(CONVENTION. By one or more persons, and/or a Company.) Form 4

622488

COMMONWEALTH OF AUSTRALIA

Patents Act 1952-1969

CONVENTION APPLICATION FOR A PATENT

(1) Here insert (in full) Name or Names of Applicant or Applicants, followed by Address (es).

~~We~~ (1) DOW CORNING CORPORATION,
We
of Midland, Michigan 48686-0994,
United States of America.

(2) Here insert Title of Invention.

hereby apply for the grant of a Patent for an invention entitled: (2)
CLEANING METHOD FOR WATER CONTAINING VESSELS AND SYSTEMS

(3) Here insert number(s) of basic application(s)

which is described in the accompanying complete specification. This application is a Convention application and is based on the application numbered (3)
250,644

(4) Here insert Name of basic Country or Countries, and basic date or dates

for a patent or similar protection made in (4) United States of America on 29th September, 1988

FO11166 28/09/89

WATERMARK PATENT & TRADEMARK

~~My~~ Our address for service is ~~Messrs Edwd Waters & Sons, Patent~~ Attorneys, 50 Queen Street, Melbourne, Victoria, Australia.

DATED this 27th day of September, 1989

(5) Signature (s) of Applicant (s) or Seal of Company and Signatures of its Officers as prescribed by its Articles of Association

(5) DOW CORNING CORPORATION

By: [signature]

IAN A. SCOTT

Registered Patent Attorney

DC 3068

-(CONVENTION. Company.) Form 8

COMMONWEALTH OF AUSTRALIA

Patents Act 1952-1969

DECLARATION IN SUPPORT OF A CONVENTION APPLICATION FOR A PATENT OR PATENT OF ADDITION

(1) Here insert (in full) Name of Company.

In support of the Convention Application made by(1) DOW CORNING CORPORATION of Midland, State of Michigan, United States of America

(hereinafter referred to as the applicant) for a Patent

(2) Here insert title of Invention.

for an invention entitled:(2) CLEANING METHOD FOR WATER CONTAINING VESSELS AND SYSTEMS

(3) Here insert full Name and Address, of Company official authorized to make declaration.

I,(3) NORMAN EDWARD LEWIS, General Patent Counsel & Assistant Secretary of DOW CORNING CORPORATION of Midland, State of Michigan, United States of America

do solemnly and sincerely declare as follows:

1. I am authorised by the applicant for the patent to make this declaration on its behalf.

(4) Here insert basic Country or Countries followed by date or dates and basic Applicant or Applicants.

2. The basic application as defined by Section 141 of the Act was made in(4) United States of America, Serial No. 250,644 on the 29th day of September 1988, by RICHARD LEE GETTINGS JAMES BRADSHAW MCGEE, WILLIAM CURTIS WHITE and FRANK PLAHUTNIK, JR. on the ..... day of ..... 19..... , by .....

(5) Here insert (in full) Name and Address of Actual Inventor or Inventors.

3. (5) RICHARD LEE GETTINGS, 12740 W. Freeland, Freeland, Michigan, U.S.A.; JAMES BRADSHAW MCGEE, 2697 W. Olson, Sanford, Michigan, U.S.A.; WILLIAM CURTIS WHITE, 4409 Bluebird, Midland, Michigan, U.S.A. and FRANK PLAHUTNIK, JR. (see back) ~~is~~ are the actual inventors of the invention and the facts upon which the applicant is entitled to make the application are as follow:

The applicant is the assignee of RICHARD LEE GETTINGS, JAMES BRADSHAW MCGEE, WILLIAM CURTIS WHITE and FRANK PLAHUTNIK, JR.

4. The basic application referred to in paragraph 2 of this Declaration was ..... the first application made in a Convention country in respect of the invention the subject of the application.

DECLARED at Midland, Michigan, U.S.A.

this 10th day of August 19 89

(6) Signature.

(6) DOW CORNING CORPORATION

(12) PATENT ABRIDGMENT (11) Document No. AU-B-42305/89
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 622488

(54) Title
ALGAE CLEAN WATER IN VESSEL AND SYSTEMS

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DOW CORNING CORPORATION

(72) Inventor(s)
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(74) Attorney or Agent
WATERMARK PATENT & TRADEMARK ATTORNEYS , Locked Bag 5, HAWTHORN VIC 3122

(56) Prior Art Documents
AU 577122 43213/85 C02F 5/12
AU 560034 24662/84 C02F 5/12

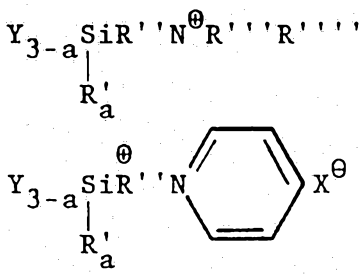
(57) Claim

1. A method of enhancing the cleanability and facilitating the removal of algae and other microorganisms and soils from surfaces prone to biofouling by being exposed to and brought into contact with aqueous media containing algae and other microorganisms, comprising immobilizing on said surfaces and bonding thereto a coating of an organosilane, the organosilane being compatible in the aqueous media under the following conditions:

chlorine levels	0.5	to	20	ppm
cyanurates	25	to	100	ppm
calcium hardness	50	to	2,000	ppm
pH	4	to	8.5	
alkalinity	20	to	160	ppm;

forming on the coated surfaces a layer of dead cells of the algae and other microorganisms, utilizing the layer of dead cells as a release medium to facilitate removal of succeeding layers of algae and other microorganisms that accumulate thereon and cleaning the surfaces by dislodging the accumulated layers from the release medium layer, the organosilane having the general formula selected from the group consisting of:

