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(54) Title: CARBON NANOTUBES HAVING A BIMODAL SIZE DISTRIBUTION

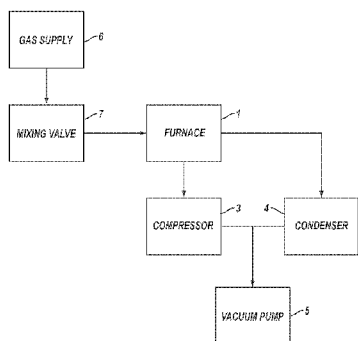


FIG. 1A

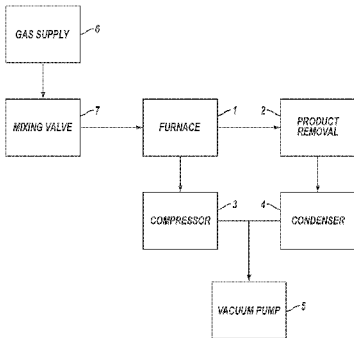


FIG. 1B

(57) Abstract: A composition comprising a mixture of carbon nanotubes having a bimodal size distribution are produced by reducing carbon oxides with a reducing agent in the presence of a catalyst. The resulting mixture of nanotubes include a primary population of multiwall carbon nanotubes having characteristic diameters greater than 40 nanometers, and a secondary population of what are apparently single wall nanotubes with characteristic diameters of less than 30 nanometers. The resulting mixture may also contain one or more other allotropes and morphologies of carbon in various proportions. The mixture of carbon nanotubes has specific apparently uncommon properties, including unusual resistivity and density

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— *of inventorship (Rule 4.17(iv))*

CARBON NANOTUBES HAVING A BIMODAL SIZE DISTRIBUTION

PRIORITY CLAIM

This application claims the benefit of the filing date of United States
5 Provisional Patent Application Serial Number 61/637,229, filed April 23, 2012, for
“Carbon Nanotubes Having a Bimodal Size Distribution,” the disclosure of which is
hereby incorporated herein in its entirety by this reference.

TECHNICAL FIELD

10 Embodiments of the disclosure relate generally to compositions consisting of
carbon nanotubes having a wide range of size distributions and having a generally
bi-modal size distribution. The disclosure further provides methods for the
production of a bi-modal composition comprised substantially of two or more
distinct populations of carbon nanotubes, the primary growth population comprised
15 of characteristically significantly larger carbon nanotubes (typically multiwall
carbon nanotubes), accompanied by a secondary growth population comprised of
characteristically much smaller nanotubes (typically single-wall carbon nanotubes).

BACKGROUND

20 U.S. Patent Publication No. 2012/0034150 A1, published February 9, 2012, the
disclosure of which is hereby incorporated herein in its entirety by this reference,
discloses background information hereto.

Additional information is disclosed in the following documents, listing Dallas
B. Noyes as inventor, the disclosure of each of which is incorporated herein in its
25 entirety by this reference:

1. International Application No. ____ (attorney docket No. 3525-P10945.1PC),
filed on even date herewith, for “Methods and Structures for Reducing Carbon
Oxides with Non-Ferrous Catalysts,” which claims the benefit of U.S.S.N. No.
61/624,702, filed April 16, 2012, in the name of Dallas B. Noyes;
- 30 2. International Application No. ____ (attorney docket No. 3525-P10946.1PC),
filed on even date herewith, for “Methods and Systems for Thermal Energy
Recovery from Production of Solid Carbon Materials by Reducing Carbon

Oxides,” which claims the benefit of U.S.S.N. No. 61/624,573, filed April 16, 2012, in the name of Dallas B. Noyes;

3. International Application No. ____ (attorney docket No. 3525-P10947.1PC), filed on even date herewith, for “Methods for Producing Solid Carbon by Reducing Carbon Dioxide,” which claims the benefit of U.S.S.N. 61/624,723, filed April 16, 2012, in the name of Dallas B. Noyes;
4. International Application No. ____ (attorney docket No. 3525-P11001.1PC), filed on even date herewith, for “Methods and Reactors for Producing Solid Carbon Nanotubes, Solid Carbon Clusters, and Forests,” which claims the benefit of U.S.S.N. 61/624,753, filed April 16, 2012, in the name of Dallas B. Noyes;
5. International Application No. ____ (attorney docket No. 3525-P11002.1PC), filed on even date herewith, for “Methods for Treating an Offgas Containing Carbon Oxides,” which claims the benefit of U.S.S.N. 61/624,513, filed April 16, 2012, in the name of Dallas B. Noyes;
6. International Application No. ____ (attorney docket No. 3525-P11248.1PC), filed on even date herewith, for “Methods for Using Metal Catalysts in Carbon Oxide Catalytic Converters,” which claims the benefit of U.S.S.N. 61/624,848, filed April 16, 2012, in the name of Dallas B. Noyes;
- International Application No. ____ (attorney docket No. 3525-P11249.1PC), filed on even date herewith, for “Methods and Systems for Capturing and Sequestering Carbon and for Reducing the Mass of Carbon Oxides in a Waste Gas Stream,” which claims the benefit of U.S.S.N. 61/624,462, filed April 16, 2012, in the name of Dallas B. Noyes; and
7. International Application No. ____ (attorney docket No. 3525-P11361.1PC), filed on even date herewith, for “Methods and Systems for Forming Ammonia and Solid Carbon Products,” which claims the benefit of U.S.S.N. 61/671,464, filed July 13, 2012, in the name of Dallas B. Noyes.

Solid carbon has numerous commercial applications. These applications include longstanding uses such as uses of carbon black and carbon fibers as a filler material in tires, inks, *etc.*, many uses for various forms of graphite (*e.g.*, pyrolytic graphite in heat shields) and innovative and emerging applications for buckminsterfullerene and carbon nanotubes. CNTs may be particularly valuable

because of their unique material properties, including strength, current-carrying capacity, and thermal and electrical conductivity. Current bulk use of CNTs includes use as an additive to resins in the manufacture of composites. Research and development on the applications of CNTs is very active with a wide variety of applications in use or under consideration. One obstacle to widespread use of CNTs has been the cost of manufacture.

Conventional methods for the manufacture of various forms of solid carbon typically involve the pyrolysis of hydrocarbons in the presence of a suitable catalyst. Hydrocarbons are typically used as the carbon source due to historically abundant availability and relatively low cost. The use of carbon oxides as the carbon source in the production of solid carbon has largely been unexploited.

Carbon oxides, particularly carbon dioxide, are abundant gases that may be extracted from point-source emissions such as the exhaust gases of hydrocarbon combustion or from some process offgases. Carbon dioxide may also be extracted from the air. Because point-source emissions have much higher concentrations of carbon dioxide than does air, they are often economical sources from which to harvest carbon dioxide. However, the immediate availability of air may provide cost offsets by eliminating transportation costs through local manufacturing of solid carbon products from carbon dioxide in air.

Carbon dioxide is also increasingly available and inexpensive as a byproduct of power generation and chemical processes in which an object may be to reduce or eliminate the emission of carbon dioxide into the atmosphere by capture and subsequent sequestration of the carbon dioxide (*e.g.*, by injection into a geological formation). For example, the capture and sequestration of carbon dioxide is the basis for some “green” coal-fired power stations. In current practice, capture and sequestration of the carbon dioxide entails significant cost.

There is a spectrum of reactions involving carbon, oxygen, and hydrogen wherein various equilibria have been identified. Hydrocarbon pyrolysis involves equilibria between hydrogen and carbon that favors solid carbon production, typically with little or no oxygen present. The Boudouard reaction, also called the “carbon monoxide disproportionation reaction,” is the range of equilibria between carbon and oxygen that favors solid carbon production, typically with little or no hydrogen present.

The Bosch reaction is within a region of equilibria where all of carbon, oxygen, and hydrogen are present under reaction conditions that also favor solid carbon production.

The relationship between the hydrocarbon pyrolysis, Boudouard, and Bosch reactions may be understood in terms of a C-H-O equilibrium diagram, as shown in FIG. 94. The C-H-O equilibrium diagram includes various known routes to solid carbon, including carbon nanotubes ("CNTs"). The hydrocarbon pyrolysis reactions occur on the equilibrium line that connects H and C and in the region near the left edge of the triangle of FIG. 94, to the upper left of the dashed lines. Two dashed lines are shown because the transition between the pyrolysis zone and the Bosch reaction zone may change with reactor temperature. The Boudouard, or carbon monoxide disproportionation reactions, occur near the equilibrium line that connects O and C (*i.e.*, the right edge of the triangle). The equilibrium lines for various temperatures that traverse the diagram show the approximate regions in which solid carbon will form. For each temperature, solid carbon may form in the regions above the associated equilibrium line, but will not generally form in the regions below the equilibrium line. The Boudouard reaction zone appears at the right side of the triangle. *See* FIG. 94. In this zone, the Boudouard reaction is thermodynamically preferred over the Bosch reaction. In the region between the pyrolysis zone and the Boudouard reaction zone and above a particular reaction temperature curve, the Bosch reaction is thermodynamically preferred over the Boudouard reaction.

U.S. Patent 7,794,690 (Abatzoglou et al.) teaches a dry reforming process for sequestration of carbon from an organic material. Abatzoglou discloses a process utilizing a 2D carbon sequestration catalyst with, optionally, a 3D dry reforming catalyst. For example, Abatzoglou discloses a two-stage process for dry reformation of an organic material (*e.g.*, methane, ethanol) and CO₂ over a 3D catalyst to form syngas, in a first stage, followed by carbon sequestration of syngas over a 2D carbon steel catalyst to form CNTs and carbon nanofilaments. The 2D catalyst may be an active metal (*e.g.*, Ni, Rh, Ru, Cu-Ni, Sn-Ni) on a nonporous metallic or ceramic support, or an iron-based catalyst (*e.g.*, steel), on a monolith support. The 3D catalyst may be of similar composition, or may be a composite catalyst (*e.g.*, Ni/ZrO₂-Al₂O₃) over a similar support. Abatzoglou teaches preactivation of a 2D catalyst by passing an inert gas stream over a surface of the catalyst at a temperature beyond its eutectic point to transform the iron into its alpha phase. Abatzoglou

teaches minimizing water in the two-stage process or introducing water in low concentrations (0 to 10 wt%) in a reactant gas mixture during the dry reformation first stage.

5

DISCLOSURE

The present disclosure relates to new and useful compositions, that is, a mixture of carbon nanotubes having a generally bi-modal distribution of sizes comprising a primary population of relatively larger diameter carbon nanotubes and a distinct secondary population of relatively smaller diameter carbon nanotubes.

10 The type, purity, and homogeneity of solid carbon product are controlled by the reaction conditions (time, temperature, pressure, partial pressure of reactants) and the catalyst (including the size, method of formation, and form of the catalyst). The carbon nanotube's primary population may be themselves organized in various morphologies, including a "forest" morphology, a "pillow" morphology and
15 agglomerations of various sizes and shapes with the secondary population distributed throughout. In general, the primary population is a multi-walled carbon nanotube material that may include carbon nanofibers, while the secondary population is a smaller diameter single-, double-, or triple-walled carbon nanotube material. Typically, the primary population appears to have a significantly wider
20 variation in characteristic size than the secondary population.

This disclosure also relates to methods for production of carbon nanotubes having a generally bi-modal distribution of sizes, that is, the vast majority of the resulting nanotubes fall into one of two separate populations of nanotubes, one population being nanotubes having a relatively larger diameter and a second
25 population being nanotubes having a relatively smaller diameter. In some embodiments, two or more populations may include a gradation of various sizes with various relative percentages of the total composition. Furthermore, in certain embodiments, carbon allotropes and morphologies may also co-occur with the carbon nanotube populations.

30 Early indications are that this bi-modal composition may have unusual engineering properties that combine the enhanced tensile strength typical of larger multi-wall CNTs with the enhanced electrical and thermal conductance properties

typical of single-wall CNTs. Thus, this bi-modal composition may be a significant improvement in the art of manufacturing CNTs for at least some applications.

BRIEF DESCRIPTION OF DRAWINGS

5 Other features and advantages of the disclosed methods will be apparent from reference to the following detailed description taken in conjunction with the accompanying graphs and drawings, in which:

FIG. 1A illustrates a process flow diagram indicating how processes of the current disclosure may be performed using recirculation of reaction gases.

10 FIG. 1B illustrates a process flow diagram indicating how processes of the current disclosure may be performed using single-pass of reaction gases.

FIG. 2 depicts a SEM image of forest growth bi-modal carbon nanotubes from a sample 85B at 250X magnification;

15 FIG. 3 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 858 at 2000X magnification;

FIG. 4 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 85 at 99,981X magnification;

FIG. 5 depicts a TEM image of forest growth bi-modal carbon nanotubes from sample 85 at 99,981X magnification;

20 FIG. 6 depicts another TEM image of forest growth bi-modal carbon nanotubes from sample 85;

FIG. 7 depicts another TEM image of forest growth bi-modal carbon nanotubes from sample 85;

25 FIG. 8 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 112 at 7000X magnification;

FIG. 9 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 112 at 9980X magnification;

FIG. 10 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 112 at 100,000X magnification;

30 FIG. 11 depicts a SEM image of forest growth bi-modal carbon nanotubes from sample 112 at 200,000X magnification;

FIG. 12 depicts a SEM image of pillow growth bi-modal carbon nanotubes from sample 35 at 200,000X magnification;

FIG. 13 depicts another SEM image of pillow growth bi-modal carbon nanotubes from sample 35 at 300,000X magnification;

FIG. 14 depicts another SEM image of pillow growth bi-modal carbon nanotubes from sample 35 at 500,038X magnification;

5 FIG. 15 depicts a SEM image of pillow growth bi-modal carbon nanotubes from sample 37 at 10,000X magnification;

FIG. 16 depicts another SEM image of pillow growth bi-modal carbon nanotubes from sample 37 at 100,008X magnification;

10 FIG. 17 depicts another SEM image of pillow growth bi-modal carbon nanotubes from sample 37 at 200,000X magnification;

FIG. 18 depicts a SEM image of pillow growth bi-modal carbon nanotubes from sample 55 at 10,004X magnification;

FIG. 19 depicts another SEM image of bi-modal carbon nanotubes from sample 55 at 20,000X magnification;

15 FIG. 20 depicts another SEM image of bi-modal carbon nanotubes from sample 55 at 50,000X magnification;

FIG. 21 depicts a SEM image of bi-modal carbon nanotubes from sample 62 at 1,000X magnification;

20 FIG. 22 depicts another SEM image of bi-modal carbon nanotubes from sample 62 at 50,000X magnification;

FIG. 23 depicts another SEM image of bi-modal carbon nanotubes from sample 62 at 200,000X magnification;

FIG. 24 depicts a SEM image of carbon nanotubes from sample 80 at 10,000X magnification;

25 FIG. 25 depicts another SEM image of carbon nanotubes from sample 80 at 50,000X magnification;

FIG. 26 depicts a SEM image of carbon nanotubes from sample 85 at 400X magnification;

30 FIG. 27 depicts another SEM image of carbon nanotubes from sample 85 at 2,000X magnification;

FIG. 28 depicts a SEM image of carbon nanotubes from sample 85B at 2,000X magnification;

FIG. 29 depicts a SEM image of carbon nanotubes from sample 85A at 80,000X magnification;

FIG. 30 depicts a SEM image of carbon nanotubes from sample 85B at 100,000X magnification;

5 FIG. 31 depicts another SEM image of carbon nanotubes from sample 85B at 100,000X magnification;

FIG. 32 depicts a SEM image of carbon nanotubes from sample 105 at 1,000X magnification;

10 FIG. 33 depicts another SEM image of carbon nanotubes from sample 85B at 40,000X magnification;

FIG. 34 depicts another SEM image of carbon nanotubes from sample 105 at 100,000X magnification;

FIG. 35 depicts a SEM image of carbon nanotubes from sample 108 at 20,000X magnification;

15 FIG. 36 depicts another SEM image of carbon nanotubes from sample 108 at 50,000X magnification;

FIG. 37 depicts another SEM image of carbon nanotubes from sample 108 at 50,000X magnification;

20 FIG. 38 depicts a SEM image of carbon nanotubes from sample 109 at 20,000X magnification;

FIG. 39 depicts another SEM image of carbon nanotubes from sample 109 at 50,000X magnification;

FIG. 40 depicts another SEM image of carbon nanotubes from sample 109 at 50,000X magnification;

25 FIG. 41 depicts another SEM image of carbon nanotubes from sample 109 at 80,000X magnification;

FIG. 42 depicts a SEM image of carbon nanotubes from sample 112 at 7000X magnification;

30 FIG. 43 depicts another SEM image of carbon nanotubes from sample 112 at 100,000X magnification;

FIG. 44 depicts another SEM image of carbon nanotubes from sample 112 at 100,000X magnification;

FIG. 45 depicts another SEM image of carbon nanotubes from sample 112 at 200,000X magnification;

FIG. 46 depicts a SEM image of carbon nanotubes from sample 115 at 1,000X magnification;

5 FIG. 47 depicts another SEM image of carbon nanotubes from sample 115 at 9943X magnification;

FIG. 48 depicts another SEM image of carbon nanotubes from sample 115 at 50,015X magnification;

10 FIG. 49 depicts a SEM image of carbon nanotubes from sample 118 at 1,000X magnification;

FIG. 50 depicts another SEM image of carbon nanotubes from sample 118 at 50,000X magnification;

FIG. 51 depicts another SEM image of carbon nanotubes from sample 118 at 100,000X magnification;

15 FIG. 52 depicts a SEM image of carbon nanotubes from sample 131 at 1,000X magnification;

FIG. 53 depicts another SEM image of carbon nanotubes from sample 131 at 10,000X magnification;

20 FIG. 54 depicts another SEM image of carbon nanotubes from sample 131 at 50,000X magnification;

FIG. 55 depicts another SEM image of carbon nanotubes from sample 131 at 100,000X magnification;

25 FIG. 56 depicts a schematic view of the high pressure experimental setup used for preparing samples of the compositions of matter disclosed in this application;

FIG. 57 depicts a SEM image of carbon nanotubes from sample 137 at 10,000X magnification;

FIG. 58 depicts another SEM image of carbon nanotubes from sample 137 at 10,000X magnification;

30 FIG. 59 depicts another SEM image of carbon nanotubes from sample 137 at 100,000X magnification;

FIG. 60 depicts a SEM image of carbon nanotubes from sample 165 at 50,000X magnification;

FIG. 61 depicts another SEM image of carbon nanotubes from sample 165 at 50,000X magnification;

FIG. 62 depicts a SEM image of carbon nanotubes from sample 172 at 49,993X magnification;

5 FIG. 63 depicts a SEM image of carbon nanotubes from sample 173 at 50,000X magnification;

FIG. 64 depicts a SEM image of carbon nanotubes from sample 174 at 50,000X magnification;

10 FIG. 65 depicts a SEM image of carbon nanotubes from sample 177 at 100,002X magnification;

FIG. 66 depicts a SEM image of carbon nanotubes from sample 178 at 100,000X magnification;

FIG. 67 depicts a SEM image of carbon nanotubes from sample 204 at 50,000X magnification;

15 FIG. 68 depicts another SEM image of carbon nanotubes from sample 204 at 100,000X magnification;

FIG. 69 depicts a SEM image of carbon nanotubes from sample 206 at 100,000X magnification;

20 FIG. 70 depicts a SEM image of carbon nanotubes from sample 207 at 100,000X magnification;

FIG. 71 depicts a SEM image of carbon nanotubes from sample 208 at 100,000X magnification;

FIG. 72 depicts a SEM image of carbon nanotubes from sample 209 at 100,000X magnification;

25 FIG. 73 depicts a SEM image of carbon nanotubes from sample 210 at 50,000X magnification;

FIG. 74 depicts a SEM image of carbon nanotubes from sample 210 at 100,000X magnification;

30 FIG. 75 depicts a SEM image of carbon nanotubes from sample 20 at 10,000X magnification;

FIG. 76 depicts a SEM image of carbon nanotubes from sample 20 at 40,000X magnification;

FIG. 77 depicts another SEM image of carbon nanotubes from sample 20 at 40,000X magnification;

FIG. 78 depicts another SEM image of carbon nanotubes from sample 20 at 40,000X magnification;

5 FIG. 79 depicts a SEM image of carbon nanotubes from sample 32 at 1,000X magnification;

FIG. 80 depicts a SEM image of carbon nanotubes from sample 32 at 10,000X magnification;

10 FIG. 81 depicts a SEM image of carbon nanotubes from sample 32 at 50,000X magnification;

FIG. 82 depicts a SEM image of carbon nanotubes from sample 32 at 100,000X magnification;

FIGS. 83A-83I depict schematic views of an apparatus and subsystems for carbon nanotube production;

15 FIG. 84 depicts a SEM image of carbon nanotubes from sample 225 at 100,000X magnification;

FIG. 85 depicts a SEM image of carbon nanotubes from sample 226 at 100,000X magnification;

20 FIG. 86 depicts a SEM image of carbon nanotubes from sample 227 at 100,000X magnification;

FIG. 87 depicts a SEM image of carbon nanotubes from sample 228 at 100,000X magnification;

FIG. 88 depicts a SEM image of carbon nanotubes from sample 229 at 100,000X magnification;

25 FIG. 89 depicts a SEM image of carbon nanotubes from sample 230 at 100,000X magnification;

FIG. 90 depicts a SEM image of carbon nanotubes from sample 231 at 100,000X magnification;

30 FIG. 91 depicts a SEM image of carbon nanotubes from sample 232 at 100,000X magnification;

FIGS. 92A-92F depict charts and graphs showing properties of a single-wall population of bi-modal material from another sample;

FIG. 93 depicts a schematic view of a carbon nanotube for explaining one theory of how multi-modal carbon nanotubes may be formed during the reactions disclosed and for concluding smaller diameter tubes may be chemically bonded to larger diameter nanotubes; and

5 FIG. 94 depicts a C-H-O equilibrium diagram.

MODE(S) FOR CARRYING OUT THE INVENTION

Various embodiments of processes disclosed herein result in a mixture of carbon nanotubes having a bi-modal size distribution, that is, a primary growth
10 population of relatively larger diameter multi-wall carbon nanotubes and secondary growth population of relatively smaller diameter carbon nanotubes typically including at least some single-wall carbon nanotubes. There may be additional populations of carbon nanotubes and other allotropes and morphologies of carbon present in the bi-modal compositions as described herein. A multi-wall carbon
15 nanotube may contain multiple rolled, stacked, or nested layers of nanotubes, for example, 2, 3, 4, or more layers thick. Current analysis indicates that based on mass, the majority of the nanotubes may belong to the primary growth population and have a larger diameter, while numerically there may actually be more of the smaller diameter nanotubes associated with the secondary growth population. Thus, the
20 large diameter nanotubes typically constitute the primary constituent by mass, with the smaller diameter population occurring as a secondary growth highly entangled with, and appearing in some cases to be attached to or growing from, the surface of the large diameter nanotubes. This bi-modal composition appears to combine the properties of both sizes, delivering the greater strength typical of larger diameter
25 nanotubes and the enhanced thermal and electrical conductivity typical of smaller diameter nanotubes.

In certain embodiments, one or more carbon nanotubes in a second population may be chemically bonded to at least one of the carbon nanotubes in the primary population.

30 Note that for the purposes of this disclosure, "bi-modal compositions" and similar terms and references are intended to include the described compositions of matter wherein two or more populations of carbon nanotubes having different average diameters are present, and may include various other allotropes and

morphologies of carbon. Specific allotropes that have been observed to co-occur with carbon nanotubes include fullerenes, graphitic forms, carbon nanofibers, amorphous carbon, including apparently coke and various morphologies, and mixtures of these allotropes. In some embodiments, a bi-modal composition may include two or more populations of carbon nanotubes, wherein a primary population of nanotubes is relatively significantly larger in diameter, on average, than a secondary population on nanotubes. In some embodiments, the primary population may include carbon nanotubes with average characteristic diameters between, for example, about 20 and 200 nm, particularly between 80 and 150 nm. For example, the primary population may have an aspect ratio of at least 50:1. In some embodiments, a secondary population may include carbon nanotubes with average characteristic diameters less than about 30 nm, particularly less than 25 nm, more particularly less than about 10 nm. In certain embodiments, a secondary population may include single-, double- and triple-wall carbon nanotubes having average diameters of less than about 25 nm. In certain embodiments, a bi-modal composition may include a third population of nanotubes having a diameter generally intermediate to the primary and secondary populations.

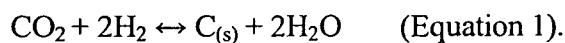
In certain embodiments, a bi-modal composition of carbon nanotubes may exhibit unique properties, including unique structural, chemical, and electrical properties. In some embodiments, a bi-modal mixture of carbon nanotubes has a resistivity at 29.0 kPa that is between 2.25 and 2.75 times its resistivity at 87.6 kPa. In some embodiments, a mixture of carbon nanotubes has a compacted density at 29.0 kPa in excess of 320 kilograms per cubic meter (20 pounds per cubic foot) and a compacted density at 87.6 kPa in excess of 480 kilograms per cubic meter (30 pounds per cubic foot).

In some embodiments, a process for producing such compositions of matter, with the carbon nanotubes generally having a bi-modal size distribution, includes the reduction of carbon dioxide with any of a variety of reducing gases such as hydrogen or methane in the presence of a catalyst such as iron, and under reaction conditions optimized for the particular desired morphology of carbon nanotubes. A wide variety of carbon sources (*e.g.*, hydrocarbons, carbon monoxide, carbon dioxide, alcohols) and various mixtures thereof may be used together with a wide variety of reducing agents (*e.g.*, methane, hydrogen, hydrocarbons, alcohols) and

various mixtures thereof. Using the processes disclosed here, bi-modal compositions can readily be formed and have value in that the resulting composition has beneficial properties contributed by each of the constituent populations.

In embodiments, methods for production of a bi-modal composition, as disclosed herein, may employ the Bosch reaction or variations thereof, by reduction of carbon dioxide with any of a variety of reducing gases such as hydrogen or methane in the presence of a catalyst and under reaction conditions optimized for any particular desired type of solid carbon. The Bosch reactions include reactions in the interior region of the C-H-O equilibrium diagram (*i.e.*, the region between the Boudouard reaction zone and the pyrolysis zone) as previously described, where equilibrium may be established between solid carbon, compounds of carbon, hydrogen and oxygen. The central region of the C-H-O equilibrium diagram has several points that are favorable for the formation of CNTs and other forms of solid carbon. The type of solid carbon produced can be selectively controlled through selection and processing of catalysts, reaction gases, and reaction conditions. Thus these methods open new routes to the production of valuable solid carbon products such as CNTs. This catalytic conversion process may be incorporated with a variety of separation technologies, and with a variety of carbon dioxide generation processes.

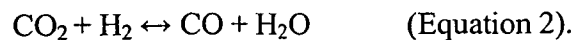
The Bosch reactions, as described herein, use a reducing agent (*e.g.*, hydrogen, a hydrocarbon, *etc.*) to reduce carbon oxides to solid carbon (*e.g.*, graphite, graphene, carbon black, fibrous carbon, buckminsterfullerene, single-wall CNTs, multi-wall CNTs, carbon platelets, nanodiamond, *etc.*) and water. The reactions may be conducted at temperatures in excess of approximately 600°C, such as in excess of about 650°C, in the presence of a catalyst. In the formation of CNTs, graphite, or C₆₀ fullerene, the Bosch reaction of carbon dioxide with hydrogen is mildly exothermic (heat producing) and proceeds with the stoichiometry:



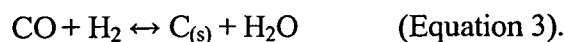
The formation of CNTs in Equation 1 releases approximately 24.9 kcal/mol at 650°C (*i.e.*, $\Delta H = -24.9$ kcal/mol). The formation of graphite in Equation 1 releases approximately 23.8 kcal/mol at 650°C. The formation of C₆₀ fullerene in Equation 1 releases approximately 13.6 kcal/mol at 650°C. The formation of carbon lamp black in Equation 1 is endothermic, consuming approximately 147.5 kcal/mol at 650°C (*i.e.*, ΔH is +147.5 kcal/mol). The Bosch reactions are reversible; in the reverse of

Equation 1, solid carbon is oxidized by water to form carbon dioxide and hydrogen in an oxygen shift reaction.

The Bosch reactions are actually two-step reactions having an overall release of energy (*i.e.*, the net reactions are exothermic). In the first step of the reaction shown in
5 Equation 1, carbon dioxide reacts with hydrogen to create carbon monoxide and water in a reverse water-gas shift reaction:



Equation 2 is slightly endothermic at 650°C, requiring a heat input of about 8.47 kcal/mol (*i.e.*, $\Delta H = +8.47$ kcal/mol). In the second step of the reaction shown in
10 Equation 1, carbon monoxide reacts with hydrogen to form solid carbon and water:



Equation 3 may occur with stoichiometric amounts of reactants, or with excess CO_2 or H_2 . Equation 3 is exothermic at 650°C, releasing 33.4 kcal/mol (1.16×10^4 joules/gram of $\text{C}_{(s)}$) when CNTs are formed (*i.e.*, $\Delta H = -33.4$ kcal/mol). Values of ΔH
15 for Equation 3 may be calculated for other carbon products by the difference between the ΔH value for Equation 1 for that particular carbon product and the ΔH value for Equation 2.

In embodiments, processes disclosed herein involve the creation of solid carbon, and in particular, CNTs of different shapes or morphologies, from carbon
20 oxides. The carbon oxides may be a product of combustion of a primary hydrocarbon, from the atmosphere, or from some other source. The carbon oxides and a reducing agent may be injected into a reaction zone that has been preheated to a reaction temperature. The reaction typically occurs in the presence of a catalyst. The catalyst composition and grain size may affect the morphology of the resulting
25 solid carbon products. The reaction conditions, including the temperature and pressure of the reactor, the residence time of the reaction gases, and the grain size of the catalyst, may be controlled to obtain solid carbon products having selected characteristics. Feed and product mixtures may pass through one or more condensers to remove excess water and to control the partial pressure of water vapor in the
30 reaction gas mixture.

The partial pressure of water is one factor that appears to affect the type and character (*e.g.*, morphology and allotropes) of solid carbon formed, as well as the kinetics of carbon formation. Thus, regulation of the water vapor pressure in one or

more reactors for use in the methods disclosed herein, by various methods known in the art (e.g., condensation, heating, addition or water vapor to the reactor) may significantly contribute to the bi-modal composition formed. In certain embodiments, the partial pressure of water in the reaction is continuously regulated
5 by various means, including recycling and condensation of water, to influence, e.g., the structure, distribution, or other aspects of the composition of carbon products produced.

In embodiments, the processes disclosed herein use two abundant feedstocks, carbon oxides (e.g., carbon dioxide, carbon monoxide) and a reducing agent. The
10 reducing agent is preferably a hydrocarbon gas (e.g., methane), hydrogen gas (H₂), or a mixture thereof. A hydrocarbon gas may serve a dual function as both an additional carbon source and as the reducing agent for the carbon oxides. "Syngas" comprises primarily carbon monoxide and hydrogen, and thus has both a carbon oxide and a
reducing gas in a mixture. Syngas may be used as all or a portion of the reaction gas
15 mixture.

The reduction process of this method results in the formation of solid carbon products and water. The water may subsequently be condensed and the latent heat extracted for heating purposes, or as part of a low-pressure power extraction cycle. The water may be extracted as a useful co-product, and the associated latent heat of the
20 water may be used for another process.

In some embodiments, the methods disclosed herein use carbon dioxide as an economically valuable feed stock. Carbon dioxide is present in many natural gas deposits at various concentrations, such as at concentrations of up to 5% by volume, up to 20% by volume, up to 60% by volume, or even higher. In many industrial
25 processes, carbon dioxide is an undesirable waste product, and may have associated disposal costs. Use of carbon dioxide as a feed in solid carbon production may reduce or eliminate disposal costs, and may simultaneously convert carbon dioxide to a marketable product. Thus, the methods may be incorporated with fossil fuel combustion processes. Combining the processes disclosed herein with fossil fuel
30 combustion processes may also be beneficial because formation of solid carbon products by such processes may be more economical than existing separation and sequestration methods.

Other compounds, such as H₂S, SO₂, and other sulfur compounds are often present in natural gas. Removal of sulfur-containing compounds is often done at a well site to form "sweet gas" (*i.e.*, gas with little or no sulfur content). Removal of carbon dioxide before the natural gas is delivered to a consumer may be effectively accomplished via the techniques disclosed herein.

The reactions described herein typically occur in the presence of a catalyst. Suitable catalysts include metals selected from groups 2 through 15 of the periodic table (*e.g.*, nickel, molybdenum, chromium, cobalt, tungsten, manganese, ruthenium, platinum, iridium, *etc.*), actinides, lanthanides, alloys thereof, and combinations thereof. For example, catalysts include iron, nickel, cobalt, molybdenum, tungsten, chromium, and alloys thereof. Note that the periodic table may have various group numbering systems. As used herein, group 2 is the group including Be, group 3 is the group including Sc, group 4 is the group including Ti, group 5 is the group including V, group 6 is the group including Cr, group 7 is the group including Mn, group 8 is the group including Fe, group 9 is the group including Co, group 10 is the group including Ni, group 11 is the group including Cu, group 12 is the group including Zn, group 13 is the group including B, group 14 is the group including C, and group 15 is the group including N.

In certain embodiments, a broad range of inexpensive and readily-available catalysts, including steel-based catalysts, are used to facilitate the reactions disclosed herein, without the need for a preactivation step (*e.g.*, heating, washing) to prepare the catalyst. In certain embodiments, a catalyst may be exposed to a reducing gas to ensure that a surface of the catalyst is in a reduced state before exposure of the catalyst to a carbon source.

A variety of common steel catalysts have been used and shown to produce the desired bi-modal distribution of CNT material. Iron alloys, including steel, may contain various allotropes of iron, including alpha-iron (austenite), gamma iron, and delta-iron. In certain embodiments, reactions disclosed herein advantageously utilize an iron-based catalyst, wherein the iron is not in an alpha phase. In some embodiments, various commercially available grades of metals are used as catalysts, such as series-300 stainless steels. In certain of these embodiments, the iron in a stainless steel catalyst is primarily in the austenitic phase. Examples include 304 SS, 316 SS, and carbon steel pipe, common steel wool, and common steel sand blasting

grit. Apparently, under the described conditions the desired bi-modal distribution of CNT material will form on a wide variety of steels in a wide variety of formats (sheet, powder, grit, wool, pipe, *etc.*). The low cost, low environmental impact, and ready availability of steels recommend them for use as catalysts in the production of bi-modal
5 CNT materials.

In some embodiments, commercially available metals are used without special preparation. The use of commercial forms of commonly available metals may reduce the cost, complexity, and difficulty of producing solid carbon. For example, CNT forests may grow on commercial grades of steel, with the CNT forests forming directly
10 on the steel without additional layers or surfaces isolating the steel from the CNT forest. CNTs form on materials such as on mild steel, 304 stainless steel, 316L stainless steel, steel wool, and 304 stainless steel wire.

304 stainless steel appears to catalyze the formation of CNTs under a wide range of temperatures, pressures, and gas compositions. However, the rate of
15 formation of CNTs on 304 stainless steel appears to be relatively low, such that 304 stainless steel may be used effectively as a construction material for process equipment, with minimal deposition on surfaces thereof in normal operations. 316L stainless steel, in contrast, appears to catalyze the formation of solid carbon at significantly higher rates than 304 stainless steel, but may also form various morphologies of carbon.
20 Thus, 316L stainless steel may be used as a catalyst to achieve high reaction rates, but particular reaction conditions may be maintained to control product morphology. Catalysts may be selected to include Cr, such as in amounts of about 22% or less by weight. For example, 316L stainless steel contains from about 16% to about 18.5% Cr by weight. Catalysts may also be selected to include Ni, such as in amounts of about
25 8% or more by weight. For example, 316L stainless steel contains from about 10% to about 14% Ni by weight. Mild steel is a common form of steel, containing less than about 0.2% carbon. Catalysts of these types of steel have iron in an austenitic phase, in contrast to alpha-phase iron used as a catalyst in conventional processes. Given the good results observed with 316L stainless steel, the Ni and/or Cr may have a
30 synergistic effect with Fe.

In various embodiments, catalysts may be in the form of nanoparticles or in the form of domains or grains and grain boundaries within a solid material. Catalysts may be selected to have a grain size related to a characteristic dimension of a desired

diameter of the solid carbon product (*e.g.*, a CNT diameter). Catalyst powder may be formed in or near the reaction zone by injecting an aerosol solution such that upon evaporation or a carrier solvent, a selected particle size distribution results. Alternatively, powdered catalyst may be entrained in a carrier gas and delivered to the reactor. By selecting the catalyst and the reaction conditions, the process may be tuned to produce selected morphologies of solid carbon product. In some embodiments, the catalyst may be formed over a substrate or support, such as an inert oxide that does not participate in the reactions. However, the substrate is not necessary; in other embodiments, the catalyst material is an unsupported material, such as a bulk metal or particles of metal not connected to another material (*e.g.*, loose particles, shavings, or shot, such as may be used in a fluidized-bed reactor). Omitting a solid support (such as a ceramic or metallic support) may simplify the setup of the reactor and reduce costs.

It appears that the optimum reaction temperature is dependent on the desired type of solid carbon product. For example, the reaction between carbon dioxide and hydrogen at pressures near atmospheric occurs at temperatures in the range of approximately 450°C to 950°C for iron based catalysts, depending on the particle size and composition and the desired solid carbon product. That is, in general, graphite and amorphous solid carbon and some carbon nanotubes form at lower temperatures (typically below approximately 600°C), and carbon nanotubes typically form at higher temperatures with high purity carbon nanotubes typically forming above approximately 650°C. As illustrated in the examples that follow, however, a bi-modal distribution of carbon nanotubes is seen over a broad range of temperature conditions.

In embodiments, reaction gases for use in the methods disclosed herein may be supplied in approximately stoichiometric amounts. In other embodiments, an excess of one or another reactant may be provided. Conducting the reaction with an excess of reducing agent beyond stoichiometric ratios apparently has two important effects. First, an excess of reducing agent decreases the tendency of iron catalysts to oxidize in the presence of the water formed in the reaction, thus increasing the conversion rates per pass. Second, a high percentage of reducing gas (*e.g.*, above 90% hydrogen with less than 10% carbon oxides) results in a forest growth morphology typically with a bi-modal size distribution wherein the primary growth

population occurs in the forest morphology and the secondary growth population is randomly entwined in and through the primary growth population.

A reactor may be coupled with heating and cooling mechanisms to control the temperature of the reactor. For example, a reactor may be configured such that
5 products and excess reactant are recycled through a cooling mechanism to condense water vapor. The products and/or excess reactant may then be reheated and recycled through the reactor. By removing some of the water vapor in the recycled gases, the morphology of solid carbon formed may be controlled. Changing the partial pressure of water vapor changes the carbon activity of a mixture. The reactor may also be
10 coupled to a carbon collector in which water and unreacted reactants are separated from the carbon products. The separated carbon products are collected and removed from the system.

Without being bound by any particular theory, carbon nanotubes appear to
15 grow from a nucleating site that is the catalyzing particle. This catalyzing particle may be a domain in a piece of steel or steel wool, for example, or a discrete nanoparticle of iron in an aerosol or deposited on an inert substrate such as a quartz disk. The size of the carbon nanotube is generally proportional to the size of the nucleating site, with the ratio between the catalyst particle size and the CNT diameter observed to be about 1.3 to 1.6.

20 The morphology of the carbon nanotubes grown on steel is dependent on the chemistry of the steel and the way it was processed. In general, steels with smaller grain sizes tend to produce smaller diameter carbon nanotubes. The grain size is both a function of the chemistry of the steel and the heat treating methods under which the grains formed. Mild steels often produce a primary population of carbon
25 nanotubes with diameters over 100 nm, while stainless steels (such as 304 or 316L) produce a primary population of carbon nanotubes with diameters in the range of 20nm and under. This may be due to any of a number of factors not presently fully understood; however, it appears to be related to the grain size and boundary shapes within the metal, where the characteristic size of these features controls the
30 characteristic diameter of the population of carbon nanotubes grown on the surface of such steel samples.

When using a solid catalyst, such as a wafer of steel, the carbon nanotubes appear to grow in a series of generations. While the mechanism is not fully

understood, it appears that the reaction gases interact with the exposed surface particles and the carbon nanotubes begin to grow, lifting the nucleating catalyst particles off of the surface of the bulk catalyst (*i.e.*, as tip growth). As the growth continues, it appears that additional nucleation particles form on the surface of the bulk catalyst and, in turn, catalyze additional carbon nanotube growth, lifting the prior generation of carbon nanotubes off of the surface of the bulk catalyst.

If a bulk catalyst sample is left in the reaction zone, these layers continue to form and lift off until the catalyst is consumed. The observation that each generation of CNTs detach from the underlying catalyst substrate means that a fluidized bed reactor where the CNTs are elutriated from the substrate, entrained in the gas flow, and subsequently harvested from the gas mixture may be an economical reactor design for growing carbon nanotube pillows. The layers of CNTs appear to have little or no binding to the bulk catalyst substrate or to each other.

Without being bound by any particular theory, oxidation and subsequent reduction of the catalyst surface appear to alter the grain structure and grain boundaries. Oxidation may first alter the surface of the metal catalyst in the oxidized areas. Subsequent reduction may result in further alteration of the catalyst surface. Thus, the grain size and grain boundary of the catalyst may be controlled by oxidizing and reducing the catalyst surface and by controlling the exposure time of the catalyst surface to the reducing gas and the oxidizing gas. The oxidation and/or reduction temperatures may be in the range from about 500°C to about 1,200°C, from about 600°C to about 1,000°C, or from about 700°C to about 900°C. The resulting grain size may range from about 0.1 μm to about 500 μm , from about 0.2 μm to about 100 μm , from about 0.5 μm to about 10 μm , or from about 1.0 μm to about 2.0 μm . In some embodiments, the catalyst may be an oxidized metal (*e.g.*, rusted steel) that is reduced before or during a reaction forming solid carbon. Without being bound to any particular theory, it is believed that removal of oxides leaves voids or irregularities in the surface of the catalyst material, and increases the overall surface area of the catalyst material.

Rust on steel has been observed to be a good catalyst for the formation of carbon nanotubes by the methods disclosed. Although the mechanism is not presently understood, it may be because the iron oxides comprising the rust are in

effect a catalyst precursor. As the rusted samples are heated in the presence of a reducing agent such as hydrogen, the iron oxides decompose and the iron atoms coalesce to form small iron nanoparticles suitable for the catalysis of carbon nanotube growth. Initially heating the steel catalyst in the presence of hydrogen promotes reduction of the iron oxides in surface films and rust, and activates the steel catalysts. Oxidized metals do not appear to effectively catalyze the carbon oxide reduction reactions, and thus at present an initial reduction of such oxides is recommended as part of a startup procedure for reactors using steels as a catalyst.

In various embodiments, reaction conditions, including the temperature and pressure in the reaction zone, the residence time of the reaction gases, and the grain size, grain boundary, and chemical composition of the catalyst are controlled to obtain solid carbon products of the desired characteristics. The feed gas mixture and reaction product are typically recycled through the reaction zone and passed through a condenser with each cycle to remove excess water and to control the partial pressure of the water vapor in the reaction gas mixture. The partial pressure of water, as noted previously herein, is one factor that appears to affect the type and character (*e.g.*, morphology) of solid carbon formed, as well as the kinetics of carbon formation.

Carbon activity (A_c) can be used as an indicator of whether solid carbon will form under particular reaction conditions (*e.g.*, temperature, pressure, reactants, concentrations). Without being bound to any particular theory, it is believed that carbon activity is the key metric for determining which allotrope of solid carbon is formed. Higher carbon activity tends to result in the formation of CNTs, lower carbon activity tends to result in the formation of graphitic forms.

Carbon activity for a reaction forming solid carbon from gaseous reactants can be defined as the reaction equilibrium constant times the partial pressure of gaseous products, divided by the partial pressure of reactants. For example, in the reaction, $\text{CO}_{(g)} + \text{H}_{2(g)} \rightleftharpoons \text{C}_{(s)} + \text{H}_2\text{O}_{(g)}$, with a reaction equilibrium constant of K , the carbon activity A_c is defined as $K \cdot (P_{\text{CO}} \cdot P_{\text{H}_2} / P_{\text{H}_2\text{O}})$. Thus, A_c is directly proportional to the partial pressures of CO and H₂, and inversely proportional to the partial pressure of H₂O. Higher $P_{\text{H}_2\text{O}}$ tends to inhibit CNT formation. The carbon activity of this reaction may also be expressed in terms of mole fractions and total pressure: $A_c = K \cdot P_T (Y_{\text{CO}} \cdot Y_{\text{H}_2} / Y_{\text{H}_2\text{O}})$, where P_T is the total pressure and Y is the mole fraction of a

species. Carbon activity generally varies with temperature because reaction equilibrium constants vary generally with temperature. Carbon activity also varies with total pressure for reactions in which a different number of moles of gas are produced than are consumed. Mixtures of solid carbon allotropes and morphologies
5 thereof can be achieved by varying the catalyst and the carbon activity of the reaction gases in the reactor.

