

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 January 2009 (22.01.2009)

PCT

(10) International Publication Number
WO 2009/009828 A1

(51) International Patent Classification:
A01N 35/02 (2006.01) A01N 25/12 (2006.01)
A01N 25/04 (2006.01)

(74) Agent: PHILLIPS ORMONDE & FITZPATRICK;
Level 21, 22 & 23, 367 Collins Street, Melbourne, Victoria
3000 (AU).

(21) International Application Number:
PCT/AU2008/001032

(22) International Filing Date: 18 July 2008 (18.07.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/929,961 19 July 2007 (19.07.2007) US

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(71) Applicant (*for all designated States except US*):
CHEMEQ LTD [AU/AU]; Suite 10, 281 Hay Street,
Subiaco, Western Australia 6008 (AU).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): DUNLOP, Robert,
William [AU/AU]; 5 Lyall Street, Shenton Park, West-
ern Australia 6008 (AU). DALWADI, Gautam [AU/AU];
156 Berwick Street, Victoria Park, Western Australia 6100
(AU).

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— with international search report

(54) Title: BIOCIDAL POLYACROLEIN COMPOSITION

(57) Abstract: This invention relates to a biocidal composition comprising fine particles comprising polyacrolein wherein at least 90% of particles are of a size no more than 30 microns. Preferably at least 90% of particles are of size no more than 5 microns and still more preferably at least 90% of particles are of size no more than 1 micron.

WO 2009/009828 A1

BIOCIDAL POLYACROLEIN COMPOSITION

This application claims the benefit of priority from US Provisional 60/929961 (19 July 2007) the contents of which are herein incorporated by reference.

5

Field

This invention relates to a biocidal polyacrolein composition, to a method of preparing a biocidal polyacrolein composition and to a process for controlling microbial growth using the composition.

10

Background

The biocidal properties of polyacrolein are discussed in US Patent 5290894. The poor physical stability of compositions of polyacrolein in water make it difficult to formulate. These problems are reportedly overcome by including hydrophilic comonomers. US Patent 6723336 discloses a water soluble polyacrolein which is useful in treating gastrointestinal disease in animals. Our US Patents 6803356 and 6410040 also disclose methods of improving the solution stability and antimicrobial activity of polyacrolein by modifying the polymer to include acid groups and optionally further reacting the polymer to form acetal groups.

20

Summary

We have now found that polyacrolein in the form of finely divided particles of size no more than 30 microns, preferably no more than 5 microns and still more preferably no more than 1 micron form a stable dispersion in water and have a high level of biocidal activity.

25

Accordingly we provide a biocidal composition comprising fine particles comprising polyacrolein wherein at least 90% by weight of particles are of a size no more than 30 microns. Preferably at least 90% by weight of particles are of size no more than 5 microns and still more preferably at least 90% of particles are of size no more than 1 micron.

30

In one embodiment the composition is in the form of an aqueous suspension comprising suspended particles comprising polyacrolein of the above referred particle size.

- 5 In a further embodiment the invention provides a biocidal composition comprising water dispersible particles comprising a particulate water soluble material and associated there with biocidal particles comprising polyacrolein wherein at least 90% by weight of particles are of a size no more than 30 microns. Preferably at least 90% by weight of particles are of size no more
10 than 5 microns and still more preferably at least 90% by weight of particles are of size no more than 1 micron.

The invention further provides a method of preparing a particulate biocide containing polyacrolein in accordance with the above defined composition, the
15 method comprising:

- (i) forming a solution of polyacrolein in a solvent at least partly soluble in water; and
- (ii) mixing the solution of polyacrolein with an aqueous composition to provide a fine suspension of polyacrolein particles in the aqueous composition.

20

The invention further provides a method of preparing a particulate polyacrolein biocidal composition comprising milling polyacrolein to reduce the particle size so that at least 90% of particles are of a size no more than 30 microns. Preferably at least 90% of particles are of size no more than 5 microns and still
25 more preferably at least 90% of particles are of size no more than 1 micron.

