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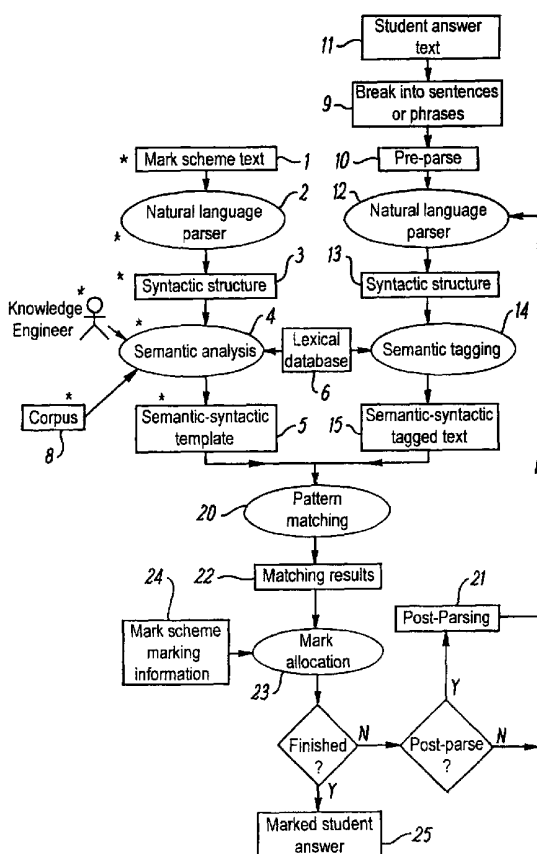
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[Continued on next page]

(54) Title: ASSESSMENT METHODS AND SYSTEMS



(57) Abstract: An information extraction system for the electronic assessment of free-form text against a standard for such text, in which semantic-syntactic templates prepared from the standard are compared with a semantically-syntactically tagged form of the free-form text, and an output assessment is derived in accordance with the result of this comparison.



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1 **ASSESSMENT METHODS AND SYSTEMS**

2

3 The present invention relates to an information extraction  
4 system and methods used in the computer-based assessment of  
5 free-form text against a standard for such text.

6

7 Information extraction systems analyse free-form text and  
8 extract certain types of information which are pre-defined  
9 according to what type of information the user requires the  
10 system to find. Rather than try to understand the entire body  
11 of text in which the relevant information is contained,  
12 information extraction systems convert free-form text into a  
13 group of items of relevant information.

14

15 Information extraction systems generally involve language  
16 processing methods such as word recognition and sentence  
17 analysis. The development of an Information Extraction system  
18 for marking text answers provides certain unique challenges.  
19 The marking of the text answers must take account of the  
20 potential variations in the writing styles of people, which  
21 can feature such things as use of jargon, abbreviations,  
22 proper names, typographical errors

1 and misspellings and note-style answers. Further problems are  
2 caused by limitations in Natural Language Processing  
3 technology. The current system provides a system and method  
4 which uses a method of pre- and post- parse processing free-  
5 form text which takes account of limitations in Natural  
6 Language Processing technology and common variations in  
7 writing, which would otherwise result in an answer being  
8 marked incorrectly.

9

10 In the prior art information extraction systems and other  
11 types of systems are known for the electronic scoring of text.

12

13 US Patent No. 6 115 683 refers to a system for automatically  
14 scoring essays, in which a parse tree file is created to  
15 represent the original essay. This parse tree file is then  
16 morphology-stripped and a concept extraction program applied  
17 to create a phrasal node file. This phrasal node file is then  
18 compared to predefined rules and a score for the essay  
19 generated. This system is not an information extraction  
20 system, as the entire essay is represented in parse tree  
21 format, i.e.-no information is extracted from the text. This  
22 prior system also does not provide for the pre- and post-parse  
23 processing of text. Thus, no account is taken of commonly  
24 made errors or of the limitations of Natural Language  
25 Processing, so the answers may be marked wrongly as a result.

26

27 US Patent No. 5 371 807 to Digital Equipment Corporation  
28 refers to the parsing of natural language text into a list of  
29 recognised key words. This list is used to deduce further  
30 facts, then a "numeric similarity score" is generated.

31 However, rather than using this similarity score to determine  
32 if the initial text is correct or incorrect in comparison to  
33 the pre-defined keywords, they are used to determine which of

1 a plurality of categories is most similar to the recognised  
2 keywords.

3

4 US Patent No. 6 076 088 refers to an information extraction  
5 system which enables users to query databases of documents.

6 US Patent No. 6 052 693 also utilises an information  
7 extraction process in the assembly of large databases from  
8 text sources. These systems do not apply information  
9 extraction processes to the marking of free-form text as the  
10 current system does.

11

12 It is an object of at least one embodiment of the present  
13 invention to provide a system and method for the computer-  
14 based assessment of free-form text against a standard for such  
15 text, comprising means to prepare a semantic-syntactic  
16 templates from the standard, means to compare these templates  
17 with a semantically-syntactically tagged form of the free-form  
18 text, and means for deriving an output assessment in  
19 accordance with the result of the comparison.

20

21 It is a further object of at least one embodiment of the  
22 present invention to provide a system and method for the  
23 electronic assessment of free-form text which pre- and post-  
24 parse processes free-form text in order to take account of  
25 deficiencies in natural language processing parsers and errors  
26 and/or idiosyncrasies which are common in text answers.

27

28 Within this document, the statements of invention and claims,  
29 the term 'lemmatisation' refers to the reduction of a variant  
30 word to its root form. For example, past tense verbs are  
31 converted to present tense form -e.g,- "swept" to "sweep".

32

1 Within this document, the statements of invention and claims,  
2 the terms "pre-parse processing" and "post-parse processing"  
3 refer to processes which can be incorporated into each other  
4 (e.g.- the pre-parse processing techniques may be incorporated  
5 into the post-parse process, and vice versa) or otherwise  
6 altered in order of execution.

7

8 According to the first aspect of the present invention there  
9 is provided an information extraction system for the computer-  
10 based assessment of free-form text against a standard for such  
11 text.

12

13 According to the second aspect of the present invention there  
14 is provided an information extraction system for the computer-  
15 based assessment of free-form text against a standard for such  
16 text, the system comprising means to prepare a semantic-  
17 syntactic template from the standard means to compare this  
18 template with a semantically-syntactically tagged form of the  
19 free-form text, and means for deriving an output assessment in  
20 accordance with the comparison.

21

22 Typically, the system uses natural language processing to pre-  
23 process each mark scheme answer to generate a template of  
24 semantic and syntactic information for that answer.

25

26 Preferably, the natural language processing parses the mark  
27 scheme answer into constituent parts such as nouns, verbs,  
28 adjectives, adverbs, modifiers and prepositions.

29

30 More preferably, data-representations of the constituent parts  
31 of each mark scheme answer are submitted to semantic analysis.

32

1 Optionally, the semantic analysis removes superfluous words  
2 from the syntactic structure of the mark scheme answer.

3

4 Once the superfluous words have been removed, the remaining  
5 words may be lemmatised.

6

7 Typically, the remaining words are annotated with semantic  
8 information, including information such as synonyms and mode  
9 of verbs (e.g. positive or negative).

10

11 Optionally, additional information relating to the structure  
12 of allowable pattern-matches is introduced to derive data  
13 representative of a template against which a range of  
14 syntactically and semantically equivalent phrases can be  
15 matched.

16

17 Optionally, the template data and test data are available to  
18 the human operator for testing and modifying the template  
19 derived for the mark scheme answers.

20

21 Typically, the mark scheme answer template also includes the  
22 identification code of the question.

23

24 Typically, the mark scheme answer template also includes the  
25 total number of marks available for each part of the answer.

26

27 Typically, the mark scheme answer template also includes the  
28 number of marks awarded per matched answer.

29

30 Preferably, the system applies natural language processing to  
31 the submitted student answer.

32

1 Typically, the natural language processing parses the student  
2 answer into constituent parts such as nouns, verbs,  
3 adjectives, adverbs, modifiers and prepositions.

4

5 The data representations of the constituent parts of each  
6 student answer may be submitted to semantic analysis.

7

8 The words in the student answer may be lemmatised, by which  
9 variant forms of words are reduced to their root word.

10

11 Typically, the words in the student answer are annotated with  
12 semantic information, including information such as mode of  
13 verbs, verb subject, etc (e.g. positive and negative).

14

15 The system may utilise data supplied from a lexical database.

16

17 Preferably, a comparison process is carried out between the  
18 key syntactic structure of the mark scheme answer's template  
19 (with semantic information tagged on) and the key syntactic  
20 structure of the student answer (with semantic information  
21 tagged on) to pattern-match these two structures.

22

23 This process may be carried out using data from a database of  
24 pattern-matching rules specifying how many mark-scheme answers  
25 are satisfied by a student answer submitted in an examination.

26

27 Preferably, a mark-allocation process is performed in  
28 accordance with the result of the comparison process.

29

30 More preferably, the mark-allocation process is also performed  
31 in accordance with data supplied from a database which  
32 specifies how many marks are to be awarded for each of the  
33 correctly-matched items of the submitted student answer.



1

2 Preferably, the output of the mark-allocation process provides  
3 a marking or grading of the submitted student answer.

4

5 More preferably, the output of the mark-allocation process  
6 provides feedback or information to the student regarding the  
7 standard of their submitted answer.

8

9 Optionally, the student can receive information on which mark  
10 scheme answer or answers he or she received credit for in  
11 their answer.

12

13 The student may receive information on alternate or improved  
14 ways in which they could have worded their answer to gain  
15 increased marks.

16

17 The processing of student answers to produce the output  
18 marking or grading may be performed in real time.

19

20 This processing may be performed by means of the Internet.

21

22 According to the third aspect of the present invention, there  
23 is provided a method of extracting information for the  
24 computer-based assessment of free-form text against a standard  
25 for such text, the method comprising the steps of:

26       Preparing a semantic syntactic template from the pre-  
27       defined standard for the free-form text;

28

29       Preparing a semantically syntactically tagged form of the  
30       submitted free-form text;

31

32       Comparing the standard template with the tagged submitted  
33       text;

1

2 Deriving an output assessment in accordance with the  
3 comparison.

4

5 Preferably, the pre-defined standard for the free-form text is  
6 parsed using natural language processing.

7

8 More preferably, the submitted free-form text is semantically  
9 and syntactically tagged using natural language processing.

10

11 Typically, this processing extracts the constituent parts of  
12 the mark scheme answers, for example (but not limited to):

13

14 Nouns;

15 Verbs;

16 Modifiers;

17 Prepositions;

18 Adjective;

19 Adverbs;

20 Any of the abovementioned word types.

21

22 Optionally, the extracted words are lemmatised to reduce  
23 variant forms of these words to their root form.

24

25 Typically, the extracted words are annotated with semantic  
26 information such as (but not limited to):

27

28 The word;

29 The word type ;

30 The word's matching mode.

31

32 Optionally, extracted verbs are further annotated with  
33 semantic information such as (but not limited to):

1

2

The verb's mode;

3

The verb's subject;

4

The verb's subject type;

5

The verb's subject matching mode.

6

7 Preferably, the processed mark scheme template is compared  
8 with the semantically-syntactically tagged form of the  
9 submitted free-form text by trying each possible parse of the  
10 submitted answer against the associated mark scheme until each  
11 parse has been awarded all the available marks for this  
12 question, or until no more parses remain in the submitted  
13 answer.

14

15 Typically, the method utilises "synsets" in comparing the  
16 standard template with the tagged submitted text, which  
17 comprise a list of synonym words for each of the Tagged words  
18 in the mark scheme.

19

20 Preferably, a match is formed between template and submitted  
21 text when a word in each synset list for a template mark  
22 scheme answer is uniquely matched against a word in the  
23 submitted text, and all synset lists for the individual mark  
24 scheme answer are matched.

25

26 Optionally, a human operator tailors the template  
27 appropriately for the mark scheme answers.

28

29 This human operator may act in conjunction with data in a  
30 store related to semantic rules.

31

32 This human operator may act in conjunction with data in a  
33 store related to a corpus or body of test data.

1

2 According to the fourth aspect of the present invention, there  
3 is provided a system for the computer-based assessment of  
4 free-form text, characterised in that the text is processed to  
5 take account of common errors.

6

7 Optionally, the system is capable of processing text written  
8 by children to take account of errors which are common to  
9 children's writing.

10

11 Typically, these errors include errors of punctuation,  
12 grammar, spelling and semantics.

13

14 Preferably, the input text is pre-parse processed to increase  
15 its chances of being successfully parsed by natural language  
16 processing.

17

18 More preferably, the pre-parse processing comprises character  
19 level pre-parse processing and word level pre-parse  
20 processing.

21

22 Optionally, character level pre-parse processing involves  
23 processing each character of the submitted input string in  
24 turn, applying rules to facilitate the natural language  
25 processing of the text.

26

27 Optionally, word level pre-parse processing involves  
28 processing each word of the submitted input string in turn,  
29 spell checking each word, replacing words with more than a set  
30 number of characters and substituting recognised  
31 concatenations of words with expanded equivalents.

32

1 Optionally, common collocations of words are replaced with a  
2 single equivalent word or tag.

3

4 Preferably, the input text is post-parse processed to allow  
5 sentences which are clear in meaning but may not successfully  
6 parse during natural language processing to be successfully  
7 parsed and assessed.

8

9 Post-parse processing of input text may make allowances for  
10 sentences containing semantic or grammatical errors which may  
11 not match with the mark scheme.

12

13 According to the fifth aspect of the present invention, a  
14 custom spell checking algorithm is used to employ information  
15 about the context of misspelled words to improve spell  
16 checking.

17

18 Preferably, the algorithm employs commercially available spell  
19 checking software.

20

21 Optionally, the commercially available spell checking software  
22 gives preference to words which appear in the mark scheme when  
23 suggesting alternative words to misspelled words.

24

25 Optionally, the suggested alternative word put forward by the  
26 spell checking software is lemmatised and put forward as a  
27 suggestion, giving preference to words which appear in the  
28 mark scheme.

