

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 June 2006 (22.06.2006)

PCT

(10) International Publication Number
WO 2006/065806 A2

(51) International Patent Classification:
B29B 9/08 (2006.01)

(21) International Application Number:
PCT/US2005/045047

(22) International Filing Date:
14 December 2005 (14.12.2005)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/635,759 14 December 2004 (14.12.2004) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

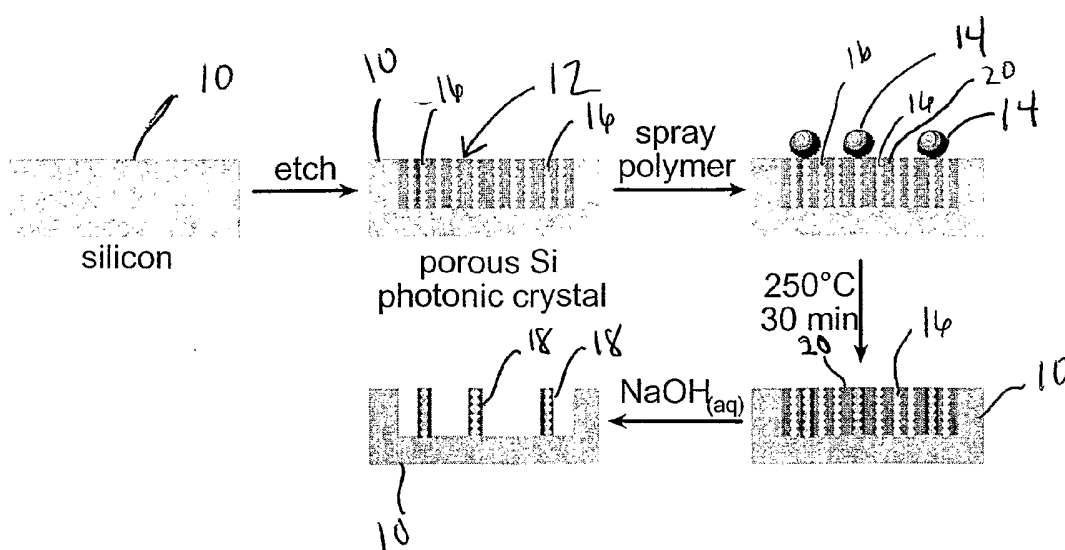
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: POLYMER COMPOSITE PHOTONIC PARTICLES



(57) Abstract: A method for forming photonic particles, where the method includes the steps of preparing a porous photonic material layer, patterning a soluble polymer on the porous photonic material layer, leaving dividing portions of the material layer untreated, infusing the polymer into the material layer, and removing the dividing portions of the material to obtain the photonic particles.

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POLYMER COMPOSITE PHOTONIC PARTICLES

TECHNICAL FIELD

A field of the invention is optics. Another field of the invention is
15 photonic structures.

BACKGROUND ART

Photonic crystals constructed from porous Si and other materials may
be achieved by a computer-controlled electrochemical etch process. Films of porous
20 Si photonic crystals and other materials may be converted into coded small particles
(so-called "smart dust"), and the "smart dust" particles may in turn provide for a host
of applications, such as chemical and biological sensing, high-throughput screening,
controlled-release drug delivery, and many other uses. *In vivo* applications are
possible with benign materials such as silicon, and other materials including
25 semiconductors and insulators may be used for encoding. In addition to the coded
"smart dust," other types of photonic crystals have applications in sensing, detection
and other uses.

30

DISCLOSURE OF INVENTION

A method to construct photonic particles consisting of a porous Si one-
dimensional photonic crystal infused with a polymer is described. The particles are
generated by depositing a fine mist of polymer solution onto a photonic crystal layer
and then removing the uncoated material by chemical dissolution.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a schematic diagram illustrating preparation of polymer/porous Si photonic crystal nanocomposites according to one embodiment of the invention;

FIG. 2 is a graph illustrating normal-incidence white light reflection spectra obtained in situ from a porous Si photonic crystal containing polystyrene domains;

FIG. 3 is a scanning electron micrograph image of porous Si/polymer nanocomposite prepared by microdroplet deposition;

FIG. 4a is a scanning electron micrograph image of porous Si photonic crystals patterned by spray-coating polystyrene solutions through filter masks where micron-sized features generated using a polycarbonate membrane filter;

FIG. 4b is a scanning electron micrograph image of porous Si photonic crystals patterned by spray-coating polystyrene solutions through filter masks where regular squares 50 μm on an edge, patterned using a copper TEM grid;

FIG. 5 is an optical photograph of a porous Si polystyrene nanocomposite photonic crystal array of 200 μm -wide squares, generated using a copper grid shadow mask.

FIG. 6 is a schematic diagram illustrating preparation of polymer/porous Si photonic crystal nanocomposites according to another embodiment of the invention;

FIG. 7a is a white light microscope image of precursor polymer microbeads resting a surface of a porous substrate;

FIG. 7b is a white light microscope image of the substrate after the precursor beads have been heated; and

FIG. 7c is a scanning electron micrograph image of the polymer microbead replicates after having been lifted from the surface of the substrate.