Detailed Description

The polyacrolein particles in accordance with the invention comprise at least 90% by weight of particles which are of a size no more than 30 microns.
30 Preferably at least 90% by weight of particles are of size no more than 5 microns and still more preferably at least 90% by weight of particles are of size no more than 1 micron. The polyacrolein particles are typically of size of at least 5 nm more preferably at least 10 nm and most preferably at least 20nm. The optimum particle size depends to a certain extent on the application in

which the composition is to be used however typically from an activity perspective the more preferred size provides at least 90% by weight of particles of size no more than 200nm and most preferably no more than 150nm. We have found that the activity of particles in the nanoparticle range
5 (up to 1000nm), more preferably no more than 200 nm and particularly up to 150nm is significantly improved.

The polyacrolein may be an acrolein homopolymer or copolymer comprising, for example up to 15% and preferably no more than 10% by weight of
10 monomers other than acrolein. Most preferably the polyacrolein is an acrolein homopolymer.

The polyacrolein may be formed by radical polymerization, anionic polymerization or based catalysed polymerization. We have found that
15 polyacrolein particles comprising polyacrolein formed by base catalysis exhibit superior activity particularly when compared with polyacrolein prepared by radical polymerization.

The polyacrolein particles may be oxidized so as to modify the polymer to
20 include a select proportion of acid groups such as from 0.5 to 5 mole carboxylic acid groups per kilogram of polymer. The incorporation of acid groups by oxidation of a solid in air or other source of oxygen is described, for example in our US Patent 6723336 in Example 1b.

25 In one aspect the invention provides a method of preparing a particulate polyacrolein biocidal composition in accordance with the above the method comprising

- (i) forming a solution of polyacrolein in a solvent at least partially soluble in water; and
 - 30 (ii) mixing the solution of polyacrolein with an aqueous composition to provide a fine suspension of polyacrolein particles in the aqueous composition
- The process provides the particulate polyacrolein in the form of a nano suspension. The nanoparticles may if desired be collected from the nano suspension but generally speaking it is preferred in this embodiment for the

aqueous nanosuspension to be used in formulation of the biocide optionally with addition of suitable excipients and adjuvants for the desired application.

The solvent is preferably at least partly soluble in water. Typically it will have a solubility in water of at least 1% at 20 °C. Examples of suitable solvents include aliphatic and aromatic alcohols and ethers and mixtures of two or more thereof where the solvent composition has the required solubility. Specific examples include C₁ to C₄ alkanols, benzyl alcohol, polyols such as polyethylene glycol and propylene glycol and ethers such as tetrahydrofuran

- 10 The invention further provides a method of preparing a particulate polyacrolein biocidal composition comprising milling a solid comprising polyacrolein to reduce the particle size so that at least 90% of particles are of a size no more than 30 microns. Preferably at least 90% of particles are of size no more than 5 microns and still more preferably at least 90% of particles are of size no more than 1 micron.

The milling may be carried out using various known equipment such as ball mills attrition mill, fluid energy mills and the like. Fluid energy mills are particularly preferred. Milling may be carried out on the solid or a dispersion of the solid in a suitable liquor such as water (in which the solid is insoluble) or a suitable oil.

The polyacrolein will typically be milled from a composition having a particle size greater than 5 microns, preferably greater than 50 microns and most preferably greater than 100 microns to provide a particle size wherein at least 90% of particles are of size no more than 5 microns and still more preferably at least 90% of particles are of size no more than 1 micron.