29

30 According to the sixth aspect of the present invention there  
31 is provided a computer program comprising program instructions  
32 for causing a computer to perform the process of extracting  
33 information for the computer-based assessment of free-form

1 text against a standard for such text, the method comprising  
2 the steps of:

3

4       Preparing a semantic syntactic template from the pre-  
5       defined standard for the free-form text;

6

7       Preparing a semantically syntactically tagged form of the  
8       submitted free-form text;

9

10       Comparing the standard template with the tagged submitted  
11       text;

12

13       Deriving an output assessment in accordance with the  
14       comparison.

15

16 According to the seventh aspect of the present invention there  
17 is provided a computer program comprising program instructions  
18 which, when loaded into a computer, constitute the processing  
19 means of an information extraction system for the computer-  
20 based assessment of free-form text against a standard for such  
21 text, the system comprising means to prepare a semantic-  
22 syntactic template from the standard means to compare this  
23 template with a semantically-syntactically tagged form of the  
24 free-form text, and means for deriving an output assessment in  
25 accordance with the comparison.

26

27 According to the eighth aspect of the present invention there  
28 is provided a computer program comprising program instructions  
29 which, when loaded into a computer, constitute the processing  
30 means of an information extraction system for the computer-  
31 based assessment of free-form text against a standard for such  
32 text, the system comprising means to prepare a semantic-  
33 syntactic template from the standard means to compare this

1 template with a semantically-syntactically tagged form of the  
2 free-form text, and means for deriving an output assessment in  
3 accordance with the comparison.

4

5 In order to provide a better understanding of the present  
6 invention, an example will now be described by way of example  
7 only with reference to the accompanying figures in which:

8

9 Figure 1 illustrates the process of assessing free-form text  
10 against a text marking scheme;

11

12 Figure 2 illustrates the hierarchy of data structures  
13 extracted from the free-form text answer submitted by the  
14 student;

15

16 Figure 3 illustrates the hierarchy of data structures found in  
17 the answers of the pre-defined mark scheme;

18

19 Figure 4 illustrates the pattern-matching algorithm used to  
20 compare the student answer to the mark scheme answer;

21

22 Figure 5 illustrates the process of marking of a parse of the  
23 student answer against the mark scheme answer;

24

25 Figure 6 illustrates the calculation of whether a mark should  
26 be awarded or not for a particular part of the mark scheme for  
27 a single parsed student answer;

28

29 Figure 7 illustrates the matching of a single parsed student  
30 answer against a single relevant valid pre-defined mark scheme  
31 answer;

32

1 Figure 8 illustrates the pattern-matching of nouns, verbs,  
2 modifiers or prepositions in the student answer against nouns,  
3 verbs, modifiers or prepositions in the relevant part of the  
4 pre-defined mark scheme answer;

5

6 Figure 9 illustrates the matching of one phrase in the student  
7 answer to a synset list (i.e. a list of tagged words from the  
8 mark scheme containing one or more synonym words);

9

10 Figure 10 illustrates the matching of a single phrase found in  
11 the preposition of the student answer against a synset list of  
12 tagged words found in the preposition of the mark scheme;

13

14 Figure 11 illustrates the matching of each word in a single  
15 phrase found in the student answer against each single tagged  
16 word in the mark scheme, checking the type of the tagged word  
17 and calling the appropriate matching scheme;

18

19 Figure 12 illustrates the matching of each word in a single  
20 phrase found in the student answer against each single tagged  
21 word in the mark scheme, if the type of word is a noun or  
22 "ANYTYPE";

23

24 Figure 13 illustrates the matching of words if the type of  
25 word is a verb;

26

27 Figure 14 illustrates the matching of words if the type of  
28 word is a modifier; and

29

30 Figure 15 illustrates the operations of pre- and post-parse  
31 processing of free-form text to take account of commonly made  
32 errors in the text.

33



1 Although the embodiment of the invention described hereafter  
2 with reference to the drawing comprise computer apparatus and  
3 processes performed in computer apparatus, the invention also  
4 extends to computer programs, particularly computer programs  
5 on or in a carrier, adapted for putting the invention into  
6 practice. The program may be in the form of source code,  
7 object code, a code intermediate source and object code such  
8 as in partially compiled form, or any other form suitable for  
9 use in the implementation of the processes according to the  
10 invention. The carrier may be any entity or device capable of  
11 carrying the program.

12

13 For example, the carrier may comprise a storage medium, such  
14 as ROM, for example a CD ROM or a semiconductor ROM, or a  
15 magnetic recording medium, for example a floppy disc or hard  
16 disk. Further, the carrier may be a transmissible carrier such  
17 as an electrical or optical signal which may be conveyed via  
18 electrical or optical cable or by radio or by other means.

19

20 When the program is embodied in a signal which may be conveyed  
21 directly or by a cable or other device or means, the carrier  
22 may be constituted by such cable or other device or means.

23 Alternatively, the carrier may be an integrated circuit in  
24 which the program is embedded, the integrated circuit being  
25 adapted for performing, or for use in the performance of, the  
26 relevant processes.

27

28 Referring firstly to Figure 1, a flow diagram is depicted  
29 illustrating the electronic assessment of free-form text, e.g.  
30 - student answers to examination or test questions where the  
31 answer is in a free-form text format and is assessed against a  
32 free-form text mark-scheme. Natural language processing is  
33 used to pre-process each mark-scheme answer to generate a

1 template containing a semantic and syntactic information for  
2 that answer; this procedure is required to be carried out only  
3 once for each mark-scheme answer. Each answer submitted in  
4 the test or examination is similarly processed in natural  
5 language to syntactically and semantically tag it, and is then  
6 pattern-matched against the mark-scheme template. The extent  
7 of match with the template determines the degree to which the  
8 submitted answer is deemed to be correct, and marks or grades  
9 are allocated according to the mark scheme.

10

11 Data-sets in accordance with the free-form text mark-scheme  
12 answers are entered as a preliminary step 1 into the computer-  
13 based system. The data is operated on in a natural-language  
14 parsing process 2 which deconstructs the free-form text into  
15 constituent parts, including verbs, nouns, adjectives,  
16 adverbs, prepositions, etc. The derived data-representations  
17 of the constituent parts of each answer are submitted in step  
18 3 to a semantic-analysis process 4.

19

20 In the semantic analysis of process 4 the syntactic structure  
21 is pruned of superfluous words, and the remaining words  
22 lemmatised (by which variant forms such as "going" and "went"  
23 are reduced to the verb "go") and annotated with semantic  
24 information, including synonyms, mode of verbs (positive or  
25 negative), etc. Additional information relating to the  
26 structure of allowable pattern matches is introduced, so as to  
27 derive in step 5 data representative of a template against  
28 which a range of syntactically and semantically equivalent  
29 phrases can be matched. The template is representative of key  
30 syntactic elements of the mark scheme, tagged with semantic  
31 information and pattern-matching information, utilising data  
32 supplied from a lexical database 6.

33

1 A human operator who uses natural language experience and  
2 knowledge, acts in conjunction with data from data store 8, to  
3 tailor the template appropriately for the mark-scheme answers.  
4 The data in store 8 is related to a corpus or body of test  
5 data, the data being available to the operator for testing and  
6 modifying the template derived in process 5.

7

8 Student answer text 11 is pre-parse processed to give the  
9 input text an improved chance of being parsed by the natural  
10 language parser 12. The pre-parse processed answer, which may  
11 be broken into constituent parts such as sentences or phrases  
12 9 is parsed using the natural language processing parser 12  
13 corresponding to that of process 2. The derived data  
14 representations of the constituent parts of each answer may  
15 then submitted in step 13 to semantic tagging process 14. In  
16 this process, key words are lemmatised and additional semantic  
17 information may be attached, including e.g., modes of verbs,  
18 with the help of lexical database 6, to produce in step 15 the  
19 key syntactic structure of the answer with semantic  
20 information tagged on.

21 A comparison process 20 is now carried to pattern match the  
22 semantic-syntactic text of step 15 with the template of step  
23 5. The process 20 is carried out to derive in step 22 mark-  
24 scheme matching data. This latter data specifies how many, if  
25 any, mark-scheme answers are satisfied by the answer submitted  
26 in the test or examination. A mark-allocation process 23 is  
27 performed in accordance with this result and data supplied by  
28 a database 24. The data from the database 24 specifies how  
29 many marks are to be awarded for each of the correctly-matched  
30 items of the submitted answer, and the resultant output step  
31 25 of the process 23 accordingly provides a marking or grading  
32 of the submitted answer. If necessary, post-parse processing  
33 21 takes place to address poor spelling and punctuation in the

1 input text which might otherwise prevent the parser and text  
2 marking algorithm from performing to an acceptable standard.  
3 The process of steps 11-23 continues until all the marks  
4 available have been awarded, or all the parts of the original  
5 answer have been processed (including pre-parse processing 10  
6 and post-parse processing 21) and any marks which were due  
7 have been awarded.

8

9 The processing of answers submitted in the test or  
10 examination, to produce the output marking or grading may be  
11 performed in real time online (for example, via the Internet).  
12 The procedure for the preparation of the semantic-syntactic  
13 template, since it needs to be carried out only once, may even  
14 so be off-line.

15

16 Referring to Figure 2, the free-form text Student Answer 11  
17 undergoes natural language processing. The Student Answer 11  
18 contains free-form text made up of noun phrases, verb phrases,  
19 modifier phrases and prepositional phrases. These phrases are  
20 extracted from the Student Answer 11 text and stored as Phrase  
21 Lists 26. Each Phrase 27 in the Phrase Lists 26 contains a  
22 list of Tagged Words 28, lemmatised versions of the words in  
23 this list and, optionally, the rootword if the phrase is a  
24 preposition. Each Tagged Word 28 contains the word, its type  
25 (noun, verb, modifier or ANYTYPE), its mode (used only for  
26 verbs), its Matching Mode (ie, if it is required or  
27 conditional) and, if the word is a verb, its subject, subject  
28 type and subject matching mode.

29

30 Referring to Figure 3, Mark Scheme 1 is parsed using natural  
31 language processing. The Mark Scheme 1 hierarchy is made up  
32 of Mark Scheme Answer 29, which in turn contains the question  
33 number's i.d. and a list of Answer Parts 30. Answer Part 30

1 contains a list of Answer Objects 31, each representing a  
2 valid answer according to the mark scheme 1, the total number  
3 of marks available for this particular Answer Part 30 and the  
4 number of marks awarded per match answer. Answer Object 31  
5 contains the text of the original Mark Scheme Answer 29, plus  
6 a list of Tagged Words 32 made up of the word, its type (noun,  
7 verb, modifier or 'anytype'), its mode used only for verbs,  
8 its 'Matching Mode' (i.e., if it is required or conditional)  
9 and, if the word is a verb, its subject, subject type and  
10 subject matching mode.

11

12 Referring to Figure 4, the process of pattern-matching the  
13 student answer against the mark scheme answer is shown. This  
14 is a top level routine which is provided with the raw text of  
15 the student answer and the i.d. of the question. It first  
16 obtains the part of the mark scheme associated with that  
17 particular questions (step 33). It then, optionally, breaks up  
18 the student answer into sentences or phrases (this is optional  
19 because short or single phrase answers will not be broken  
20 up). It then gets all possible parses of each phrase or  
21 sentence (step 34). It tries each parse (after lemmatising the  
22 words contained therein, step 35) against the associated mark  
23 scheme (step 36) until all the available marks for this  
24 question have been awarded (step 37), or no more  
25 sentences/phrases are left (step 38). In the latter case, the  
26 number of marks the answer received (zero or more) are  
27 totalled and returned.

28

29 Referring to Figure 5, step 36 of Figure 4 is expanded upon as  
30 the current parse of the student answer is compared against  
31 the relevant mark scheme answer. This routine has access to  
32 the appropriate Mark Scheme Answer for this questions (see  
33 Figure 3). It is passed in Phrase Lists of nouns, verbs,

1 modifiers and prepositional phrases extracted from one parse  
2 of the student answer. This process awards a mark to the  
3 student answer for each part of the mark scheme (step 39) and  
4 returns these marks as a list (step 40).

5

6 Referring to Figure 6, step 39 of Figure 5 is expanded upon as  
7 it is calculated whether a mark should be awarded to a  
8 particular part of the student answer for a particular part of  
9 the mark scheme. This routine has access to one Answer Part  
10 of a Scheme Answer for this question (see Figure 3). The  
11 routine is provided with Phrase Lists of nouns, verbs,  
12 modifiers and prepositional phrases extracted from one part of  
13 the student answer. It marks the student answer against the  
14 current valid answer of the mark scheme (step 41). If the  
15 answers match, the "best mark" total is added to (step 42).  
16 Finally, the best mark achieved by the student answer in this  
17 Answer Part is returned (step 43).

18

19 Referring to Figure 7, step 41 of Figure 6 is expanded upon,  
20 as the relevant part of the student answer is compared against  
21 the relevant valid answer of the mark scheme. This routine  
22 has access to one Answer Object (see Figure 3) which  
23 represents one valid answer according to the mark scheme. It  
24 is passed in Phrase Lists of nouns, verbs, modifiers and  
25 prepositional phrases extracted from one parse of the student  
26 answer. It then tries to match the student answer Phrase  
27 Lists against the valid answer's Answer Object (step 44),  
28 returning true if it succeeds, false if otherwise.

29

30 Referring to Figure 8, step 44 of Figure 7 is expanded upon as  
31 specific types of words (ie, nouns, verbs, modifiers and  
32 prepositions) are matched to the mark scheme answer. This  
33 routine has access to one Phrase List (see Figure 2) extracted

1 from the student answer. It is passed in a list of "synsets",  
2 each synset being a list of Tagged Words from the mark scheme  
3 (see Figure 3). Each list contains one or more synonym words  
4 (which may be either nouns, verbs or modifiers). The routine  
5 tries to match the words in the mark scheme against the words  
6 in this Phrase List (step 45), returning true if it succeeds  
7 and false if otherwise. For the process to return true (i.e.-  
8 match), a word in each synset list must be uniquely matched  
9 against a word in the student answer, i.e.- a word in the  
10 student answer can only match a word in one synset list. All  
11 synsets must be matched to return true.

