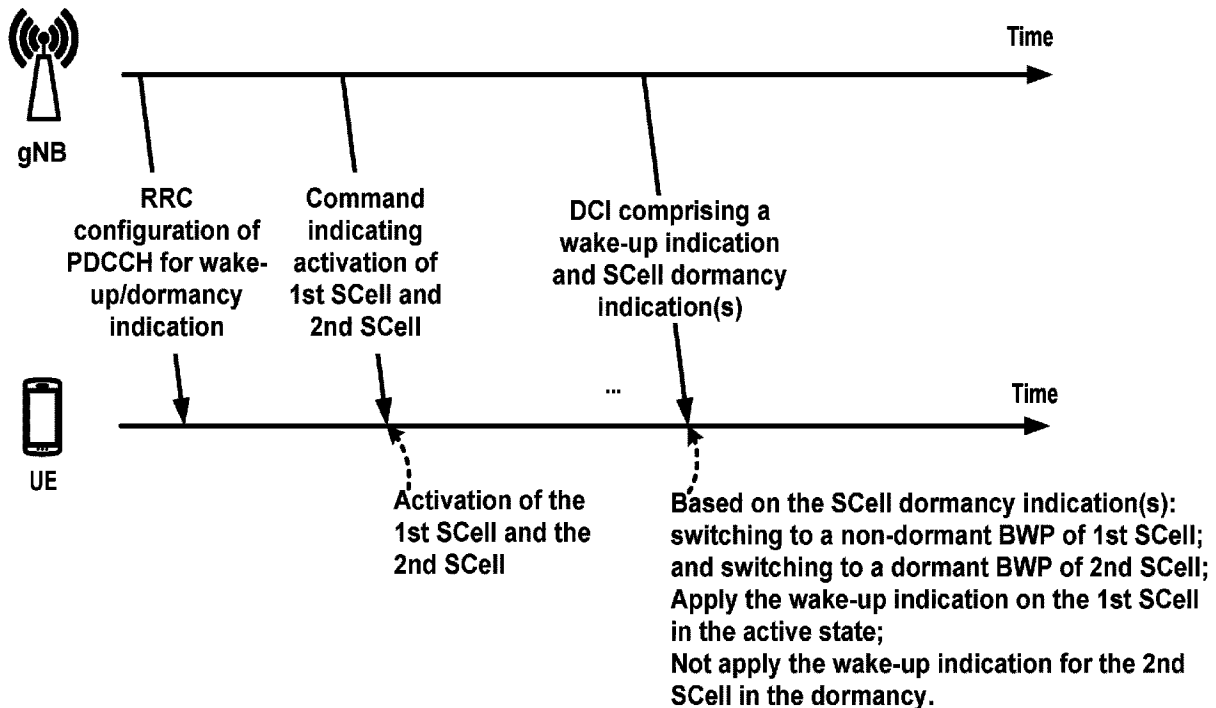




(86) Date de dépôt PCT/PCT Filing Date: 2020/09/30
(87) Date publication PCT/PCT Publication Date: 2021/04/08
(45) Date de délivrance/Issue Date: 2023/10/24
(85) Entrée phase nationale/National Entry: 2022/03/10
(86) N° demande PCT/PCT Application No.: US 2020/053557
(87) N° publication PCT/PCT Publication No.: 2021/067444
(30) Priorité/Priority: 2019/09/30 (US62/908,487)

(51) Cl.Int./Int.Cl. *H04W 52/02* (2009.01)
(72) Inventeurs/Inventors:
ZHOU, HUA, US;
DINAN, ESMAEL, US;
CIRIK, ALI, US;
YI, YUNJUNG, US;
KWAK, YOUNGWOON, US;
JEON, HYOUNGSUK, US;
XU, KAI, US
(73) Propriétaire/Owner:
OFINNO, LLC, US
(74) Agent: OYEN WIGGS GREEN & MUTALA LLP

(54) Titre : FONCTIONNEMENT A ECONOMIE D'ENERGIE ET EN DORMANCE DE CELLULES
(54) Title: POWER SAVING AND CELL DORMANCY OPERATION



(57) Abrégé/Abstract:

A wireless device receives one or more indications comprising: a wake-up indication indicating downlink control channel monitoring during a discontinuous reception (DRX) on duration of a DRX cycle; and a dormancy indication indicating a switching to a dormant bandwidth part of a cell. Based on the dormancy indication and the wake-up indication, during the DRX on duration: monitoring a downlink control channel on the cell is stopped, while maintaining the cell activated; and transmit a channel state information report for the dormant bandwidth part.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau

(43) International Publication Date
08 April 2021 (08.04.2021)



(10) International Publication Number
WO 2021/067444 A3

(51) International Patent Classification:
H04W 52/02 (2009.01)

(21) International Application Number:
PCT/US2020/053557

(22) International Filing Date:
30 September 2020 (30.09.2020)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
62/908,487 30 September 2019 (30.09.2019) US

(71) Applicant: **OFINNO, LLC** [US/US]; 11091 Sunset Hills Road, Suite 510, Reston, Virginia 20190 (US).

(72) Inventors: **ZHOU, Hua**; 11091 Sunset Hills Road, Suite #510, Reston, Virginia 20190 (US). **DINAN, Esmael**; 1101 Sunset Hills Road, Suite 510, Reston, Virginia 20190 (US). **CIRIK, Ali**; 11091 Sunset Hills Road, Suite #510, Reston, Virginia 20190 (US). **YI, Yunjung**; 1101 Sunset Hills

Road, Suite 510, Reston, Virginia 20190 (US). **KWAK, Youngwoo**; 11091 Sunset Hills Road, Suite #510, Reston, Virginia 20190 (US). **JEON, Hyongsuk**; 11091 Sunset Hills Road, Suite #510, Reston, Virginia 20190 (US). **XU, Kai**; 11091 Sunset Hills Road, Suite #510, Reston, Virginia 20190 (US).

(74) Agent: **SMITH, Philip** et al.; 11091 Sunset Hills Road, Suite 510, Reston, Virginia 20190 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, IT, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, WS, ZA, ZM, ZW.

(54) Title: POWER SAVING AND CELL DORMANCY OPERATION

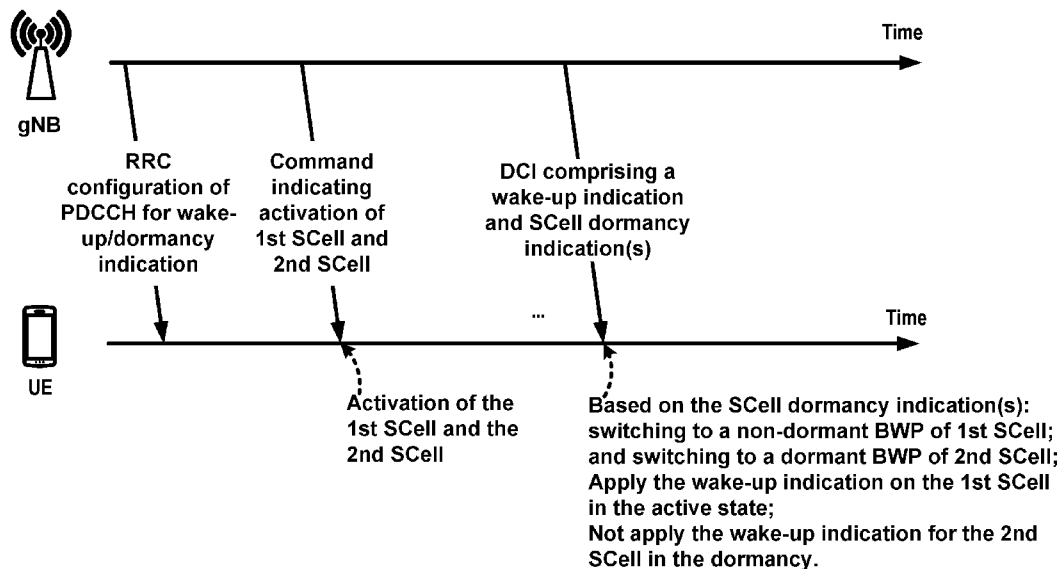


FIG. 37

(57) Abstract: A wireless device receives one or more indications comprising: a wake-up indication indicating downlink control channel monitoring during a discontinuous reception (DRX) on duration of a DRX cycle; and a dormancy indication indicating a switching to a dormant bandwidth part of a cell. Based on the dormancy indication and the wake-up indication, during the DRX on duration: monitoring a downlink control channel on the cell is stopped, while maintaining the cell activated; and transmit a channel state information report for the dormant bandwidth part.



WO 2021/067444 A3

WO 2021/067444 A3

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- *with international search report (Art. 21(3))*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))*

(88) Date of publication of the international search report:

14 May 2021 (14.05.2021)

Power Saving and Cell Dormancy Operation**TECHNICAL FIELD**

[0001] This application relates to the field of wireless communication systems such as 4G communication systems (e.g., LTE, LTE-Advanced), 5G communication systems, other communication systems compatible with 4G and/or 5G communication systems, and related methods, systems and apparatuses.

BRIEF DESCRIPTION OF THE DRAWINGS

- [0002] Examples of several of the various embodiments of the present disclosure are described herein with reference to the drawings.
- [0003] FIG. 1A and FIG. 1B illustrate example mobile communication networks in which embodiments of the present disclosure may be implemented.
- [0004] FIG. 2A and FIG. 2B respectively illustrate a New Radio (NR) user plane and control plane protocol stack.
- [0005] FIG. 3 illustrates an example of services provided between protocol layers of the NR user plane protocol stack of FIG. 2A.
- [0006] FIG. 4A illustrates an example downlink data flow through the NR user plane protocol stack of FIG. 2A.
- [0007] FIG. 4B illustrates an example format of a MAC subheader in a MAC PDU.
- [0008] FIG. 5A and FIG. 5B respectively illustrate a mapping between logical channels, transport channels, and physical channels for the downlink and uplink.
- [0009] FIG. 6 is an example diagram showing RRC state transitions of a UE.
- [0010] FIG. 7 illustrates an example configuration of an NR frame into which OFDM symbols are grouped.
- [0011] FIG. 8 illustrates an example configuration of a slot in the time and frequency domain for an NR carrier.
- [0012] FIG. 9 illustrates an example of bandwidth adaptation using three configured BWPs for an NR carrier.
- [0013] FIG. 10A illustrates three carrier aggregation configurations with two component carriers.
- [0014] FIG. 10B illustrates an example of how aggregated cells may be configured into one or more PUCCH groups.
- [0015] FIG. 11A illustrates an example of an SS/PBCH block structure and location.
- [0016] FIG. 11B illustrates an example of CSI-RSs that are mapped in the time and frequency domains.

- [0017] FIG. 12A and FIG. 12B respectively illustrate examples of three downlink and uplink beam management procedures.
- [0018] FIG. 13A, FIG. 13B, and FIG. 13C respectively illustrate a four-step contention-based random access procedure, a two-step contention-free random access procedure, and another two-step random access procedure.
- [0019] FIG. 14A illustrates an example of CORESET configurations for a bandwidth part.
- [0020] FIG. 14B illustrates an example of a CCE-to-REG mapping for DCI transmission on a CORESET and PDCCH processing.
- [0021] FIG. 15 illustrates an example of a wireless device in communication with a base station.
- [0022] FIG. 16A, FIG. 16B, FIG. 16C, and FIG. 16D illustrate example structures for uplink and downlink transmission.
- [0023] FIG. 17A, FIG. 17B and FIG. 17C show examples of MAC subheaders.
- [0024] FIG. 18A shows an example of a DL MAC PDU.
- [0025] FIG. 18B shows an example of an UL MAC PDU.
- [0026] FIG. 19 shows an example of multiple LCIDs of downlink.
- [0027] FIG. 20 shows an example of multiple LCIDs of uplink.
- [0028] FIG. 21A and FIG. 21B show examples of SCell activation/deactivation MAC CEs.
- [0029] FIG. 22A, FIG. 22B, and FIG. 22C show examples of SCell hibernation MAC CEs.
- [0030] FIG. 23 illustrates a BWP management as per an aspect of an example embodiment of the present disclosure.
- [0031] FIG. 24 illustrates a DRX configuration as per an aspect of an example embodiment of the present disclosure.
- [0032] FIG. 25 illustrates management DRX timer(s) as per an aspect of an example embodiment of the present disclosure.
- [0033] FIG. 26A and FIG. 26B illustrates a power saving mechanisms as per aspects of example embodiment of the present disclosure.
- [0034] FIG. 27 illustrates a power saving mechanism as per an aspect of an example embodiment of the present disclosure.
- [0035] FIG. 28 illustrates a beam failure recovery on a SCell as per an aspect of an example embodiment of the present disclosure.
- [0036] FIG. 29 illustrates a beam failure recovery on a SCell as per an aspect of an example embodiment of the present disclosure.
- [0037] FIG. 30 is a flowchart of a beam failure recovery on a SCell as per an aspect of an example embodiment of the present disclosure.

- [0038] FIG. 31 illustrates a beam failure recovery on a SCell as per an aspect of an example embodiment of the present disclosure.
- [0039] FIG. 32 illustrates a beam failure recovery on a SCell as per an aspect of an example embodiment of the present disclosure.
- [0040] FIG. 33 shows an example of embodiment as per an aspect of an example embodiment of the present disclosure.
- [0041] FIG. 34 illustrates a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.
- [0042] FIG. 35 is a flowchart of a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.
- [0043] FIG. 36 illustrates a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.
- [0044] FIG. 37 illustrates a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.
- [0045] FIG. 38 is a flow diagram of a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.
- [0046] FIG. 39 is a flow diagram of a wake-up operation and SCell dormancy management as per an aspect of an example embodiment of the present disclosure.

DETAILED DESCRIPTION

- [0047] In the present disclosure, various embodiments are presented as examples of how the disclosed techniques may be implemented and/or how the disclosed techniques may be practiced in environments and scenarios. It will be apparent to persons skilled in the relevant art that various changes in form and detail can be made therein without departing from the scope. In fact, after reading the description, it will be apparent to one skilled in the relevant art how to implement alternative embodiments. The present embodiments should not be limited by any of the described exemplary embodiments. The embodiments of the present disclosure will be described with reference to the accompanying drawings. Limitations, features, and/or elements from the disclosed example embodiments may be combined to create further embodiments within the scope of the disclosure. Any figures which highlight the functionality and advantages, are presented for example purposes only. The disclosed architecture is sufficiently flexible and configurable, such that it may be utilized in ways other than that shown. For example, the actions listed in any flowchart may be re-ordered or only optionally used in some embodiments.

[0048] Embodiments may be configured to operate as needed. The disclosed mechanism may be performed when certain criteria are met, for example, in a wireless device, a base station, a radio environment, a network, a combination of the above, and/or the like. Example criteria may be based, at least in part, on for example, wireless device or network node configurations, traffic load, initial system set up, packet sizes, traffic characteristics, a combination of the above, and/or the like. When the one or more criteria are met, various example embodiments may be applied. Therefore, it may be possible to implement example embodiments that selectively implement disclosed protocols.

[0049] A base station may communicate with a mix of wireless devices. Wireless devices and/or base stations may support multiple technologies, and/or multiple releases of the same technology. Wireless devices may have some specific capability(ies) depending on wireless device category and/or capability(ies). When this disclosure refers to a base station communicating with a plurality of wireless devices, this disclosure may refer to a subset of the total wireless devices in a coverage area. This disclosure may refer to, for example, a plurality of wireless devices of a given LTE or 5G release with a given capability and in a given sector of the base station. The plurality of wireless devices in this disclosure may refer to a selected plurality of wireless devices, and/or a subset of total wireless devices in a coverage area which perform according to disclosed methods, and/or the like. There may be a plurality of base stations or a plurality of wireless devices in a coverage area that may not comply with the disclosed methods, for example, those wireless devices or base stations may perform based on older releases of LTE or 5G technology.

[0050] In this disclosure, “a” and “an” and similar phrases are to be interpreted as “at least one” and “one or more.” Similarly, any term that ends with the suffix “(s)” is to be interpreted as “at least one” and “one or more.” In this disclosure, the term “may” is to be interpreted as “may, for example.” In other words, the term “may” is indicative that the phrase following the term “may” is an example of one of a multitude of suitable possibilities that may, or may not, be employed by one or more of the various embodiments. The terms “comprises” and “consists of”, as used herein, enumerate one or more components of the element being described. The term “comprises” is interchangeable with “includes” and does not exclude unenumerated components from being included in the element being described. By contrast, “consists of” provides a complete enumeration of the one or more components of the element being described. The term “based on”, as used herein, should be interpreted as “based at least in part on” rather than, for example, “based solely on”. The term “and/or” as used herein represents any possible combination of enumerated elements. For example, “A, B, and/or C” may represent A; B; C; A and B; A and C; B and C; or A, B, and C.

[0051] If A and B are sets and every element of A is an element of B, A is called a subset of B. In this specification, only non-empty sets and subsets are considered. For example, possible subsets of $B = \{\text{cell1}, \text{cell2}\}$ are: $\{\text{cell1}\}$, $\{\text{cell2}\}$, and $\{\text{cell1}, \text{cell2}\}$. The phrase “based on” (or equally “based at least on”) is indicative that the phrase following the term “based on” is an example of one of a multitude of suitable possibilities that may, or may not, be employed to one or more of the various embodiments. The phrase “in response to” (or equally “in response at least to”) is indicative that the phrase following the phrase “in response to” is an example of one of a multitude of suitable possibilities that may, or may not, be employed to one or more of the various embodiments. The phrase “depending on” (or equally “depending at least to”) is indicative that the phrase following the phrase “depending on” is an example of one of a multitude of suitable possibilities that may, or may not, be employed to one or more of the various embodiments. The phrase “employing/using” (or equally “employing/using at least”) is indicative that the phrase following the phrase “employing/using” is an example of one of a multitude of suitable possibilities that may, or may not, be employed to one or more of the various embodiments.

[0052] The term configured may relate to the capacity of a device whether the device is in an operational or non-operational state. Configured may refer to specific settings in a device that effect the operational characteristics of the device whether the device is in an operational or non-operational state. In other words, the hardware, software, firmware, registers, memory values, and/or the like may be “configured” within a device, whether the device is in an operational or nonoperational state, to provide the device with specific characteristics. Terms such as “a control message to cause in a device” may mean that a control message has parameters that may be used to configure specific characteristics or may be used to implement certain actions in the device, whether the device is in an operational or non-operational state.

[0053] In this disclosure, parameters (or equally called, fields, or Information elements: IEs) may comprise one or more information objects, and an information object may comprise one or more other objects. For example, if parameter (IE) N comprises parameter (IE) M, and parameter (IE) M comprises parameter (IE) K, and parameter (IE) K comprises parameter (information element) J. Then, for example, N comprises K, and N comprises J. In an example embodiment, when one or more messages comprise a plurality of parameters, it implies that a parameter in the plurality of parameters is in at least one of the one or more messages, but does not have to be in each of the one or more messages.

[0054] Many features presented are described as being optional through the use of “may” or the use of parentheses. For the sake of brevity and legibility, the present disclosure does not

explicitly recite each and every permutation that may be obtained by choosing from the set of optional features. The present disclosure is to be interpreted as explicitly disclosing all such permutations. For example, a system described as having three optional features may be embodied in seven ways, namely with just one of the three possible features, with any two of the three possible features or with three of the three possible features.

[0055] Many of the elements described in the disclosed embodiments may be implemented as modules. A module is defined here as an element that performs a defined function and has a defined interface to other elements. The modules described in this disclosure may be implemented in hardware, software in combination with hardware, firmware, wetware (e.g. hardware with a biological element) or a combination thereof, which may be behaviorally equivalent. For example, modules may be implemented as a software routine written in a computer language configured to be executed by a hardware machine (such as C, C++, Fortran, Java, Basic, Matlab or the like) or a modeling/simulation program such as Simulink, Stateflow, GNU Octave, or LabVIEWMathScript. It may be possible to implement modules using physical hardware that incorporates discrete or programmable analog, digital and/or quantum hardware. Examples of programmable hardware comprise: computers, microcontrollers, microprocessors, application-specific integrated circuits (ASICs); field programmable gate arrays (FPGAs); and complex programmable logic devices (CPLDs). Computers, microcontrollers and microprocessors are programmed using languages such as assembly, C, C++ or the like. FPGAs, ASICs and CPLDs are often programmed using hardware description languages (HDL) such as VHSIC hardware description language (VHDL) or Verilog that configure connections between internal hardware modules with lesser functionality on a programmable device. The mentioned technologies are often used in combination to achieve the result of a functional module.

[0056] FIG. 1A illustrates an example of a mobile communication network 100 in which embodiments of the present disclosure may be implemented. The mobile communication network 100 may be, for example, a public land mobile network (PLMN) run by a network operator. As illustrated in FIG. 1A, the mobile communication network 100 includes a core network (CN) 102, a radio access network (RAN) 104, and a wireless device 106.

[0057] The CN 102 may provide the wireless device 106 with an interface to one or more data networks (DNs), such as public DNs (e.g., the Internet), private DNs, and/or intra-operator DNs. As part of the interface functionality, the CN 102 may set up end-to-end connections between the wireless device 106 and the one or more DNs, authenticate the wireless device 106, and provide charging functionality.

[0058] The RAN 104 may connect the CN 102 to the wireless device 106 through radio communications over an air interface. As part of the radio communications, the RAN 104 may provide scheduling, radio resource management, and retransmission protocols. The communication direction from the RAN 104 to the wireless device 106 over the air interface is known as the downlink and the communication direction from the wireless device 106 to the RAN 104 over the air interface is known as the uplink. Downlink transmissions may be separated from uplink transmissions using frequency division duplexing (FDD), time-division duplexing (TDD), and/or some combination of the two duplexing techniques.

[0059] The term wireless device may be used throughout this disclosure to refer to and encompass any mobile device or fixed (non-mobile) device for which wireless communication is needed or usable. For example, a wireless device may be a telephone, smart phone, tablet, computer, laptop, sensor, meter, wearable device, Internet of Things (IoT) device, vehicle road side unit (RSU), relay node, automobile, and/or any combination thereof. The term wireless device encompasses other terminology, including user equipment (UE), user terminal (UT), access terminal (AT), mobile station, handset, wireless transmit and receive unit (WTRU), and/or wireless communication device.

[0060] The RAN 104 may include one or more base stations (not shown). The term base station may be used throughout this disclosure to refer to and encompass a Node B (associated with UMTS and/or 3G standards), an Evolved Node B (eNB, associated with E-UTRA and/or 4G standards), a remote radio head (RRH), a baseband processing unit coupled to one or more RRHs, a repeater node or relay node used to extend the coverage area of a donor node, a Next Generation Evolved Node B (ng-eNB), a Generation Node B (gNB, associated with NR and/or 5G standards), an access point (AP, associated with, for example, WiFi or any other suitable wireless communication standard), and/or any combination thereof. A base station may comprise at least one gNB Central Unit (gNB-CU) and at least one a gNB Distributed Unit (gNB-DU).