In a particularly preferred embodiment of the invention the particulate biocide is formulated as a water dispersible granular or water dispersible powder formulation. Such a formulation may include additives such as dispersants, surfactants, fillers such as clays and metal salts, water soluble materials, thickeners and the like. In one embodiment the particulate polyacrolein is adsorbed onto a carrier which is preferably a water soluble particulate carrier

such as selected from the group consisting of saccharides, sugar alcohols and water soluble salts such as sodium chloride. Specific examples of sugar and sugar alcohol carriers include lactose, mannose, sucrose, maltose, sorbitol, manitol. Particulate lactose is particularly preferred. Typically the solid particulate carrier for the particulate polyacrolein has a particle size substantially greater than the polyacrolein particles. The water soluble particulate carrier may, for example have a particle size in the range of from 30 to 2000 microns and more preferably from 50 to 500 microns.

The polyacrolein will typically comprise at least 10%, preferably at least 25%, still more preferably 50% and most preferably at least 80% by weight of polyacrolein based on the total weight of the particulate biocide composition. The particulate biocide composition may be part of a water dispersible composition in which case it will typically constitute in the range of from 1 to 99% by weight and preferably from 1 to 20% by weight of the total water dispersible composition.

The compositions of the invention have a number of antimicrobial uses. They are useful in (a) animal feed to treat or reduce the incidence of gastrointestinal disease; (b) in antiseptic and disinfectant preparations; (c) in fungicides in treating or preventing fungal infestation in wood, soil, building materials or plants; (d) as preservatives in cosmetic and pharmaceuticals; and (e) as water treatment preparations for water filters, water cooling towers, water remediation and treatment of domestic or industrial water supplies.

The invention will now be described with reference to the following examples. It is to be understood that the examples are provided by way of illustration of the invention and that they are in no way limiting to the scope of the invention.

Examples

Particle size analysis has been determined using Dynamic Light Scattering with laser diffraction in which method, particle sizes of a dilute suspension of the solid in water are measured between 0.02 and 2000 μm .

Example 1**Part (a)**

This Example relates to preparation of a particulate polyacrolein of one aspect
5 in accordance with the invention by a method in accordance with a further
aspect of the invention in which a solution of polyacrolein in a water soluble
liquid is added to an aqueous composition.

The polyacrolein used in this Example was prepared in accordance with
10 Example 1b of US Patent 6723336 and was in the form of an oxidized
particulate poly(2-propenal, 2-propenoic acid) comprising in the range of from
0.5 to 5 moles of carboxyl groups per kilogram of polyacrolein.

The particulate starting material comprised particles of size of about 10 to
15 2000 microns and was insoluble in water.

A 20% w/w solution of poly(2-propenal, 2-propenoic acid) in benzyl alcohol
was prepared by stirring the mixture at 65°C and the stirred mixture allowed to
cool to room temperature to provided a clear viscous solution. A small volume
20 of ethanol was added and the mixture was added slowly to a vigorously stirred
volume of water. The resulting composition was in the form of a nanoparticles
of poly(2-propenal, 2-propenoic acid) dispersed in an aqueous mixture and
appeared as a transparent mixture of milky appearance.

25 Part (b)

This Example examines the biocidal properties of a nanoparticulate dispersion
of poly(2-propenal, 2-propenoic acid)

The 20% solution of poly(2-propenal, 2-propenoic acid) in benzyl alcohol
30 (97.98 mg) was mixed with absolute ethanol (500 µL) and the mixture added
to stirred water (6.9g) in a glass vial. The resulting suspension was
backwashed. The final weight was made up with water to 9.979g (ie 2000
ppm active).

As a control sample benzyl alcohol (82.4 ml) and ethanol (500 µL) were combined and transferred to water (74g) and made up to 10 g with water. Both active and control samples were subject to antimicrobial testing.

Sample	MIC (<i>E. coli</i>)
Invention	62.5 ppm
Control	1000 ppm

5

Example 2

Part (a)

A sample poly (2-propenal, 2-propenoic acid) of particle size of about 10 to 2000 microns was milled using a fluid energy mill to provide a particle size less than 5 microns with the majority of the sample by weight having a particle size of less than 1 micron. The fluid energy or jet mill produces size reduction as a result of high velocity collisions between particles of the process material. The interior of the chamber allows recirculation of oversized particles.