12

13 Referring to Figure 9, step 45 of Figure 8 is expanded upon.  
14 This routine has access to one phrase extracted from the  
15 student answer (see Figure 2). It is passed in a synset list  
16 of Tagged Words from the mark scheme (see Figure 3). Each  
17 list contains one or more synonym words, which may be either  
18 nouns, verbs or modifiers. The routine tries to match the  
19 words in the synset list against the words in this phrase  
20 (step 47), returning true if it succeeds and false otherwise.  
21 If the synset list is from a prepositional phrase, it is put  
22 through a different routine (step 46) which will be detailed  
23 below.

24

25 Referring to Figure 10, step 46 of figure 9 is expanded upon.  
26 This routine has access to one Phrase (see Figure 2) extracted  
27 from the student answer. It is passed in a synset list of  
28 Tagged words (see Figure 3) found in the preposition of the  
29 mark scheme. Each list contains one or more synonym words  
30 (which may be either nouns, verbs or modifiers). The routine  
31 tries to match the words in the synset list against the words  
32 in this Phrase, returning true if it succeeds, false if  
33 otherwise. The logic in returning true if a match is found is

1 that if the root word is conditional then the preposition as a  
2 whole is treated as conditional. For each synonym in the  
3 synset list, the routine then tries to find a word in the  
4 student answer which matches (step 48). The matching process  
5 will depend on whether the word being matched is a noun, verb  
6 or modifier.

7

8 Referring to Figure 11, step 48 of Figure 10 is expanded upon.  
9 This routine has access to one Phrase extracted from the  
10 student answer. The routine is passed in a single Tagged Word  
11 found in the mark scheme (see Figure 3). The routine checks  
12 the type of the Tagged Word and calls the appropriate matching  
13 routine (steps 49, 50 and 51).

14

15 Figure 12 expands upon step 49 of Figure 11 when a noun is  
16 matched, or a word of ANYTYPE. The routine has access to one  
17 Phrase extracted from the student answer (see Figure 2). It  
18 is passed in a single Tagged Word found in the mark scheme  
19 (see Figure 3), which should be a noun or ANYTYPE (step 52).  
20 The routine checks the words against each lemmatised word in  
21 the Phrase, returning true if a match is found. It is at this  
22 point (53) that the actual text of the mark scheme word and  
23 student answer words is compared. This is the lowest level  
24 operation in the matching algorithm.

25

26 There is also a special case, whereby if there were no nouns  
27 in the Phrase, and the mark scheme word is conditional, then  
28 this is also taken as a match (step 54).

29 Referring to Figure 13, this routine has access to one phrase  
30 extracted from the student answer (see Figure 2). It is  
31 passed in a single Tagged Word found in the mark scheme (see  
32 Figure 3), which should be a verb. The routine check the word  
33 against each lemmatised word in the Phrase, returning true if



1 a match is found (55). This may optionally, include checking  
2 that the subject matches, depending on whether the mark scheme  
3 word has the subject set or not (56). There is also a special  
4 case whereby if there are no verbs in the Phrase and the mark  
5 scheme words is conditional, then this is also taken as a  
6 match (57).

7

8 Referring to Figure 14, this routine has access to one Phrase  
9 extracted from the student answer (see Figure 2). It is  
10 passed in a single Tagged Word found in the mark scheme (see  
11 Figure 3), which should be a modifier. The routine checks the  
12 word against each word in the Phrase, returning true if a  
13 match is found (53). There is also a special case, whereby if  
14 there were no modifiers in the Phrase, and the mark scheme  
15 word is conditional, then this is also taken as a match (59).

16

17 Referring to Figure 15, the process of pre- and post-parse  
18 processing is shown. Pre-parse processing at point 60  
19 prepares the free-form text to give it the best chance of  
20 being effectively parsed by the parser. Any additional words  
21 prepended to the answer during preparsing are removed from the  
22 parse before marking.

23

24 Errors of poor spelling, punctuation or grammar will often  
25 lead to a failure to parse, or a parse which does not properly  
26 reflect the meaning of the input text. Pre-parse processing  
27 attempts to reduce or eliminate such problems. Pre-parse  
28 processing proceeds through two stages: Character Level pre-  
29 parse processing and Word Level pre-parse processing.

30

31 1. Character level pre-parse processing involves processing  
32 each input string in turn, applying rules to carry out

1       such effects as converting the text to full sentences and  
2       eliminating punctuation errors.

3

4       Word level pre-parse processing involves processing each word  
5       of the input string in turn, applying the following rules  
6       (provided by way of example and not limited to the following):

7

- 8       1.     Spell check each word, as described below.
- 9       2.     Replace words with more than 30 characters with the text  
10       "longword".  Such words cannot be valid input, and can  
11       cause problems with some parsers.
- 12       3.     Substitute recognised concatenations of words by expanded  
13       equivalents,  
14                 e.g. replace "aren't" by "are not"  replace "isn't"  
15                 by "is not", replace "shouldn't" by "should not",  
16                 replace "they've" by "they have" etc.

17

18       At this stage, a spell checking algorithm is applied in  
19       conjunction with spell checking software, and the following  
20       rules are applied to each word to be spell checked:

21

- 22       1.     If the word is recognised by the spell checking software,  
23       return the original word (i.e. it is spelled correctly).
- 24       2.     If it is recognised, obtain a list of suggestions from  
25       the spell checking software.
- 26       3.     If there are no suggestions from the spell checking  
27       software, return the original word.
- 28       4.     Loop through each suggested word applying the following  
29       rules.
  - 30           a.     If the current suggested word is in the mark scheme  
31           associated with the current question, return the  
32           current suggestion as the new word.
  - 33           b.     If not, lemmatise the current suggested word.

- 1           c.    If the lemmatised version of the current suggested  
2           word is in the mark scheme associated with the  
3           current question, return the lemmatised version of  
4           the current suggestion as the new word.
- 5           d.    If not, get the next suggested word.
- 6    5.    If none of the suggested words, lemmatised or otherwise,  
7           were in the mark scheme, return the first suggested word  
8           in the list (which the spell checking software has deemed  
9           is the most likely).

10

11 Pre-parse processing addresses poor spelling and punctuation  
12 in the input text which might otherwise prevent the parser and  
13 text marking algorithm from performing to an acceptable  
14 standard. There are, however, other attributes of student  
15 answers which can result in marks being withheld by the system  
16 where they might otherwise have been awarded. Thus, the  
17 process of post-parse processing addresses sentences which,  
18 although clear in meaning to a human marker, may not parse  
19 when processed by the system (even after pre-parse processing)  
20 and sentences containing semantic or grammatical errors which  
21 result in parses which will not match the mark scheme.

22

23 The electronic assessment system may be used in the following  
24 ways, which are provided by way of example only to aid  
25 understanding of its operation and are not intended to limit  
26 the future operation of the system to the specific embodiments  
27 herein described. Each of the three worked examples shows a  
28 different student answer being marked against the same part of  
29 a mark scheme.

30

31 The following text is part of a science examination question:

32

1 "John dropped a glass bottle of blue copper sulphate  
2 crystals. The bottle broke and glass was mixed with the  
3 crystals.

4  
5 a) suggest how John or a teacher could clear up the  
6 mixture safely, without cutting themselves.

7 *1 mark*

8  
9 The mark scheme answer associated with this part of the  
10 question is as follows.

11  
12 a) pick it up with a dustpan and brush

13 *accept 'sweep it up' or 'hoover it up' or*  
14 *'use a vacuum cleaner'.*

15  
16 *accept 'wear gloves' or 'use tweezers'.*

17  
18 So, for the system to operate, the system needs to be set up  
19 to accept versions of **all** the valid answers specified in the  
20 mark scheme (plus others which are equivalent). However in the  
21 following worked examples, we use just the one valid mark  
22 scheme answer : **"sweep it up"**. The examples will show how the  
23 following student answers are marked, thus :

24  
25 **"The teacher could have swept up the glass"** *gets 1 mark,*  
26 *which is correct.*

27 **"Sweep up"** *gets 1 mark, which is correct.*

28 **"Sweep up the carpet"** *gets 0 marks, which is correct.*

29  
30 The mark scheme has been set up to match student answers which  
31 contain a verb which is a synonym of "sweep", with a  
32 prepositional phrase which contains the word "up" and,  
33 conditionally, a synonym of "mixture". Note that strictly

1 speaking not all the words are synonyms of "mixture", but they  
2 are acceptable equivalents in the context of this mark scheme  
3 answer. The use of **conditional** words in the preposition is to  
4 enable the mark scheme answer to successfully match "sweep up"  
5 but not match "sweep up the carpet".

6

7 The mark scheme developed for "sweep it up"

8 No noun phrase words specified.

9 Verb phrase words :

10 **Synset 1 :**

11 **broom** (mode = affirmative)

12 **sweep** (mode = affirmative)

13 **brush** (mode = affirmative)

14 **hoover** (mode = affirmative)

15

16 No modifier phrase words specified.

17

18 **Prepositional phrase words :**

19 **Synset 1 :**

20 **up** (ANYTYPE, matching = required)

21

22 **Synset 2 :**

23 **mix** (noun, matching = conditional)

24 **mixture** (noun, matching = conditional)

25 **it** (noun, matching = conditional)

26 **glass** (noun, matching = conditional)

27 **bit** (noun, matching = conditional)

28 **mess** (noun, matching = conditional)

29

30 Note that :

31 a) The **type** of a word can be **either noun, verb, modifier,** or

32 **ANYTYPE**. Only words of the same type can be matched with

1 each other, but a word of **ANYTYPE** can match with a word  
2 of any type.

3

4 b) The **mode** in the verbs can be either affirmative or  
5 negative :

6 i. "the dog runs" the verb "run" is affirmative.

7 ii. "the dog will not run" the verb "run" is  
8 negative.

9

10 A **synset** is a list of synonyms. If the mark scheme specifies  
11 more than one synset for a particular syntactic class (as is  
12 the case in the preposition above), then each synset must be  
13 matched. There is a possible exception to this if the words in  
14 a synset are conditional, again this may be better understood  
15 when working through the examples.

16

17 Take as an example the student answer

18 **"The teacher could have swept up the glass".**

19

20 The student answer is parsed (see Figure 4). In this case  
21 there is only one possible parse, which returns the following  
22 Phrases.

23

24 Noun Phrases

25 Phrase 0 : the glass (noun)

26 Phrase 1 : the teacher (noun)

27

28 Verb Phrases

29 Phrase 0 : could (verb, mode = affirmative, subject =

30 teacher) have (verb, mode =

31 affirmative) swept (verb, mode = affirmative) up

32 the glass (noun)

33

34 Modifier Phrases

35 Phrase 0 : up

36 Phrase 1 : the

37 Phrase 2 : the

1

2 Prepositional phrases

3 Phrase 0 : (root=have ) : swept (verb, mode = affirmative)

4 up the glass (noun)

5 Phrase 1 : (root=swept) : up the glass (noun)

6

7

8 The student answer parse is now lemmatised. In this case, the

9 only change is that "swept" becomes "sweep".

10

11 Noun Phrases

12 Phrase 0 : the glass (noun),

13 Phrase 1 : the teacher (noun),

14

15 Verb Phrases16 **Phrase 0** : could (verb, mode = affirmative, subject =

17 teacher) have (verb, mode = affirmative) sweep

18 (verb, mode = affirmative) up the glass (noun)

19

20 Modifier Phrases

21 Phrase 0 : up

22 Phrase 1 : the

23 Phrase 2 : the

24

25 Prepositional phrases

26 Phrase 0 : (root=have) : sweep (verb, mode = affirmative) up

27 the glass (noun)

28 Phrase 1 : (root=sweep) : up the glass (noun)

29

30 Matching of student answer against mark scheme is now

31 described.

32

33 This is a relatively straightforward example. There is only

34 one part to this mark scheme answer, and there is one mark

35 available. The marking process therefore comes down to

1 matching the Phrases in the student answer against the  
2 AnswerObject set up for "sweep it up", as shown at a high  
3 level in Figure 7. In English, the matching process for this  
4 example is summarised as follows.

5

6 Step 1 : Noun Matching

7 No nouns in mark scheme, so no noun matching required to  
8 satisfy mark scheme answer.

9

10 Step 2 : Verb Matching

11 Verb matching searches through each verb phrase of the student  
12 answer in turn looking for words which can be matched against  
13 the verbs specified in the mark scheme

14

15 The mark scheme has one synset of verb phrase words. These are  
16 :

17 broom (mode = affirmative)  
18 sweep (mode = affirmative)  
19 brush (mode = affirmative)  
20 Hoover (mode = affirmative)

21

22 The student answer has one phrase which contains the following  
23 verbs :

24 could (verb, mode = affirmative, subject = teacher)  
25 have (verb, mode = affirmative)  
26 sweep (verb, mode = affirmative)

27

28 The verbs "could" and "have" are not matched, but the verb  
29 sweep is matched, since it is the same verb with the same  
30 mode. If the mark scheme had specified that the verb also had  
31 a subject, then the verb in the student answer would have  
32 needed the same subject in order to match The mark scheme is  
33 therefore satisfied with respect to verbs.