[0061] A base station included in the RAN 104 may include one or more sets of antennas for communicating with the wireless device 106 over the air interface. For example, one or more of the base stations may include three sets of antennas to respectively control three cells (or sectors). The size of a cell may be determined by a range at which a receiver (e.g., a base station receiver) can successfully receive the transmissions from a transmitter (e.g., a wireless device transmitter) operating in the cell. Together, the cells of the base stations may provide radio coverage to the wireless device 106 over a wide geographic area to support wireless device mobility.

[0062] In addition to three-sector sites, other implementations of base stations are possible.

For example, one or more of the base stations in the RAN 104 may be implemented as a sectorized site with more or less than three sectors. One or more of the base stations in the RAN 104 may be implemented as an access point, as a baseband processing unit coupled to several remote radio heads (RRHs), and/or as a repeater or relay node used to extend the coverage area of a donor node. A baseband processing unit coupled to RRHs may be part of a centralized or cloud RAN architecture, where the baseband processing unit may be either centralized in a pool of baseband processing units or virtualized. A repeater node may amplify and rebroadcast a radio signal received from a donor node. A relay node may perform the same/similar functions as a repeater node but may decode the radio signal received from the donor node to remove noise before amplifying and rebroadcasting the radio signal.

[0063] The RAN 104 may be deployed as a homogenous network of macrocell base stations that have similar antenna patterns and similar high-level transmit powers. The RAN 104 may be deployed as a heterogeneous network. In heterogeneous networks, small cell base stations may be used to provide small coverage areas, for example, coverage areas that overlap with the comparatively larger coverage areas provided by macrocell base stations. The small coverage areas may be provided in areas with high data traffic (or so-called “hotspots”) or in areas with weak macrocell coverage. Examples of small cell base stations include, in order of decreasing coverage area, microcell base stations, picocell base stations, and femtocell base stations or home base stations.

[0064] The Third-Generation Partnership Project (3GPP) was formed in 1998 to provide global standardization of specifications for mobile communication networks similar to the mobile communication network 100 in FIG. 1A. To date, 3GPP has produced specifications for three generations of mobile networks: a third generation (3G) network known as Universal Mobile Telecommunications System (UMTS), a fourth generation (4G) network known as Long-Term Evolution (LTE), and a fifth generation (5G) network known as 5G System (5GS). Embodiments of the present disclosure are described with reference to the RAN of a 3GPP 5G network, referred to as next-generation RAN (NG-RAN). Embodiments may be applicable to RANs of other mobile communication networks, such as the RAN 104 in FIG. 1A, the RANs of earlier 3G and 4G networks, and those of future networks yet to be specified (e.g., a 3GPP 6G network). NG-RAN implements 5G radio access technology known as New Radio (NR) and may be provisioned to implement 4G radio access technology or other radio access technologies, including non-3GPP radio access technologies.

[0065] FIG. 1B illustrates another example mobile communication network 150 in which embodiments of the present disclosure may be implemented. Mobile communication network 150 may be, for example, a PLMN run by a network operator. As illustrated in FIG. 1B, mobile communication network 150 includes a 5G core network (5G-CN) 152, an NG-RAN 154, and UEs 156A and 156B (collectively UEs 156). These components may be implemented and operate in the same or similar manner as corresponding components described with respect to FIG. 1A.

[0066] The 5G-CN 152 provides the UEs 156 with an interface to one or more DN, such as public DN (e.g., the Internet), private DN, and/or intra-operator DN. As part of the interface functionality, the 5G-CN 152 may set up end-to-end connections between the UEs 156 and the one or more DN, authenticate the UEs 156, and provide charging functionality. Compared to the CN of a 3GPP 4G network, the basis of the 5G-CN 152 may be a service-based architecture. This means that the architecture of the nodes making up the 5G-CN 152 may be defined as network functions that offer services via interfaces to other network functions. The network functions of the 5G-CN 152 may be implemented in several ways, including as network elements on dedicated or shared hardware, as software instances running on dedicated or shared hardware, or as virtualized functions instantiated on a platform (e.g., a cloud-based platform).

[0067] As illustrated in FIG. 1B, the 5G-CN 152 includes an Access and Mobility Management Function (AMF) 158A and a User Plane Function (UPF) 158B, which are shown as one component AMF/UPF 158 in FIG. 1B for ease of illustration. The UPF 158B may serve as a gateway between the NG-RAN 154 and the one or more DN. The UPF 158B may perform functions such as packet routing and forwarding, packet inspection and user plane policy rule enforcement, traffic usage reporting, uplink classification to support routing of traffic flows to the one or more DN, quality of service (QoS) handling for the user plane (e.g., packet filtering, gating, uplink/downlink rate enforcement, and uplink traffic verification), downlink packet buffering, and downlink data notification triggering. The UPF 158B may serve as an anchor point for intra-/inter-Radio Access Technology (RAT) mobility, an external protocol (or packet) data unit (PDU) session point of interconnect to the one or more DN, and/or a branching point to support a multi-homed PDU session. The UEs 156 may be configured to receive services through a PDU session, which is a logical connection between a UE and a DN.

[0068] The AMF 158A may perform functions such as Non-Access Stratum (NAS) signaling termination, NAS signaling security, Access Stratum (AS) security control, inter-CN node signaling for mobility between 3GPP access networks, idle mode UE reachability (e.g.,

control and execution of paging retransmission), registration area management, intra-system and inter-system mobility support, access authentication, access authorization including checking of roaming rights, mobility management control (subscription and policies), network slicing support, and/or session management function (SMF) selection. NAS may refer to the functionality operating between a CN and a UE, and AS may refer to the functionality operating between the UE and a RAN.

[0069] The 5G-CN 152 may include one or more additional network functions that are not shown in FIG. 1B for the sake of clarity. For example, the 5G-CN 152 may include one or more of a Session Management Function (SMF), an NR Repository Function (NRF), a Policy Control Function (PCF), a Network Exposure Function (NEF), a Unified Data Management (UDM), an Application Function (AF), and/or an Authentication Server Function (AUSF).

[0070] The NG-RAN 154 may connect the 5G-CN 152 to the UEs 156 through radio communications over the air interface. The NG-RAN 154 may include one or more gNBs, illustrated as gNB 160A and gNB 160B (collectively gNBs 160) and/or one or more ng-eNBs, illustrated as ng-eNB 162A and ng-eNB 162B (collectively ng-eNBs 162). The gNBs 160 and ng-eNBs 162 may be more generically referred to as base stations. The gNBs 160 and ng-eNBs 162 may include one or more sets of antennas for communicating with the UEs 156 over an air interface. For example, one or more of the gNBs 160 and/or one or more of the ng-eNBs 162 may include three sets of antennas to respectively control three cells (or sectors). Together, the cells of the gNBs 160 and the ng-eNBs 162 may provide radio coverage to the UEs 156 over a wide geographic area to support UE mobility.

[0071] As shown in FIG. 1B, the gNBs 160 and/or the ng-eNBs 162 may be connected to the 5G-CN 152 by means of an NG interface and to other base stations by an Xn interface. The NG and Xn interfaces may be established using direct physical connections and/or indirect connections over an underlying transport network, such as an internet protocol (IP) transport network. The gNBs 160 and/or the ng-eNBs 162 may be connected to the UEs 156 by means of a Uu interface. For example, as illustrated in FIG. 1B, gNB 160A may be connected to the UE 156A by means of a Uu interface. The NG, Xn, and Uu interfaces are associated with a protocol stack. The protocol stacks associated with the interfaces may be used by the network elements in FIG. 1B to exchange data and signaling messages and may include two planes: a user plane and a control plane. The user plane may handle data of interest to a user. The control plane may handle signaling messages of interest to the network elements.

[0072] The gNBs 160 and/or the ng-eNBs 162 may be connected to one or more AMF/UPF functions of the 5G-CN 152, such as the AMF/UPF 158, by means of one or more NG interfaces. For example, the gNB 160A may be connected to the UPF 158B of the AMF/UPF

WO 2021/067444

PCT/US2020/053557

158 by means of an NG-User plane (NG-U) interface. The NG-U interface may provide delivery (e.g., non-guaranteed delivery) of user plane PDUs between the gNB 160A and the UPF 158B. The gNB 160A may be connected to the AMF 158A by means of an NG-Control plane (NG-C) interface. The NG-C interface may provide, for example, NG interface management, UE context management, UE mobility management, transport of NAS messages, paging, PDU session management, and configuration transfer and/or warning message transmission.

[0073] The gNBs 160 may provide NR user plane and control plane protocol terminations towards the UEs 156 over the Uu interface. For example, the gNB 160A may provide NR user plane and control plane protocol terminations toward the UE 156A over a Uu interface associated with a first protocol stack. The ng-eNBs 162 may provide Evolved UMTS Terrestrial Radio Access (E-UTRA) user plane and control plane protocol terminations towards the UEs 156 over a Uu interface, where E-UTRA refers to the 3GPP 4G radio-access technology. For example, the ng-eNB 162B may provide E-UTRA user plane and control plane protocol terminations towards the UE 156B over a Uu interface associated with a second protocol stack.

[0074] The 5G-CN 152 was described as being configured to handle NR and 4G radio accesses. It will be appreciated by one of ordinary skill in the art that it may be possible for NR to connect to a 4G core network in a mode known as “non-standalone operation.” In non-standalone operation, a 4G core network is used to provide (or at least support) control-plane functionality (e.g., initial access, mobility, and paging). Although only one AMF/UPF 158 is shown in FIG. 1B, one gNB or ng-eNB may be connected to multiple AMF/UPF nodes to provide redundancy and/or to load share across the multiple AMF/UPF nodes.

[0075] As discussed, an interface (e.g., Uu, Xn, and NG interfaces) between the network elements in FIG. 1B may be associated with a protocol stack that the network elements use to exchange data and signaling messages. A protocol stack may include two planes: a user plane and a control plane. The user plane may handle data of interest to a user, and the control plane may handle signaling messages of interest to the network elements.

[0076] FIG. 2A and FIG. 2B respectively illustrate examples of NR user plane and NR control plane protocol stacks for the Uu interface that lies between a UE 210 and a gNB 220. The protocol stacks illustrated in FIG. 2A and FIG. 2B may be the same or similar to those used for the Uu interface between, for example, the UE 156A and the gNB 160A shown in FIG. 1B.

[0077] FIG. 2A illustrates a NR user plane protocol stack comprising five layers implemented in the UE 210 and the gNB 220. At the bottom of the protocol stack, physical

layers (PHYs) 211 and 221 may provide transport services to the higher layers of the protocol stack and may correspond to layer 1 of the Open Systems Interconnection (OSI) model. The next four protocols above PHYs 211 and 221 comprise media access control layers (MACs) 212 and 222, radio link control layers (RLCs) 213 and 223, packet data convergence protocol layers (PDCPs) 214 and 224, and service data application protocol layers (SDAPs) 215 and 225. Together, these four protocols may make up layer 2, or the data link layer, of the OSI model.

[0078] FIG. 3 illustrates an example of services provided between protocol layers of the NR user plane protocol stack. Starting from the top of FIG. 2A and FIG. 3, the SDAPs 215 and 225 may perform QoS flow handling. The UE 210 may receive services through a PDU session, which may be a logical connection between the UE 210 and a DN. The PDU session may have one or more QoS flows. A UPF of a CN (e.g., the UPF 158B) may map IP packets to the one or more QoS flows of the PDU session based on QoS requirements (e.g., in terms of delay, data rate, and/or error rate). The SDAPs 215 and 225 may perform mapping/de-mapping between the one or more QoS flows and one or more data radio bearers. The mapping/de-mapping between the QoS flows and the data radio bearers may be determined by the SDAP 225 at the gNB 220. The SDAP 215 at the UE 210 may be informed of the mapping between the QoS flows and the data radio bearers through reflective mapping or control signaling received from the gNB 220. For reflective mapping, the SDAP 225 at the gNB 220 may mark the downlink packets with a QoS flow indicator (QFI), which may be observed by the SDAP 215 at the UE 210 to determine the mapping/de-mapping between the QoS flows and the data radio bearers.

[0079] The PDCPs 214 and 224 may perform header compression/decompression to reduce the amount of data that needs to be transmitted over the air interface, ciphering/deciphering to prevent unauthorized decoding of data transmitted over the air interface, and integrity protection (to ensure control messages originate from intended sources). The PDCPs 214 and 224 may perform retransmissions of undelivered packets, in-sequence delivery and reordering of packets, and removal of packets received in duplicate due to, for example, an intra-gNB handover. The PDCPs 214 and 224 may perform packet duplication to improve the likelihood of the packet being received and, at the receiver, remove any duplicate packets. Packet duplication may be useful for services that require high reliability.

[0080] Although not shown in FIG. 3, PDCPs 214 and 224 may perform mapping/de-mapping between a split radio bearer and RLC channels in a dual connectivity scenario. Dual connectivity is a technique that allows a UE to connect to two cells or, more generally, two cell groups: a master cell group (MCG) and a secondary cell group (SCG). A split bearer is

WO 2021/067444

PCT/US2020/053557

when a single radio bearer, such as one of the radio bearers provided by the PDCPs 214 and 224 as a service to the SDAPs 215 and 225, is handled by cell groups in dual connectivity. The PDCPs 214 and 224 may map/de-map the split radio bearer between RLC channels belonging to cell groups.

[0081] The RLCs 213 and 223 may perform segmentation, retransmission through Automatic Repeat Request (ARQ), and removal of duplicate data units received from MACs 212 and 222, respectively. The RLCs 213 and 223 may support three transmission modes: transparent mode (TM); unacknowledged mode (UM); and acknowledged mode (AM). Based on the transmission mode an RLC is operating, the RLC may perform one or more of the noted functions. The RLC configuration may be per logical channel with no dependency on numerologies and/or Transmission Time Interval (TTI) durations. As shown in FIG. 3, the RLCs 213 and 223 may provide RLC channels as a service to PDCPs 214 and 224, respectively.

[0082] The MACs 212 and 222 may perform multiplexing/demultiplexing of logical channels and/or mapping between logical channels and transport channels. The multiplexing/demultiplexing may include multiplexing/demultiplexing of data units, belonging to the one or more logical channels, into/from Transport Blocks (TBs) delivered to/from the PHYs 211 and 221. The MAC 222 may be configured to perform scheduling, scheduling information reporting, and priority handling between UEs by means of dynamic scheduling. Scheduling may be performed in the gNB 220 (at the MAC 222) for downlink and uplink. The MACs 212 and 222 may be configured to perform error correction through Hybrid Automatic Repeat Request (HARQ) (e.g., one HARQ entity per carrier in case of Carrier Aggregation (CA)), priority handling between logical channels of the UE 210 by means of logical channel prioritization, and/or padding. The MACs 212 and 222 may support one or more numerologies and/or transmission timings. In an example, mapping restrictions in a logical channel prioritization may control which numerology and/or transmission timing a logical channel may use. As shown in FIG. 3, the MACs 212 and 222 may provide logical channels as a service to the RLCs 213 and 223.

[0083] The PHYs 211 and 221 may perform mapping of transport channels to physical channels and digital and analog signal processing functions for sending and receiving information over the air interface. These digital and analog signal processing functions may include, for example, coding/decoding and modulation/demodulation. The PHYs 211 and 221 may perform multi-antenna mapping. As shown in FIG. 3, the PHYs 211 and 221 may provide one or more transport channels as a service to the MACs 212 and 222.

[0084] FIG. 4A illustrates an example downlink data flow through the NR user plane protocol stack. FIG. 4A illustrates a downlink data flow of three IP packets (n , $n+1$, and m) through the NR user plane protocol stack to generate two TBs at the gNB 220. An uplink data flow through the NR user plane protocol stack may be similar to the downlink data flow depicted in FIG. 4A.

[0085] The downlink data flow of FIG. 4A begins when SDAP 225 receives the three IP packets from one or more QoS flows and maps the three packets to radio bearers. In FIG. 4A, the SDAP 225 maps IP packets n and $n+1$ to a first radio bearer 402 and maps IP packet m to a second radio bearer 404. An SDAP header (labeled with an “H” in FIG. 4A) is added to an IP packet. The data unit from/to a higher protocol layer is referred to as a service data unit (SDU) of the lower protocol layer and the data unit to/from a lower protocol layer is referred to as a protocol data unit (PDU) of the higher protocol layer. As shown in FIG. 4A, the data unit from the SDAP 225 is an SDU of lower protocol layer PDCP 224 and is a PDU of the SDAP 225.

[0086] The remaining protocol layers in FIG. 4A may perform their associated functionality (e.g., with respect to FIG. 3), add corresponding headers, and forward their respective outputs to the next lower layer. For example, the PDCP 224 may perform IP-header compression and ciphering and forward its output to the RLC 223. The RLC 223 may optionally perform segmentation (e.g., as shown for IP packet m in FIG. 4A) and forward its output to the MAC 222. The MAC 222 may multiplex a number of RLC PDUs and may attach a MAC subheader to an RLC PDU to form a transport block. In NR, the MAC subheaders may be distributed across the MAC PDU, as illustrated in FIG. 4A. In LTE, the MAC subheaders may be entirely located at the beginning of the MAC PDU. The NR MAC PDU structure may reduce processing time and associated latency because the MAC PDU subheaders may be computed before the full MAC PDU is assembled.

[0087] FIG. 4B illustrates an example format of a MAC subheader in a MAC PDU. The MAC subheader includes: an SDU length field for indicating the length (e.g., in bytes) of the MAC SDU to which the MAC subheader corresponds; a logical channel identifier (LCID) field for identifying the logical channel from which the MAC SDU originated to aid in the demultiplexing process; a flag (F) for indicating the size of the SDU length field; and a reserved bit (R) field for future use.

[0088] FIG. 4B further illustrates MAC control elements (CEs) inserted into the MAC PDU by a MAC, such as MAC 223 or MAC 222. For example, FIG. 4B illustrates two MAC CEs inserted into the MAC PDU. MAC CEs may be inserted at the beginning of a MAC PDU for downlink transmissions (as shown in FIG. 4B) and at the end of a MAC PDU for uplink

transmissions. MAC CEs may be used for in-band control signaling. Example MAC CEs include: scheduling-related MAC CEs, such as buffer status reports and power headroom reports; activation/deactivation MAC CEs, such as those for activation/deactivation of PDCP duplication detection, channel state information (CSI) reporting, sounding reference signal (SRS) transmission, and prior configured components; discontinuous reception (DRX) related MAC CEs; timing advance MAC CEs; and random access related MAC CEs. A MAC CE may be preceded by a MAC subheader with a similar format as described for MAC SDUs and may be identified with a reserved value in the LCID field that indicates the type of control information included in the MAC CE.

[0089] Before describing the NR control plane protocol stack, logical channels, transport channels, and physical channels are first described as well as a mapping between the channel types. One or more of the channels may be used to carry out functions associated with the NR control plane protocol stack described later below.

[0090] FIG. 5A and FIG. 5B illustrate, for downlink and uplink respectively, a mapping between logical channels, transport channels, and physical channels. Information is passed through channels between the RLC, the MAC, and the PHY of the NR protocol stack. A logical channel may be used between the RLC and the MAC and may be classified as a control channel that carries control and configuration information in the NR control plane or as a traffic channel that carries data in the NR user plane. A logical channel may be classified as a dedicated logical channel that is dedicated to a specific UE or as a common logical channel that may be used by more than one UE. A logical channel may also be defined by the type of information it carries. The set of logical channels defined by NR include, for example:

- a paging control channel (PCCH) for carrying paging messages used to page a UE whose location is not known to the network on a cell level;
- a broadcast control channel (BCCH) for carrying system information messages in the form of a master information block (MIB) and several system information blocks (SIBs), wherein the system information messages may be used by the UEs to obtain information about how a cell is configured and how to operate within the cell;
- a common control channel (CCCH) for carrying control messages together with random access;
- a dedicated control channel (DCCH) for carrying control messages to/from a specific the UE to configure the UE; and

- a dedicated traffic channel (DTCH) for carrying user data to/from a specific the UE.

[0091] Transport channels are used between the MAC and PHY layers and may be defined by how the information they carry is transmitted over the air interface. The set of transport channels defined by NR include, for example:

- a paging channel (PCH) for carrying paging messages that originated from the PCCH;
- a broadcast channel (BCH) for carrying the MIB from the BCCH;
- a downlink shared channel (DL-SCH) for carrying downlink data and signaling messages, including the SIBs from the BCCH;
- an uplink shared channel (UL-SCH) for carrying uplink data and signaling messages; and
- a random access channel (RACH) for allowing a UE to contact the network without any prior scheduling.

[0092] The PHY may use physical channels to pass information between processing levels of the PHY. A physical channel may have an associated set of time-frequency resources for carrying the information of one or more transport channels. The PHY may generate control information to support the low-level operation of the PHY and provide the control information to the lower levels of the PHY via physical control channels, known as L1/L2 control channels. The set of physical channels and physical control channels defined by NR include, for example:

- a physical broadcast channel (PBCH) for carrying the MIB from the BCH;
- a physical downlink shared channel (PDSCH) for carrying downlink data and signaling messages from the DL-SCH, as well as paging messages from the PCH;
- a physical downlink control channel (PDCCH) for carrying downlink control information (DCI), which may include downlink scheduling commands, uplink scheduling grants, and uplink power control commands;
- a physical uplink shared channel (PUSCH) for carrying uplink data and signaling messages from the UL-SCH and in some instances uplink control information (UCI) as described below;
- a physical uplink control channel (PUCCH) for carrying UCI, which may include HARQ acknowledgments, channel quality indicators (CQI), pre-coding matrix indicators (PMI), rank indicators (RI), and scheduling requests (SR); and
- a physical random access channel (PRACH) for random access.

[0093] Similar to the physical control channels, the physical layer generates physical signals to support the low-level operation of the physical layer. As shown in FIG. 5A and FIG. 5B, the physical layer signals defined by NR include: primary synchronization signals (PSS), secondary synchronization signals (SSS), channel state information reference signals (CSI-RS), demodulation reference signals (DMRS), sounding reference signals (SRS), and phase-tracking reference signals (PT-RS). These physical layer signals will be described in greater detail below.

[0094] FIG. 2B illustrates an example NR control plane protocol stack. As shown in FIG. 2B, the NR control plane protocol stack may use the same/similar first four protocol layers as the example NR user plane protocol stack. These four protocol layers include the PHYs 211 and 221, the MACs 212 and 222, the RLCs 213 and 223, and the PDCPs 214 and 224. Instead of having the SDAPs 215 and 225 at the top of the stack as in the NR user plane protocol stack, the NR control plane stack has radio resource controls (RRCs) 216 and 226 and NAS protocols 217 and 237 at the top of the NR control plane protocol stack.

[0095] The NAS protocols 217 and 237 may provide control plane functionality between the UE 210 and the AMF 230 (e.g., the AMF 158A) or, more generally, between the UE 210 and the CN. The NAS protocols 217 and 237 may provide control plane functionality between the UE 210 and the AMF 230 via signaling messages, referred to as NAS messages. There is no direct path between the UE 210 and the AMF 230 through which the NAS messages can be transported. The NAS messages may be transported using the AS of the Uu and NG interfaces. NAS protocols 217 and 237 may provide control plane functionality such as authentication, security, connection setup, mobility management, and session management.