CNTs have been observed to grow in several distinct bulk morphologies including "pillows," "forest" and random. Pillow morphology is characterized by distinct clusters of highly entangled CNTs forming clumps of under approximately
10 1 mm average diameter. Under SEM imaging, CNT pillows resemble cockleburrs or sea anemones. Forest morphology is characterized by a significant portion of the primary growth CNTs being oriented substantially perpendicular to the bulk catalyst surface and substantially parallel to each other. Random morphology is similar to the pillow, but without the presence of distinct well defined clusters. In both pillow
15 and random morphologies the carbon nanotubes are highly intertwined and entangled. In such tangled morphologies it is typically not possible to estimate the aspect ratio of any particular carbon nanotube from the SEM images.

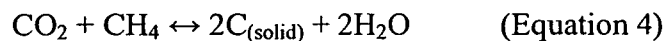
CNT pillows and random morphologies tend to occur when the reaction gases have approximately stoichiometric proportions of the carbon oxides and
20 reducing agents. CNT forests tend to occur when the reaction gases have a significant surplus of reducing agent. For example, reaction gas mixtures with over 90% hydrogen reliably produce forest morphologies, whereas 70% hydrogen reliably produces pillow and random morphologies.

As depicted in FIGS. 12-18 (see Examples 3-5), the pillow morphology is
25 characterized by the presence of carbon nanotubes that are highly entangled in clusters, typically with a dimension for the clusters of under 1 mm. Hence, the pillows appear as numerous bulbous or billowing conglomerations of nanotubes, similar to the appearance of the outer periphery of cumulus clouds. The pillows may be comprised of carbon nanotubes of many different diameters, lengths and types.
30 The pillows may appear in the form of discrete units in forests, piles, and filaments grown on a substrate. Steels of many different compositions (*e.g.*, mild steel, 304 stainless, 316L stainless) and in many of the various forms (*e.g.*, plate, steel wool, and steel shot) tend to yield carbon nanotube pillows under a wide range of reaction

gas mixes and reaction temperatures at reaction gas mixtures near stoichiometric ratios.

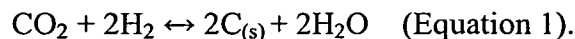
EXAMPLES

5 Examples of bi-modal compositions were formed using the Bosch reaction or variants thereof. In one embodiment using an apparatus suitable for preparing the disclosed carbon nanotube compositions, carbon dioxide is used and the reducing gas comprises methane, in a variation of the Bosch reaction, with the stoichiometry:



10 in an exothermic reaction with the release of an undetermined amount of heat. Methane is generally inexpensive and readily available. Examples of the bi-modal compositions were prepared using reaction gases at approximately atmospheric pressure and processing temperatures between about 600°C and 950°C. Additional examples were prepared using higher processing pressures of 4.14 MPa at
15 approximately 650°C to 950°C.

 In some embodiments using an apparatus suitable for preparing the disclosed carbon nanotube compositions of matter, the reducing gas comprises hydrogen, in a Bosch reaction, with the stoichiometry:



20 This reaction occurs at temperatures between approximately 500°C and 1,000°C. Examples include samples produced at approximately 113 kPa and at higher pressures of approximately 4.14 MPa. Typically, the optimum temperature increases as the pressure increases, regardless of the reducing agent used.

 The following examples are included as illustrative of the methods disclosed
25 herein. In the examples, the figures show the presence of both a primary population of relatively large carbon nanotubes or carbon nanofibers with a co-occurring interspersed population of significantly smaller carbon nanotubes. Although the examples described herein have been used to describe the present methods, it is understood that such detail is solely for this purpose, and variations to the disclosed
30 methods may be made by those skilled in the art without departing from the spirit and scope of the invention. Each example is explained in additional detail in the following subsections, with reference to scanning electron microscope (SEM) and

transmission electron microscope (TEM) images of the products of each of the examples.

ATMOSPHERIC PRESSURE EXAMPLES

5 The bi-modal carbon nanotubes grown and used as illustrations in the examples were grown using either a mild steel, a 304 stainless steel, or a 316L stainless steel reactor pipe 5.0 cm (2.0 inches) in diameter and 1.2 meters (4 feet) long, the pipe essentially acting as a catalyst for the reaction as well as a reaction chamber. The reactor pipes were each placed inside a tube furnace, which was used
10 to control the temperature of the reactor pipes. The laboratory setup used for the examples is illustrated in FIG. 1A. The reaction gases were piped from a gas supply 6 to a mixing valve 7 where the gases were metered and passed through the reactor pipe to a tube furnace 1. The gases flowed through the reactor pipe in the furnace and from there to a refrigerated condenser 4 (dew point 3.3°C), then through
15 a compressor 3 and back into the head end of the reactor pipe in the tube furnace.

 Start-up involved evacuating the system using the vacuum pump 5 and then purging the system with hydrogen for thirty seconds with the vacuum pump 5. After the purge was completed, the vacuum pump was isolated and turned off and the system was filled with hydrogen. The furnace 1 was then turned on and the system
20 was brought to the desired experimental temperature. This heating in a hydrogen atmosphere is believed to be fully reduce pipe surfaces in the reaction zone. The compressor was then turned on and the system temperature stabilized.

 The tube furnace 1 typically took approximately one hour to come up to temperature and four hours to cool so that the samples could be removed. When the
25 furnace 1 had achieved the desired reaction temperature, hydrogen was evacuated from the system and the desired mixture of reaction gases were introduced into the system. The system was filled with the reaction gas mixture to approximately atmospheric pressure (101 kPa), at which point the flow rate of the reaction gases was reduced to stabilize the pressure. Reaction gases were constantly added
30 thereafter to maintain the system pressure, as makeup gas for the gases consumed in the reaction. The temperature of the tube furnace 1 was measured by a type K thermocouple located at approximately the centerline of the furnace. The

temperatures reported are the gauge temperature as shown for this thermocouple, and are generally indicative of the temperature in the reaction zone.

The reaction gas supply included standard industrial grades of carbon dioxide, hydrogen and methane procured in cylinders from an industrial supplier,
5 PraxAir.

Make-up gases were metered into the system using either ball-type flow meters or mass flow controllers. The reaction gases were circulated through the furnace by the compressor 3. The gases exiting the furnace 1 were cooled and the water was condensed in a refrigerated condenser 4. The water was periodically
10 removed from the condensers via a drain valve. All of the components illustrated in FIG. 1A together with associated piping, instrumentation and appurtenances are collectively referred to as the "experimental apparatus" in the following description of the experimental examples.

No attempt was made to measure or to control the recirculation flow rate;
15 though various flow rates were used in various trials, the quality of the product and speed of reaction seemed to be independent of the circulation rate. This may have been because in all cases, the flow rate was above a critical threshold. Flow rates may be important in the design and operation of production facilities, but are not particularly important in the tests reported here because the volume of the
20 experimental apparatus was much larger than the volume of the catalyst and resulting solid carbon product.

During the experiments, the pressure of the gases in the experimental apparatus would continuously drop if the flow of make-up gases was stopped. This is because the reaction gases were being converted into solid carbon and water. The
25 rate of pressure drop was therefore indicative of the reaction rate. The rate at which the pressure dropped varied with the catalyst and gas mixture, but not particularly with the temperature, indicating that the reaction is likely to be diffusion limited rather than Arrhenius temperature limited. The pressure was maintained by adding additional reaction gases to the experimental apparatus.

30 In the examples, the furnace was operated for a fixed time (typically four hours), at which time the furnace was turned off, purged and allowed to cool. After the furnace was turned off, the vacuum pump 5 was turned on, the reaction gases evacuated and the experimental apparatus purged with carbon dioxide for

approximately 5 minutes, then the vacuum pump 5 was turned off and the experimental apparatus was brought up to atmospheric pressure with an inert purge gas and allowed to cool. All tests were run in a batch mode.

Example 1 - Sample 85

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 1 Forest Growth bi- modal CNTs	CO ₂	H ₂	mild steel pipe	P = 113 kPa Temp = 700°C Time = 4 hour

5 For Example 1, the reactor pipe was a mild steel pipe (schedule 40) 5 cm (2 inches) in diameter and 1.2 m (4 feet) long inserted into the tube furnace 1. The vacuum pump 5 was started and hydrogen was used to purge the experimental apparatus for 30 seconds. After 30 seconds, the vacuum pump was turned off, the compressor 3 was turned on, the refrigerated condenser 4 was turned on and the
10 hydrogen gas continued to flow until the pressure was about 113 kPa (850 torr), at which point the hydrogen gas flow was shut off. The tube furnace 1 was then turned on.

When the tube furnace 1 temperature reached the set point temperature of 700°C, the vacuum pump 5 was turned on, and reaction gases in a stoichiometric
15 mixture of carbon dioxide and hydrogen, from gas supply 6 controlled by mixing valve 7, were used to purge the experimental apparatus for 30 seconds. After five minutes, the vacuum pump was turned off. When the experimental apparatus reached a pressure of 113 kPa, the reaction gas flow rate was reduced to maintain the system pressure at approximately 113 kPa. The test ran for four hours, after
20 which the furnace 1 was shut off, the vacuum pump 5 was started and the experimental apparatus was purged with carbon dioxide from gas supply 6 controlled by mixing valve 7, for 30 seconds. The vacuum pump was then shut off and the carbon dioxide purge gas continued to flow until the pressure in the experimental apparatus was 107 kPa (800 Torr). The furnace was then left to cool.

25 The reactor pipe was removed from the furnace 1 after the furnace had cooled. The solid carbon product was scraped from the reactor walls and a small

sample was taken for scanning electron microscopy (SEM) and transmitting electron microscopy (TEM) analysis. FIG. 2 shows a SEM image at 250X magnification of the resulting material (sample 85). At this magnification, only the forest growth morphology of the primary material can be observed.

5 FIG. 3 shows a SEM image of the same sample at 2,000x magnification. Note that at this magnification the secondary growth begins to come into focus. FIG. 4 shows the same sample at 99,981x magnification and shows the details of the secondary growth. The size of the primary growth CNTs (tens to hundreds of nanometers in diameter) indicates that they are most probably multi-wall CNTs, while the much smaller size of the secondary growth CNTs indicates that they are much smaller multi-wall (fewer walls), and perhaps comprise single-, double- and triple-wall CNTs.

10 FIGS. 5, 6 and 7 are TEM images; FIGS. 5 and 6 show a single CNT of the primary growth passing vertically through the image and smaller secondary growth CNTs surrounding it. This series of TEM images is of the same sample 85 at increasing magnifications. In these images, it appears that the secondary growth CNTs could be single- and double-wall CNTs. Note that the pore size of the secondary growth material appears to be somewhat larger than is commonly reported in the literature for SWCNTs. Because TEM images are necessarily extremely small samples, it is unknown how representative this characteristic may be.

Example 2 - Sample 112

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 2 Multi Wall Carbon Nanotubes	CO ₂	H ₂	304 stainless steel and quartz disk	P = 101 kPa Temp = ~600°C Time = 1 hour

For Example 2, a sample quartz disk was placed flat on a 304 stainless steel wafer, which was used as the catalyst. The 304 stainless steel catalyst wafer was placed in the tube furnace 1 at approximately the center line. The vacuum pump 5

was started and helium was used to purge the experimental apparatus for five minutes. After five minutes the vacuum pump was turned off, the compressor 3 was turned on, the refrigerated condenser 4 was turned on and the helium gas continued to flow until the pressure was 91.0 kPa (680 Torr), at which point the gas flow was
5 shut off. The furnace was then turned on.

When the furnace 1 temperature reached the set point temperature of 680°C, the vacuum pump 5 was turned on and reaction gases in a stoichiometric mixture of carbon dioxide and hydrogen, from gas supply 6 controlled by mixing valve 7 were used to purge the experimental apparatus for five minutes. After five minutes the
10 vacuum pump was turned off. When the experimental apparatus reached a gauge pressure of 101 kPa (760 Torr) the reaction gases were shut off. Additional reaction gases were added periodically to keep the experimental apparatus pressure between 84.3 kPa and 101 kPa.

The test ran for 1 hour after which the furnace 1 was shut off, the vacuum
15 pump 5 was started and the experimental apparatus was purged with helium from the gas supply 6, controlled by the mixing valve 7, for five minutes. The vacuum pump was then shut off and the helium purge gas continued to flow until the pressure in the experimental apparatus was 98.7 kPa (740 Torr). The furnace was then left to cool.

20 The steel sample was removed from the furnace 1 after the furnace cooled. A mat of CNTs grew between the quartz and the wafer. Portions of the CNT mat adhered to both the quartz and the steel catalyst wafer surfaces. FIG. 8 shows the sample under 7,000X magnification, and FIG. 9 shows the sample under 9,980X magnification. FIG. 10 shows Sample 112 at 100,000X magnification, and FIG. 11
25 is an image taken from Sample 112 at 200,000X magnification. The size of the CNTs (tens to hundreds of nanometers in diameter) indicates that they are probably multi-wall CNTs. Note that the larger diameter CNTs are of the "forest" morphology, with masses of smaller diameter CNTs intertwined around and through the forest growth.

30 Example 3 - Sample 35

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions

Example 3	CO ₂	H ₂	316L stainless steel wafer	P = 101 kPa Temp = ~ 650°C Time = 1 hour
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For Example 3, a 316L stainless steel wafer was used as the catalyst. The 316L stainless steel wafer was placed in the furnace 1 at approximately the center line. The compressor 3 was turned on, the refrigerated condenser 4 was turned on, the vacuum pump 5 was turned on and a purge gas comprising helium from the gas supply 6 controlled by the mixing valve 7, was introduced into the experimental apparatus. After five minutes of purging, the vacuum pump was shut off and the helium purge gas continued to flow until the pressure of the experimental apparatus was 91 kPa, at which point the purge gas flow was shut off. The furnace was then turned on.

When the furnace 1 temperature reached about 640°C, the vacuum pump 5 was started and reaction gases in a stoichiometric mixture of carbon dioxide and hydrogen, from the gas supply 6 controlled by mixing valve 7, passed into the experimental apparatus. After five minutes, the vacuum pump was shut off and the reaction gases continued to flow until the pressure of the experimental apparatus was 88 kPa, at which point the reaction gas flow rate was reduced to a lower flow rate sufficient to keep the pressure between about 75 kPa and 92 kPa. The experimental apparatus ran for one hour after which the furnace was shut off, the vacuum pump was started and the experimental apparatus was purged with helium from the gas supply, controlled by the mixing valve, for five minutes. The vacuum pump was then shut off and the apparatus purged with helium purge gas. The furnace was then left to cool.

The resulting product is depicted in FIG. 12 at 200,000X magnification. The larger diameter nanotubes are most likely multi-walled. The smaller carbon nanotubes are likely to be single, double or triple walled. FIG. 13 depicts sample 35 at 300,000X magnification, again showing the generally bi-modal size distribution of the resulting nanotubes. FIG. 14 depicts Sample 35 at 500,038X magnification.

Example 4 - Sample 37

Example	Carbon	Reducing	Catalyst	Conditions
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	Oxide	Agent		
Example 4 - Sample 37 Carbon Nanotubes	CO ₂	H ₂	0000 fine steel wool	P = 68.3-92.9 kPa Temp = 641-651 C Time = ~ 1 hour

For Example 4, sample 37, a 0000 fine steel wool was used as the catalyst. Fine steel wool has an average fiber diameter of less than about 0.02 mm, more particularly a fiber diameter less than about 0.012 mm. The steel wool was placed in the furnace 1. The same process of purging the furnace, introducing the reaction
5 gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as discussed above with regard to other experiments. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 15, 16 and 17 at 9,975X, 100,008X and 200,000X magnification, respectively. At 9,975X magnification, the
10 bi-modal size distribution is not apparent. However, as indicated by FIGS. 16 and 17, at 100,008X and 200,000X magnification, the bi-modal nature is more apparent.

Example 5 - Sample 55

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 5 - Sample 55 Carbon Nanotubes	CO ₂	H ₂	0000 fine steel wool	P = ~ 111 kPa Temp = ~ 700°C Time = 1 hour

For Example 5, sample 55, a 0000 fine 316L steel wool was used as the catalyst. The steel wool was placed in the furnace 1. The steps of purging the
15 furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 18, 19 and 20 at 10,004X, 20,000X and 50,000X magnification, respectively. At 10,004X magnification, the
20 bi-modal size distribution is not apparent. However, as indicated by FIGS. 19 and

20, at 20,000X magnification, and even more so at 50,000X magnification, the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 6 - Sample 62

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 6 - Sample 62	CO ₂	H ₂	Stainless steel pipe	P = 101 kPa Temp = 700°C Time = ~ 1 hour

For Example 6, sample 62, a 316L stainless steel pipe (schedule 40) was used as the catalyst. The stainless steel pipe catalyst was placed in the furnace 2. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product, which was scraped off the wall of the tube, is depicted in FIGS. 21, 22 and 23 at 1,000X, 50,000X and 200,000X magnification, respectively. At 1,000X magnification, the bi-modal size distribution is not apparent. However, as indicated by FIGS. 22 and 23, at 50,000X magnification, and even more so at 200,000X magnification, the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 7 - Sample 80

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 7 - Sample 80	CO ₂	H ₂	Mild steel pipe	P = 101 kPa Temp = ~ 700°C Time = ~ 1 hour

For Example 7, sample 80, a mild steel pipe (schedule 40) was used as the catalyst. The mild steel pipe catalyst was placed in the furnace 2. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 24 and 25 at 10,000X and 50,000X magnification, respectively. At 10,000X magnification, the bi-modal size distribution is not apparent. However, as indicated by FIG. 25, at 50,000X magnification the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 8 - Sample 85

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 8 - Sample 85	CO ₂	H ₂	Stainless steel pipe	P = ~ 101 kPa Temp = ~ 700°C

For Example 8, sample 85, a stainless steel pipe (schedule 40) was used as the catalyst. The steel pipe catalyst (schedule 40) was placed in the furnace 2. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product, which was scraped off the wall of the tube, is depicted in FIGS. 26, 27, 28, 29, 30 and 31, at 400X, 2000X, 2000X, 80,000X, 100,000X and 100,000X magnification, respectively. At 400X magnification, the bi-modal size distribution is not apparent. At 2000X magnification, some "fuzz" may be visible, but that may be a function of lack of resolution; however, the parallel "forest" nature of the nanotubes is readily apparent. As indicated by FIGS. 29-31, at 80,000X magnification, and even more so at 100,000X magnification, the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 9 - Sample 105

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 9 - Sample 105	CO ₂	H ₂	Stainless steel	P = ~ 101 kPa Temp = ~ 650°C Time = ~ 1 hour

For Example 9, sample 105, stainless steel was used as the catalyst. The steel catalyst was placed in the furnace 1. The steps of purging the furnace,

introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product formed in what was observationally determined to be light growth (which formed in at 22-30.5 cm, and 46-61 cm along the length pipe) and large flakes (which formed 30.5-46 cm along the length of the pipe). Images of the large flakes are depicted in FIGS. 32, 33 and 34 at 1,000X, 40,000X and 100,000X magnification, respectively. At 1,000X magnification, the bi-modal size distribution is not apparent; however, the parallel "forest" nature of the nanotubes is readily apparent. However, as indicated by FIGS. 33 and 34, at 40,000X magnification, and even more so at 100,000X magnification, the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 10 - Sample 108

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 10 - Sample 108	CO ₂	H ₂	Mild steel pipe	P = ~ 101 kPa Temp = ~ 650°C Time = ~ 1 hour

For Example 10, sample 108, a mild steel pipe (schedule 40) was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 35, 36 and 37 at 20,000X, 50,000X, and 50,000X magnification, respectively. In this sample, even at 20,000X magnification, the bi-modal size distribution is apparent.

Example 11 - Sample 109

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 11 - Sample 109	CO ₂	H ₂	Mild steel pipe	P = ~ 101 kPa Temp = ~ 650°C Time = ~ 4 hours

For Example 11, sample 109, a mild steel pipe (schedule 40) was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 38, 39, 40 and 41 at 20,000X, 50,000X, 50,000X, and 80,000X magnification, respectively. In this sample, even at 20,000X magnification, the bi-modal size distribution is apparent. Careful review of Fig. 41 indicates that the sample has a number of very fine small tubes (note one measured 15.4 nm in diameter) interlaced with very large tubes (note one measured 323.2 nm in diameter), and also that there are tubes of one or more intermediate diameters (note those measured at 55.2 nm and 142.9 nm in diameter), but again tubes with diameters in between the fine, intermediate, and large diameters appear absent from the images.

Example 12 - Sample 112

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 12 - Sample 112	CO ₂	H ₂	Stainless steel pipe	P = ~ 111-116 kPa Temp = ~ 600°C

For Example 12, sample 112, stainless steel pipe was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 42, 43, 44 and 45 at 7000X, 100,000X, 100,000X, and 200,000X magnification, respectively. At 7,000X magnification, the parallel "forest" nature of the nanotubes is readily apparent, some "fuzz" is also apparent where secondary growth is present, but the resolution is too low to resolve the fuzz as carbon nanotubes. As indicated by FIGS. 43-45, at 100,000X magnification, and even more so at 200,000X magnification, the secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 13 - Sample 115

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 13 - Sample 115	CO ₂	H ₂	Mild steel pipe	P = ~ 112-117 kPa Temp = ~ 650°C Time = ~ 4 hours

For Example 13, sample 115, a mild steel pipe [need embodiment going to this catalyst] (schedule 40) was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product formed as large flakes in the middle 30.5 cm (12 inches) of the steel pipe. Images of the large flakes are depicted in FIGS. 46, 47, and 48 at 1,000X, 9,943X and 50,004X magnification, respectively. At 1,000X magnification, the bi-modal size distribution is not apparent. At 9,943X magnification, tubes of different sizes seem to appear. At 50,000X magnification, secondary growth is visible, and thus the bi-modal nature is more apparent.

Example 14 - Sample 118

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 14 - Sample 118	CO ₂	H ₂	Mild steel pipe	P = ~ 109-117 kPa Temp = ~ 700°C Time = ~ 4 hours

For Example 14, sample 118, a mild steel pipe (schedule 40) was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 49, 50, and 51 at 1,000X, 50,000X, and 100,000X magnification, respectively. At 1,000X magnification, the bi-modal size distribution is not apparent. At 50,000X and 100,000X magnification, secondary growth is visible, and some tubes with an intermediate diameter are also apparent.

Example 15 - Sample 131

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 15 - Sample 131	CO ₂	H ₂	Mild steel pipe	P = ~ 109-117 kPa Temp = ~ 650°C

For Example 15, sample 131, a mild steel pipe (schedule 40) was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 52, 53, 54 and 55 at 1,000X, 10,000X, 50,000X, and 100,000X magnification, respectively. At 1,000X and 10,000X magnification, the bi-modal size distribution is not apparent. At 50,000X and 100,000X magnification, the secondary growth is visible.

HIGH PRESSURE EXAMPLES

A schematic diagram of the experimental apparatus for a variety of high pressure experiments is depicted in FIG. 56. This high pressure apparatus does not recycle the reaction gases after water removal; rather, the reaction gases are vented after passing through the reactor. The experimental apparatus included a series of tanks for the purge and reaction gases. The tank regulators for the reactant gases, CO₂ and CH₄, had mass flow controllers with check valves. The gases used for purging did not have mass flow controllers.

After the gas mixing point was a sampling point where the gas pressure was reduced and the gas allowed to flow into a mass spectrometer. The flow then passed into a 2.5 cm diameter, 1.2 m long 304 stainless steel pipe (schedule 40) that was placed in a tube furnace F1 (model number 890295, available from High Temperature Furnaces by CM, Inc., of Bloomfield, IL, with dimensions 63 cm x 38 cm), where the reactions took place. After the first tube furnace F1, the gas proceeded to a condenser C1, where water was condensed to 0°C by an ice/water bath. After the condenser C1, there was another gas sampling point. The main gas flow continued into another 2.5 cm diameter, 1.2 m long 304 stainless steel pipe

(schedule 40) placed in another tube furnace F2 [model number 870771, available from High Temperature Furnaces by CM, Inc., of Bloomfield, IL, with dimensions 49 cm x 25 cm), where a second reaction took place.

5 The gas leaving the second reactor F2 had a condenser C2 followed by a gas sampling point. Finally, the gas left the system through a vent and a mass flow meter. The gas sampling points all had a two-stage gas pressure regulator to regulate the gas pressure to 0.20 MPa (15 psig).

10 The sample gas then flowed at 20 sccm through a mass flow meter to a 0.5 micron sintered metal filter. The majority of the gas flow was then vented, while a minority of the sampling gas flow entered a 1.83 m capillary that reduced the pressure before entering the mass spectrometer (series number 91065 Universal Gas Analyzer, manufactured by Stanford Research System, of Stanford, GA).

15 A sample of catalyst, steel sand blasting grit (25 grit), was placed in a 8.5 cm x 1.5 cm quartz boat at the center of the second furnace F2. A K-type thermocouple inserted into each furnace from the end cap at 61 cm (24 inches) measured the furnace centerline temperature. The center line temperatures for each experiment are shown below. The gas flow was regulated to 4.24 MPa (600 psig).

20 In operation, initially the system was purged with nitrogen for about 10 minutes. Nitrogen at 4.24 MPa (600 psig) was used to detect leaks and to fill the system with inert gas. At this point, hydrogen was allowed to purge the system to check for leaks, and continued to flow until the gas sampling system detected greater than 90% hydrogen. The hydrogen purge at high temperature reduces metal oxides including iron oxide on the catalyst surface.

25 Both high temperature furnaces F1 and F2 were allowed to heat to operational temperature of 850°C. The time to heat up the furnaces was approximately 1 hr. After reduction of the catalysts at the operational temperatures of the furnaces, the selected mixture of methane and carbon dioxide were metered into the system to provide the selected flow rate and the selected ratio of methane to carbon dioxide. Both gas pressure regulators were set at 4.58 MPa (650 psig) to 30 meter the reactants into the system. During the run, the gas flow and furnace temperatures were monitored and controlled.

When each test was done, methane and carbon dioxide gas tanks were shut off and the system was purged with hydrogen for about 30 minutes and then with

nitrogen for about another 30 minutes. At this point the furnaces were allowed to cool down overnight. The sample of catalyst was pulled out in the morning of the next day when the furnace was cool.

FIGS. 57-74 are SEM images of various sample product results. All of these samples were formed using CO₂, with CH₄ as the reducing agent. All of the experiments were conducted at approximately 4.24 MPa (600 psig). The other specific reaction conditions for each of the samples are set forth in Examples 16-29:

Example 16 - Sample 137 (FIGS. 57-59):

10 Dual reactors in series.

Catalyst: steel sand blasting grit, 0.7 mm (25 grit), the grit was placed in a quartz boat at the center of the furnace.

Reaction gases: 50% CH₄ and 50% CO₂.

Operating conditions: 850°C for both furnace 1 and furnace 2; 4.14 MPa (600 psi).

15 Run time: 3 hours.

The resulting product is depicted in FIGS. 57, 58 and 59 at 10,000X, 10,000X, and 100,000X magnification, respectively. Note the apparently entangled nanotubes of the "pillow" morphology. At 10,000X magnification, the bi-modal size distribution is not apparent. However, as indicated by FIG. 59, at 100,000x magnification, the bi-modal nature is more apparent.

Example 17 - Sample 165 (FIG. 60):

Dual reactors: two reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) for reactor 2.

25 Catalyst: a steel wool.

Reaction gases: 45% CO₂, 42.75% CH₄, 2.25% Ar and 10% H₂.

Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 6 hours.

Note: The sample shown in FIG. 60 was taken from the quartz tube in the second reactor.

The resulting product is depicted in FIG. 60 at 50,000X magnification.

Example 18 - Sample 169 (FIG. 61):

Single reactor.

Catalyst: 304 stainless steel pipe (schedule 40).

Reaction gases: 33.3% CO₂, 63.4% CH₄, 3.3% Ar.

Operating conditions: 900°C; 4.14 MPa (600 psi).

5 Run time: 3 hours.

Note: The sample was taken approximately 30.5 cm (12 inches) downstream of the reactor. The resulting product is depicted in FIG. 61 at 50,000X magnification.

Example 19 - Sample 172 (FIG. 62):

10 Single reactor.

Catalyst: 304 stainless steel pipe (schedule 40).

Reaction gases: 33.3% CO₂, 63.4% CH₄, 3.3% Ar.

Operating conditions: 850°C; 4.14 MPa (600 psi).

Run time: 6 hours.

15 Note: The sample was taken approximately 41 cm (16 inches) upstream of the reactor. The resulting product is depicted in FIG. 62 at 49,993X magnification.

Example 20 - Sample 173 (FIG. 63):

Single reactor.

20 Catalyst: 304 stainless steel pipe (schedule 40).

Reaction gases: 33.3% CO₂, 63.4% CH₄, 3.3% Ar.

Operating conditions: 850°C; 4.14 MPa (600 psi).

Run time: 6 hours.

25 Note: The sample was taken approximately 48 cm (19 inches) upstream of the reactor.

The resulting product is depicted in FIG. 63 at 50,000X magnification.

Example 21 - Sample 174 (FIG. 64):

30 Dual reactors, in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool.

Reaction gases: 45% CO₂, 42.75% CH₄, 2.25% Ar and 10% H₂.

Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 6 hours.

Note: The sample was taken from the quartz tube in the second reactor. The resulting product is depicted in FIG. 64 at 50,000X magnification.

5 Example 22 - Sample 177 (FIG. 65):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 45% CO₂, 42.75% CH₄, 2.25% Ar and 10% H₂.

10 Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken from the end of unconsumed steel wool in the second reactor. The resulting product is depicted in FIG. 65 at 100,002X magnification.

15 Example 23 - Sample 178 (FIG. 66):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 45% CO₂, 42.75% CH₄, 2.25% Ar and 10% H₂.

20 Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken from the first furnace. The resulting product is depicted in FIG. 66 at 100,000X magnification.

25 Example 24 - Sample 204 (FIGS. 67-68):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

30 Operating conditions: 900°C for furnace 1, 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken at the downstream side of the quartz tube in the second reactor. The resulting product is depicted in FIGS. 67 and 68, at 50,000X and 100,000X magnification, respectively.

5 Example 25 - Sample 206 (FIG. 69):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

10 Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken approximately 48 cm (19 inches) upstream of the quartz tube in the second reactor; the reaction temperature at this location was approximately 860°C.

15 The resulting product is depicted in FIG. 69 at 100,000X magnification.

Example 26 - Sample 207 (FIG. 70):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

20 Catalyst: steel wool that had been previously been washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

Operating conditions: 900°C for furnace 1; 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

25 Note: The sample was taken approximately 43 cm (17 inches) upstream of the quartz tube in the second reactor. The reaction temperature at this location was approximately 867°C.

The resulting product is depicted in FIG. 70 at 100,000X magnification.

Example 27 - Sample 208 (FIG. 71):

30 Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

Operating conditions: 900°C for furnace 1, 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken approximately 33 cm (13 inches) upstream of the quartz tube in the second reactor; the temperature at this location was approximately
5 844°C.

The resulting product is depicted in FIG. 71 at 100,000X magnification.

Example 28 - Sample 209 (FIG. 72):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe
10 (schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

Operating conditions: 900°C for furnace 1, 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken approximately 28 cm (11 inches) upstream of the quartz
15 tube in the second reactor; the temperature at this location was approximately
805°C.

The resulting product is depicted in FIG. 72 at 100,000X magnification.

20 Example 29 - Sample 210 (FIGS. 73-74):

Dual reactors in series. A quartz tube was inserted inside the stainless steel pipe
(schedule 40) of the second reactor.

Catalyst: steel wool that had been previously washed with hydrochloric acid.

Reaction gases: 10% CO₂, 76% CH₄, 4% Ar and 10% H₂.

25 Operating conditions: 900°C for furnace 1, 850°C for furnace 2; 4.14 MPa (600 psi).

Run time: 4 hours.

Note: The sample was taken approximately 23 cm (9 inches) upstream of the quartz
tube in the second reactor; the temperature at this location was approximately
742°C.

30 The resulting product is depicted in FIGS. 73 and 74, at 50,000X and 100,000X
magnification, respectively.

OTHER MORPHOLOGY EXAMPLES

Other samples were taken from experiments conducted generally at atmospheric pressure, and using generally the same process as described with regard to the samples described with reference to Examples 1-15.

Example 30 - Sample 20

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 30 - Sample 20	CO ₂	H ₂	Steel wool	P = ~ 109-117 kPa Temp = ~ 650°C

5 For Example 30, sample 20, 0000 steel wool was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

10 The resulting product is depicted in FIGS. 75, 76, 77 and 78 at 10,000X, 10,000X, 50,000X, and 100,000X magnification, respectively. As these images indicate, there does not appear to be a bi-modal size distribution of the nanotubes, at the magnification used. However, the sample 20 images do show a curious nanotube shape, what appears as a corkscrew tube intermixed with otherwise
15 generally straight or gradually curved tubes.

Example 31 - Sample 32

Example	Carbon Oxide	Reducing Agent	Catalyst	Conditions
Example 31 - Sample 32	CO ₂	H ₂	Steel wool	P = ~ 101 kPa Temp = ~ 650°C

For Example 31, sample 32, 0000 steel wool was used as the catalyst. The steps of purging the furnace, introducing reaction gases at the experimental pressure and temperature, stopping the reaction, and purging and cooling the furnace were
20 performed, as outlined in Example 1 above. The reaction conditions are given in the chart.

The resulting product is depicted in FIGS. 79, 80, 81, and 82 at 1,000X, 10,000X, 50,000X and 100,000X magnification, respectively. As these images indicate, this sample appears to demonstrate a collection of carbon nanotubes having

a variety of diameters, but each diameter appears to be isolated. That is, the images appear to show a number of nanotubes having an extra large, large, medium, small, or extra small diameter, but no nanotubes with diameters between those sizes. There is a wide variety of different diameters present in the carbon nanotubes, with no clear preponderance of any particular diameter as the “primary” growth.

PRODUCTION FACILITY EXAMPLES

FIGS. 83A-83G depict schematic views of an exemplary continuous production apparatus for carbon nanotube production. The apparatus comprises individual sub-systems for gas supply 101, gas handling 102, reaction 103, product separation 104, water separation 105, water treatment 106, product packaging 107, and utilities 108. The arrowed lines indicate the flow of materials (gas, liquid, *etc.*). Dashed and arrowed lines indicate the flow of information. Other symbols refer to various miscellaneous instruments and/or equipment required for the system functionality, such as, for example, valves. The subsystems may be modified so as to be combined, or may be added to with additional sub-systems as fitting desired. This is similar to the batch system shown in FIG. 1. The primary difference between the apparatus illustrated in FIGS. 83A-83G and the apparatus illustrated in FIG. 1A and 1B is the addition of a heat exchange system and product removal system.

FIG. 83A depicts an overview of the various sub-systems that may be used in an exemplary CNT production apparatus. As depicted in FIG. 83B, a supply of liquefied carbon dioxide (CO₂) or other carbon oxide of specified purity is held in a tank 201 within the gas supply sub-system. When conditions such as pressure and temperature are appropriate, the CO₂ moves through a vaporizer 202, which evaporates the liquefied element, creating CO₂ gas. A portion of the CO₂ then moves into a gas handling sub-system (FIG. 83C) where a percentage of it is compressed within a compressor 302 with a flow of tank-held, recycled CO₂ gas 301 coming from a water separation sub-system (FIG. 83F). The compressed recycled flow is then combined with the remaining portion of the fresh CO₂ feed stream and a mixed feed stream coming from the gas supply sub-system (FIG. 83B) before moving into a tank 303 for holding for further processing.

The gas supply sub-system also provides a supply of methane (CH₄) of specified purity by a tube truck into a pipe 203 within the gas supply sub-system

(FIG. 83B). Within the gas handling sub-system (FIG. 83C), the CH₄ and the remaining portion of CO₂ are mixed under appropriate reaction-specific conditions and in appropriate reaction-specific concentration and density. Those conditions and concentrations are typically dependent on what the desired end-product may be; for example, carbon nanotubes or graphite or carbon black form under different reaction conditions. The combined gas feed stream is then mixed with the compressed CO₂ flow to form a combined gas feed stream. The combined gas feed stream is analyzed by at least one analyzer 304 for information required for other components of the greater reaction system.

10 The combined gas feed stream then flows to a first gas-gas interchange heat exchanger 401, typically a cross-flow heat exchanger, within the reaction subsystem (FIG. 83D) where the combined gas feed stream receives heat from a flow of warmer gasses coming from a cyclone 501 within the product separation sub-system (FIG. 83E). There may be several heat exchangers utilized to achieve desired characteristics of the combined gas feed stream. The heat exchanger 401 is made of materials appropriate to the temperatures being used and also that is resistant to carbon dusting corrosion. In some embodiments, a small amount of water is added to the gas flow streams to cause a fine deposit of metal oxide on the surfaces of the heat exchanger to reduce or prevent metal dusting.

20 In the embodiment depicted in FIG. 83D, the combined gas feed stream moves into at least one electric heater 402 to bring the reactants up to specified reaction conditions. The heater 402 receives reaction feedback information from a reactor 403. When the combined gas feed stream achieves selected properties regarding, for example, temperature, it moves into the reactor 403 where CNTs are created. The reactor 403 may be ceramic-lined to mitigate the effects of carbon dusting corrosion. The reaction within the reactor may be of various sorts and embodiments, including those discussed in International Application No. PCT/US2010/029934.

30 Following the creation of CNTs or other morphologies of carbon, a reactor tail gas stream moves to a cyclone 501 within the product separation sub-system (FIG. 83E) where CNTs are separated from the reactor tail gas stream. The cyclone 501 and potentially all lines connecting the reactor 403 to the cyclone may also be ceramic-lined to avoid effects of carbon dusting corrosion. The cyclone 501

separates particles smaller than, for example, 12 microns assuming spherical particles with the density of graphite assumed for CNTs.

Referring still to FIG. 83E, the CNTs pass through a cooling apparatus 504, during which process some residual gasses, such as, for example, CO₂, may pass through at least one filter 502 and then be vented 503 to a safe location. After cooling, the CNTs or other carbon product moves into a type of shipping package 801, such as, for example, a barrel, in the product packaging sub-system (FIG. 83H). The shipping package may be selected to meet requirements for safe and effective transportation and delivery. In the process of packaging, further residual gas may pass through a pump 802, or a filter 803, and then be safely vented 804. After the CNTs are appropriately packaged for storage and shipping, the shipping package may be moved to a shipping area 805.

After leaving the cyclone 501, the clean tail gas stream returns to the reaction sub-system (FIG. 83D) and through the at least one heat exchanger 401 to transfer heat to incoming gases. Alternatively, the reactor tail gas stream may be first passed through a heat exchanger 401, and then to the cyclone 501. Placing the heat exchanger after the cyclone tends to lessen problems of metal dusting and creep failure. These problems may be even further reduced by injecting a small amount of water into the gas flow in front of the heat exchanger. This addition of water causes a small layer of metal oxide to form on the heat exchanger internal parts, protecting the heat exchanger from metal dusting. Alternatively, or in addition, the heat exchanger may be ceramic-lined.

The reactor tail gas stream may also pass through another heat exchanger 601 within the water separation unit (FIG. 83F) before entering a one-stage water cooling condenser 602. The clean tail gas stream passes through at least one filter 603 where water may be filtered out and into a holding tank 604. After the clean tail gas stream passes through the filter, there may be a quantity of gases safely vented 605, after which the clean tail gas stream moves into a two-stage condenser 606.

Within the two-stage condenser 606, a first set of coils uses a supply of water passing to and from a cooling tower 901 likely held within the utilities sub-system (FIG. 83I), with possible passage through a pump 902, to cool the clean tail gas stream. The clean tail gas stream then passes through the second stage in the two-

stage condenser 606, which typically uses glycol as a coolant. The glycol passes to and from a vendor chiller 903 that houses a pump 904 within the utilities sub-system (FIG. 83I). After passing through the two-stage condenser 606, water may be held in a holding tank 607, before flowing through a pipe that intersects with the output for the tank 604 holding water near the one-stage water cooling condenser 602. The water travels into a water treatment tank 701 within a water treatment sub-system (FIG. 83G) where it is held for further processing. As the water is collected in the holding tank 607, recycled gases flow back to the heat exchangers 601 in the water separation sub-system (FIG. 83F) and finally to the tank 301 within the gas handling sub-system (FIG. 83C).

Within the water treatment sub-system (FIG. 83G), the tank 701 holding accumulated water systematically releases water through a pipe 702 that may be in or near the bottom of the tank. A pump 703 directs the water through filters 704. The water then passes to storage or a safe run-off 705.

The utilities sub-system (FIG. 83) may hold the systems for the two-stage condenser 606, as well as at least one vent 905 to a safe location, and other systems as necessary for the reactor to function. Furthermore, other apparatus may be used to generate CNTs according to the methods disclosed herein. FIG. 83 merely provides a single example.

Several samples were taken from the production apparatus shown in FIGS. 83A-83G. For each example, the carbon oxide was carbon dioxide, the reducing agent was hydrogen, and the catalyst was a mild steel plate. The reaction was conducted between approximately 625°C and 640°C, at approximately 0.342 MPa (35 psig).

FIGS. 84-91 depict samples 225, 226, 227, 228, 229, 230, 231, and 232, respectively, at 100,000X magnification. In each case, the bi-modal nature of the resulting product is apparent.

In many of the samples, a characteristic composition is observed characterized by bi-modal size distribution of carbon nanotubes or carbon nanofibers. The larger diameter population appears to have a significant range in primary diameters with significant distribution of sizes. A smaller population is also observed with a much smaller distribution of sizes and characteristically less than approximately 20 nm in diameter.

FIGS. 92A through 92F show the chirality distribution of the single-wall population of bi-modal material in another sample, sample 73. FIGS. 92A through 92F provide the relative abundance of various chiralities of single-wall material in sample 73. The relative abundance was determined using a NanoFluorescence NS-2
5 analyzer (available from Applied Nanofluorescence LLC, of Houston, TX). This analysis tends to validate the visual observation that at least some of the secondary growth population include single-wall CNTs in at least some of the samples. Note that several different chiralities are present in various concentrations. Depending on the reaction conditions, the relative abundance may vary considerably. Particular
10 formulations can be developed with higher yields in specific chiralities. This may have commercial significance because the chirality appears to affect whether the carbon nanotubes are metallic, and whether they are conductors or semi-conductors. See Mildred S. Dresselhaus & Phaedon Avouris, *Introduction to Carbon Materials Research*, in CARBON NANOTUBES: SYNTHESIS, STRUCTURE, PROPERTIES, AND
15 APPLICATIONS, 1, 6 (Mildred S. Dresselhaus, Gene Dresselhaus, & Phaedon Avouris, eds., 2001).

As previously discussed, the nanotubes occur in forests, in pillow growths and in random agglomerations. FIG. 2 depicts a forest growth CNT product such as typically produced when the reaction occurs on a large, locally planar catalytic
20 surface (such as a cylinder, wafer, or sheet of steel). FIG. 3 depicts images of the "forest" growth but at 2000x magnification.

A possible mechanism for at least some of the secondary population of CNTs involves growth on (and perhaps chemically attached to) the side walls of the CNTs forming the primary population. The theory here is that a population of catalyst
25 atoms may be deposited on the surface or in the interstices of the primary population. These atoms agglomerate through a process of Ostwald ripening until they develop a sufficient size for the nucleation of CNT growth under the reaction conditions.

Three possible mechanisms are contemplated for depositing catalyst atoms
30 for the nucleating sites for growth of the secondary, smaller diameter growth are proposed: (a) growth tip erosion, (b) iron vapors in the reactor; and (c) carbonyl formation followed by decomposing to deposit iron atoms. The following discussion is provided solely for purposes of discussion and is not intended to be

limiting. These mechanisms are not mutually exclusive, and thus any combination could be occurring. Furthermore, some other mechanism or mechanisms may be at work.

5 Growth Tip Erosion

Growth tip erosion involves atoms of iron from a catalyst peeling away from the growth tip. Those grains come into contact with other grains of iron (including, perhaps, iron vapor or iron from carbonyl decomposition), and agglomerate to form secondary growth point tips or nodes of a size sufficient to act as a catalyst
10 nucleation site. The nucleation sites for the secondary growth are possibly formed through a process of Ostwald ripening of catalyst atoms. The agglomerated iron atoms could then catalyze additional CNT formation as further discussed below.

The secondary growth may occur as catalyst atoms (typically iron, when a steel is used as the bulk catalyst) entrain on the tube walls of the primary growth
15 through growth tip erosion and subsequently agglomerate through a process of Ostwald ripening on the surface of the primary growth carbon nanotubes to form the nucleating catalyst particles for the secondary growth. As the Ostwald ripening progresses, the agglomerations reach the threshold size that will support carbon nanotube growth, whereupon the growth is initiated which stops the further ripening
20 of the nucleation sites thus limiting the size of the secondary growth to relatively small diameters.