1

2 Step 3 : Modifier Matching

3 No modifiers in mark scheme, so no modifier matching required  
4 to satisfy mark scheme answer.

5

6 Step 4 : Preposition Matching

7 The mark scheme has two synsets of prepositional phrase words.

8 These are :

9

10

11

12

13 up (ANYTYPE, matching = required)

14 and

15 mix (noun, matching = conditional)

16 mixture (noun, matching = conditional)

17 it (noun, matching = conditional)

18 glass (noun, matching = conditional)

19 bit (noun, matching = conditional)

20 mess (noun, matching = conditional)

21

22 For the prepositional phrase of the mark scheme to be matched,  
23 each synset therein must be matched.

24

25 The student answer has two prepositional phrases

26 Phrase 0 : (root=have) : sweep (verb, mode = affirmative) up  
27 the glass (noun)

28 Phrase 1 : (root=sweep) : up the glass (noun)

29

30 Each phrase in turn will be matched against the mark scheme.

31 The mark scheme preposition does not have the root word set,

32 so the root words specified in the student answer

33 prepositional phrases are ignored. The first prepositional

1 phrase of the student answer is successfully matched against  
2 the mark scheme answer, the word "up" is matched and the word  
3 "glass" is matched. The preposition is therefore matched  
4 against the mark scheme, which means that all parts of the  
5 mark scheme have been successfully matched, so the answer "The  
6 teacher could have swept up the glass" matches the mark  
7 scheme, and will be awarded the number of marks specified in  
8 the mark scheme.

9

10 In the second example, the student answer is "sweep up".

11

12 The student answer is parsed (see Figure 4). In this case  
13 there is only one possible parse, which returns the following  
14 Phrases:

15

16 No noun Phrases

17

18 Verb Phrases

19 Phrase 0 : sweep (verb, mode = affirmative) up

20

21 Modifier Phrases

22 Phrase 0 : up

23

24 Prepositional phrases

25 Phrase 0 : (root=sweep) : up

26

27 In this case, lemmatisation doesn't change any of the words.

28

29 The student answer is then matched against the mark scheme.

30 This is a relatively straightforward example. There is only

31 one part to this mark scheme answer, and there is one mark

32 available. The marking process therefore comes down to

33 matching the Phrases in the student answer against the

1 AnswerObject set up for "sweep it up", as shown at a high  
2 level in Figure 7. In English, the matching process for this  
3 example is summarised as follows.

4

5 Step 1 : Noun Matching

6 No nouns in mark scheme, so no noun matching required to  
7 satisfy mark scheme answer.

8

9 Step 2 : Verb Matching

10 Verb matching searches through each verb phrase of the student  
11 answer in turn looking for words which can be matched against  
12 the verbs specified in the mark scheme

13

14 The mark scheme has one synset of verb phrase words. These are  
15 :

16 broom (mode = affirmative)

17 sweep (mode = affirmative)

18 brush (mode = affirmative)

19 Hoover (mode = affirmative)

20

21 The student answer has one phrase which contains the following  
22 verb :

23 sweep (verb, mode = affirmative)

24

25 The verb sweep is matched, since it is the same verb with the  
26 same mode. The mark scheme is therefore satisfied with respect  
27 to verbs.

28

29 Step 3 : Modifier Matching

30 No modifiers in mark scheme, so no modifier matching required  
31 to satisfy mark scheme answer.

32

1 Step 4 : Preposition Matching

2 The mark scheme has two synsets of prepositional phrase words.

3 These are :

4

5 up (ANYTYPE, matching = required)

6 and

7 mix (noun, matching = conditional)

8 mixture (noun, matching = conditional)

9 it (noun, matching = conditional)

10 glass (noun, matching = conditional)

11 bit (noun, matching = conditional)

12 mess (noun, matching = conditional)

13

14 For the prepositional phrase of the mark scheme to be matched,  
15 each synset therein must be matched.

16

17 The student answer has one prepositional phrase

18 Phrase 0 : (root=sweep) : up

19

20 The mark scheme preposition does not have the root word set,  
21 so the root words specified in the student answer  
22 prepositional phrases are ignored.

23

24 The word "up" in the mark scheme preposition is matched in the  
25 student answer. None of the other words in the mark scheme  
26 preposition ("mix", "mixture", "it", "glass", "bit") are found  
27 in the mark scheme. However, because these words have matching  
28 specified as conditional, then this represents a special case.

29 *Conditional words in the preposition of the mark scheme need*  
30 *only be found in the student answer preposition if there is at*  
31 *least one word of the same type as the conditional mark scheme*  
32 *word found in the student answer preposition.* In this case  
33 there are no nouns in the prepositional phrases of the student

1 answer, and so the conditional words in the mark scheme  
2 preposition need not be matched.

3

4 The preposition is therefore matched against the mark scheme,  
5 which means that all parts of the mark scheme have been  
6 successfully matched, so the answer "**sweep up**" matches the  
7 mark scheme, and will be awarded the number of marks specified  
8 in the mark scheme.

9

10

11 In the third example, the student answer is "**Sweep up the**  
12 **carpet**".

13

14 The student answer is parsed (see Figure 4). There are two  
15 parses this time. The first parse is :

16

17 Noun Phrases

18 Phrase 0 : the carpet (noun)

19

20 Verb Phrases

21 Phrase 0 : sweep (verb, mode = affirmative) the carpet  
22 (noun) up

23

24 Modifier Phrases

25 Phrase 0 : up

26 Phrase 1 : the

27

28 Prepositional phrases

29 Phrase 0 : (root=sweep) : the carpet (noun) up

30

31 In this case, lemmatisation doesn't change any of the words.

1  
2 The student answer is then matched against the mark scheme.  
3 This is a relatively straightforward example. There is only  
4 one part to this mark scheme answer, and there is one mark  
5 available. The marking process therefore comes down to  
6 matching the Phrases in the student answer against the  
7 AnswerObject set up for "sweep it up", as shown at a high  
8 level in Figure 7. In English, the matching process for this  
9 example is summarised as follows.

10

11 Step 1 : Noun Matching

12 No nouns in mark scheme, so no noun matching required to  
13 satisfy mark scheme answer.

14

15 Step 2 : Verb Matching

16 Verb matching searches through each verb phrase of the student  
17 answer in turn looking for words which can be matched against  
18 the verbs specified in the mark scheme

19

20 The mark scheme has one synset of verb phrase words. These are  
21 :

22 broom (mode = affirmative)

23 sweep (mode = affirmative)

24 brush (mode = affirmative)

25 Hoover (mode = affirmative)

26

27 The student answer has one phrase which contains the following  
28 verb :

29 sweep (verb, mode = affirmative)

30

31 The verb 'sweep' is matched, since it is the same verb with  
32 the same mode. The mark scheme is therefore satisfied with  
33 respect to verbs.

1

2 Step 3 : Modifier Matching

3 No modifiers in mark scheme, so no modifier matching required  
4 to satisfy mark scheme answer.

5

6 Step 4 : Preposition Matching

7 The mark scheme has two synsets of prepositional phrase words.

8 These are :

9

10 up (ANYTYPE, matching = required)

11 and

12 mix (noun, matching = conditional)

13 mixture (noun, matching = conditional)

14 it (noun, matching = conditional)

15 glass (noun, matching = conditional)

16 bit (noun, matching = conditional)

17 mess (noun, matching = conditional)

18

19 For the prepositional phrase of the mark scheme to be matched,  
20 each synset therein must be matched.

21

22 The student answer has one prepositional phrase

23 Phrase 0 : (root=sweep) : the carpet (noun) up

24

25 The mark scheme preposition does not have the root word set,  
26 so the root words specified in the student answer  
27 prepositional phrases are ignored.

28

29 The word "up" in the mark scheme preposition is matched in the  
30 student answer. None of the other words in the mark scheme  
31 preposition are found in the mark scheme. Since there is a  
32 noun ("carpet") in the preposition of the student answer, then  
33 the conditional nouns ("mix", "mixture", "it", "glass", "bit")

1 in the mark scheme preposition must be matched. Since there  
 2 are no words in the student answer to match any of these  
 3 words, then the mark scheme is not matched.

4

5 In this case there is another parse of the student answer.  
 6 Steps 1 through 4 will therefore be repeated with the next  
 7 parse. In this case, the second parse also fails to match the  
 8 mark scheme answer. The answer "sweep up the carpet" does not  
 9 match the mark scheme, and so no marks will be awarded for  
 10 this part of the mark scheme.

11

12 It must be noted that these examples do not show matching  
 13 where nouns or modifiers are specified in the mark scheme. The  
 14 extension to these cases is straightforward. If one or more  
 15 modifier synsets are specified in the mark scheme then they  
 16 must be matched in the student answer. The same is true for  
 17 nouns. Modifiers and nouns cannot be conditional **unless they**  
 18 **appear in the prepositional phrase of the mark scheme.**  
 19 Modifiers and nouns have no subject or mode.

20

21 The following is an example of each of the character level  
 22 pre-parse processing operations.

23

24 **Input text :**

25 *pre-parse processing....THIS,,is a test.. one & two / three +*  
 26 *four is < five but> zero/0.5 +++ I know 2===2*

27

28 After character level pre-parse processing :

29

30 *pre-parse processing. this, is a test. one and two or*  
 31 *three and four is less than five but greater than zero or*  
 32 *0.5 and I know 2 equals 2*

33



1 The following examples demonstrates the word level pre-parse  
2 processing operations.

3

4 **Input text :**

5 *there isnt a dustpin*

6

7 After word level pre-parse processing :

8 *there is not a dustbin*

9

10 This example replaces the word "isnt" with "is not" and the  
11 misspelled word "dustpin" with "dustbin". If, however the mark  
12 scheme for this question contained the word "dustpan" then the  
13 output would have been as follows.

14

15 **After word level pre-parse processing :**

16 *there is not a dustpan*

17

18 This demonstrates the use of context information, i.e. the  
19 misspelled word was similar to the mark scheme word "dustpan",  
20 and so it, rather than "dustbin" was returned as the spell  
21 checked word. This is an example where contextual spell  
22 checking can result in a mark being awarded for a student  
23 answer which, using simple spell checking, would have been  
24 marked as being wrong.

25

26 Please note that replacing concatenated words (e.g. "isnt" by  
27 "is not") is done to aid in parsing. The spell checking  
28 algorithm of the word level pre-parse processing also helps in  
29 parsing, since words which the parser does not recognise may  
30 cause a parse failure or a mis-parse. However the use of  
31 context information in spell checking will not have a  
32 significant affect on the ability to parse. Where it may have  
33 an affect is in improving the performance of the subsequent

1 marking algorithm, since the student will have been given the  
2 benefit of the doubt in terms of interpreting a misspelled  
3 word as one of the words that contributes towards a correct  
4 answer. Again, this is inline with the way teachers mark  
5 student answers.

6  
7 There is now provided two examples of post-parse processing in  
8 operation. The first example relates to a problem of sentences  
9 which, although clear in meaning to a teacher, may not parse  
10 even after the pre-parse processing operations have been  
11 carried out. The answer "**sweeping it up**" will not parse using  
12 our current parser (different parsers will have difficulty  
13 with different input texts, but all will fail in certain  
14 circumstance). It has been found that, for the current parser,  
15 the majority of sentences which fail to parse can be made to  
16 parse by prepending them with the words "**it is**". For the  
17 current example, this gives "**it is sweeping it up**". This  
18 sentence will parse quite happily, and results in the major  
19 syntactic constituents being correctly recognised. The parser  
20 will identify the verb "**sweep**", with the preposition "**it up**".  
21 It will also however identify the verb "**is**" and the noun "**it**",  
22 which were introduced to aid the parse. Post processing of the  
23 parse is therefore required to remove the words "**it**" and "**is**"  
24 from all lists (verbs, nouns, modifiers, prepositions). In  
25 this way parsing of an "unparsable" sentence is achieved  
26 without introducing any words in the resultant parse which  
27 were not in the original text.

28

29 Generally, we may prepend a number of word patterns to aid  
30 parsing, and may also substitute word patterns which cause  
31 known parsing problems, in order to overcome deficiencies in  
32 natural language processing parsers.

1 The second example relates to a problem of sentences where the  
2 student has made a semantic or grammatical error or errors.  
3 These errors may be recognised and overlooked by a teacher,  
4 however such errors will very probably result in parses which  
5 will not match with the mark scheme.

6

7 The student answer "**it is there dog**" will parse using the  
8 current parser, but because the student has used the word  
9 "**there**" instead of the word "**their**", the parse does not  
10 accurately reflect the intended meaning of the sentence. Other  
11 words commonly confused by students in their answers include  
12 "wear" and "where", and "to" and "too".

13

14 In fact the word "**dog**" is omitted from the parse altogether,  
15 and the answer is interpreted by the parser as "**it is there**".  
16 This is not an accurate reflection of the intended meaning of  
17 the student. A teacher in an analytical subject such as  
18 Science will overlook the grammatical error, and award a mark  
19 (assuming "**it is their dog**" would have been a correct answer).

20

21 Problems of semantic or grammatical errors can be addressed by  
22 substituting commonly confused words, in this case by  
23 replacing the word "**their**" by the word "**there**" and re-parsing.

24

25 An advantage of the present invention is that there is  
26 provided an interactive assessment tool which allows students  
27 answer questions in sentence form and have their answers  
28 marked online in real time. This provides the student with  
29 instant feedback on their success or otherwise.

30

31 It is a further advantage of the present invention that the  
32 marking software provides a facility for looking for evidence  
33 of understanding in submitted answers, without penalising the

1 student unduly for common errors of punctuation, spelling,  
2 grammar and semantics. Credit is given for equivalent answers  
3 which may otherwise have been marked as incorrect.

4

5 The current system provide custom pre- and post-parse  
6 processing techniques to be applied to the free-form text  
7 answers. These, in conjunction with natural language  
8 processing tools, utilise several novel natural language  
9 processing algorithms.

10

11 The pre-parse processing module standardises the input text to  
12 enable the parsing process to perform successfully where an  
13 unprocessed answer would otherwise be discounted if processed  
14 by other natural language processing systems and conventional  
15 information extraction systems. The custom developed post-  
16 parse processing module corrects common errors in text answers  
17 which might otherwise result in incorrect marking, as the  
18 answer is clear in meaning but contain errors, i.e.- the  
19 system does not penalise students for poor English if their  
20 understanding of the subject is clearly adequate. Pre- and  
21 post-parse processing techniques seen in the current invention  
22 provide the same level of robustness in marking imperfect or  
23 incomplete answers.

24

25 The utilisation of a novel representation of the syntactic and  
26 semantic constituents parsed text provides the advantage of  
27 enabling the construction of a single mark scheme template  
28 which can map to hundreds (sometimes thousands) of variations  
29 in the input text.

