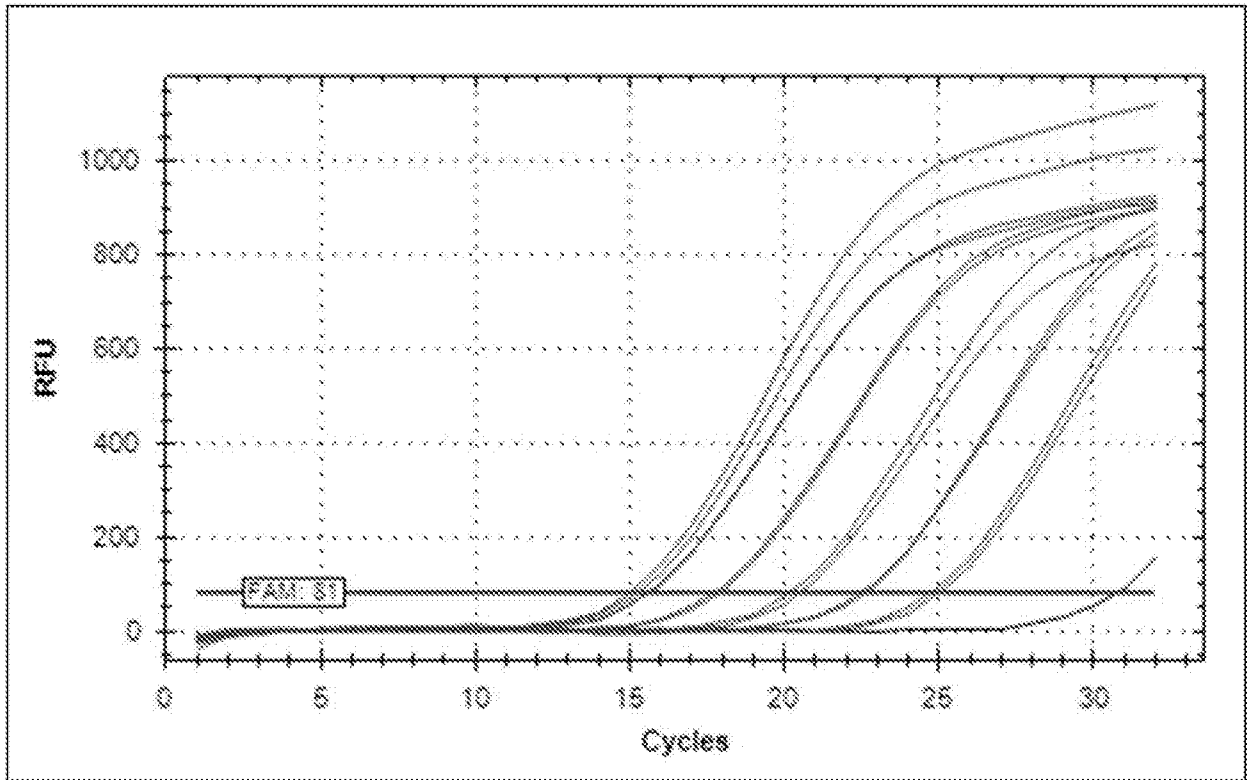


FIG. 10B

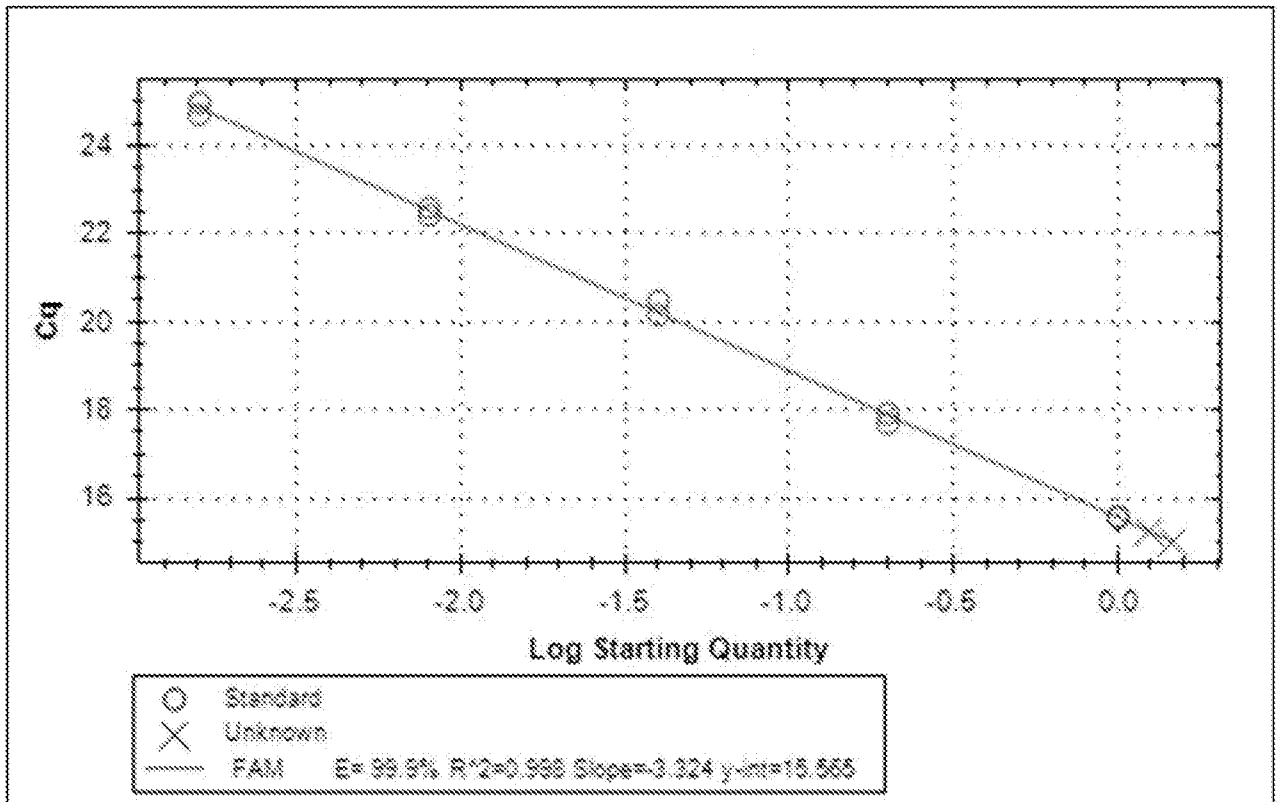


FIG. 10C

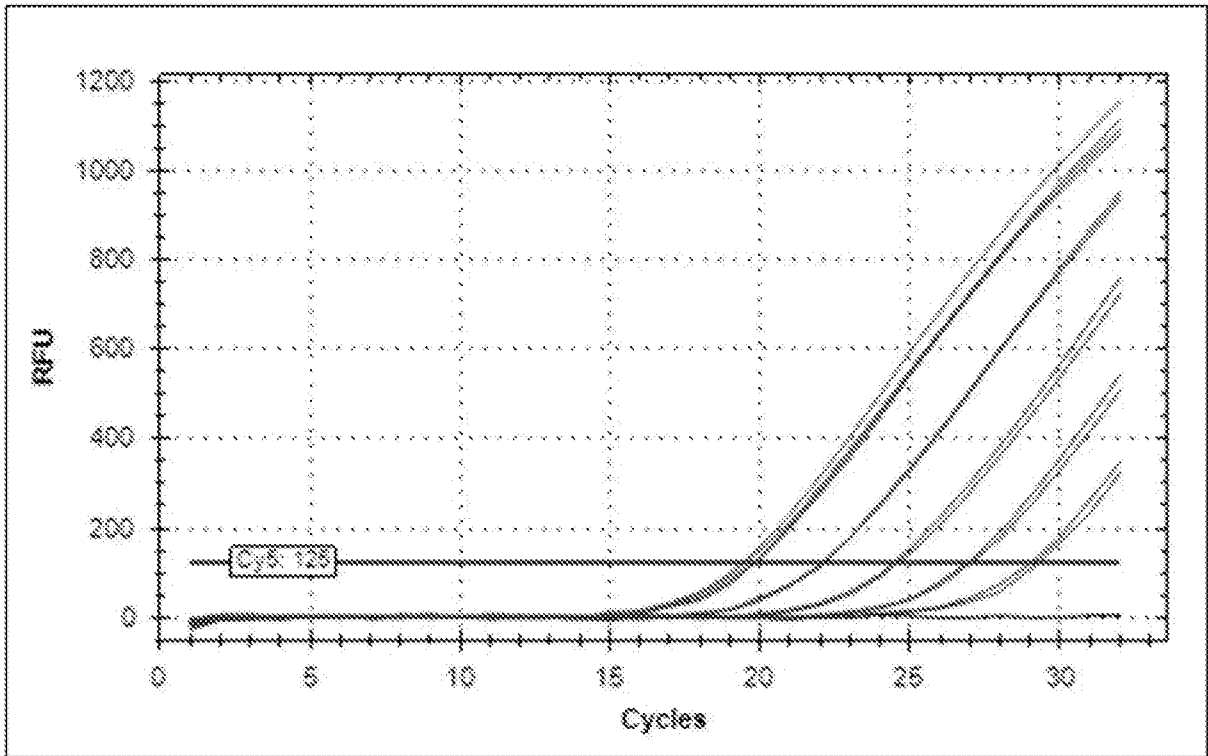


FIG. 10D

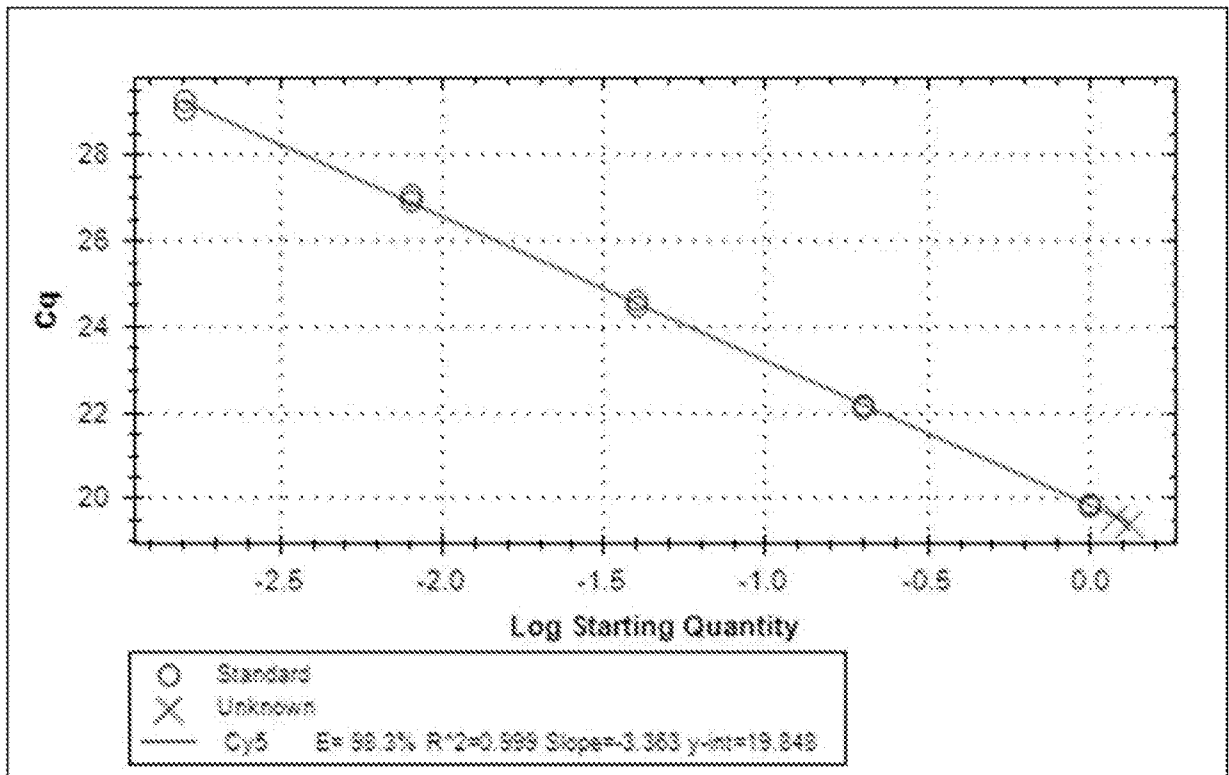