Iron Vapor

The temperature and pressure inside the reactor are typically not sufficient to
25 boil the iron catalyst. However, the conditions are sufficient that some small amount of iron atoms may vaporize. These atoms could then agglomerate into possible CNT catalyst nucleation sites, stick to the reactor walls or the side walls of the larger nanotubes, and catalyze additional CNT formation as further discussed below.

30 Carbonyl Decomposition

The reaction gases include carbon monoxide in the reaction gas mixture. Under reaction conditions, iron carbonyl formation seems likely. However, because of the heat and pressure in the reactor, any iron carbonyls formed are likely to

quickly decompose, leaving free iron atoms in the reactor. These atoms could then agglomerate through Ostwald ripening into possible CNT catalyst nucleation sites, stick to the reactor walls or the side walls of other nanotubes, and catalyze additional CNT formation as further discussed below.

5

Secondary Growth

As can be surmised from considering the possible mechanisms for secondary catalyst site development, the secondary nucleation sites may be found at various, even random, places in the mass of previously formed carbon nanotubes and within the reactor. The secondary growth appears to be relatively uniform in diameter, which leads to the hypothesis that the onset of growth is almost immediate upon the nucleation sites reaching the minimum critical size.

Although present analysis does not confirm this, it is possible that at least some of the secondary, small diameter carbon nanotubes are chemically bonded to the walls of the larger nanotubes, probably at defect sites in those walls. FIG. 93 depicts in a schematic fashion a theoretical basis of support for this premise. As depicted in FIG. 93, a larger diameter nanotube 100 grows outwardly from a catalyst surface 101, such as a sheet of steel. As the large diameter nanotube 100 grows, atoms or small agglomerations of catalyst atoms 110 such as iron detach from the catalyst sheet 101 and migrate along the nanotube 100. As mentioned above, this detachment may occur through surface migration, or by iron vapor condensing into solid iron that then agglomerates with other iron atoms, by the formation of a carbonyl such as an iron carbonyl or other catalyst containing gas or vapor which subsequently decomposes on the surface of carbon nanotubes depositing the metal atom to the surface of the carbon nanotubes, or by some combination of these or other mechanisms.

As depicted in FIG. 93, during the reaction, the agglomerated iron nanoparticles 110 may become lodged in defects in the outer wall of the carbon nanotube 100. These iron nanoparticles 110 may be, or may agglomerate through the process of Ostwald ripening to become of sufficient size to act as a nucleating particle of catalyst for additional nanotube formation. Thus, any iron nanoparticles lodged in the wall of the carbon nanotube may become a nucleation site for other carbon nanotubes 111. These other carbon nanotubes would likely be much smaller

in diameter than the principle nanotube 100, and may be chemically bonded to the outer wall of the nanotube 100 because the defect may have opened bonds available to bond with the “new” nanotube.

Forest growth morphologies typically show this bi-modal distribution in size. In experiments conducted thus far, the primary growth has been the larger diameter nanotube comprising aligned carbon nanotubes perpendicular to the catalyst substrate (forming the “forest”), with the secondary population of smaller-diameter CNTs tangled within the primary growth. For example, FIG. 4 depicts forest growth with larger diameter CNTs generally aligned, and much smaller diameter CNTs intertwined in the forest growth.

FIGS. 8 and 9 depict a primary forest growth. Careful consideration of the images shows what appears to be “fuzz” about the CNTs. The apparent fuzz on the primary growth is likely to be the secondary growth. The secondary growth is often so comparatively small as to be either unobservable or to appear very fuzzy at low magnifications. However, these images illustrate the appearance of the secondary growth at approximately the magnification at which it first becomes visible in SEM images. FIGS. 10 and 11 show higher magnification images of Sample 112. As seen therein, smaller diameter CNTs are intertwined within the larger diameter “forest” growth.

The primary growth appears generally to occur in three primary morphologies: 1) “forest” growth where the primary growth is highly aligned and substantially perpendicular to the surface of the bulk catalyst; 2) “pillow” growth where the primary growth is highly entangled and appears to grow in distinct layers that look like stacked pillows; and 3) agglomerated material that is highly entangled but has no apparent structure. Typically, the secondary growth is dispersed within the primary growth material, apparently randomly oriented and highly entangled with the primary growth material. The secondary growth appears in samples of each of the three morphologies. For example, FIGS. 8-11 show this secondary growth in a forest morphology. FIGS. 12 and 13 show this secondary growth in a pillow morphology.

Thus, in some embodiments, a composition comprising a mixed population of carbon nanotubes comprises a primary population with relatively larger diameter nanotubes arranged in a substantially forest or pillow pattern, and a secondary

population with relatively smaller diameter nanotubes that are highly entangled with the primary population.

The primary growth material typically appears to be multi-wall carbon nanotubes (MWCNTs), but the precise structure of the material has not been
5 verified, in some cases because the diameter is too great for transmitting electron microscopy (TEM) to resolve the distinct walls of some of the nanotubes. It is possible that some of the primary growth material includes graphitic columns, what some literature refers to as carbon nanofibers. In any case, the structure is a fibrous material with a typical aspect ratio of approximately 500 - 1,000 to 1 and is
10 composed of carbon with a minor (<5 wt % typically) catalyst residual. The presence of these other morphologies as the primary growth is intended to be included in the composition as described and claimed herein.

Thus far in the testing and evaluation, the TEM images of the secondary growth material show material with three or fewer walls and having the
15 characteristic structure of carbon nanotubes. It is possible that the secondary growth material may have additional walls and may include carbon fibers of other morphologies. The presence of these other morphologies as the secondary growth is intended to be included in the composition as described.

FIGS. 5-7 depict, at increasing magnifications, electron microscopy images
20 of forest growth carbon nanotubes having large diameters, with small diameter nanotubes intertwined within the larger nanotubes. As indicated by these images, the smaller diameter nanotubes appear to be single wall nanotubes.

Similarly, FIGS. 12-18 depict images of pillow growth morphology carbon nanotubes. As can be seen, for example in FIGS. 12 and 13, the pillow growth
25 nanotubes comprise large diameter nanotubes with smaller diameter nanotubes intertwined within the larger diameter nanotubes. Experiments indicate that the bi-modal carbon nanotube mixture combines the properties of both the larger and the smaller diameter nanotubes. For example, as indicated in Table 1, tests of a bi-modal forest growth conducted by the University of Dayton Research Institute
30 indicate superior electrical conductivity for the bi-modal carbon nanotube samples tested. Sample 112 had a four point resistivity at 87.6 kPa (12.7 psi) of 0.223 Ohms-cm compared to 0.262 Ohms-cm and above for typical materials tested.

Table 1: Electrical Resistivity

Pressure (gauge):	87.6 kPa		29.0 kPa	
	P (Ohms-cm)	D (kg/cubic m)	P (Ohms-cm)	D (kg/cubic m)
Sample 85	0.367	595.1	0.989	472.1
Sample 112	0.223	579.7	0.527	410.4
Third party sample 1	0.273	171.3	0.348	109.3
Third party sample 2	0.262	264.2	0.389	151.0

The bi-modal materials thus may deliver the greater strength of the larger diameter CNTs and the enhanced thermal and electrical conductivity of the smaller diameter CNTs in many applications.

5 The bi-modal material appears to be easily wetted and dispersed. This may be due to the variety of sizes in the material that result in percolation paths of various sizes through the bulk material. These percolation paths may allow the solvent or matrix material to infuse the agglomerated material more easily. Regardless of specific mechanism, this property may make the bi-modal material
10 useful in dispersions and infusions.

Separation of the two size populations could result in two grades of material corresponding to each of the bi-modal populations, assuming that if the smaller nanotubes are chemically bonded to the outer walls of the larger diameter nanotubes, this chemical bond may be broken without destroying the material. Such separations
15 may be done, for example, by dispersing the bi-modal material, centrifugal separation of the populations, and withdrawing the separated populations from the centrifuge. Separation may prove to be an economical means of developing high volume single-wall CNT production by growing the single-wall CNTs in a bi-modal mix with subsequent separation.

20 Therefore, the compositions and methods disclosed herein have several advantages over prior compositions and methods. Although specific embodiments of the disclosed compositions and methods are described herein, various modifications and changes may be made by those skilled in the art without departing from the spirit and scope of the invention.

25

CLAIMS:

1. A solid carbon composition comprising:
a primary population of carbon nanotubes comprising generally cylindrical
5 fibers having an aspect ratio of at least about 50:1 and having substantially the same
length; and
a secondary population of carbon nanotubes comprising generally cylindrical
fibers having a smaller average diameter than an average diameter of the primary
population, wherein the secondary population are interlaced with the primary
10 population, and wherein the secondary population has a random orientation.
2. The composition of claim 1, wherein the primary population has
carbon nanotubes that are aligned in a forest morphology.
- 15 3. The composition of claim 1, wherein the primary population has
carbon nanotubes that are entangled in a pillow morphology.
4. The composition of claim 1, wherein the primary and secondary
population carbon nanotubes are entangled.
20
5. The composition of claim 1, wherein the primary population consists
of multi-wall carbon nanotubes with 4 or more walls.
6. The composition of claim 1, wherein the average diameter of the
25 carbon nanotubes of the primary population is at least about 3 times greater than the
average diameter of the carbon nanotubes of the secondary population.
7. The composition of claim 6, wherein the average diameter of the
carbon nanotubes of the primary population is at least about 3-15 times greater than
30 the average diameter of the carbon nanotubes of the secondary population.

8. The composition of claim 1, wherein at least one of the carbon nanotubes in the secondary population is chemically bonded to at least one of the carbon nanotubes in the primary population.

5 9. The composition of claim 1, wherein the primary population comprises carbon nanotubes with characteristic diameters between 20 nm and 200 nm.

10 10. The composition of claim 1, wherein the primary population comprises carbon nanotubes with characteristic diameters greater than 40 nm and the secondary population comprises carbon nanotubes with characteristic diameters less than 30 nm.

15 11. The composition of claim 1, wherein the primary population comprises carbon nanotubes with characteristic diameters between 80 nm and 150 nm and the secondary population comprises carbon nanotubes with characteristic diameters between 10 and 25 nm.

20 12. The composition of claim 1, wherein the secondary population consists of multi-wall carbon nanotubes.

25 13. The composition of claim 12, wherein the multi-wall carbon nanotubes comprise single-, double- and triple-wall carbon nanotubes having diameters of less than 25 nm.

14. The composition of claim 1, further comprising a third population of nanotubes having a diameter intermediate to the primary and secondary populations.

30 15. The composition of claim 1, wherein one or more additional allotropes and morphologies of carbon are present in addition to the carbon nanotubes.

16. A mixture of carbon nanotubes that has a resistivity at 29.0 kPa that is between 2.25 and 2.75 times its resistivity at 87.6 kPa.
17. A mixture of carbon nanotubes that has a compacted density of about
5 20 pounds per cubic foot to greater than 480 kilograms per cubic meter.
18. The mixture of carbon nanotubes of claim 17, wherein the mixture has a compacted density at 29.0 kPa in excess of 320 kilograms per cubic meter and a compacted density at 87.6 kPa in excess of 480 kilograms per cubic meter.
10
19. A mixture of carbon nanotubes having a bi-modal size distribution and formed by a process comprising:
- mixing a carbon oxide gas stream and a reducing agent gas stream to form a reaction gas mixture;
 - 15 passing the reaction gas mixture into a reaction vessel containing a predetermined solid catalyst under a predetermined reaction temperature and a predetermined pressure;
 - reacting the reaction mixture in the presence of the solid catalyst to form carbon nanotubes; and
 - 20 removing the nanotubes from the reaction vessel.
20. A method of producing a mixture of carbon nanotubes having a bi-modal size distribution, the method comprising:
- 25 mixing a carbon oxide gas stream and a reducing agent gas stream to form a reaction gas mixture;
 - introducing the reaction gas mixture to a reaction vessel containing a predetermined solid catalyst at a predetermined reaction temperature and a predetermined pressure;
 - 30 reacting the reaction mixture in the presence of the solid catalyst to form carbon nanotubes; and
 - removing the nanotubes from the reaction vessel.

21. The method of claim 20, wherein the catalyst comprises mild steel, stainless steel 304, stainless steel 316L, or carbon steel catalyst.

22. The method of claim 20, wherein the predetermined reaction
5 temperature is between about 450°C and about 900°C.

23. The method of claim 22, wherein the predetermined reaction temperature is between about 600°C and about 700°C.

10 24. The method of claim 20, wherein the predetermined reaction pressure is between about 53 kPa and about 133 kPa.

25. A method of producing a mixture of carbon nanotubes having a bi-modal size distribution, the method comprising:

15 mixing a carbon oxide gas stream and a reducing agent gas stream to form a reaction gas mixture, wherein the reducing agent gas stream is selected from the group consisting of methane, hydrogen, methanol, ethane, and ethanol;

passing the reaction gas mixture into a reaction vessel containing a catalyst at a predetermined reaction temperature and a predetermined pressure, the catalyst
20 comprising stainless steel, mild steel, or carbon steel;

reacting the reaction mixture in the presence of the solid catalyst to form carbon nanotubes, and

removing the nanotubes from the reaction vessel.

25 26. The method of claim 20, wherein a partial pressure of water in the reaction mixture in the presence of the solid catalyst is regulated to maintain a predetermined partial pressure.

30 27. The method of claim 26, wherein the partial pressure of water is regulated by condensation, compression, removal of excess water, heating, or addition of water.

28. The method of claim 20, further comprising recycling a tail gas stream from the reaction vessel to comprise a portion of reaction gas mixture.

29. A method for producing a mixture of carbon nanotubes having a bi-
5 modal size distribution, the method comprising:

mixing a carbon oxide gas stream and a reducing agent gas stream to form a reaction gas mixture;

passing the reaction gas mixture into a reaction vessel containing a predetermined solid catalyst at a predetermined reaction temperature and a
10 predetermined pressure, wherein the solid catalyst comprises an unsupported iron-based catalyst in which the iron present is not in the alpha phase;

reacting the reaction gas mixture in the presence of the solid catalyst to form carbon nanotubes,

continuously maintaining a water vapor pressure in the reaction vessel at
15 predetermined levels; and

removing the formed nanotubes from the reaction vessel.