.../2



and

wherein, in each formula,

Y is R or RO where each R is an alkyl radical of 1 to 4 carbon atoms or hydrogen;

a has a value of 0, 1 or 2;

R' is a methyl or ethyl radical;

R'' is an alkylene group of 1 to 4 carbon atoms;

R''', R'''' and R^{v} are each independently selected from a group consisting of alkyl radicals of 1 to 18 carbon atoms, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{vi}$, wherein x has a value of from 2 to 10 and R^{vi} is a perfluoroalkyl radical having from 1 to 12 carbon atoms;

X is chloride, bromide, fluoride, iodide, acetate or tosylate.

COMMONWEALTH OF AUSTRALIA 622488 [10]

PATENTS ACT 1952-69

COMPLETE SPECIFICATION

(ORIGINAL)

Class Int. Class

Application Number:
Lodged:

Complete Specification Lodged:
Accepted:
Published:

Priority :

Related Art :

Name of Applicant : DOW CORNING CORPORATION

Address of Applicant : Midland, Michigan 48686-0994, United States of America

Actual Inventor: RICHARD LEE GETTINGS, JAMES BRADSHAW McGEE, WILLIAM CURTIS WHITE and FRANK PLAHUTNIK, JR.

Address for Service : EDWD. WATERS & SONS, WATERMARK PATENT & TRADEMARK ATTORNEYS.
50 QUEEN STREET, MELBOURNE, AUSTRALIA, 3000.

Complete Specification for the invention entitled:

CLEANING METHOD FOR WATER CONTAINING VESSELS AND SYSTEMS

The following statement is a full description of this invention, including the best method of performing it known to :- us

CLEANING METHOD FOR WATER CONTAINING VESSELS AND SYSTEMS

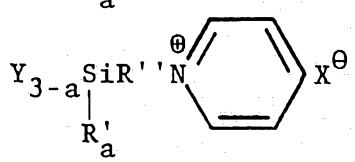
This invention relates to a method of enhancing the cleanability and facilitating the removal of algae and other microorganisms and stains and soils from surfaces prone to biofouling and soiling by being exposed to and brought into contact with aqueous media containing algae, other microorganisms and soiling elements, comprising immobilizing on said surfaces and bonding thereto a coating of an organosilane and organosilane copoloymers, the organosilanes being compatible in the aqueous media under the following conditions:

chlorine levels	0.5	to	20	ppm
cyanurates	25	to	100	ppm
calcium hardness	50	to	2,000	ppm
pH	4	to	8.5	
alkalinity	20	to	160	ppm

forming on the coated surfaces a layer of dead cells of the algae and other microorganisms, utilizing the layer of dead cells as a release medium to facilitate removal of succeeding layers of algae and other microorganisms that accumulate thereon and cleaning the surfaces by dislodging the accumulated layers from the release medium layer, the organosilane having the general formula selected from the group consisting of:

$$Y_{3-a}\underset{\underset{R'_a}{|}}{SiR''N^{\oplus}R'''R''''R^{V}X^{\ominus}}$$

and



wherein, in each formula,

Y is R or RO where R is an alkyl radical of 1 to 4 carbon atoms or hydrogen;

a has a value of 0, 1 or 2;

R' is a methyl or ethyl radical;

R'' is an alkylene group of 1 to 4 carbon atoms;

R''', R'''' and R^{V} are each independently selected from a group consisting of alkyl radicals of 1 to 18 carbon atoms, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{vi}$, wherein x has a value of from 2 to 10 and R^{vi} is a perfluoroalkyl radical having from 1 to 12 carbon atoms;

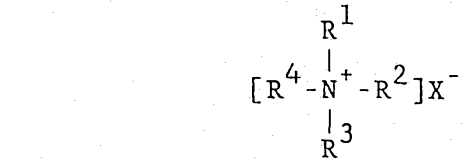
X is chloride, bromide, fluoride, iodide, acetate or tosylate.

In a particularly preferred embodiment of the present invention, the surfaces form the configuration of a swimming pool and the organosilane or silane mixtures are added to water contained therein and migrates to the pool surfaces to form the coating. The organosilane is added to the pool water in an amount sufficient to provide a concentration in the pool water in excess of about fifteen parts per million of the organosilane. Alternatively, the surfaces may include a liner and the organosilane is sprayed onto the liner in order to provide the coating. In this case, the level of the organosilane present as a coating on the liner is in excess of about five-hundred ug/g of liner.

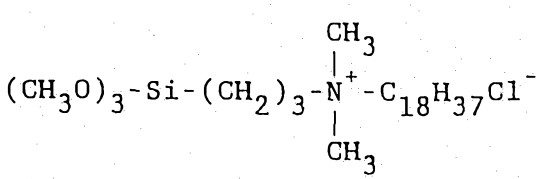
It is, therefore, the object of the present invention to provide a method of facilitating the cleaning of swimming pool surfaces by employing a release layer of dead microbial cells to ease the removal of accumulated stains and microorganisms.