[0096] The RRCs 216 and 226 may provide control plane functionality between the UE 210 and the gNB 220 or, more generally, between the UE 210 and the RAN. The RRCs 216 and 226 may provide control plane functionality between the UE 210 and the gNB 220 via signaling messages, referred to as RRC messages. RRC messages may be transmitted between the UE 210 and the RAN using signaling radio bearers and the same/similar PDCP, RLC, MAC, and PHY protocol layers. The MAC may multiplex control-plane and user-plane data into the same transport block (TB). The RRCs 216 and 226 may provide control plane functionality such as: broadcast of system information related to AS and NAS; paging initiated by the CN or the RAN; establishment, maintenance and release of an RRC connection between the UE 210 and the RAN; security functions including key management; establishment, configuration, maintenance and release of signaling radio bearers and data radio bearers; mobility functions; QoS management functions; the UE measurement reporting and control of the reporting; detection of and recovery from radio link failure

(RLF); and/or NAS message transfer. As part of establishing an RRC connection, RRCs 216 and 226 may establish an RRC context, which may involve configuring parameters for communication between the UE 210 and the RAN.

[0097] FIG. 6 is an example diagram showing RRC state transitions of a UE. The UE may be the same or similar to the wireless device 106 depicted in FIG. 1A, the UE 210 depicted in FIG. 2A and FIG. 2B, or any other wireless device described in the present disclosure. As illustrated in FIG. 6, a UE may be in at least one of three RRC states: RRC connected 602 (e.g., RRC_CONNECTED), RRC idle 604 (e.g., RRC_IDLE), and RRC inactive 606 (e.g., RRC_INACTIVE).

[0098] In RRC connected 602, the UE has an established RRC context and may have at least one RRC connection with a base station. The base station may be similar to one of the one or more base stations included in the RAN 104 depicted in FIG. 1A, one of the gNBs 160 or ng-eNBs 162 depicted in FIG. 1B, the gNB 220 depicted in FIG. 2A and FIG. 2B, or any other base station described in the present disclosure. The base station with which the UE is connected may have the RRC context for the UE. The RRC context, referred to as the UE context, may comprise parameters for communication between the UE and the base station. These parameters may include, for example: one or more AS contexts; one or more radio link configuration parameters; bearer configuration information (e.g., relating to a data radio bearer, signaling radio bearer, logical channel, QoS flow, and/or PDU session); security information; and/or PHY, MAC, RLC, PDCP, and/or SDAP layer configuration information. While in RRC connected 602, mobility of the UE may be managed by the RAN (e.g., the RAN 104 or the NG-RAN 154). The UE may measure the signal levels (e.g., reference signal levels) from a serving cell and neighboring cells and report these measurements to the base station currently serving the UE. The UE's serving base station may request a handover to a cell of one of the neighboring base stations based on the reported measurements. The RRC state may transition from RRC connected 602 to RRC idle 604 through a connection release procedure 608 or to RRC inactive 606 through a connection inactivation procedure 610.

[0099] In RRC idle 604, an RRC context may not be established for the UE. In RRC idle 604, the UE may not have an RRC connection with the base station. While in RRC idle 604, the UE may be in a sleep state for the majority of the time (e.g., to conserve battery power). The UE may wake up periodically (e.g., once in every discontinuous reception cycle) to monitor for paging messages from the RAN. Mobility of the UE may be managed by the UE through a procedure known as cell reselection. The RRC state may transition from RRC idle

604 to RRC connected 602 through a connection establishment procedure 612, which may involve a random access procedure as discussed in greater detail below.

[0100] In RRC inactive 606, the RRC context previously established is maintained in the UE and the base station. This allows for a fast transition to RRC connected 602 with reduced signaling overhead as compared to the transition from RRC idle 604 to RRC connected 602. While in RRC inactive 606, the UE may be in a sleep state and mobility of the UE may be managed by the UE through cell reselection. The RRC state may transition from RRC inactive 606 to RRC connected 602 through a connection resume procedure 614 or to RRC idle 604 through a connection release procedure 616 that may be the same as or similar to connection release procedure 608.

[0101] An RRC state may be associated with a mobility management mechanism. In RRC idle 604 and RRC inactive 606, mobility is managed by the UE through cell reselection. The purpose of mobility management in RRC idle 604 and RRC inactive 606 is to allow the network to be able to notify the UE of an event via a paging message without having to broadcast the paging message over the entire mobile communications network. The mobility management mechanism used in RRC idle 604 and RRC inactive 606 may allow the network to track the UE on a cell-group level so that the paging message may be broadcast over the cells of the cell group that the UE currently resides within instead of the entire mobile communication network. The mobility management mechanisms for RRC idle 604 and RRC inactive 606 track the UE on a cell-group level. They may do so using different granularities of grouping. For example, there may be three levels of cell-grouping granularity: individual cells; cells within a RAN area identified by a RAN area identifier (RAI); and cells within a group of RAN areas, referred to as a tracking area and identified by a tracking area identifier (TAI).

[0102] Tracking areas may be used to track the UE at the CN level. The CN (e.g., the CN 102 or the 5G-CN 152) may provide the UE with a list of TAIs associated with a UE registration area. If the UE moves, through cell reselection, to a cell associated with a TAI not included in the list of TAIs associated with the UE registration area, the UE may perform a registration update with the CN to allow the CN to update the UE's location and provide the UE with a new the UE registration area.

[0103] RAN areas may be used to track the UE at the RAN level. For a UE in RRC inactive 606 state, the UE may be assigned a RAN notification area. A RAN notification area may comprise one or more cell identities, a list of RAIs, or a list of TAIs. In an example, a base station may belong to one or more RAN notification areas. In an example, a cell may belong to one or more RAN notification areas. If the UE moves, through cell reselection, to a cell

not included in the RAN notification area assigned to the UE, the UE may perform a notification area update with the RAN to update the UE's RAN notification area.

[0104] A base station storing an RRC context for a UE or a last serving base station of the UE may be referred to as an anchor base station. An anchor base station may maintain an RRC context for the UE at least during a period of time that the UE stays in a RAN notification area of the anchor base station and/or during a period of time that the UE stays in RRC inactive 606.

[0105] A gNB, such as gNBs 160 in FIG. 1B, may be split in two parts: a central unit (gNB-CU), and one or more distributed units (gNB-DU). A gNB-CU may be coupled to one or more gNB-DUs using an F1 interface. The gNB-CU may comprise the RRC, the PDCP, and the SDAP. A gNB-DU may comprise the RLC, the MAC, and the PHY.

[0106] In NR, the physical signals and physical channels (discussed with respect to FIG. 5A and FIG. 5B) may be mapped onto orthogonal frequency divisional multiplexing (OFDM) symbols. OFDM is a multicarrier communication scheme that transmits data over F orthogonal subcarriers (or tones). Before transmission, the data may be mapped to a series of complex symbols (e.g., M -quadrature amplitude modulation (M-QAM) or M -phase shift keying (M-PSK) symbols), referred to as source symbols, and divided into F parallel symbol streams. The F parallel symbol streams may be treated as though they are in the frequency domain and used as inputs to an Inverse Fast Fourier Transform (IFFT) block that transforms them into the time domain. The IFFT block may take in F source symbols at a time, one from each of the F parallel symbol streams, and use each source symbol to modulate the amplitude and phase of one of F sinusoidal basis functions that correspond to the F orthogonal subcarriers. The output of the IFFT block may be F time-domain samples that represent the summation of the F orthogonal subcarriers. The F time-domain samples may form a single OFDM symbol. After some processing (e.g., addition of a cyclic prefix) and up-conversion, an OFDM symbol provided by the IFFT block may be transmitted over the air interface on a carrier frequency. The F parallel symbol streams may be mixed using an FFT block before being processed by the IFFT block. This operation produces Discrete Fourier Transform (DFT)-precoded OFDM symbols and may be used by UEs in the uplink to reduce the peak to average power ratio (PAPR). Inverse processing may be performed on the OFDM symbol at a receiver using an FFT block to recover the data mapped to the source symbols.

[0107] FIG. 7 illustrates an example configuration of an NR frame into which OFDM symbols are grouped. An NR frame may be identified by a system frame number (SFN). The SFN may repeat with a period of 1024 frames. As illustrated, one NR frame may

WO 2021/067444

PCT/US2020/053557

be 10 milliseconds (ms) in duration and may include 10 subframes that are 1 ms in duration. A subframe may be divided into slots that include, for example, 14 OFDM symbols per slot.

[0108] The duration of a slot may depend on the numerology used for the OFDM symbols of the slot. In NR, a flexible numerology is supported to accommodate different cell deployments (e.g., cells with carrier frequencies below 1 GHz up to cells with carrier frequencies in the mm-wave range). A numerology may be defined in terms of subcarrier spacing and cyclic prefix duration. For a numerology in NR, subcarrier spacings may be scaled up by powers of two from a baseline subcarrier spacing of 15 kHz, and cyclic prefix durations may be scaled down by powers of two from a baseline cyclic prefix duration of 4.7 μ s. For example, NR defines numerologies with the following subcarrier spacing/cyclic prefix duration combinations: 15 kHz/4.7 μ s; 30 kHz/2.3 μ s; 60 kHz/1.2 μ s; 120 kHz/0.59 μ s; and 240 kHz/0.29 μ s.

[0109] A slot may have a fixed number of OFDM symbols (e.g., 14 OFDM symbols). A numerology with a higher subcarrier spacing has a shorter slot duration and, correspondingly, more slots per subframe. FIG. 7 illustrates this numerology-dependent slot duration and slots-per-subframe transmission structure (the numerology with a subcarrier spacing of 240 kHz is not shown in FIG. 7 for ease of illustration). A subframe in NR may be used as a numerology-independent time reference, while a slot may be used as the unit upon which uplink and downlink transmissions are scheduled. To support low latency, scheduling in NR may be decoupled from the slot duration and start at any OFDM symbol and last for as many symbols as needed for a transmission. These partial slot transmissions may be referred to as mini-slot or subslot transmissions.

[0110] FIG. 8 illustrates an example configuration of a slot in the time and frequency domain for an NR carrier. The slot includes resource elements (REs) and resource blocks (RBs). An RE is the smallest physical resource in NR. An RE spans one OFDM symbol in the time domain by one subcarrier in the frequency domain as shown in FIG. 8. An RB spans twelve consecutive REs in the frequency domain as shown in FIG. 8. An NR carrier may be limited to a width of 275 RBs or $275 \times 12 = 3300$ subcarriers. Such a limitation, if used, may limit the NR carrier to 50, 100, 200, and 400 MHz for subcarrier spacings of 15, 30, 60, and 120 kHz, respectively, where the 400 MHz bandwidth may be set based on a 400 MHz per carrier bandwidth limit.

[0111] FIG. 8 illustrates a single numerology being used across the entire bandwidth of the NR carrier. In other example configurations, multiple numerologies may be supported on the same carrier.

[0112] NR may support wide carrier bandwidths (e.g., up to 400 MHz for a subcarrier spacing of 120 kHz). Not all UEs may be able to receive the full carrier bandwidth (e.g., due to hardware limitations). Also, receiving the full carrier bandwidth may be prohibitive in terms of UE power consumption. In an example, to reduce power consumption and/or for other purposes, a UE may adapt the size of the UE's receive bandwidth based on the amount of traffic the UE is scheduled to receive. This is referred to as bandwidth adaptation.

[0113] NR defines bandwidth parts (BWPs) to support UEs not capable of receiving the full carrier bandwidth and to support bandwidth adaptation. In an example, a BWP may be defined by a subset of contiguous RBs on a carrier. A UE may be configured (e.g., via RRC layer) with one or more downlink BWPs and one or more uplink BWPs per serving cell (e.g., up to four downlink BWPs and up to four uplink BWPs per serving cell). At a given time, one or more of the configured BWPs for a serving cell may be active. These one or more BWPs may be referred to as active BWPs of the serving cell. When a serving cell is configured with a secondary uplink carrier, the serving cell may have one or more first active BWPs in the uplink carrier and one or more second active BWPs in the secondary uplink carrier.

[0114] For unpaired spectra, a downlink BWP from a set of configured downlink BWPs may be linked with an uplink BWP from a set of configured uplink BWPs if a downlink BWP index of the downlink BWP and an uplink BWP index of the uplink BWP are the same. For unpaired spectra, a UE may expect that a center frequency for a downlink BWP is the same as a center frequency for an uplink BWP.

[0115] For a downlink BWP in a set of configured downlink BWPs on a primary cell (PCell), a base station may configure a UE with one or more control resource sets (CORESETs) for at least one search space. A search space is a set of locations in the time and frequency domains where the UE may find control information. The search space may be a UE-specific search space or a common search space (potentially usable by a plurality of UEs). For example, a base station may configure a UE with a common search space, on a PCell or on a primary secondary cell (PSCell), in an active downlink BWP.

[0116] For an uplink BWP in a set of configured uplink BWPs, a BS may configure a UE with one or more resource sets for one or more PUCCH transmissions. A UE may receive downlink receptions (e.g., PDCCH or PDSCH) in a downlink BWP according to a configured numerology (e.g., subcarrier spacing and cyclic prefix duration) for the downlink BWP. The UE may transmit uplink transmissions (e.g., PUCCH or PUSCH) in an uplink BWP according to a configured numerology (e.g., subcarrier spacing and cyclic prefix length for the uplink BWP).

- [0117] One or more BWP indicator fields may be provided in Downlink Control Information (DCI). A value of a BWP indicator field may indicate which BWP in a set of configured BWPs is an active downlink BWP for one or more downlink receptions. The value of the one or more BWP indicator fields may indicate an active uplink BWP for one or more uplink transmissions.
- [0118] A base station may semi-statically configure a UE with a default downlink BWP within a set of configured downlink BWPs associated with a PCell. If the base station does not provide the default downlink BWP to the UE, the default downlink BWP may be an initial active downlink BWP. The UE may determine which BWP is the initial active downlink BWP based on a CORESET configuration obtained using the PBCH.
- [0119] A base station may configure a UE with a BWP inactivity timer value for a PCell. The UE may start or restart a BWP inactivity timer at any appropriate time. For example, the UE may start or restart the BWP inactivity timer (*a*) when the UE detects a DCI indicating an active downlink BWP other than a default downlink BWP for a paired spectra operation; or (*b*) when a UE detects a DCI indicating an active downlink BWP or active uplink BWP other than a default downlink BWP or uplink BWP for an unpaired spectra operation. If the UE does not detect DCI during an interval of time (e.g., 1 ms or 0.5 ms), the UE may run the BWP inactivity timer toward expiration (for example, increment from zero to the BWP inactivity timer value, or decrement from the BWP inactivity timer value to zero). When the BWP inactivity timer expires, the UE may switch from the active downlink BWP to the default downlink BWP.
- [0120] In an example, a base station may semi-statically configure a UE with one or more BWPs. A UE may switch an active BWP from a first BWP to a second BWP in response to receiving a DCI indicating the second BWP as an active BWP and/or in response to an expiry of the BWP inactivity timer (e.g., if the second BWP is the default BWP).
- [0121] Downlink and uplink BWP switching (where BWP switching refers to switching from a currently active BWP to a not currently active BWP) may be performed independently in paired spectra. In unpaired spectra, downlink and uplink BWP switching may be performed simultaneously. Switching between configured BWPs may occur based on RRC signaling, DCI, expiration of a BWP inactivity timer, and/or an initiation of random access.
- [0122] FIG. 9 illustrates an example of bandwidth adaptation using three configured BWPs for an NR carrier. A UE configured with the three BWPs may switch from one BWP to another BWP at a switching point. In the example illustrated in FIG. 9, the BWPs include: a BWP 902 with a bandwidth of 40 MHz and a subcarrier spacing of 15 kHz; a BWP 904 with

a bandwidth of 10 MHz and a subcarrier spacing of 15 kHz; and a BWP 906 with a bandwidth of 20 MHz and a subcarrier spacing of 60 kHz. The BWP 902 may be an initial active BWP, and the BWP 904 may be a default BWP. The UE may switch between BWPs at switching points. In the example of FIG. 9, the UE may switch from the BWP 902 to the BWP 904 at a switching point 908. The switching at the switching point 908 may occur for any suitable reason, for example, in response to an expiry of a BWP inactivity timer (indicating switching to the default BWP) and/or in response to receiving a DCI indicating BWP 904 as the active BWP. The UE may switch at a switching point 910 from active BWP 904 to BWP 906 in response receiving a DCI indicating BWP 906 as the active BWP. The UE may switch at a switching point 912 from active BWP 906 to BWP 904 in response to an expiry of a BWP inactivity timer and/or in response receiving a DCI indicating BWP 904 as the active BWP. The UE may switch at a switching point 914 from active BWP 904 to BWP 902 in response receiving a DCI indicating BWP 902 as the active BWP.

[0123] If a UE is configured for a secondary cell with a default downlink BWP in a set of configured downlink BWPs and a timer value, UE procedures for switching BWPs on a secondary cell may be the same/similar as those on a primary cell. For example, the UE may use the timer value and the default downlink BWP for the secondary cell in the same/similar manner as the UE would use these values for a primary cell.

[0124] To provide for greater data rates, two or more carriers can be aggregated and simultaneously transmitted to/from the same UE using carrier aggregation (CA). The aggregated carriers in CA may be referred to as component carriers (CCs). When CA is used, there are a number of serving cells for the UE, one for a CC. The CCs may have three configurations in the frequency domain.

[0125] FIG. 10A illustrates the three CA configurations with two CCs. In the intraband, contiguous configuration 1002, the two CCs are aggregated in the same frequency band (frequency band A) and are located directly adjacent to each other within the frequency band. In the intraband, non-contiguous configuration 1004, the two CCs are aggregated in the same frequency band (frequency band A) and are separated in the frequency band by a gap. In the interband configuration 1006, the two CCs are located in frequency bands (frequency band A and frequency band B).

[0126] In an example, up to 32 CCs may be aggregated. The aggregated CCs may have the same or different bandwidths, subcarrier spacing, and/or duplexing schemes (TDD or FDD). A serving cell for a UE using CA may have a downlink CC. For FDD, one or more uplink CCs may be optionally configured for a serving cell. The ability to aggregate more downlink

carriers than uplink carriers may be useful, for example, when the UE has more data traffic in the downlink than in the uplink.

[0127] When CA is used, one of the aggregated cells for a UE may be referred to as a primary cell (PCell). The PCell may be the serving cell that the UE initially connects to at RRC connection establishment, reestablishment, and/or handover. The PCell may provide the UE with NAS mobility information and the security input. UEs may have different PCells. In the downlink, the carrier corresponding to the PCell may be referred to as the downlink primary CC (DL PCC). In the uplink, the carrier corresponding to the PCell may be referred to as the uplink primary CC (UL PCC). The other aggregated cells for the UE may be referred to as secondary cells (SCells). In an example, the SCells may be configured after the PCell is configured for the UE. For example, an SCell may be configured through an RRC Connection Reconfiguration procedure. In the downlink, the carrier corresponding to an SCell may be referred to as a downlink secondary CC (DL SCC). In the uplink, the carrier corresponding to the SCell may be referred to as the uplink secondary CC (UL SCC).

[0128] Configured SCells for a UE may be activated and deactivated based on, for example, traffic and channel conditions. Deactivation of an SCell may mean that PDCCH and PDSCH reception on the SCell is stopped and PUSCH, SRS, and CQI transmissions on the SCell are stopped. Configured SCells may be activated and deactivated using a MAC CE with respect to FIG. 4B. For example, a MAC CE may use a bitmap (e.g., one bit per SCell) to indicate which SCells (e.g., in a subset of configured SCells) for the UE are activated or deactivated. Configured SCells may be deactivated in response to an expiration of an SCell deactivation timer (e.g., one SCell deactivation timer per SCell).

[0129] Downlink control information, such as scheduling assignments and scheduling grants, for a cell may be transmitted on the cell corresponding to the assignments and grants, which is known as self-scheduling. The DCI for the cell may be transmitted on another cell, which is known as cross-carrier scheduling. Uplink control information (e.g., HARQ acknowledgments and channel state feedback, such as CQI, PMI, and/or RI) for aggregated cells may be transmitted on the PUCCH of the PCell. For a larger number of aggregated downlink CCs, the PUCCH of the PCell may become overloaded. Cells may be divided into multiple PUCCH groups.

[0130] FIG. 10B illustrates an example of how aggregated cells may be configured into one or more PUCCH groups. A PUCCH group 1010 and a PUCCH group 1050 may include one or more downlink CCs, respectively. In the example of FIG. 10B, the PUCCH group 1010 includes three downlink CCs: a PCell 1011, an SCell 1012, and an SCell 1013. The PUCCH group 1050 includes three downlink CCs in the present example: a PCell 1051, an SCell

1052, and an SCell 1053. One or more uplink CCs may be configured as a PCell 1021, an SCell 1022, and an SCell 1023. One or more other uplink CCs may be configured as a primary SCell (PSCell) 1061, an SCell 1062, and an SCell 1063. Uplink control information (UCI) related to the downlink CCs of the PUCCH group 1010, shown as UCI 1031, UCI 1032, and UCI 1033, may be transmitted in the uplink of the PCell 1021. Uplink control information (UCI) related to the downlink CCs of the PUCCH group 1050, shown as UCI 1071, UCI 1072, and UCI 1073, may be transmitted in the uplink of the PSCell 1061. In an example, if the aggregated cells depicted in FIG. 10B were not divided into the PUCCH group 1010 and the PUCCH group 1050, a single uplink PCell to transmit UCI relating to the downlink CCs, and the PCell may become overloaded. By dividing transmissions of UCI between the PCell 1021 and the PSCell 1061, overloading may be prevented.

[0131] A cell, comprising a downlink carrier and optionally an uplink carrier, may be assigned with a physical cell ID and a cell index. The physical cell ID or the cell index may identify a downlink carrier and/or an uplink carrier of the cell, for example, depending on the context in which the physical cell ID is used. A physical cell ID may be determined using a synchronization signal transmitted on a downlink component carrier. A cell index may be determined using RRC messages. In the disclosure, a physical cell ID may be referred to as a carrier ID, and a cell index may be referred to as a carrier index. For example, when the disclosure refers to a first physical cell ID for a first downlink carrier, the disclosure may mean the first physical cell ID is for a cell comprising the first downlink carrier. The same/similar concept may apply to, for example, a carrier activation. When the disclosure indicates that a first carrier is activated, the specification may mean that a cell comprising the first carrier is activated.

[0132] In CA, a multi-carrier nature of a PHY may be exposed to a MAC. In an example, a HARQ entity may operate on a serving cell. A transport block may be generated per assignment/grant per serving cell. A transport block and potential HARQ retransmissions of the transport block may be mapped to a serving cell.

[0133] In the downlink, a base station may transmit (e.g., unicast, multicast, and/or broadcast) one or more Reference Signals (RSs) to a UE (e.g., PSS, SSS, CSI-RS, DMRS, and/or PT-RS, as shown in FIG. 5A). In the uplink, the UE may transmit one or more RSs to the base station (e.g., DMRS, PT-RS, and/or SRS, as shown in FIG. 5B). The PSS and the SSS may be transmitted by the base station and used by the UE to synchronize the UE to the base station. The PSS and the SSS may be provided in a synchronization signal (SS) / physical broadcast channel (PBCH) block that includes the PSS, the SSS, and the PBCH. The base station may periodically transmit a burst of SS/PBCH blocks.