30. The method of claim 29, wherein the iron is in a substantially non-oxidized state.

20

31. The method of claim 30, wherein the iron is in a reduced state.

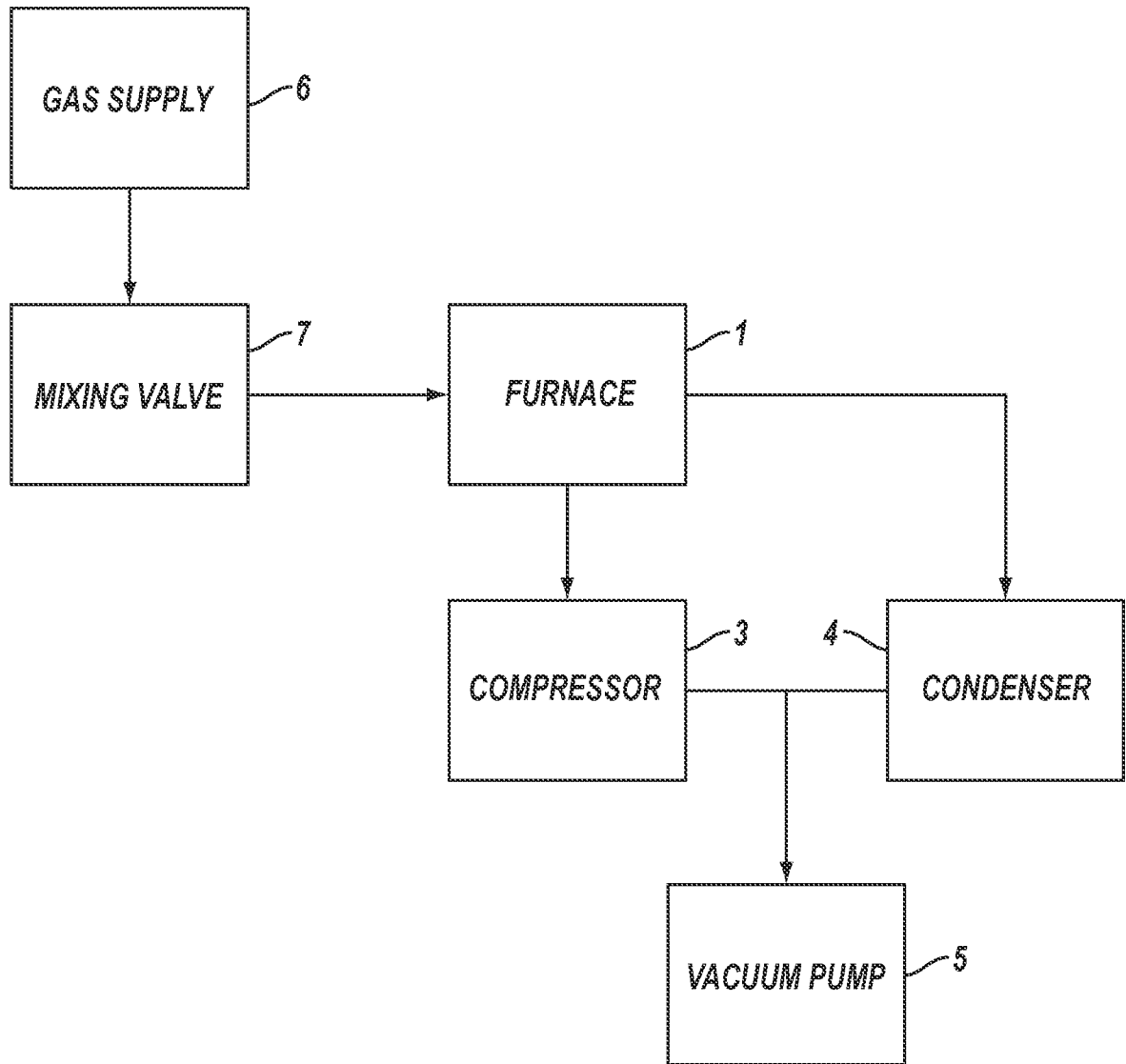


FIG. 1A

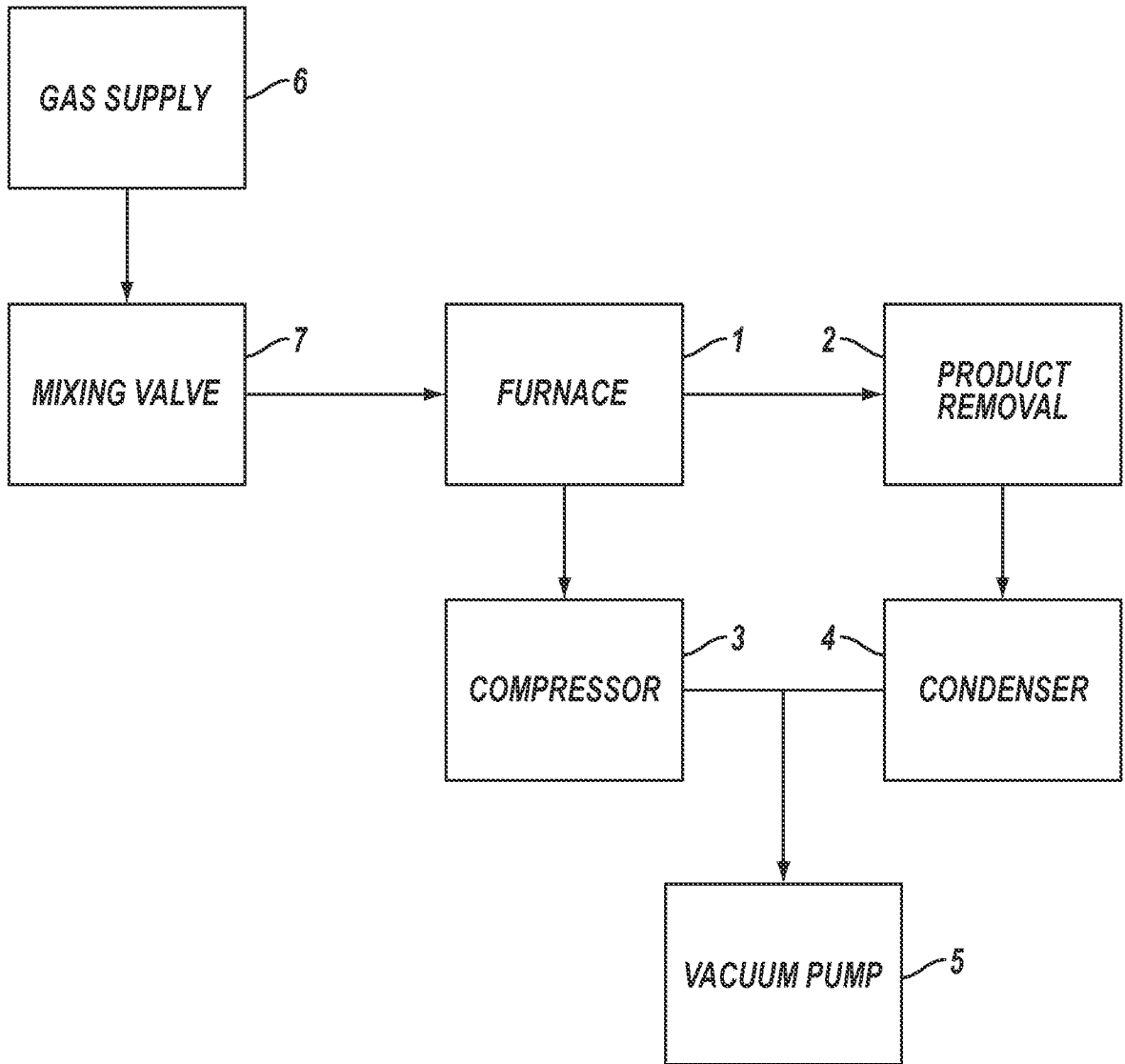


FIG. 1B

3/71

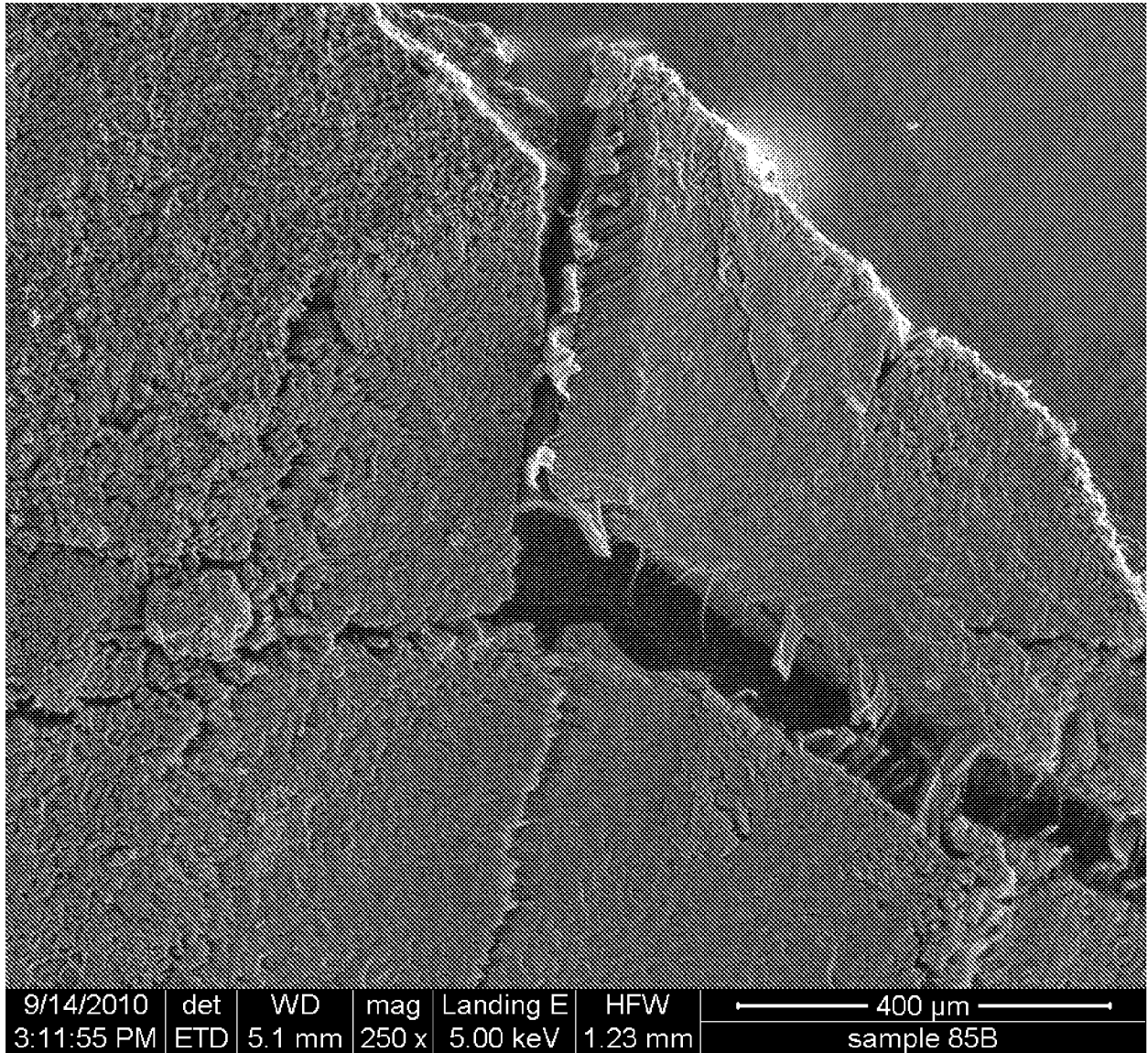


FIG. 2

4/71

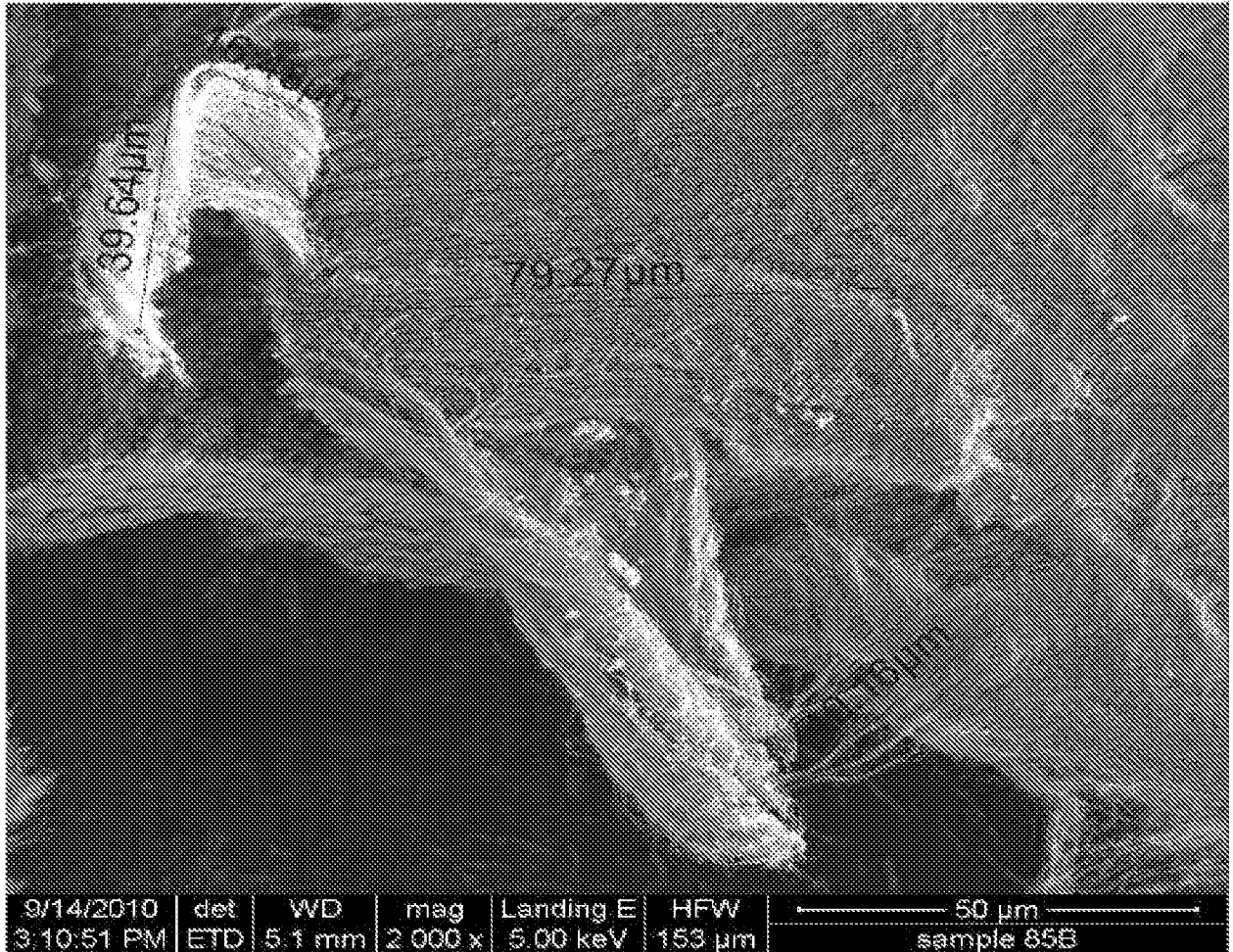


FIG. 3

5/71

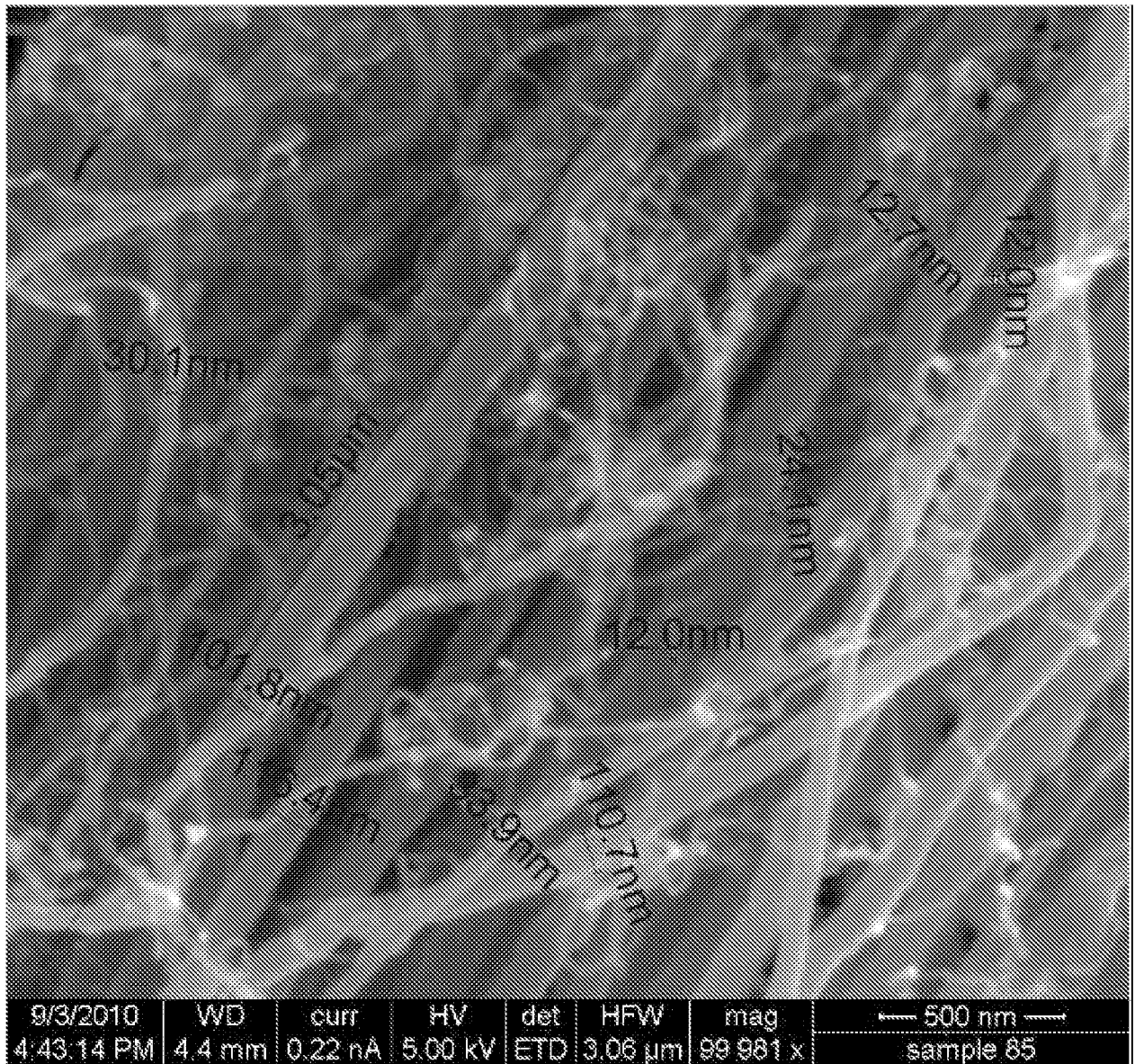


FIG. 4

6/71

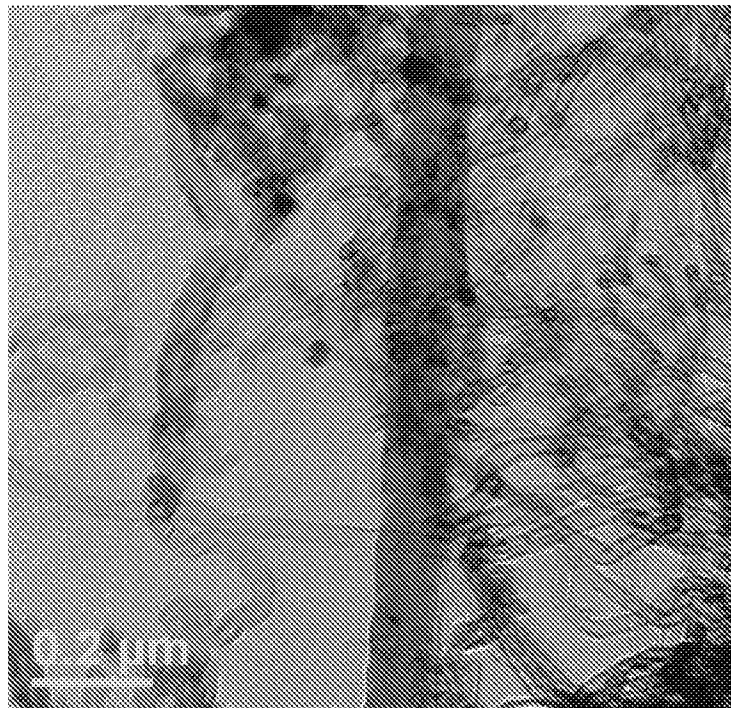


FIG. 5

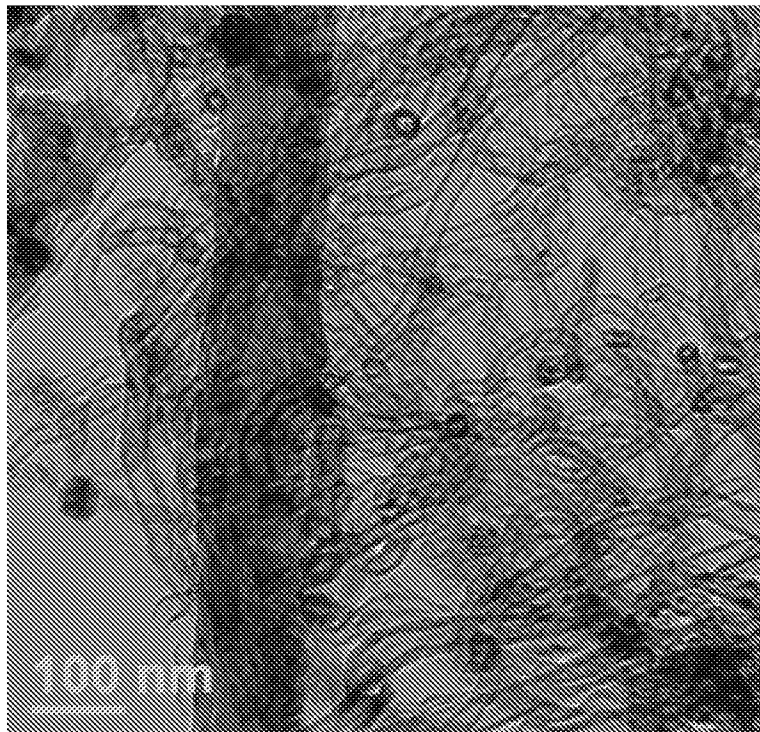


FIG. 6

7/71

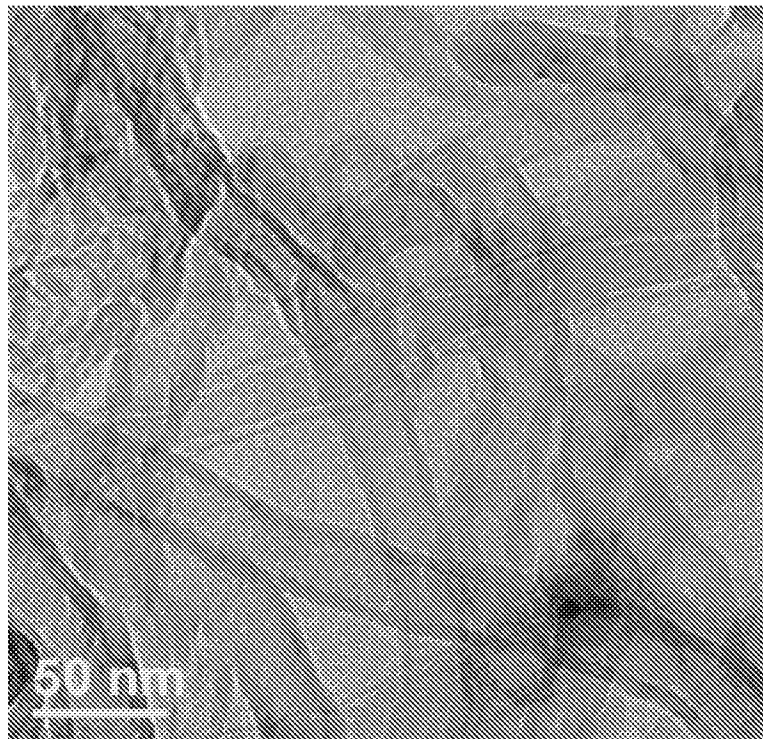


FIG. 7

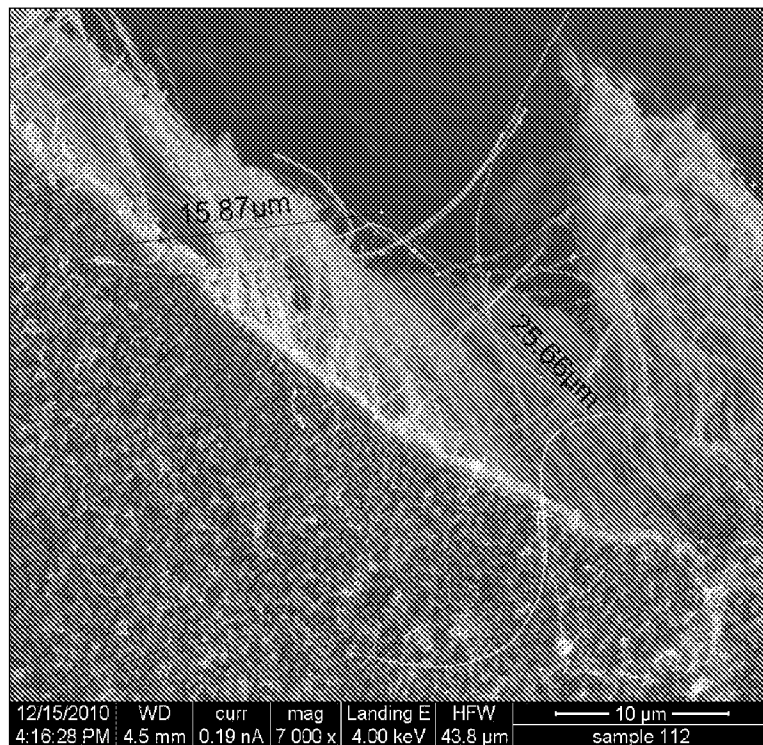


FIG. 8

8/71

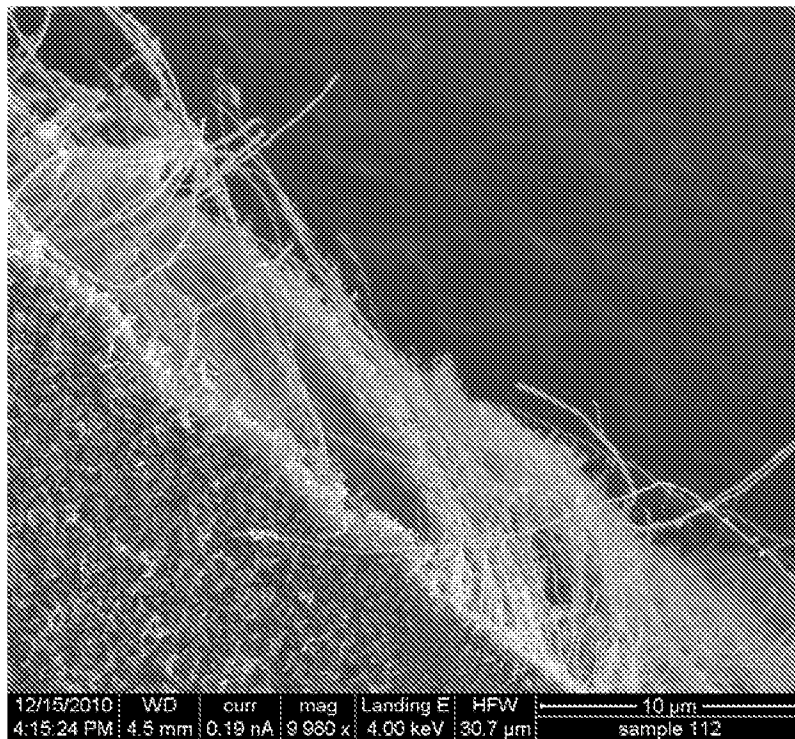


FIG. 9

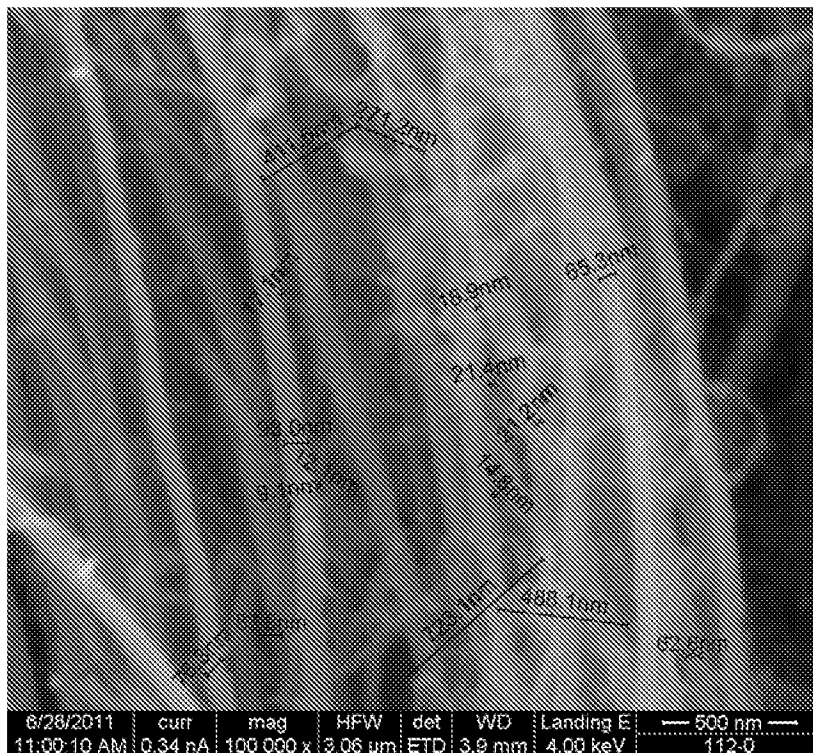


FIG. 10

9/71

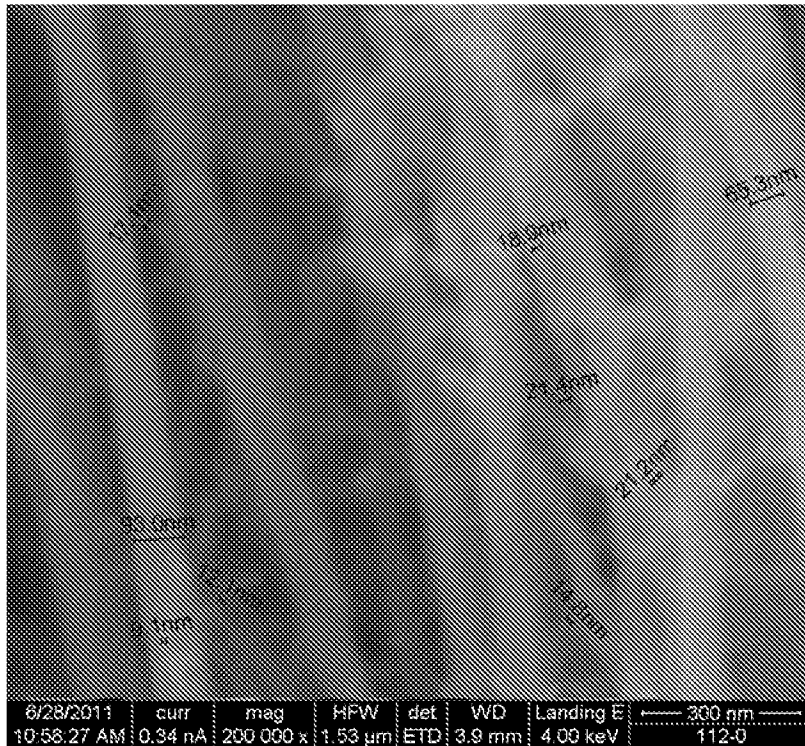


FIG. 11

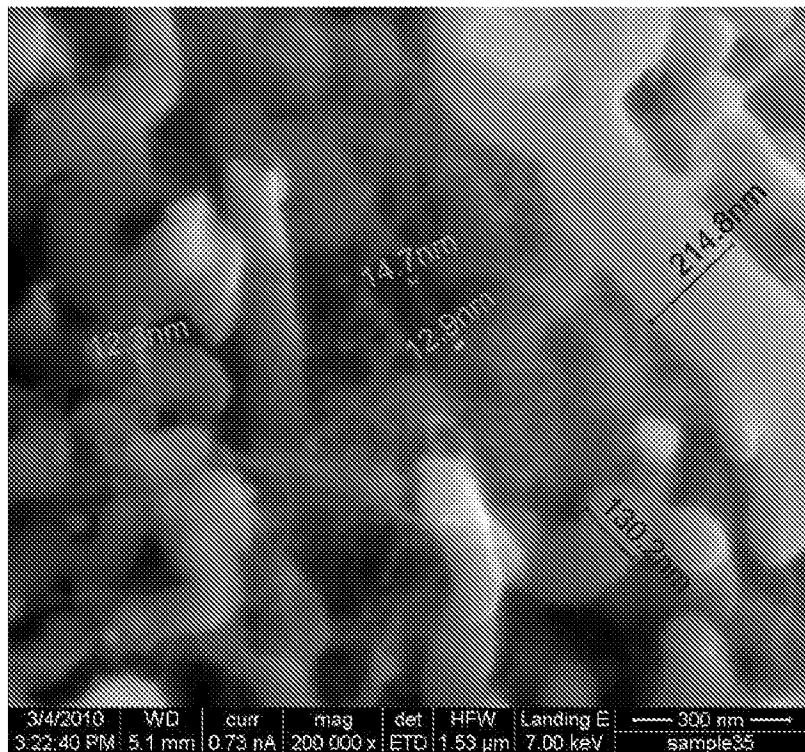


FIG. 12

10/71

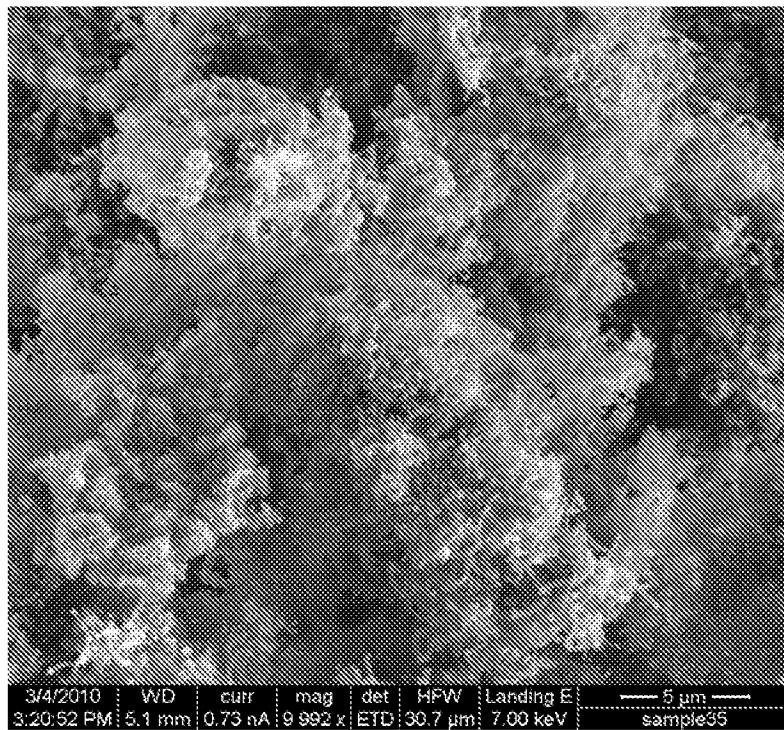


FIG. 13

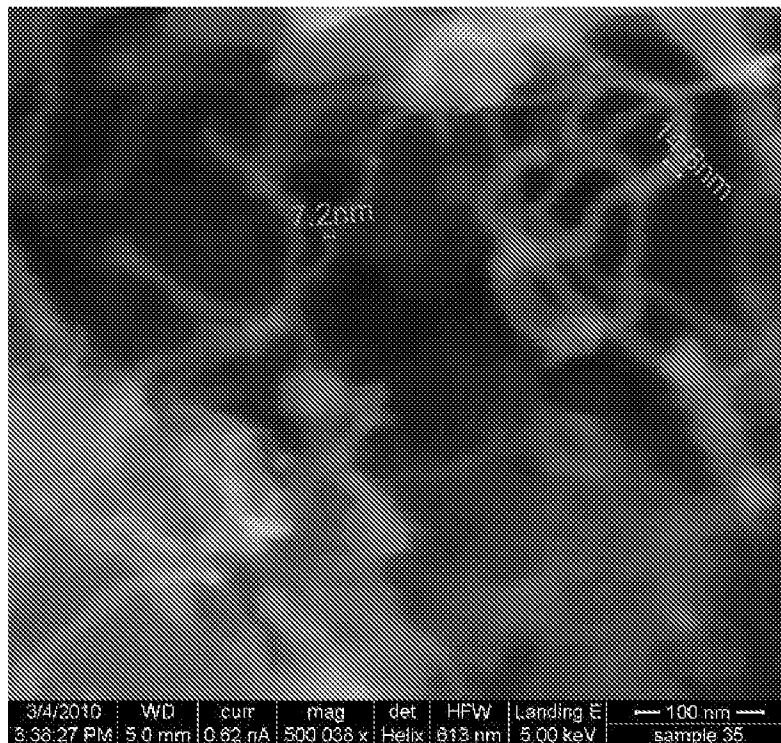


FIG. 14

11/71

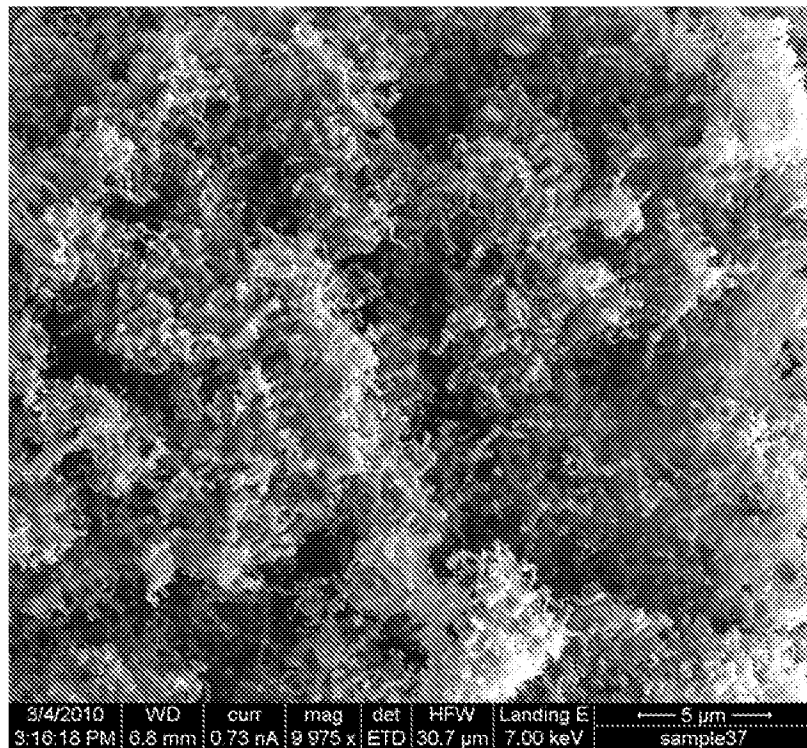


FIG. 15

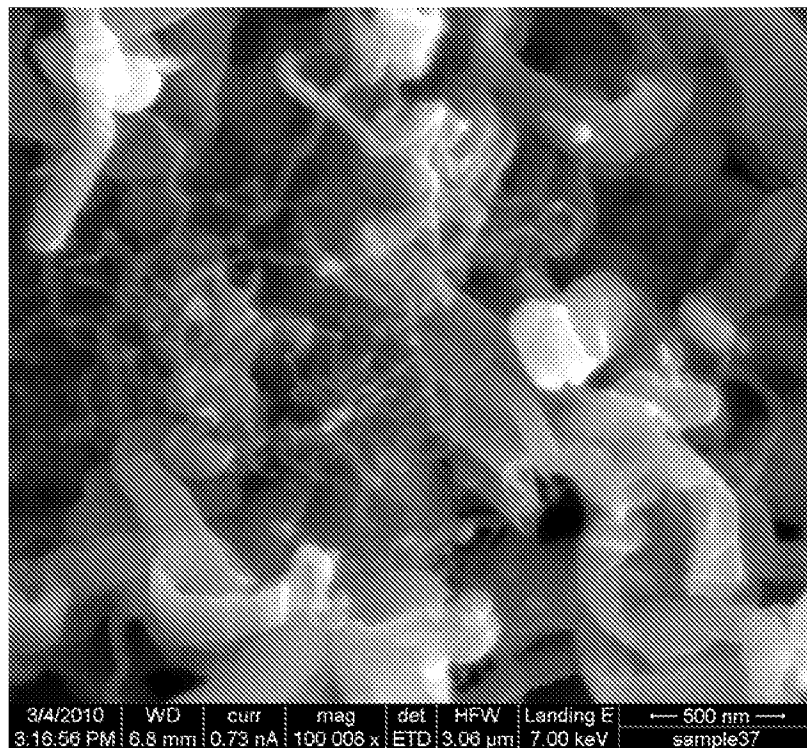


FIG. 16

12/71

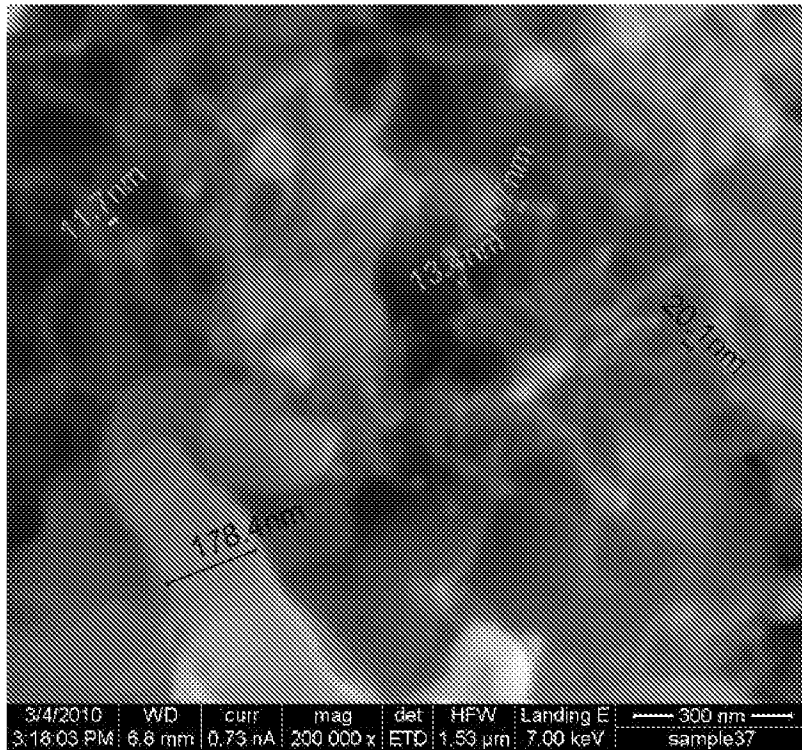


FIG. 17

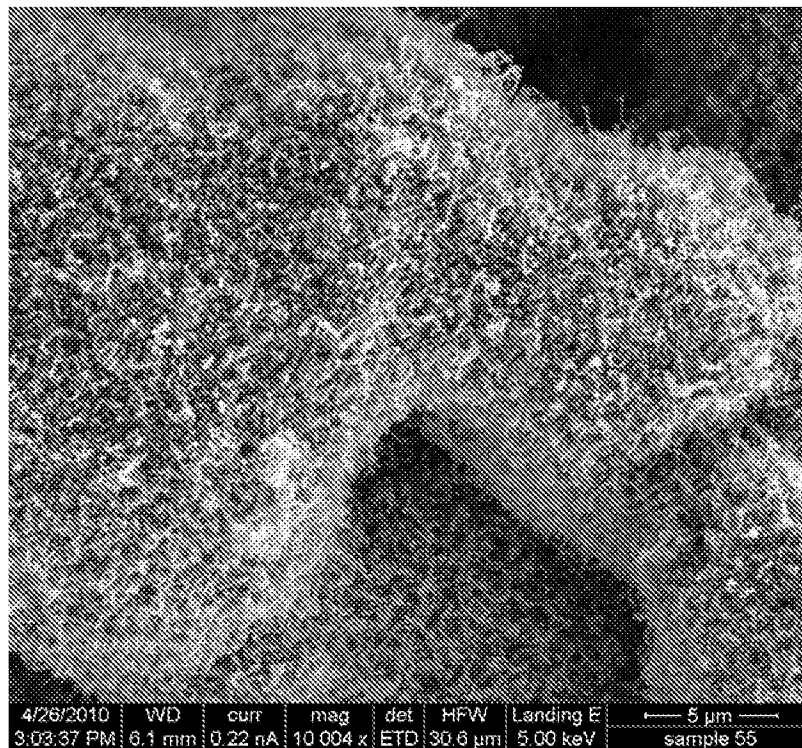


FIG. 18

13/71

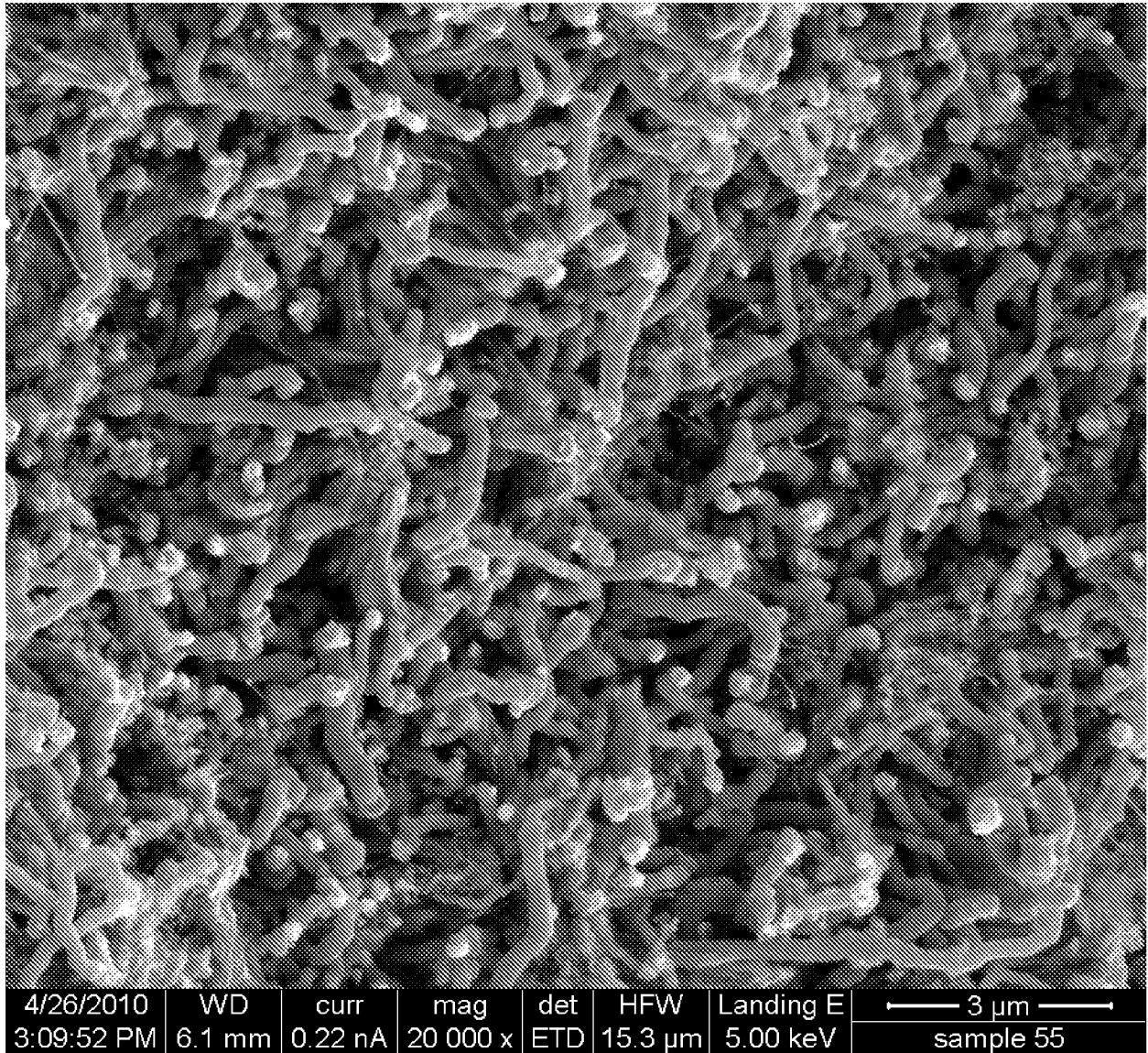


FIG. 19

14/71

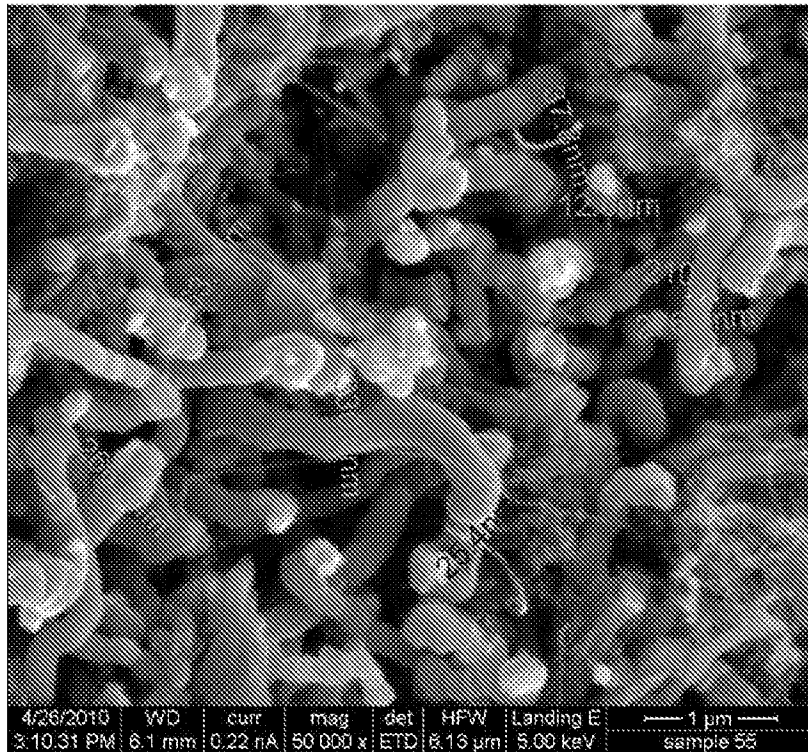


FIG. 20