FIG. 10E

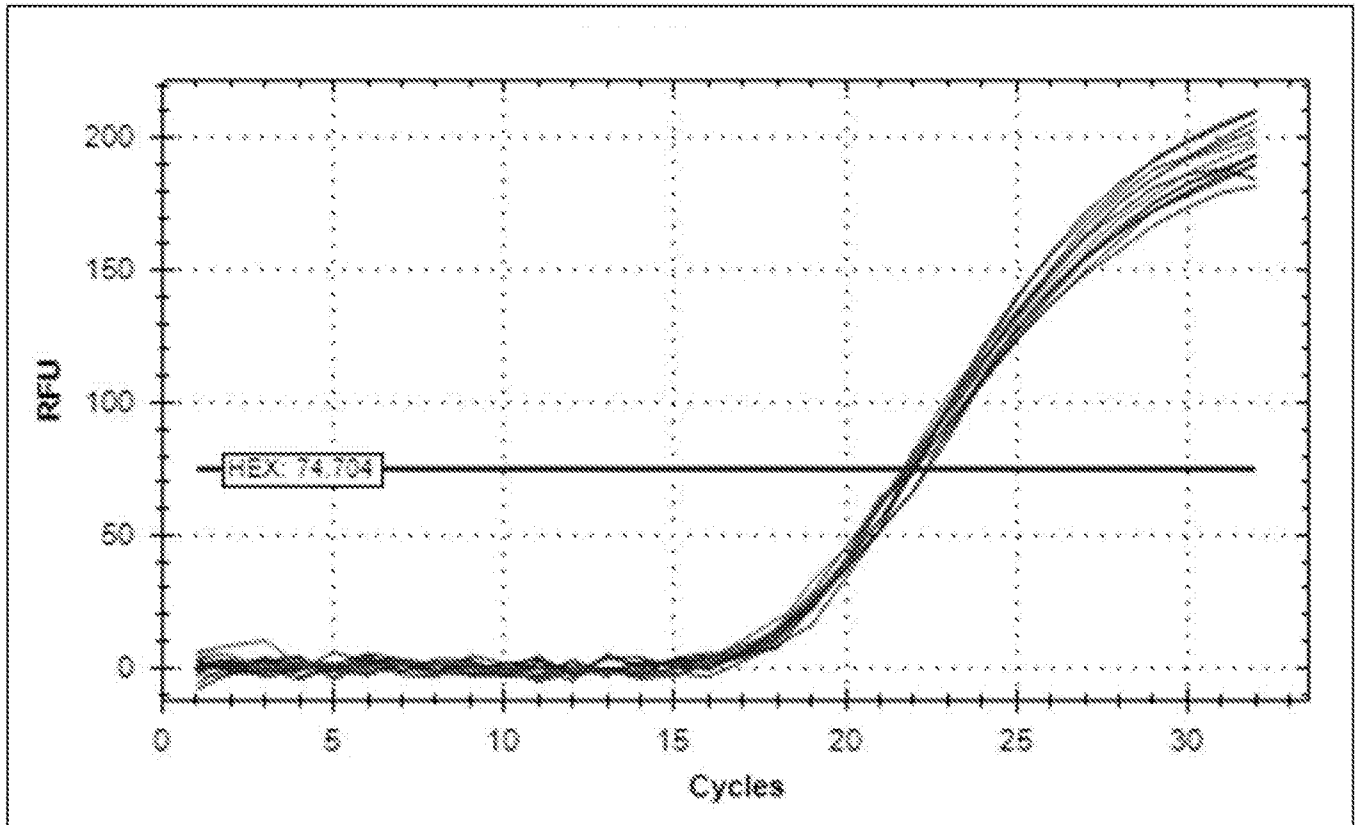


FIG. 11A

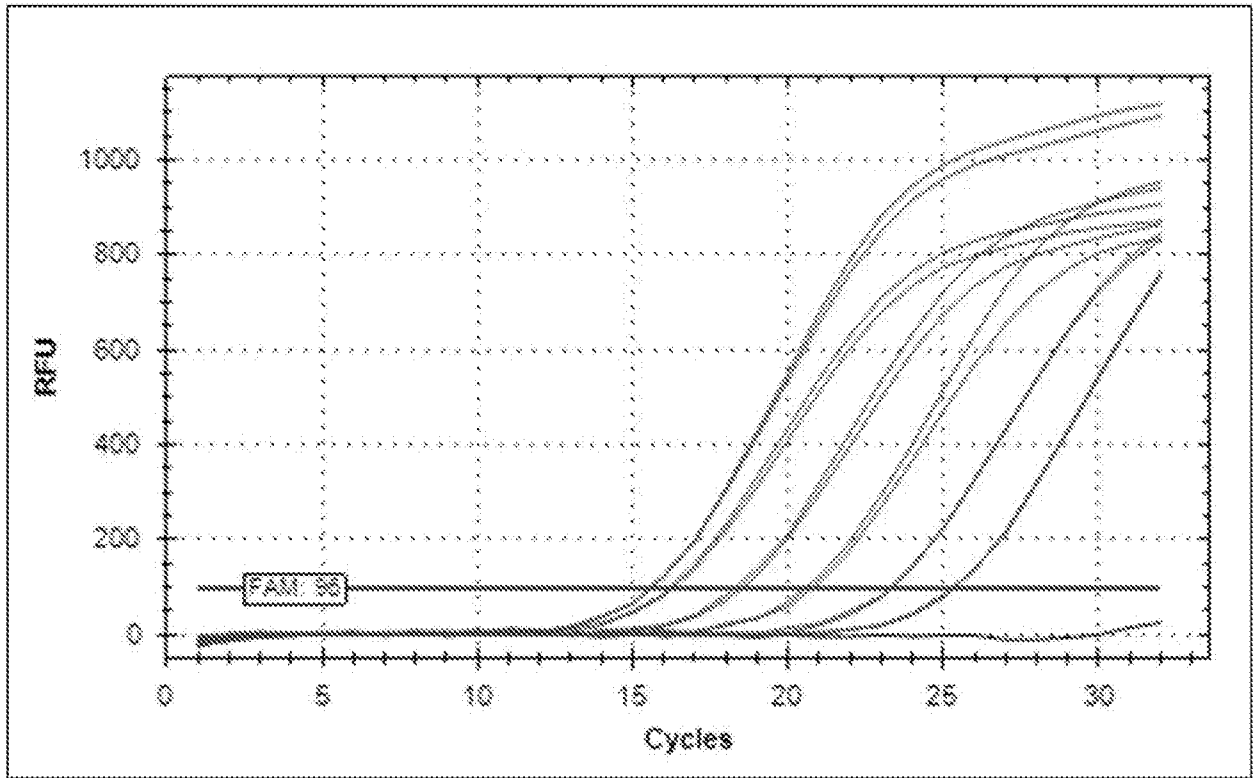


FIG. 11B

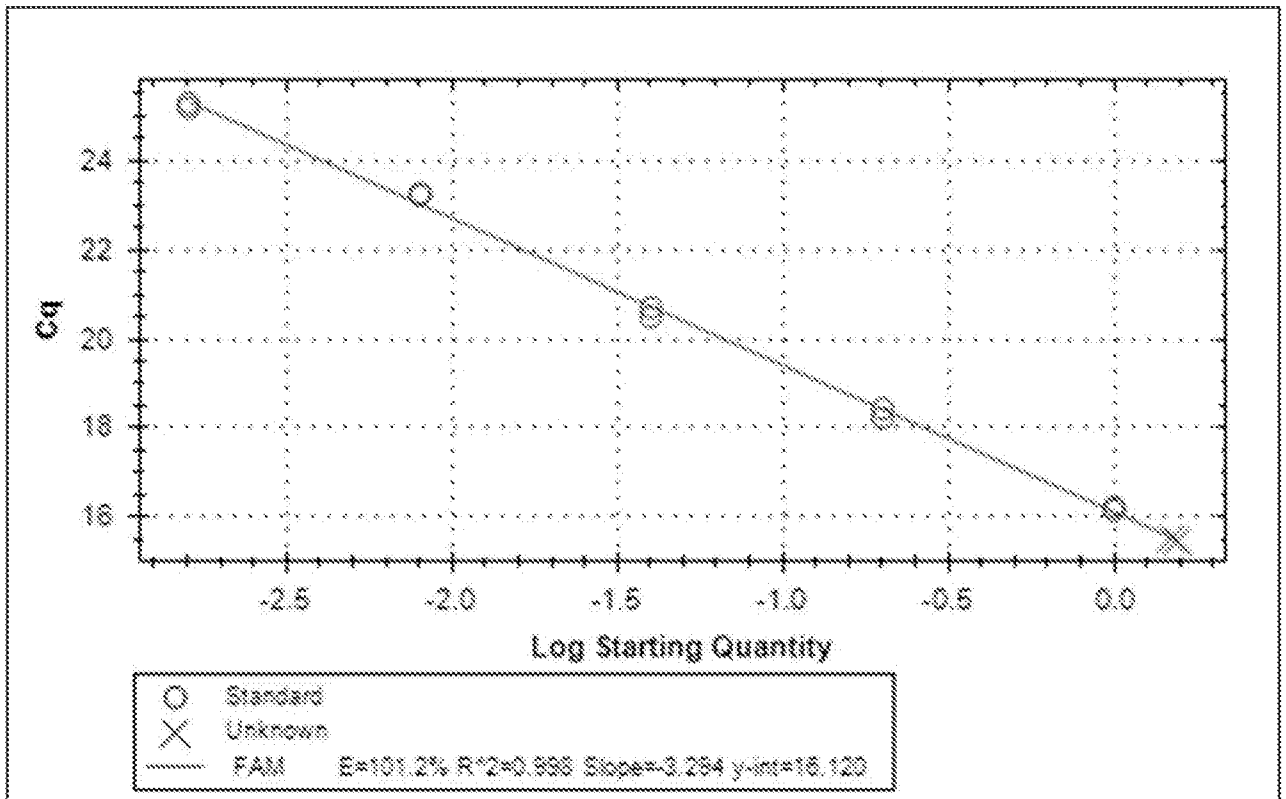


FIG. 11C