These and other features, objects and advantages, of the present invention will be apparent when considered in light of the following detailed description thereof.

Ammonium compounds in which all of the hydrogen atoms have been substituted by alkyl groups are called quaternary ammonium salts. These compounds may be represented in a general sense by the formula:



The nitrogen atom includes four covalently bonded substituents that provide a cationic charge. The R groups can be any organic substituent that provides for a carbon and nitrogen bond with similar and dissimilar R groups. The counterion X is typically halogen. Use of quaternary ammonium compounds is based on the lipophilic portion of the molecule which bears a positive charge. Since most surfaces are negatively charged, solutions of these cationic surface active agents are readily adsorbed to the negatively charged surface. This affinity for negatively charged surfaces is exhibited by 3-(trimethoxysilyl)propyldimethyloctadecyl ammonium chloride (TMS) of the formula:



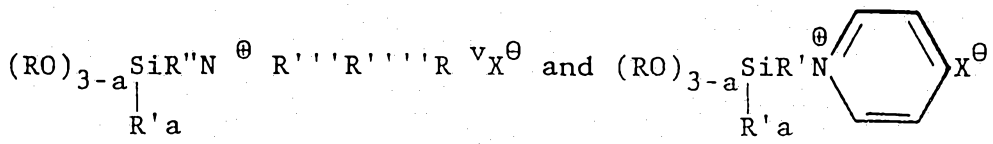
In the presence of moisture, this antimicrobial agent imparts a durable, wash resistant, broad spectrum biostatic surface antimicrobial finish to a substrate. The

organosilicon quaternary ammonium compound is leach resistant, nonmigrating and is not consumed by microorganisms. It is effective against gram positive and gram negative bacteria, fungi, algae, yeasts, mold, rot and mildew. The silicone quaternary ammonium salt provides durable, bacteriostatic, fungistatic and algistatic surfaces. It can be applied to organic or inorganic surfaces as a dilute aqueous or solvent solution of 0.1-1.5 percent by weight of active ingredient. After the alkoxysilane is applied to a surface, it is chemically bonded to the substrate by condensation of the silanol groups at the surface. The pure compound is crystalline whereas methanol solutions of the compound are low viscosity, light to dark amber liquids, soluble in water, alcohols, ketones, esters, hydrocarbons and chlorinated hydrocarbons. The compound has been used in applications such as, for example, socks, filtration media, bed sheets, blankets, bedspreads, carpet, draperies, fire hose fabric materials, humidifier belts, mattress pads, health care apparel, mattress ticking, underwear, nonwoven disposable diapers, nonwoven fabrics, outerwear fabrics, nylon hosiery, vinyl paper, wallpaper, polyurethane cushions, roofing materials, sand bags, tents, tarpaulins, sails, rope, blood pressure cuffs, athletic and casual shoes, shoe insoles, shower curtains, toilet tanks, toilet seat covers, throw rugs, towels, umbrellas, upholstery fiberfill, intimate apparel, wiping cloths and medical devices such as blood pressure cuffs.

In the examples as well as in the tables, the composition identified as TMS refers to a product manufactured by the Dow Corning Corporation, Midland, Michigan, as an antimicrobial agent. This compound is 3-(trimethoxysilyl)-propyloctadecyldimethyl ammonium chloride

referred to above diluted to forty-two percent active ingredients by weight with methanol.

The silanes useful in this invention have the general formula



It should be noted that generically, these materials are quaternary ammonium salts of silanes. Most of the silanes falling within the scope of this invention are known silanes and references disclosing such silanes are numerous. One such reference, United States Patent No. 4,259,103, issued to James R. Malek and John L. Speier, on March 31, 1981, discusses the use of such silanes to render the surfaces of certain substrates antimicrobial. British Patent No. 1,433,303, issued to Charles A. Roth shows the use of fillers treated with certain silanes to be used in paints and the like to give antimicrobial effects.

Numerous other publications have disclosed such silanes, namely, A. J. Isquith, E. A. Abbott and P. A. Walters, Applied Microbiology, December, 1972, pages 859-863; P. A. Walters, E. A. Abbott and A. J. Isquith, Applied Microbiology, 25, No. 2, p. 253-256, February, 1973; and E. A. Abbott and A. J. Isquith, United States Patent No. 3,794,736 issued February 26, 1974, U.S. Patent No. 4,406,892, issued September 27, 1983, among others.

For purposes of this invention, the silanes can be used neat or they can be used in solvent or aqueous-solvent solutions. When the silanes are used neat, the inventive process is preferably carried out in a system in which some small amount of water is present. If it is not possible to have a system with some small amount of water present, then a

water soluble or water-dispersable, low molecular weight hydrolyzate of the silane may be used. What is important is the fact that the durability of any effect produced by the silane as part of a product requires that the silane molecule react with a surface to a certain extent. The most reactive species, as far as the silanes are concerned, is the $\equiv SiOH$ that is formed by hydrolysis of the alkoxy groups present on the silane. The $\equiv SiOH$ groups tend to react with the surface and bind the silanes to the surface. It is believed by the inventor that even though the prime mode of coupling to the surface system is by the route described above, it is also believed by the inventor that the alkoxy groups on the silicon atom may also participate in their own right to bind to the surface.