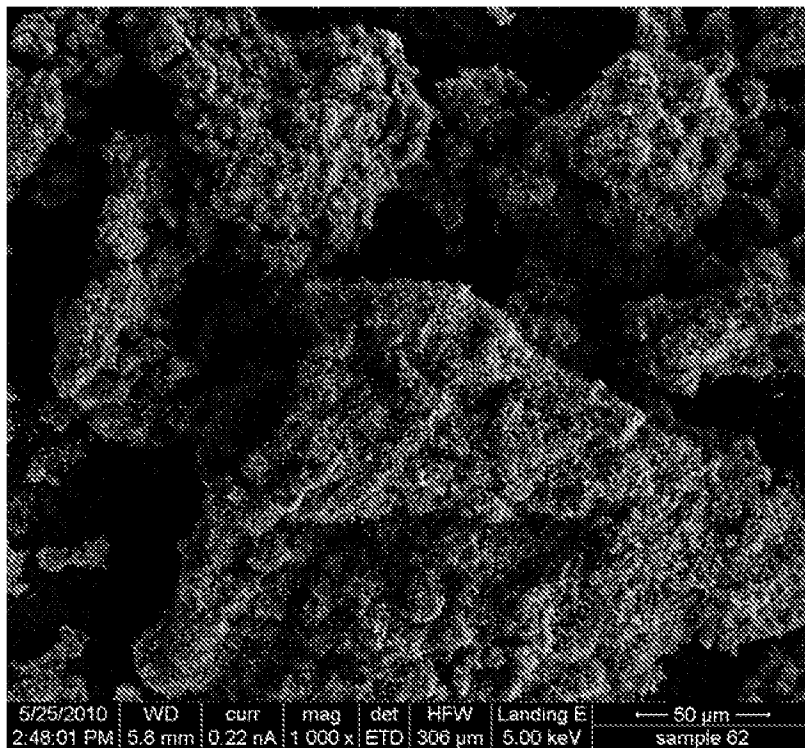


FIG. 21

15/71

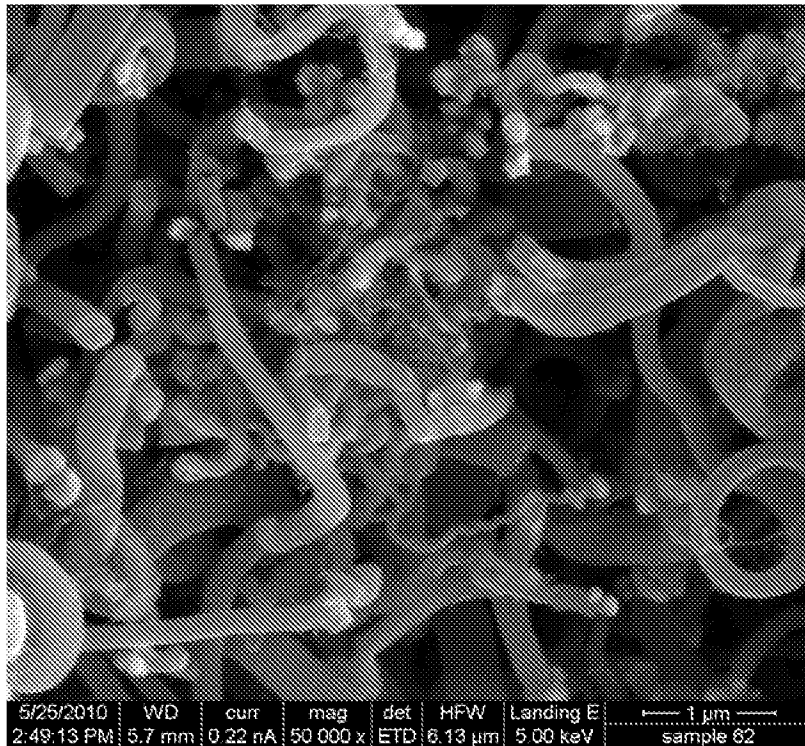


FIG. 22

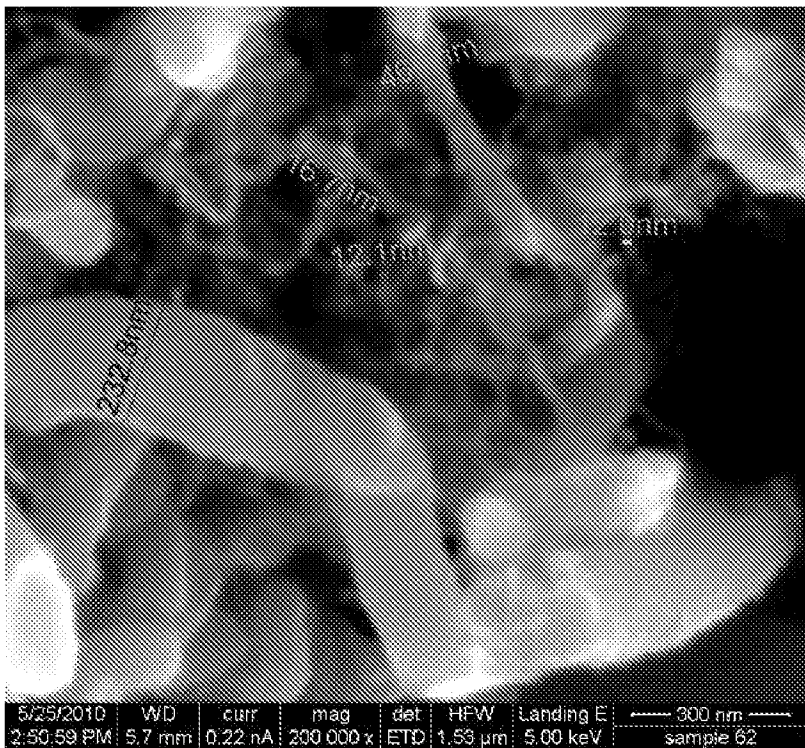


FIG. 23

16/71

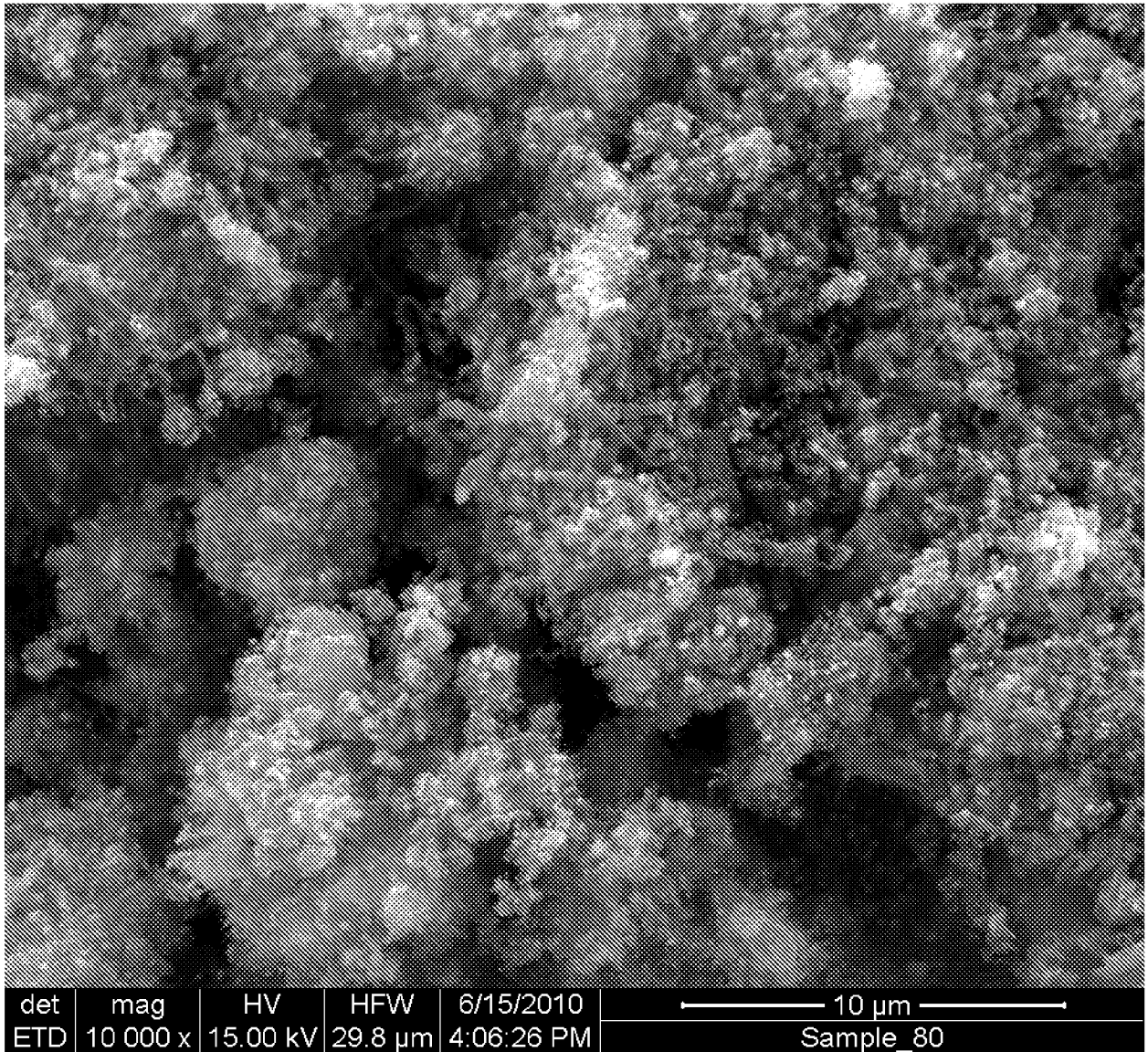


FIG. 24

17/71

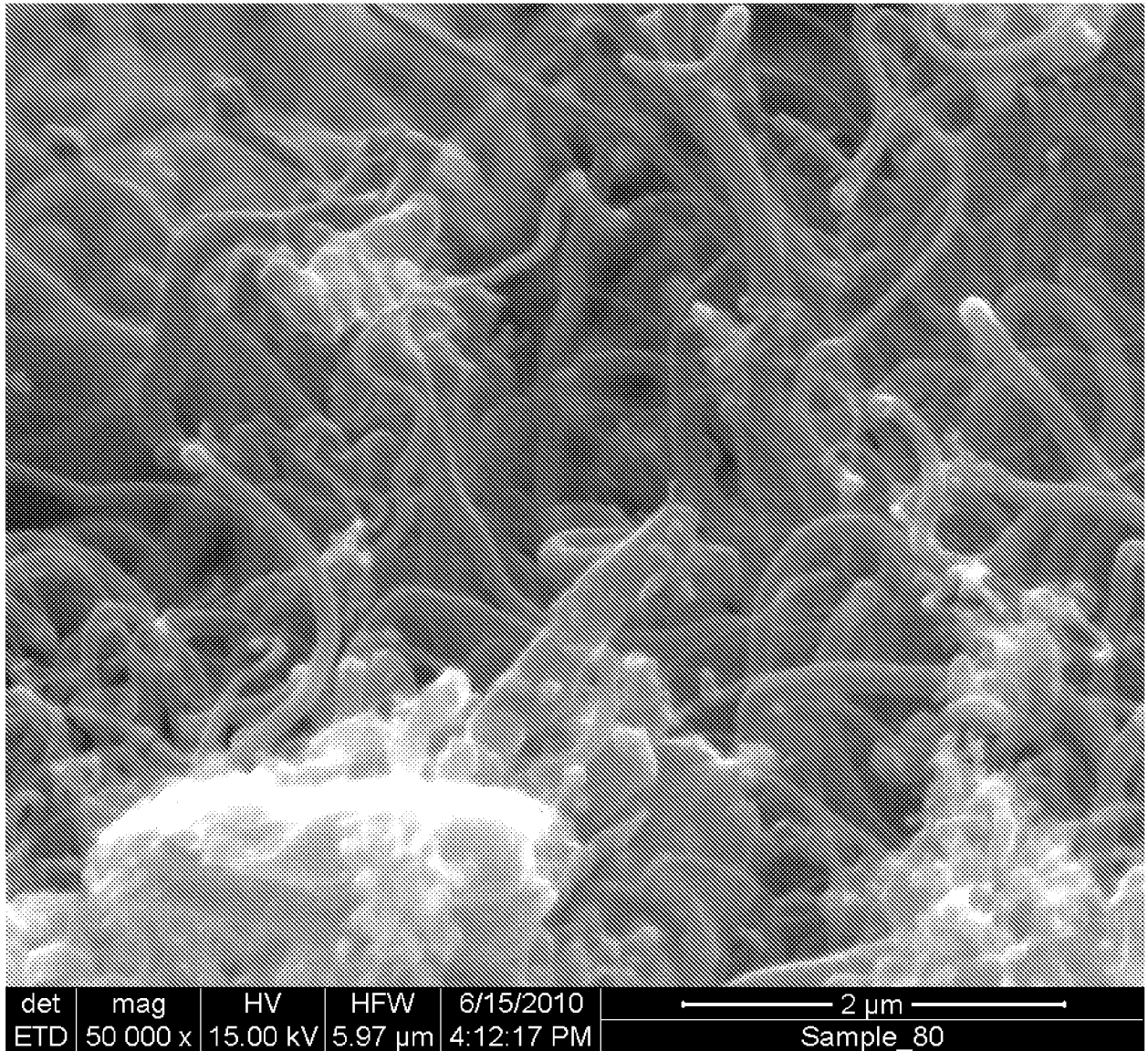


FIG. 25

18/71

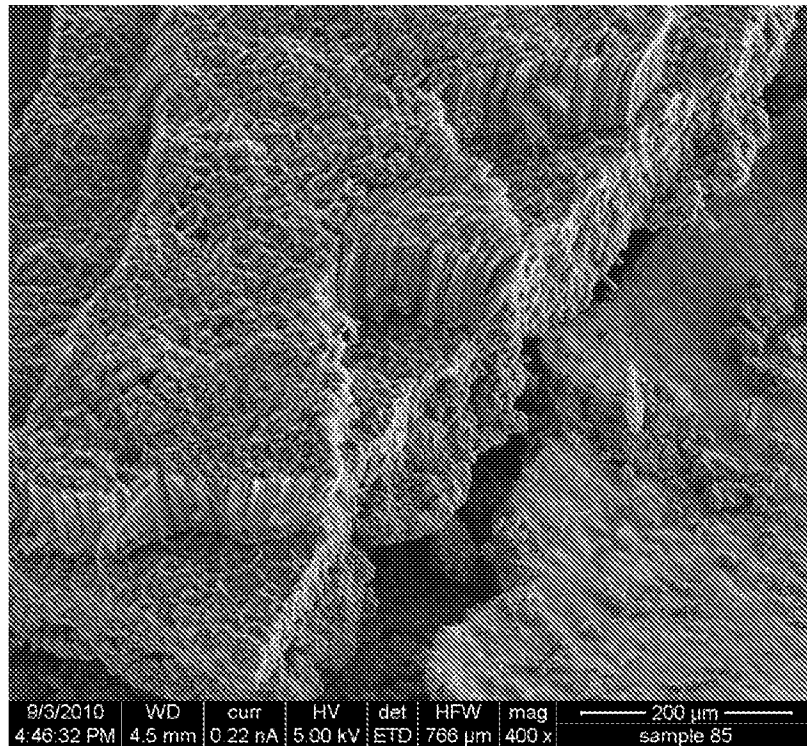


FIG. 26

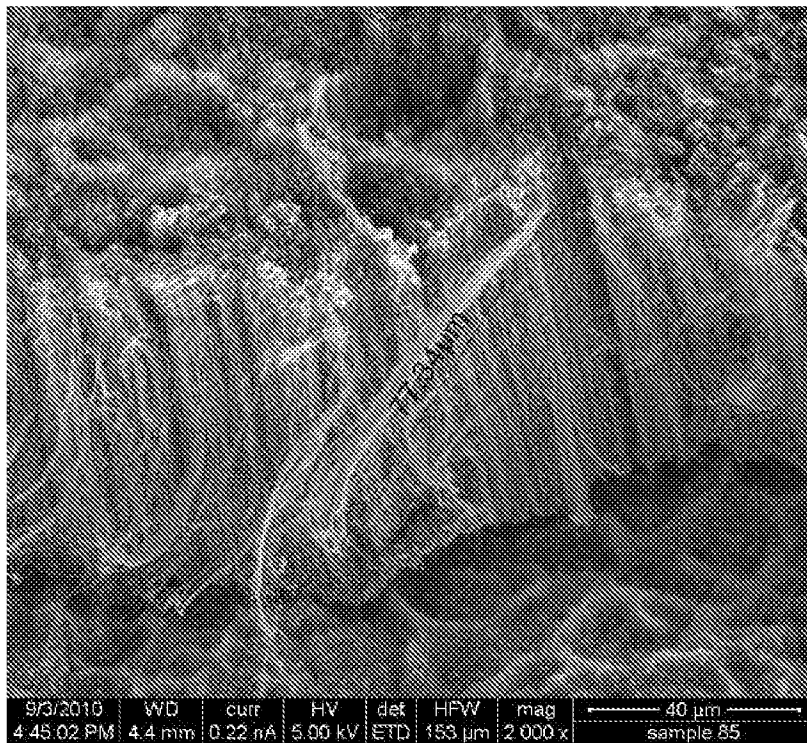


FIG. 27

19/71

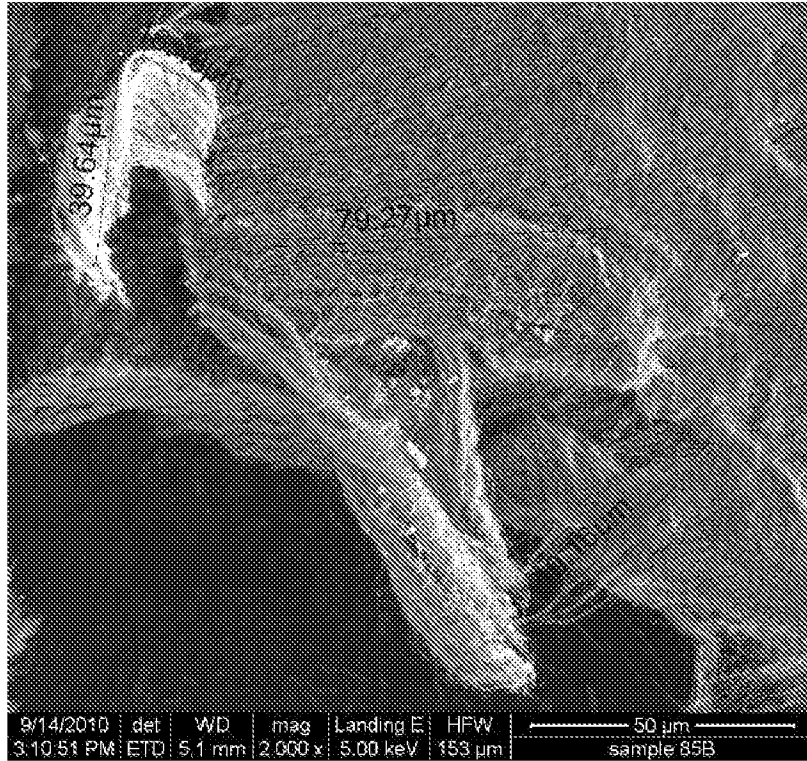


FIG. 28

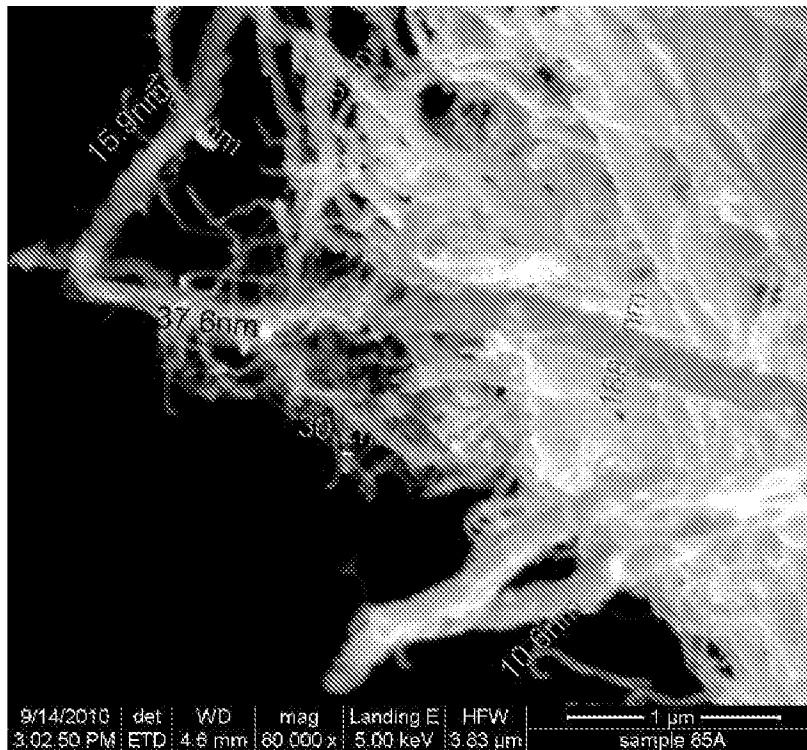


FIG. 29

20/71

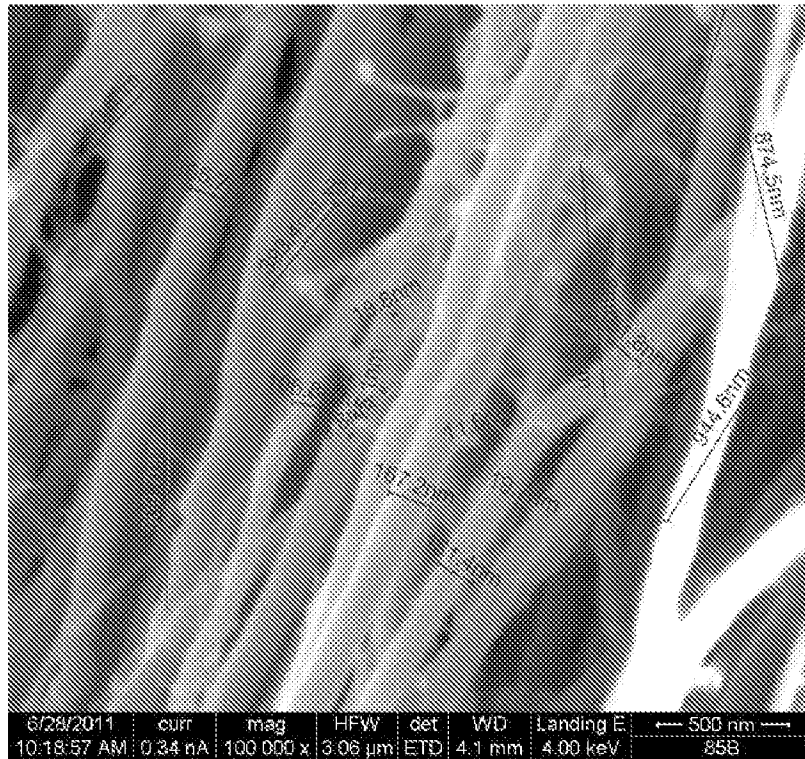


FIG. 30

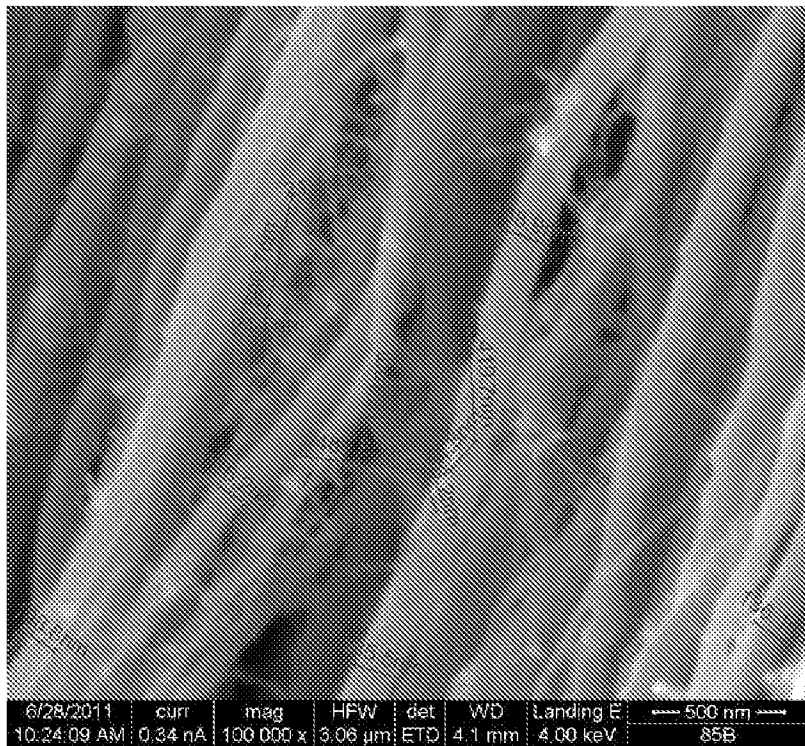


FIG. 31

21/71

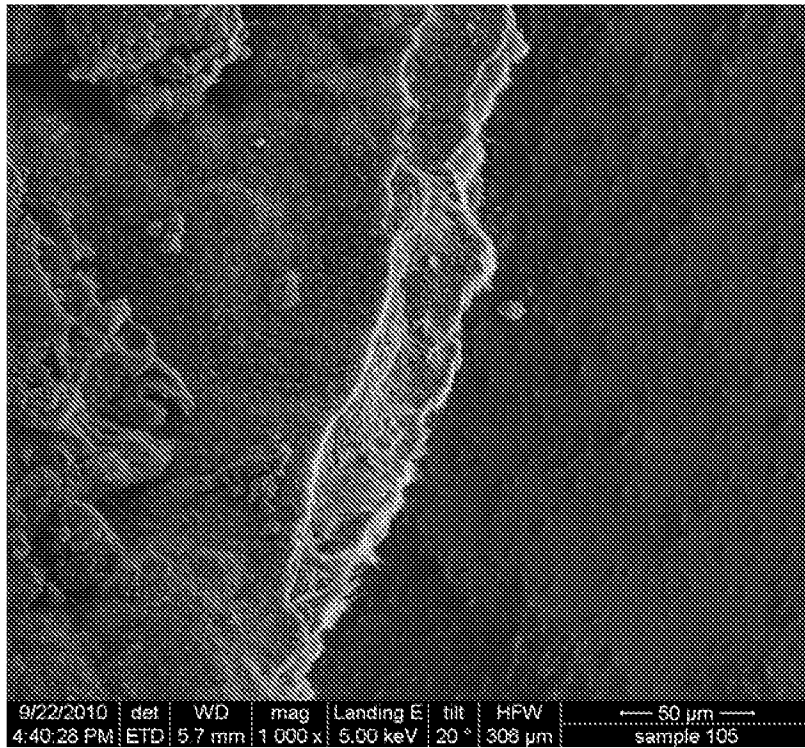


FIG. 32

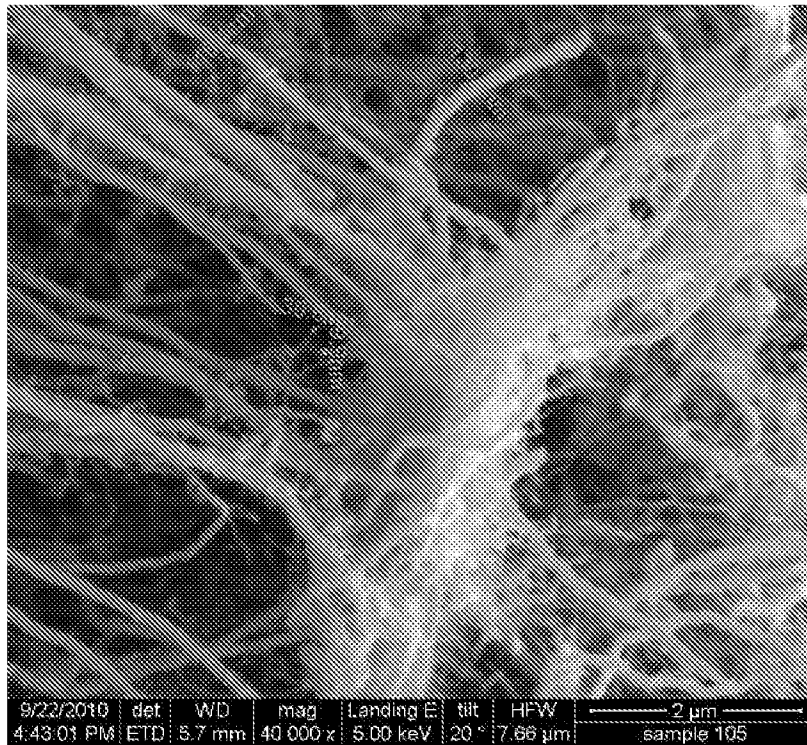


FIG. 33

22/71

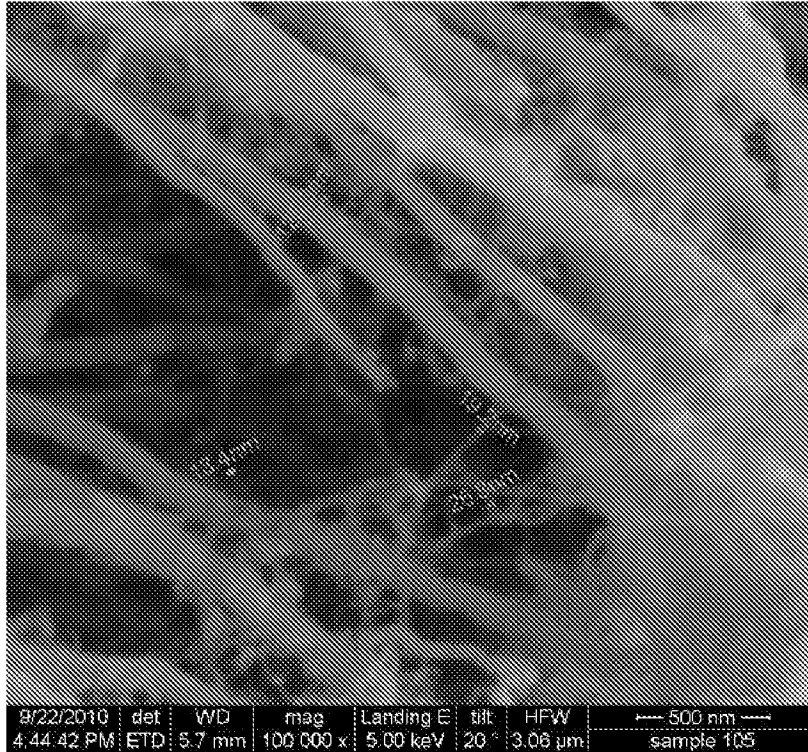


FIG. 34

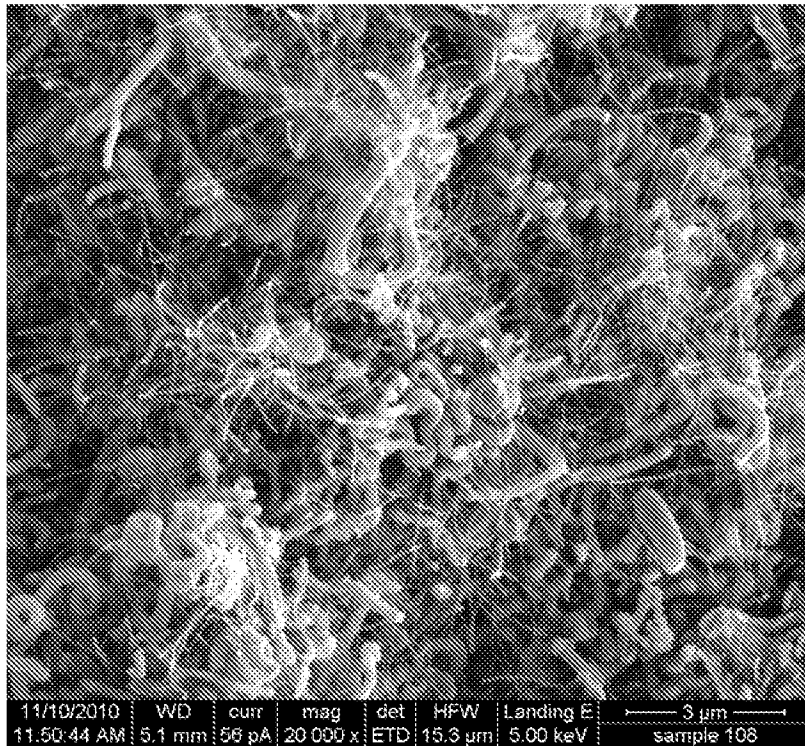


FIG. 35

23/71

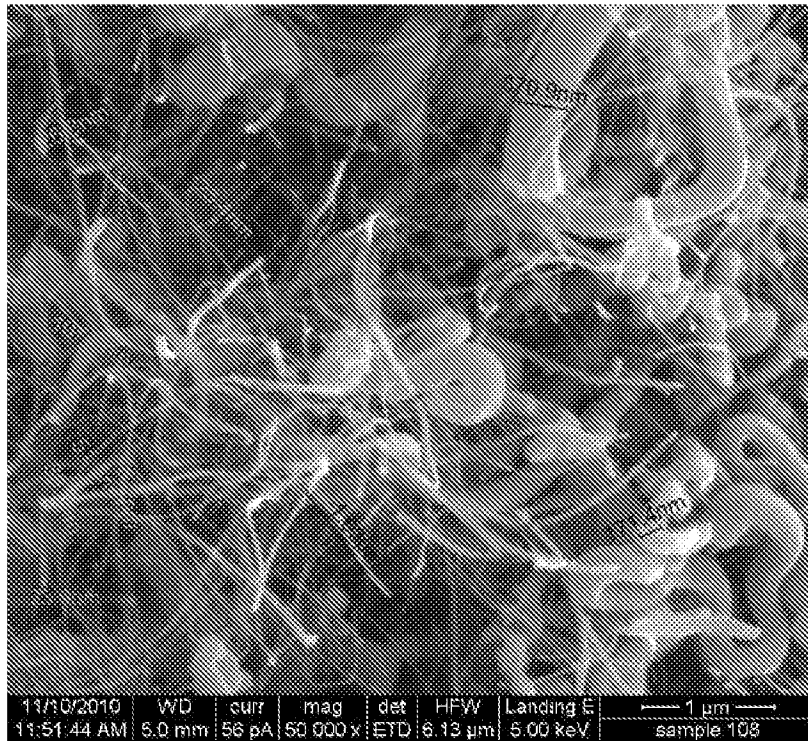


FIG. 36

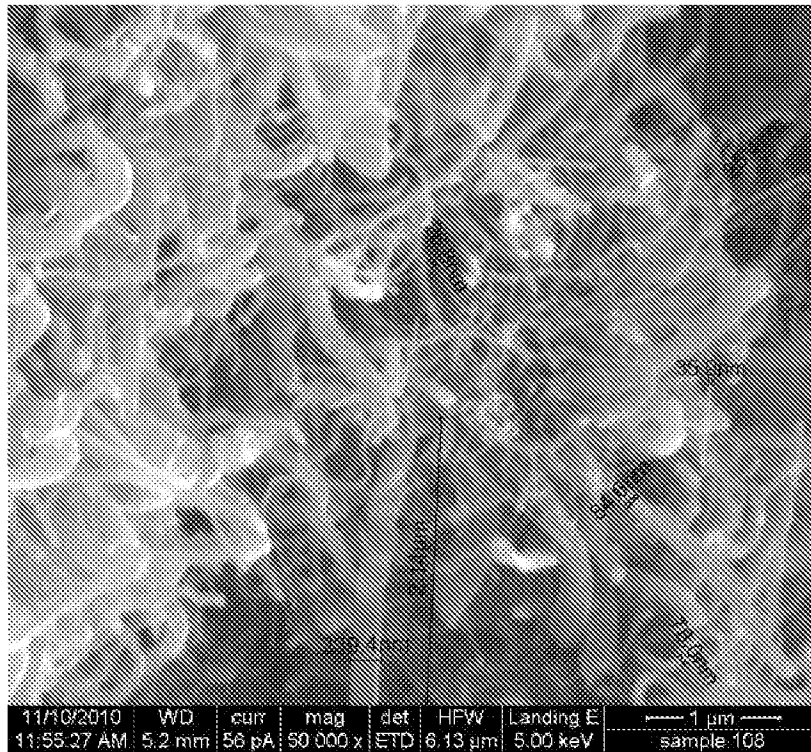


FIG. 37

24/71

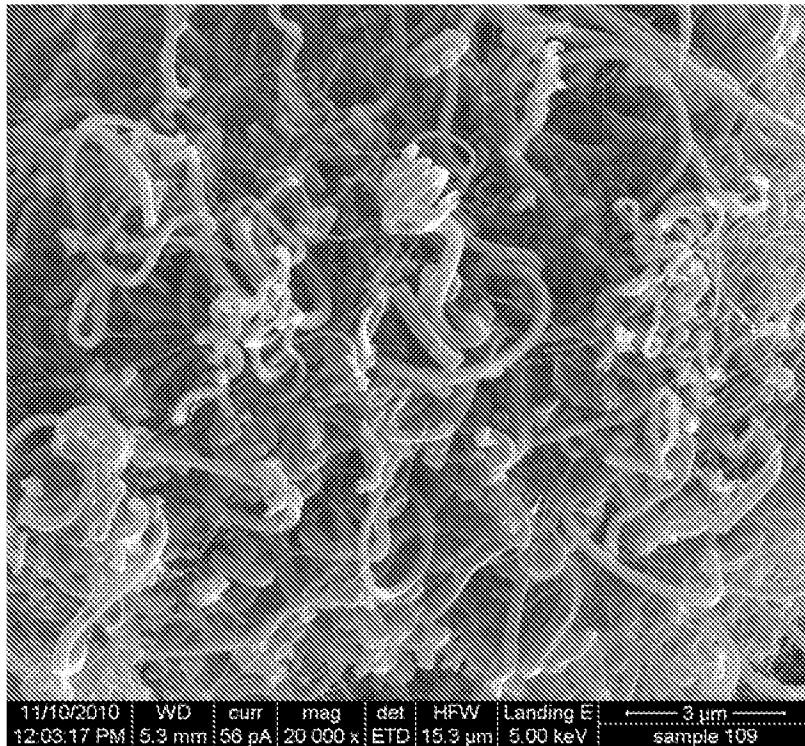


FIG. 38

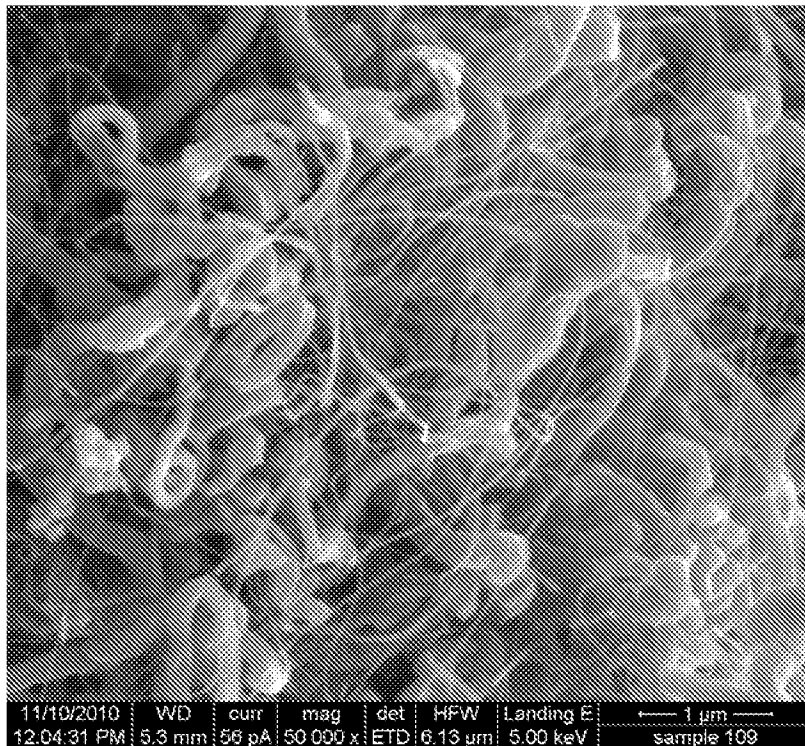


FIG. 39

25/71

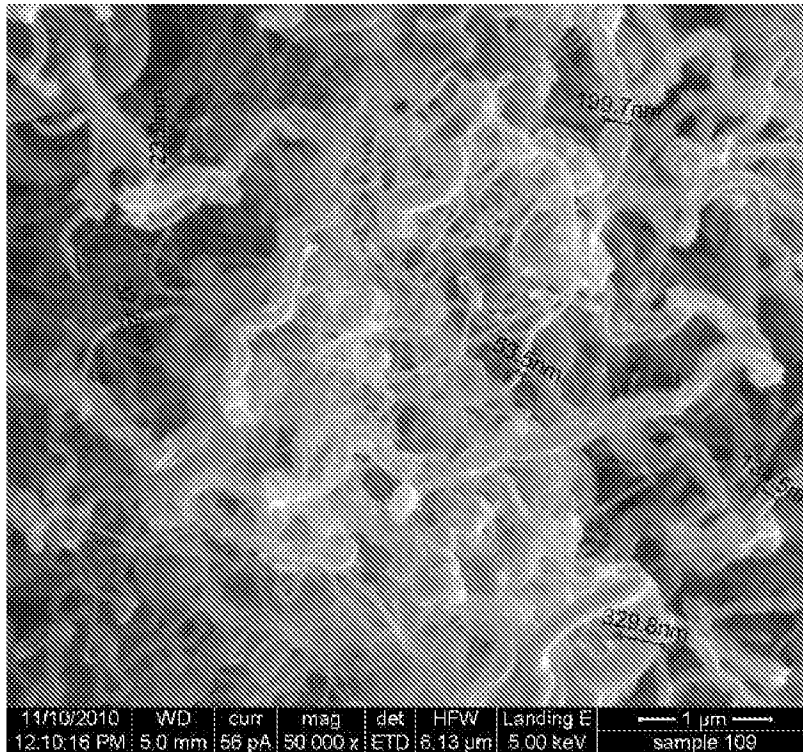


FIG. 40

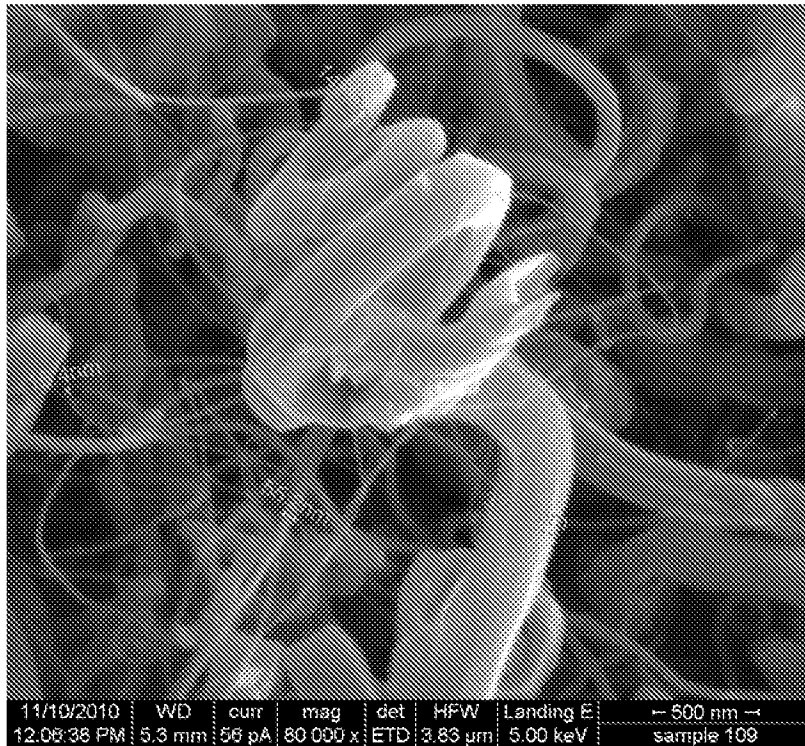


FIG. 41

26/71

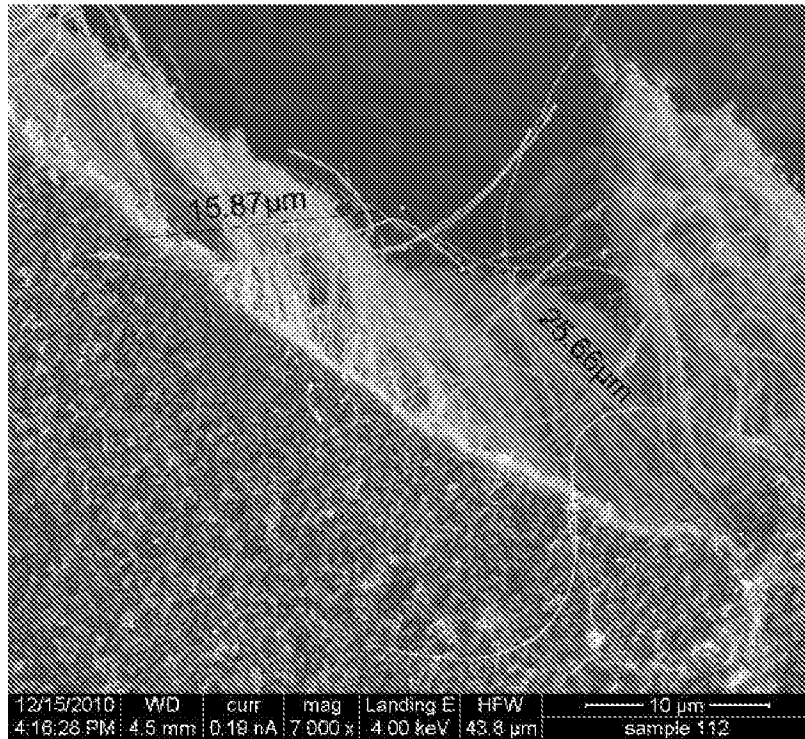


FIG. 42

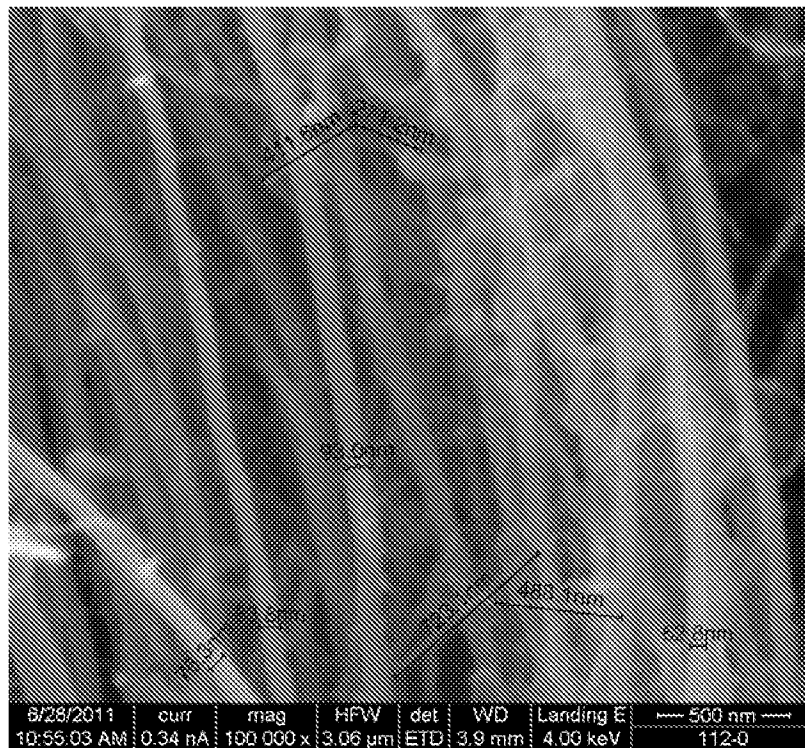


FIG. 43

27/71

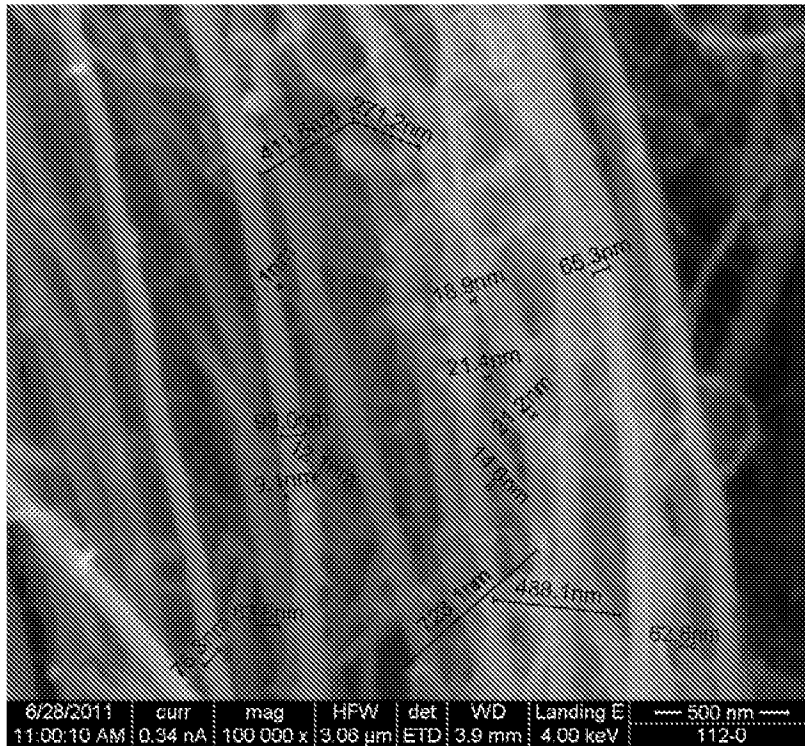