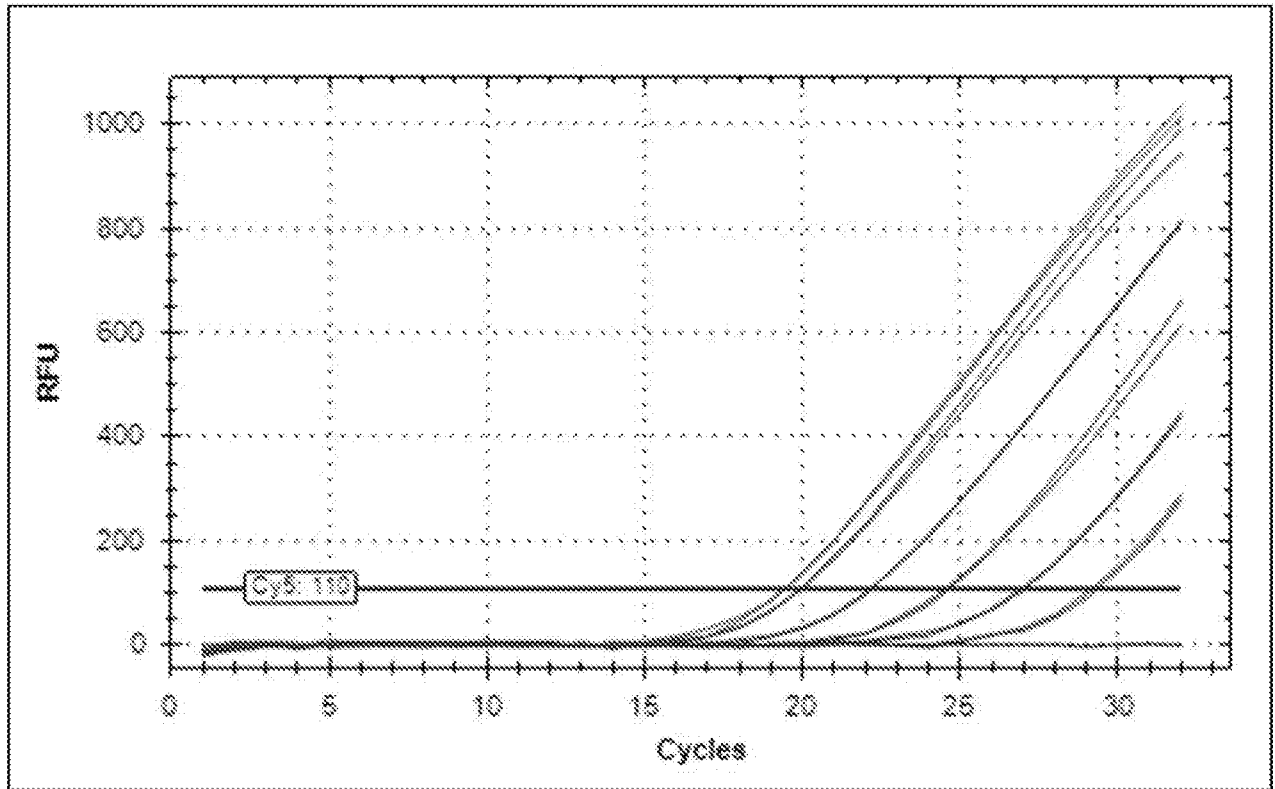


FIG. 11D

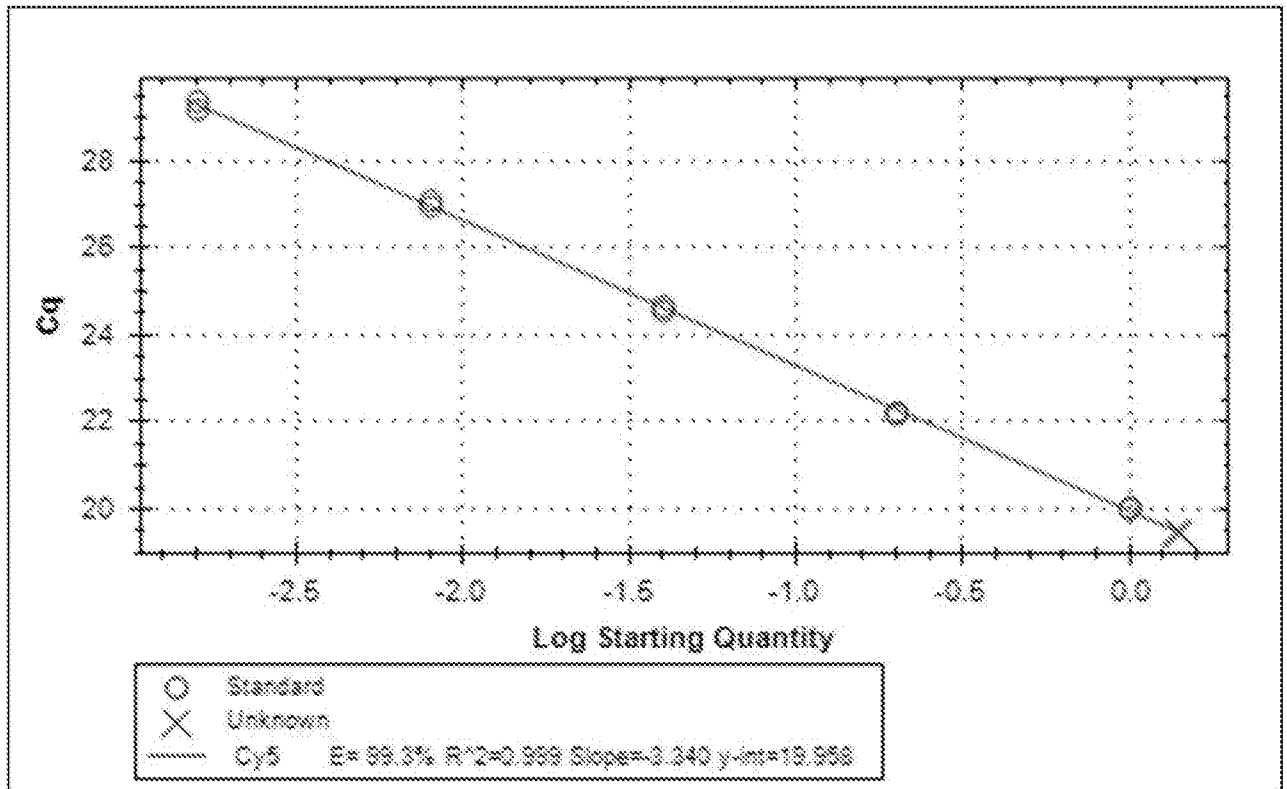


FIG. 11E

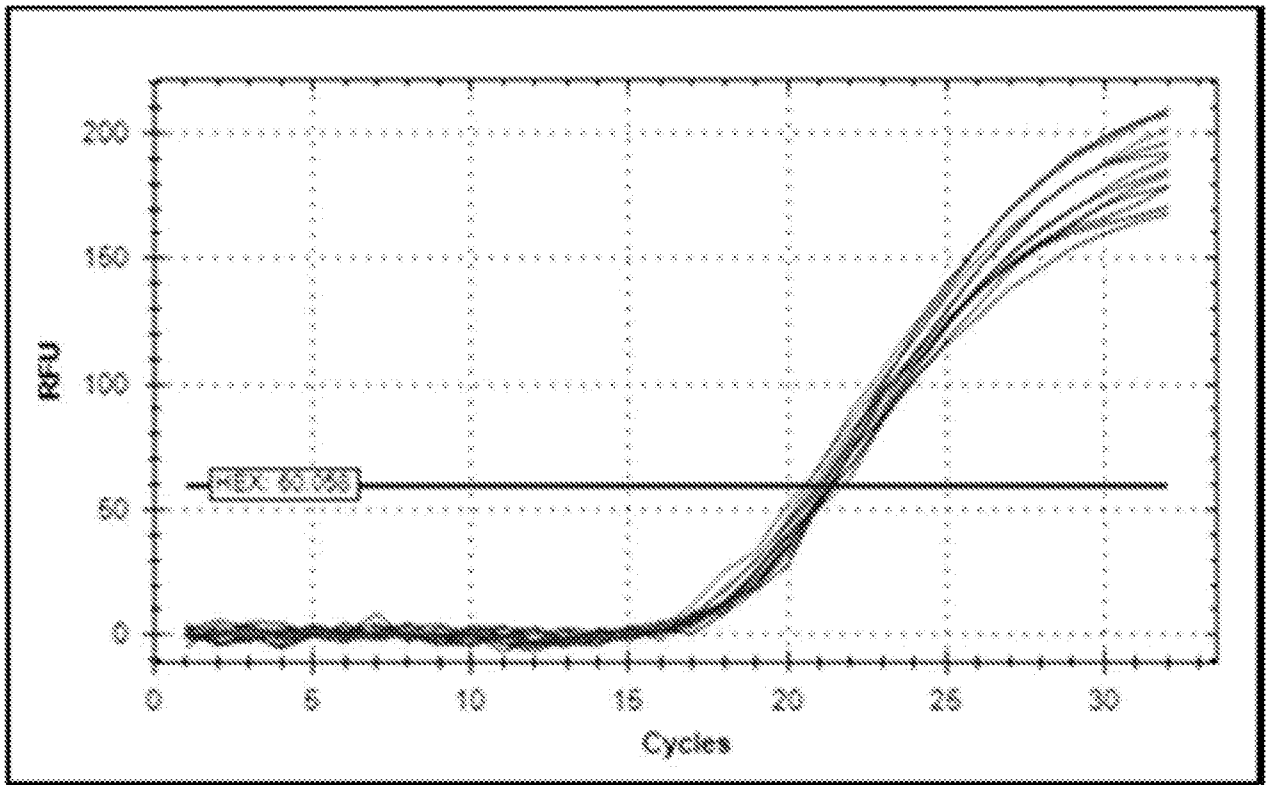


FIG. 12A

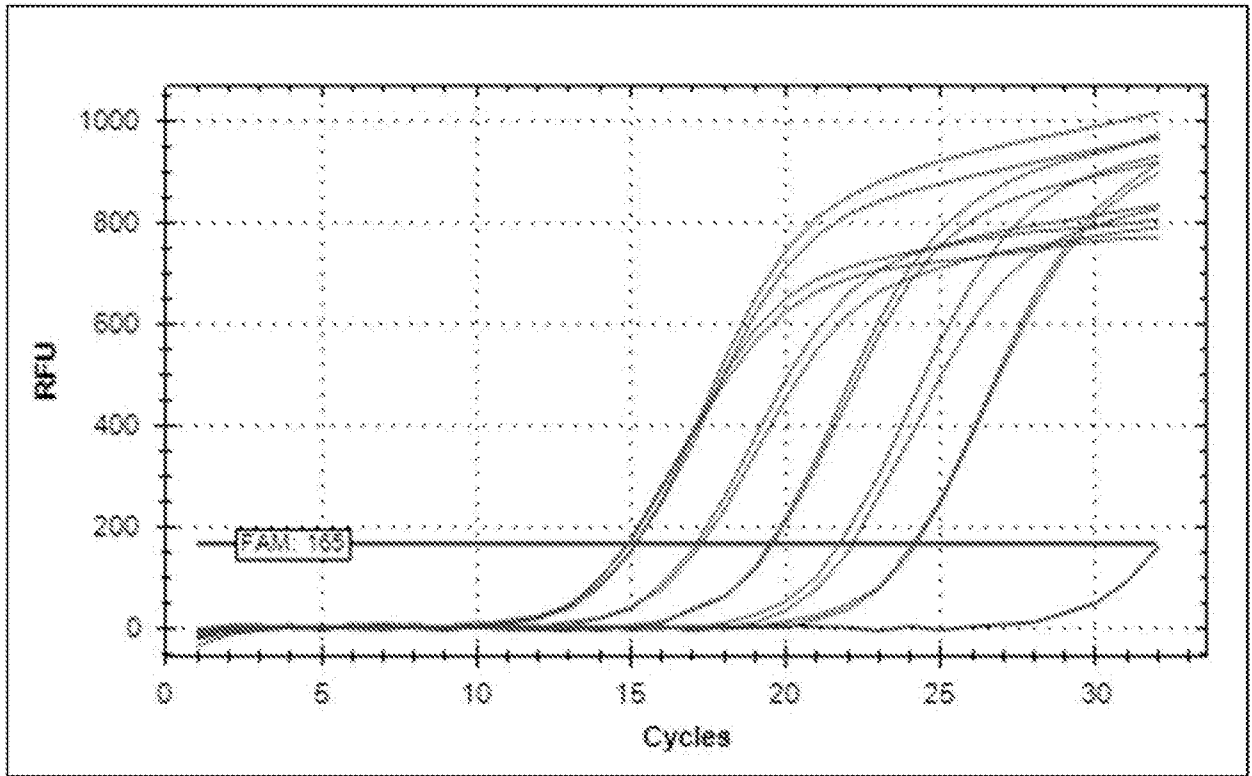