30

31 The system also features a novel semantic pattern-matching  
32 algorithm used to apply the mark scheme templates to the  
33 parsed input text.

- 1
- 2 Further modifications and improvements may be added without
- 3 departing from the scope of the invention herein described.

1 **CLAIMS**

2

3 1. A method for the computer based assessment of a submitted  
4 free-form text against a standard for such text, the method  
5 including the steps of information extraction.

6

7 2. A method as claimed in Claim 1 wherein the steps of  
8 information extraction include the steps of:

9

10 a) Preparing a semantic syntactic template from the  
11 standard text;

12 b) Preparing a semantically syntactically tagged form of  
13 the submitted text;

14 c) Comparing the template with the tagged submitted text;  
15 and

16 d) Deriving an output assessment in accordance with the  
17 comparison.

18

19 3. A method as claimed in Claim 2 wherein steps (a) and (b)  
20 include the step of natural language processing.

21

22 4. A method according to Claim 3 wherein the step of natural  
23 language processing includes the step of parsing the text  
24 into constituent parts.

25

26 5. A method according to Claim 4 wherein the step of natural  
27 language processing further includes the step of  
28 lemmatising the constituent parts.

29

30 6. A method according to Claim 3 or Claim 4 wherein the step  
31 of natural language processing includes the step of tagging  
32 the constituent parts with semantic information.

1 7. A method as claimed in Claim 6 wherein the step of tagging  
2 includes the step of accessing a lexical database.

3

4 8. A method as claimed in Claim 2 wherein before step (c)  
5 there is included a further step of modifying the template  
6 using additional data.

7

8 9. A method as claimed in any one of the Claims 2 to 8 wherein  
9 step (c) includes the step of pattern matching key  
10 syntactic structures of the template and the tagged  
11 submitted text.

12

13 10. A method as claimed in any preceding Claim wherein the  
14 method further includes the step of processing the  
15 submitted text in a contextual spellchecker.

16

17 11. A method as claimed in any one of the Claims 3 to 10  
18 wherein the method further includes the step of pre-parse  
19 processing the submitted text prior to natural language  
20 processing.

21

22 12. A method as claimed in any one of the Claims 3 to 11  
23 wherein the method further includes the step of post-parse  
24 processing the submitted text prior to natural language  
25 processing.

26

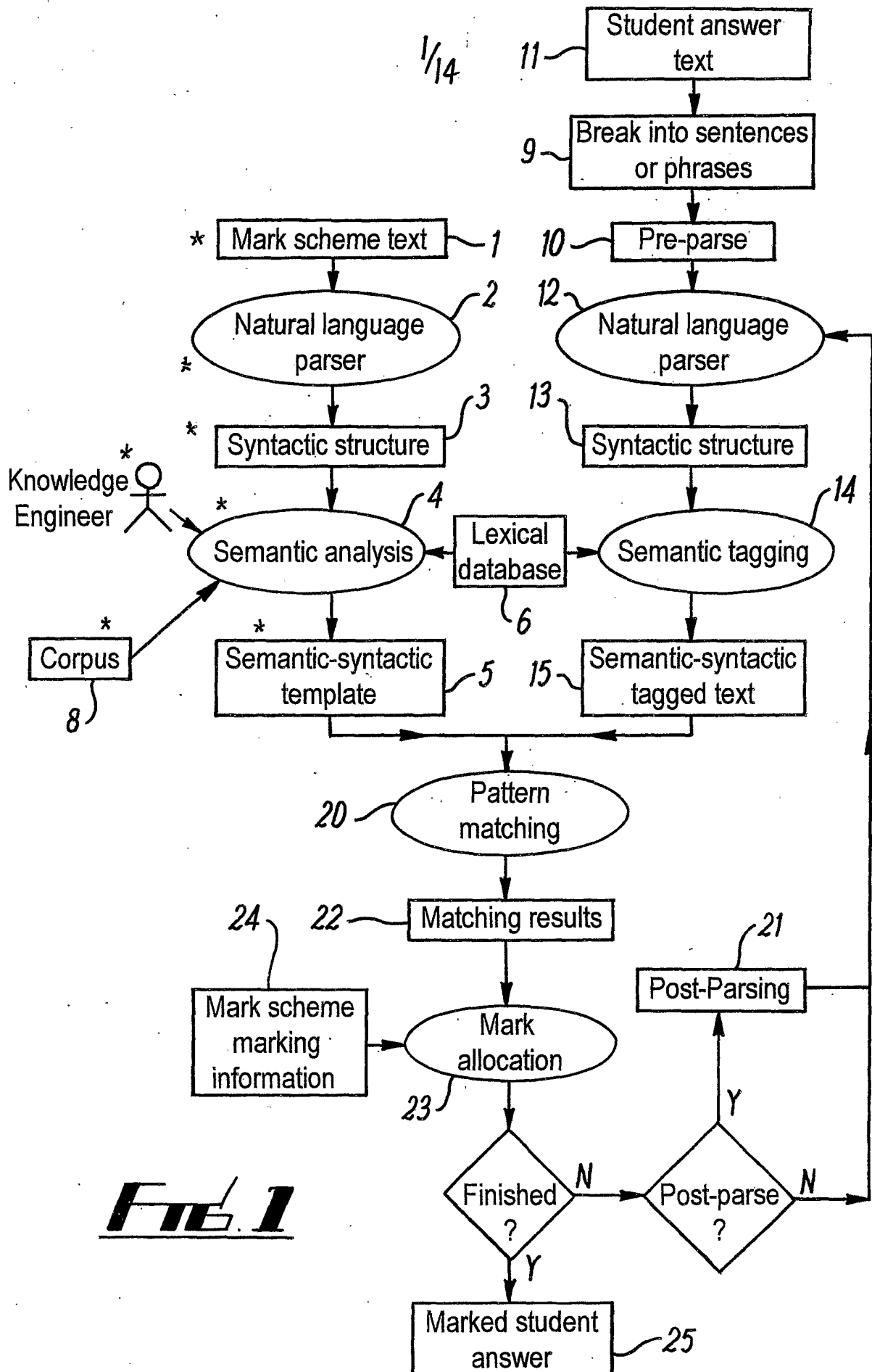
27 13. A system for computer based assessment of a submitted  
28 free-form text against a standard for such text, the system  
29 comprising means to perform the method of any one of the  
30 Claims 1 to 12.

31

32

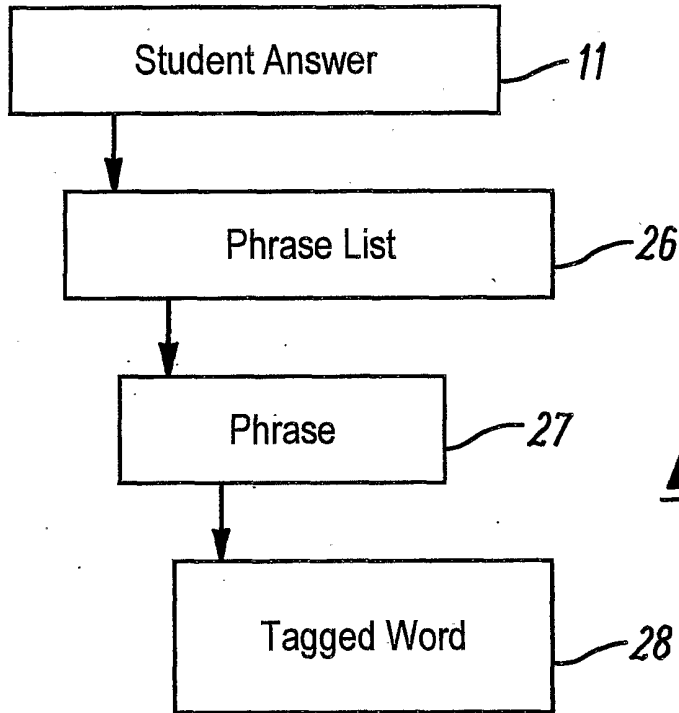
- 1 14. A computer program comprising program instructions for  
2 causing a computer to perform the process of computer-based  
3 assessment of free-form text against a standard for such  
4 text, the method comprising steps of any one of Claims 1 to  
5 12.  
6
- 7 15. A computer program comprising program instructions which,  
8 when loaded into a computer, constitute the processing  
9 means for computer-based assessment of free-form text  
10 against a standard for such text, the system comprising  
11 means to perform the method of any one of Claims 1 to 12.  
12
- 13 16. A method for computer-based marking of an examination  
14 script including the method of any one of Claims 1 to 12  
15 wherein the submitted free-form text is at least one answer  
16 to at least one question of the examination script from at  
17 least one examination candidate, the template is  
18 representative of mark scheme answers to the questions of  
19 the examination script and the output assessment is a  
20 grading of the candidates answers to the examination  
21 script.  
22
- 23 17. A method as claimed in any one of Claims 1 to 12, 14 or  
24 16 wherein the method is performed in real time.  
25
- 26 18. A method as claimed in any one of Claims 1 to 12, 14, 16  
27 or 17 wherein the method is performed over the Internet.  
28



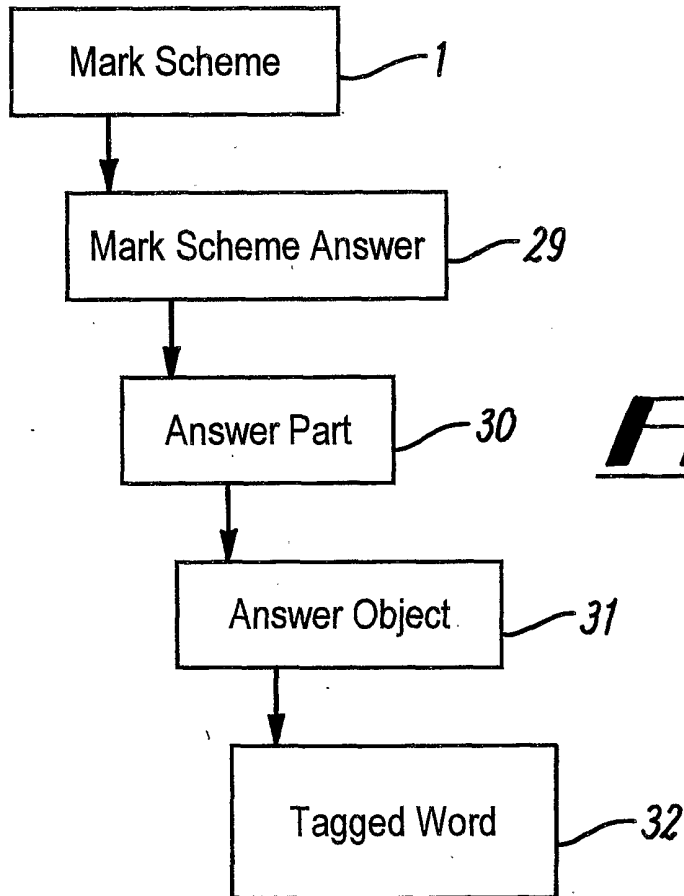


**FIG. 1**

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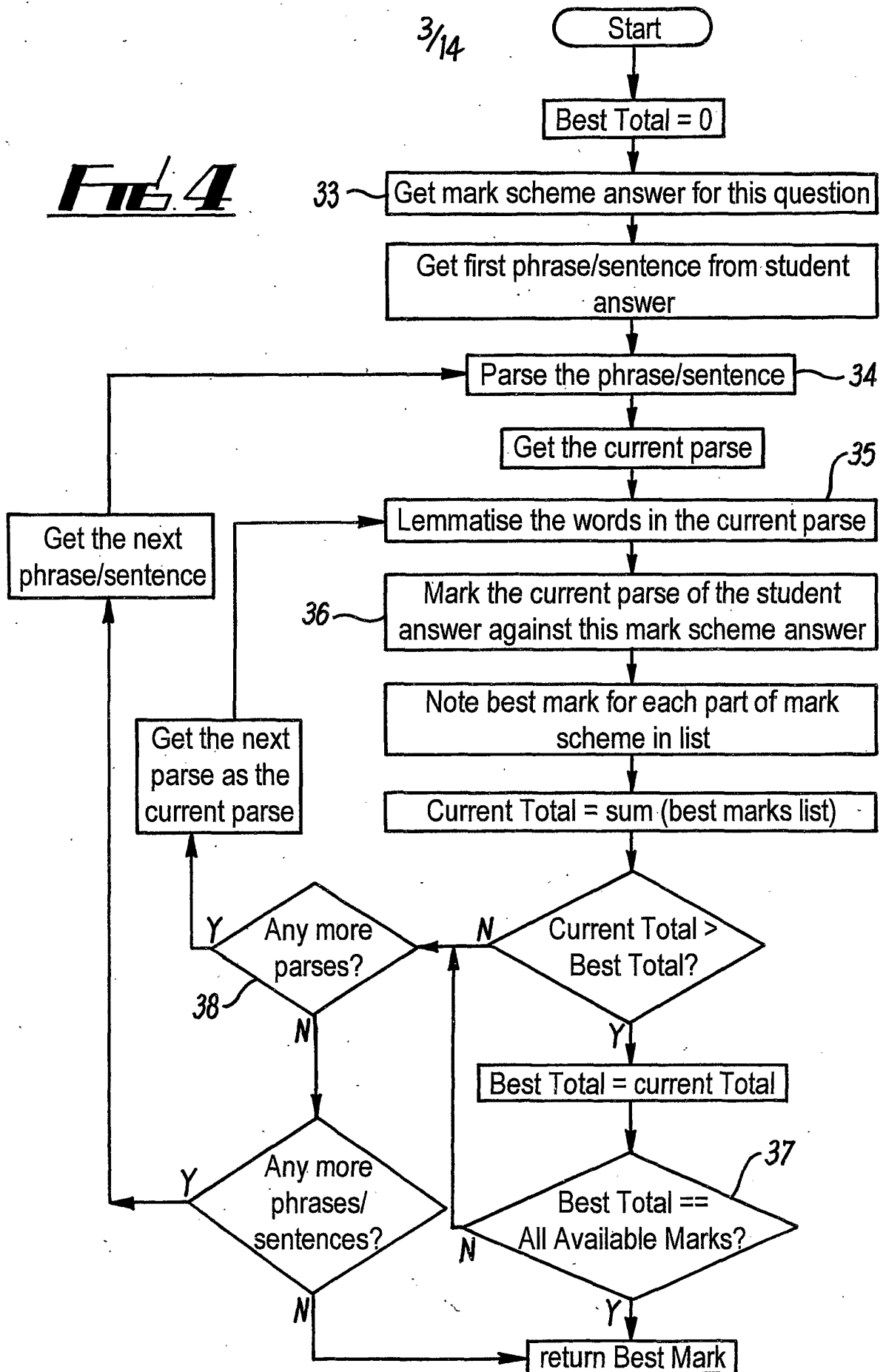
**FIG. 2**