Preferred for this invention is a reactive surface containing some small amount of water. By "reactive", it is meant that the surface must contain some groups which will react with some of the silanols generated by hydrolysis of the silanes of this invention.

R in the silanes of this invention are alkyl groups of 1 to 4 carbon atoms. Thus, useful as R in this invention are the methyl, ethyl, propyl and butyl radicals. In the above formulas RO can also be R. R can also be hydrogen thus indicating the silanol form, i.e. the hydrolyzate. The value of a is 0, 1 or 2 and R' is a methyl or ethyl radical.

R" for purposes of this invention is an alkylene group of 1 to 4 carbon atoms. Thus, R" can be alkylene groups such as methylene, ethylene, propylene and butylene. R''', R'''' and R^{V} are each independently selected from a group which consists of alkyl radicals of 1 to 18 carbons, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{Vi}$. x has a value of from 2 to 10 and R^{Vi} is a perfluoroalkyl radical

having from 1 to 12 carbon atoms. X is chloride, bromide, fluoride, iodide, acetate or tosylate.

Preferred for this invention are the silanes of the general formula

$(RO)_{3-a}\underset{\underset{R'a}{|}}{SiR''N^{\oplus}R'''R''''R^{V}X^{\ominus}}$ wherein

R is methyl or ethyl; a has a value of zero; R'' is propylene; R''' is methyl or ethyl; R'''' and R^{V} are selected from alkyl groups containing 1 to 18 carbon atoms wherein at least one such group is larger than eight carbon atoms and x is either chloride, acetate or tosylate.

Most preferred for this invention are those silanes having the formula

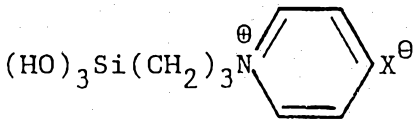
$(CH_3O)_3Si(CH_2)_3N^{\oplus}(CH_3)_2C_{18}H_{37}Cl^-$ and $(CH_3O)_3Si(CH_2)_3$ - $N^{\oplus}CH_3(C_{10}H_{21})_2Cl^-$.

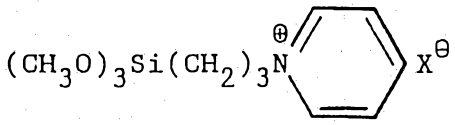
As indicated above, most of these silanes are known from the literature and methods for their preparation are known as well. See, for example, U.S. Patent 4,282,366, issued August 4, 1981; U.S. Patent 4,394,378, issued July 19, 1983, and U.S. Patent 3,661,963 issued May 9, 1972, among others.

Specific silanes within the scope of the invention are represented by the formulae:

$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2C_{18}H_{37}Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2C_{18}H_{37}Br^-$,
$(CH_3O)_3Si(CH_2)_3N^+(C_{10}H_{21})_2CH_3Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(C_{10}H_{21})_2CH_3Br^-$,
$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_3Cl^-$,
$(CH_3O)_3SiCH_2CH_2CH_2P^+(C_6H_5)_3Cl^-$,
$(CH_3O)_3SiCH_2CH_2CH_2P^+(C_6H_5)_3Br^-$,
$(CH_3O)_3SiCH_2CH_2CH_2P^+(CH_3)_3Cl^-$,
$(CH_3O)_3SiCH_2CH_2CH_2P^+(C_6H_{13})_3Cl^-$,
$(CH_3)_3Si(CH_2)_3N^+(CH_3)_2C_{12}H_{25}Cl^-$,

$(CH_3)_3Si(CH_2)_3N^+(C_{10}H_{21})_2CH_3Cl^-$,
$(CH_3)_3Si(CH_2)_3N^+(CH_3)_2C_{18}H_{37}Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2C_4H_9Cl^-$,
$(C_2H_5O)_3Si(CH_2)_3N^+(CH_3)_2C_{18}H_{37}Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2CH_2C_6H_5Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2CH_2CH_2OHCl^-$,





$(CH_3O)_3Si(CH_2)_3N^+(CH_3)_2(CH_2)_3NHC(O)(CF_2)_6CF_3Cl^-$,
$(CH_3O)_3Si(CH_2)_3N^+(C_2H_5)_3Cl^-$.

Swimming pools include recirculation equipment and because the water is continuously treated by mechanical filtration and by the addition of various categories of chemicals, the same water is recycled rather than being changed. Chemicals must be added to the water in order to kill and control disease causing and fouling microorganisms introduced into the water by swimmers and dirt entering the pool. It is also necessary to destroy or control algae whose spores are carried into the pool water by the wind and rain. Excessive algae accumulation results in discolored water, unsightly growths on the walls and pool bottoms, clogging of filtration equipment and is a breeding ground for bacteria. Algae causes slipperiness, develops malodors, clouds water, increases the chlorine demand in the pool as well as bacterial growth and results in stains on surfaces. Algae growth is promoted by high temperatures, nutrient build up and sunlight, common to swimming pools and other

recirculating water systems. Three forms of algae most often found in swimming pools are green algae, blue-green algae referred to as black algae and mustard or yellow algae. Green algae remain suspended in the water, while the black and yellow algal types attach to pool surfaces where they become firmly fixed by penetrating cracks, crevices and the grouting of the pool surface construction. While in the following examples reference is made to swimming pools, the concept is applicable to spas and hot tubs and other recirculating water systems.