15 Part (b) - Preparation of feed mixture

Finely divided particulate poly(2-propenal, 2-propenoic acid) produced according to the process of claim 1 were mixed with granular pig feed using a Tumble or Turbula mixer. The particulate poly-propenal, 2-propenoic acid) was rapidly distributed onto the surface of animal feed granules. There was little if any difference in appearance between the pig feed with and without the added antimicrobial active.

Part (c) - Absorption onto water soluble carrier

Micronised poly(2-propenal, 2-propenoic acid prepared according to Part a was adsorbed onto water soluble solid carrier using the components and process described below.

25

Microsuspension	
Component	Amount
Micronised poly (2-propenal, 2-propenoic acid)	5.0 g
Lactose (AR grade)	5.0g
Carrageenan Type II	1.0 g
Sodium Chloride	1.0 g
Glycerin 3% w/w q.s	100.0 ml

Carrageenan (a sulfated polysaccharide extracted from seaweeds) and sodium chloride were triturate together to a fine size in a mortar (approximately
5 < 100 um), then added gradually with stirring (speed 5) to 70 ml of 3% glycerin solution. After 45 minutes of stirring this gave very fine dispersion, with no lump. (Appearance was transparent - slight milky).

Micronised poly(2-propenal, 2-propenoic acid) and lactose were also triturated
10 together in a separate mortar and added to above dispersion with stirring (speed 7). After complete addition the mortar was washed with 20 ml of the 3% glycerin solution that was added to above dispersion.

Rest of 10 ml of 3% glycerin was used to wash the fine powder adhere on the
15 edges of the main containers that splashed during the addition.

The stirring wash continued for another two hours to ensure complete mixing.

Finally, it is understood that various other modifications and/or alterations may
20 be made without departing from the spirit of the present invention as outlined herein.

CLAIMS

1. A biocidal composition comprising fine particles comprising polyacrolein wherein at least 90% by weight of particles are of a size no more than 30 microns.
5
2. A biocidal composition according to claim 1 wherein at least 90% by weight of particles are of size no more than 5 microns.
- 10 3. A biocidal composition according to claim 1 wherein the composition is in the form of an aqueous suspension comprising the fine particles of polyacrolein.
- 15 4. A biocidal composition according to claim 1 wherein the particles are solid particles and have been reduced in size by milling preferably from a particle size greater than 10 microns.
- 20 5. A biocidal composition comprising water dispersible particles comprising a particulate water soluble material and associated therewith biocidal particles comprising polyacrolein wherein at least 90% by weight of particles are of a size no more than 30 microns.
- 25 6. A biocidal composition according to any one of the previous claims wherein 90% by weight of biocide particles are of size no more than 200nm and most preferably no more than 150nm.
- 30 7. A biocidal composition according to any one of the previous claims wherein the polyacrolein is selected from the group consisting of acrolein homopolymers and copolymers comprising no more than 10% by weight of monomers other than acrolein.
8. A biocidal composition according to any one of the previous claims wherein the polyacrolein is oxidized so as to modify the polymer to include from 0.5 to 5 mole carboxyl groups per kilogram of polymer.