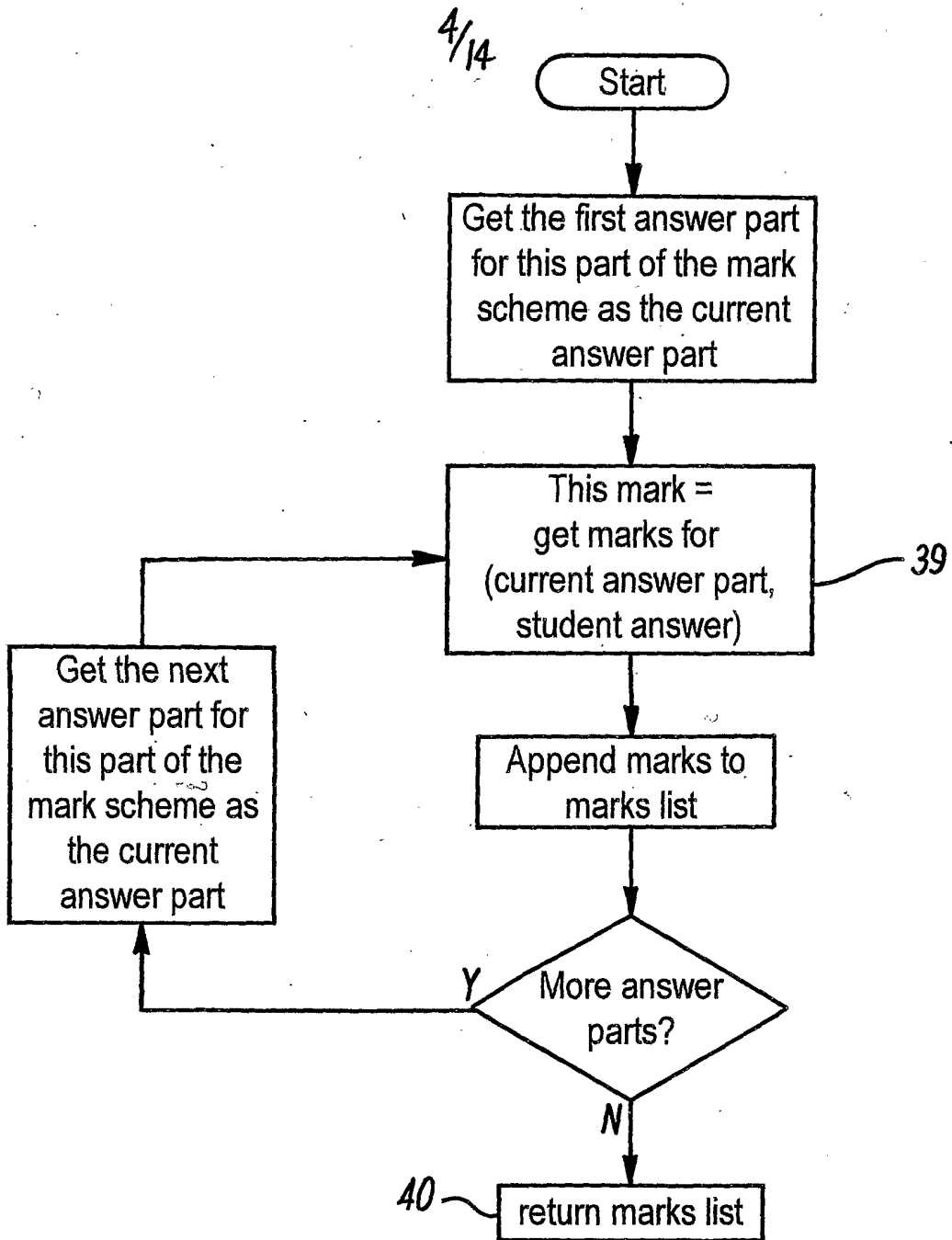


**FIG. 3**

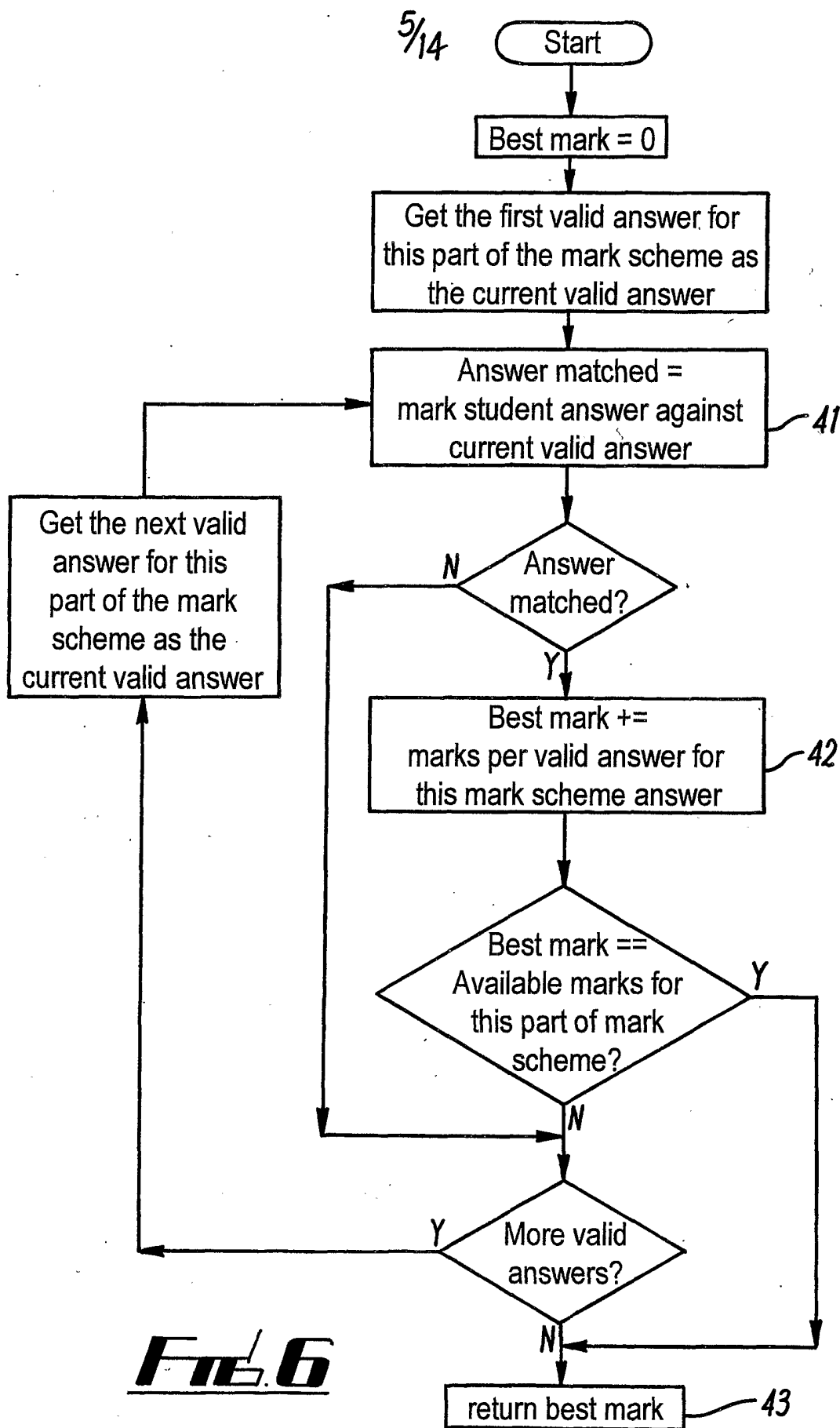
**FIG 4**

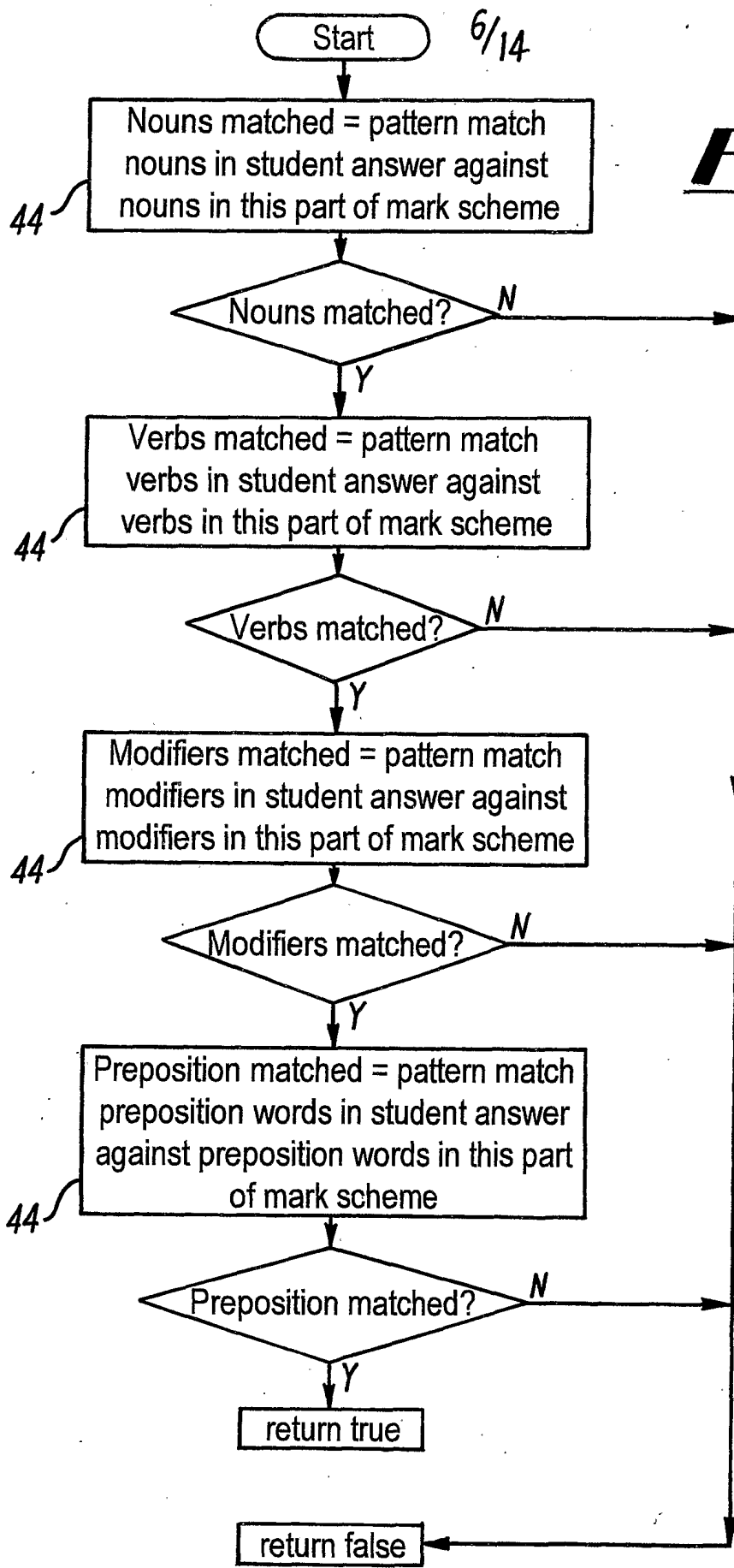
3/14



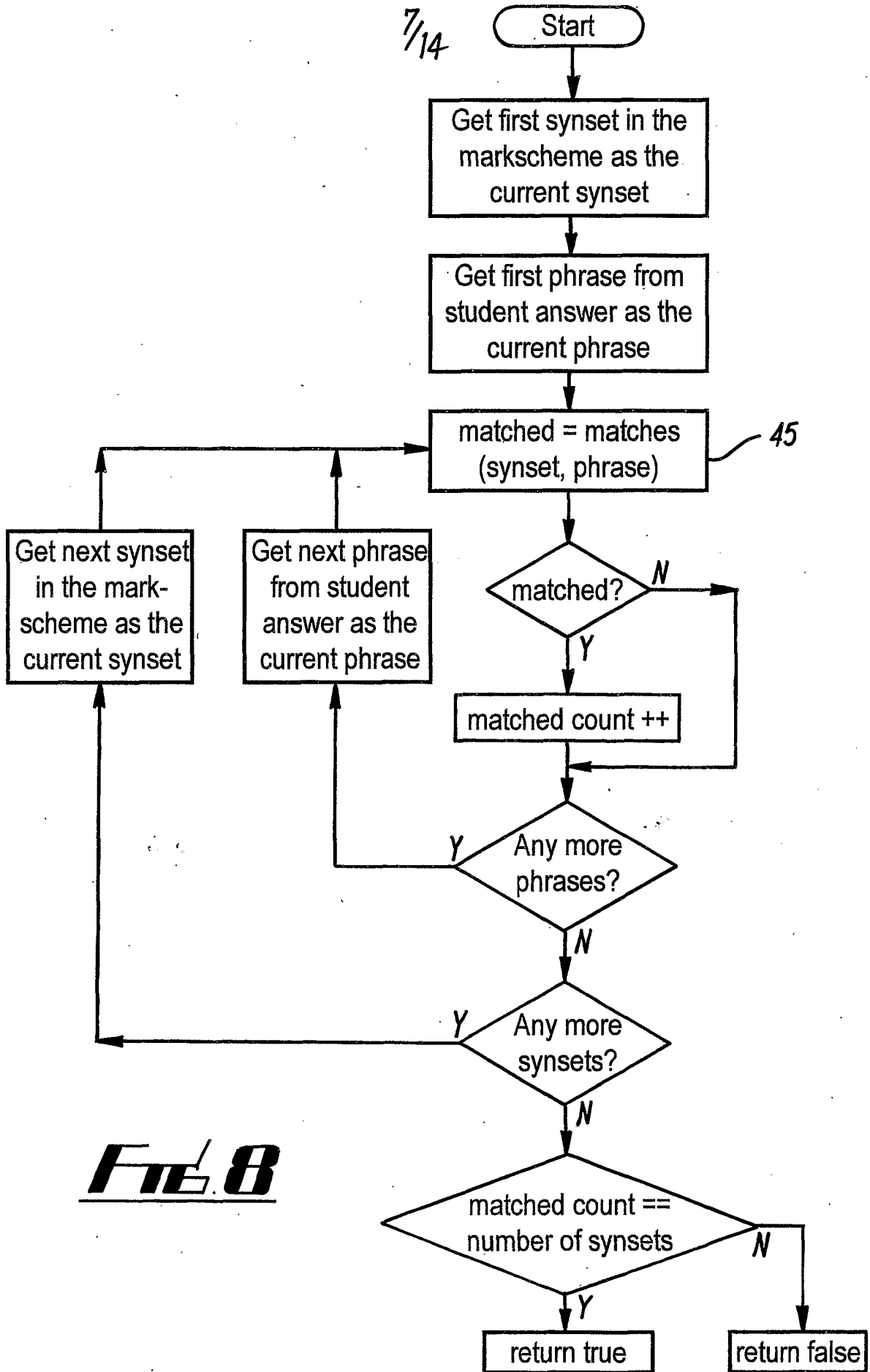


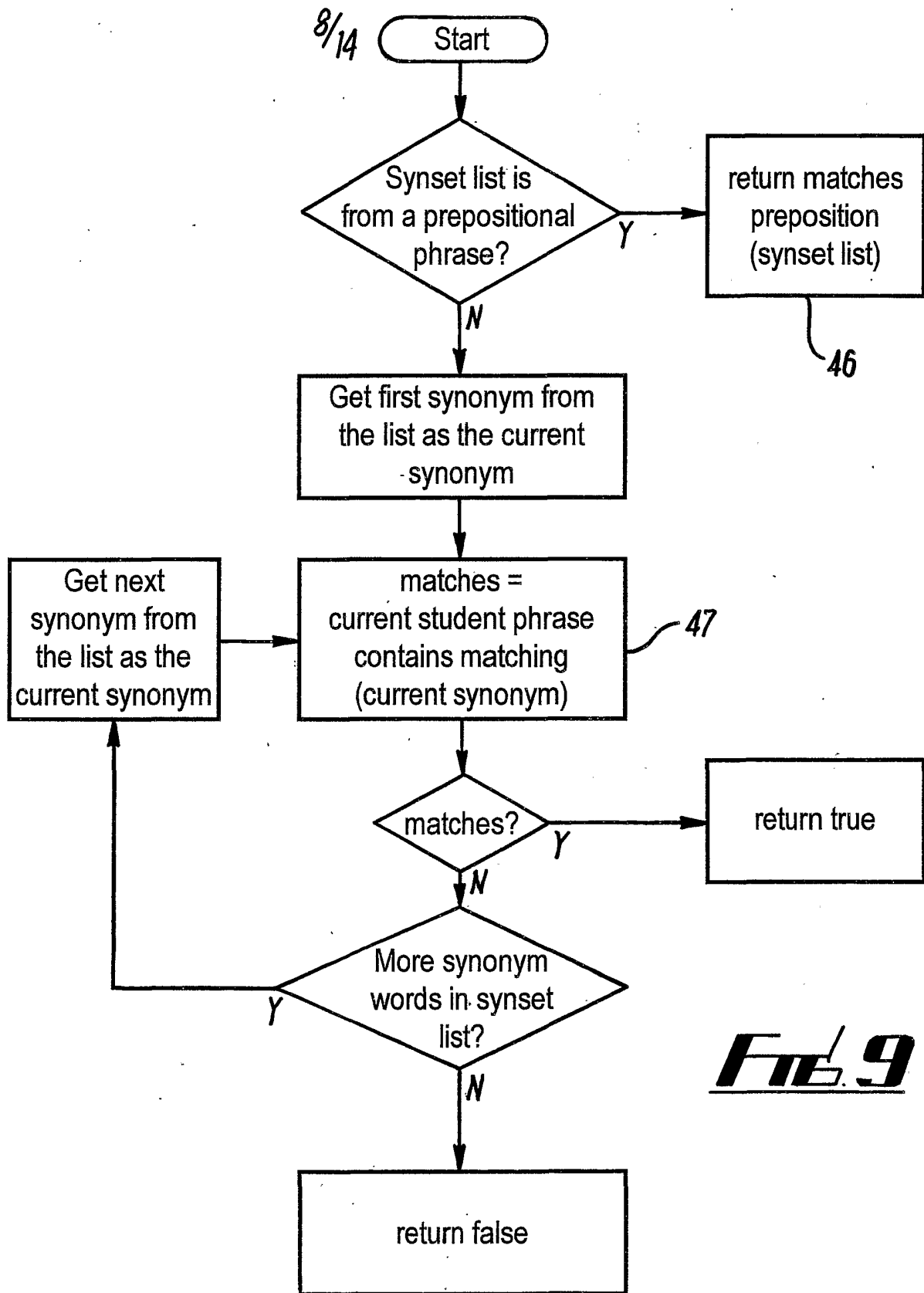