- [0134]** FIG. 11A illustrates an example of an SS/PBCH block's structure and location. A burst of SS/PBCH blocks may include one or more SS/PBCH blocks (e.g., 4 SS/PBCH blocks, as shown in FIG. 11A). Bursts may be transmitted periodically (e.g., every 2 frames or 20 ms). A burst may be restricted to a half-frame (e.g., a first half-frame having a duration of 5 ms). It will be understood that FIG. 11A is an example, and that these parameters (number of SS/PBCH blocks per burst, periodicity of bursts, position of burst within the frame) may be configured based on, for example: a carrier frequency of a cell in which the SS/PBCH block is transmitted; a numerology or subcarrier spacing of the cell; a configuration by the network (e.g., using RRC signaling); or any other suitable factor. In an example, the UE may assume a subcarrier spacing for the SS/PBCH block based on the carrier frequency being monitored, unless the radio network configured the UE to assume a different subcarrier spacing.
- [0135]** The SS/PBCH block may span one or more OFDM symbols in the time domain (e.g., 4 OFDM symbols, as shown in the example of FIG. 11A) and may span one or more subcarriers in the frequency domain (e.g., 240 contiguous subcarriers). The PSS, the SSS, and the PBCH may have a common center frequency. The PSS may be transmitted first and may span, for example, 1 OFDM symbol and 127 subcarriers. The SSS may be transmitted after the PSS (e.g., two symbols later) and may span 1 OFDM symbol and 127 subcarriers. The PBCH may be transmitted after the PSS (e.g., across the next 3 OFDM symbols) and may span 240 subcarriers.
- [0136]** The location of the SS/PBCH block in the time and frequency domains may not be known to the UE (e.g., if the UE is searching for the cell). To find and select the cell, the UE may monitor a carrier for the PSS. For example, the UE may monitor a frequency location within the carrier. If the PSS is not found after a certain duration (e.g., 20 ms), the UE may search for the PSS at a different frequency location within the carrier, as indicated by a synchronization raster. If the PSS is found at a location in the time and frequency domains, the UE may determine, based on a known structure of the SS/PBCH block, the locations of the SSS and the PBCH, respectively. The SS/PBCH block may be a cell-defining SS block (CD-SSB). In an example, a primary cell may be associated with a CD-SSB. The CD-SSB may be located on a synchronization raster. In an example, a cell selection/search and/or reselection may be based on the CD-SSB.
- [0137]** The SS/PBCH block may be used by the UE to determine one or more parameters of the cell. For example, the UE may determine a physical cell identifier (PCI) of the cell based on the sequences of the PSS and the SSS, respectively. The UE may determine a location of a frame boundary of the cell based on the location of the SS/PBCH block. For example, the

WO 2021/067444

PCT/US2020/053557

SS/PBCH block may indicate that it has been transmitted in accordance with a transmission pattern, wherein a SS/PBCH block in the transmission pattern is a known distance from the frame boundary.

- [0138]** The PBCH may use a QPSK modulation and may use forward error correction (FEC). The FEC may use polar coding. One or more symbols spanned by the PBCH may carry one or more DMRSs for demodulation of the PBCH. The PBCH may include an indication of a current system frame number (SFN) of the cell and/or a SS/PBCH block timing index. These parameters may facilitate time synchronization of the UE to the base station. The PBCH may include a master information block (MIB) used to provide the UE with one or more parameters. The MIB may be used by the UE to locate remaining minimum system information (RMSI) associated with the cell. The RMSI may include a System Information Block Type 1 (SIB1). The SIB1 may contain information needed by the UE to access the cell. The UE may use one or more parameters of the MIB to monitor PDCCH, which may be used to schedule PDSCH. The PDSCH may include the SIB1. The SIB1 may be decoded using parameters provided in the MIB. The PBCH may indicate an absence of SIB1. Based on the PBCH indicating the absence of SIB1, the UE may be pointed to a frequency. The UE may search for an SS/PBCH block at the frequency to which the UE is pointed.
- [0139]** The UE may assume that one or more SS/PBCH blocks transmitted with a same SS/PBCH block index are quasi co-located (QCLed) (e.g., having the same/similar Doppler spread, Doppler shift, average gain, average delay, and/or spatial Rx parameters). The UE may not assume QCL for SS/PBCH block transmissions having different SS/PBCH block indices.
- [0140]** SS/PBCH blocks (e.g., those within a half-frame) may be transmitted in spatial directions (e.g., using different beams that span a coverage area of the cell). In an example, a first SS/PBCH block may be transmitted in a first spatial direction using a first beam, and a second SS/PBCH block may be transmitted in a second spatial direction using a second beam.
- [0141]** In an example, within a frequency span of a carrier, a base station may transmit a plurality of SS/PBCH blocks. In an example, a first PCI of a first SS/PBCH block of the plurality of SS/PBCH blocks may be different from a second PCI of a second SS/PBCH block of the plurality of SS/PBCH blocks. The PCIs of SS/PBCH blocks transmitted in different frequency locations may be different or the same.
- [0142]** The CSI-RS may be transmitted by the base station and used by the UE to acquire channel state information (CSI). The base station may configure the UE with one or more CSI-RSs for channel estimation or any other suitable purpose. The base station may

WO 2021/067444

PCT/US2020/053557

configure a UE with one or more of the same/similar CSI-RSs. The UE may measure the one or more CSI-RSs. The UE may estimate a downlink channel state and/or generate a CSI report based on the measuring of the one or more downlink CSI-RSs. The UE may provide the CSI report to the base station. The base station may use feedback provided by the UE (e.g., the estimated downlink channel state) to perform link adaptation.

[0143] The base station may semi-statically configure the UE with one or more CSI-RS resource sets. A CSI-RS resource may be associated with a location in the time and frequency domains and a periodicity. The base station may selectively activate and/or deactivate a CSI-RS resource. The base station may indicate to the UE that a CSI-RS resource in the CSI-RS resource set is activated and/or deactivated.

[0144] The base station may configure the UE to report CSI measurements. The base station may configure the UE to provide CSI reports periodically, aperiodically, or semi-persistently. For periodic CSI reporting, the UE may be configured with a timing and/or periodicity of a plurality of CSI reports. For aperiodic CSI reporting, the base station may request a CSI report. For example, the base station may command the UE to measure a configured CSI-RS resource and provide a CSI report relating to the measurements. For semi-persistent CSI reporting, the base station may configure the UE to transmit periodically, and selectively activate or deactivate the periodic reporting. The base station may configure the UE with a CSI-RS resource set and CSI reports using RRC signaling.

[0145] The CSI-RS configuration may comprise one or more parameters indicating, for example, up to 32 antenna ports. The UE may be configured to employ the same OFDM symbols for a downlink CSI-RS and a control resource set (CORESET) when the downlink CSI-RS and CORESET are spatially QCLed and resource elements associated with the downlink CSI-RS are outside of the physical resource blocks (PRBs) configured for the CORESET. The UE may be configured to employ the same OFDM symbols for downlink CSI-RS and SS/PBCH blocks when the downlink CSI-RS and SS/PBCH blocks are spatially QCLed and resource elements associated with the downlink CSI-RS are outside of PRBs configured for the SS/PBCH blocks.

[0146] Downlink DMRSs may be transmitted by a base station and used by a UE for channel estimation. For example, the downlink DMRS may be used for coherent demodulation of one or more downlink physical channels (e.g., PDSCH). An NR network may support one or more variable and/or configurable DMRS patterns for data demodulation. At least one downlink DMRS configuration may support a front-loaded DMRS pattern. A front-loaded DMRS may be mapped over one or more OFDM symbols (e.g., one or two adjacent OFDM symbols). A base station may semi-statically configure the UE with a number (e.g. a

maximum number) of front-loaded DMRS symbols for PDSCH. A DMRS configuration may support one or more DMRS ports. For example, for single user-MIMO, a DMRS configuration may support up to eight orthogonal downlink DMRS ports per UE. For multiuser-MIMO, a DMRS configuration may support up to 4 orthogonal downlink DMRS ports per UE. A radio network may support (e.g., at least for CP-OFDM) a common DMRS structure for downlink and uplink, wherein a DMRS location, a DMRS pattern, and/or a scrambling sequence may be the same or different. The base station may transmit a downlink DMRS and a corresponding PDSCH using the same precoding matrix. The UE may use the one or more downlink DMRSs for coherent demodulation/channel estimation of the PDSCH.

[0147] In an example, a transmitter (e.g., a base station) may use a precoder matrices for a part of a transmission bandwidth. For example, the transmitter may use a first precoder matrix for a first bandwidth and a second precoder matrix for a second bandwidth. The first precoder matrix and the second precoder matrix may be different based on the first bandwidth being different from the second bandwidth. The UE may assume that a same precoding matrix is used across a set of PRBs. The set of PRBs may be denoted as a precoding resource block group (PRG).

[0148] A PDSCH may comprise one or more layers. The UE may assume that at least one symbol with DMRS is present on a layer of the one or more layers of the PDSCH. A higher layer may configure up to 3 DMRSs for the PDSCH.

[0149] Downlink PT-RS may be transmitted by a base station and used by a UE for phase-noise compensation. Whether a downlink PT-RS is present or not may depend on an RRC configuration. The presence and/or pattern of the downlink PT-RS may be configured on a UE-specific basis using a combination of RRC signaling and/or an association with one or more parameters employed for other purposes (e.g., modulation and coding scheme (MCS)), which may be indicated by DCI. When configured, a dynamic presence of a downlink PT-RS may be associated with one or more DCI parameters comprising at least MCS. An NR network may support a plurality of PT-RS densities defined in the time and/or frequency domains. When present, a frequency domain density may be associated with at least one configuration of a scheduled bandwidth. The UE may assume a same precoding for a DMRS port and a PT-RS port. A number of PT-RS ports may be fewer than a number of DMRS ports in a scheduled resource. Downlink PT-RS may be confined in the scheduled time/frequency duration for the UE. Downlink PT-RS may be transmitted on symbols to facilitate phase tracking at the receiver.

[0150] The UE may transmit an uplink DMRS to a base station for channel estimation. For example, the base station may use the uplink DMRS for coherent demodulation of one or

more uplink physical channels. For example, the UE may transmit an uplink DMRS with a PUSCH and/or a PUCCH. The uplink DM-RS may span a range of frequencies that is similar to a range of frequencies associated with the corresponding physical channel. The base station may configure the UE with one or more uplink DMRS configurations. At least one DMRS configuration may support a front-loaded DMRS pattern. The front-loaded DMRS may be mapped over one or more OFDM symbols (e.g., one or two adjacent OFDM symbols). One or more uplink DMRSs may be configured to transmit at one or more symbols of a PUSCH and/or a PUCCH. The base station may semi-statically configure the UE with a number (e.g. maximum number) of front-loaded DMRS symbols for the PUSCH and/or the PUCCH, which the UE may use to schedule a single-symbol DMRS and/or a double-symbol DMRS. An NR network may support (e.g., for cyclic prefix orthogonal frequency division multiplexing (CP-OFDM)) a common DMRS structure for downlink and uplink, wherein a DMRS location, a DMRS pattern, and/or a scrambling sequence for the DMRS may be the same or different.

[0151] A PUSCH may comprise one or more layers, and the UE may transmit at least one symbol with DMRS present on a layer of the one or more layers of the PUSCH. In an example, a higher layer may configure up to three DMRSs for the PUSCH.

[0152] Uplink PT-RS (which may be used by a base station for phase tracking and/or phase-noise compensation) may or may not be present depending on an RRC configuration of the UE. The presence and/or pattern of uplink PT-RS may be configured on a UE-specific basis by a combination of RRC signaling and/or one or more parameters employed for other purposes (e.g., Modulation and Coding Scheme (MCS)), which may be indicated by DCI. When configured, a dynamic presence of uplink PT-RS may be associated with one or more DCI parameters comprising at least MCS. A radio network may support a plurality of uplink PT-RS densities defined in time/frequency domain. When present, a frequency domain density may be associated with at least one configuration of a scheduled bandwidth. The UE may assume a same precoding for a DMRS port and a PT-RS port. A number of PT-RS ports may be fewer than a number of DMRS ports in a scheduled resource. For example, uplink PT-RS may be confined in the scheduled time/frequency duration for the UE.

[0153] SRS may be transmitted by a UE to a base station for channel state estimation to support uplink channel dependent scheduling and/or link adaptation. SRS transmitted by the UE may allow a base station to estimate an uplink channel state at one or more frequencies. A scheduler at the base station may employ the estimated uplink channel state to assign one or more resource blocks for an uplink PUSCH transmission from the UE. The base station may semi-statically configure the UE with one or more SRS resource sets. For an SRS

resource set, the base station may configure the UE with one or more SRS resources. An SRS resource set applicability may be configured by a higher layer (e.g., RRC) parameter. For example, when a higher layer parameter indicates beam management, an SRS resource in a SRS resource set of the one or more SRS resource sets (e.g., with the same/similar time domain behavior, periodic, aperiodic, and/or the like) may be transmitted at a time instant (e.g., simultaneously). The UE may transmit one or more SRS resources in SRS resource sets. An NR network may support aperiodic, periodic and/or semi-persistent SRS transmissions. The UE may transmit SRS resources based on one or more trigger types, wherein the one or more trigger types may comprise higher layer signaling (e.g., RRC) and/or one or more DCI formats. In an example, at least one DCI format may be employed for the UE to select at least one of one or more configured SRS resource sets. An SRS trigger type 0 may refer to an SRS triggered based on a higher layer signaling. An SRS trigger type 1 may refer to an SRS triggered based on one or more DCI formats. In an example, when PUSCH and SRS are transmitted in a same slot, the UE may be configured to transmit SRS after a transmission of a PUSCH and a corresponding uplink DMRS.

- [0154]** The base station may semi-statically configure the UE with one or more SRS configuration parameters indicating at least one of following: a SRS resource configuration identifier; a number of SRS ports; time domain behavior of an SRS resource configuration (e.g., an indication of periodic, semi-persistent, or aperiodic SRS); slot, mini-slot, and/or subframe level periodicity; offset for a periodic and/or an aperiodic SRS resource; a number of OFDM symbols in an SRS resource; a starting OFDM symbol of an SRS resource; an SRS bandwidth; a frequency hopping bandwidth; a cyclic shift; and/or an SRS sequence ID.
- [0155]** An antenna port is defined such that the channel over which a symbol on the antenna port is conveyed can be inferred from the channel over which another symbol on the same antenna port is conveyed. If a first symbol and a second symbol are transmitted on the same antenna port, the receiver may infer the channel (e.g., fading gain, multipath delay, and/or the like) for conveying the second symbol on the antenna port, from the channel for conveying the first symbol on the antenna port. A first antenna port and a second antenna port may be referred to as quasi co-located (QCLed) if one or more large-scale properties of the channel over which a first symbol on the first antenna port is conveyed may be inferred from the channel over which a second symbol on a second antenna port is conveyed. The one or more large-scale properties may comprise at least one of: a delay spread; a Doppler spread; a Doppler shift; an average gain; an average delay; and/or spatial Receiving (Rx) parameters.
- [0156]** Channels that use beamforming require beam management. Beam management may comprise beam measurement, beam selection, and beam indication. A beam may be

associated with one or more reference signals. For example, a beam may be identified by one or more beamformed reference signals. The UE may perform downlink beam measurement based on downlink reference signals (e.g., a channel state information reference signal (CSI-RS)) and generate a beam measurement report. The UE may perform the downlink beam measurement procedure after an RRC connection is set up with a base station.

[0157] FIG. 11B illustrates an example of channel state information reference signals (CSI-RSs) that are mapped in the time and frequency domains. A square shown in FIG. 11B may span a resource block (RB) within a bandwidth of a cell. A base station may transmit one or more RRC messages comprising CSI-RS resource configuration parameters indicating one or more CSI-RSs. One or more of the following parameters may be configured by higher layer signaling (e.g., RRC and/or MAC signaling) for a CSI-RS resource configuration: a CSI-RS resource configuration identity, a number of CSI-RS ports, a CSI-RS configuration (e.g., symbol and resource element (RE) locations in a subframe), a CSI-RS subframe configuration (e.g., subframe location, offset, and periodicity in a radio frame), a CSI-RS power parameter, a CSI-RS sequence parameter, a code division multiplexing (CDM) type parameter, a frequency density, a transmission comb, quasi co-location (QCL) parameters (e.g., QCL-scramblingidentity, crs-portscount, mbsfn-subframeconfiglist, csi-rs-configZPid, qcl-csi-rs-configNZPid), and/or other radio resource parameters.

[0158] The three beams illustrated in FIG. 11B may be configured for a UE in a UE-specific configuration. Three beams are illustrated in FIG. 11B (beam #1, beam #2, and beam #3), more or fewer beams may be configured. Beam #1 may be allocated with CSI-RS 1101 that may be transmitted in one or more subcarriers in an RB of a first symbol. Beam #2 may be allocated with CSI-RS 1102 that may be transmitted in one or more subcarriers in an RB of a second symbol. Beam #3 may be allocated with CSI-RS 1103 that may be transmitted in one or more subcarriers in an RB of a third symbol. By using frequency division multiplexing (FDM), a base station may use other subcarriers in a same RB (for example, those that are not used to transmit CSI-RS 1101) to transmit another CSI-RS associated with a beam for another UE. By using time domain multiplexing (TDM), beams used for the UE may be configured such that beams for the UE use symbols from beams of other UEs.

[0159] CSI-RSs such as those illustrated in FIG. 11B (e.g., CSI-RS 1101, 1102, 1103) may be transmitted by the base station and used by the UE for one or more measurements. For example, the UE may measure a reference signal received power (RSRP) of configured CSI-RS resources. The base station may configure the UE with a reporting configuration and the UE may report the RSRP measurements to a network (for example, via one or more base stations) based on the reporting configuration. In an example, the base station may

determine, based on the reported measurement results, one or more transmission configuration indication (TCI) states comprising a number of reference signals. In an example, the base station may indicate one or more TCI states to the UE (e.g., via RRC signaling, a MAC CE, and/or a DCI). The UE may receive a downlink transmission with a receive (Rx) beam determined based on the one or more TCI states. In an example, the UE may or may not have a capability of beam correspondence. If the UE has the capability of beam correspondence, the UE may determine a spatial domain filter of a transmit (Tx) beam based on a spatial domain filter of the corresponding Rx beam. If the UE does not have the capability of beam correspondence, the UE may perform an uplink beam selection procedure to determine the spatial domain filter of the Tx beam. The UE may perform the uplink beam selection procedure based on one or more sounding reference signal (SRS) resources configured to the UE by the base station. The base station may select and indicate uplink beams for the UE based on measurements of the one or more SRS resources transmitted by the UE.

[0160] In a beam management procedure, a UE may assess (e.g., measure) a channel quality of one or more beam pair links, a beam pair link comprising a transmitting beam transmitted by a base station and a receiving beam received by the UE. Based on the assessment, the UE may transmit a beam measurement report indicating one or more beam pair quality parameters comprising, e.g., one or more beam identifications (e.g., a beam index, a reference signal index, or the like), RSRP, a precoding matrix indicator (PMI), a channel quality indicator (CQI), and/or a rank indicator (RI).

[0161] FIG. 12A illustrates examples of three downlink beam management procedures: P1, P2, and P3. Procedure P1 may enable a UE measurement on transmit (Tx) beams of a transmission reception point (TRP) (or multiple TRPs), e.g., to support a selection of one or more base station Tx beams and/or UE Rx beams (shown as ovals in the top row and bottom row, respectively, of P1). Beamforming at a TRP may comprise a Tx beam sweep for a set of beams (shown, in the top rows of P1 and P2, as ovals rotated in a counter-clockwise direction indicated by the dashed arrow). Beamforming at a UE may comprise an Rx beam sweep for a set of beams (shown, in the bottom rows of P1 and P3, as ovals rotated in a clockwise direction indicated by the dashed arrow). Procedure P2 may be used to enable a UE measurement on Tx beams of a TRP (shown, in the top row of P2, as ovals rotated in a counter-clockwise direction indicated by the dashed arrow). The UE and/or the base station may perform procedure P2 using a smaller set of beams than is used in procedure P1, or using narrower beams than the beams used in procedure P1. This may be referred to as beam

refinement. The UE may perform procedure P3 for Rx beam determination by using the same Tx beam at the base station and sweeping an Rx beam at the UE.

[0162] FIG. 12B illustrates examples of three uplink beam management procedures: U1, U2, and U3. Procedure U1 may be used to enable a base station to perform a measurement on Tx beams of a UE, e.g., to support a selection of one or more UE Tx beams and/or base station Rx beams (shown as ovals in the top row and bottom row, respectively, of U1). Beamforming at the UE may include, e.g., a Tx beam sweep from a set of beams (shown in the bottom rows of U1 and U3 as ovals rotated in a clockwise direction indicated by the dashed arrow). Beamforming at the base station may include, e.g., an Rx beam sweep from a set of beams (shown, in the top rows of U1 and U2, as ovals rotated in a counter-clockwise direction indicated by the dashed arrow). Procedure U2 may be used to enable the base station to adjust its Rx beam when the UE uses a fixed Tx beam. The UE and/or the base station may perform procedure U2 using a smaller set of beams than is used in procedure P1, or using narrower beams than the beams used in procedure P1. This may be referred to as beam refinement. The UE may perform procedure U3 to adjust its Tx beam when the base station uses a fixed Rx beam.

[0163] A UE may initiate a beam failure recovery (BFR) procedure based on detecting a beam failure. The UE may transmit a BFR request (e.g., a preamble, a UCI, an SR, a MAC CE, and/or the like) based on the initiating of the BFR procedure. The UE may detect the beam failure based on a determination that a quality of beam pair link(s) of an associated control channel is unsatisfactory (e.g., having an error rate higher than an error rate threshold, a received signal power lower than a received signal power threshold, an expiration of a timer, and/or the like).

[0164] The UE may measure a quality of a beam pair link using one or more reference signals (RSs) comprising one or more SS/PBCH blocks, one or more CSI-RS resources, and/or one or more demodulation reference signals (DMRSs). A quality of the beam pair link may be based on one or more of a block error rate (BLER), an RSRP value, a signal to interference plus noise ratio (SINR) value, a reference signal received quality (RSRQ) value, and/or a CSI value measured on RS resources. The base station may indicate that an RS resource is quasi co-located (QCLed) with one or more DM-RSs of a channel (e.g., a control channel, a shared data channel, and/or the like). The RS resource and the one or more DMRSs of the channel may be QCLed when the channel characteristics (e.g., Doppler shift, Doppler spread, average delay, delay spread, spatial Rx parameter, fading, and/or the like) from a transmission via the RS resource to the UE are similar or the same as the channel characteristics from a transmission via the channel to the UE.