Example I

In order to determine if the compound TMS exhibits any adverse reactions characteristic of incompatibility such as clouding, precipitation and foaming, in systems typical of swimming pool, spa environments or other recirculating water systems, a series of tests were conducted employing TMS at three different concentration levels of two, four and eight parts per million, in a container of water at extreme concentrations of chlorine, cyanurates, hardness, alkalinity and pH. A blank control was used for comparative purposes. Observations were recorded over a period of forty-eight hours. At the end of forty-eight hours, a shock treatment was performed, in which a concentrated two percent solution of TMS was added to each system at the most extreme levels. The shock treatment was intended to simulate the initial addition of TMS into pool water. The results of these tests are tabulated in Tables I to VII. No adverse reaction was noted in any of the systems or conditions.

TABLE I
COMPATIBILITY TESTING - CHLORINE AND TMS
-DEIONIZED WATER-

TMS	Time Observed	0.5 ppm Cl	1 ppm Cl	5 ppm Cl	10 ppm Cl	20 ppm Cl
0	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear	clear

TABLE II
COMPATIBILITY TESTING - CYANURATE AND TMS
-DEIONIZED WATER-

TMS	Time Observed	Cyanurate 25 ppm	Cyanurate 50 ppm	Cyanurate 100 ppm
0	0 hours	clear	clear	clear
	4 hours	clear	clear	clear
	24 hours	clear	clear	clear
	48 hours	clear	clear	clear
2 ppm	0 hours	clear	clear	clear
	4 hours	clear	clear	clear
	24 hours	clear	clear	clear
	48 hours	clear	clear	clear
4 ppm	0 hours	clear	clear	clear
	4 hours	clear	clear	clear
	24 hours	clear	clear	clear
	48 hours	clear	clear	clear
8 ppm	0 hours	clear	clear	clear
	4 hours	clear	clear	clear
	24 hours	clear	clear	clear
	48 hours	clear	clear	clear
Shock	--	clear	clear	clear

TABLE III
COMPATIBILITY TESTING - CHLORINE AND CYANURATE AND TMS
-DEIONIZED WATER-

TMS	Time Observed	0.5 ppm Cl 2.5 ppm Cyanurate	1 ppm Cl 5 ppm Cyanurate	5 ppm Cl 25 ppm Cyanurate	10 ppm Cl 50 ppm Cyanurate	20 ppm Cl 100 ppm Cyanurate
0	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear	clear

TABLE IV

COMPATIBILITY TESTING - CHLORINE AND CYANURATE AND TMS
-TAP WATER-

TMS	Time Observed	0.5 ppm Cl 2.5 ppm Cyanurate	1 ppm Cl 5 ppm Cyanurate	5 ppm Cl 25 ppm Cyanurate	10 ppm Cl 50 ppm Cyanurate	20 ppm Cl 100 ppm Cyanurate
0	0 hours	clear	clea.	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear	clear

TABLE V
COMPATIBILITY TESTING - CALCIUM HARDNESS AND CHLORINE AND TMS
-DEIONIZED WATER-

TMS	Time Observed	0.5 ppm Cl 50 ppm Calcium	1.25 ppm Cl 125 ppm Calcium	2.5 ppm Cl 250 ppm Calcium	5 ppm Cl 500 ppm Calcium	10 ppm Cl 1000 ppm Calcium	20 ppm Cl 2000 ppm Calcium
0	0 hours	clear	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear	clear	clear

TABLE VI
COMPATIBILITY TESTING - pH AND TMS
-TAP WATER WITH 5 PPM Cl AND 25 PPM CYANURATE-

TMS	Time Observed	pH 4	pH 5	pH 6	pH 7	pH 8.5
0	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear	clear

TABLE VII
COMPATIBILITY TESTING - TOTAL ALKALINITY AND TMS
-TAP WATER WITH 5 PPM Cl AND 25 PPM CYANURATE-

TMS	Time Observed	20 ppm Alkalinity	40 ppm Alkalinity	80 ppm Alkalinity	160 ppm Alkalinity
0	0 hours	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear
2 ppm	0 hours	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear
4 ppm	0 hours	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear
8 ppm	0 hours	clear	clear	clear	clear
	4 hours	clear	clear	clear	clear
	24 hours	clear	clear	clear	clear
	48 hours	clear	clear	clear	clear
Shock	--	clear	clear	clear	clear

The anion of an aqueous sodium salt of bromphenol blue can be complexed with the cation of polymerized silanes of this invention while on a substrate. The blue colored complex, substantive to a water rinse, is qualitatively indicative of the presence of the cation on the substrate thus indicating the extent of antimicrobial agent on a given substrate. A comparison of the intensity of retained blue color to a color standard is used as a check to determine if the treatment has been applied properly.

The method consists of preparing a 0.02 to 0.04 weight percent solution of bromphenol blue in distilled water. This solution is made alkaline using a few drops of saturated Na_2CO_3 solution per 100 milliliters of the solution. Two to three drops of this solution are placed on the treated substrate and allowed to stand for two minutes. The substrate is then rinsed with copious amounts of tap water and the substrate is observed for a blue stain and it is compared to a color standard.

For a spectrophotometric determination, the following test is used.