FIG. 44

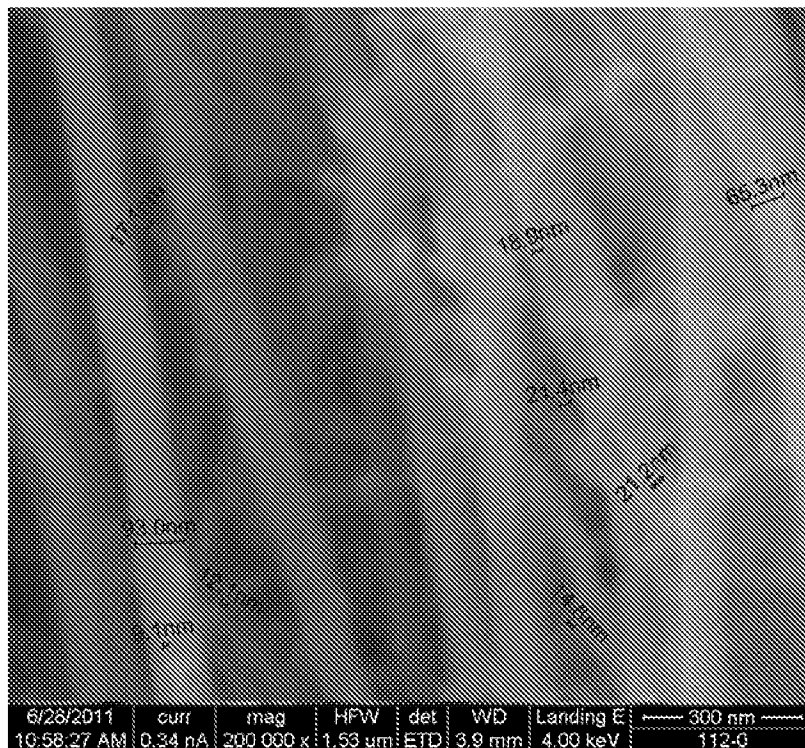


FIG. 45

28/71

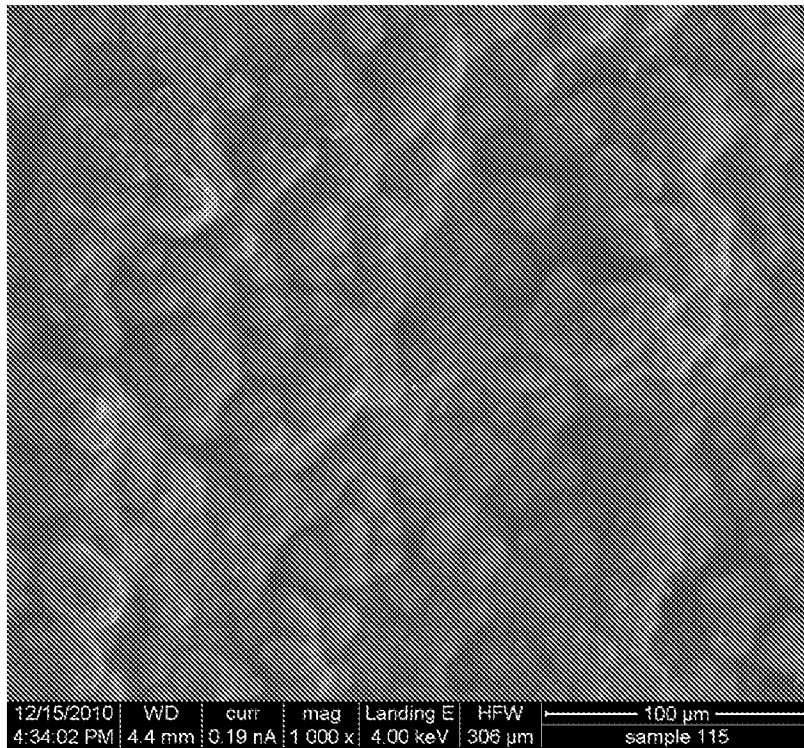


FIG. 46

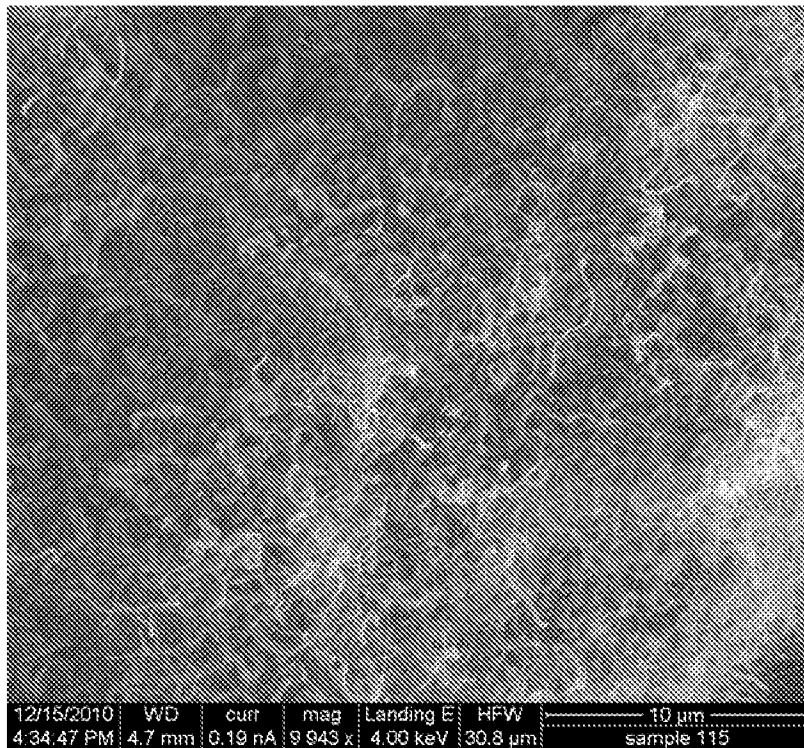


FIG. 47

29/71

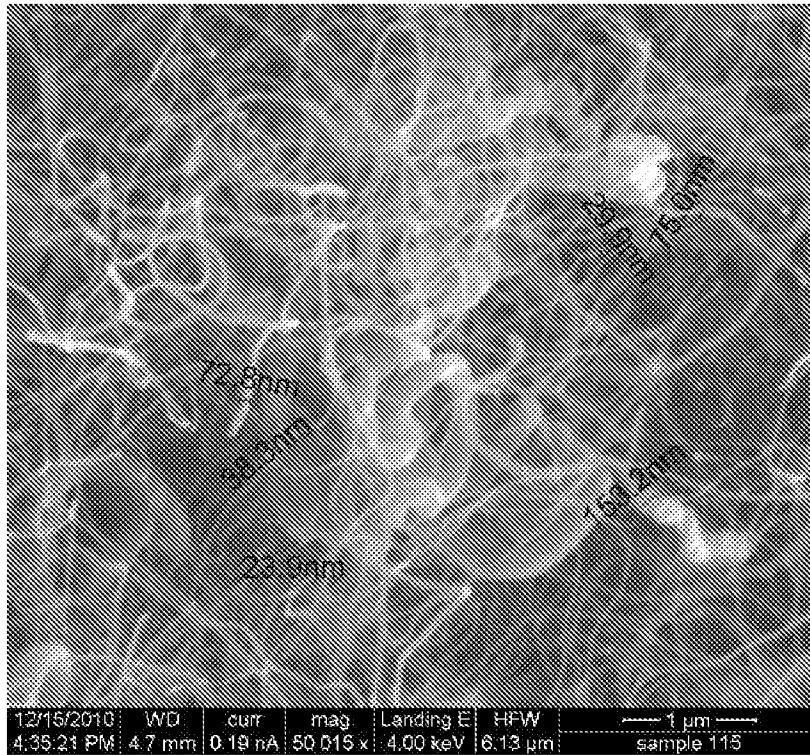


FIG. 48

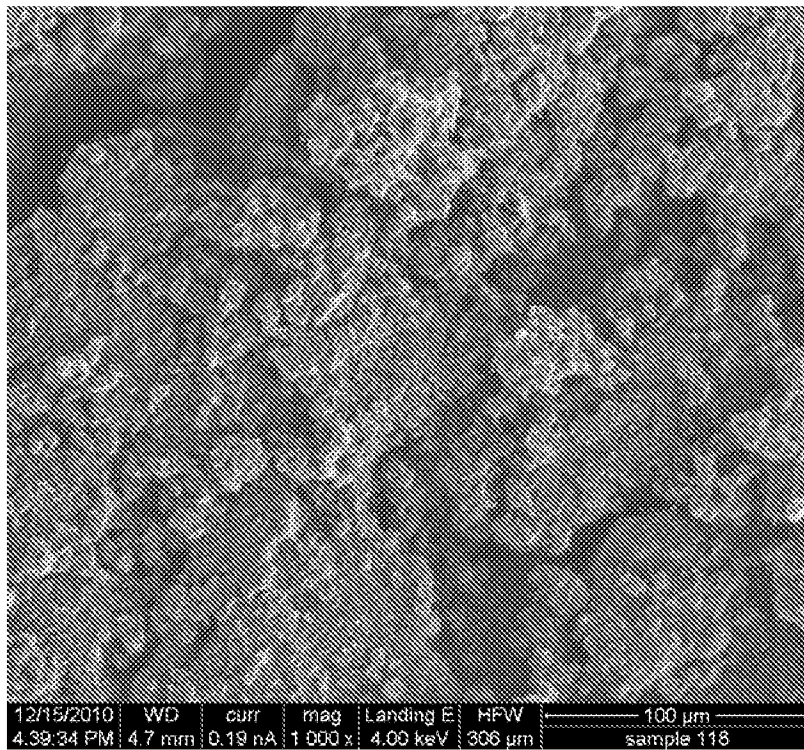


FIG. 49

30/71



FIG. 50

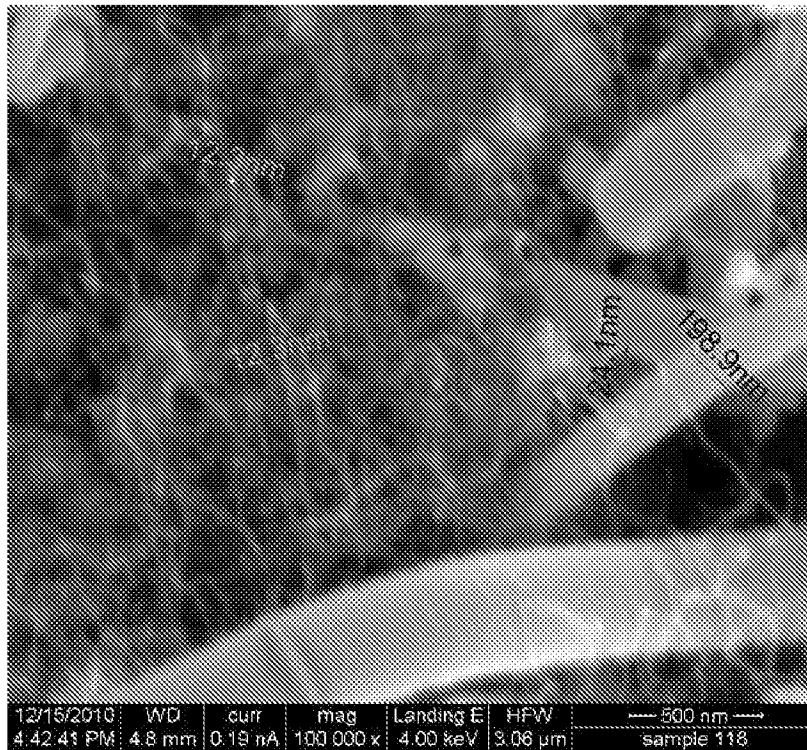


FIG. 51

31/71

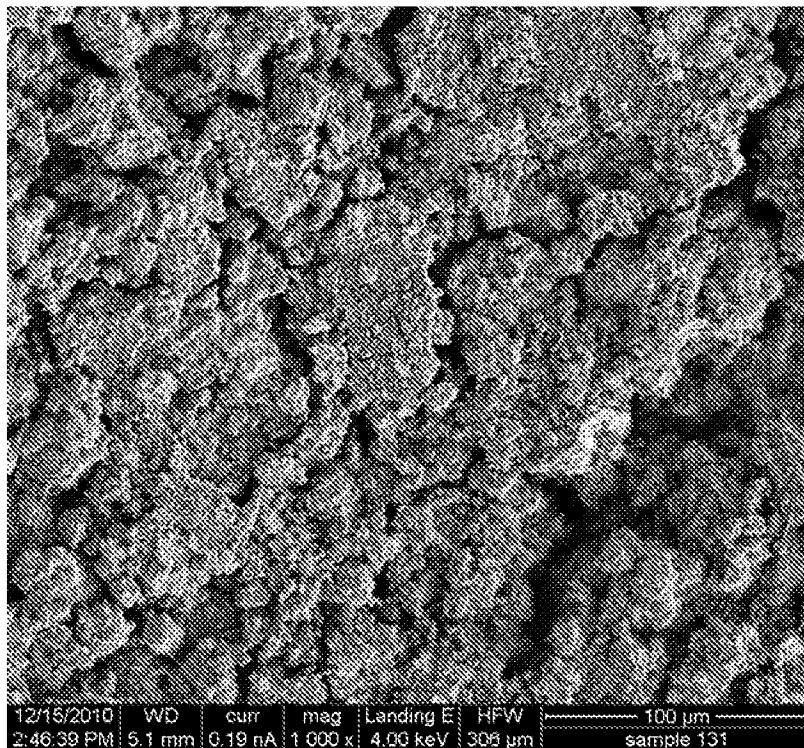


FIG. 52

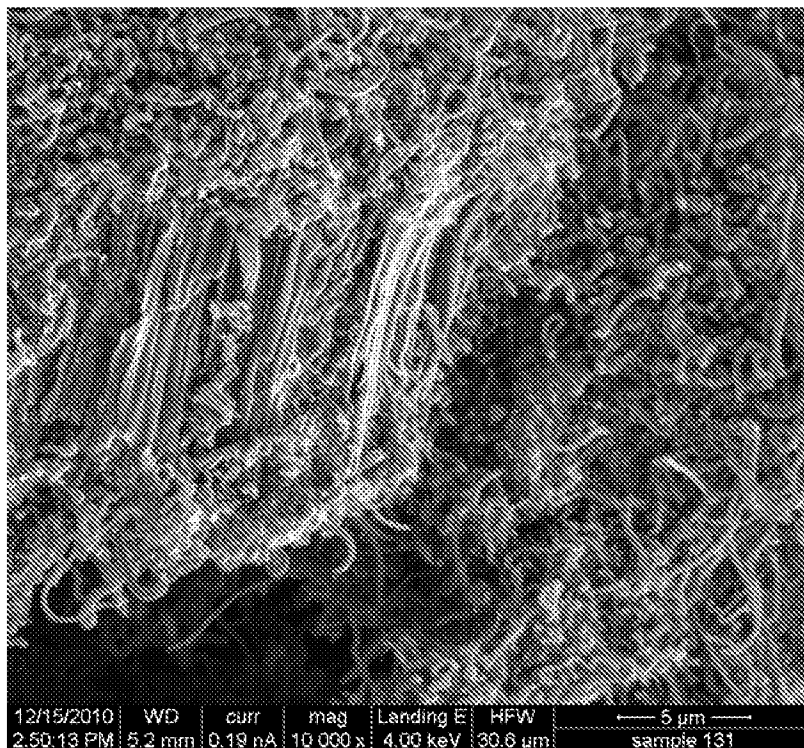


FIG. 53

32/71

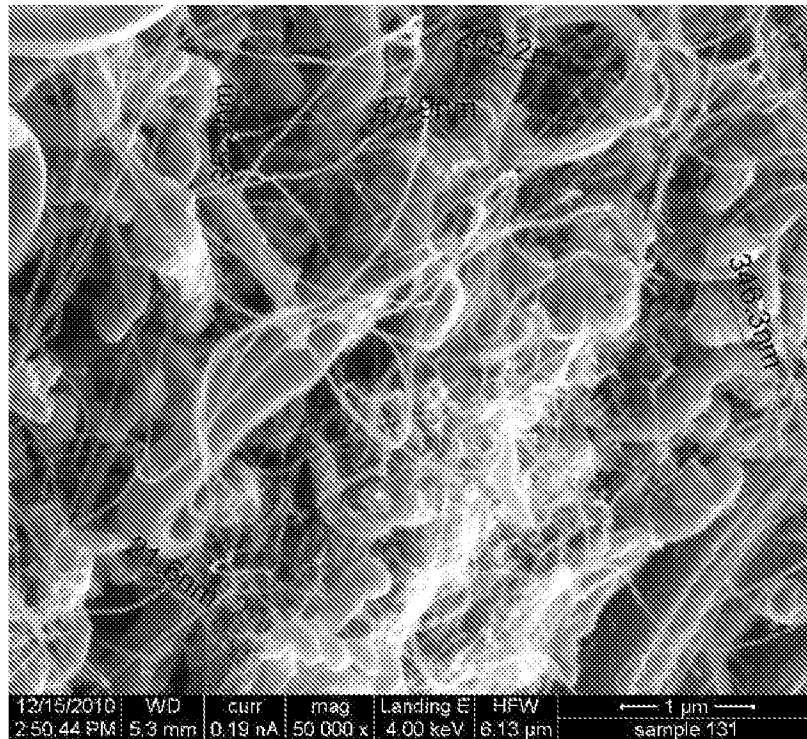


FIG. 54

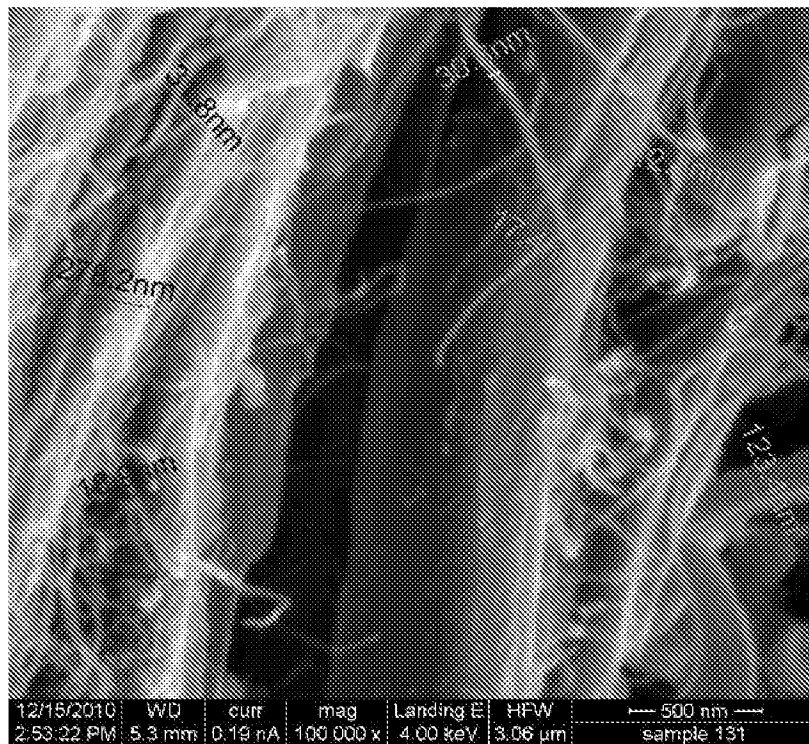


FIG. 55

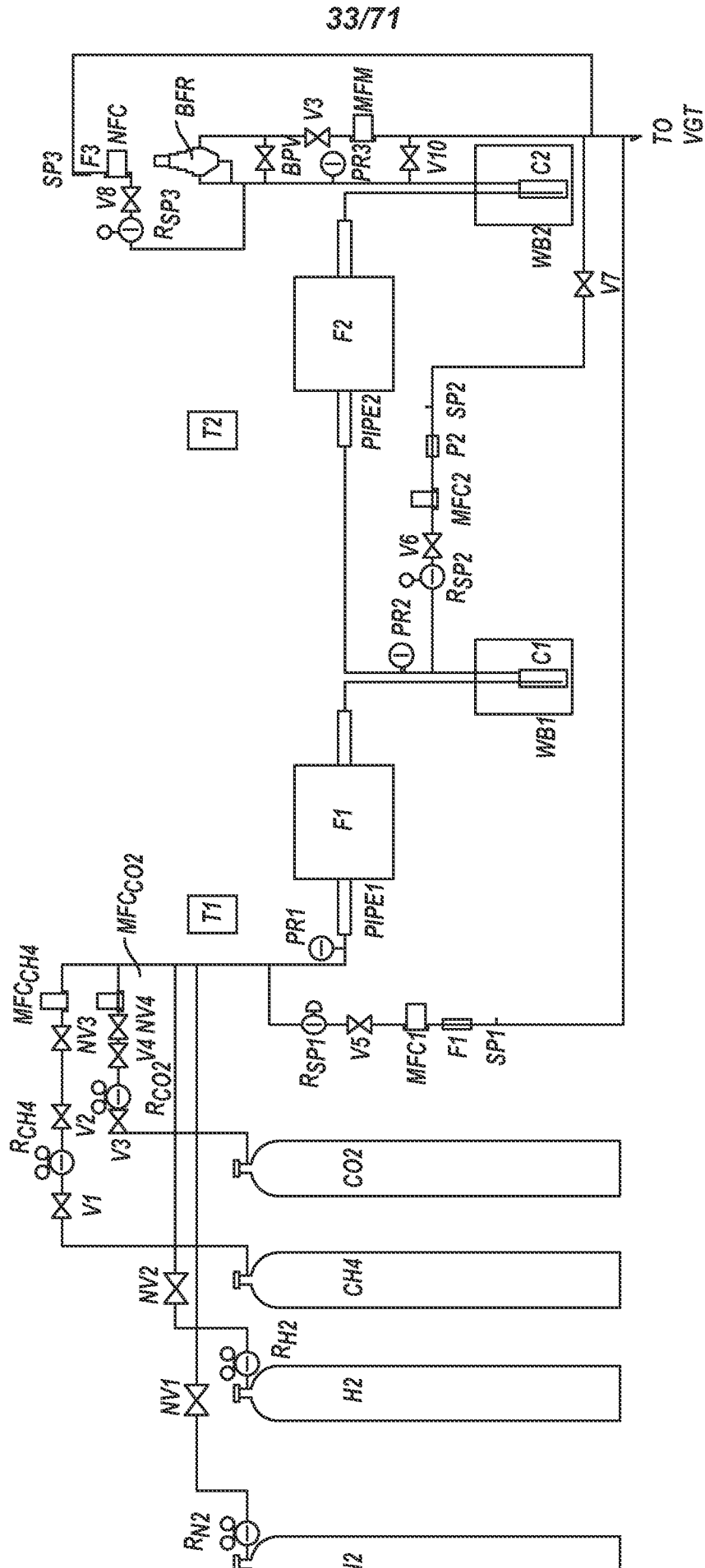


FIG. 56

34/71

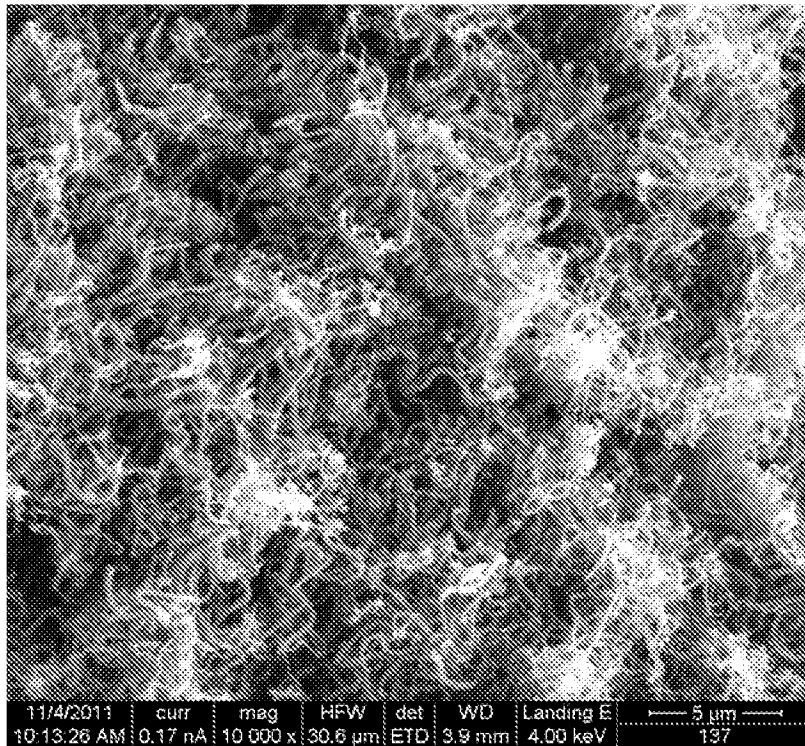


FIG. 57

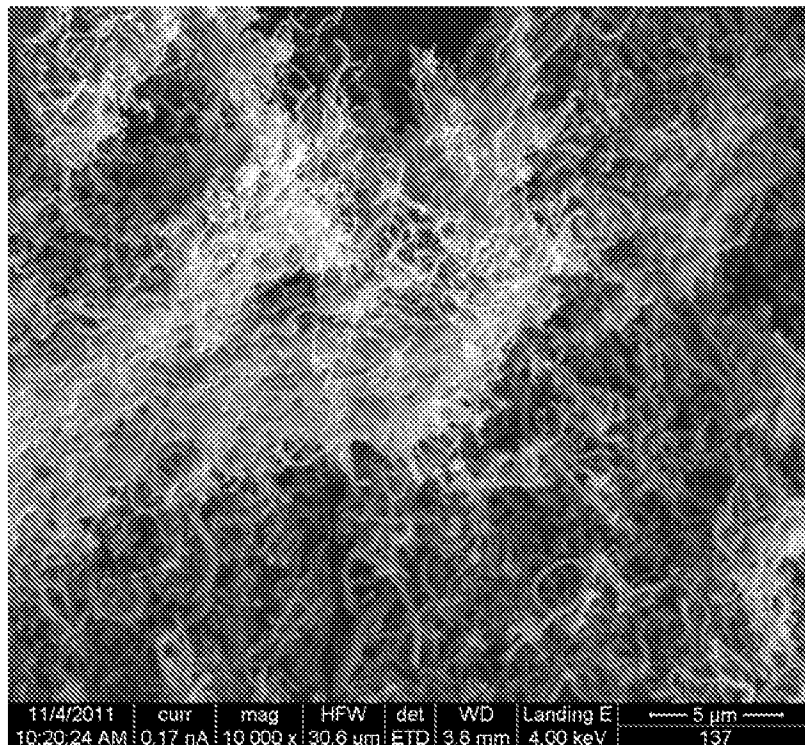


FIG. 58

35/71

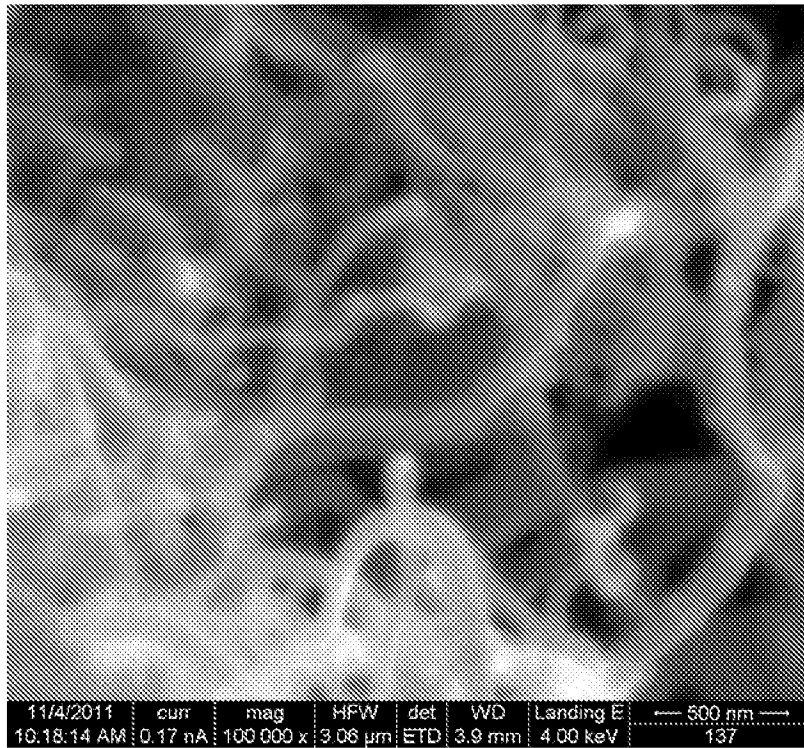


FIG. 59

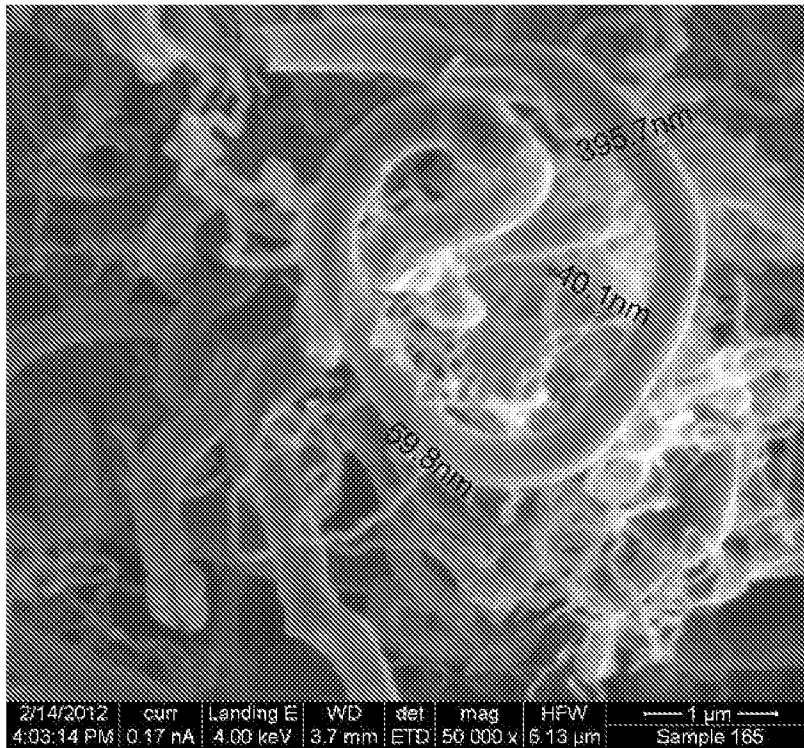


FIG. 60

36/71

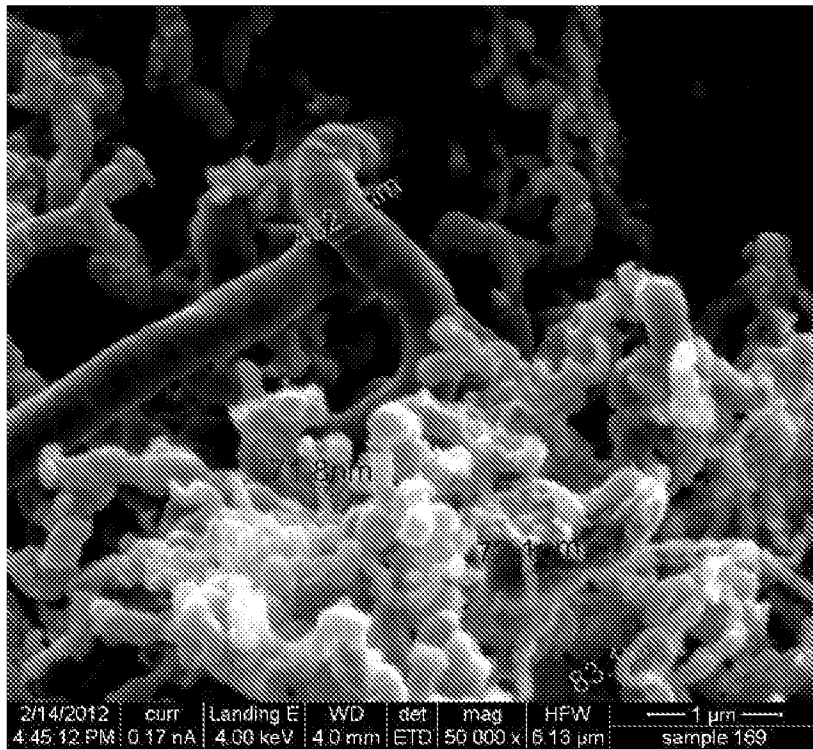


FIG. 61

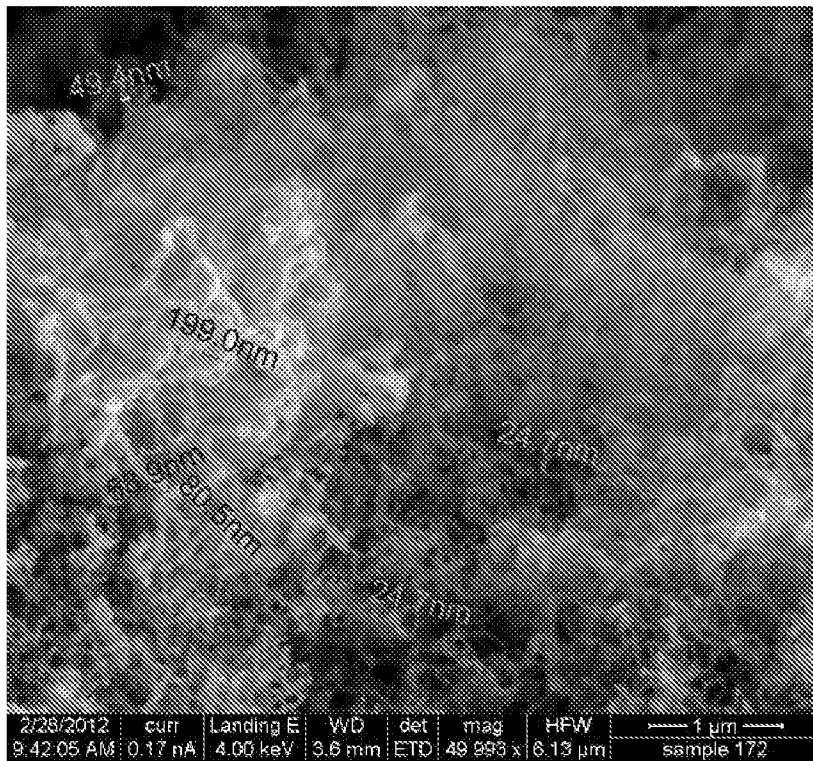


FIG. 62

37/71

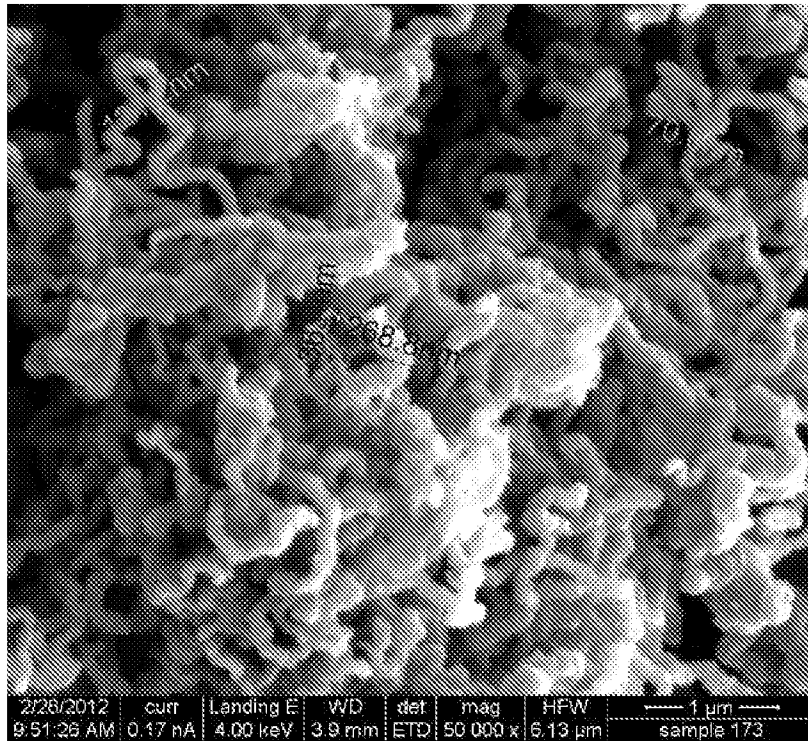


FIG. 63

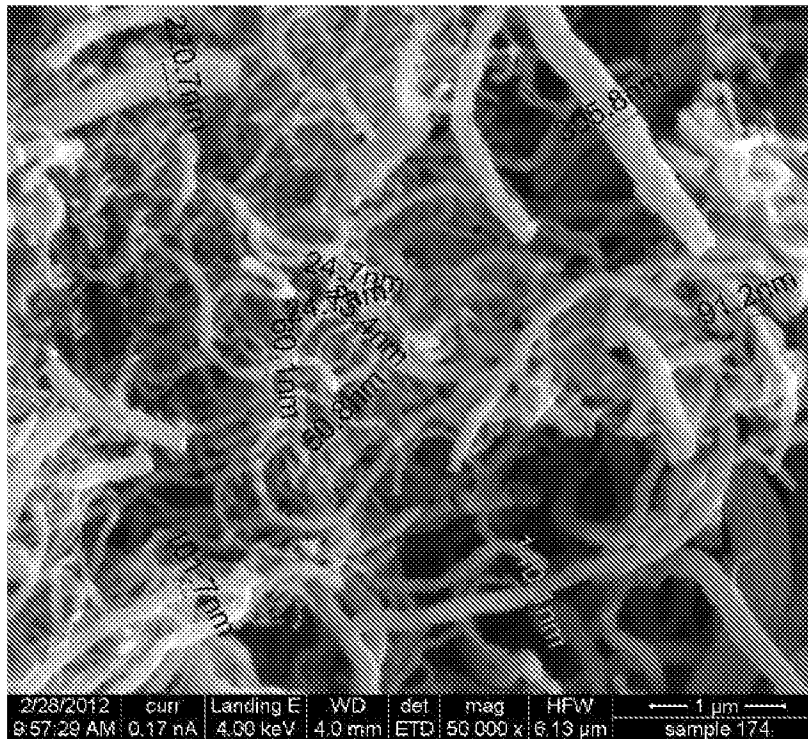


FIG. 64

38/71

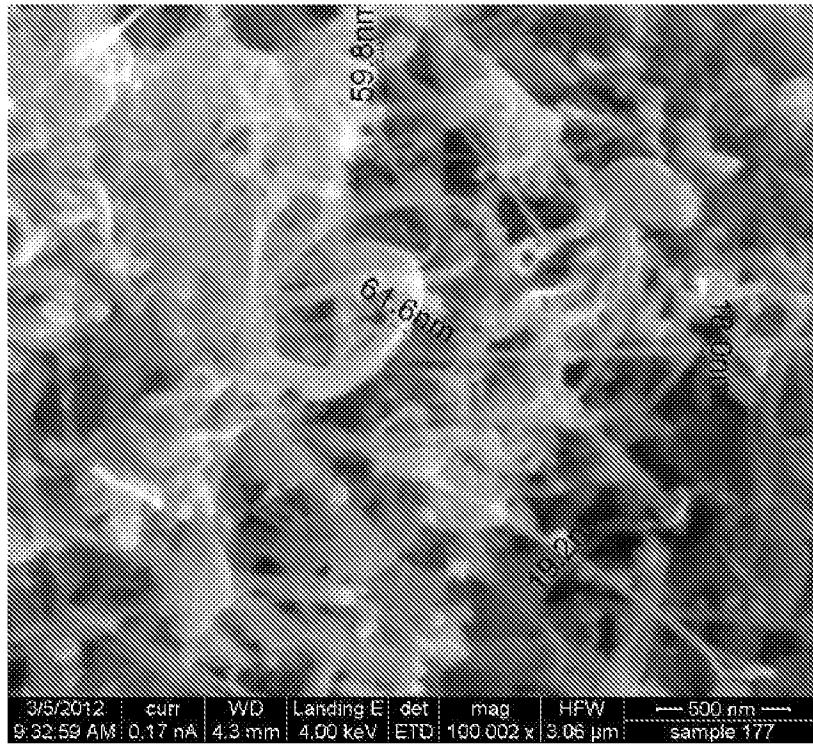


FIG. 65

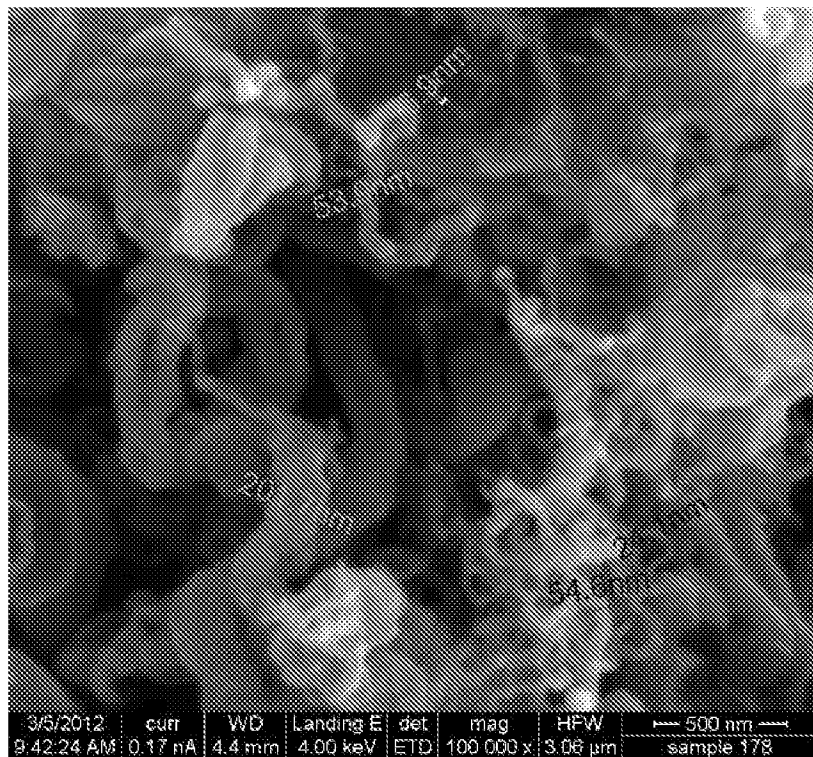


FIG. 66

39/71

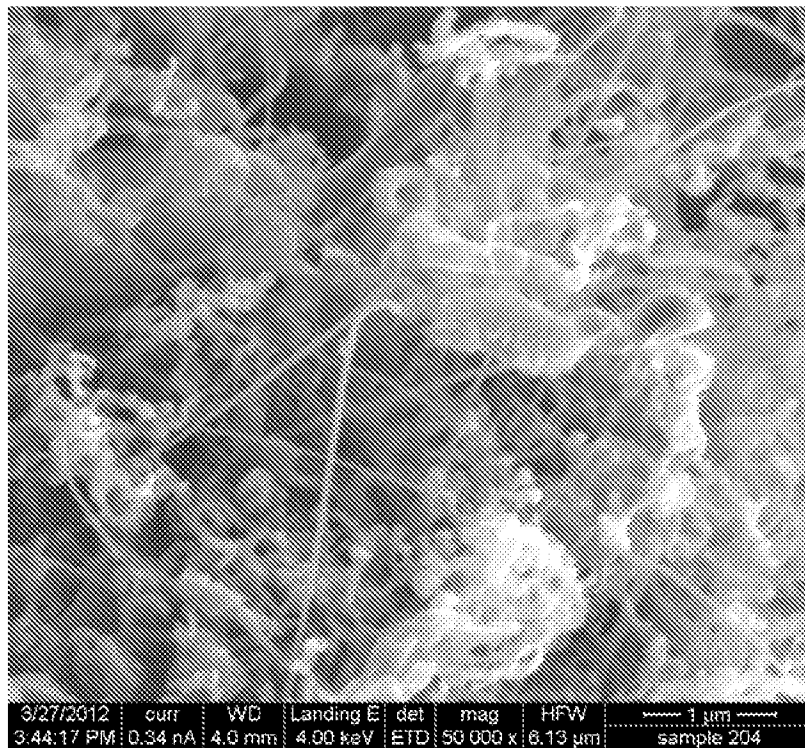


FIG. 67

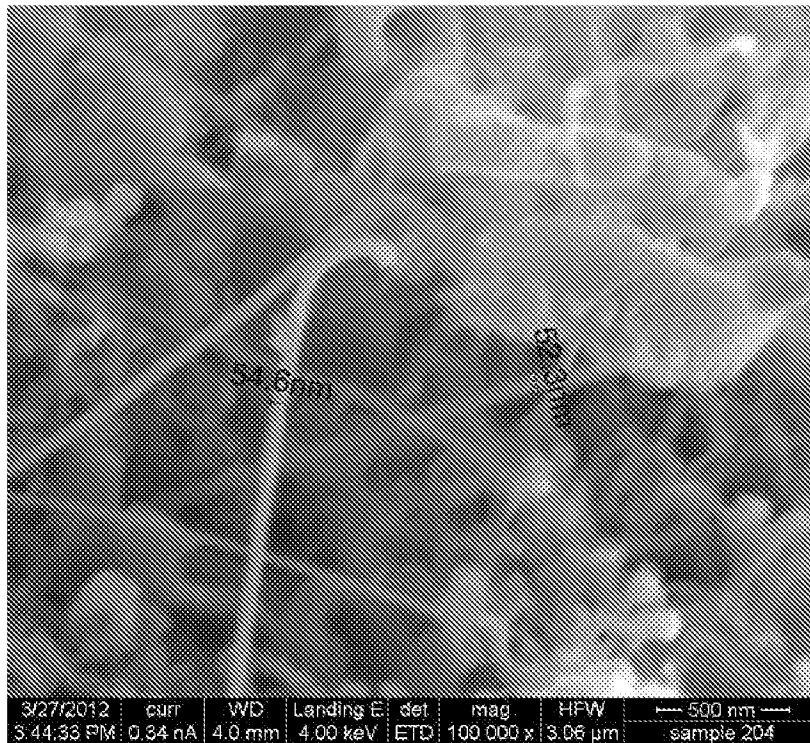


FIG. 68

40/71