BEST MODE FOR CARRYING OUT THE INVENTION

Complex coding of porous materials with computer controlled etching conditions has been demonstrated, and division of a porous film including one or

more codes in the porous structure (or more generally any photonic crystal) into particles may be accomplished by numerous methods, such as, for example, ultrasonic fragmentation, photolithography, or soft lithography. However, these methods of division are not without problems.

5 Ultrasonication of the porous film produces fragments with sharp edges and poorly controlled sizes, an undesirable feature for *in vivo* applications. While photolithography offers greater control over fragment shape, it is also more expensive and time-consuming. Dry-removal soft lithography, in which an elastic stamp is pressed into a heated porous Si film, offers a rapid method to produce
10 micron-sized porous Si features with well-controlled shapes. However, dry-removal soft lithography requires a pre-patterned master, and while it is possible to obtain particles having rounded edges using this process, it is difficult to obtain such rounded particles, nor is the process reliable for obtaining same. Additionally, the particles resulting from dry-removal soft lithography are typically open-pore structures that
15 suffer from chemical and optical instability

 The present invention provides a method for forming coded particles, as well as a method for dividing a coded porous film into small particles. A preferred method of the invention is similar in nature to soft lithography, but advantageously does not require a pre-patterned master. In embodiments of the invention, spray
20 deposition is used to deposit small polymer drops onto a porous film having a coded porous structure, such as a porous Si film, a porous SiO₂ film, or a porous alumina film, but which is also applicable more generally to photonic films.

 The polymer drops deposited on the porous film act as etch masks and also serve to stabilize the resulting microscopic porous photonic crystals. This
25 microdroplet patterning method represents a simple and inexpensive technique to produce large quantities of uniform and stable photonic crystal particles. Well established techniques known to those skilled in the art, such as ink-jet printing or nozzle spraying, provide an exemplary basis that may be used to control the size of micron-sized and smaller particles. In addition, commercially available polymer
30 beads may be melted into the pores to obtain particles having highly uniform sizes (on the order of 10 microns).

It should be noted that the preferred embodiments will be discussed along with some exemplary experimental results, and the experimental results should not be construed as limiting the scope of the preferred embodiments.

FIG. 1 illustrates the first preferred method, where rugate filter particles having a predetermined size and configuration are formed using a silicon template and spray deposition. A film, preferably a silicon film 10, is provided, and subsequently etched to obtain a desired porous silicon template, generally at 12. The porous silicon template 12 includes a desired nanostructure, upon which template a desired polymer solution 14 is impinged and infuses pores 16 of the porous silicon template. The solution 14 is fixed within the pores 16, and portions of the template 12 not having polymer solution disposed thereon or therein are subsequently removed, resulting in particles 18 having the desired size, configuration and composition.

While the invention anticipates use of a wide array of molecules and pharmaceutical candidates, for purposes of illustration only, some particular candidates include polyesters, poly (lactic acid), PLGA, collagen, polypeptides such as zein (a natural polymer derived from maize), and hydrogels.

Exemplary experimental values will be discussed in connection with FIG. 1, to illustrate one preferred embodiment more particularly. The porous silicon template 10 is preferably a rugate filter, which exhibits a sinusoidal variation in porosity with depth, and is prepared in porous Si. The resulting structure is a 1-dimensional photonic crystal that displays a sharp resonance in the reflectivity spectrum. The solution 14 is a fine mist of polystyrene solution in toluene, (for exemplary purposes only, such as 16% by mass solution), which is then impinged on the surface by spray-coating using a commercial artist's air brush. Many other patterning techniques are also possible. Inkjet printing and other droplet patterning and spray techniques may be used, for example. Areas not having polymer deposited thereon may be considered "dividing portions" 20, as the dividing portions will be removed and the portions with polymer deposits will eventually form the particles 18.

While it is contemplated that the temperature and pH at which infusion is carried out may differ to suit individual applications, in one exemplary experiment, the sample is heated in an oven at 250 °C for 30 min to infuse the polymer into the porous matrix. The uncoated porous Si is then removed, such as by treatment with an aqueous base. In the exemplary embodiment, the aqueous base is provided as a pH 10

buffer. Treatment with aqueous base is a common means to remove sacrificial porous Si layers surrounding structures that have been chemically modified or masked using conventional lithography. The resulting particles are polymer composite materials having superior physical characteristics, such as having smooth features and being
5 both mechanically and optically stable. The polymer does not interfere with the optical properties of the silicon template, and embodiments of the invention include, for example composite polymer Rugate filters and composite polymer smart dust particles.