The sodium salt of bromphenol blue is depleted from a standard solution by complexing with the cations on a treated substrate. The change in bromphenol blue concentration is determined spectrophotometrically or by comparison with color standards whereby the level of substrate treatment by the cationic silane is determinable.

The method consists of preparing a 0.02 weight percent standard solution of bromphenol blue in distilled water. It is made alkaline with a few drops of saturated Na_2CO_3 solution per 100 milliliters of bromphenol blue solution. The color of this solution is purple.

The blank solution is adjusted to yield a 10 to 12% transmittance reading when measured in 1 cm cells using a spectrophotometer set at 589 nm by the following method.

Fill a container 3/4 full of distilled water and add 2 ml of the 0.02% standard bromphenol blue solution for every 50 ml of distilled water. Add 0.5 ml of a 1% Triton® X-100 surfactant (manufactured by Rohm and Haas, Philadelphia, PA, USA) aqueous solution for every 50 ml of water. Mix and, using the spectrophotometer, determine the maximum absorbance. Adjust the upper zero to 100% transmittance with distilled water. Check the percent transmittance of the working bromphenol blue solution at the maximum absorbance setting. Adjust the blank solution to 10 to 12% transmittance with either water or bromphenol blue standard solution as necessary.

The samples of treated substrate are tested by placing 0.5 gram samples of the substrate standards in a flask large enough for substantial agitation of the sample and the test solution. Add 50 ml of the working solution. Agitate for 20 minutes on a wrist-action shaker. Fill the test curvette with the test solution. Centrifuge if particulate matter is present. Measure the % transmittance at the wavelength set forth above. The transmittance is compared against a standard curve prepared by preparing several substrate samples of known concentration of the cationic silane. For example, samples containing a known amount of cationic silane at, for example, 0%, 0.25%, 0.50%, 0.75% and 1% are read spectrophotometrically and a curve is plotted.

Example II

A swimming pool constructed to scale was built in an aquarium which included polyvinyl chloride walls and painted gunite walls. Water in the pool was conditioned to

contain twenty parts per million chlorine and one-hundred parts per million cyanuric acid. Two rayon strips about three centimeters in width were located in the pool extending over its length and width. The TMS compound was added to the pool water in order to provide a concentration therein of four parts per million or 1.7 parts per million based on active ingredients. At the end of forty-eight hours, the rayon strips were removed and stained with bromophenol blue in accordance with the foregoing procedure. A definite blue color was exhibited indicating an even deposition of TMS on the strips. Polyvinyl chloride and plaster strips representative of pool liner materials were also tested at concentration levels of TMS of eight, twelve and sixteen parts per million. At the end of forty-eight hours, the strips were removed, rinsed with tap water, dried and analyzed in accordance with the above mentioned bromophenol blue test. In all cases, the blue color indicative of deposition was evidenced. At levels of eight, twelve and sixteen parts per million TMS, the level of TMS detected on the liner strips was found to be, respectively, one hundred ug/g of liner, one hundred-fifty ug/g of liner and six hundred-fifty ug/g of liner. The level of TMS detectable in the pool water at the end of forty-eight hours was one ug/milliliter. Deposition of TMS on the strips was also evidenced at concentration levels in excess of sixteen parts per million, for example, at levels of from eighty to one hundred sixty parts per million TMS in the pool water, however, the preferred maximum was found to be about sixty parts per million TMS in any treatment.

Example III

In order to demonstrate the effectiveness of the compound TMS in enhancing the cleanability and facilitating the removal of algae from surfaces prone to biofouling, the

following tests were conducted employing coupon samples of polyvinyl chloride and plaster. A ten gallon glass tank was arranged having the coupons suspended therein. The tank was equipped with an upflow filter designed to push water through a filter media with an overflow return to the tank. A second tank was also used but equipped with a downflow filter which sucks water through a filter media and including a power return. Four foot fluorescent grow lights were installed behind each tank and in the tank covers. Room lights were left burning twenty-four hours per day. A tank heater was used to control the water temperature at 85°F. Each tank was filled with nine gallons of water conditioned to a pH of 7.4, a total alkalinity of one hundred parts per million expressed as calcium carbonate, a calcium hardness of two hundred-fifty parts per million expressed as calcium carbonate and a cyanuric acid concentration of one hundred parts per million. The additive materials for the tanks included HTH®, a trademark for a calcium hypochlorite material containing seventy percent available chlorine and manufactured by Olin Chemicals, Stamford, Connecticut. The algae insult was a mixture of green, yellow and black algae. A plant food was employed having ten percent urea nitrogen, eight percent phosphorous expressed as P_2O_5, seven percent potash expressed as K_2O, one tenth of one percent iron, five hundreds of one percent manganese and five hundreds of one percent zinc. The plant food was added to each tank at the rate of five milliliters every other day. Each tank was used to conduct experiments under the following conditions:

1. Control : no treatment
2. TMS : eight parts per million
3. TMS : sixteen parts per million
4. Chlorine : three parts per million

5. TMS : eight parts per million
   Chlorine : three parts per million
6. TMS : sixteen parts per million
   Chlorine : three parts per million