- [0165]** A network (e.g., a gNB and/or an ng-eNB of a network) and/or the UE may initiate a random access procedure. A UE in an RRC_IDLE state and/or an RRC_INACTIVE state may initiate the random access procedure to request a connection setup to a network. The UE may initiate the random access procedure from an RRC_CONNECTED state. The UE may initiate the random access procedure to request uplink resources (e.g., for uplink transmission of an SR when there is no PUCCH resource available) and/or acquire uplink timing (e.g., when uplink synchronization status is non-synchronized). The UE may initiate the random access procedure to request one or more system information blocks (SIBs) (e.g., other system information such as SIB2, SIB3, and/or the like). The UE may initiate the random access procedure for a beam failure recovery request. A network may initiate a random access procedure for a handover and/or for establishing time alignment for an SCell addition.
- [0166]** FIG. 13A illustrates a four-step contention-based random access procedure. Prior to initiation of the procedure, a base station may transmit a configuration message 1310 to the UE. The procedure illustrated in FIG. 13A comprises transmission of four messages: a Msg 1 1311, a Msg 2 1312, a Msg 3 1313, and a Msg 4 1314. The Msg 1 1311 may include and/or be referred to as a preamble (or a random access preamble). The Msg 2 1312 may include and/or be referred to as a random access response (RAR).
- [0167]** The configuration message 1310 may be transmitted, for example, using one or more RRC messages. The one or more RRC messages may indicate one or more random access channel (RACH) parameters to the UE. The one or more RACH parameters may comprise at least one of following: general parameters for one or more random access procedures (e.g., RACH-configGeneral); cell-specific parameters (e.g., RACH-ConfigCommon); and/or dedicated parameters (e.g., RACH-configDedicated). The base station may broadcast or multicast the one or more RRC messages to one or more UEs. The one or more RRC messages may be UE-specific (e.g., dedicated RRC messages transmitted to a UE in an RRC_CONNECTED state and/or in an RRC_INACTIVE state). The UE may determine, based on the one or more RACH parameters, a time-frequency resource and/or an uplink transmit power for transmission of the Msg 1 1311 and/or the Msg 3 1313. Based on the one or more RACH parameters, the UE may determine a reception timing and a downlink channel for receiving the Msg 2 1312 and the Msg 4 1314.
- [0168]** The one or more RACH parameters provided in the configuration message 1310 may indicate one or more Physical RACH (PRACH) occasions available for transmission of the Msg 1 1311. The one or more PRACH occasions may be predefined. The one or more RACH parameters may indicate one or more available sets of one or more PRACH occasions (e.g., prach-ConfigIndex). The one or more RACH parameters may indicate an association

between (a) one or more PRACH occasions and (b) one or more reference signals. The one or more RACH parameters may indicate an association between (a) one or more preambles and (b) one or more reference signals. The one or more reference signals may be SS/PBCH blocks and/or CSI-RSs. For example, the one or more RACH parameters may indicate a number of SS/PBCH blocks mapped to a PRACH occasion and/or a number of preambles mapped to a SS/PBCH blocks.

[0169] The one or more RACH parameters provided in the configuration message 1310 may be used to determine an uplink transmit power of Msg 1 1311 and/or Msg 3 1313. For example, the one or more RACH parameters may indicate a reference power for a preamble transmission (e.g., a received target power and/or an initial power of the preamble transmission). There may be one or more power offsets indicated by the one or more RACH parameters. For example, the one or more RACH parameters may indicate: a power ramping step; a power offset between SSB and CSI-RS; a power offset between transmissions of the Msg 1 1311 and the Msg 3 1313; and/or a power offset value between preamble groups. The one or more RACH parameters may indicate one or more thresholds based on which the UE may determine at least one reference signal (e.g., an SSB and/or CSI-RS) and/or an uplink carrier (e.g., a normal uplink (NUL) carrier and/or a supplemental uplink (SUL) carrier).

[0170] The Msg 1 1311 may include one or more preamble transmissions (e.g., a preamble transmission and one or more preamble retransmissions). An RRC message may be used to configure one or more preamble groups (e.g., group A and/or group B). A preamble group may comprise one or more preambles. The UE may determine the preamble group based on a pathloss measurement and/or a size of the Msg 3 1313. The UE may measure an RSRP of one or more reference signals (e.g., SSBs and/or CSI-RSs) and determine at least one reference signal having an RSRP above an RSRP threshold (e.g., rsrp-ThresholdSSB and/or $\text{rsrp-ThresholdCSI-RS}$). The UE may select at least one preamble associated with the one or more reference signals and/or a selected preamble group, for example, if the association between the one or more preambles and the at least one reference signal is configured by an RRC message.

[0171] The UE may determine the preamble based on the one or more RACH parameters provided in the configuration message 1310. For example, the UE may determine the preamble based on a pathloss measurement, an RSRP measurement, and/or a size of the Msg 3 1313. As another example, the one or more RACH parameters may indicate: a preamble format; a maximum number of preamble transmissions; and/or one or more thresholds for determining one or more preamble groups (e.g., group A and group B). A base station may use the one or more RACH parameters to configure the UE with an association between one

or more preambles and one or more reference signals (e.g., SSBs and/or CSI-RSs). If the association is configured, the UE may determine the preamble to include in Msg 1 1311 based on the association. The Msg 1 1311 may be transmitted to the base station via one or more PRACH occasions. The UE may use one or more reference signals (e.g., SSBs and/or CSI-RSs) for selection of the preamble and for determining of the PRACH occasion. One or more RACH parameters (e.g., ra-ssb-OccasionMskIndex and/or ra-OccasionList) may indicate an association between the PRACH occasions and the one or more reference signals.

[0172] The UE may perform a preamble retransmission if no response is received following a preamble transmission. The UE may increase an uplink transmit power for the preamble retransmission. The UE may select an initial preamble transmit power based on a pathloss measurement and/or a target received preamble power configured by the network. The UE may determine to retransmit a preamble and may ramp up the uplink transmit power. The UE may receive one or more RACH parameters (e.g., `PREAMBLE_POWER_RAMPING_STEP`) indicating a ramping step for the preamble retransmission. The ramping step may be an amount of incremental increase in uplink transmit power for a retransmission. The UE may ramp up the uplink transmit power if the UE determines a reference signal (e.g., SSB and/or CSI-RS) that is the same as a previous preamble transmission. The UE may count a number of preamble transmissions and/or retransmissions (e.g., `PREAMBLE_TRANSMISSION_COUNTER`). The UE may determine that a random access procedure completed unsuccessfully, for example, if the number of preamble transmissions exceeds a threshold configured by the one or more RACH parameters (e.g., `preambleTransMax`).

[0173] The Msg 2 1312 received by the UE may include an RAR. In some scenarios, the Msg 2 1312 may include multiple RARs corresponding to multiple UEs. The Msg 2 1312 may be received after or in response to the transmitting of the Msg 1 1311. The Msg 2 1312 may be scheduled on the DL-SCH and indicated on a PDCCH using a random access RNTI (RA-RNTI). The Msg 2 1312 may indicate that the Msg 1 1311 was received by the base station. The Msg 2 1312 may include a time-alignment command that may be used by the UE to adjust the UE's transmission timing, a scheduling grant for transmission of the Msg 3 1313, and/or a Temporary Cell RNTI (TC-RNTI). After transmitting a preamble, the UE may start a time window (e.g., `ra-ResponseWindow`) to monitor a PDCCH for the Msg 2 1312. The UE may determine when to start the time window based on a PRACH occasion that the UE uses to transmit the preamble. For example, the UE may start the time window one or more symbols after a last symbol of the preamble (e.g., at a first PDCCH occasion from an end of a preamble transmission). The one or more symbols may be determined based on a

numerology. The PDCCH may be in a common search space (e.g., a Type1-PDCCH common search space) configured by an RRC message. The UE may identify the RAR based on a Radio Network Temporary Identifier (RNTI). RNTIs may be used depending on one or more events initiating the random access procedure. The UE may use random access RNTI (RA-RNTI). The RA-RNTI may be associated with PRACH occasions in which the UE transmits a preamble. For example, the UE may determine the RA-RNTI based on: an OFDM symbol index; a slot index; a frequency domain index; and/or a UL carrier indicator of the PRACH occasions. An example of RA-RNTI may be as follows:

$$\text{RA-RNTI} = 1 + s_id + 14 \times t_id + 14 \times 80 \times f_id + 14 \times 80 \times 8 \times ul_carrier_id$$

where s_id may be an index of a first OFDM symbol of the PRACH occasion (e.g., $0 \leq s_id < 14$), t_id may be an index of a first slot of the PRACH occasion in a system frame (e.g., $0 \leq t_id < 80$), f_id may be an index of the PRACH occasion in the frequency domain (e.g., $0 \leq f_id < 8$), and $ul_carrier_id$ may be a UL carrier used for a preamble transmission (e.g., 0 for an NUL carrier, and 1 for an SUL carrier).

The UE may transmit the Msg 3 1313 in response to a successful reception of the Msg 2 1312 (e.g., using resources identified in the Msg 2 1312). The Msg 3 1313 may be used for contention resolution in, for example, the contention-based random access procedure illustrated in FIG. 13A. In some scenarios, a plurality of UEs may transmit a same preamble to a base station and the base station may provide an RAR that corresponds to a UE. Collisions may occur if the plurality of UEs interpret the RAR as corresponding to themselves. Contention resolution (e.g., using the Msg 3 1313 and the Msg 4 1314) may be used to increase the likelihood that the UE does not incorrectly use an identity of another the UE. To perform contention resolution, the UE may include a device identifier in the Msg 3 1313 (e.g., a C-RNTI if assigned, a TC-RNTI included in the Msg 2 1312, and/or any other suitable identifier).

[0174] The Msg 4 1314 may be received after or in response to the transmitting of the Msg 3 1313. If a C-RNTI was included in the Msg 3 1313, the base station will address the UE on the PDCCH using the C-RNTI. If the UE's unique C-RNTI is detected on the PDCCH, the random access procedure is determined to be successfully completed. If a TC-RNTI is included in the Msg 3 1313 (e.g., if the UE is in an RRC_IDLE state or not otherwise connected to the base station), Msg 4 1314 will be received using a DL-SCH associated with the TC-RNTI. If a MAC PDU is successfully decoded and a MAC PDU comprises the UE contention resolution identity MAC CE that matches or otherwise corresponds with the CCCH SDU sent (e.g., transmitted) in Msg 3 1313, the UE may determine that the contention

resolution is successful and/or the UE may determine that the random access procedure is successfully completed.

[0175] The UE may be configured with a supplementary uplink (SUL) carrier and a normal uplink (NUL) carrier. An initial access (e.g., random access procedure) may be supported in an uplink carrier. For example, a base station may configure the UE with two separate RACH configurations: one for an SUL carrier and the other for an NUL carrier. For random access in a cell configured with an SUL carrier, the network may indicate which carrier to use (NUL or SUL). The UE may determine the SUL carrier, for example, if a measured quality of one or more reference signals is lower than a broadcast threshold. Uplink transmissions of the random access procedure (e.g., the Msg 1 1311 and/or the Msg 3 1313) may remain on the selected carrier. The UE may switch an uplink carrier during the random access procedure (e.g., between the Msg 1 1311 and the Msg 3 1313) in one or more cases. For example, the UE may determine and/or switch an uplink carrier for the Msg 1 1311 and/or the Msg 3 1313 based on a channel clear assessment (e.g., a listen-before-talk).

[0176] FIG. 13B illustrates a two-step contention-free random access procedure. Similar to the four-step contention-based random access procedure illustrated in FIG. 13A, a base station may, prior to initiation of the procedure, transmit a configuration message 1320 to the UE. The configuration message 1320 may be analogous in some respects to the configuration message 1310. The procedure illustrated in FIG. 13B comprises transmission of two messages: a Msg 1 1321 and a Msg 2 1322. The Msg 1 1321 and the Msg 2 1322 may be analogous in some respects to the Msg 1 1311 and a Msg 2 1312 illustrated in FIG. 13A, respectively. As will be understood from FIGS. 13A and 13B, the contention-free random access procedure may not include messages analogous to the Msg 3 1313 and/or the Msg 4 1314.

[0177] The contention-free random access procedure illustrated in FIG. 13B may be initiated for a beam failure recovery, other SI request, SCell addition, and/or handover. For example, a base station may indicate or assign to the UE the preamble to be used for the Msg 1 1321. The UE may receive, from the base station via PDCCH and/or RRC, an indication of a preamble (e.g., ra-PreambleIndex).

[0178] After transmitting a preamble, the UE may start a time window (e.g., ra-ResponseWindow) to monitor a PDCCH for the RAR. In the event of a beam failure recovery request, the base station may configure the UE with a separate time window and/or a separate PDCCH in a search space indicated by an RRC message (e.g., recoverySearchSpaceId). The UE may monitor for a PDCCH transmission addressed to a Cell RNTI (C-RNTI) on the search space. In the contention-free random access procedure

illustrated in FIG. 13B, the UE may determine that a random access procedure successfully completes after or in response to transmission of Msg 1 1321 and reception of a corresponding Msg 2 1322. The UE may determine that a random access procedure successfully completes, for example, if a PDCCH transmission is addressed to a C-RNTI. The UE may determine that a random access procedure successfully completes, for example, if the UE receives an RAR comprising a preamble identifier corresponding to a preamble transmitted by the UE and/or the RAR comprises a MAC sub-PDU with the preamble identifier. The UE may determine the response as an indication of an acknowledgement for an SI request.

[0179] FIG. 13C illustrates another two-step random access procedure. Similar to the random access procedures illustrated in FIGS. 13A and 13B, a base station may, prior to initiation of the procedure, transmit a configuration message 1330 to the UE. The configuration message 1330 may be analogous in some respects to the configuration message 1310 and/or the configuration message 1320. The procedure illustrated in FIG. 13C comprises transmission of two messages: a Msg A 1331 and a Msg B 1332.

[0180] Msg A 1331 may be transmitted in an uplink transmission by the UE. Msg A 1331 may comprise one or more transmissions of a preamble 1341 and/or one or more transmissions of a transport block 1342. The transport block 1342 may comprise contents that are similar and/or equivalent to the contents of the Msg 3 1313 illustrated in FIG. 13A. The transport block 1342 may comprise UCI (e.g., an SR, a HARQ ACK/NACK, and/or the like). The UE may receive the Msg B 1332 after or in response to transmitting the Msg A 1331. The Msg B 1332 may comprise contents that are similar and/or equivalent to the contents of the Msg 2 1312 (e.g., an RAR) illustrated in FIGS. 13A and 13B and/or the Msg 4 1314 illustrated in FIG. 13A.

[0181] The UE may initiate the two-step random access procedure in FIG. 13C for licensed spectrum and/or unlicensed spectrum. The UE may determine, based on one or more factors, whether to initiate the two-step random access procedure. The one or more factors may be: a radio access technology in use (e.g., LTE, NR, and/or the like); whether the UE has valid TA or not; a cell size; the UE's RRC state; a type of spectrum (e.g., licensed vs. unlicensed); and/or any other suitable factors.

[0182] The UE may determine, based on two-step RACH parameters included in the configuration message 1330, a radio resource and/or an uplink transmit power for the preamble 1341 and/or the transport block 1342 included in the Msg A 1331. The RACH parameters may indicate a modulation and coding schemes (MCS), a time-frequency resource, and/or a power control for the preamble 1341 and/or the transport block 1342. A

WO 2021/067444

PCT/US2020/053557

time-frequency resource for transmission of the preamble 1341 (e.g., a PRACH) and a time-frequency resource for transmission of the transport block 1342 (e.g., a PUSCH) may be multiplexed using FDM, TDM, and/or CDM. The RACH parameters may enable the UE to determine a reception timing and a downlink channel for monitoring for and/or receiving Msg B 1332.

[0183] The transport block 1342 may comprise data (e.g., delay-sensitive data), an identifier of the UE, security information, and/or device information (e.g., an International Mobile Subscriber Identity (IMSI)). The base station may transmit the Msg B 1332 as a response to the Msg A 1331. The Msg B 1332 may comprise at least one of following: a preamble identifier; a timing advance command; a power control command; an uplink grant (e.g., a radio resource assignment and/or an MCS); a UE identifier for contention resolution; and/or an RNTI (e.g., a C-RNTI or a TC-RNTI). The UE may determine that the two-step random access procedure is successfully completed if: a preamble identifier in the Msg B 1332 is matched to a preamble transmitted by the UE; and/or the identifier of the UE in Msg B 1332 is matched to the identifier of the UE in the Msg A 1331 (e.g., the transport block 1342).

[0184] A UE and a base station may exchange control signaling. The control signaling may be referred to as L1/L2 control signaling and may originate from the PHY layer (e.g., layer 1) and/or the MAC layer (e.g., layer 2). The control signaling may comprise downlink control signaling transmitted from the base station to the UE and/or uplink control signaling transmitted from the UE to the base station.

[0185] The downlink control signaling may comprise: a downlink scheduling assignment; an uplink scheduling grant indicating uplink radio resources and/or a transport format; a slot format information; a preemption indication; a power control command; and/or any other suitable signaling. The UE may receive the downlink control signaling in a payload transmitted by the base station on a physical downlink control channel (PDCCH). The payload transmitted on the PDCCH may be referred to as downlink control information (DCI). In some scenarios, the PDCCH may be a group common PDCCH (GC-PDCCH) that is common to a group of UEs.

[0186] A base station may attach one or more cyclic redundancy check (CRC) parity bits to a DCI in order to facilitate detection of transmission errors. When the DCI is intended for a UE (or a group of the UEs), the base station may scramble the CRC parity bits with an identifier of the UE (or an identifier of the group of the UEs). Scrambling the CRC parity bits with the identifier may comprise Modulo-2 addition (or an exclusive OR operation) of the identifier value and the CRC parity bits. The identifier may comprise a 16-bit value of a radio network temporary identifier (RNTI).

[0187] DCIs may be used for different purposes. A purpose may be indicated by the type of RNTI used to scramble the CRC parity bits. For example, a DCI having CRC parity bits scrambled with a paging RNTI (P-RNTI) may indicate paging information and/or a system information change notification. The P-RNTI may be predefined as “FFFE” in hexadecimal. A DCI having CRC parity bits scrambled with a system information RNTI (SI-RNTI) may indicate a broadcast transmission of the system information. The SI-RNTI may be predefined as “FFFF” in hexadecimal. A DCI having CRC parity bits scrambled with a random access RNTI (RA-RNTI) may indicate a random access response (RAR). A DCI having CRC parity bits scrambled with a cell RNTI (C-RNTI) may indicate a dynamically scheduled unicast transmission and/or a triggering of PDCCH-ordered random access. A DCI having CRC parity bits scrambled with a temporary cell RNTI (TC-RNTI) may indicate a contention resolution (e.g., a Msg 3 analogous to the Msg 3 1313 illustrated in FIG. 13A). Other RNTIs configured to the UE by a base station may comprise a Configured Scheduling RNTI (CS-RNTI), a Transmit Power Control-PUCCH RNTI (TPC-PUCCH-RNTI), a Transmit Power Control-PUSCH RNTI (TPC-PUSCH-RNTI), a Transmit Power Control-SRS RNTI (TPC-SRS-RNTI), an Interruption RNTI (INT-RNTI), a Slot Format Indication RNTI (SFI-RNTI), a Semi-Persistent CSI RNTI (SP-CSI-RNTI), a Modulation and Coding Scheme Cell RNTI (MCS-C-RNTI), and/or the like.

[0188] Depending on the purpose and/or content of a DCI, the base station may transmit the DCIs with one or more DCI formats. For example, DCI format 0_0 may be used for scheduling of PUSCH in a cell. DCI format 0_0 may be a fallback DCI format (e.g., with compact DCI payloads). DCI format 0_1 may be used for scheduling of PUSCH in a cell (e.g., with more DCI payloads than DCI format 0_0). DCI format 1_0 may be used for scheduling of PDSCH in a cell. DCI format 1_0 may be a fallback DCI format (e.g., with compact DCI payloads). DCI format 1_1 may be used for scheduling of PDSCH in a cell (e.g., with more DCI payloads than DCI format 1_0). DCI format 2_0 may be used for providing a slot format indication to a group of UEs. DCI format 2_1 may be used for notifying a group of UEs of a physical resource block and/or OFDM symbol where the UE may assume no transmission is intended to the UE. DCI format 2_2 may be used for transmission of a transmit power control (TPC) command for PUCCH or PUSCH. DCI format 2_3 may be used for transmission of a group of TPC commands for SRS transmissions by one or more UEs. DCI format(s) for new functions may be defined in future releases. DCI formats may have different DCI sizes, or may share the same DCI size.

[0189] After scrambling a DCI with a RNTI, the base station may process the DCI with channel coding (e.g., polar coding), rate matching, scrambling and/or QPSK modulation. A

base station may map the coded and modulated DCI on resource elements used and/or configured for a PDCCH. Based on a payload size of the DCI and/or a coverage of the base station, the base station may transmit the DCI via a PDCCH occupying a number of contiguous control channel elements (CCEs). The number of the contiguous CCEs (referred to as aggregation level) may be 1, 2, 4, 8, 16, and/or any other suitable number. A CCE may comprise a number (e.g., 6) of resource-element groups (REGs). A REG may comprise a resource block in an OFDM symbol. The mapping of the coded and modulated DCI on the resource elements may be based on mapping of CCEs and REGs (e.g., CCE-to-REG mapping).

[0190] FIG. 14A illustrates an example of CORESET configurations for a bandwidth part. The base station may transmit a DCI via a PDCCH on one or more control resource sets (CORESETs). A CORESET may comprise a time-frequency resource in which the UE tries to decode a DCI using one or more search spaces. The base station may configure a CORESET in the time-frequency domain. In the example of FIG. 14A, a first CORESET 1401 and a second CORESET 1402 occur at the first symbol in a slot. The first CORESET 1401 overlaps with the second CORESET 1402 in the frequency domain. A third CORESET 1403 occurs at a third symbol in the slot. A fourth CORESET 1404 occurs at the seventh symbol in the slot. CORESETs may have a different number of resource blocks in frequency domain.

[0191] FIG. 14B illustrates an example of a CCE-to-REG mapping for DCI transmission on a CORESET and PDCCH processing. The CCE-to-REG mapping may be an interleaved mapping (e.g., for the purpose of providing frequency diversity) or a non-interleaved mapping (e.g., for the purposes of facilitating interference coordination and/or frequency-selective transmission of control channels). The base station may perform different or same CCE-to-REG mapping on different CORESETs. A CORESET may be associated with a CCE-to-REG mapping by RRC configuration. A CORESET may be configured with an antenna port quasi co-location (QCL) parameter. The antenna port QCL parameter may indicate QCL information of a demodulation reference signal (DMRS) for PDCCH reception in the CORESET.

[0192] The base station may transmit, to the UE, RRC messages comprising configuration parameters of one or more CORESETs and one or more search space sets. The configuration parameters may indicate an association between a search space set and a CORESET. A search space set may comprise a set of PDCCH candidates formed by CCEs at a given aggregation level. The configuration parameters may indicate: a number of PDCCH candidates to be monitored per aggregation level; a PDCCH monitoring periodicity and a

PDCCH monitoring pattern; one or more DCI formats to be monitored by the UE; and/or whether a search space set is a common search space set or a UE-specific search space set. A set of CCEs in the common search space set may be predefined and known to the UE. A set of CCEs in the UE-specific search space set may be configured based on the UE's identity (e.g., C-RNTI).