**FIG. 5**



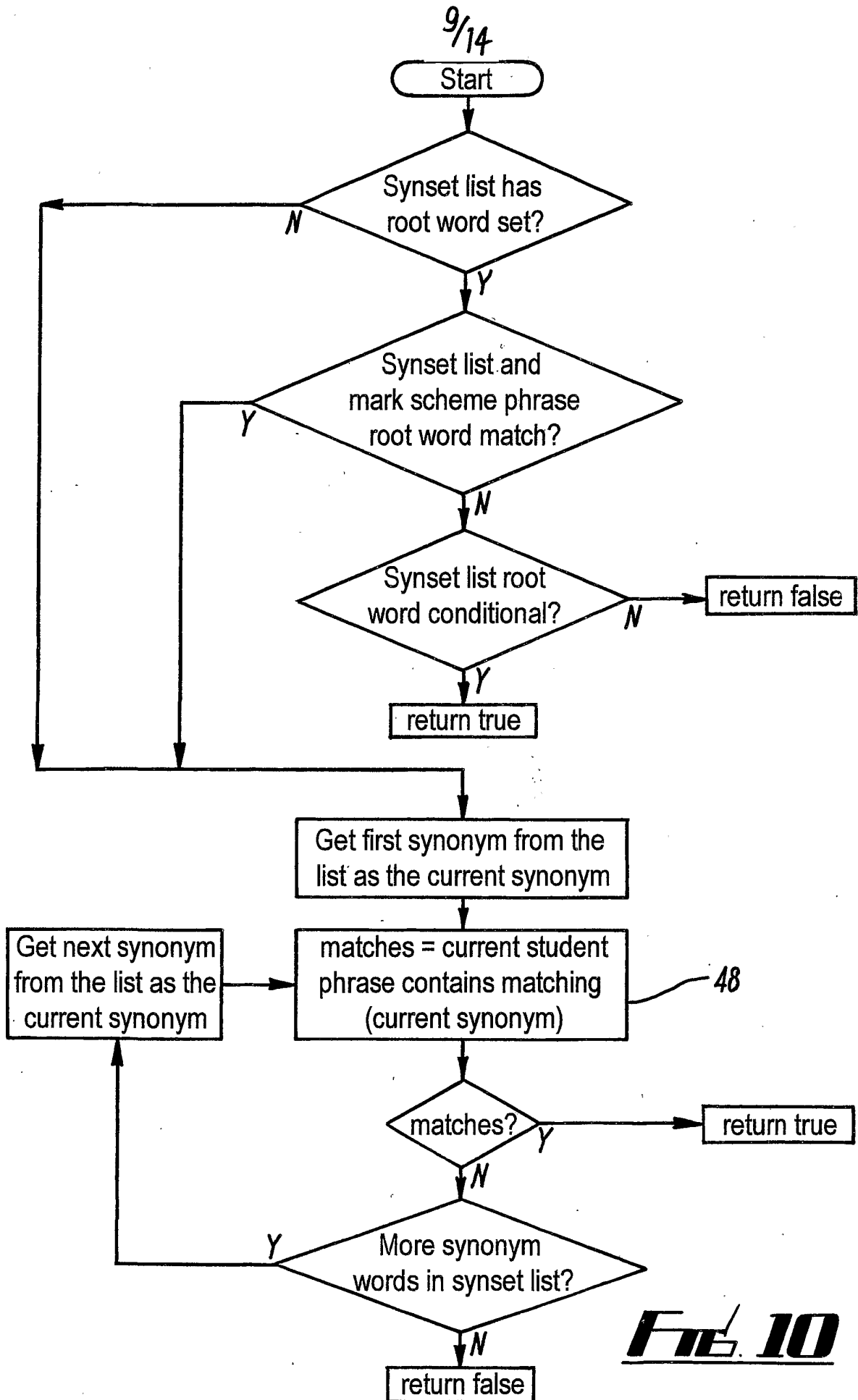


***FIG. 7***

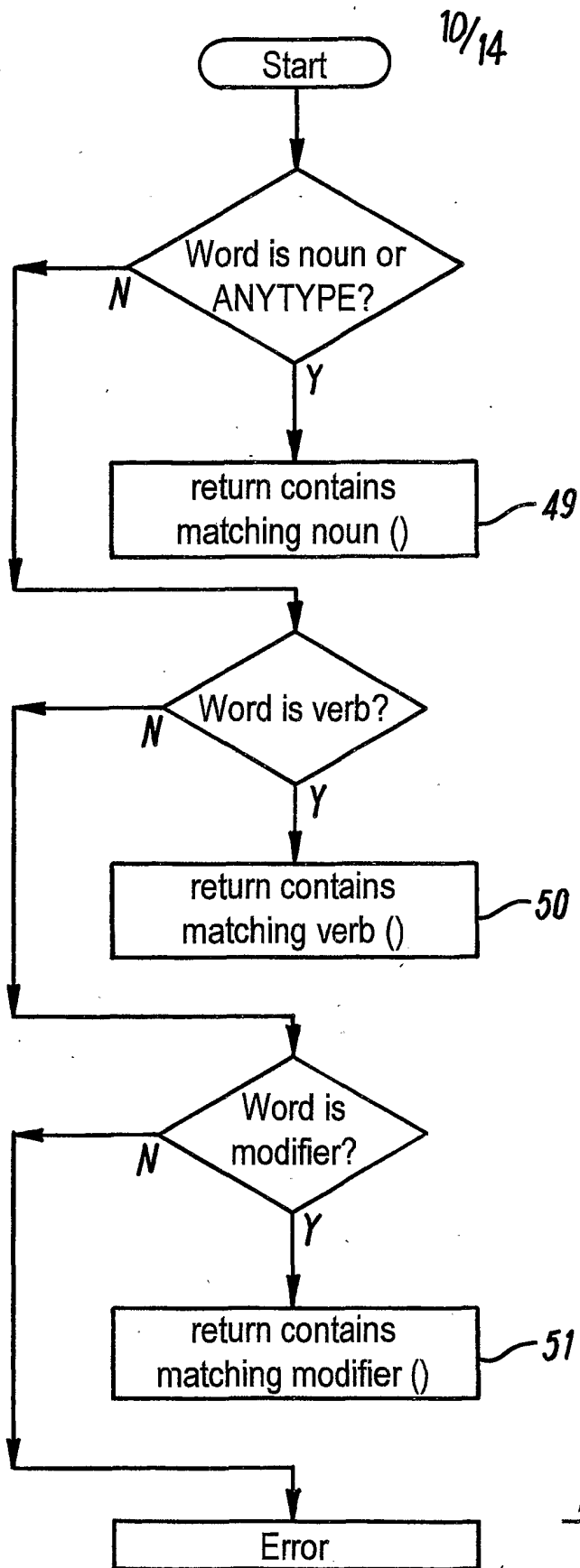




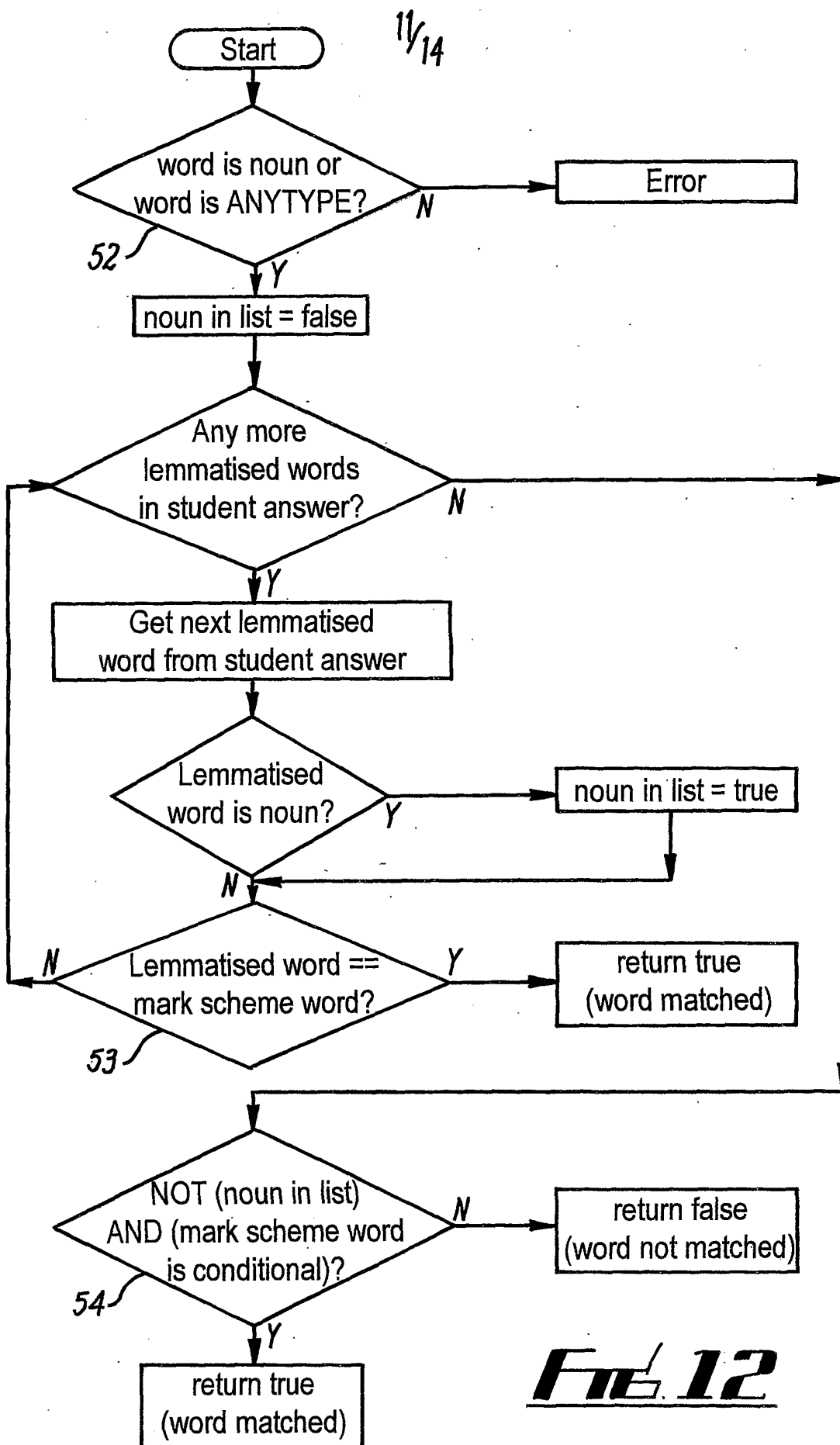




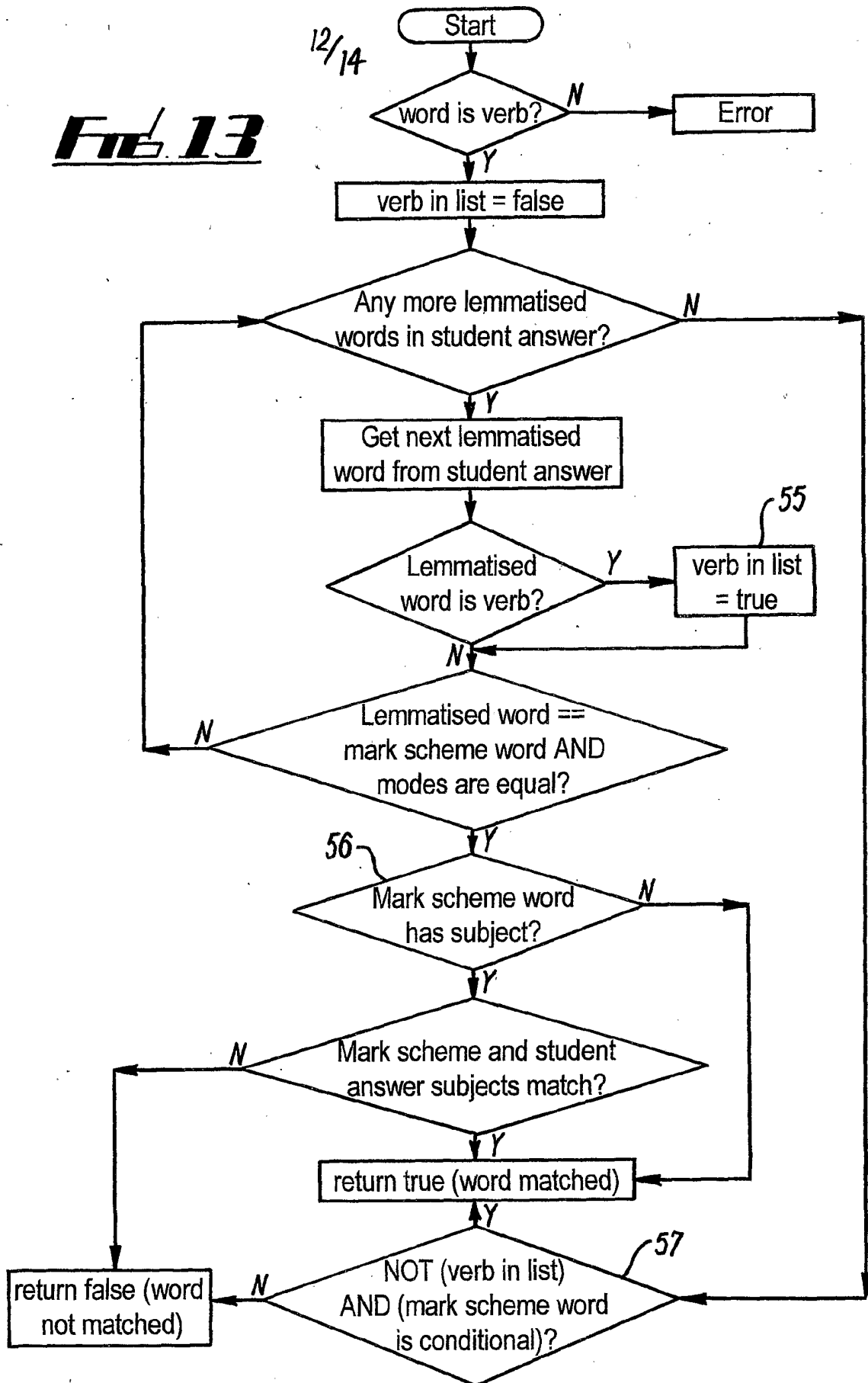
**FIG. 10**



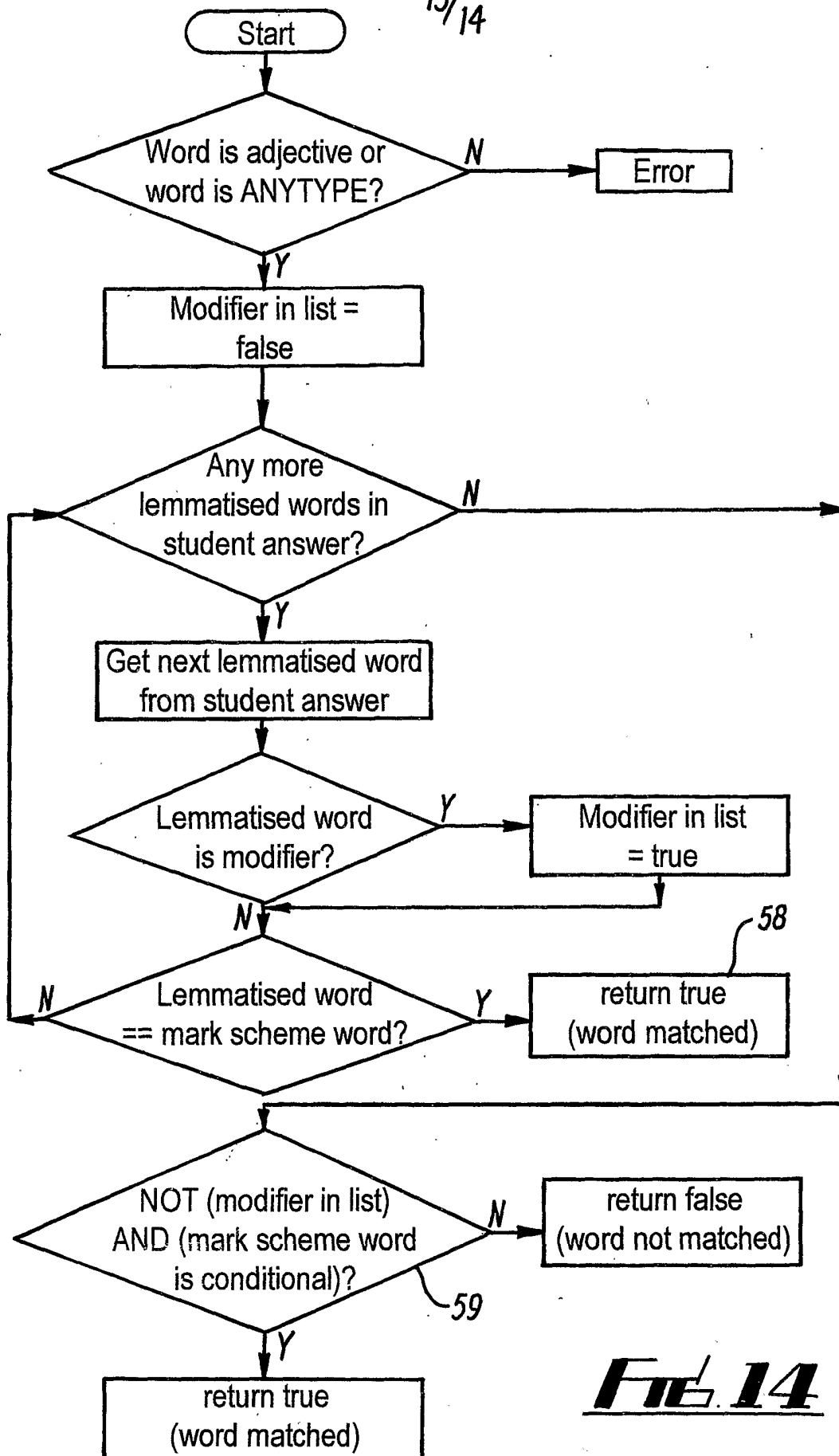