The procedure followed in each instance was to insert the pool liner coupons. The tank was charged with conditioned water. The filter was activated and allowed to circulate water twenty-four hours per day. The tank was then dosed as noted above, with the chlorine in the form of HTH®, the HTH® being added to the pool water before the addition of TMS. The materials were allowed to disburse and determinations were made on initial turbidity, pH, chlorine and TMS levels, in the tank. The filter system was then inactivated for forty-eight hours in order to allow the TMS compound to migrate in order to cover the coupon surfaces. The filter system was then reactivated and the addition of the algae insult and plant food initiated. Monitoring was conducted daily on temperature, turbidity, pH, chlorine and TMS levels. The coupons were also visually inspected for algae growth. The pH was controlled at levels between 7.2 and 7.6 by the addition, when necessary, of hydrochloric acid and sodium carbonate. The chlorine level was maintained at three parts per million in those instances where the tank contained chlorine as a treatment condition. Five milliliters of plant food was added daily and after determining the pool water balance, the algae insult was added daily in a dosage of thirty milliliters until the algae was either visible in the water or on the coupon surfaces, at which time the algae insult was added every other day. Pool water was added when required in order to maintain a constant volume. For purposes of comparison, a second set of conditions was imposed on each tank, similar to conditions 1-6 set forth above, as follows:

7. Control : no treatment
8. TMS : eight parts per million
9. TMS : sixteen parts per million
10. Chlorine : one and one-half parts per million
11. Calcium :
Citrate : sixteen parts per million
12. HTH® : sixteen parts per million

The procedure set forth above with regard to conditions 1-6 was followed in the case of conditions 7-12. Calcium citrate was used as a representative algicide and the composition HTH® in instance No. 12 was a composition containing eighty-nine percent available chlorine which functioned as another representative algicide. The results of the extensive tests conducted in accordance with this Example III indicated that the only coupon which was easier to clean was the coupon treated with sixteen parts per million of the compound TMS of the present invention.

The antimicrobial effectiveness of the compound TMS is generally known as evidenced by U.S. Patent Nos. 3,730,701; 3,817,739; and 3,865,728; although the function of TMS in enhancing cleanability is not known. The mechanism can be explained by the fact that dead cells adjacent the surface form a release layer, which when disturbed by mechanical cleaning or flushing, free any accumulated algae and other microorganisms therefrom. The compound may be added into the pool water directly and allowed to migrate to the surfaces of the pool or the compound may be sprayed on the pool surfaces during manufacture of the pool or when the pool is being reconditioned. The preferred level of the treatment is between 1.71 to 3.42 parts per million based on the active ingredients. In order to be effective, it is important that the compound employed and the concentration levels of the compound provide compatibility in the system in which the

treatment is to be conducted, especially as in the case of a swimming pool, cloudiness or turbidity which persisted would not be acceptable for aesthetic reasons, in most instances. In environments where cloudiness, turbidity and aesthetics were not an issue, compatibility would not be a critical factor, such as in the treatment with the compounds of the present invention of filters, cooling towers, humidifier systems, heat exchangers, drainage systems, ponds, pipe lines, storage tanks, cisterns, sumps, boats and other surfaces prone to microbiological soiling and fouling.

The treating can be carried out with the quaternary ammonium compounds of this invention per se. Often, however, it is desirable to extend the compounds of this invention by incorporating therein hydrocarbon or halohydrocarbon substituted siloxanes of the formula

$$R_aSiO_{\frac{4-a}{2}}$$

in which R is a hydrocarbon or halohydrocarbon radical and a varies from 0 to 3. The incorporation of such siloxanes in no way effects the property of the quaternary ammonium compound so that the claims of this invention are construed to cover both the use of the quaternary ammonium siloxane per se and mixtures or copolymers of such siloxanes with said hydrocarbon substituted siloxanes or halohydrocarbon substituted siloxanes.

For example, surfaces can be treated with an aqueous solution of a mixture of 10 mols of monomethyl trimethoxysilane and 1 mol of

$$Cl^-C_{18}H_{37}Me_2N^+(CH_2)_3Si(OMe)_3.$$

It has also been found that combinations of 1 mol

$$Cl^-C_{18}H_{37}Me_2N^+(CH_2)_3Si(OMe)_3$$

and 0.5 mol of 3-chloropropyltrimethoxysilane give effective siloxane coatings. The use of hydrocarbon and halohydrocarbon siloxane extenders often give cheaper treatment than the pure quaternary siloxane.

It will be apparent from the foregoing that many other variations and modifications may be made in the compounds, compositions and methods described herein without departing substantially from the essential features and concepts of the present invention. Accordingly, it should be clearly understood that the forms of the invention described herein are exemplary only and are not intended as limitations on the scope of the present invention.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