[0193] As shown in FIG. 14B, the UE may determine a time-frequency resource for a CORESET based on RRC messages. The UE may determine a CCE-to-REG mapping (e.g., interleaved or non-interleaved, and/or mapping parameters) for the CORESET based on configuration parameters of the CORESET. The UE may determine a number (e.g., at most 10) of search space sets configured on the CORESET based on the RRC messages. The UE may monitor a set of PDCCH candidates according to configuration parameters of a search space set. The UE may monitor a set of PDCCH candidates in one or more CORESETs for detecting one or more DCIs. Monitoring may comprise decoding one or more PDCCH candidates of the set of the PDCCH candidates according to the monitored DCI formats. Monitoring may comprise decoding a DCI content of one or more PDCCH candidates with possible (or configured) PDCCH locations, possible (or configured) PDCCH formats (e.g., number of CCEs, number of PDCCH candidates in common search spaces, and/or number of PDCCH candidates in the UE-specific search spaces) and possible (or configured) DCI formats. The decoding may be referred to as blind decoding. The UE may determine a DCI as valid for the UE, in response to CRC checking (e.g., scrambled bits for CRC parity bits of the DCI matching a RNTI value). The UE may process information contained in the DCI (e.g., a scheduling assignment, an uplink grant, power control, a slot format indication, a downlink preemption, and/or the like).

[0194] The UE may transmit uplink control signaling (e.g., uplink control information (UCI)) to a base station. The uplink control signaling may comprise hybrid automatic repeat request (HARQ) acknowledgements for received DL-SCH transport blocks. The UE may transmit the HARQ acknowledgements after receiving a DL-SCH transport block. Uplink control signaling may comprise channel state information (CSI) indicating channel quality of a physical downlink channel. The UE may transmit the CSI to the base station. The base station, based on the received CSI, may determine transmission format parameters (e.g., comprising multi-antenna and beamforming schemes) for a downlink transmission. Uplink control signaling may comprise scheduling requests (SR). The UE may transmit an SR indicating that uplink data is available for transmission to the base station. The UE may transmit a UCI (e.g., HARQ acknowledgements (HARQ-ACK), CSI report, SR, and the like) via a physical uplink control channel (PUCCH) or a physical uplink shared channel

(PUSCH). The UE may transmit the uplink control signaling via a PUCCH using one of several PUCCH formats.

[0195] There may be five PUCCH formats and the UE may determine a PUCCH format based on a size of the UCI (e.g., a number of uplink symbols of UCI transmission and a number of UCI bits). PUCCH format 0 may have a length of one or two OFDM symbols and may include two or fewer bits. The UE may transmit UCI in a PUCCH resource using PUCCH format 0 if the transmission is over one or two symbols and the number of HARQ-ACK information bits with positive or negative SR (HARQ-ACK/SR bits) is one or two. PUCCH format 1 may occupy a number between four and fourteen OFDM symbols and may include two or fewer bits. The UE may use PUCCH format 1 if the transmission is four or more symbols and the number of HARQ-ACK/SR bits is one or two. PUCCH format 2 may occupy one or two OFDM symbols and may include more than two bits. The UE may use PUCCH format 2 if the transmission is over one or two symbols and the number of UCI bits is two or more. PUCCH format 3 may occupy a number between four and fourteen OFDM symbols and may include more than two bits. The UE may use PUCCH format 3 if the transmission is four or more symbols, the number of UCI bits is two or more and PUCCH resource does not include an orthogonal cover code. PUCCH format 4 may occupy a number between four and fourteen OFDM symbols and may include more than two bits. The UE may use PUCCH format 4 if the transmission is four or more symbols, the number of UCI bits is two or more and the PUCCH resource includes an orthogonal cover code.

[0196] The base station may transmit configuration parameters to the UE for a plurality of PUCCH resource sets using, for example, an RRC message. The plurality of PUCCH resource sets (e.g., up to four sets) may be configured on an uplink BWP of a cell. A PUCCH resource set may be configured with a PUCCH resource set index, a plurality of PUCCH resources with a PUCCH resource being identified by a PUCCH resource identifier (e.g., pucch-Resourceid), and/or a number (e.g. a maximum number) of UCI information bits the UE may transmit using one of the plurality of PUCCH resources in the PUCCH resource set. When configured with a plurality of PUCCH resource sets, the UE may select one of the plurality of PUCCH resource sets based on a total bit length of the UCI information bits (e.g., HARQ-ACK, SR, and/or CSI). If the total bit length of UCI information bits is two or fewer, the UE may select a first PUCCH resource set having a PUCCH resource set index equal to “0”. If the total bit length of UCI information bits is greater than two and less than or equal to a first configured value, the UE may select a second PUCCH resource set having a PUCCH resource set index equal to “1”. If the total bit length of UCI information bits is greater than the first configured value and less than or equal to a second configured value, the UE may

select a third PUCCH resource set having a PUCCH resource set index equal to “2”. If the total bit length of UCI information bits is greater than the second configured value and less than or equal to a third value (e.g., 1406), the UE may select a fourth PUCCH resource set having a PUCCH resource set index equal to “3”.

[0197] After determining a PUCCH resource set from a plurality of PUCCH resource sets, the UE may determine a PUCCH resource from the PUCCH resource set for UCI (HARQ-ACK, CSI, and/or SR) transmission. The UE may determine the PUCCH resource based on a PUCCH resource indicator in a DCI (e.g., with a DCI format 1_0 or DCI for 1_1) received on a PDCCH. A three-bit PUCCH resource indicator in the DCI may indicate one of eight PUCCH resources in the PUCCH resource set. Based on the PUCCH resource indicator, the UE may transmit the UCI (HARQ-ACK, CSI and/or SR) using a PUCCH resource indicated by the PUCCH resource indicator in the DCI.

[0198] FIG. 15 illustrates an example of a wireless device 1502 in communication with a base station 1504 in accordance with embodiments of the present disclosure. The wireless device 1502 and base station 1504 may be part of a mobile communication network, such as the mobile communication network 100 illustrated in FIG. 1A, the mobile communication network 150 illustrated in FIG. 1B, or any other communication network. Only one wireless device 1502 and one base station 1504 are illustrated in FIG. 15, but it will be understood that a mobile communication network may include more than one UE and/or more than one base station, with the same or similar configuration as those shown in FIG. 15.

[0199] The base station 1504 may connect the wireless device 1502 to a core network (not shown) through radio communications over the air interface (or radio interface) 1506. The communication direction from the base station 1504 to the wireless device 1502 over the air interface 1506 is known as the downlink, and the communication direction from the wireless device 1502 to the base station 1504 over the air interface is known as the uplink. Downlink transmissions may be separated from uplink transmissions using FDD, TDD, and/or some combination of the two duplexing techniques.

[0200] In the downlink, data to be sent to the wireless device 1502 from the base station 1504 may be provided to the processing system 1508 of the base station 1504. The data may be provided to the processing system 1508 by, for example, a core network. In the uplink, data to be sent to the base station 1504 from the wireless device 1502 may be provided to the processing system 1518 of the wireless device 1502. The processing system 1508 and the processing system 1518 may implement layer 3 and layer 2 OSI functionality to process the data for transmission. Layer 2 may include an SDAP layer, a PDCP layer, an RLC layer, and

WO 2021/067444

PCT/US2020/053557

a MAC layer, for example, with respect to FIG. 2A, FIG. 2B, FIG. 3, and FIG. 4A. Layer 3 may include an RRC layer as with respect to FIG. 2B.

[0201] After being processed by processing system 1508, the data to be sent to the wireless device 1502 may be provided to a transmission processing system 1510 of base station 1504. Similarly, after being processed by the processing system 1518, the data to be sent to base station 1504 may be provided to a transmission processing system 1520 of the wireless device 1502. The transmission processing system 1510 and the transmission processing system 1520 may implement layer 1 OSI functionality. Layer 1 may include a PHY layer with respect to FIG. 2A, FIG. 2B, FIG. 3, and FIG. 4A. For transmit processing, the PHY layer may perform, for example, forward error correction coding of transport channels, interleaving, rate matching, mapping of transport channels to physical channels, modulation of physical channel, multiple-input multiple-output (MIMO) or multi-antenna processing, and/or the like.

[0202] At the base station 1504, a reception processing system 1512 may receive the uplink transmission from the wireless device 1502. At the wireless device 1502, a reception processing system 1522 may receive the downlink transmission from base station 1504. The reception processing system 1512 and the reception processing system 1522 may implement layer 1 OSI functionality. Layer 1 may include a PHY layer with respect to FIG. 2A, FIG. 2B, FIG. 3, and FIG. 4A. For receive processing, the PHY layer may perform, for example, error detection, forward error correction decoding, deinterleaving, demapping of transport channels to physical channels, demodulation of physical channels, MIMO or multi-antenna processing, and/or the like.

[0203] As shown in FIG. 15, a wireless device 1502 and the base station 1504 may include multiple antennas. The multiple antennas may be used to perform one or more MIMO or multi-antenna techniques, such as spatial multiplexing (e.g., single-user MIMO or multi-user MIMO), transmit/receive diversity, and/or beamforming. In other examples, the wireless device 1502 and/or the base station 1504 may have a single antenna.

[0204] The processing system 1508 and the processing system 1518 may be associated with a memory 1514 and a memory 1524, respectively. Memory 1514 and memory 1524 (e.g., one or more non-transitory computer readable mediums) may store computer program instructions or code that may be executed by the processing system 1508 and/or the processing system 1518 to carry out one or more of the functionalities discussed in the present application. Although not shown in FIG. 15, the transmission processing system 1510, the transmission processing system 1520, the reception processing system 1512, and/or the reception processing system 1522 may be coupled to a memory (e.g., one or more non-

WO 2021/067444

PCT/US2020/053557

transitory computer readable mediums) storing computer program instructions or code that may be executed to carry out one or more of their respective functionalities.

[0205] The processing system 1508 and/or the processing system 1518 may comprise one or more controllers and/or one or more processors. The one or more controllers and/or one or more processors may comprise, for example, a general-purpose processor, a digital signal processor (DSP), a microcontroller, an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) and/or other programmable logic device, discrete gate and/or transistor logic, discrete hardware components, an on-board unit, or any combination thereof. The processing system 1508 and/or the processing system 1518 may perform at least one of signal coding/processing, data processing, power control, input/output processing, and/or any other functionality that may enable the wireless device 1502 and the base station 1504 to operate in a wireless environment.

[0206] The processing system 1508 and/or the processing system 1518 may be connected to one or more peripherals 1516 and one or more peripherals 1526, respectively. The one or more peripherals 1516 and the one or more peripherals 1526 may include software and/or hardware that provide features and/or functionalities, for example, a speaker, a microphone, a keypad, a display, a touchpad, a power source, a satellite transceiver, a universal serial bus (USB) port, a hands-free headset, a frequency modulated (FM) radio unit, a media player, an Internet browser, an electronic control unit (e.g., for a motor vehicle), and/or one or more sensors (e.g., an accelerometer, a gyroscope, a temperature sensor, a radar sensor, a lidar sensor, an ultrasonic sensor, a light sensor, a camera, and/or the like). The processing system 1508 and/or the processing system 1518 may receive user input data from and/or provide user output data to the one or more peripherals 1516 and/or the one or more peripherals 1526. The processing system 1518 in the wireless device 1502 may receive power from a power source and/or may be configured to distribute the power to the other components in the wireless device 1502. The power source may comprise one or more sources of power, for example, a battery, a solar cell, a fuel cell, or any combination thereof. The processing system 1508 and/or the processing system 1518 may be connected to a GPS chipset 1517 and a GPS chipset 1527, respectively. The GPS chipset 1517 and the GPS chipset 1527 may be configured to provide geographic location information of the wireless device 1502 and the base station 1504, respectively.

[0207] FIG. 16A illustrates an example structure for uplink transmission. A baseband signal representing a physical uplink shared channel may perform one or more functions. The one or more functions may comprise at least one of: scrambling; modulation of scrambled bits to generate complex-valued symbols; mapping of the complex-valued modulation symbols onto

one or several transmission layers; transform precoding to generate complex-valued symbols; precoding of the complex-valued symbols; mapping of precoded complex-valued symbols to resource elements; generation of complex-valued time-domain Single Carrier-Frequency Division Multiple Access (SC-FDMA) or CP-OFDM signal for an antenna port; and/or the like. In an example, when transform precoding is enabled, a SC-FDMA signal for uplink transmission may be generated. In an example, when transform precoding is not enabled, an CP-OFDM signal for uplink transmission may be generated by FIG. 16A. These functions are illustrated as examples and it is anticipated that other mechanisms may be implemented in various embodiments.

[0208] FIG. 16B illustrates an example structure for modulation and up-conversion of a baseband signal to a carrier frequency. The baseband signal may be a complex-valued SC-FDMA or CP-OFDM baseband signal for an antenna port and/or a complex-valued Physical Random Access Channel (PRACH) baseband signal. Filtering may be employed prior to transmission.

[0209] FIG. 16C illustrates an example structure for downlink transmissions. A baseband signal representing a physical downlink channel may perform one or more functions. The one or more functions may comprise: scrambling of coded bits in a codeword to be transmitted on a physical channel; modulation of scrambled bits to generate complex-valued modulation symbols; mapping of the complex-valued modulation symbols onto one or several transmission layers; precoding of the complex-valued modulation symbols on a layer for transmission on the antenna ports; mapping of complex-valued modulation symbols for an antenna port to resource elements; generation of complex-valued time-domain OFDM signal for an antenna port; and/or the like. These functions are illustrated as examples and it is anticipated that other mechanisms may be implemented in various embodiments.

[0210] FIG. 16D illustrates another example structure for modulation and up-conversion of a baseband signal to a carrier frequency. The baseband signal may be a complex-valued OFDM baseband signal for an antenna port. Filtering may be employed prior to transmission.

[0211] A wireless device may receive from a base station one or more messages (e.g. RRC messages) comprising configuration parameters of a plurality of cells (e.g. primary cell, secondary cell). The wireless device may communicate with at least one base station (e.g. two or more base stations in dual-connectivity) via the plurality of cells. The one or more messages (e.g. as a part of the configuration parameters) may comprise parameters of physical, MAC, RLC, PCDP, SDAP, RRC layers for configuring the wireless device. For example, the configuration parameters may comprise parameters for configuring physical and MAC layer channels, bearers, etc. For example, the configuration parameters may

WO 2021/067444

PCT/US2020/053557

comprise parameters indicating values of timers for physical, MAC, RLC, PCDP, SDAP, RRC layers, and/or communication channels.

[0212] A timer may begin running once it is started and continue running until it is stopped or until it expires. A timer may be started if it is not running or restarted if it is running. A timer may be associated with a value (e.g. the timer may be started or restarted from a value or may be started from zero and expire once it reaches the value). The duration of a timer may not be updated until the timer is stopped or expires (e.g., due to BWP switching). A timer may be used to measure a time period/window for a process. When the specification refers to an implementation and procedure related to one or more timers, it will be understood that there are multiple ways to implement the one or more timers. For example, it will be understood that one or more of the multiple ways to implement a timer may be used to measure a time period/window for the procedure. For example, a random access response window timer may be used for measuring a window of time for receiving a random access response. In an example, instead of starting and expiry of a random access response window timer, the time difference between two time stamps may be used. When a timer is restarted, a process for measurement of time window may be restarted. Other example implementations may be provided to restart a measurement of a time window.

[0213] A gNB may transmit one or more MAC PDUs to a wireless device. In an example, a MAC PDU may be a bit string that is byte aligned (e.g., a multiple of eight bits) in length. In an example, bit strings may be represented by tables in which the most significant bit is the leftmost bit of the first line of the table, and the least significant bit is the rightmost bit on the last line of the table. More generally, the bit string may be read from left to right and then in the reading order of the lines. In an example, the bit order of a parameter field within a MAC PDU is represented with the first and most significant bit in the leftmost bit and the last and least significant bit in the rightmost bit.

[0214] In an example, a MAC SDU may be a bit string that is byte aligned (e.g., a multiple of eight bits) in length. In an example, a MAC SDU may be included in a MAC PDU from the first bit onward. A MAC CE may be a bit string that is byte aligned (e.g., a multiple of eight bits) in length. A MAC subheader may be a bit string that is byte aligned (e.g., a multiple of eight bits) in length. In an example, a MAC subheader may be placed immediately in front of a corresponding MAC SDU, MAC CE, or padding. A MAC entity may ignore a value of reserved bits in a DL MAC PDU.

[0215] In an example, a MAC PDU may comprise one or more MAC subPDUs. A MAC subPDU of the one or more MAC subPDUs may comprise: a MAC subheader only (including padding); a MAC subheader and a MAC SDU; a MAC subheader and a MAC CE;

and/or a MAC subheader and padding. The MAC SDU may be of variable size. A MAC subheader may correspond to a MAC SDU, a MAC CE, or padding.

[0216] In an example, when a MAC subheader corresponds to a MAC SDU, a variable-sized MAC CE, or padding, the MAC subheader may comprise: an R field with a one bit length; an F field with a one bit length; an LCID field with a multi-bit length; and/or an L field with a multi-bit length.

[0217] FIG. 17A shows an example of a MAC subheader with an R field, an F field, an LCID field, and an L field. In the example MAC subheader of FIG. 17A, the LCID field may be six bits in length, and the L field may be eight bits in length. FIG. 17B shows example of a MAC subheader with an R field, a F field, an LCID field, and an L field. In the example MAC subheader of FIG. 17B, the LCID field may be six bits in length, and the L field may be sixteen bits in length. When a MAC subheader corresponds to a fixed sized MAC CE or padding, the MAC subheader may comprise: an R field with a two bit length and an LCID field with a multi-bit length. FIG. 17C shows an example of a MAC subheader with an R field and an LCID field. In the example MAC subheader of FIG. 17C, the LCID field may be six bits in length, and the R field may be two bits in length.

[0218] FIG. 18A shows an example of a DL MAC PDU. Multiple MAC CEs, such as MAC CE 1 and 2, may be placed together. A MAC subPDU comprising a MAC CE may be placed before any MAC subPDU comprising a MAC SDU or a MAC subPDU comprising padding. FIG. 18B shows an example of a UL MAC PDU. Multiple MAC CEs, such as MAC CE 1 and 2, may be placed together. A MAC subPDU comprising a MAC CE may be placed after all MAC subPDUs comprising a MAC SDU. In addition, the MAC subPDU may be placed before a MAC subPDU comprising padding.

[0219] In an example, a MAC entity of a gNB may transmit one or more MAC CEs to a MAC entity of a wireless device. FIG. 19 shows an example of multiple LCIDs that may be associated with the one or more MAC CEs. The one or more MAC CEs comprise at least one of: a SP ZP CSI-RS Resource Set Activation/Deactivation MAC CE, a PUCCH spatial relation Activation/Deactivation MAC CE, a SP SRS Activation/Deactivation MAC CE, a SP CSI reporting on PUCCH Activation/Deactivation MAC CE, a TCI State Indication for UE-specific PDCCH MAC CE, a TCI State Indication for UE-specific PDSCH MAC CE, an Aperiodic CSI Trigger State Subselection MAC CE, a SP CSI-RS/CSI-IM Resource Set Activation/Deactivation MAC CE, a UE contention resolution identity MAC CE, a timing advance command MAC CE, a DRX command MAC CE, a Long DRX command MAC CE, an SCell activation/deactivation MAC CE (1 Octet), an SCell activation/deactivation MAC CE (4 Octet), and/or a duplication activation/deactivation MAC CE. In an example, a MAC

WO 2021/067444

PCT/US2020/053557

CE, such as a MAC CE transmitted by a MAC entity of a gNB to a MAC entity of a wireless device, may have an LCID in the MAC subheader corresponding to the MAC CE. Different MAC CE may have different LCID in the MAC subheader corresponding to the MAC CE. For example, an LCID given by 111011 in a MAC subheader may indicate that a MAC CE associated with the MAC subheader is a long DRX command MAC CE.

[0220] In an example, the MAC entity of the wireless device may transmit to the MAC entity of the gNB one or more MAC CEs. FIG. 20 shows an example of the one or more MAC CEs. The one or more MAC CEs may comprise at least one of: a short buffer status report (BSR) MAC CE, a long BSR MAC CE, a C-RNTI MAC CE, a configured grant confirmation MAC CE, a single entry PHR MAC CE, a multiple entry PHR MAC CE, a short truncated BSR, and/or a long truncated BSR. In an example, a MAC CE may have an LCID in the MAC subheader corresponding to the MAC CE. Different MAC CE may have different LCID in the MAC subheader corresponding to the MAC CE. For example, an LCID given by 111011 in a MAC subheader may indicate that a MAC CE associated with the MAC subheader is a short-truncated command MAC CE.

[0221] In carrier aggregation (CA), two or more component carriers (CCs) may be aggregated. A wireless device may simultaneously receive or transmit on one or more CCs, depending on capabilities of the wireless device, using the technique of CA. In an example, a wireless device may support CA for contiguous CCs and/or for non-contiguous CCs. CCs may be organized into cells. For example, CCs may be organized into one primary cell (PCell) and one or more secondary cells (SCells). When configured with CA, a wireless device may have one RRC connection with a network. During an RRC connection establishment/re-establishment/handover, a cell providing NAS mobility information may be a serving cell. During an RRC connection re-establishment/handover procedure, a cell providing a security input may be a serving cell. In an example, the serving cell may denote a PCell. In an example, a gNB may transmit, to a wireless device, one or more messages comprising configuration parameters of a plurality of one or more SCells, depending on capabilities of the wireless device.

[0222] When configured with CA, a base station and/or a wireless device may employ an activation/deactivation mechanism of an SCell to improve battery or power consumption of the wireless device. When a wireless device is configured with one or more SCells, a gNB may activate or deactivate at least one of the one or more SCells. Upon configuration of an SCell, the SCell may be deactivated unless an SCell state associated with the SCell is set to “activated” or “dormant”.