**FIG. 11**



**FIG. 13**

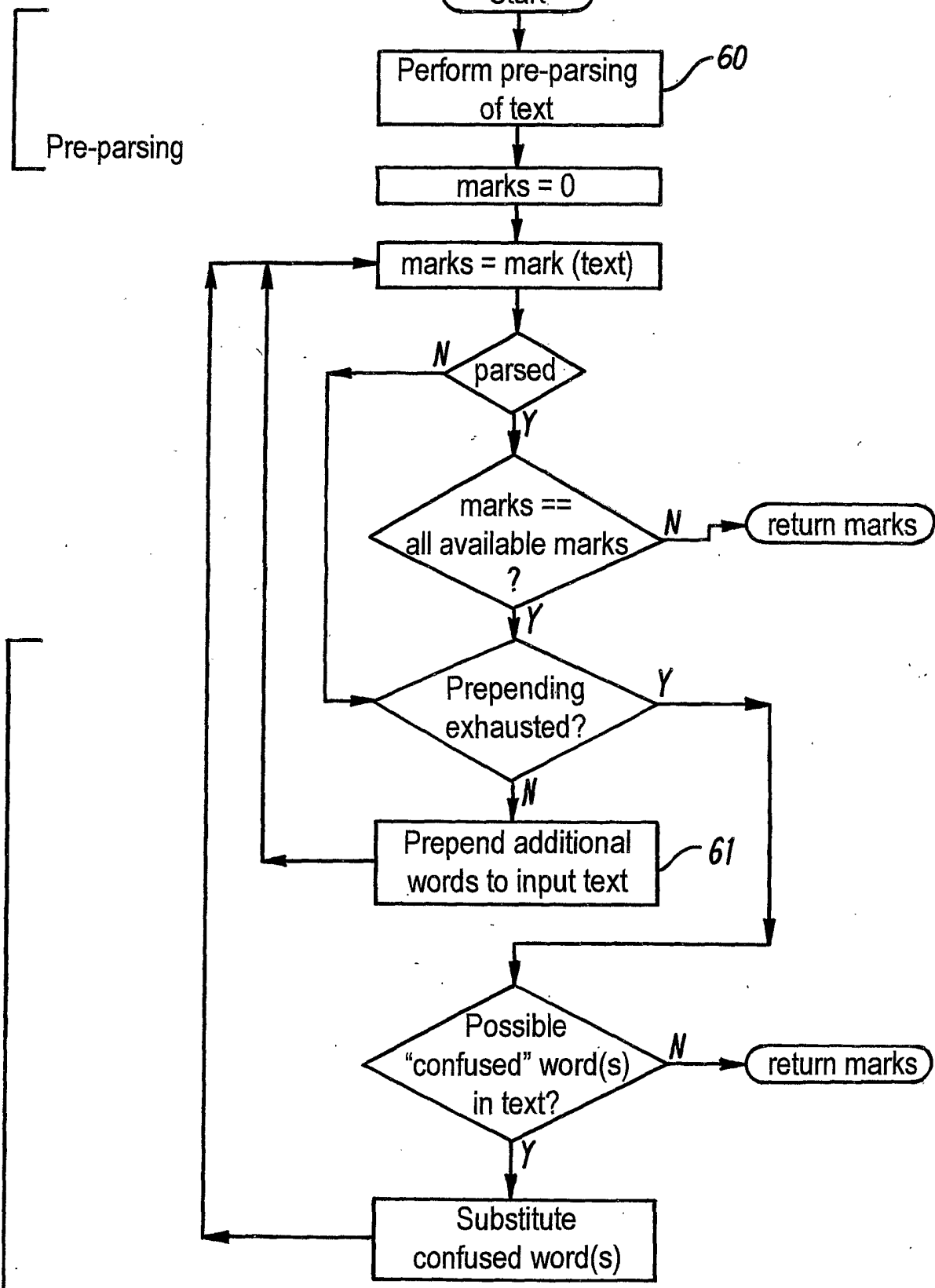


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**FIG. 14**

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Pre-parsing

These operations are termed post-parsing

***FIG. 15***