To illustrate the efficiency of one exemplary method for dissolving the
10 dividing portions, reflectivity spectra obtained from the microdroplet-patterned porous Si film upon immersion in a buffer solution, such as a pH 10 buffer solution, provide a convenient means to monitor dissolution of the unmasked regions. For example, spectra obtained from an area on the film of ~1 mm in diameter initially display two main peaks (FIG. 2). The polymer domains are much smaller than the 1
15 mm spot size of the spectrometer, and the two peaks correspond to a superposition of the solution-filled (FIG. 2, "bare") and the polymer-filled (FIG. 2, "with polymer") porous Si photonic crystal. The highest energy peak at 520 nm in FIG. 2 is associated with regions that contain the base solution, and the peak at 550 nm corresponds to the polymer-infused domains. The spectrum from the polymer-infused photonic crystal is
20 red-shifted due to the larger refractive index of polystyrene relative to the aqueous base solution.

Thus, as illustrated in the exemplary results of FIG. 2, as the uncoated dividing regions of the porous Si layer dissolve in the aqueous base solution, the resonance from the photonic crystal (initially at 520 nm) blue shifts and decreases in
25 intensity. After several hours, the unprotected porous Si layer has completely dissolved and only the resonance associated with the polymer-infused material (at 550 nm) remains. Base treatment does not significantly shift or diminish this peak, indicating that polystyrene is effective at protecting porous Si from corrosion by aqueous base. A broad background signal, corresponding to reflection of the
30 illumination source from the bare silicon substrate, grows in as the unprotected porous Si layer is removed. This white light background reduces the fidelity of the signal coming from the polymer-infused photonic crystal domains remaining on the substrate. Side bands of the fundamental resonance from the photonic crystal are also

apparent in the spectra at longer wavelengths. After treatment with base, the composite particles can be removed from the substrate by mechanical scraping or by ultrasonication.

Microdroplet patterning provides disc-shaped particles without sharp edges, as revealed by scanning electron microscopy (FIG. 3). A porous filter or screen placed between the sprayer and the sample provides additional control over the shape and size distribution of the nanocomposite particles. Micron-sized photonic crystals are generated when a Poretics polycarbonate membrane filter containing 20 μm -diameter pores is placed between the spray nozzle and the porous Si film, 2 mm from the wafer (FIG. 4a). Regularly spaced photonic crystal squares measuring from 50 to 200 μm on an edge result if a copper transmission electron microscopy grid of the appropriate dimensions is placed on the surface of the film prior to spraying (FIG. 4b). The structures retain their photonic features after base treatment, and appear uniform under an optical microscope (FIG. 5).

The microdroplet patterning provides a general means to fabricate composite photonic crystal particles from a porous Si multilayer and a polymer or other soluble material. The nanocomposites possess stable spectral features and increased resistance towards corrosion.

In another preferred embodiment, small polymer beads, such as polystyrene beads, were melted into the pores of a porous template. Polystyrene beads, for example, are commercially available and include very tight size distributions, which when used with methods of the invention, result in disk-shaped particles having highly uniform sizes (on the order of 10 microns). This method is especially advantageous in the fields of biological and chemical screening and drug delivery, to name a few. In biological and chemical screening applications, optically encoded porous silicon substrates can be used as the substrate of predetermined porosity, thus transferring the optical code from the electrochemically programmed porous silicon to the polymer microbead replicate.

More particularly, commercially available polymeric microbead precursors, which preferably exhibit monodisperse size distributions, are obtained. Monodispersity of the polymeric microbead precursors is preferred insofar as it provides for batches of replicate microbeads having a similar monodispersity to the precursors. Monodispersity of the polymeric microbead precursors is especially

preferred in applications such as biological screening because it ensures that each microbead replicate includes a similar number of chemical probes, which in turn contributes to more precise assay results.

FIG. 6 illustrates an exemplary method using the polymeric microbeads. Prior to performing the patterning process, the stock suspension of precursor polymeric microbeads should be washed in ethanol. First, the porous silicon film 10 is etched to obtain the porous silicon template 12. Next, the precursor polymeric microbeads, generally at 22, are dispersed onto either a surface of a soft polymer pad 24 (shown) or directly onto the porous silicon template 12. The precursor polymeric microbeads 22 disposed on the soft polymer pad 24 are then exposed to the surface of the porous template 12, such as by pressing and brushing the precursor polymeric microbeads and soft polymer pad 24 onto the template. Brushing is completed when most of the precursor polymeric microbeads 22 are not in contact with neighboring precursor polymeric microbeads.

Next, the precursor polymeric microbeads 22 are softened by heating and allowed to infiltrate into the porous matrix 26 of the silicon template 12 to obtain microbead infiltrated template, generally at 28. A solvent vapor, or a solvent vapor in combination with temperature, may also be used to achieve softening and infiltration of the template 12. Where temperature alone is used, a relatively low temperature, such as 100° C, is preferred, although the invention contemplates use of any temperature sufficient to promote infusion. Finally, the porous matrix 26 is stripped away and the polymer microbead replicates 28 are lifted from the surface of the template 12. Where the template is porous silicon, the porous silicon matrix 26 may be stripped away by a solution of potassium hydroxide. Diluting the base solution with ethanol allows for better wetting during the stripping process. Once the polymer microbead replicates 28 are lifted off the surface of the template 12, the replicates can be collected via suction filtration.