- [0223] A wireless device may activate/deactivate an SCell in response to receiving an SCell Activation/Deactivation MAC CE. In an example, a gNB may transmit, to a wireless device, one or more messages comprising an SCell timer (e.g., *sCellDeactivationTimer*). In an example, a wireless device may deactivate an SCell in response to an expiry of the SCell timer.
- [0224] When a wireless device receives an SCell Activation/Deactivation MAC CE activating an SCell, the wireless device may activate the SCell. In response to the activating the SCell, the wireless device may perform operations comprising SRS transmissions on the SCell; CQI/PMI/RI/CRI reporting for the SCell; PDCCH monitoring on the SCell; PDCCH monitoring for the SCell; and/or PUCCH transmissions on the SCell. In response to the activating the SCell, the wireless device may start or restart a first SCell timer (e.g., *sCellDeactivationTimer*) associated with the SCell. The wireless device may start or restart the first SCell timer in the slot when the SCell Activation/Deactivation MAC CE activating the SCell has been received. In an example, in response to the activating the SCell, the wireless device may (re-)initialize one or more suspended configured uplink grants of a configured grant Type 1 associated with the SCell according to a stored configuration. In an example, in response to the activating the SCell, the wireless device may trigger PHR.
- [0225] When a wireless device receives an SCell Activation/Deactivation MAC CE deactivating an activated SCell, the wireless device may deactivate the activated SCell. In an example, when a first SCell timer (e.g., *sCellDeactivationTimer*) associated with an activated SCell expires, the wireless device may deactivate the activated SCell. In response to the deactivating the activated SCell, the wireless device may stop the first SCell timer associated with the activated SCell. In an example, in response to the deactivating the activated SCell, the wireless device may clear one or more configured downlink assignments and/or one or more configured uplink grants of a configured uplink grant Type 2 associated with the activated SCell. In an example, in response to the deactivating the activated SCell, the wireless device may: suspend one or more configured uplink grants of a configured uplink grant Type 1 associated with the activated SCell; and/or flush HARQ buffers associated with the activated SCell.
- [0226] When an SCell is deactivated, a wireless device may not perform operations comprising: transmitting SRS on the SCell; reporting CQI/PMI/RI/CRI for the SCell; transmitting on UL-SCH on the SCell; transmitting on RACH on the SCell; monitoring at least one first PDCCH on the SCell; monitoring at least one second PDCCH for the SCell; and/or transmitting a PUCCH on the SCell. When at least one first PDCCH on an activated SCell indicates an uplink grant or a downlink assignment, a wireless device may restart a

first SCell timer (e.g., *sCellDeactivationTimer*) associated with the activated SCell. In an example, when at least one second PDCCH on a serving cell (e.g. a PCell or an SCell configured with PUCCH, i.e. PUCCH SCell) scheduling the activated SCell indicates an uplink grant or a downlink assignment for the activated SCell, a wireless device may restart the first SCell timer (e.g., *sCellDeactivationTimer*) associated with the activated SCell. In an example, when an SCell is deactivated, if there is an ongoing random access procedure on the SCell, a wireless device may abort the ongoing random access procedure on the SCell.

[0227] FIG. 21A shows an example of an SCell Activation/Deactivation MAC CE of one octet. A first MAC PDU subheader with a first LCID (e.g., '111010' as shown in FIG. 19) may identify the SCell Activation/Deactivation MAC CE of one octet. The SCell Activation/Deactivation MAC CE of one octet may have a fixed size. The SCell Activation/Deactivation MAC CE of one octet may comprise a single octet. The single octet may comprise a first number of C-fields (e.g. seven) and a second number of R-fields (e.g., one). FIG. 21B shows an example of an SCell Activation/Deactivation MAC CE of four octets. A second MAC PDU subheader with a second LCID (e.g., '111001' as shown in FIG. 19) may identify the SCell Activation/Deactivation MAC CE of four octets. The SCell Activation/Deactivation MAC CE of four octets may have a fixed size. The SCell Activation/Deactivation MAC CE of four octets may comprise four octets. The four octets may comprise a third number of C-fields (e.g., 31) and a fourth number of R-fields (e.g., 1).

[0228] In FIG. 21A and/or FIG. 21B, a C_i field may indicate an activation/deactivation status of an SCell with an SCell index i if an SCell with SCell index i is configured. In an example, when the C_i field is set to one, an SCell with an SCell index i may be activated. In an example, when the C_i field is set to zero, an SCell with an SCell index i may be deactivated. In an example, if there is no SCell configured with SCell index i , the wireless device may ignore the C_i field. In FIG. 21A and FIG. 21B, an R field may indicate a reserved bit. The R field may be set to zero.

[0229] When configured with CA, a base station and/or a wireless device may employ a hibernation mechanism for an SCell to improve battery or power consumption of the wireless device and/or to improve latency of SCell activation/addition. When the wireless device hibernates the SCell, the SCell may be transitioned into a dormant state. In response to the SCell being transitioned into a dormant state, the wireless device may: stop transmitting SRS on the SCell; report CQI/PMI/RI/PTI/CRI for the SCell according to a periodicity configured for the SCell in a dormant state; not transmit on UL-SCH on the SCell; not transmit on RACH on the SCell; not monitor the PDCCH on the SCell; not monitor the PDCCH for the SCell; and/or not transmit PUCCH on the SCell. In an example, reporting CSI for an SCell

and not monitoring the PDCCH on/for the SCell, when the SCell is in a dormant state, may provide the base station an always-updated CSI for the SCell. With the always-updated CSI, the base station may employ a quick and/or accurate channel adaptive scheduling on the SCell once the SCell is transitioned back into active state, thereby speeding up the activation procedure of the SCell. In an example, reporting CSI for the SCell and not monitoring the PDCCH on/for the SCell, when the SCell is in dormant state, may improve battery or power consumption of the wireless device, while still providing the base station timely and/or accurate channel information feedback. In an example, a PCell/PSCell and/or a PUCCH secondary cell may not be configured or transitioned into dormant state.

[0230] When configured with one or more SCells, a gNB may activate, hibernate, or deactivate at least one of the one or more SCells. In an example, a gNB may transmit one or more RRC messages comprising parameters indicating at least one SCell being set to an active state, a dormant state, or an inactive state, to a wireless device. In an example, when an SCell is in an active state, the wireless device may perform: SRS transmissions on the SCell; CQI/PMI/RI/CRI reporting for the SCell; PDCCH monitoring on the SCell; PDCCH monitoring for the SCell; and/or PUCCH/SPUCCH transmissions on the SCell.

[0231] When an SCell is in an inactive state, the wireless device may: not transmit SRS on the SCell; not report CQI/PMI/RI/CRI for the SCell; not transmit on UL-SCH on the SCell; not transmit on RACH on the SCell; not monitor PDCCH on the SCell; not monitor PDCCH for the SCell; and/or not transmit PUCCH/SPUCCH on the SCell. When an SCell is in a dormant state, the wireless device may: not transmit SRS on the SCell; report CQI/PMI/RI/CRI for the SCell; not transmit on UL-SCH on the SCell; not transmit on RACH on the SCell; not monitor PDCCH on the SCell; not monitor PDCCH for the SCell; and/or not transmit PUCCH/SPUCCH on the SCell. When configured with one or more SCells, a gNB may activate, hibernate, or deactivate at least one of the one or more SCells. In an example, a gNB may transmit one or more MAC control elements comprising parameters indicating activation, deactivation, or hibernation of at least one SCell to a wireless device.

[0232] In an example, a gNB may transmit a first MAC CE (e.g., activation/deactivation MAC CE, as shown in FIG. 21A or FIG. 21B) indicating activation or deactivation of at least one SCell to a wireless device. In FIG. 21A and/or FIG. 21B, a C_i field may indicate an activation/deactivation status of an SCell with an SCell index i if an SCell with SCell index i is configured. In an example, when the C_i field is set to one, an SCell with an SCell index i may be activated. In an example, when the C_i field is set to zero, an SCell with an SCell index i may be deactivated. In an example, if there is no SCell configured with SCell index i ,

the wireless device may ignore the C_i field. In FIG. 21A and FIG. 21B, an R field may indicate a reserved bit. In an example, the R field may be set to zero.

[0233] In an example, a gNB may transmit a second MAC CE (e.g., hibernation MAC CE) indicating activation or hibernation of at least one SCell to a wireless device. In an example, the second MAC CE may be associated with a second LCID different from a first LCID of the first MAC CE (e.g., activation/deactivation MAC CE). In an example, the second MAC CE may have a fixed size. In an example, the second MAC CE may consist of a single octet containing seven C-fields and one R-field. FIG. 22A shows an example of the second MAC CE with a single octet. In another example, the second MAC CE may consist of four octets containing 31 C-fields and one R-field. FIG. 22B shows an example of the second MAC CE with four octets. In an example, the second MAC CE with four octets may be associated with a third LCID different from the second LCID for the second MAC CE with a single octet, and/or the first LCID for activation/deactivation MAC CE. In an example, when there is no SCell with a serving cell index greater than 7, the second MAC CE of one octet may be applied, otherwise the second MAC CE of four octets may be applied.

[0234] In an example, when the second MAC CE is received, and the first MAC CE is not received, C_i may indicate a dormant/activated status of an SCell with SCell index i if there is an SCell configured with SCell index i , otherwise the MAC entity may ignore the C_i field. In an example, when C_i is set to “1”, the wireless device may transition an SCell associated with SCell index i into a dormant state. In an example, when C_i is set to “0”, the wireless device may activate an SCell associated with SCell index i . In an example, when C_i is set to “0” and the SCell with SCell index i is in a dormant state, the wireless device may activate the SCell with SCell index i . In an example, when C_i is set to “0” and the SCell with SCell index i is not in a dormant state, the wireless device may ignore the C_i field.

[0235] In an example, when both the first MAC CE (activation/deactivation MAC CE) and the second MAC CE (hibernation MAC CE) are received, two C_i fields of the two MAC CEs may indicate possible state transitions of the SCell with SCell index i if there is an SCell configured with SCell index i , otherwise the MAC entity may ignore the C_i fields. In an example, the C_i fields of the two MAC CEs may be interpreted according to FIG. 22C.

[0236] When configured with one or more SCells, a gNB may activate, hibernate, or deactivate at least one of the one or more SCells. In an example, a MAC entity of a gNB and/or a wireless device may maintain an SCell deactivation timer (e.g., *sCellDeactivationTimer*) per configured SCell (except the SCell configured with PUCCH/SPUCCH, if any) and deactivate the associated SCell upon its expiry.

- [0237] In an example, a MAC entity of a gNB and/or a wireless device may maintain an SCell hibernation timer (e.g., *sCellHibernationTimer*) per configured SCell (except the SCell configured with PUCCH/SPUCCH, if any) and hibernate the associated SCell upon the SCell hibernation timer expiry if the SCell is in active state. In an example, when both the SCell deactivation timer and the SCell hibernation timer are configured, the SCell hibernation timer may take priority over the SCell deactivation timer. In an example, when both the SCell deactivation timer and the SCell hibernation timer are configured, a gNB and/or a wireless device may ignore the SCell deactivation timer regardless of the SCell deactivation timer expiry.
- [0238] In an example, a MAC entity of a gNB and/or a wireless device may maintain a dormant SCell deactivation timer (e.g., *dormantSCellDeactivationTimer*) per configured SCell (except the SCell configured with PUCCH/SPUCCH, if any), and deactivate the associated SCell upon the dormant SCell deactivation timer expiry if the SCell is in dormant state.
- [0239] In an example, when a MAC entity of a wireless device is configured with an activated SCell upon SCell configuration, the MAC entity may activate the SCell. In an example, when a MAC entity of a wireless device receives a MAC CE(s) activating an SCell, the MAC entity may activate the SCell. In an example, the MAC entity may start or restart the SCell deactivation timer associated with the SCell in response to activating the SCell. In an example, the MAC entity may start or restart the SCell hibernation timer (if configured) associated with the SCell in response to activating the SCell. In an example, the MAC entity may trigger PHR procedure in response to activating the SCell.
- [0240] In an example, when a MAC entity of a wireless device receives a MAC CE(s) indicating deactivating an SCell, the MAC entity may deactivate the SCell. In an example, in response to receiving the MAC CE(s), the MAC entity may: deactivate the SCell; stop an SCell deactivation timer associated with the SCell; and/or flush all HARQ buffers associated with the SCell. In an example, when an SCell deactivation timer associated with an activated SCell expires and an SCell hibernation timer is not configured, the MAC entity may: deactivate the SCell; stop the SCell deactivation timer associated with the SCell; and/or flush all HARQ buffers associated with the SCell.
- [0241] In an example, when a first PDCCH on an activated SCell indicates an uplink grant or downlink assignment, or a second PDCCH on a serving cell scheduling an activated SCell indicates an uplink grant or a downlink assignment for the activated SCell, or a MAC PDU is transmitted in a configured uplink grant or received in a configured downlink assignment, the MAC entity may: restart the SCell deactivation timer associated with the SCell; and/or restart

the SCell hibernation timer associated with the SCell if configured. In an example, when an SCell is deactivated, an ongoing random access procedure on the SCell may be aborted.

[0242] In an example, when a MAC entity is configured with an SCell associated with an SCell state set to dormant state upon the SCell configuration, or when the MAC entity receives MAC CE(s) indicating transitioning the SCell into a dormant state, the MAC entity may: transition the SCell into a dormant state; transmit one or more CSI reports for the SCell; stop an SCell deactivation timer associated with the SCell; stop an SCell hibernation timer associated with the SCell if configured; start or restart a dormant SCell deactivation timer associated with the SCell; and/or flush all HARQ buffers associated with the SCell. In an example, when the SCell hibernation timer associated with the activated SCell expires, the MAC entity may: hibernate the SCell; stop the SCell deactivation timer associated with the SCell; stop the SCell hibernation timer associated with the SCell; and/or flush all HARQ buffers associated with the SCell. In an example, when a dormant SCell deactivation timer associated with a dormant SCell expires, the MAC entity may: deactivate the SCell; and/or stop the dormant SCell deactivation timer associated with the SCell. In an example, when an SCell is in dormant state, ongoing random access procedure on the SCell may be aborted.

[0243] A base station (gNB) may configure a wireless device (UE) with uplink (UL) bandwidth parts (BWPs) and downlink (DL) BWPs to enable bandwidth adaptation (BA) on a PCell. If carrier aggregation is configured, the gNB may further configure the UE with at least DL BWP(s) (i.e., there may be no UL BWPs in the UL) to enable BA on an SCell. For the PCell, an initial active BWP may be a first BWP used for initial access. For the SCell, a first active BWP may be a second BWP configured for the UE to operate on the SCell upon the SCell being activated. In paired spectrum (e.g. FDD), a gNB and/or a UE may independently switch a DL BWP and an UL BWP. In unpaired spectrum (e.g. TDD), a gNB and/or a UE may simultaneously switch a DL BWP and an UL BWP.

[0244] In an example, a gNB and/or a UE may switch a BWP between configured BWPs by means of a DCI or a BWP inactivity timer. When the BWP inactivity timer is configured for a serving cell, the gNB and/or the UE may switch an active BWP to a default BWP in response to an expiry of the BWP inactivity timer associated with the serving cell. The default BWP may be configured by the network. In an example, for FDD systems, when configured with BA, one UL BWP for each uplink carrier and one DL BWP may be active at a time in an active serving cell. In an example, for TDD systems, one DL/UL BWP pair may be active at a time in an active serving cell. Operating on the one UL BWP and the one DL BWP (or the one DL/UL pair) may improve UE battery consumption. BWPs other than the one active UL BWP and the one active DL BWP that the UE may work on may be

deactivated. On deactivated BWPs, the UE may: not monitor PDCCH; and/or not transmit on PUCCH, PRACH, and UL-SCH.

[0245] In an example, a serving cell may be configured with at most a first number (e.g., four) of BWPs. In an example, for an activated serving cell, there may be one active BWP at any point in time. In an example, a BWP switching for a serving cell may be used to activate an inactive BWP and deactivate an active BWP at a time. In an example, the BWP switching may be controlled by a PDCCH indicating a downlink assignment or an uplink grant. In an example, the BWP switching may be controlled by a BWP inactivity timer (e.g., *bwp-InactivityTimer*). In an example, the BWP switching may be controlled by a MAC entity in response to initiating a Random Access procedure. Upon addition of an SpCell or activation of an SCell, one BWP may be initially active without receiving a PDCCH indicating a downlink assignment or an uplink grant. The active BWP for a serving cell may be indicated by RRC and/or PDCCH. In an example, for unpaired spectrum, a DL BWP may be paired with a UL BWP, and BWP switching may be common for both UL and DL.

[0246] FIG. 23 shows an example of BWP switching on an SCell. In an example, a UE may receive RRC message comprising parameters of a SCell and one or more BWP configuration associated with the SCell. The RRC message may comprise: RRC connection reconfiguration message (e.g., *RRCReconfiguration*); RRC connection reestablishment message (e.g., *RRCReestablishment*); and/or RRC connection setup message (e.g., *RRCSetup*). Among the one or more BWPs, at least one BWP may be configured as the first active BWP (e.g., BWP 1 in FIG. 23), one BWP as the default BWP (e.g., BWP 0 in FIG. 23). The UE may receive a MAC CE to activate the SCell at n^{th} slot. The UE may start a SCell deactivation timer (e.g., *sCellDeactivationTimer*), and start CSI related actions for the SCell, and/or start CSI related actions for the first active BWP of the SCell. The UE may start monitoring a PDCCH on BWP 1 in response to activating the SCell.

[0247] In an example, the UE may start restart a BWP inactivity timer (e.g., *bwp-InactivityTimer*) at m -th slot in response to receiving a DCI indicating DL assignment on BWP 1. The UE may switch back to the default BWP (e.g., BWP 0) as an active BWP when the BWP inactivity timer expires, at s -th slot. The UE may deactivate the SCell and/or stop the BWP inactivity timer when the *sCellDeactivationTimer* expires.

[0248] In an example, a MAC entity may apply normal operations on an active BWP for an activated serving cell configured with a BWP comprising: transmitting on UL-SCH; transmitting on RACH; monitoring a PDCCH; transmitting PUCCH; receiving DL-SCH; and/or (re-) initializing any suspended configured uplink grants of configured grant Type 1 according to a stored configuration, if any.

- [0249] In an example, on an inactive BWP for each activated serving cell configured with a BWP, a MAC entity may: not transmit on UL-SCH; not transmit on RACH; not monitor a PDCCH; not transmit PUCCH; not transmit SRS, not receive DL-SCH; clear any configured downlink assignment and configured uplink grant of configured grant Type 2; and/or suspend any configured uplink grant of configured Type 1.
- [0250] In an example, if a MAC entity receives a PDCCH for a BWP switching of a serving cell while a Random Access procedure associated with this serving cell is not ongoing, a UE may perform the BWP switching to a BWP indicated by the PDCCH. In an example, if a bandwidth part indicator field is configured in DCI format 1_1, the bandwidth part indicator field value may indicate the active DL BWP, from the configured DL BWP set, for DL receptions. In an example, if a bandwidth part indicator field is configured in DCI format 0_1, the bandwidth part indicator field value may indicate the active UL BWP, from the configured UL BWP set, for UL transmissions.
- [0251] In an example, for a primary cell, a UE may be provided by a higher layer parameter Default-DL-BWP a default DL BWP among the configured DL BWPs. If a UE is not provided a default DL BWP by the higher layer parameter Default-DL-BWP, the default DL BWP is the initial active DL BWP. In an example, a UE may be provided by higher layer parameter *bwp-InactivityTimer*, a timer value for the primary cell. If configured, the UE may increment the timer, if running, every interval of 1 millisecond for frequency range 1 or every 0.5 milliseconds for frequency range 2 if the UE may not detect a DCI format 1_1 for paired spectrum operation or if the UE may not detect a DCI format 1_1 or DCI format 0_1 for unpaired spectrum operation during the interval.
- [0252] In an example, if a UE is configured for a secondary cell with higher layer parameter Default-DL-BWP indicating a default DL BWP among the configured DL BWPs and the UE is configured with higher layer parameter *bwp-InactivityTimer* indicating a timer value, the UE procedures on the secondary cell may be same as on the primary cell using the timer value for the secondary cell and the default DL BWP for the secondary cell.
- [0253] In an example, if a UE is configured by higher layer parameter Active-BWP-DL-SCell a first active DL BWP and by higher layer parameter Active-BWP-UL-SCell a first active UL BWP on a secondary cell or carrier, the UE may use the indicated DL BWP and the indicated UL BWP on the secondary cell as the respective first active DL BWP and first active UL BWP on the secondary cell or carrier.
- [0254] In an example, a set of PDCCH candidates for a wireless device to monitor is defined in terms of PDCCH search space sets. A search space set comprises a CSS set or a USS set. A wireless device monitors PDCCH candidates in one or more of the following search spaces

sets: a Type0-PDCCH CSS set configured by *pdccch-ConfigSIB1* in *MIB* or by *searchSpaceSIB1* in *PDCCH-ConfigCommon* or by *searchSpaceZero* in *PDCCH-ConfigCommon* for a DCI format with CRC scrambled by a SI-RNTI on the primary cell of the MCG, a Type0A-PDCCH CSS set configured by *searchSpaceOtherSystemInformation* in *PDCCH-ConfigCommon* for a DCI format with CRC scrambled by a SI-RNTI on the primary cell of the MCG, a Type1-PDCCH CSS set configured by *ra-SearchSpace* in *PDCCH-ConfigCommon* for a DCI format with CRC scrambled by a RA-RNTI or a TC-RNTI on the primary cell, a Type2-PDCCH CSS set configured by *pagingSearchSpace* in *PDCCH-ConfigCommon* for a DCI format with CRC scrambled by a P-RNTI on the primary cell of the MCG, a Type3-PDCCH CSS set configured by *SearchSpace* in *PDCCH-Config* with *searchSpaceType = common* for DCI formats with CRC scrambled by INT-RNTI, SFI-RNTI, TPC-PUSCH-RNTI, TPC-PUCCH-RNTI, or TPC-SRS-RNTI and, only for the primary cell, C-RNTI, MCS-C-RNTI, or CS-RNTI(s), and a USS set configured by *SearchSpace* in *PDCCH-Config* with *searchSpaceType = ue-Specific* for DCI formats with CRC scrambled by C-RNTI, MCS-C-RNTI, SP-CSI-RNTI, or CS-RNTI(s).

[0255] In an example, a wireless device determines a PDCCH monitoring occasion on an active DL BWP based on one or more PDCCH configuration parameters comprising: a PDCCH monitoring periodicity, a PDCCH monitoring offset, and a PDCCH monitoring pattern within a slot. For a search space set (SS s), the wireless device determines that a PDCCH monitoring occasion(s) exists in a slot with number $n_{s,f}^{\mu}$ in a frame with number n_f if $(n_f \cdot N_{\text{slot}}^{\text{frame},\mu} + n_{s,f}^{\mu} - o_s) \bmod k_s = 0$. $N_{\text{slot}}^{\text{frame},\mu}$ is a number of slot in a frame when numerology μ is configured. o_s is a slot offset indicated in the PDCCH configuration parameters. k_s is a PDCCH monitoring periodicity indicated in the PDCCH configuration parameters. The wireless device monitors PDCCH candidates for the search space set for T_s consecutive slots, starting from slot $n_{s,f}^{\mu}$, and does not monitor PDCCH candidates for search space set s for the next $k_s - T_s$ consecutive slots. In an example, a USS at CCE aggregation level $L \in \{1, 2, 4, 8, 16\}$ is defined by a set of PDCCH candidates for CCE aggregation level L . If a wireless device is configured with *CrossCarrierSchedulingConfig* for a serving cell, the carrier indicator field value corresponds to the value indicated by *CrossCarrierSchedulingConfig*.