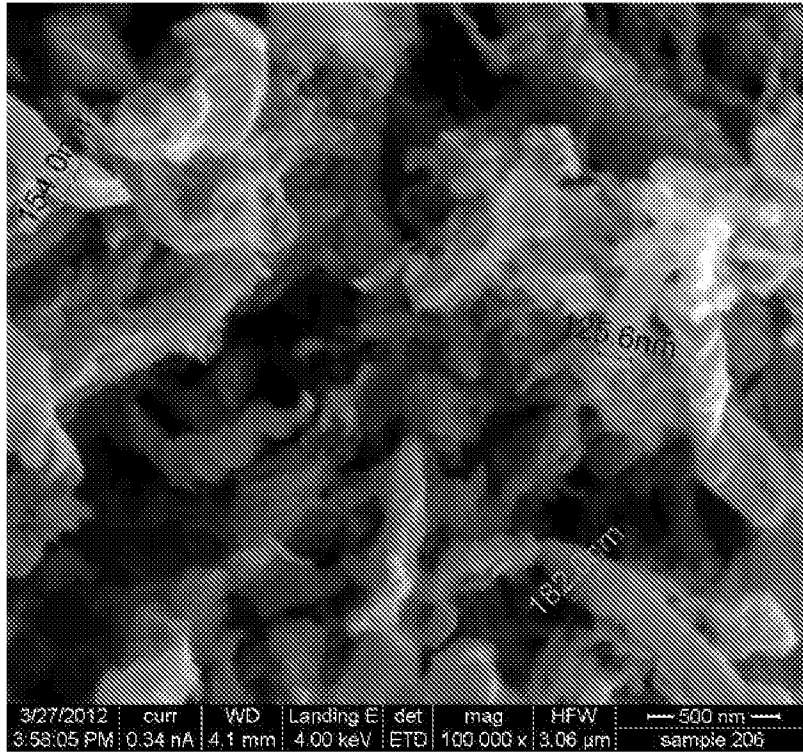


FIG. 69

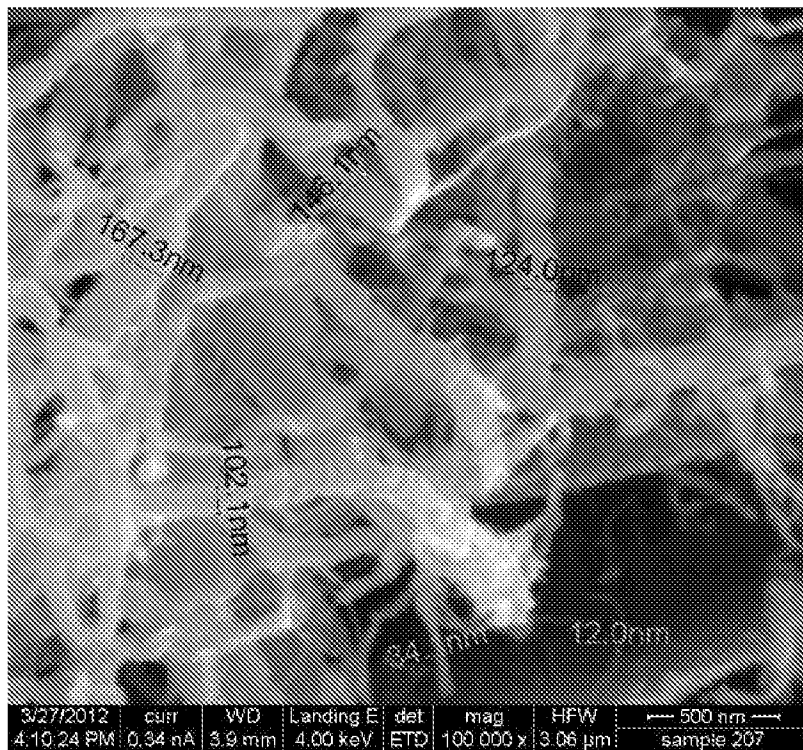


FIG. 70

41/71

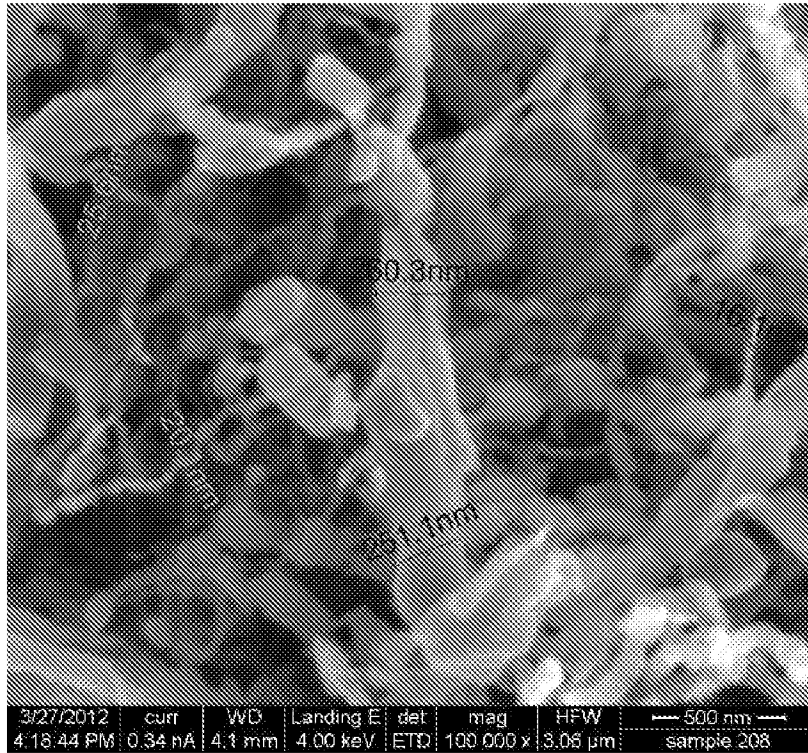


FIG. 71

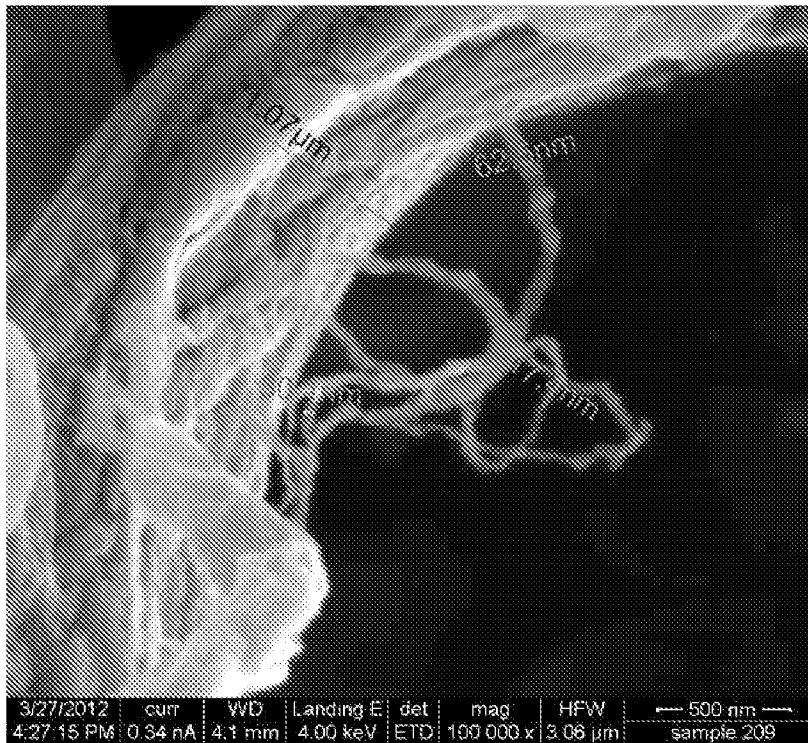


FIG. 72

42/71

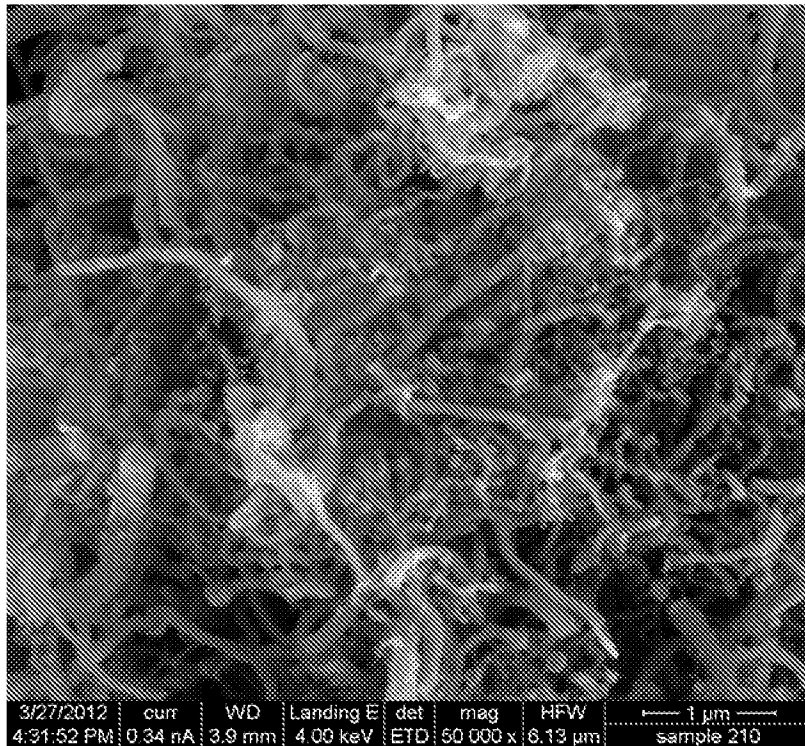


FIG. 73

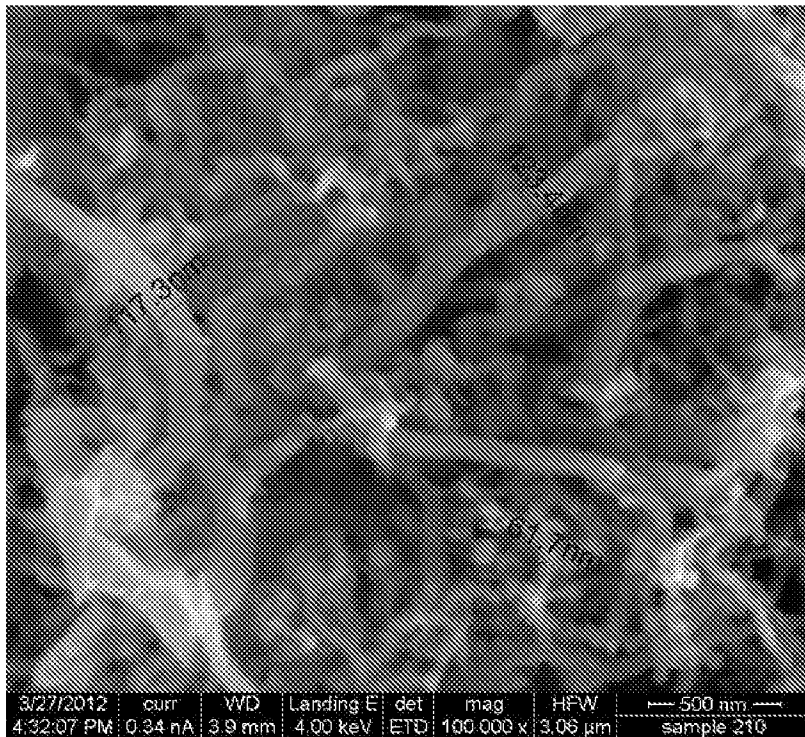


FIG. 74

43/71

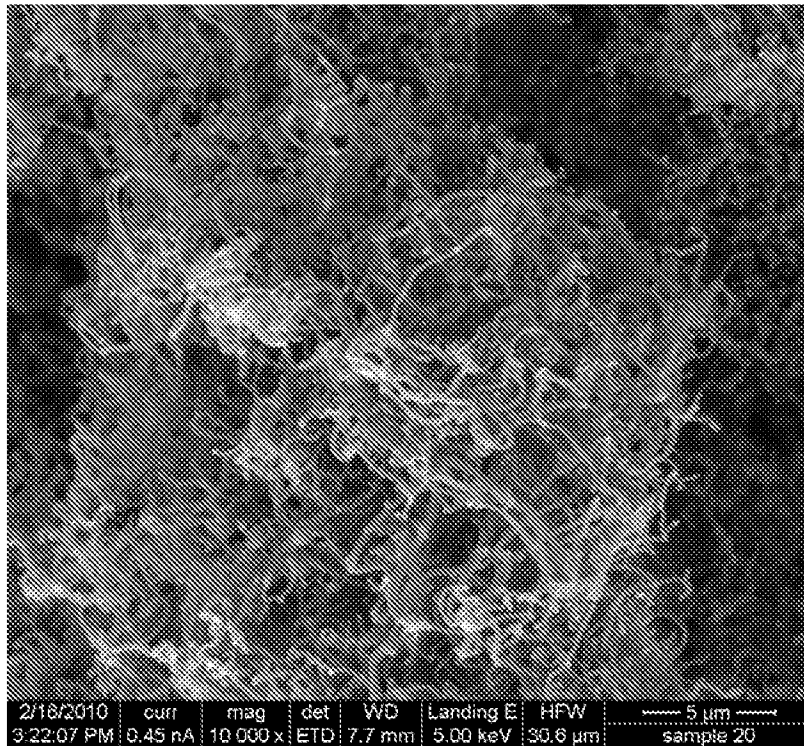


FIG. 75

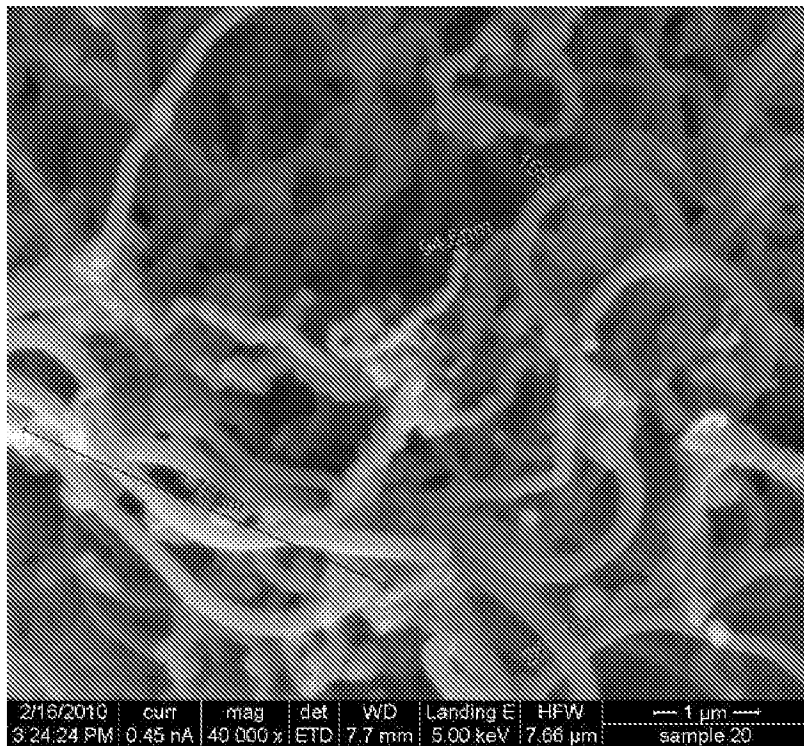


FIG. 76

44/71

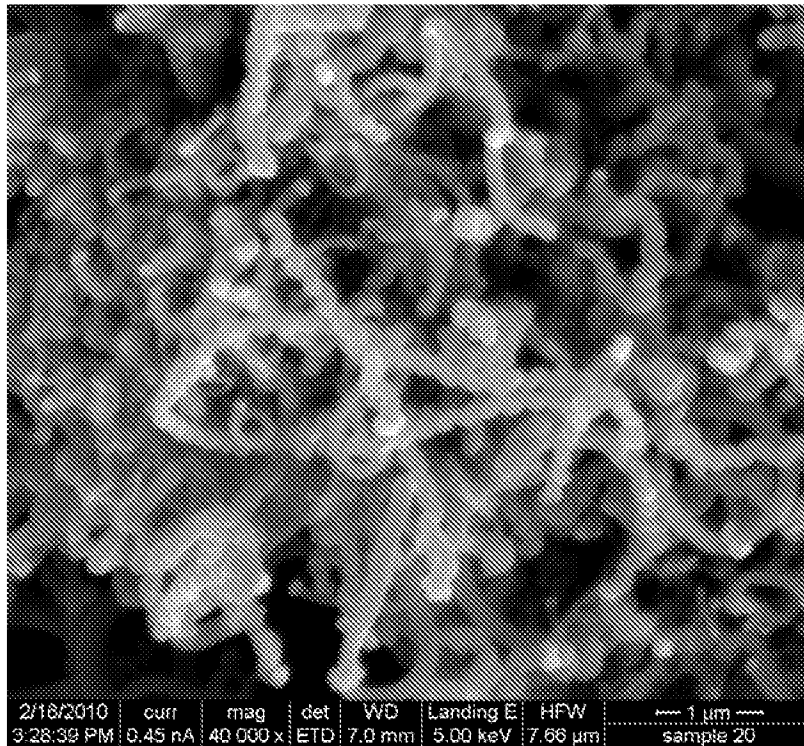


FIG. 77

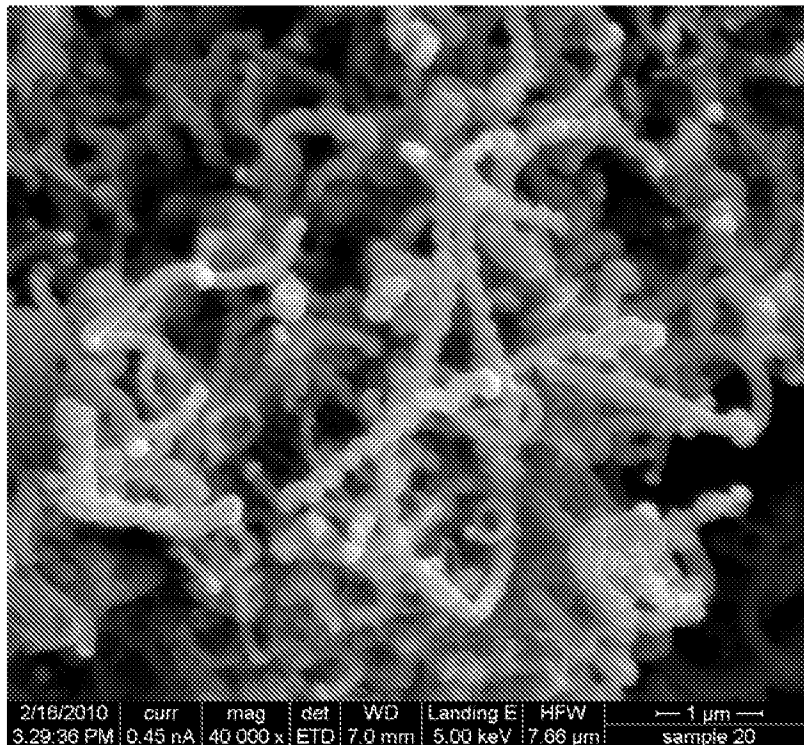


FIG. 78

45/71

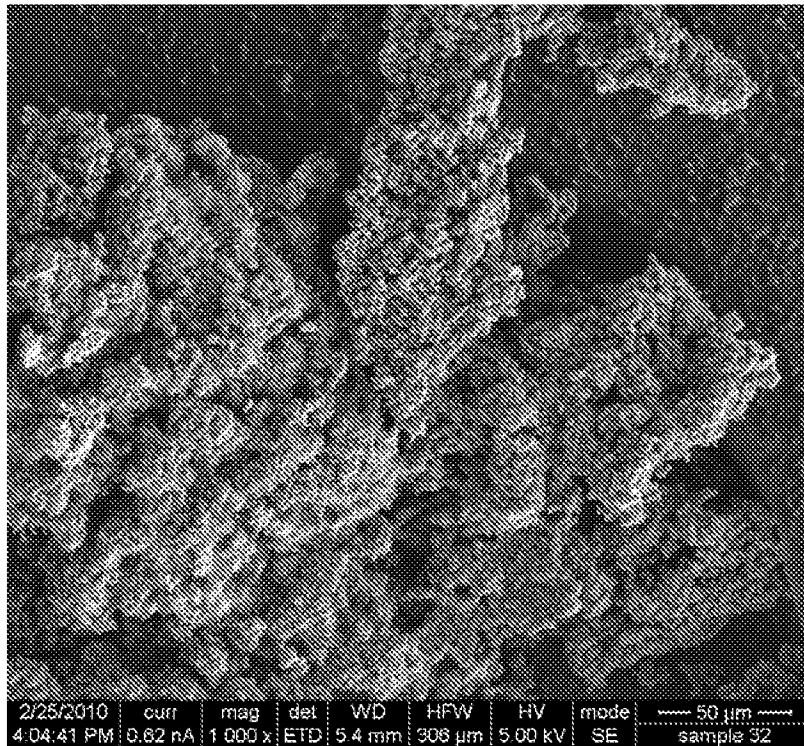


FIG. 79

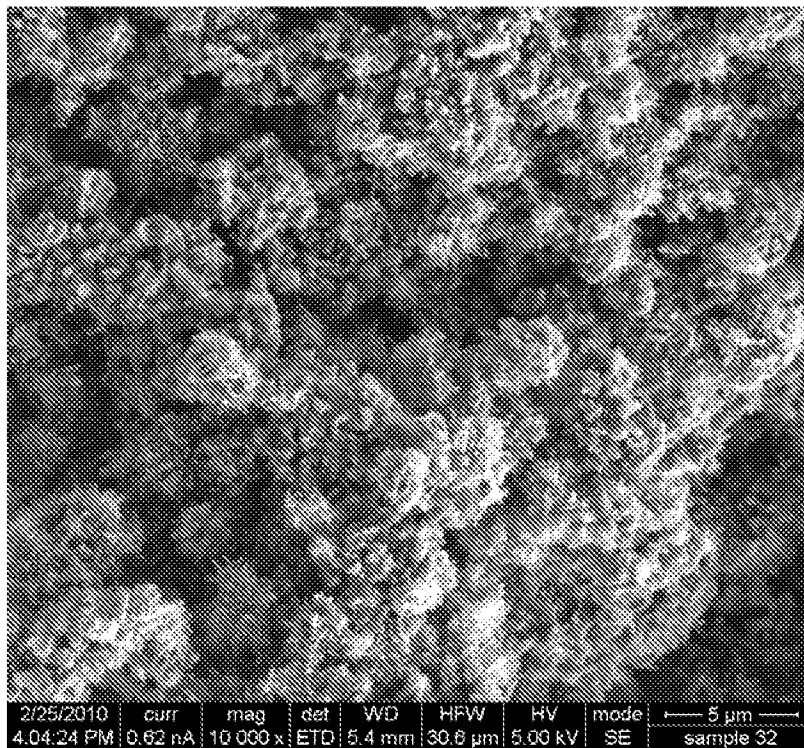


FIG. 80

46/71

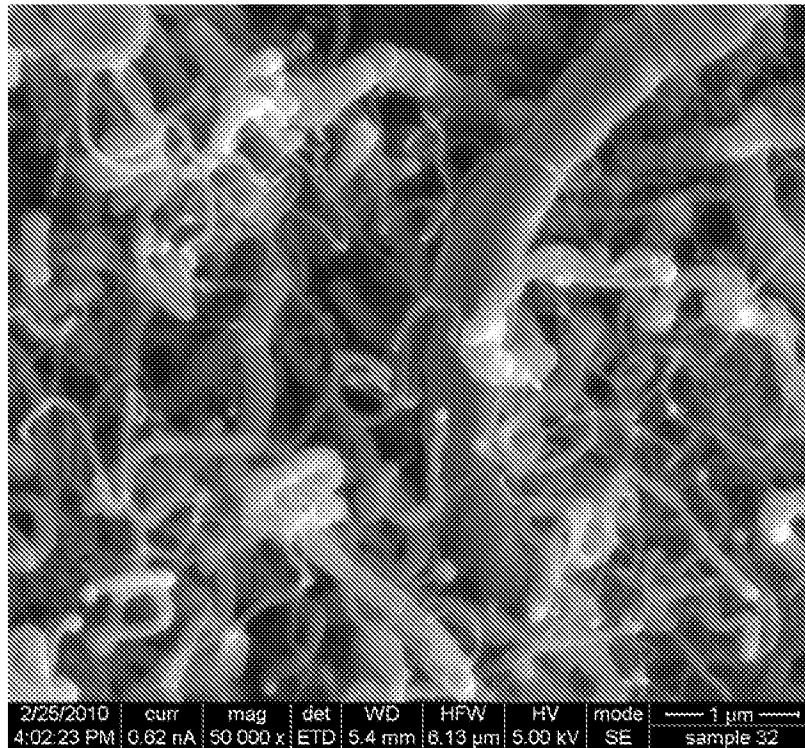


FIG. 81

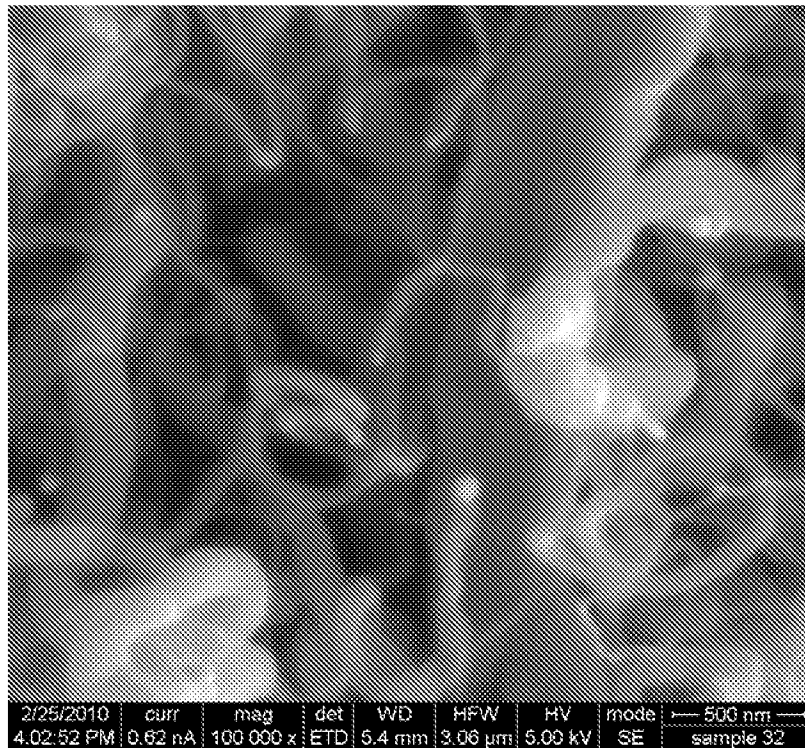


FIG. 82

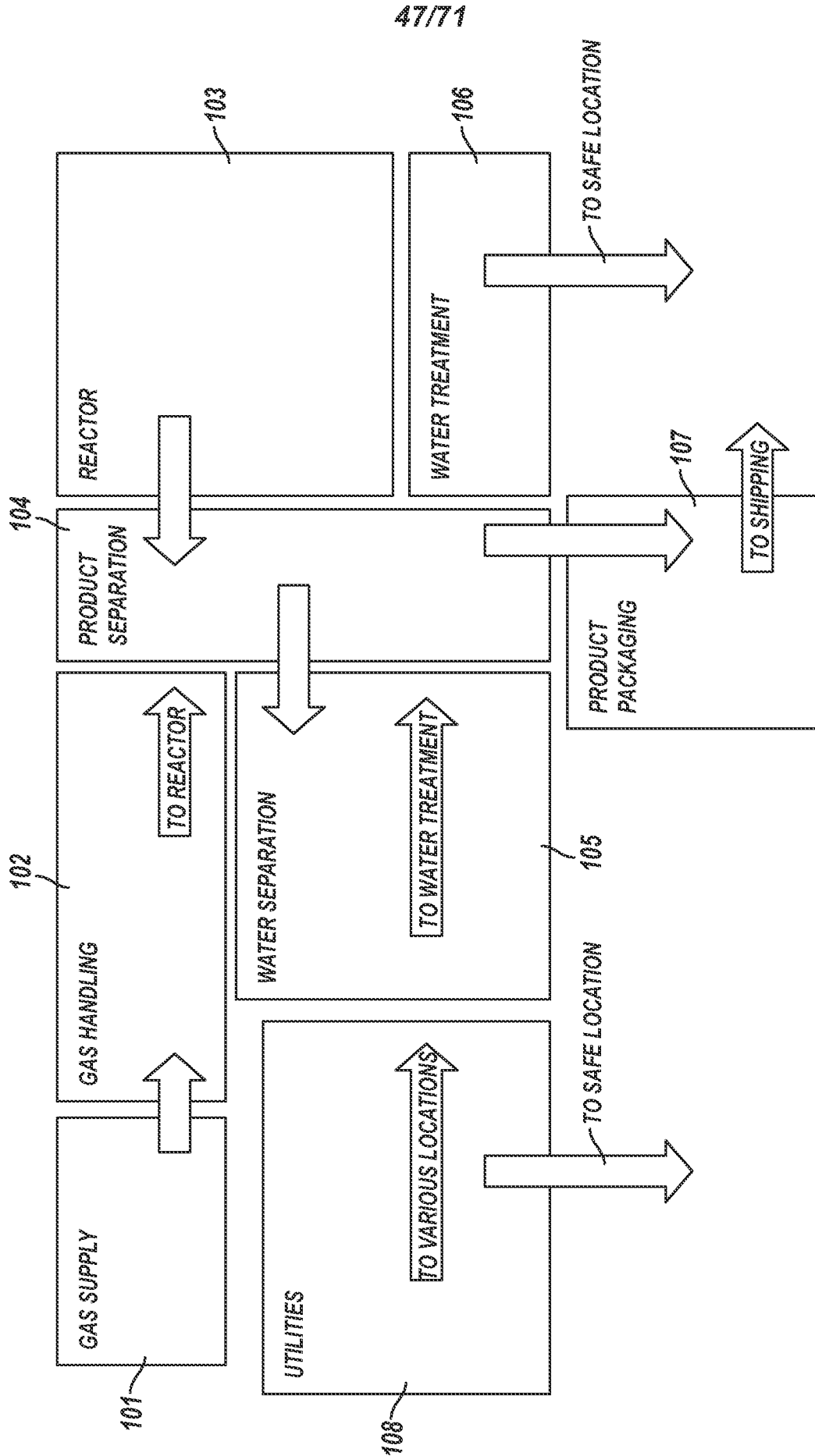


FIG. 83A

49/71

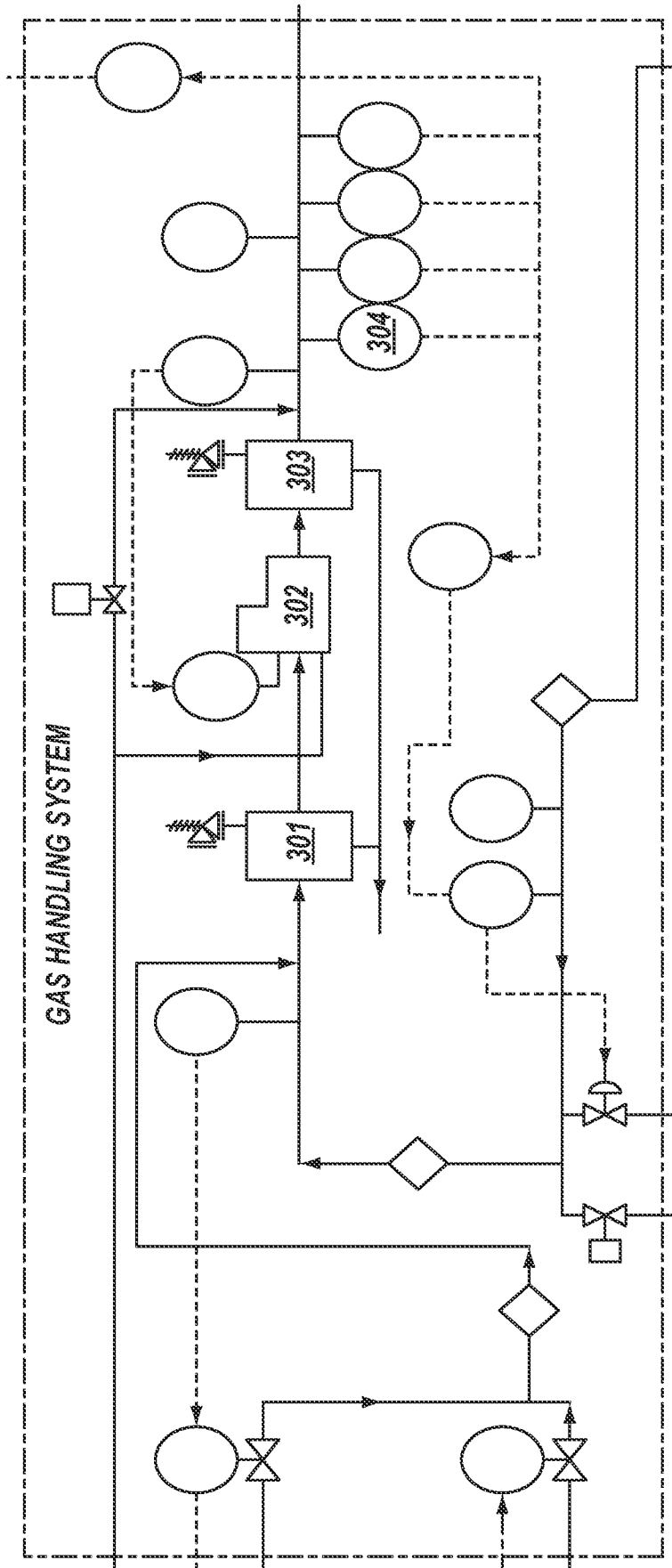


FIG. 83C

50/71

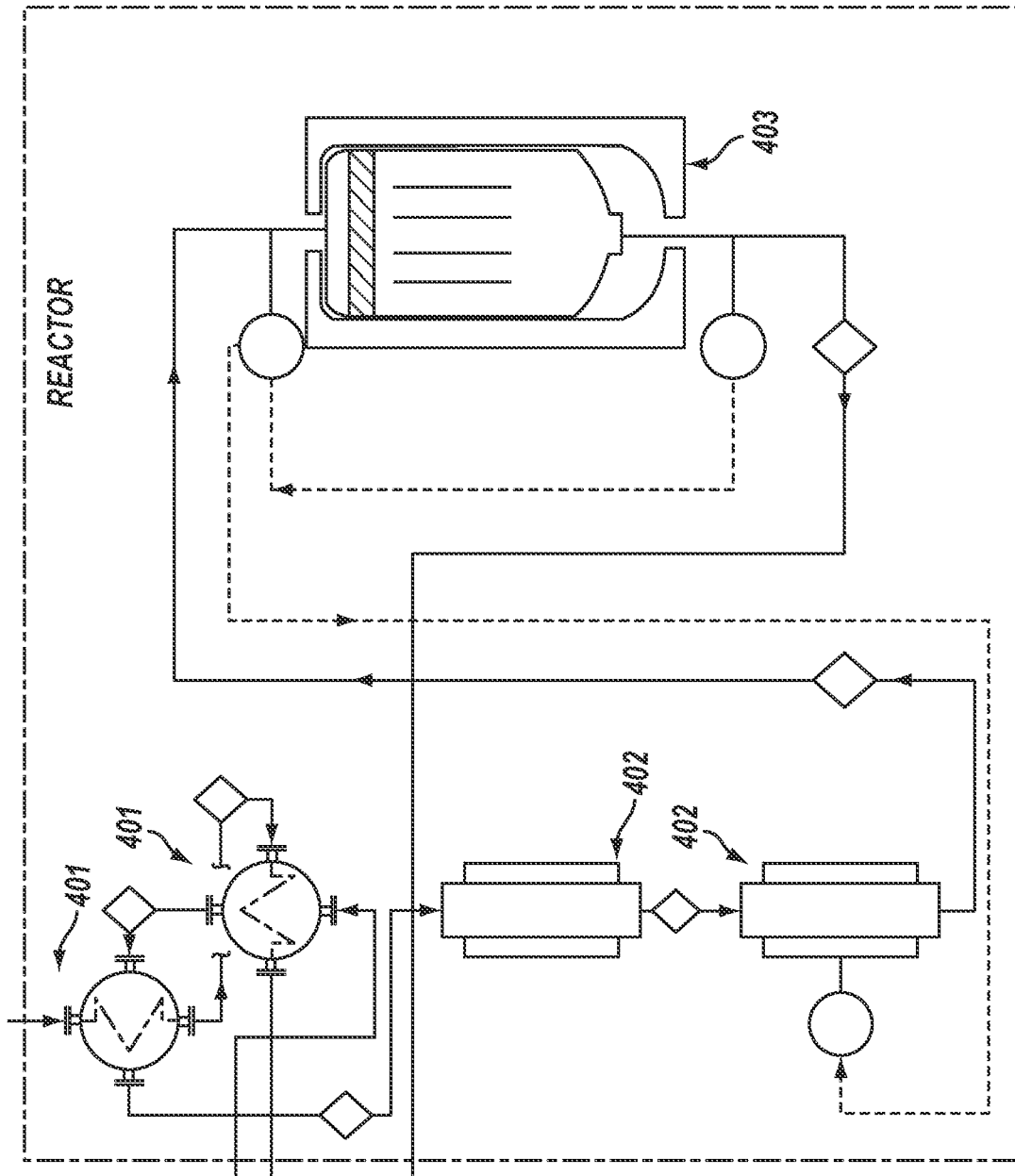


FIG. 83D

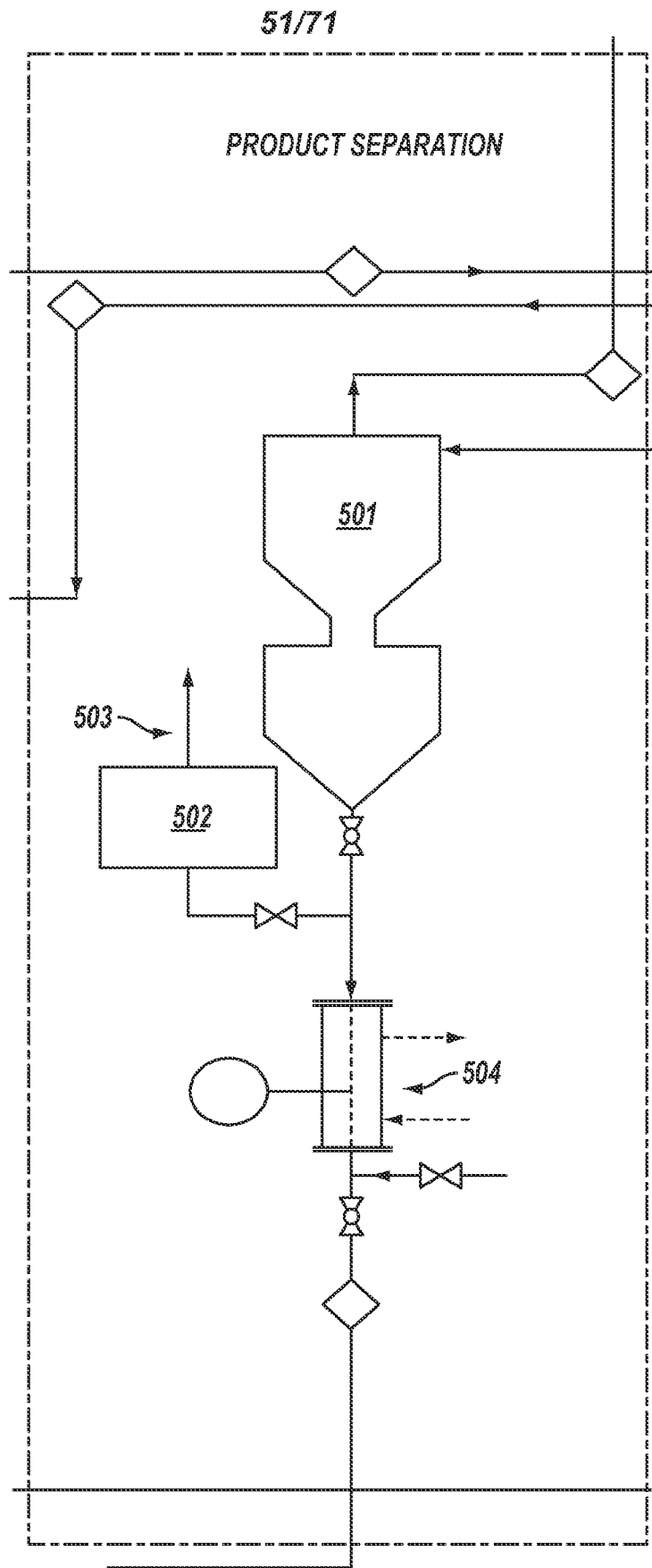


FIG. 83E

52/71

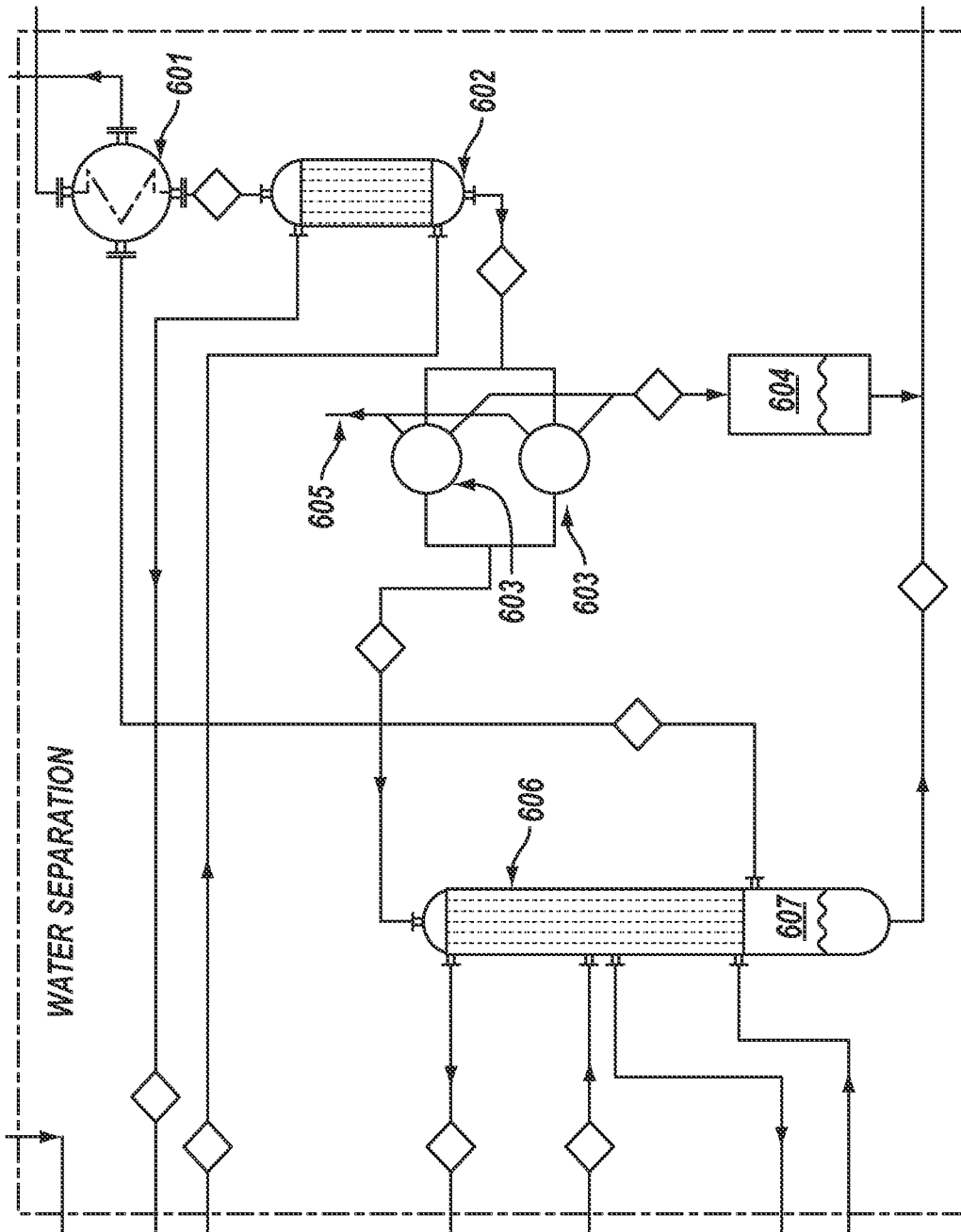


FIG. 83F

53/71

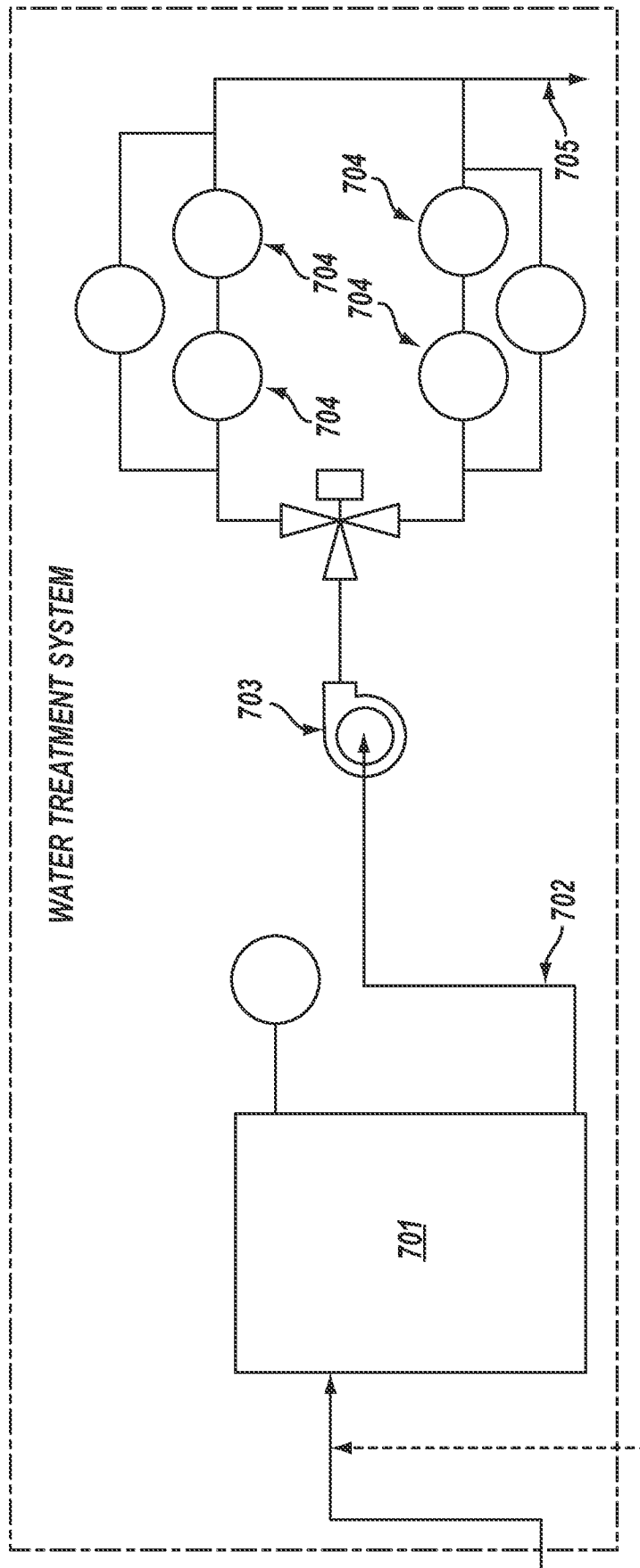


FIG. 83G

54/71

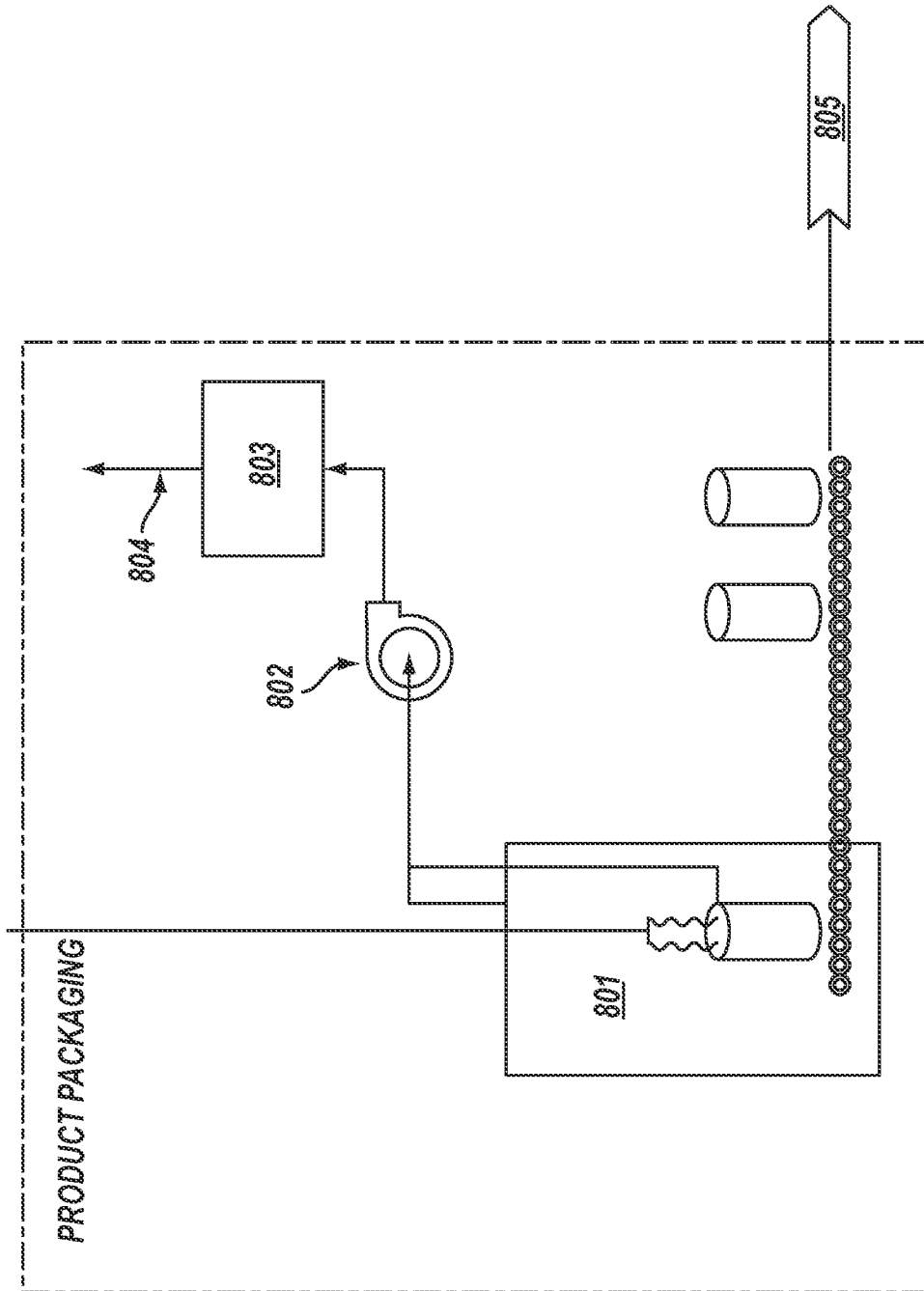


FIG. 83H

55/71

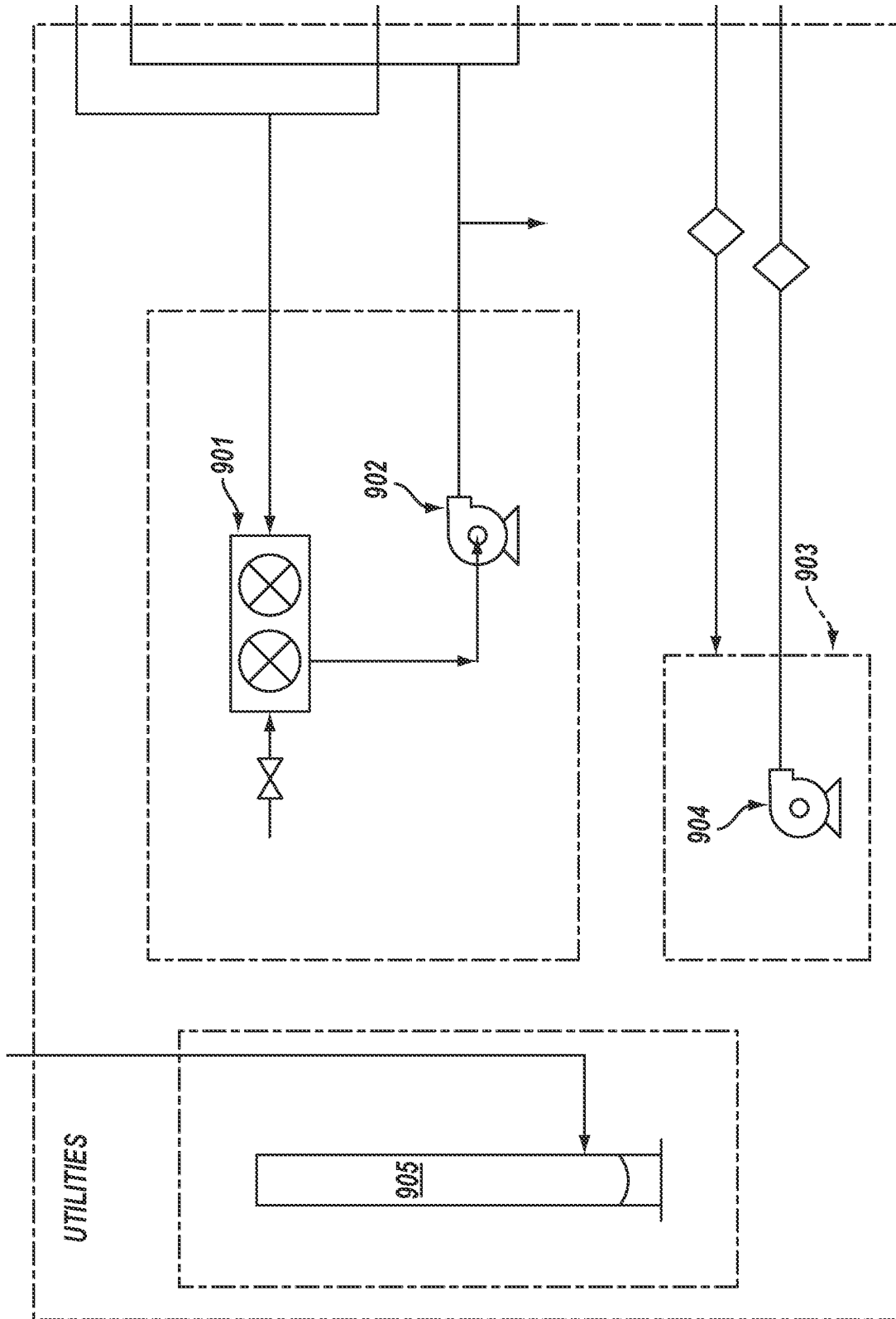


FIG. 831

56/71

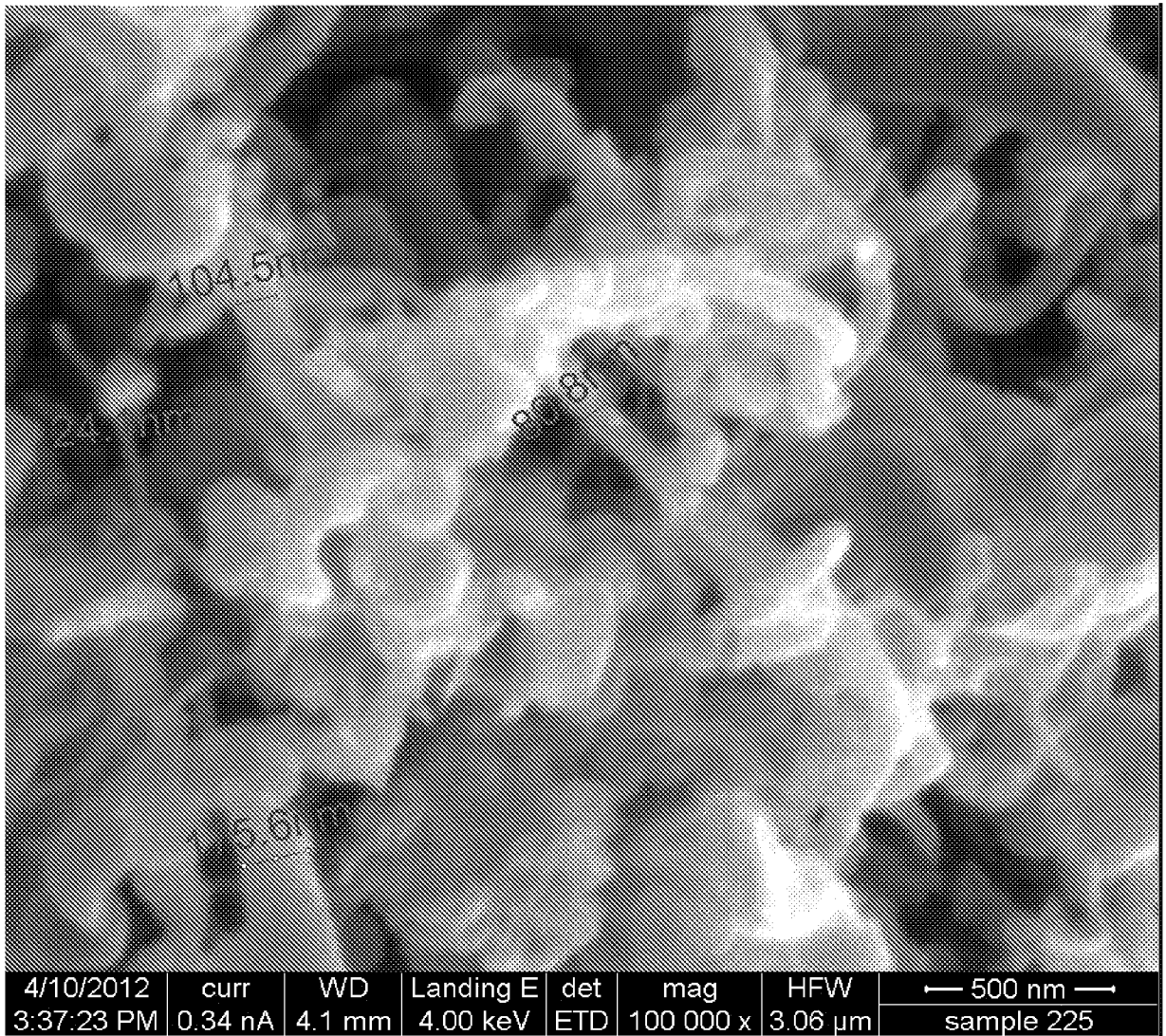
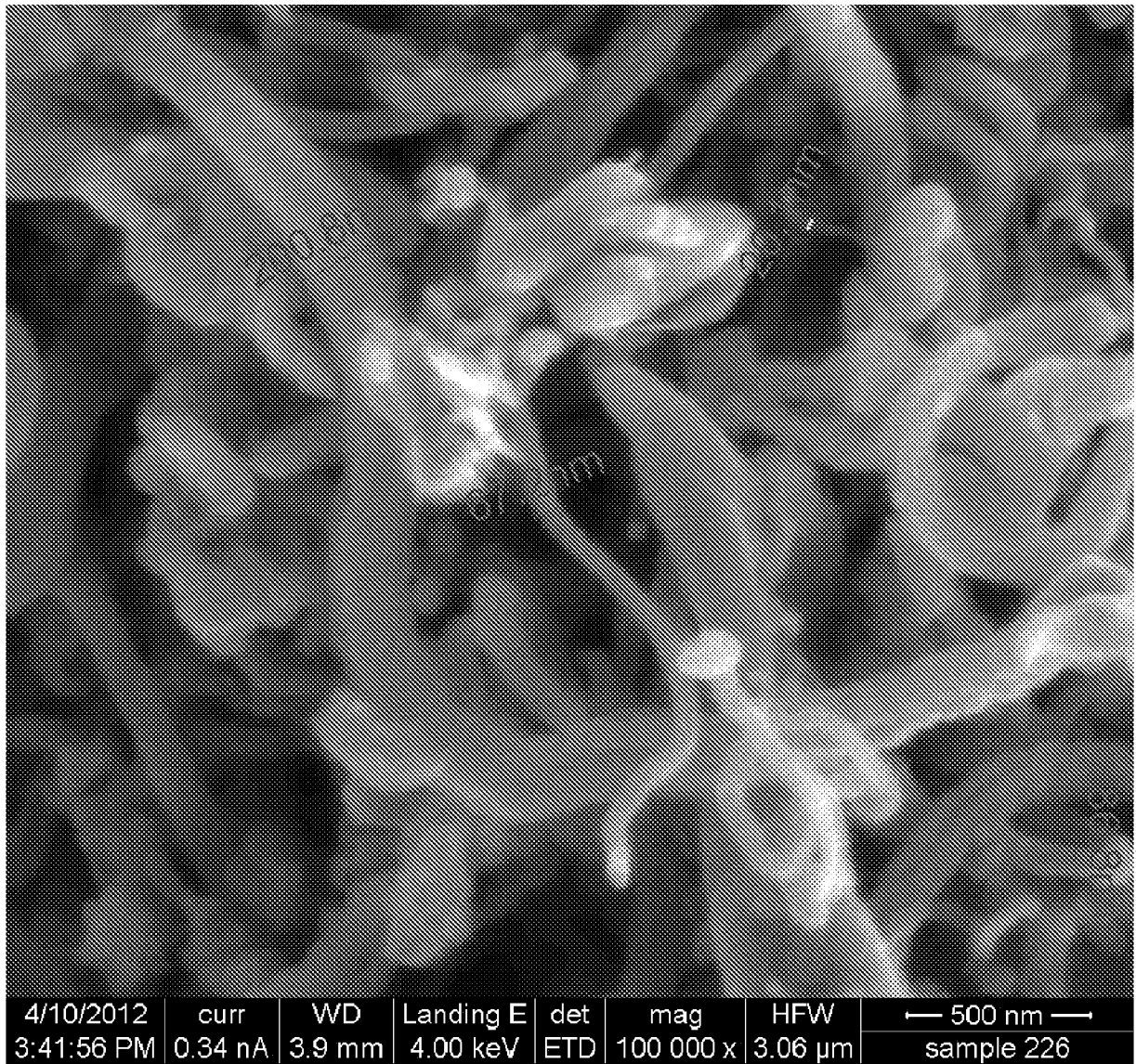