FIGs. 7a through 7c illustrate exemplary portions of the method of FIG. 6. FIG. 7a illustrates the precursor polymeric microbeads 22 resting on a surface of the porous template 12 after having been transferred from the pad 24. Low heat, approximately 100° C was used to partially soften the precursor polymeric microbeads 22 so that the precursor polymeric microbeads would stick to the template 12 rather than to the pad 24 during transfer. FIG. 7b shows the template 12 after the

precursor polymeric microbeads 22 have been heated at approximately 200° C for a1 hour. FIG. 7c illustrates the polymer replicates 28 after having been lifted off of the surface of the template 12.

Still other embodiments include a method for forming small particles
5 that can circulate in the bloodstream (so-called “erythrocyte mimics” because they resemble red blood cells). These particles may contain targeting moieties (such as polypeptides or antibodies) to allow the particles to selectively stick to cancer cells or other diseased tissue in the body. The particle would contain a payload such as a drug, image contrast agent (for MRI, PET, ultrasound imaging), magnetic
10 nanoparticles, or other payload that can be released locally to the diseased tissue. The target moieties may be added by chemical modification after the particles are formed, whereas the payload is preferably disposed inside of the particles.

More particularly, targeting moieties may include a variety of structures, including but not limited to, peptides, DNA and/or RNA strands, sugar
15 molecules, lectins, and antibodies. The payload, which is preferably disposed inside of the particles, may also be selected from a vast array of substances, including but not limited to, an anti-cancer agent such as doxorubicin, an anti-inflammatory agent such as dexamethasone, a steroid, an RNA aptamer, a Fab fragment (antigen binding domain of an immunoglobulin antibody), an immunosuppressor such as rapamycin, to
20 name a few.

Advantageously, the polymer-filled photonic crystals are much more stable than the parent porous Si films, allowing use of the polymer-filled photonic crystals in high-throughput or multiplexed bioassays. In such applications, the polymer composites act as encoded particles, to which the appropriate bioassay
25 chemistries can be attached.

Methods of the invention are applicable to a variety of industries, including but not limited to drug discovery, biological screening, chemical screening, biological labeling, chemical labeling, in vivo labeling, security identification and product marking. Various attributes of the particles and methods of the invention
30 enable a wide range of applications in various industries. The small size of the particles facilitates ready incorporation into various hosts, e.g., products, test kits, assays, powders (such as explosives for identification), pastes, liquids, glass, paper, and any other host or system that can accept small particles. *In vivo* detection is

enabled by biocompatible particles of the invention, which may then be queried, for example, through tissues using near infrared and infrared wavelengths that penetrate tissues.

5 It is anticipated that embodiments of the invention may be used for a broad range of applications, such as controlled drug release and/or controlled drug delivery. Accordingly, a particular drug or drugs may be loaded into the particles via one of a plurality of methods.

10 First, the polymer impinged upon the surface of the porous film may already contain the drug or drugs dissolved in solution, such that when the polymer infuses the pores, the drug or drugs also infuses the pores of the film. Secondly, the drug or drugs may be pre-adsorbed to the porous Si or SiO₂ film before spraying or melting the polymer therein. Third, upon subsequent removal of the porous Si or SiO₂ film, the drug is infused into the resulting porous polymer particles, or beads.

15 Experimental Details:

Microdroplet Patterning:

Sample preparation proceeded as follows. Multilayered porous Si films were prepared by electrochemical etch of Si wafers (p-type, B doped, < 1mΩ-cm resistivity, polished on the (100) face). The etching solution consisted of a 1:3 by
20 volume mixture of absolute ethanol and aqueous 49% HF. Galvanostatic etching was carried out in a Teflon cell using a two-electrode configuration. The current density was modulated with a sine wave (typically between 38.5 and 192.3 mA/cm², 8 s periodicity, 70 repeats) to generate a periodically varying porosity gradient. The film was then rinsed with ethanol and dried in air. The polymer solution, polystyrene (av.
25 M.W. 45,000) in toluene (16% by weight), was sprayed onto the porous Si film using a commercial artist's airbrush. The film was then heated at 250 °C for 30 min. To remove the porous Si film that is not masked with polymer, the sample was soaked in an aqueous basic solution (either 0.1 M NaOH for 30 min or a potassium carbonate-potassium borate-potassium hydroxide buffer at pH = 10) and then rinsed with water.

30 Optical instrumentation and data acquisition proceeded as follows. Optical reflectivity spectra were obtained using a tungsten light source and a CCD spectrometer fitted to an optical microscope. All spectra were obtained at normal incidence, with the illumination beam coaxial with the spectrometer light path.