FIG. 12B

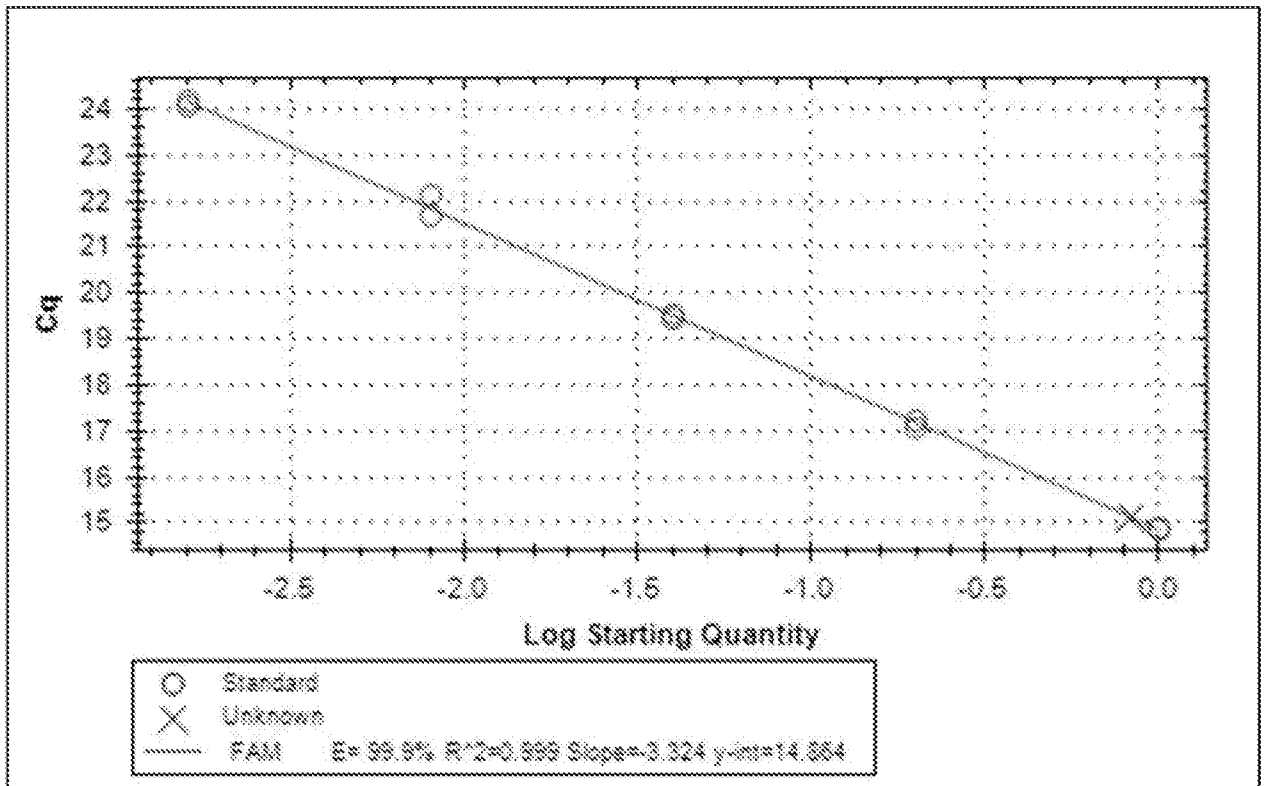


FIG. 12C

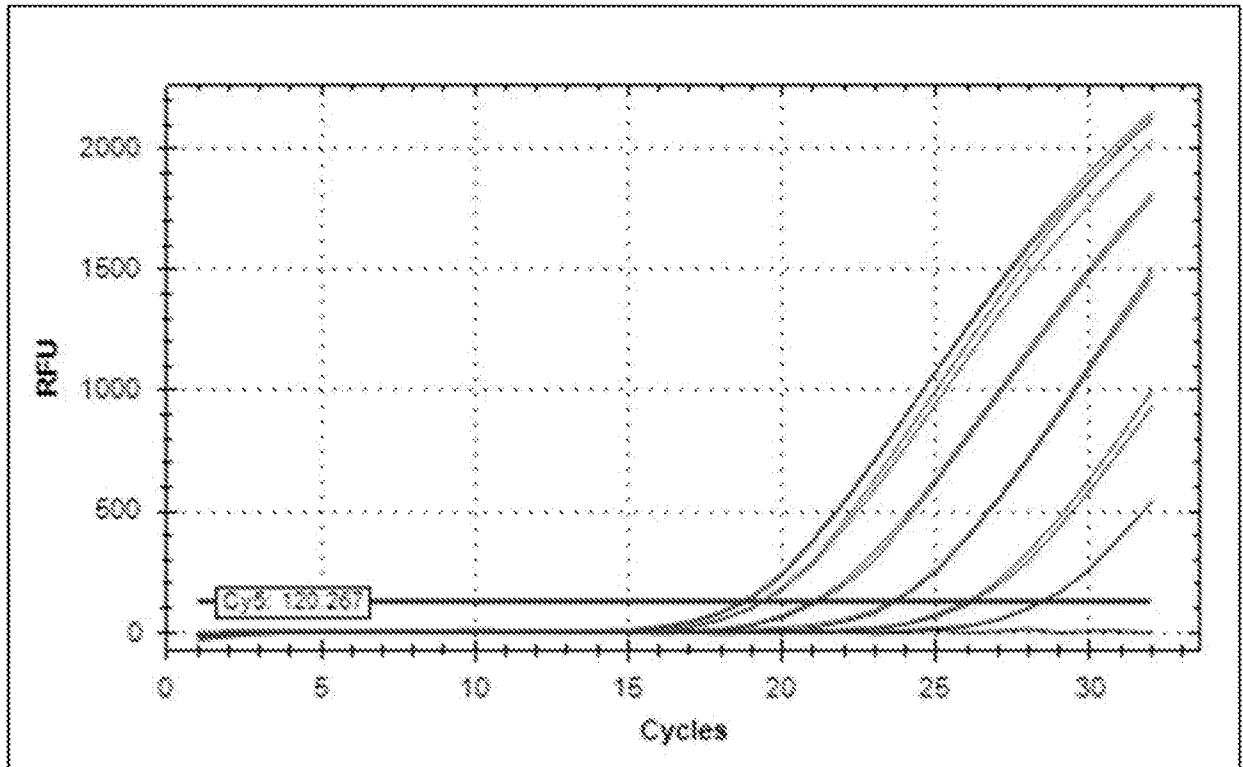


FIG. 12D

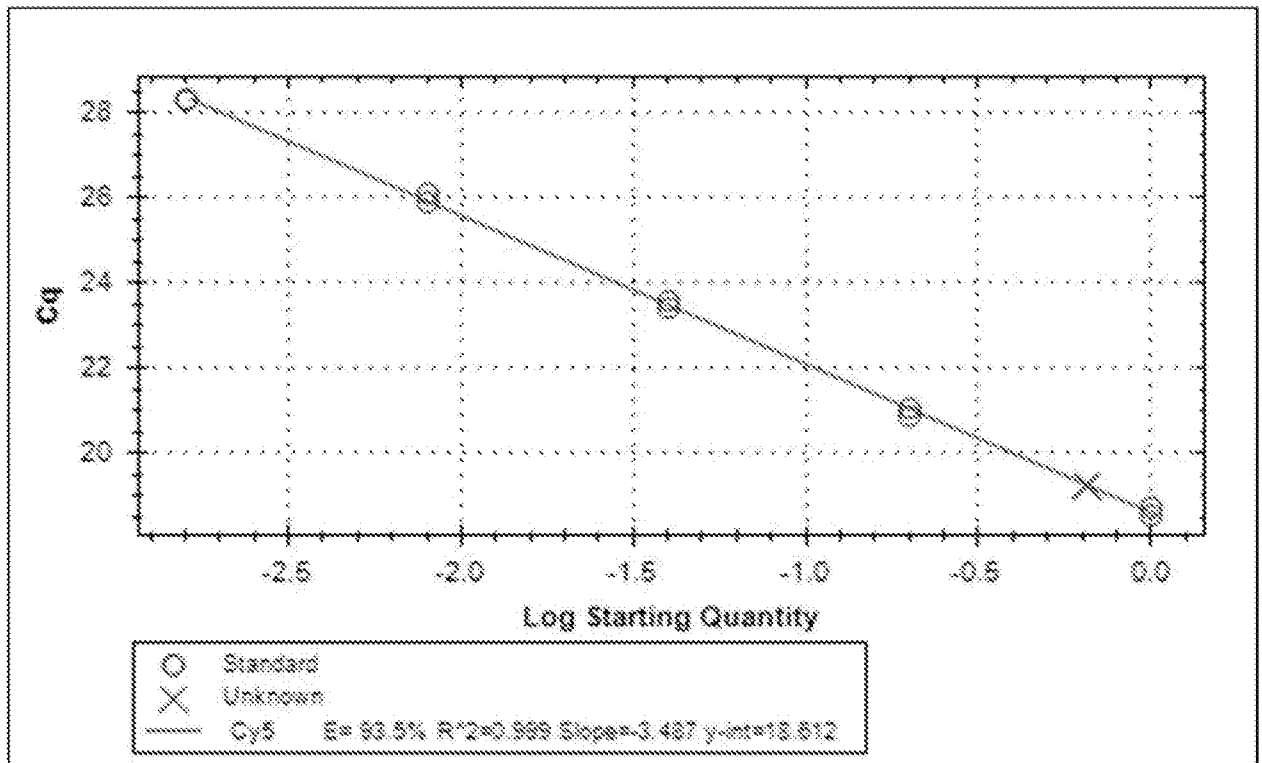


FIG. 12E

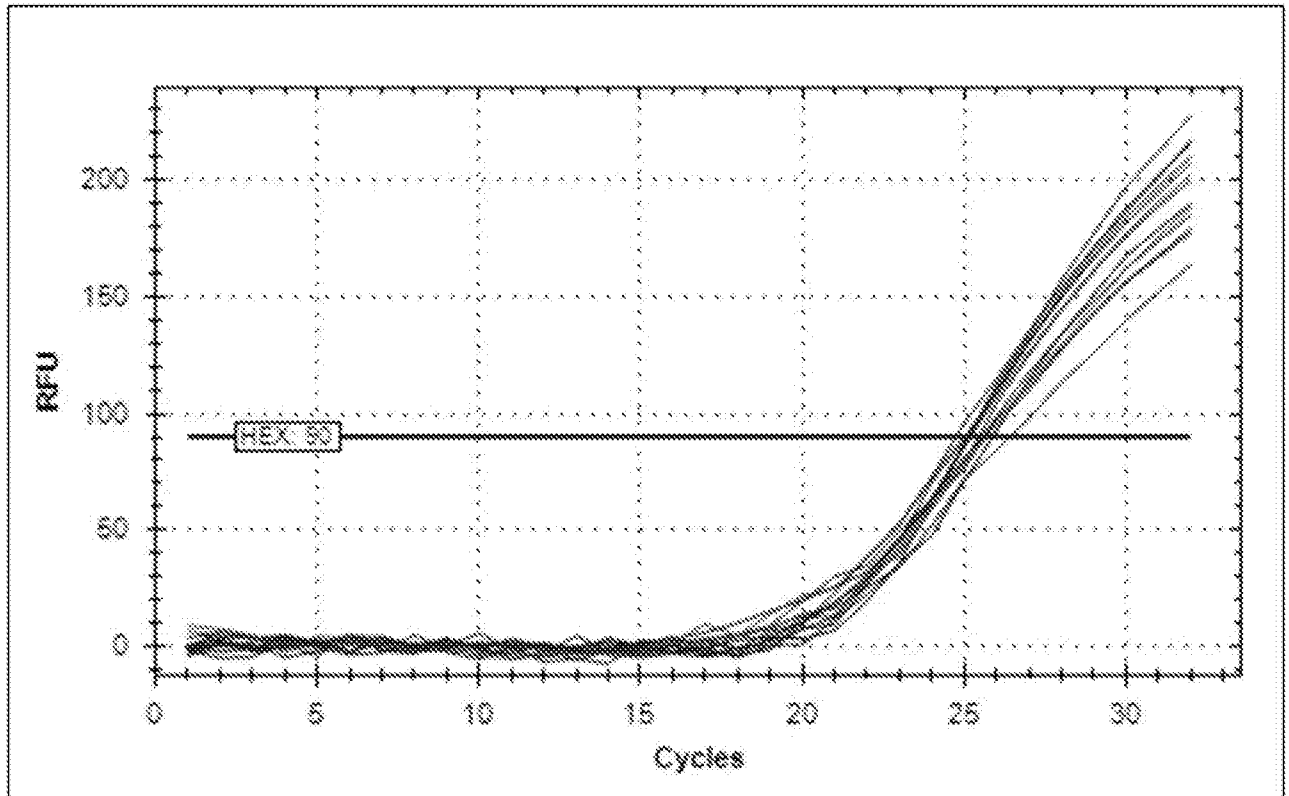