FIG. 84

57/71

**FIG. 85**

58/71

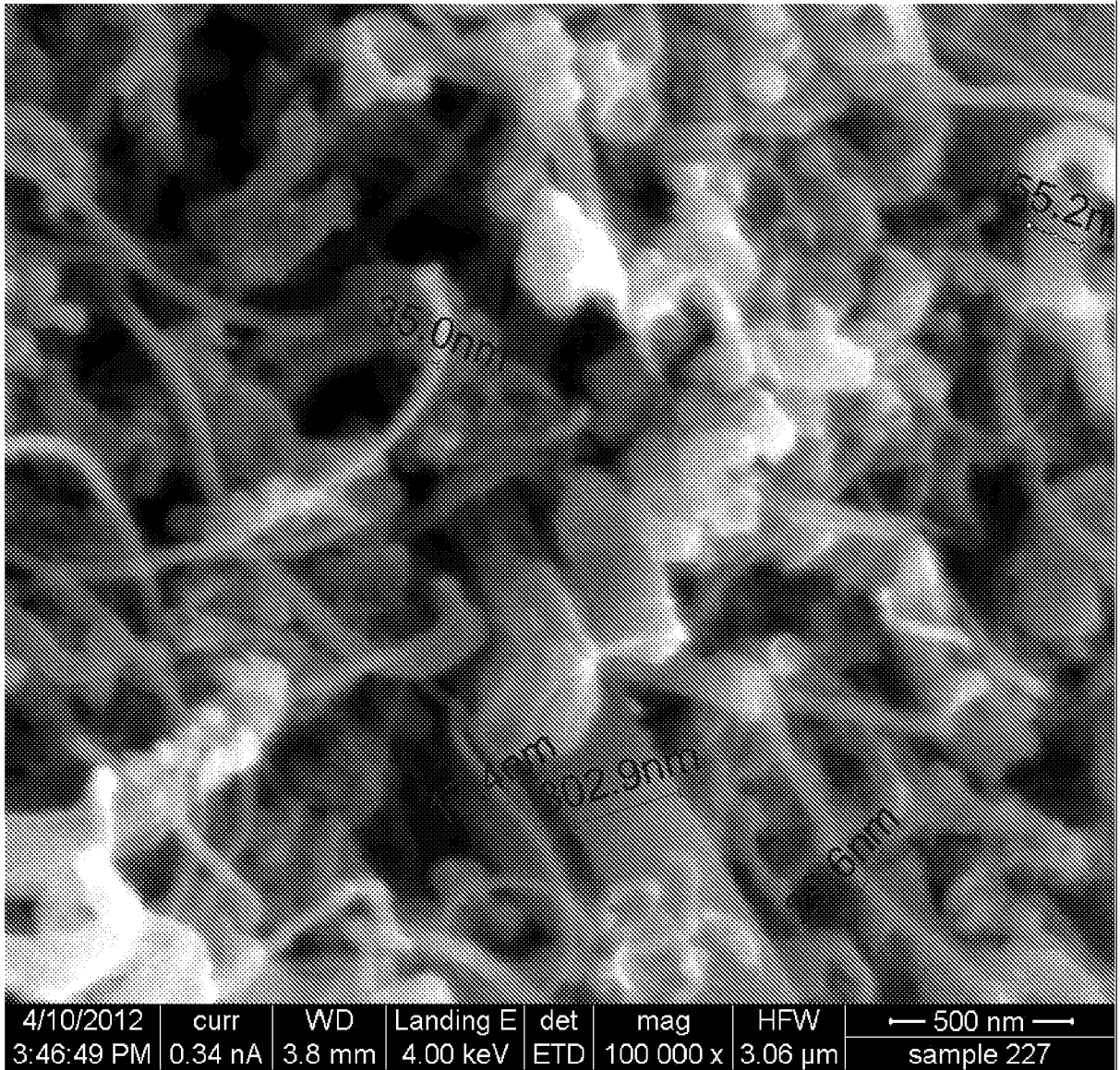


FIG. 86

59/71

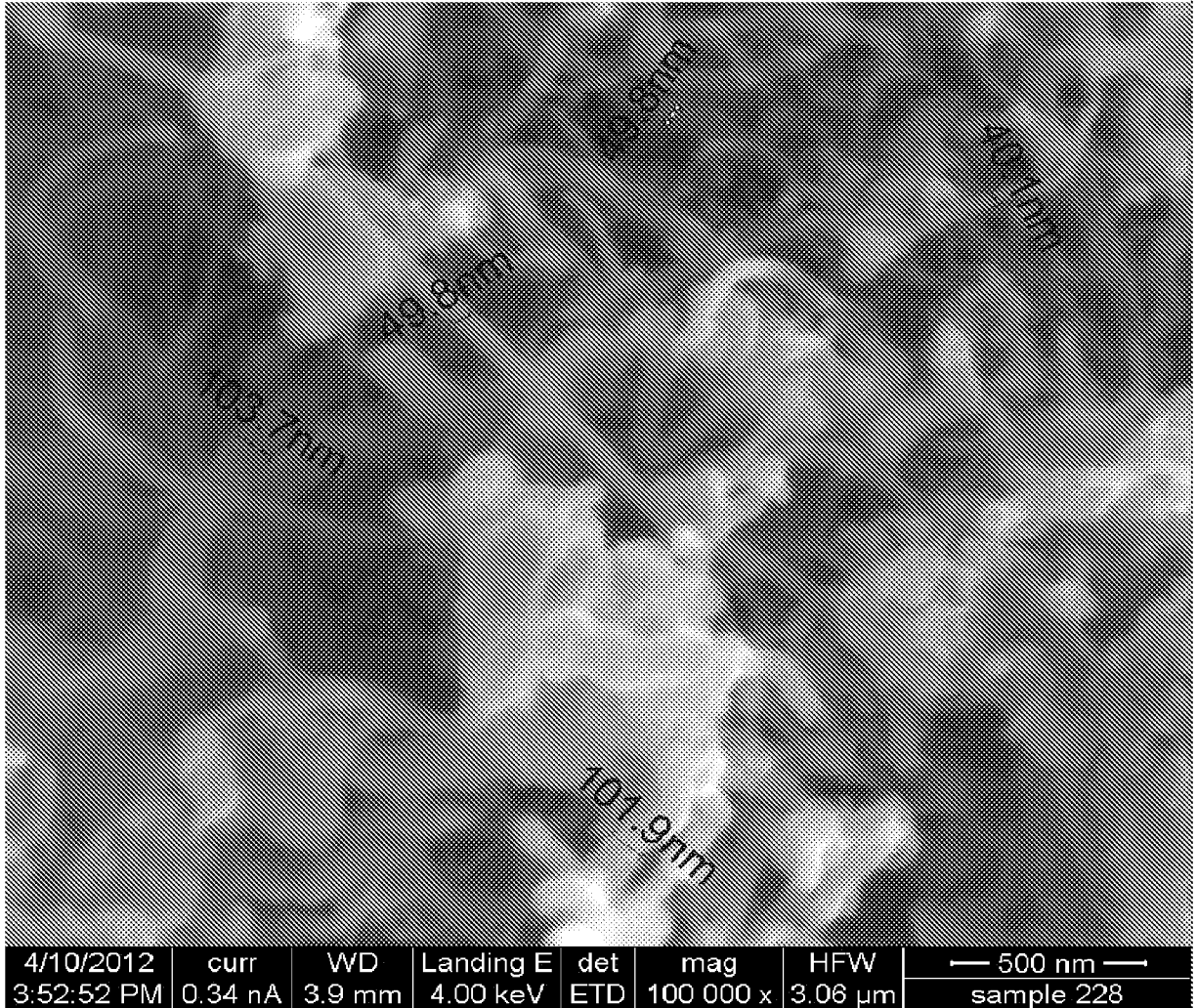


FIG. 87

60/71

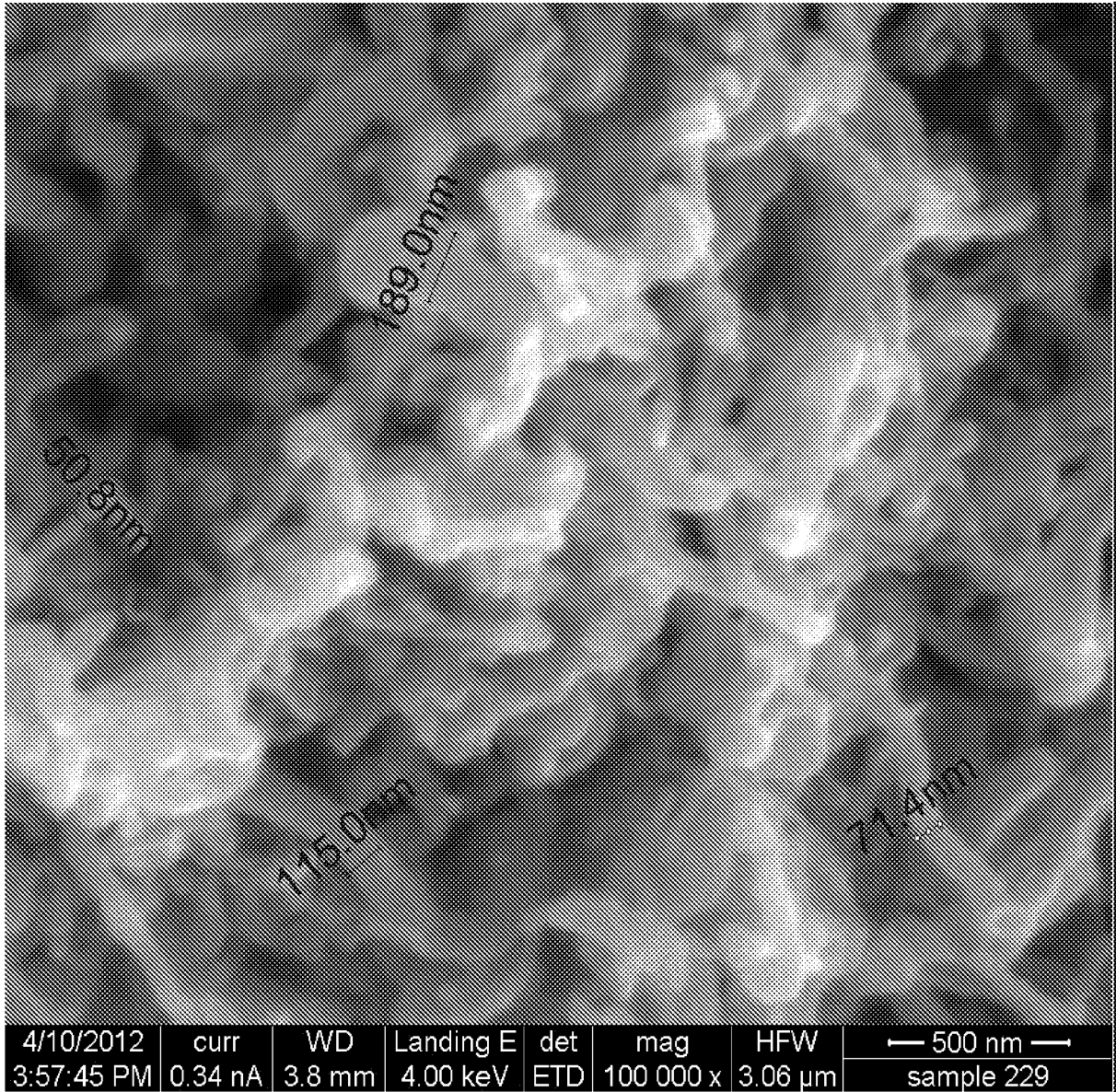


FIG. 88

61/71

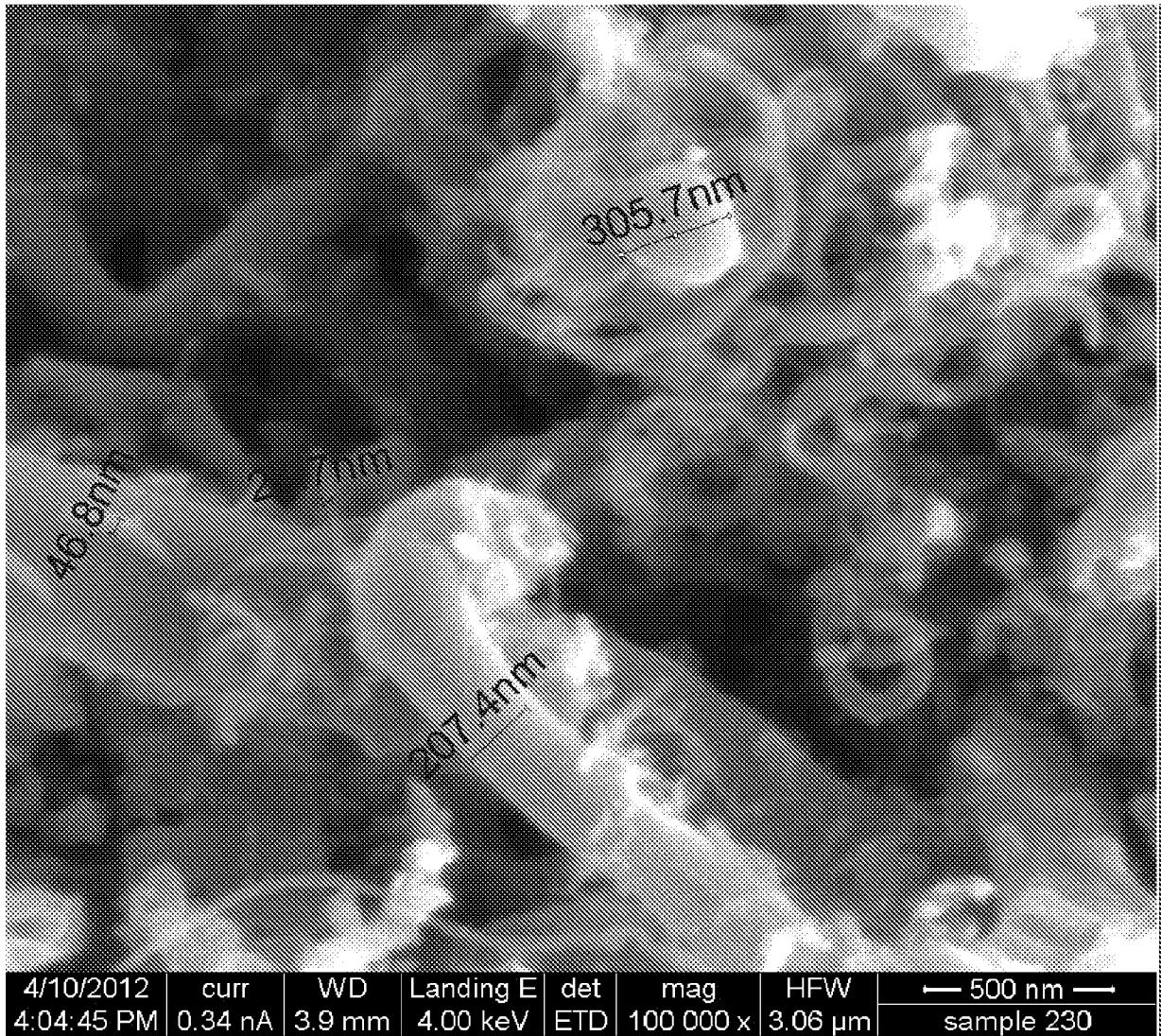


FIG. 89

62/71

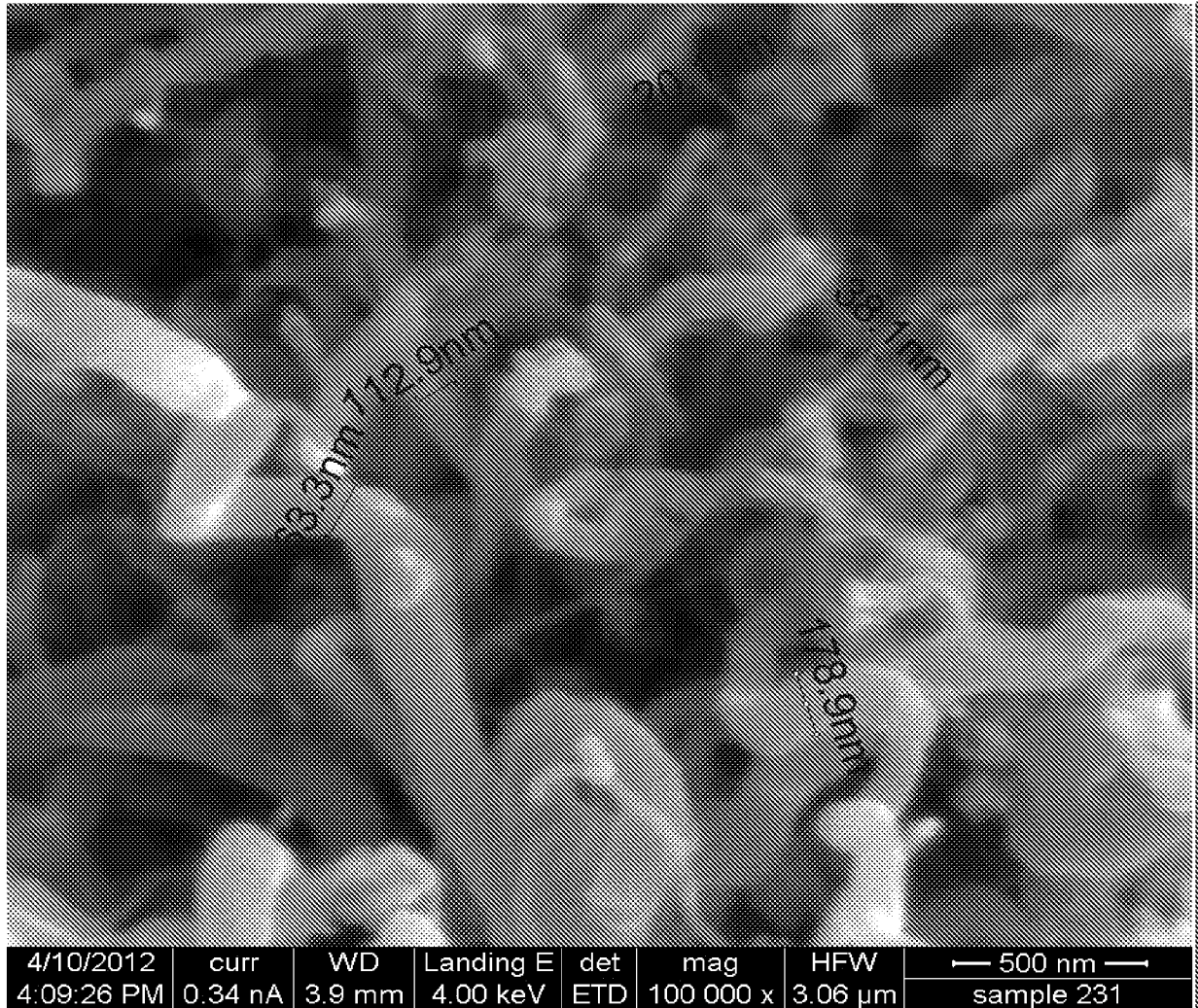


FIG. 90

63/71

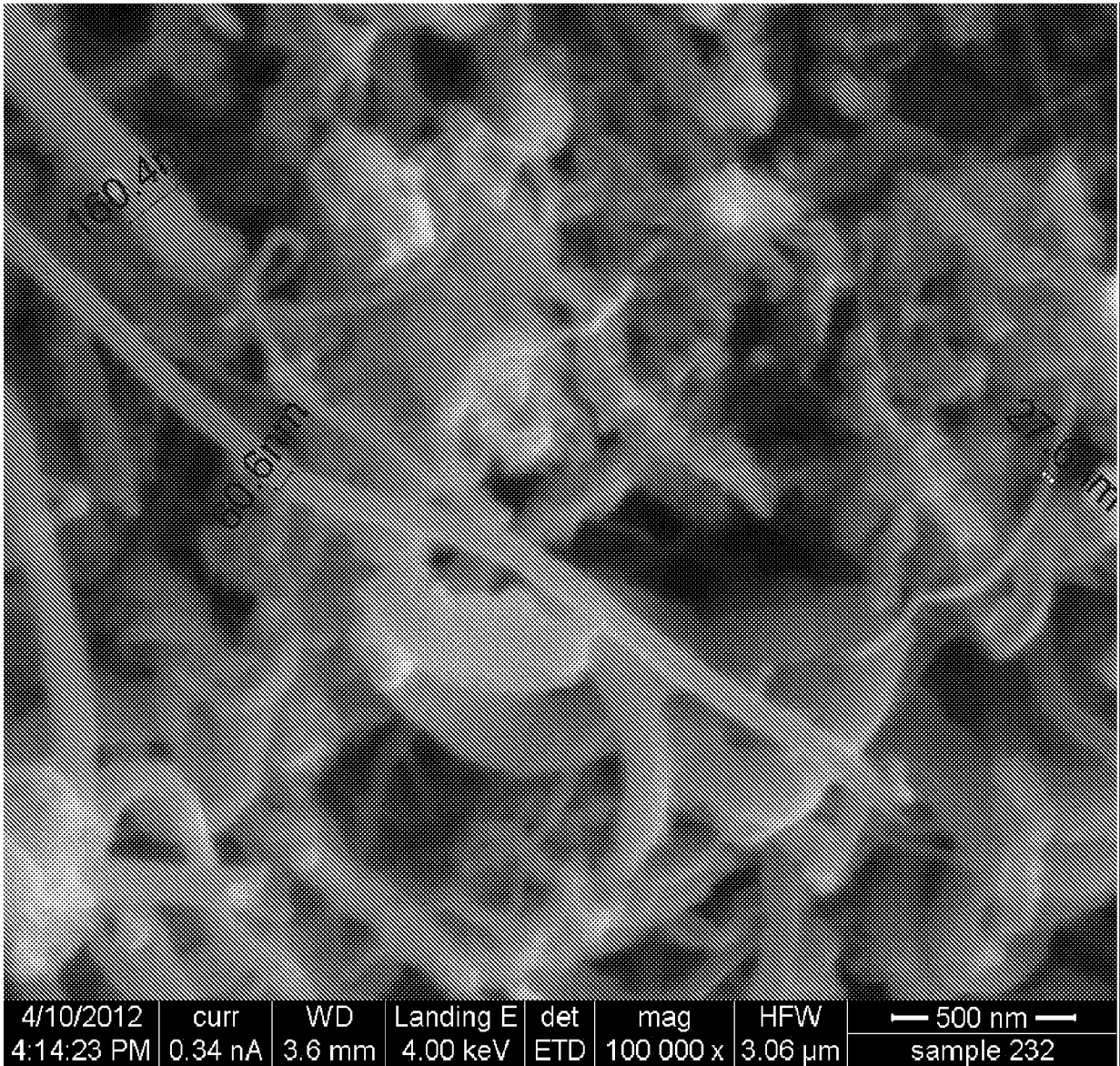


FIG. 91

64/71

{n,m} Index	E11 (x)	Diameter (nm)	Chiral Angle	E11 (y)	Solution Vector	Abundance (y)	Relative Abundance
8,4	8950.00	0.84	19.11	200.65	83.21	749.20	20.3%
6,5	10161.76	0.76	27.00	192.97	30.86	347.27	9.4%
13,2	7571.83	1.12	7.05	183.70	37.37	316.85	8.6%
7,6	8822.57	0.89	27.46	165.68	222.57	265.74	z
7,5	9684.89	0.83	24.50	169.41	145.23	247.24	6.7%
8,6	8457.11	0.97	25.29	213.18	50.50	221.55	6.0%
12,4	7346.74	1.15	13.90	179.56	30.27	194.99	5.3%
10,2	9379.09	0.88	8.95	193.51	33.41	190.32	5.2%
11,1	7815.90	0.92	4.31	254.94	67.99	175.82	4.8%
10,5	7943.83	1.05	19.11	154.94	26.33	168.56	4.6%
11,3	8279.81	1.01	11.74	181.70	23.22	138.10	3.8%
9,5	7984.13	0.98	20.63	182.46	19.99	93.98	2.6%
11,9	6249.94	1.38	26.70	183.64	18.86	87.96	2.4%
8,3	10367.29	0.78	15.30	153.28	11.31	85.17	2.3%
9,2	8688.32	0.81	9.83	254.58	17.82	79.38	2.2%
15,1	7026.50	1.23	3.20	92.68	7.68	56.61	1.5%
12,7	6428.45	1.32	21.36	161.15	6.73	47.58	1.3%
14,3	6882.02	1.25	9.52	172.58	6.50	43.00	1.2%
11,4	7236.42	1.07	14.92	98.93	10.30	42.50	1.2%
8,7	7832.60	1.03	27.80	126.94	8.76	41.27	1.1%
11,1	8511.82	0.99	3.96	125.66	5.79	38.20	0.8%
12,5	6621.14	1.20	16.63	106.84	5.01	29.97	0.8%
9,8	7068.33	1.17	28.05	154.82	3.14	17.40	0.5%
9,7	7473.05	1.10	25.87	121.50	1.85	11.47	0.3%

FIG. 92A

66/71

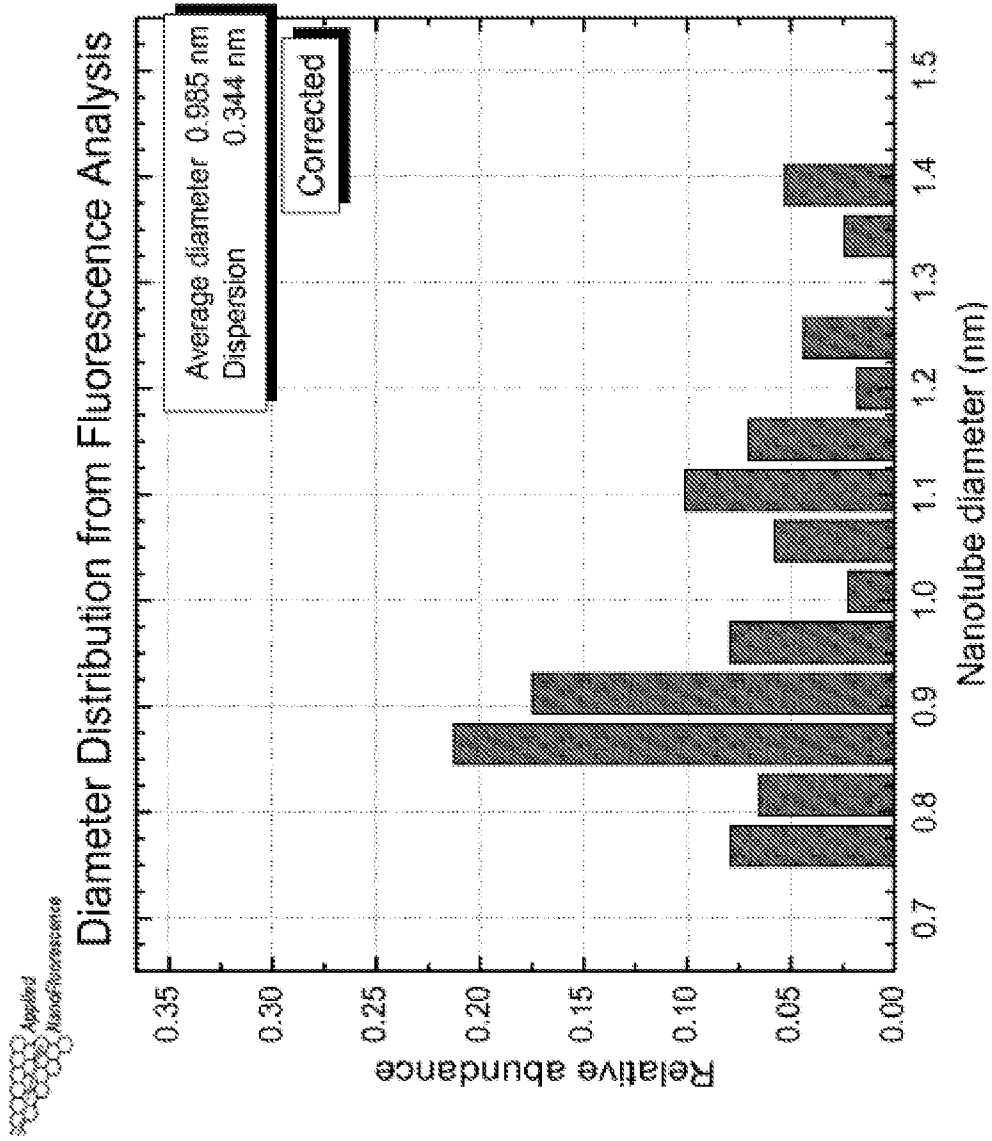


FIG. 92C

67/71

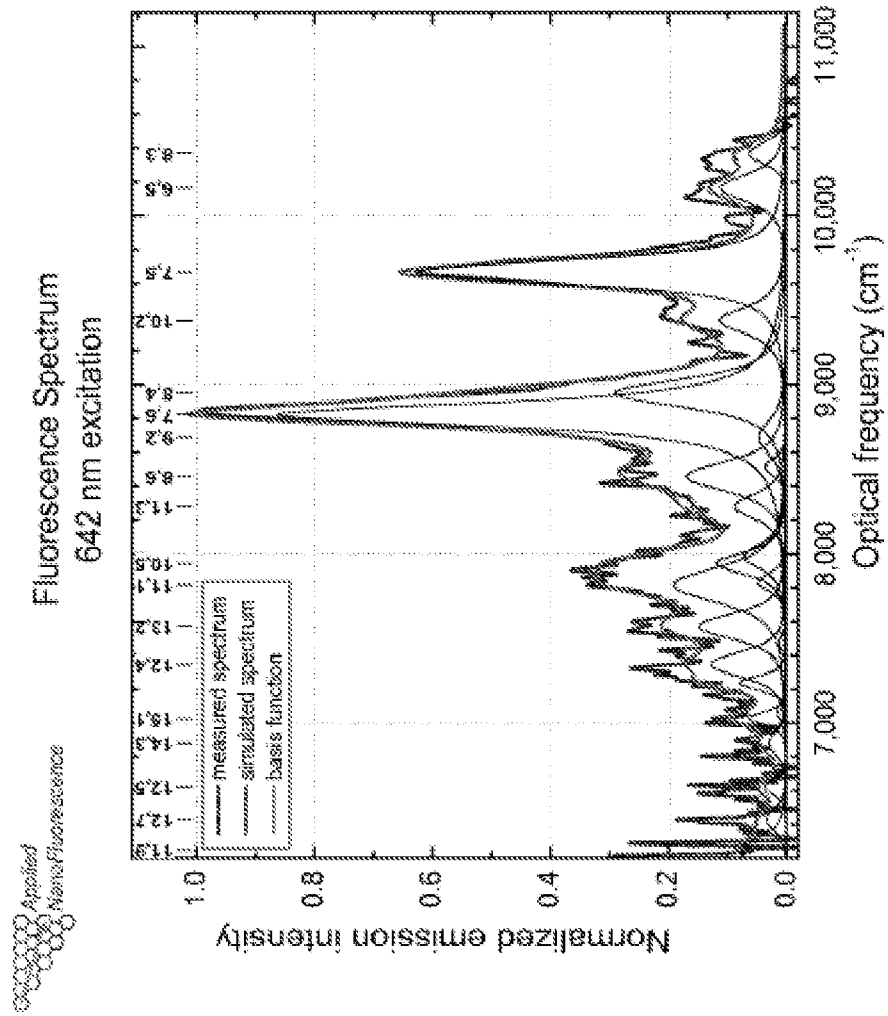


FIG. 92D

68/71

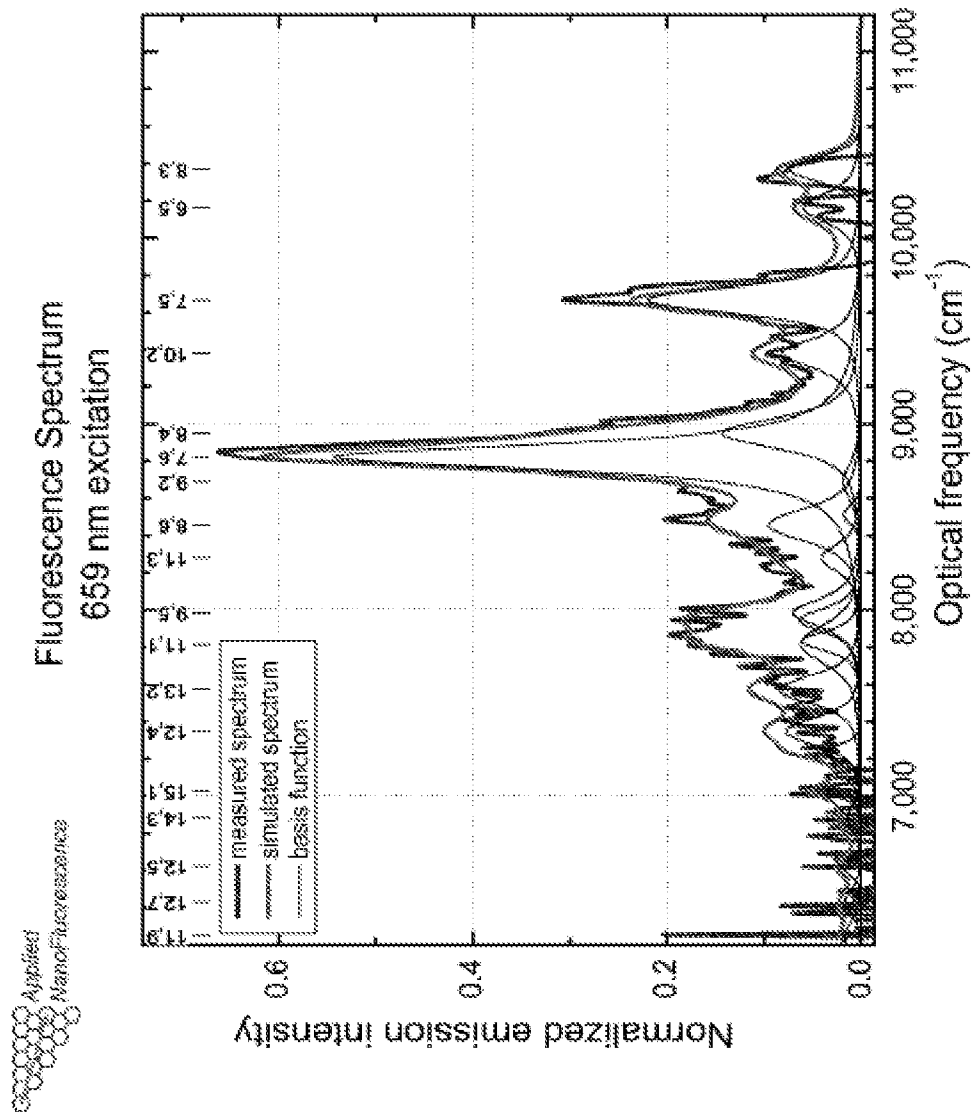


FIG. 92E

69/71

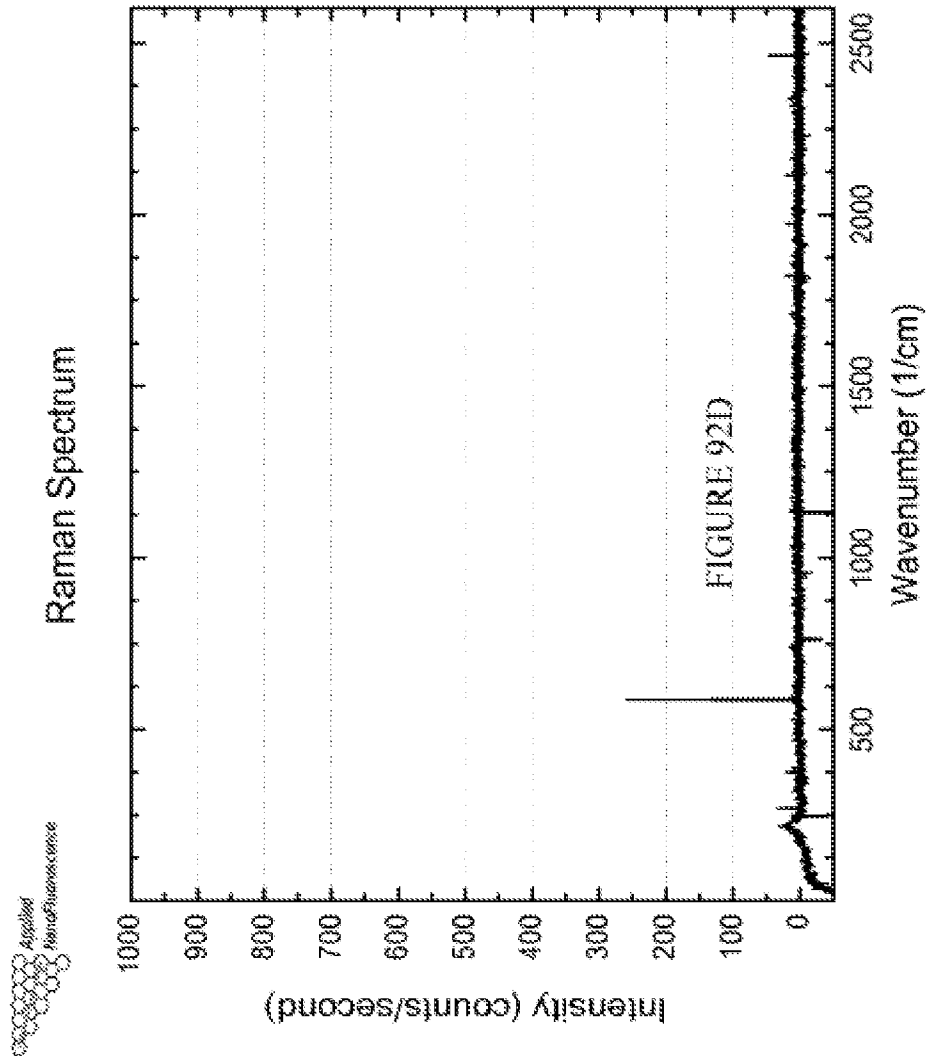


FIG. 92F

70/71

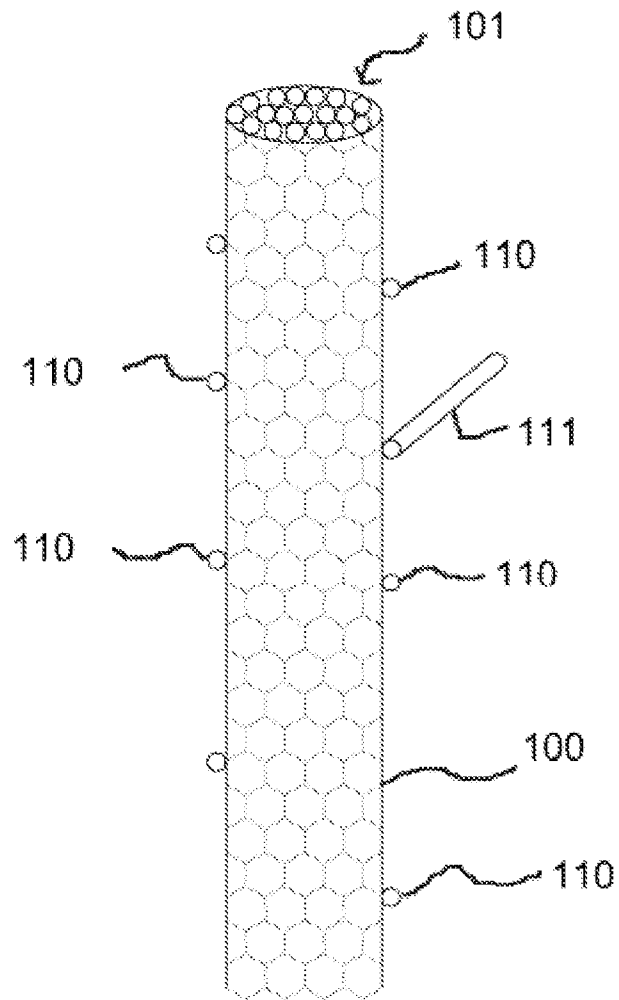


FIG. 93

71/71

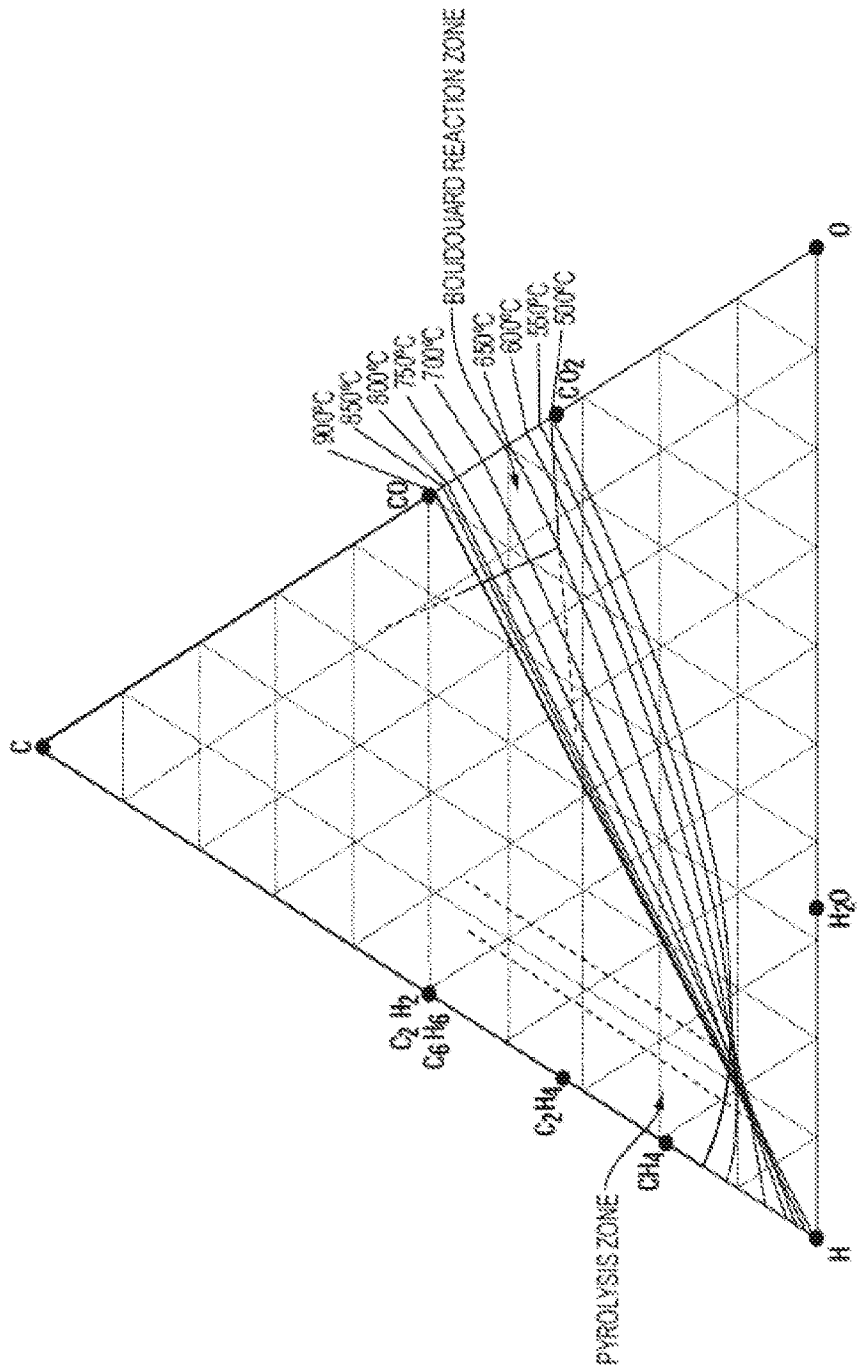


FIG. 94

A. CLASSIFICATION OF SUBJECT MATTER**C01B 31/02(2006.01)i, B82B 1/00(2006.01)i, B82B 3/00(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C01B 31/02; D01F 9/12; B32B 27/04; H04L 12/50; D01F 9/00; B82B 1/00; B82B 3/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: carbon nanotube, bimodal, diameter, resistivity, density, carbon oxide, reducing agent, catalyst

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2005-0046322 A1 (KIM, HA-JIN et al.) 3 March 2005 See abstract; claims 1, 3; paragraphs [0046], [0047], [0051]; figures 4, 5D.	1-16, 18-27
A		28-31
A	WO 2010-120581 A1 (NOYES, DALLAS, B.) 21 October 2010 See abstract; claims 1, 3, 4, 7, 9, 10, 46, 50; paragraph [0115].	1-16, 18-31
A	US 7964174 B2 (DUBIN, VALERY M. et al.) 21 June 2011 See abstract; claim 1.	1-16, 18-31
A	US 2010-0196249 A1 (HATA, KENJI et al.) 5 August 2010 See abstract; claims 1, 2.	1-16, 18-31
A	US 2006-0204426 A1 (AKINS, DANIEL L. et al.) 14 September 2006 See abstract; claim 1.	1-16, 18-31

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family


Date of the actual completion of the international search

12 July 2013 (12.07.2013)

Date of mailing of the international search report

12 July 2013 (12.07.2013)

Name and mailing address of the ISA/KR


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Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: 17
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
Because 480 kilograms per cubic meter is greater than 20 pounds per cubic foot, the compacted density in claim 17 cannot fulfill the requirement of both "about 20 pounds per cubic foot" and "greater than 480 kilograms per cubic meter". Thus, it is not possible to clearly define the subject matter for which protection is sought for claim 17.

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/000079

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		WO 2006-083357 A3	04/01/2007