Scanning electron microscope (SEM) images were obtained using an FEI Quanta 600 instrument operating at an accelerating voltage of 20 kV. All samples were sputter-coated with gold.

While various embodiments of the present invention have been shown
5 and described, it should be understood that modifications, substitutions, and alternatives are apparent to one of ordinary skill in the art. Such modifications, substitutions, and alternatives can be made without departing from the spirit and scope of the invention, which should be determined from the appended claims.

Various features of the invention are set forth in the appended claims.

10

WHAT IS CLAIMED IS:

1. A method for forming photonic particles, the method comprising steps of:
5 preparing a porous photonic material layer;
patterning a soluble polymer on the porous photonic material layer, leaving
dividing portions of the material layer untreated;
infusing the polymer into the material layer;
removing the dividing portions of the material to obtain the photonic particles.
10
2. The method of claim 1 further comprising preparing one of a porous Si
layer, a porous SiO₂ layer and a porous alumina layer.
3. The method of claim 1 further comprising spray deposition of the
15 soluble polymer.
4. The method of claim 1 further comprising adhering a drug or drugs to
the pores of the porous photonic material layer.
- 20 5. The method of claim 1 further comprising dissolving a drug or drugs
into the soluble polymer.
6. The method of claim 1 further comprising depositing a drug or drugs
following removal of the dividing portions.
25
7. The method of claim 1 further comprising infusing one of the group
consisting of polystyrene, polyesters, poly (lactic acid), PLGA, collagen, zein and
hydrogels.
- 30 8. The method of claim 1 further comprising removing the dividing
portions with an aqueous base.

9. The method of claim 1 further comprising baking the polymer into the material layer.

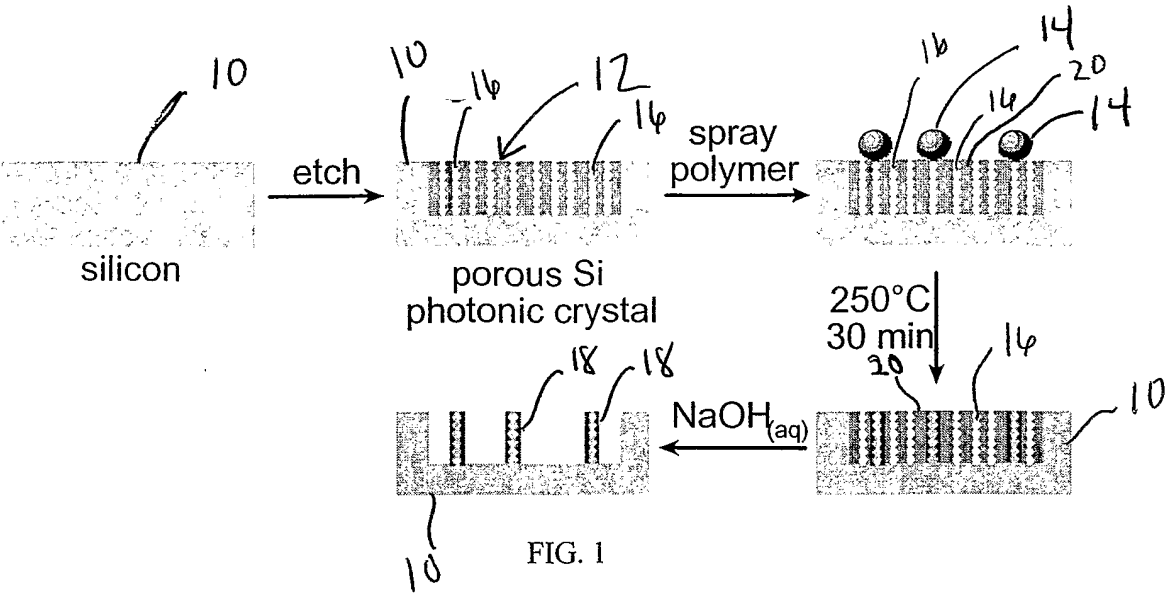
10. The method of claim 1 further comprising obtaining polymer beads
5 and melting the polymer beads into the material layer.

11. The method of claim 1 further comprising adding target moieties to the photonic particles for targeting of specific cells and/or tissues. the target moieties are usually added by chemical modification after the particles are formed, and the
10 payload is usually inside the particles.

12. The method of claim 11 further comprising adding the target moieties to the particles via chemical modification of the particles following removal of the dividing portions.
15

12. The method of claim 11 further comprising including a payload with the particles.

13. The method of claim 12 further comprising selecting the payload from
20 the group consisting of a drug, image contrast agent and magnetic nanoparticles.