FIG. 13A

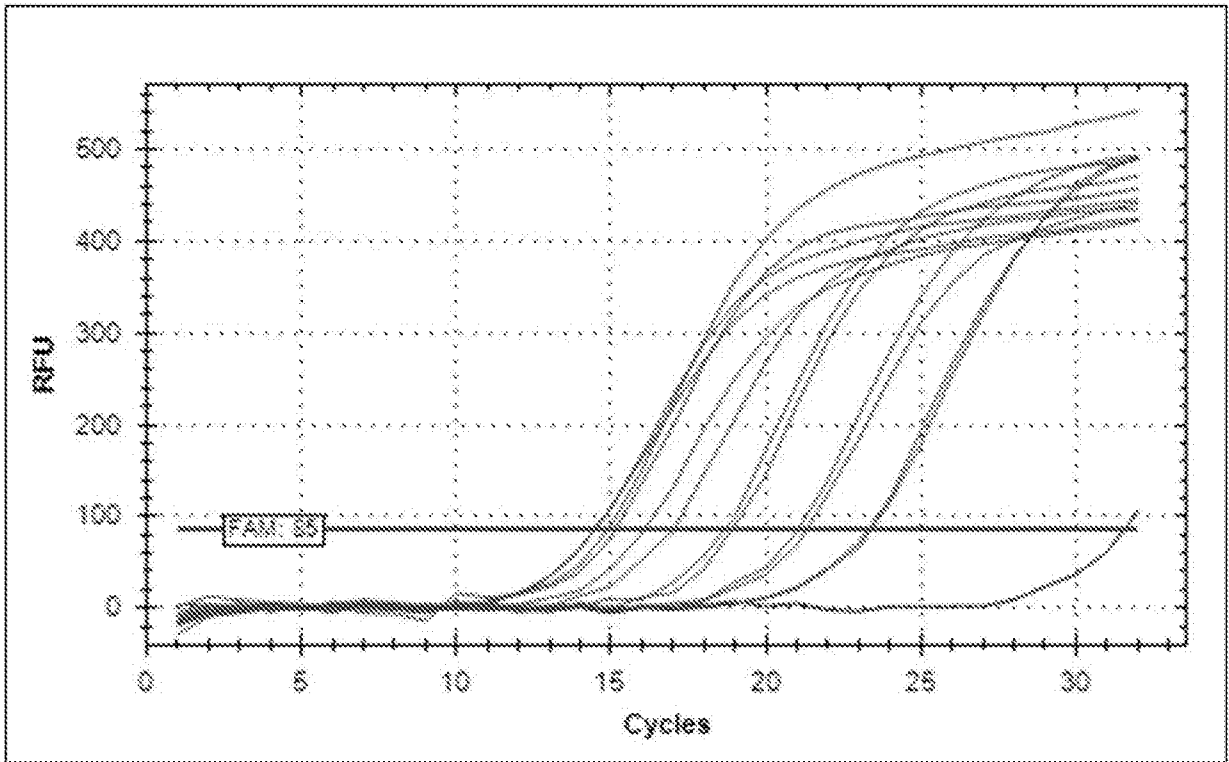


FIG. 13B

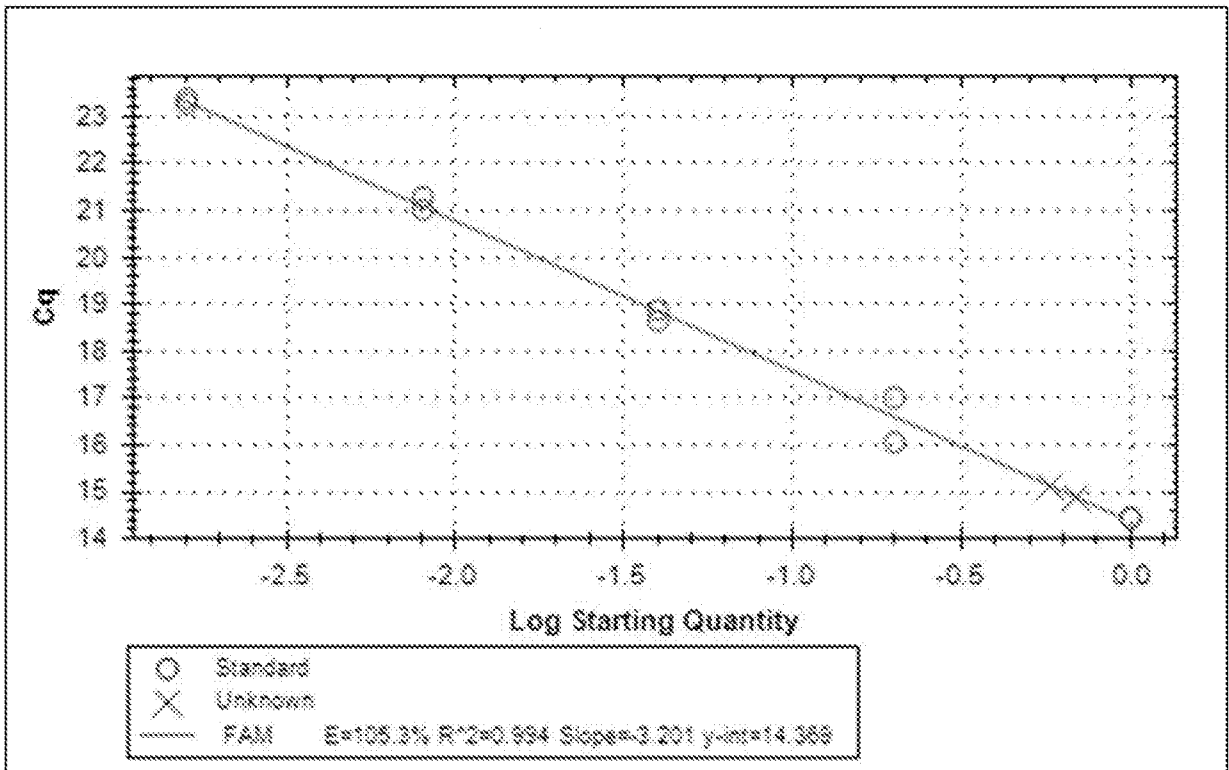


FIG. 13C

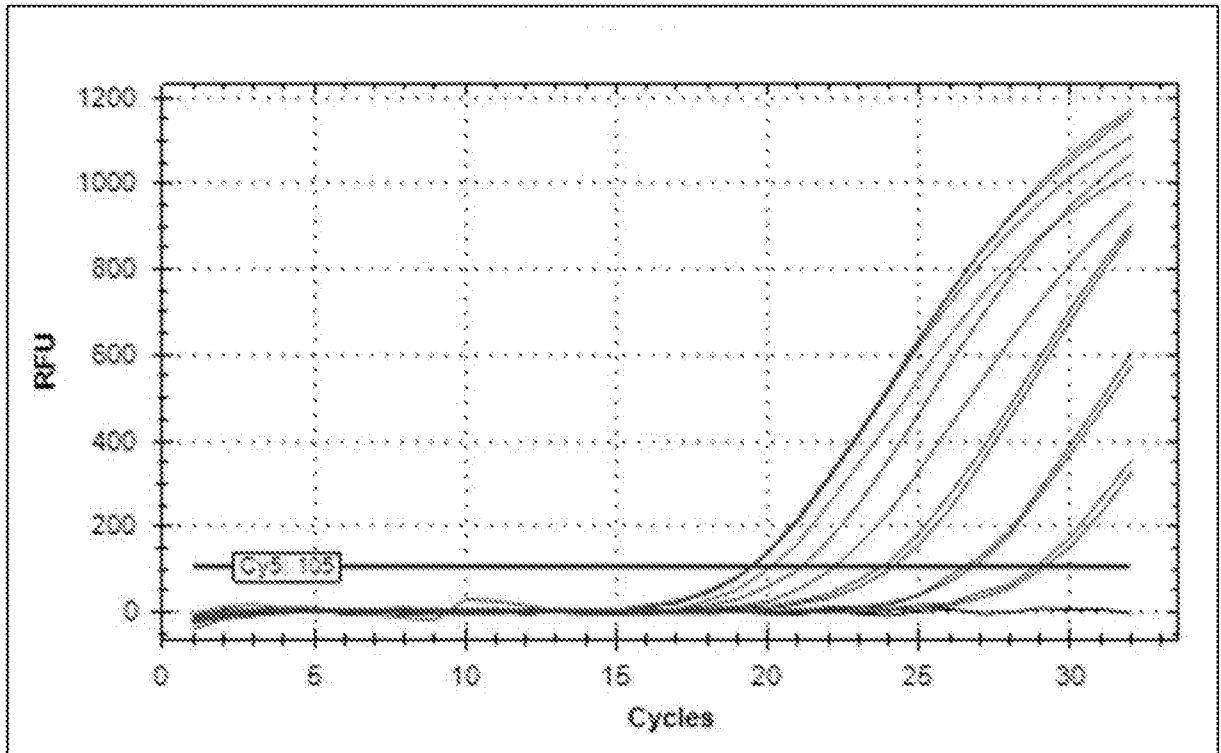


FIG. 13D