- 5 9. A biocidal composition according to any one of the previous claims wherein the particulate biocide composition comprises at least 25%, by weight of polyacrolein based on the total weight of the particle composition.
- 10 10. A biocidal composition according to claim 5 wherein the solid particulate carrier for the particulate biocide has a particle size substantially greater than the biocide particles comprising polyacrolein.
- 11 11. A biocidal composition according to claim 10 wherein the particulate carrier has a particle size in the range of from 30 to 2000 microns.
- 15 12. The biocidal composition according to any one of claims 5 and 11 wherein formulation includes one or more additives selected from the group consisting of dispersants, surfactants, fillers such as clays and metal salts, water soluble materials, thickeners and the like.
- 20 13. A biocidal composition according to any one of the previous claims wherein the particulate biocide comprising polyacrolein is adsorbed onto a carrier which is preferably a water soluble particulate carrier more preferably selected from saccharides, sugar alcohols and water soluble salts.
- 25 14. A method of preparing a particulate polyacrolein biocidal composition comprising milling a solid comprising polyacrolein to reduce the particle size so that at least 90% by weight of particles are of a size no more than 30 microns.
- 30 15. A method according to claim 14 wherein the solid is milled to provide at least 90% by weight of particles are of size no more than 5 microns

16. A method according to claim 14 or claim 15 wherein milling is carried out on the solid or a dispersion of the solid in a liquor in which the particulate polyacrolein is insoluble.
- 5 17. A method according to any one of claims 14 to 16 wherein the biocide comprising polyacrolein is milled from a composition having a particle size greater than 50 microns to provide a particle size wherein at least 90% by weight of particles are of size no more than 5 microns.
- 10 18. A method of preparing a particulate biocide containing polyacrolein in accordance with claim 1 or claim 2 the method comprising:
- (i) forming a solution of polyacrolein in a solvent at least partly soluble in water; and
 - (ii) mixing the solution of polyacrolein with an aqueous solution to
- 15 provide a fine suspension of polyacrolein particles in the aqueous composition

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2008/001032

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl.

A01N 35/02 (2006.01) *A01N 25/04* (2006.01) *A01N 25/12* (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, WPI, CAPLUS, USPTO (polyacrolein, A01N/ic, biocid?)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,917,094 A (WERLE et al.) 29 June 1999 See entire document.	1-18
X	EP 0 645 405 A1 (NIPPON SHOKUBAI CO LTD) 29 March 1995 See page 3 lines 26-39, Table 1 and Examples 45-49.	1-18
Y	WO 2000/003723 A1 (CHEMEQ LIMITED) 27 January 2000 See entire document.	1-3,7-9,18
Y	US 6,638,994 B2 (CROOKS et al.) 28 October 2003 See column 3 line 27 to column 5 line 20 and Examples 1-3.	1-3,7-9

☒ Further documents are listed in the continuation of Box C☒ See patent family annex

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
04 August 2008

Date of mailing of the international search report

14 AUG 2008

Name and mailing address of the ISA/AU
AUSTRALIAN PATENT OFFICE
PO BOX 200, WODEN ACT 2606, AUSTRALIA
E-mail address: pct@ipaustalia.gov.au
Facsimile No. +61 2 6283 7999Authorized officer
ALBERT S. J. YONG
AUSTRALIAN PATENT OFFICE
(ISO 9001 Quality Certified Service)
Telephone No : +61 2 6283 2160

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2008/001032

C (Continuation).

DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2005/087002 A2 (MAKHTESHIM CHEMICAL WORKS LTD) 22 September 2005 See page 2 lines 6-27, Tables 1-2.	1-3,7-9,18
A	US 4,479,820 A (MERK et al.) 30 October 1984 See entire document.	1

Information on patent family members

PCT/AU2008/001032

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
US	5917094	CA	2225294	DE	19653305	EP	0849297
		JP	10182747				
EP	0645405	JP	7138441	JP	7173791	JP	7196740
		JP	7278396	JP	8034878	US	5543456
WO	0003723	AU	51404/99	BR	9908386	CA	2318359
		CN	1291895	EP	1112076	US	6410040
US	6638994	EP	1372385	US	2003013799	WO	02078674
		WO	02082900				
WO	2005087002	AR	049322	AU	2005220654	BR	PI0508136
		EP	1725095	KR	2007000392	US	2007197385
		UY	28808				
US	4479820	CA	1196739	EP	0087509	JP	58148808
		NO	824087				
<p>Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.</p> <p>END OF ANNEX</p>							