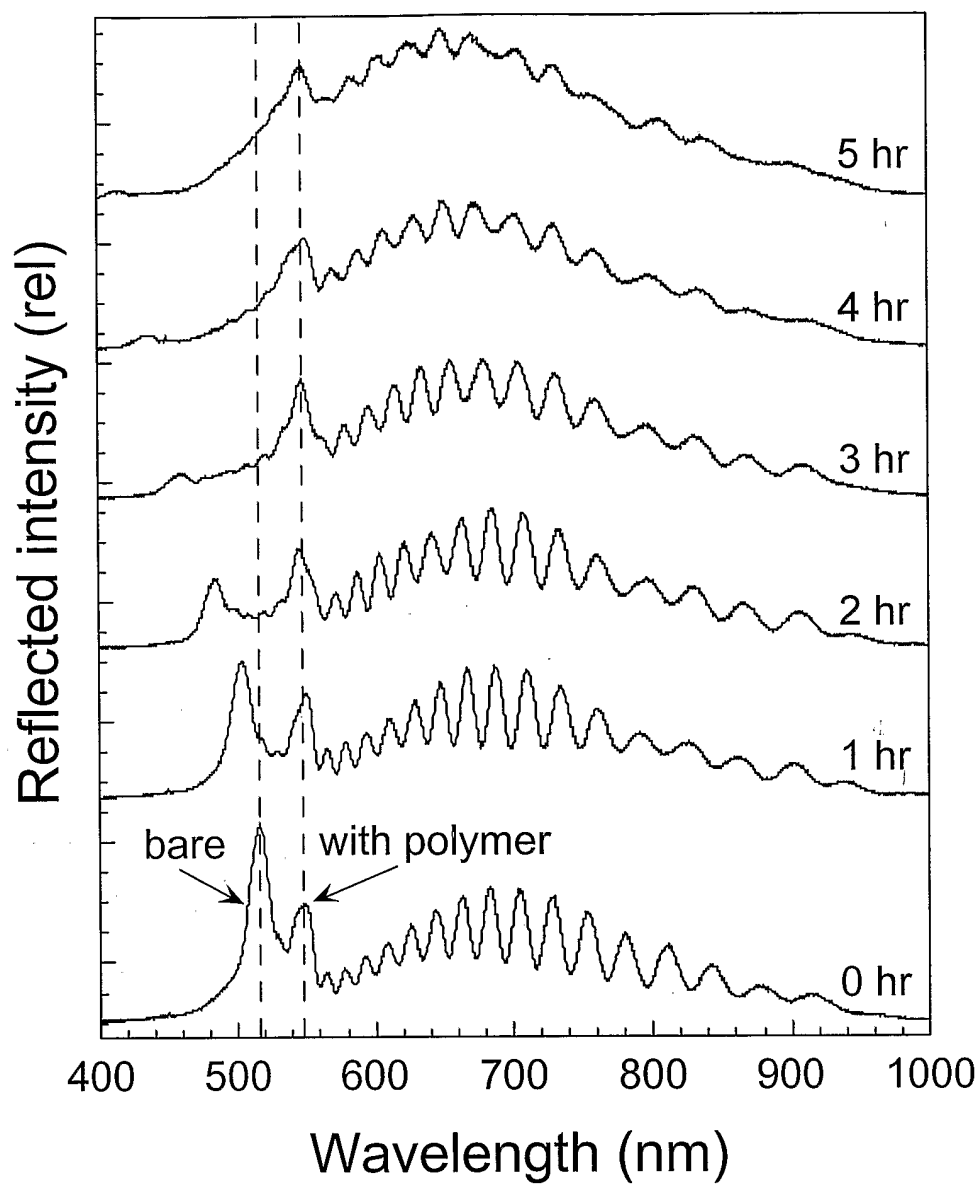
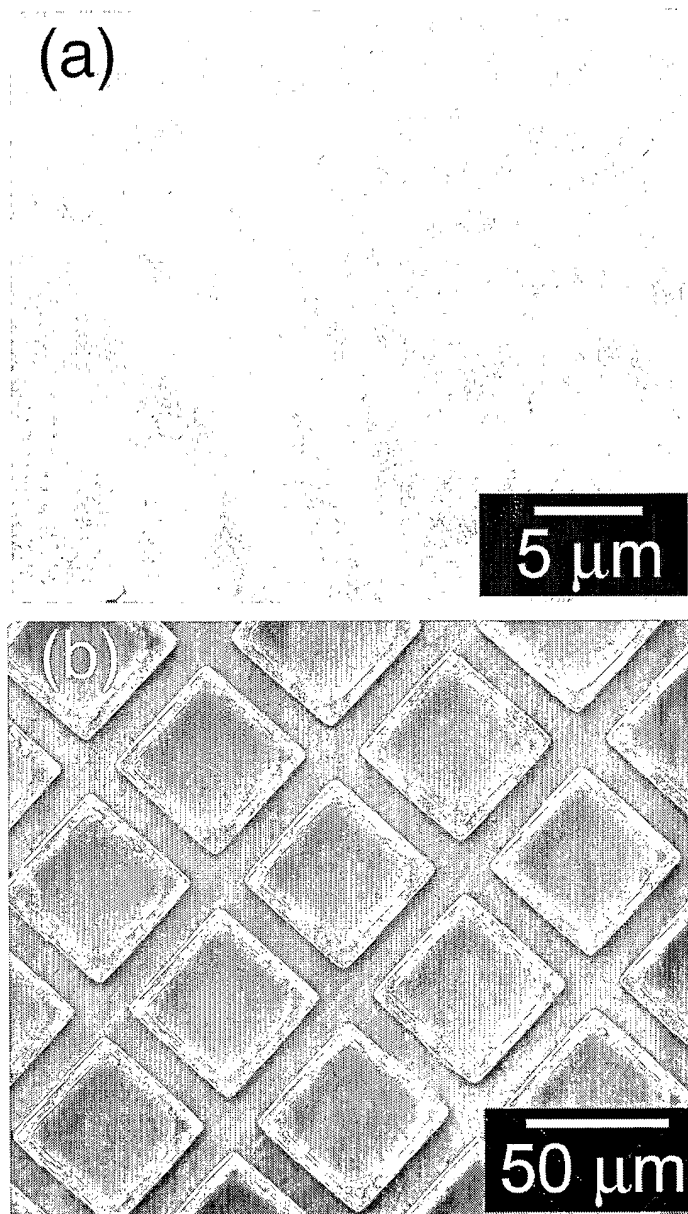