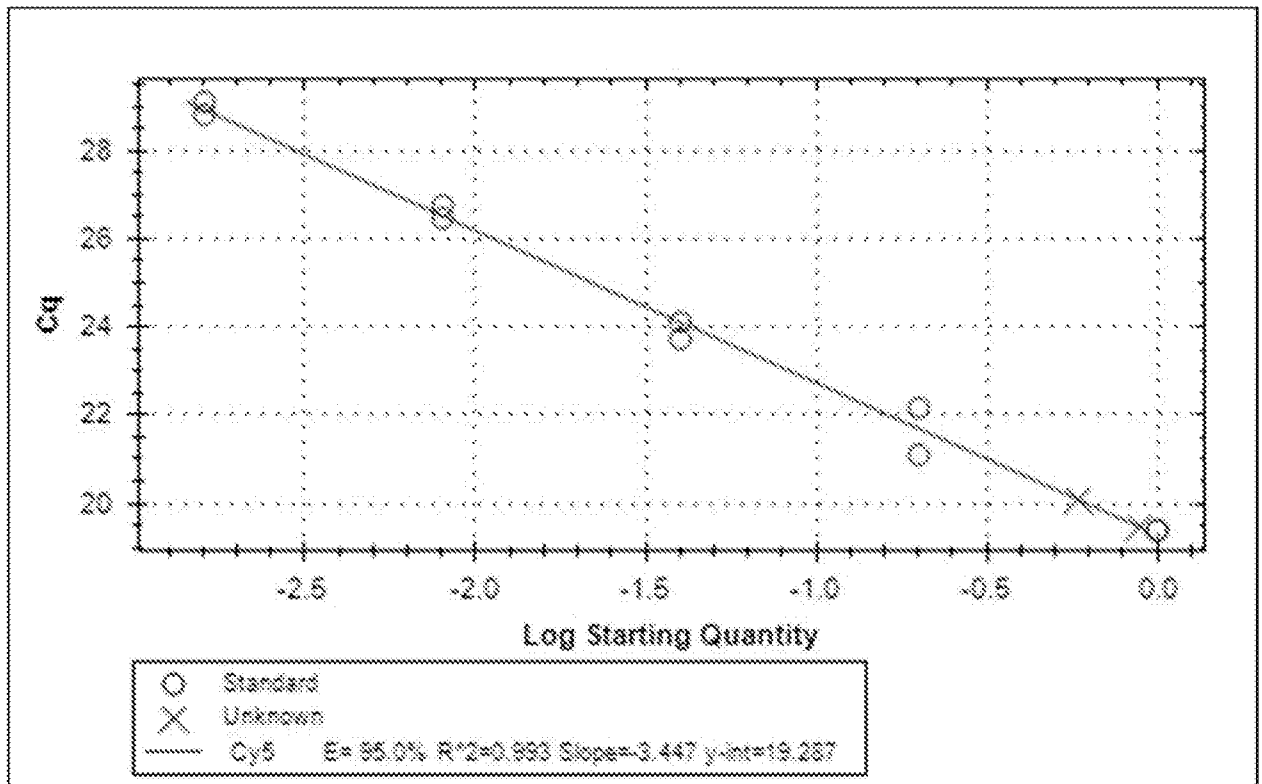


FIG. 13E

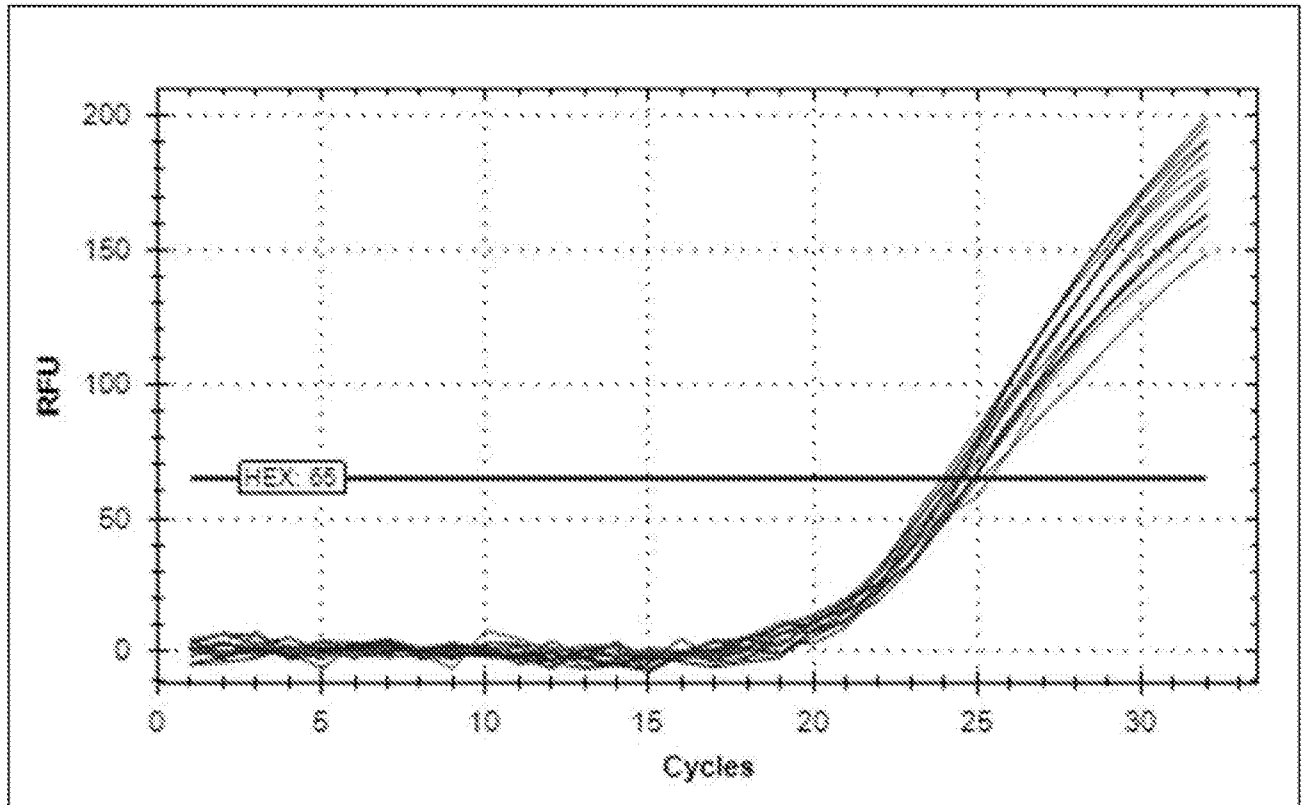


FIG. 14A

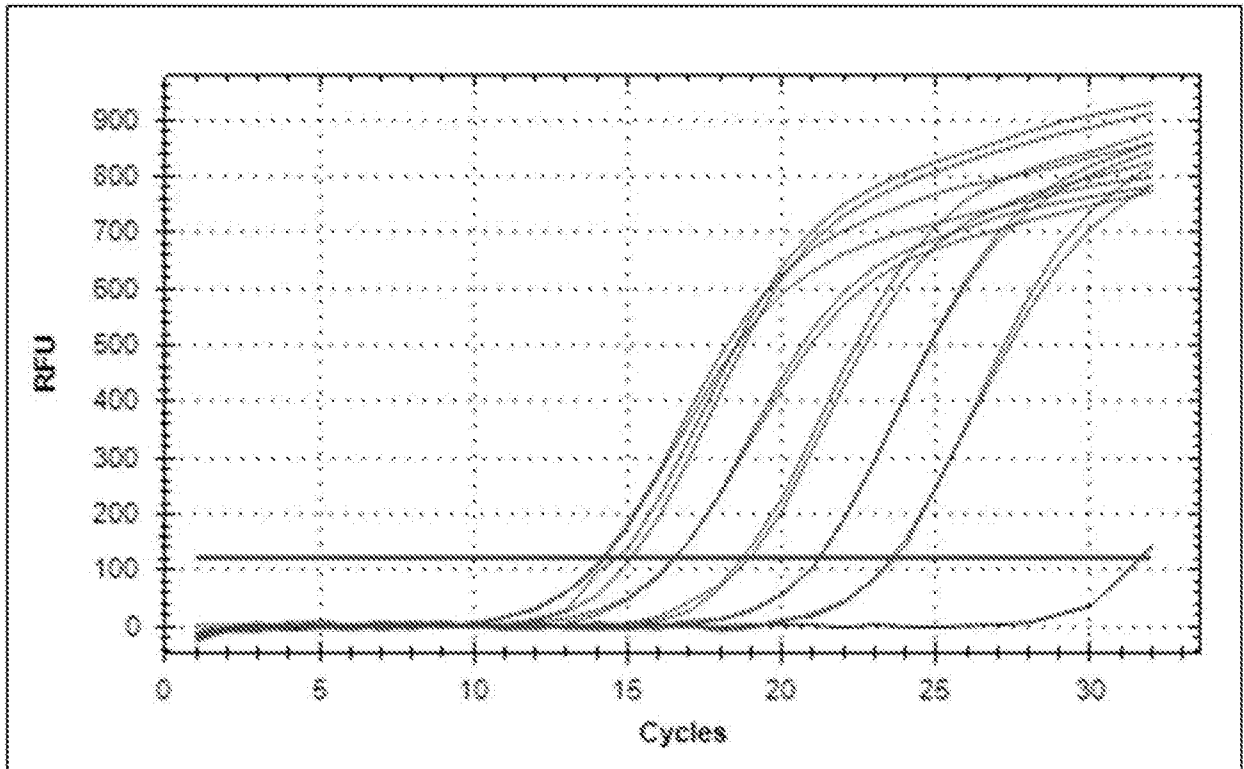


FIG. 14B

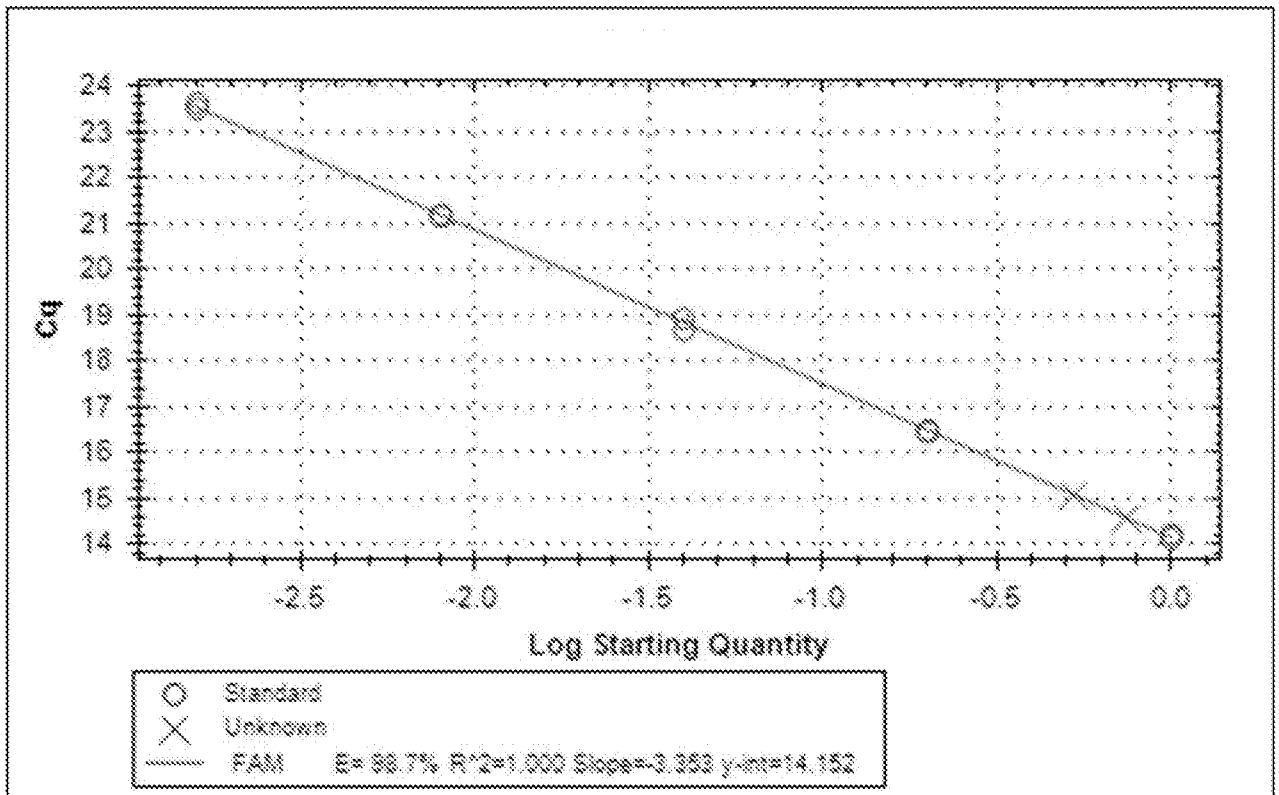