~~Claims:~~

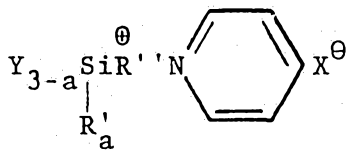
1. A method of enhancing the cleanability and facilitating the removal of algae and other microorganisms and soils from surfaces prone to biofouling by being exposed to and brought into contact with aqueous media containing algae and other microorganisms, comprising immobilizing on said surfaces and bonding thereto a coating of an organosilane, the organosilane being compatible in the aqueous media under the following conditions:

chlorine levels	0.5	to	20	ppm
cyanurates	25	to	100	ppm
calcium hardness	50	to	2,000	ppm
pH	4	to	8.5	
alkalinity	20	to	160	ppm;

forming on the coated surfaces a layer of dead cells of the algae and other microorganisms, utilizing the layer of dead cells as a release medium to facilitate removal of succeeding layers of algae and other microorganisms that accumulate thereon and cleaning the surfaces by dislodging the accumulated layers from the release medium layer, the organosilane having the general formula selected from the group consisting of:

$$Y_{3-a}\underset{\displaystyle R'_a}{\overset{\displaystyle |}{Si}}R''N^{\oplus}R'''R''''R^{v}X^{\ominus}$$

and



wherein, in each formula,

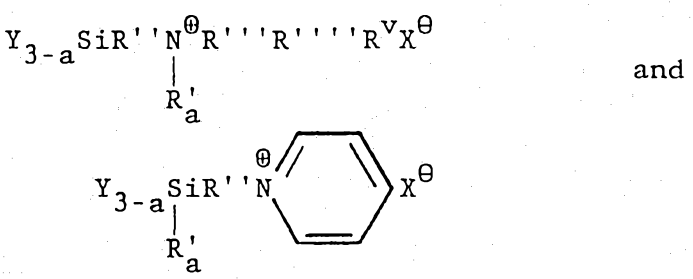
Y is R or RO where each R is an alkyl radical of

1 to 4 carbon atoms or hydrogen;
a has a value of 0, 1 or 2;
R' is a methyl or ethyl radical;
R'' is an alkylene group of 1 to 4 carbon atoms;
R''', R'''' and R^{v} are each independently selected from a group consisting of alkyl radicals of 1 to 18 carbon atoms, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{vi}$, wherein x has a value of from 2 to 10 and R^{vi} is a perfluoroalkyl radical having from 1 to 12 carbon atoms;
X is chloride, bromide, fluoride, iodide, acetate or tosylate.

2. The method of claim 1 wherein the surfaces form the configuration of a swimming pool and the organosilane is added to water contained therein and migrates to the pool surfaces to form the coating.

3. A method of enhancing the cleanability and facilitating the removal of algae and other microorganisms and stains and soils, from swimming pool surfaces prone to biofouling, soiling and staining, by being exposed to and brought into contact with aqueous media containing algae, other microorganisms and soiling elements comprising immobilizing on said swimming pool surfaces and bonding thereto a coating of an organosilane, the organosilane being compatible in the aqueous media, forming on the coated swimming pool surfaces a layer of dead cells of the algae and other microorganisms, utilizing the layer of dead cells as a release medium to facilitate removal of succeeding layers of

algae and other microorganisms that accumulate thereon and cleaning the swimming pool surfaces by dislodging the accumulated layers from the release medium layer, the organosilane being present in an amount sufficient in order to prevent persistent cloudiness and turbidity in the aqueous media and having the general formula selected from the group consisting of:



and

wherein, in each formula,

Y is R or RO where each R is an alkyl radical of 1 to 4 carbon atoms or hydrogen;

a has a value of 0, 1 or 2;

R' is a methyl or ethyl radical;

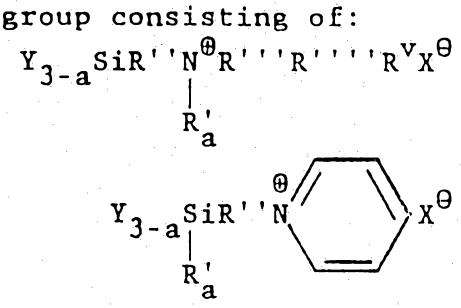
R'' is an alkylene group of 1 to 4 carbon atoms;

R''', R'''' and R^{v} are each independently selected from a group consisting of alkyl radicals of 1 to 18 carbon atoms, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{vi}$, wherein x has a value of from 2 to 10 and R^{vi} is a perfluoroalkyl radical having from 1 to 12 carbon atoms;

X is chloride, bromide, fluoride, iodide, acetate or tosylate.

4. A method of enhancing the cleanability and facilitating the removal of algae and other microorganisms

from surfaces prone to biofouling by being exposed to and brought into contact with aqueous media containing algae and other microorganisms, comprising immobilizing on said surfaces and bonding thereto a coating of organosilanes, forming on the coated surfaces a layer of dead cells of the algae and other microorganisms, utilizing the layer of dead cells as a release medium to facilitate removal of succeeding layers of algae and other microorganisms that accumulate thereon and cleaning the surfaces by dislodging the accumulated layers from the release medium layer, the organosilane having the general formula selected from the group consisting of:



and

wherein, in each formula,

Y is R or RO where each R is an alkyl radical of 1 to 4 carbon atoms or hydrogen;

a has a value of 0, 1 or 2;

R' is a methyl or ethyl radical;

R'' is an alkylene group of 1 to 4 carbon atoms;

R''', R'''' and R^{v} are each independently selected from a group consisting of alkyl radicals of 1 to 18 carbon atoms, $-CH_2C_6H_5$, $-CH_2CH_2OH$, $-CH_2OH$ and $-(CH_2)_xNHC(O)R^{vi}$, wherein x has a value of from 2 to 10 and R^{vi} is a perfluoroalkyl radical having from 1 to 12 carbon atoms;

X is chloride, bromide, fluoride, iodide, acetate or tosylate.

DATED THIS 27th day of September, 1989

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