FIG. 2



FIG. 3



FIGs. 4a and 4b

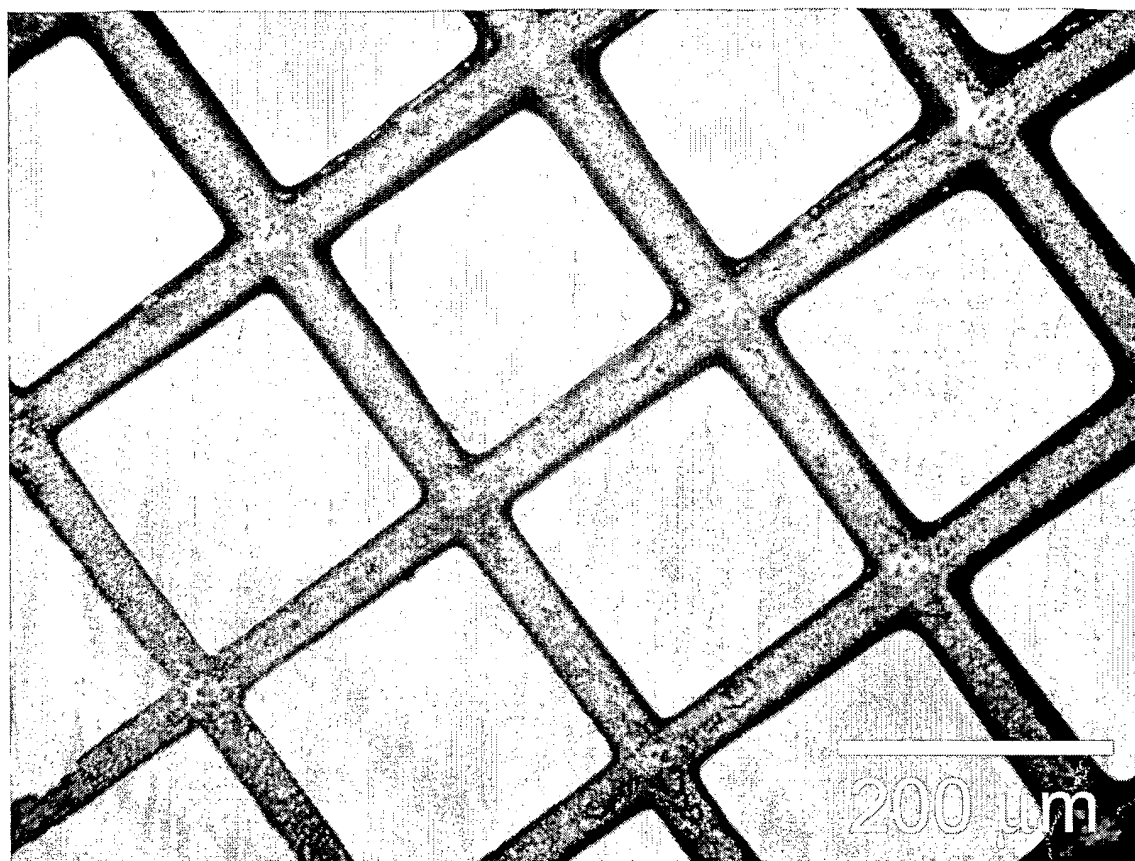


FIG. 5

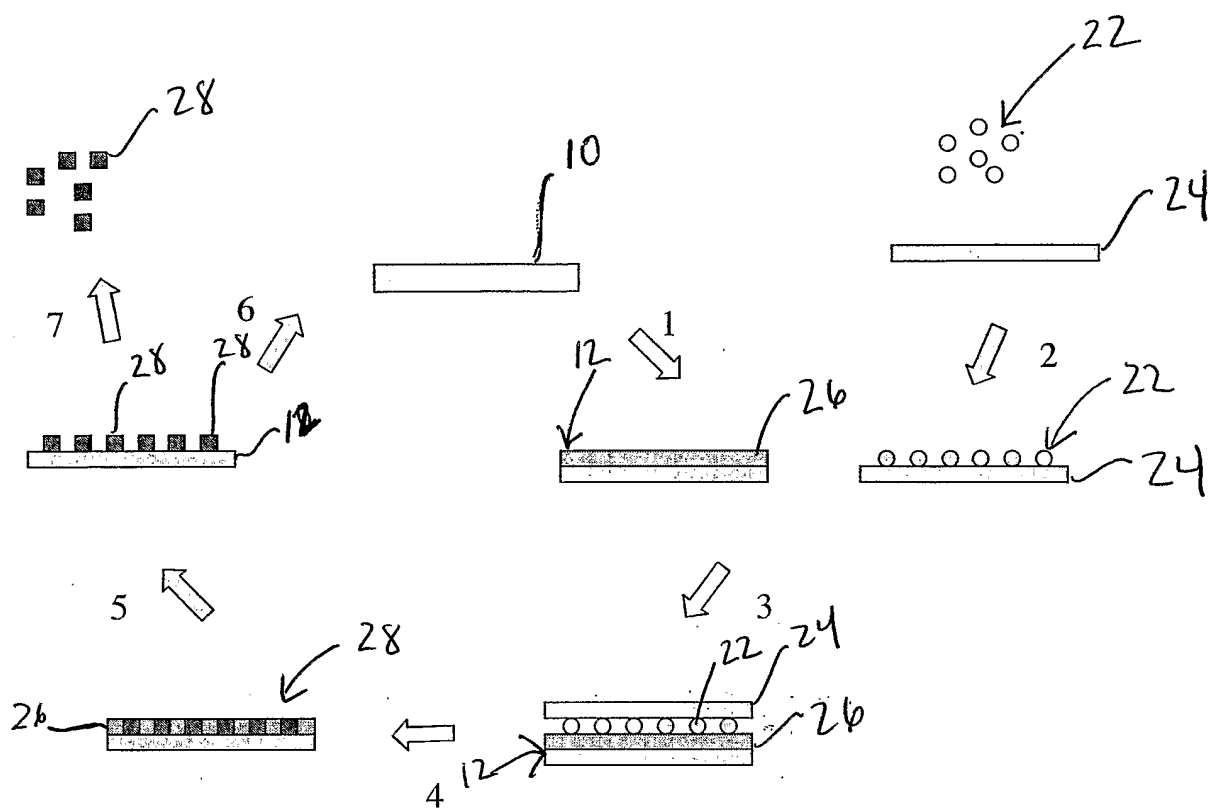