FIG. 14C

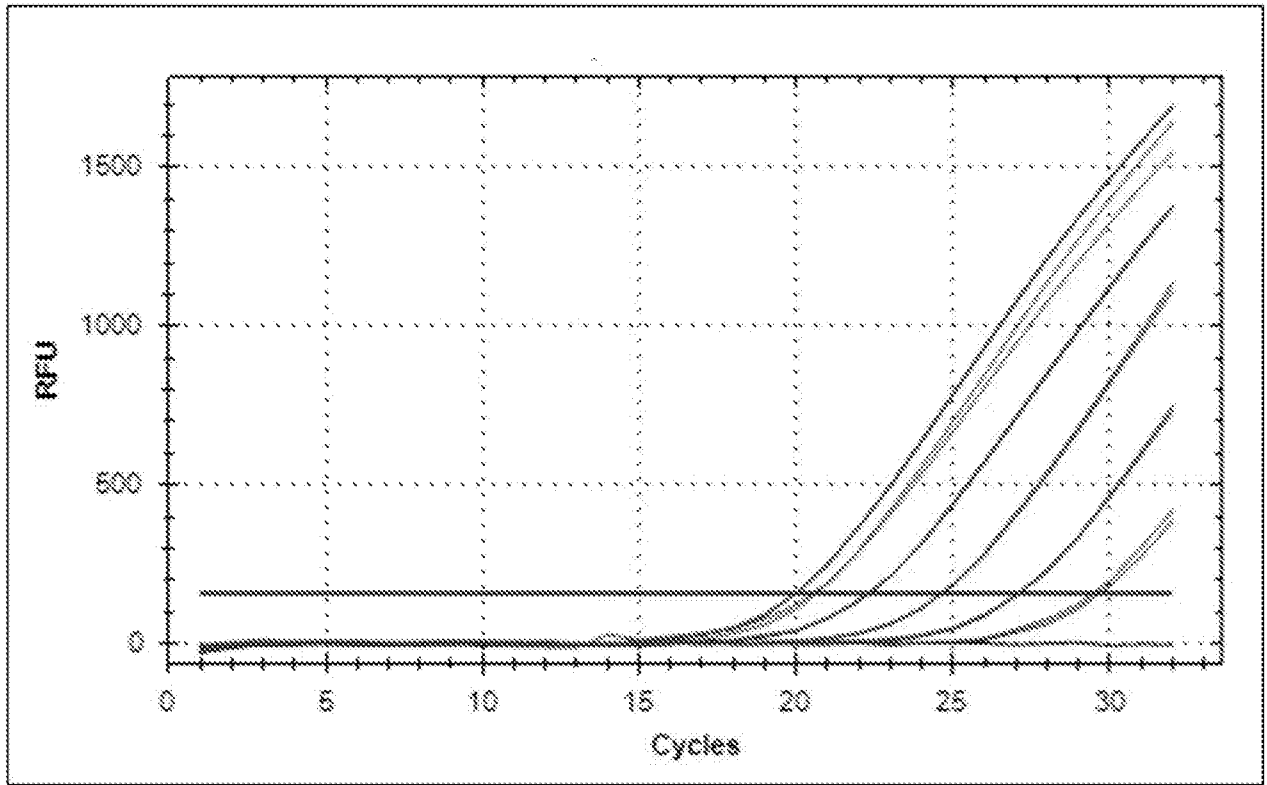


FIG. 14D

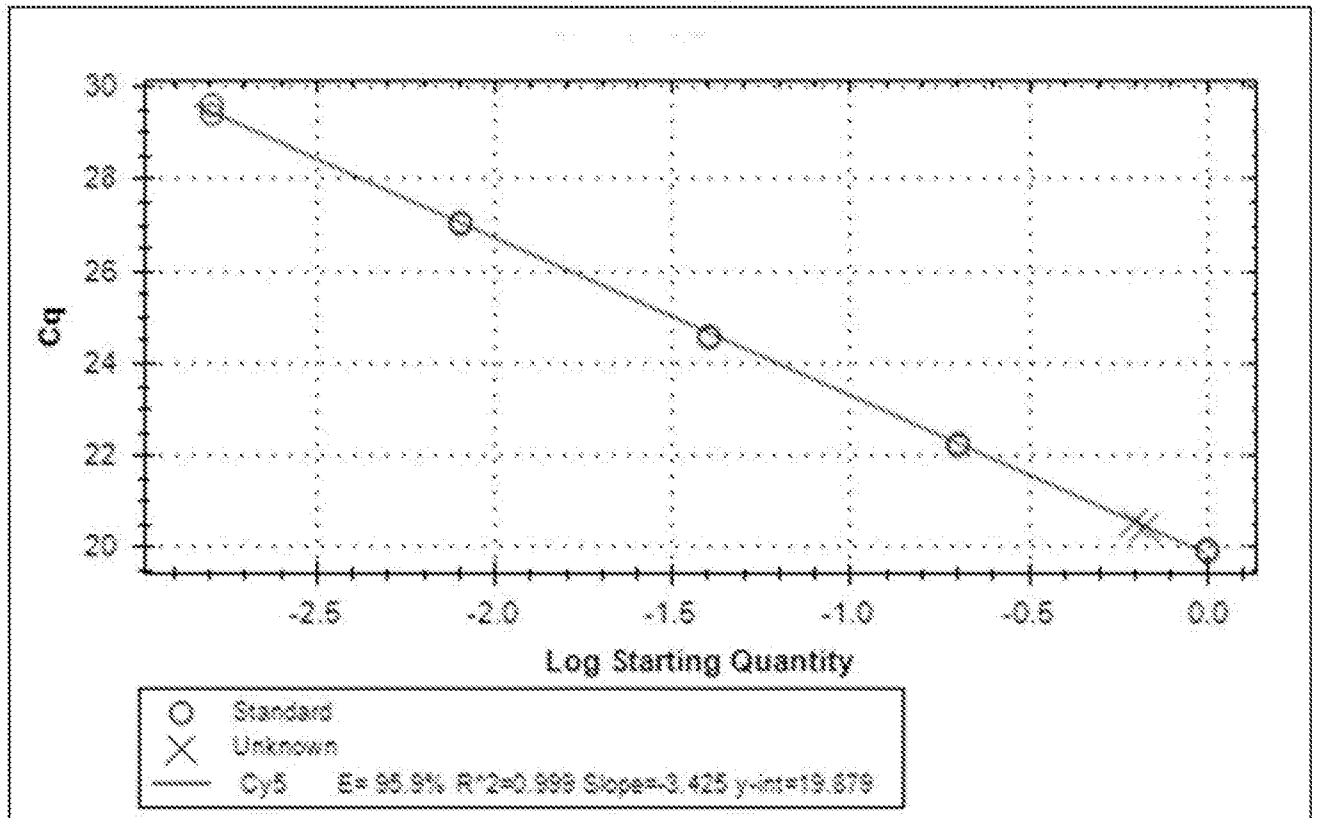
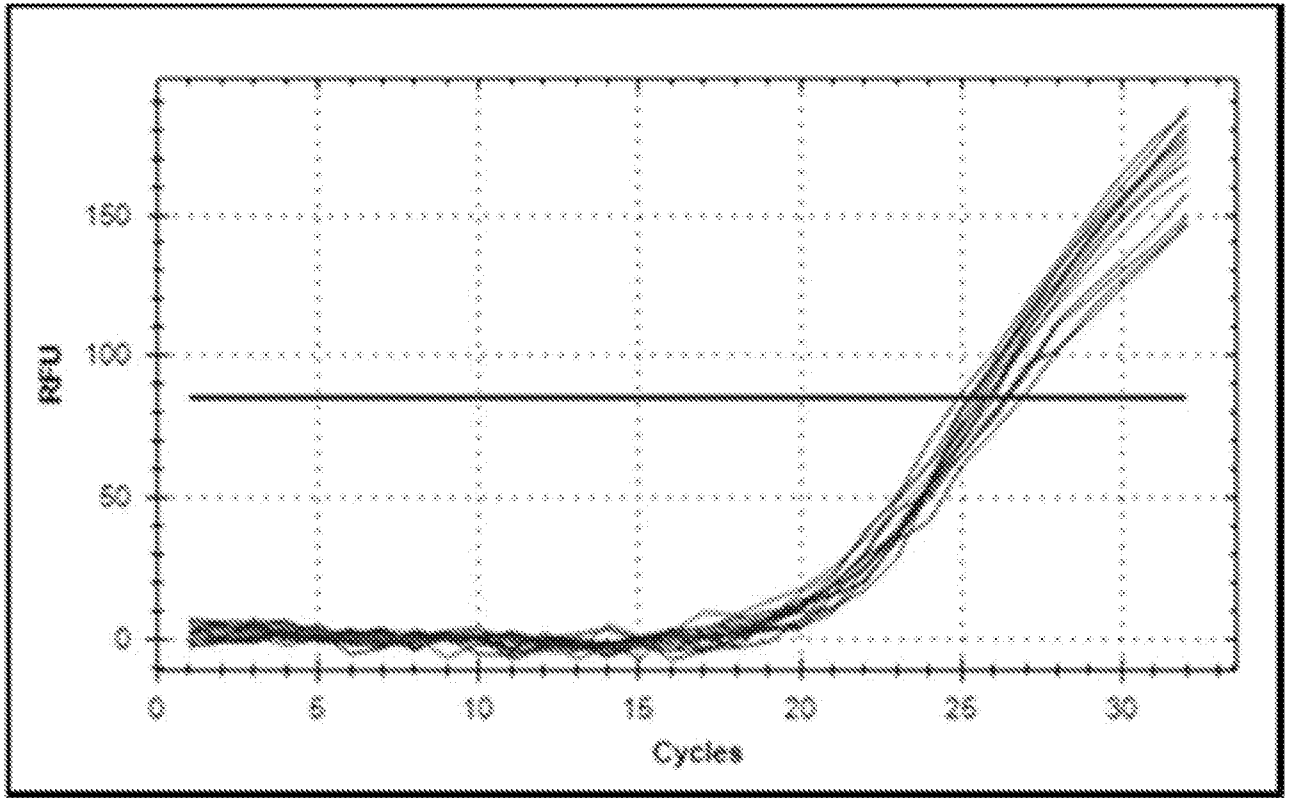
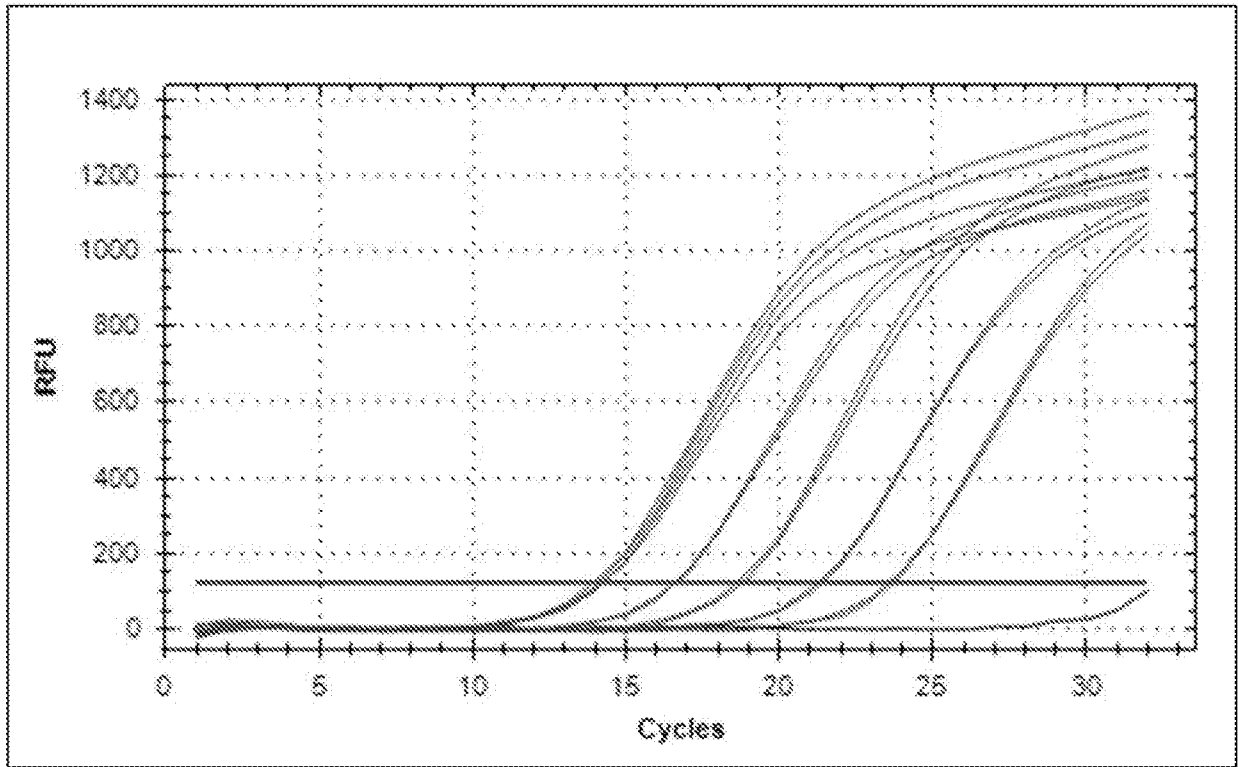


FIG. 14E



**FIG. 15A**



**FIG. 15B**

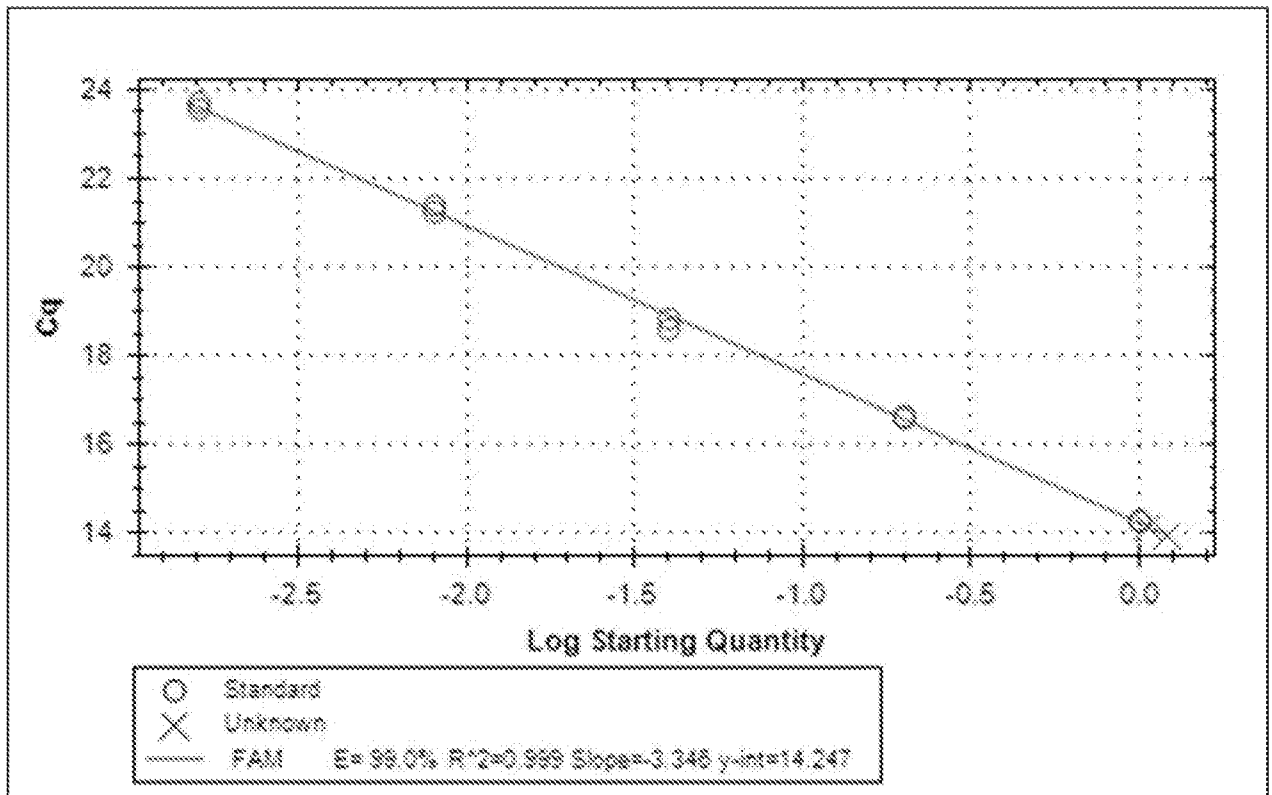


FIG. 15C

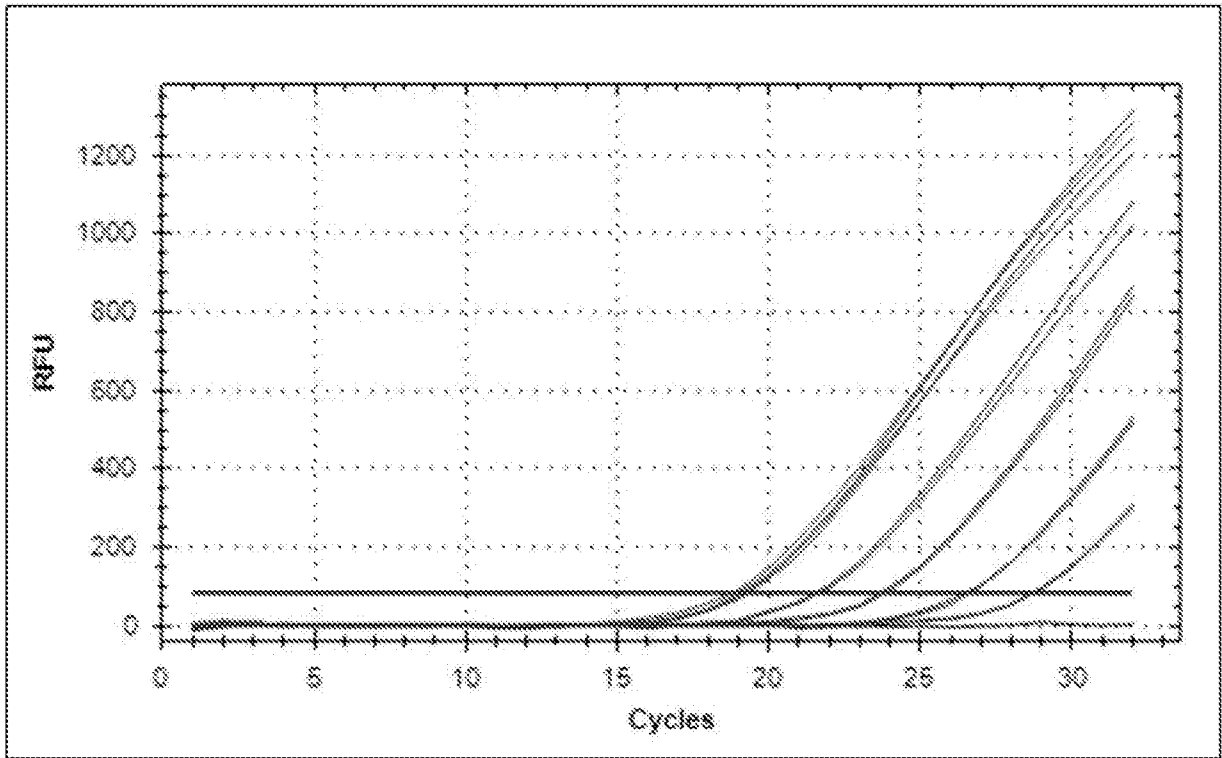
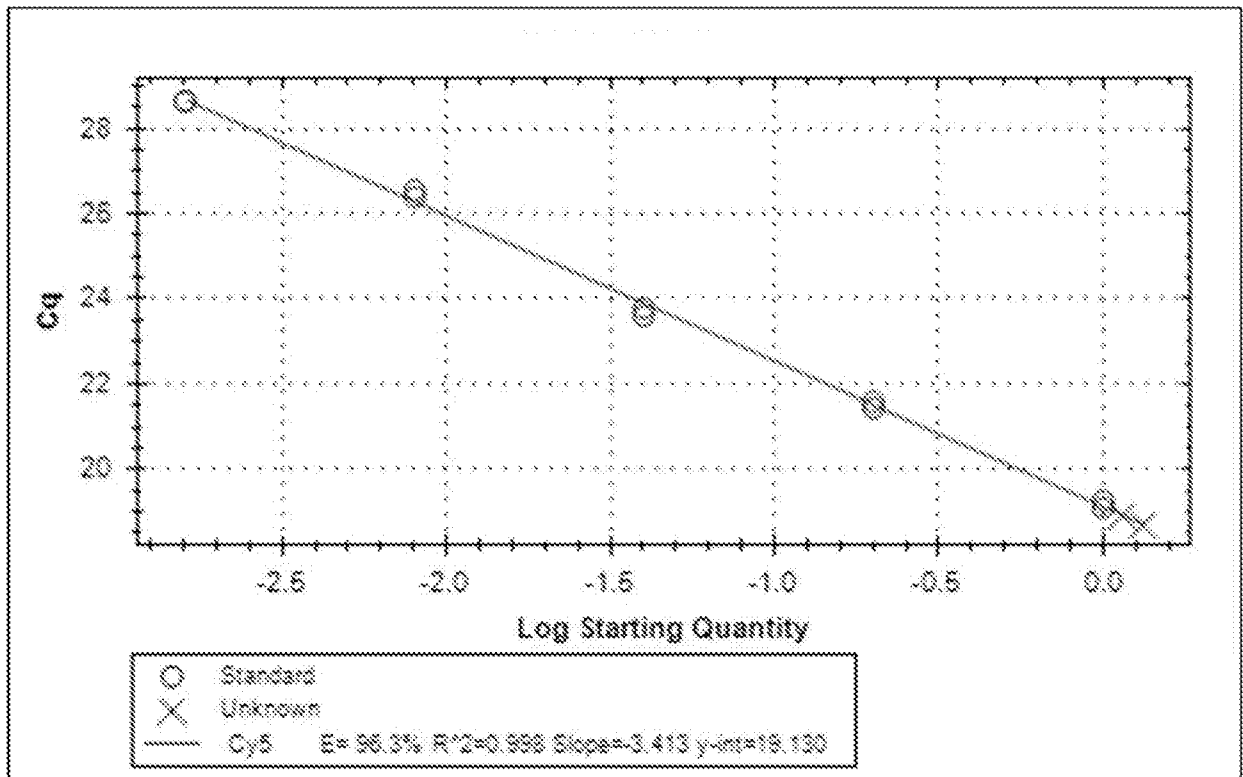


FIG. 15D



**FIG. 15E**