FIG. 6

Figure 7a

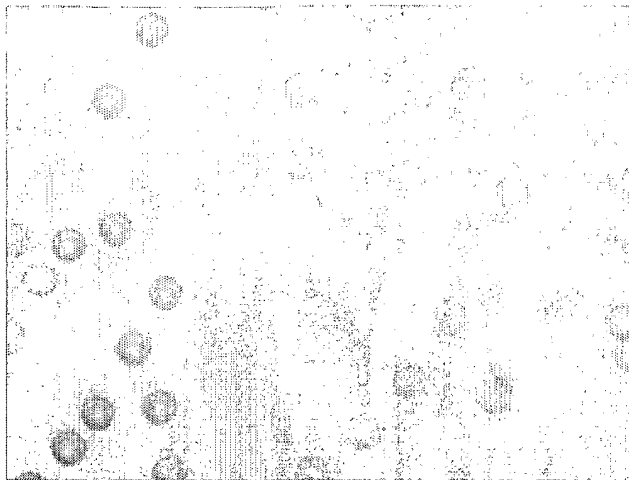


Figure 7b

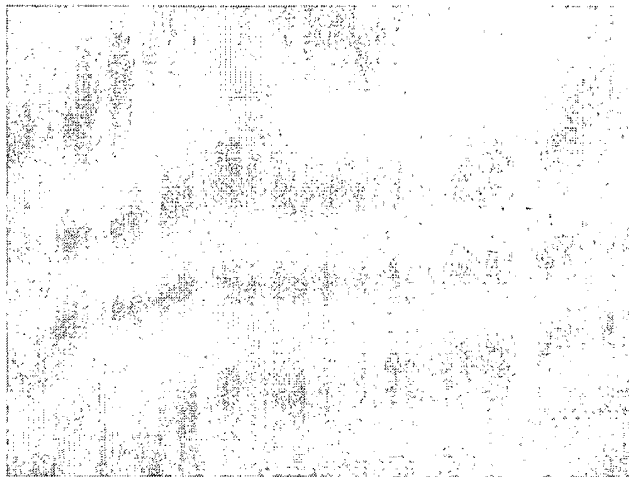


Figure 7c