[0256] In an example, a wireless device decides, for a search space set s associated with CORESET p , CCE indexes for aggregation level L corresponding to PDCCH candidate $m_{s,n_{CI}}$ of the search space set in slot $n_{s,f}^{\mu}$ for an active DL BWP of a serving cell

corresponding to carrier indicator field value n_{CI} as $L \cdot \left\{ \left(Y_{p,n_{s,f}^\mu} + \left\lfloor \frac{m_{s,n_{CI}} \cdot N_{CCE,p}}{L \cdot M_{s,max}^{(L)}} \right\rfloor + n_{CI} \right) \bmod [N_{CCE,p}/L] \right\} + i$, where, $Y_{p,n_{s,f}^\mu} = 0$ for any CSS; $Y_{p,n_{s,f}^\mu} = (A_p \cdot Y_{p,n_{s,f}^\mu-1}) \bmod D$ for a USS, $Y_{p,-1} = n_{RNTI} \neq 0$, $A_p = 39827$ for $p \bmod 3 = 0$, $A_p = 39829$ for $p \bmod 3 = 1$, $A_p = 39839$ for $p \bmod 3 = 2$, and $D = 65537$; $i = 0, \dots, L - 1$; $N_{CCE,p}$ is the number of CCEs, numbered from 0 to $N_{CCE,p} - 1$, in CORESET p ; n_{CI} is the carrier indicator field value if the wireless device is configured with a carrier indicator field by *CrossCarrierSchedulingConfig* for the serving cell on which PDCCH is monitored; otherwise, including for any CSS, $n_{CI} = 0$; $m_{s,n_{CI}} = 0, \dots, M_{s,n_{CI}}^{(L)} - 1$, where $M_{s,n_{CI}}^{(L)}$ is the number of PDCCH candidates the wireless device is configured to monitor for aggregation level L of a search space set s for a serving cell corresponding to n_{CI} ; for any CSS, $M_{s,max}^{(L)} = M_{s,0}^{(L)}$; for a USS, $M_{s,max}^{(L)}$ is the maximum of $M_{s,n_{CI}}^{(L)}$ over all configured n_{CI} values for a CCE aggregation level L of search space set s ; and the RNTI value used for n_{RNTI} is the C-RNTI.

[0257] In an example, DRX operation may be used by a UE to improve UE battery lifetime. With DRX configured, UE may discontinuously monitor downlink control channel, e.g., PDCCH or EPDCCH. A base station may configure DRX operation with a set of DRX parameters, e.g., using RRC configuration. The set of DRX parameters may be selected based on the application type such that the wireless device may reduce power and resource consumption. In response to DRX being configured/activated, a UE may receive data packets with an extended delay, since the UE may be in DRX Sleep/Off state at the time of data arrival at the UE and the base station may wait until the UE transitions to the DRX ON state.

[0258] In an example, during a DRX mode, the UE may power down most of its circuitry when there are no packets to be received. The UE may monitor PDCCH discontinuously in the DRX mode. The UE may monitor the PDCCH continuously when a DRX operation is not configured. During this time the UE listens to the downlink (DL) (or monitors PDCCHs) which is called DRX Active state. In a DRX mode, a time during which UE doesn't listen/monitor PDCCH is called DRX Sleep state.

[0259] FIG. 24 shows an example of the embodiment. A gNB may transmit an RRC message comprising one or more DRX parameters of a DRX cycle. The one or more parameters may comprise a first parameter and/or a second parameter. The first parameter may indicate a first time value of the DRX Active state (e.g., DRX On duration) of the DRX cycle. The second parameter may indicate a second time of the DRX Sleep state (e.g., DRX Off duration) of the DRX cycle. The one or more parameters may further comprise a time duration of the DRX

cycle. During the DRX Active state, the UE may monitor PDCCHs for detecting one or more DCIs on a serving cell. During the DRX Sleep state, the UE may stop monitoring PDCCHs on the serving cell. When multiple cells are in active state, the UE may monitor all PDCCHs on (or for) the multiple cells during the DRX Active state. During the DRX off duration, the UE may stop monitoring all PDCCH on (or for) the multiple cells. The UE may repeat the DRX operations according to the one or more DRX parameters.

[0260] In an example, DRX may be beneficial to the base station. In an example, if DRX is not configured, the wireless device may be transmitting periodic CSI and/or SRS frequently (e.g., based on the configuration). With DRX, during DRX OFF periods, the UE may not transmit periodic CSI and/or SRS. The base station may assign these resources to the other UEs to improve resource utilization efficiency.

[0261] In an example, the MAC entity may be configured by RRC with a DRX functionality that controls the UE's downlink control channel (e.g., PDCCH) monitoring activity for a plurality of RNTIs for the MAC entity. The plurality of RNTIs may comprise at least one of: C-RNTI; CS-RNTI; INT-RNTI; SP-CSI-RNTI; SFI-RNTI; TPC-PUCCH-RNTI; TPC-PUSCH-RNTI; Semi-Persistent Scheduling C-RNTI; eIMTA-RNTI; SL-RNTI; SL-V-RNTI; CC-RNTI; or SRS-TPC-RNTI. In an example, in response to being in RRC_CONNECTED, if DRX is configured, the MAC entity may monitor the PDCCH discontinuously using the DRX operation; otherwise the MAC entity may monitor the PDCCH continuously.

[0262] In an example, RRC may control DRX operation by configuring a plurality of timers. The plurality of timers may comprise: a DRX On duration timer (e.g., *drx-onDurationTimer*); a DRX inactivity timer (e.g., *drx-InactivityTimer*); a downlink DRX HARQ RTT timer (e.g., *drx-HARQ-RTT-TimerDL*); an uplink DRX HARQ RTT Timer (e.g., *drx-HARQ-RTT-TimerUL*); a downlink retransmission timer (e.g., *drx-RetransmissionTimerDL*); an uplink retransmission timer (e.g., *drx-RetransmissionTimerUL*); one or more parameters of a short DRX configuration (e.g., *drx-ShortCycle* and/or *drx-ShortCycleTimer*) and one or more parameters of a long DRX configuration (e.g., *drx-LongCycle*). In an example, time granularity for DRX timers may be in terms of PDCCH subframes (e.g., indicated as psf in the DRX configurations), or in terms of milliseconds.

[0263] In an example, in response to a DRX cycle being configured, the Active Time may include the time while at least one timer is running. The at least one timer may comprise *drx-onDurationTimer*, *drx-InactivityTimer*, *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL*, or *mac-ContentionResolutionTimer*.

- [0264] In an example, *drx-Inactivity-Timer* may specify a time duration for which the UE may be active after successfully decoding a PDCCH indicating a new transmission (UL or DL or SL). This timer may be restarted upon receiving PDCCH for a new transmission (UL or DL or SL). The UE may transition to a DRX mode (e.g., using a short DRX cycle or a long DRX cycle) in response to the expiry of this timer. In an example, *drx-ShortCycle* may be a first type of DRX cycle (e.g., if configured) that needs to be followed when UE enters DRX mode. In an example, a DRX-Config IE indicates the length of the short cycle. *drx-ShortCycleTimer* may be expressed as multiples of shortDRX-Cycle. The timer may indicate the number of initial DRX cycles to follow the short DRX cycle before entering the long DRX cycle. *drx-onDurationTimer* may specify the time duration at the beginning of a DRX Cycle (e.g., DRX ON). *drx-onDurationTimer* may indicate the time duration before entering the sleep mode (DRX OFF). *drx-HARQ-RTT-TimerDL* may specify a minimum duration from the time new transmission is received and before the UE may expect a retransmission of a same packet. This timer may be fixed and may not be configured by RRC. *drx-RetransmissionTimerDL* may indicate a maximum duration for which UE may be monitoring PDCCH when a retransmission from the eNodeB is expected by the UE.
- [0265] In response to a DRX cycle being configured, the Active Time may comprise the time while a Scheduling Request is sent on PUCCH and is pending. In an example, in response to a DRX cycle being configured, the Active Time may comprise the time while an uplink grant for a pending HARQ retransmission can occur and there is data in the corresponding HARQ buffer for synchronous HARQ process. In response to a DRX cycle being configured, the Active Time may comprise the time while a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the preamble not selected by the MAC entity.
- [0266] A DL HARQ RTT Timer may expire in a subframe and the data of the corresponding HARQ process may not be successfully decoded. The MAC entity may start the *drx-RetransmissionTimerDL* for the corresponding HARQ process. An UL HARQ RTT Timer may expire in a subframe. The MAC entity may start the *drx-RetransmissionTimerUL* for the corresponding HARQ process. A DRX Command MAC control element or a Long DRX Command MAC control element may be received. The MAC entity may stop *drx-onDurationTimer* and stop *drx-InactivityTimer*. In an example, *drx-InactivityTimer* may expire or a DRX Command MAC control element may be received in a subframe. In an example, in response to Short DRX cycle being configured, the MAC entity may start or restart *drx-ShortCycleTimer* and may use Short DRX Cycle. Otherwise, the MAC entity may use the Long DRX cycle.

- [0267] In an example, *drx-ShortCycleTimer* may expire in a subframe. The MAC entity may use the Long DRX cycle. In an example, a Long DRX Command MAC control element may be received. The MAC entity may stop *drx-ShortCycleTimer* and may use the Long DRX cycle.
- [0268] In an example, if the Short DRX Cycle is used and $[(\text{SFN} * 10) + \text{subframe number}] \bmod (\text{drx-ShortCycle}) = (\text{drxStartOffset}) \bmod (\text{drx-ShortCycle})$, the wireless device may start *drx-onDurationTimer*. In an example, if the Long DRX Cycle is used and $[(\text{SFN} * 10) + \text{subframe number}] \bmod (\text{drx-longCycle}) = \text{drxStartOffset}$, the wireless device may start *drx-onDurationTimer*.
- [0269] FIG. 25 shows example of DRX operation. A base station may transmit an RRC message comprising configuration parameters of DRX operation. A base station may transmit a DCI for downlink resource allocation via a PDCCH, to a UE. the UE may start the *drx-InactivityTimer* during which, the UE may monitor the PDCCH. After receiving a transmission block (TB) when the *drx-InactivityTimer* is running, the UE may start a HARQ RTT Timer (e.g., *drx-HARQ-RTT-TimerDL*), during which, the UE may stop monitoring the PDCCH. The UE may transmit a NACK to the base station upon unsuccessful receiving the TB. When the HARQ RTT Timer expires, the UE may monitor the PDCCH and start a HARQ retransmission timer (e.g., *drx-RetransmissionTimerDL*). When the HARQ retransmission timer is running, the UE may receive a second DCI indicating a DL grant for the retransmission of the TB. If not receiving the second DCI before the HARQ retransmission timer expires, the UE may stop monitoring the PDCCH.
- [0270] FIG. 26A show example of a power saving mechanism based on wake-up. A gNB may transmit one or more messages comprising parameters of a wake-up duration (e.g., a power saving duration, or a Power Saving Channel (PSCH) occasion), to a UE. The wake-up duration may be located a number of slots (or symbols) before a DRX On duration of a DRX cycle. The number of slots (or symbols), or, referred to as a gap between a wakeup duration and a DRX on duration, may be configured in the one or more RRC messages or predefined as a fixed value. The gap may be used for at least one of: synchronization with the gNB; measuring reference signals; and/or retuning RF parameters. The gap may be determined based on a capability of the UE and/or the gNB. In an example, the parameters of the wake-up duration may be pre-defined without RRC configuration. In an example, the wake-up mechanism may be based on a wake-up indication via a PSCH. The parameters of the wake-up duration may comprise at least one of: a PSCH channel format (e.g., numerology, DCI format, PDCCH format); a periodicity of the PSCH; a control resource set and/or a search space of the PSCH. When configured with the parameters of the wake-up duration, the UE

may monitor the wake-up signal or the PSCH during the wake-up duration. When configured with the parameters of the PSCH occasion, the UE may monitor the PSCH for detecting a wake-up indication during the PSCH occasion. In response to receiving the wake-up signal/channel (or a wake-up indication via the PSCH), the UE may wake-up to monitor PDCCHs according to the DRX configuration. In an example, in response to receiving the wake-up indication via the PSCH, the UE may monitor PDCCHs in the DRX active time (e.g., when *drx-onDurationTimer* is running). The UE may go back to sleep if not receiving PDCCHs in the DRX active time. The UE may keep in sleep during the DRX off duration of the DRX cycle. In an example, if the UE doesn't receive the wake-up signal/channel (or a wake-up indication via the PSCH) during the wake-up duration (or the PSCH occasion), the UE may skip monitoring PDCCHs in the DRX active time.

[0271] In an example, a power saving mechanism may be based on a go-to-sleep indication via a PSCH. FIG. 26B shows an example of a power saving based on go-to-sleep indication. In response to receiving a go-to-sleep indication via the PSCH, the UE may go back to sleep and skip monitoring PDCCHs during the DRX active time (e.g., next DRX on duration of a DRX cycle). In an example, if the UE doesn't receive the go-to-sleep indication via the PSCH during the wake-up duration, the UE monitors PDCCHs during the DRX active time, according to the configuration parameters of the DRX operation. This mechanism may reduce power consumption for PDCCH monitoring during the DRX active time.

[0272] In an example, a power saving mechanism may be implemented by combining FIG. 26A and FIG. 26B. A base station may transmit a power saving indication, in a DCI via a PSCH, indicating whether the wireless device shall wake up for next DRX on duration or skip next DRX on duration. The wireless device may receive the DCI via the PSCH. In response to the power saving indication indicating the wireless device shall wake up for next DRX on duration, the wireless device may wake up for next DRX on duration. The wireless device monitors PDCCH in the next DRX on duration in response to the waking up. In response to the power saving indication indicating the wireless device shall skip (or go to sleep) for next DRX on duration, the wireless device goes to sleep or skip for next DRX on duration. The wireless device skips monitoring PDCCH in the next DRX on duration in response to the power saving indication indicating the wireless device shall go to sleep for next DRX on duration.

[0273] FIG. 27 shows an example embodiment of power saving mechanism. A base station (e.g., gNB) may transmit to a wireless device (e.g., UE), one or more RRC messages comprising first configuration parameters of a power saving channel (PSCH) and second configuration parameters of a power saving (PS) operation. The first configuration

parameters of the PSCH may comprise at least one of: one or more first search spaces (SSs) and/or one or more first control resource sets (CORESET) on which the UE monitors the PSCH, one or more first DCI formats with which the UE monitors the PSCH, a radio network temporary identifier (RNTI) dedicated for monitoring the PSCH (e.g., PS-RNTI different from 3GPP existing RNTI values configured for the wireless device). The second configuration parameters of the PS operation may comprise at least one of: one or more second SSs and/or one or more second CORESETs on which the UE monitors PDCCHs in the PS operation, one or more first DCI formats with which the UE monitors PDCCHs in the PS operation, one or more MIMO parameters indicating a first maximum number of antenna (layers, ports, TRPs, panels, and/or the like) based on which the UE perform MIMO processing (transmission or reception) in the PS operation, one or more first cross-slot scheduling indicator indicating whether cross-slot scheduling is configured or not when the UE is in the PS operation, a BWP index indicating on which the UE transmit or receive data packet in the PS operation, and/or a cell index indicating on which the UE transmit or receive data packet in the PS operation. The one or more RRC messages may further comprise third configuration parameters of a normal function operation (e.g., full function, non-PS, or the like). The third configuration parameters may comprise at least one of: one or more third SSs and/or one or more third CORESETs on which the UE monitors PDCCHs in the non-PS operation, one or more second DCI formats with which the UE monitors PDCCHs in the non-PS operation, one or more MIMO parameters indicating a second maximum number of antenna (layers, ports, TRPs, panels, and/or the like) based on which the UE perform MIMO processing (transmission or reception) in the non-PS operation, one or more second cross-slot scheduling indicator indicating whether cross-slot scheduling is configured or not when the UE is in the non-PS operation, and/or the like. The UE, based on cross-slot scheduling being configured, may switch off some receiver modules (e.g., data buffering, RF chain, channel tracking, etc.) after receiving a DCI indicating a cross-slot scheduling and before receiving a data packet based on the DCI, for the purpose of power saving. In an example, the one or more second SSs and/or the one or more second CORESETs may occupy smaller radio resources than the one or more third SSs and/or the one or more third CORESETs, e.g., for the purpose of power saving. The first maximum number may be smaller than the second maximum number, e.g., for the purpose of power saving.

[0274] As shown in FIG. 27, when configured with the parameters of the PSCH and PS operation, the UE may monitor the PSCH (e.g., on the 1st SS/CORESET) for detecting a DCI with CRC scrambled by the PS-RNTI during the PSCH monitoring occasions. Based on the PSCH monitoring, the UE may detect a PS indication contained in the DCI received via

the PSCH. The DCI may further indicate an active BWP switching. In response to receiving the PS indication via the PSCH, the UE may start performing a PS operation based on the one or more second configuration parameters of the PS operation. Performing a PS operation based on the one or more second configuration parameters may comprise at least one of: monitoring PDCCHs on 2nd PDCCH occasions and on 2nd SSs/CORESETs, refraining from monitoring the PSCH on 1st SSs/CORESETs, refraining from monitoring PDCCHs on 3rd PDCCH occasions and on 3rd SSs/CORESETs, transmitting or receiving data packets with the 1st maximum number of antenna (layers, ports, TRPs, panels, and/or the like), and/or transmitting or receiving data packets with cross-slot scheduling based on the one or more first cross-slot scheduling indicator. Performing the PS operation may further comprise switching an active BWP of one or more cells (e.g., a PCell/SCell, or a cell group) to a dormant BWP of the one or more cells. The UE may monitor the PDCCHs on 2nd PDCCH occasions and on 2nd SSs/CORESETs continuously when DRX operation is not configured. The UE may monitor the PDCCHs on 2nd PDCCH occasions and on 2nd SSs/CORESETs discontinuously in a DRX active time (e.g., next DRX on duration) when DRX operation is configured. The UE, based on the monitoring the PDCCH on 2nd PDCCH occasions, may transmit or receive data packets or TBs in response to receiving a DCI indicating an uplink grant or a downlink assignment.

[0275] In an example, in response to receiving the PS indication via the PSCH, the UE may transition a SCell from an active state to a dormant state, based on the PS indication indicating a state transition of the SCell. A dormant state of a SCell may be a time period duration which the wireless device may: stop monitoring PDCCH(s) on/for the SCell, stop receiving PDSCH(s) on the SCell, stop transmitting uplink signals (PUSCH, PUCCH, PRACH, DMRS, and/or PRACH) on the SCell, and/or transmit CSI report for the SCell. The wireless device may maintain the dormant state of the SCell until receiving a second indicator indicating a transition of the SCell from the dormant state to the active state.

[0276] As shown in FIG. 27, when configured with the parameters of the PSCH and PS operation, the UE may monitor the PSCH (e.g., on the 1st SS/CORESET) during the PSCH monitoring occasions. The UE may not detect a PS indication via the PSCH, e.g., when a base station determines that the UE shall stay in a full function mode, or a non-PS mode. In response to not receiving the PS indication via the PSCH, the UE may start performing operations in a full function mode based on the one or more third configuration parameters. In an example, a base station may transmit a PS indication indicating whether the wireless device shall stay in a full function mode. The wireless device may receive the PS indication via a PSCH. In response to the PS indication indicating the wireless shall stay in a full

function mode, the wireless device may start performing operations in the full function mode based on the one or more third configuration parameters.

[0277] In an example, performing operations in a full function mode based on the one or more third configuration parameters may comprise at least one of: monitoring PDCCHs on 3rd PDCCH occasions and on 3rd SSs/CORESETs, refraining from monitoring the PSCH on 1st SSs/CORESETs, refraining from monitoring PDCCHs on 2nd PDCCH occasions and on 2nd SSs/CORESETs, transmitting or receiving data packets with the 2nd maximum number of antenna (layers, ports, TRPs, panels, and/or the like), transmitting or receiving data packets with same-slot scheduling based on the one or more second cross-slot scheduling indicator indicating same-slot scheduling is configured. The UE may monitor the PDCCHs on 3rd PDCCH occasions and on 3rd SSs/CORESETs continuously when DRX operation is not configured. The UE may monitor the PDCCHs on 3rd PDCCH occasions and on 3rd SSs/CORESETs discontinuously in a DRX active time when DRX operation is configured. The UE, based on the monitoring the PDCCH on 3rd PDCCH occasions, may transmit or receive data packets or TBs in response to receiving a DCI indicating an uplink grant or a downlink assignment.

[0278] FIG. 28 shows an example of a downlink beam failure recovery (BFR) procedure of a cell. In an example, a wireless device may receive, from a base station, one or more messages at time T0. The one or more messages may comprise one or more configuration parameters for a plurality of cells. The plurality of cells may comprise a first cell (e.g., PCell, PSCell, PUCCH SCell, SCell) and one or more secondary cells. The one or more secondary cells may comprise a second cell (e.g., SCell, SCell configured with PUCCH). The one or more messages may comprise one or more RRC messages (e.g. RRC connection reconfiguration message, or RRC connection reestablishment message, or RRC connection setup message). The one or more configuration parameters may indicate cell-specific indices (e.g., provided by a higher layer parameter *servCellIndex*) for the plurality of cells. In an example, each cell of the plurality of cells may be identified by a respective one cell-specific index of the cell-specific indices.

[0279] In an example, the one or more configuration parameters may comprise BWP configuration parameters for a plurality of BWPs. The plurality of BWPs may comprise a first plurality of DL BWPs of a cell and/or a first plurality of UL BWPs of the cell. The one or more configuration parameters may further comprise BWP specific indices for the plurality of BWPs. In an example, each BWP of the plurality of BWPs may be identified by a respective one BWP specific index of the BWP specific indices (e.g., provided by a higher layer parameter *bwp-ID* in the one or more configuration parameters).

[0280] In an example, the one or more configuration parameters may indicate one or more first RSs (e.g., RadioLinkMonitoringRS provided in an IE RadioLinkMonitoringConfig) for a downlink BWP of the second cell (e.g., explicit BFD configuration). At least one RS of the one or more first RSs may be transmitted/configured on/in the first cell, and/or the second cell. The second cell and the first cell may share the at least one RS based on operating in intra-band and/or QCL-ed (e.g., cross-carrier QCL) and/or based on sharing similar channel characteristics (e.g., Doppler spread, spatial filter, etc.). The one or more configuration parameters may indicate a maximum beam failure instance (BFI) counter (e.g., beamFailureInstanceMaxCount). The wireless device may assess the one or more first RSs to detect a beam failure for the downlink BWP of the second cell. The one or more configuration parameters may indicate a first threshold (e.g., provided by rlmInSyncOutOfSyncThreshold, Qout,LR). The one or more configuration parameters may indicate one or more second RSs (e.g., candidateBeamRSList provided in IE BeamFailureRecoveryConfig). The wireless device may assess the one or more second RSs to select a candidate RS among the one or more second RSs for a BFR procedure of the downlink BWP of the second cell. The one or more second RSs may comprise one or more second CSI-RSs, and/or one or more second SS/PBCH blocks.

[0281] In an example, the one or more configuration parameters may indicate a second threshold (e.g., provided by rsrp-ThresholdSSB in the IE BeamFailureRecoveryConfig) for a BFR procedure. The wireless device may use the second threshold in a candidate beam selection of the second cell. The one or more configuration parameters may indicate a BFR timer (e.g., provided by beamFailureRecoveryTimer in the IE BeamFailureRecoveryConfig) for a BFR of the second cell (or the downlink BWP).

[0282] In an example, the one or more configuration parameters may indicate a search space set (e.g., provided by recoverySearchSpaceID in the IE BeamFailureRecoveryConfig). The search space set may be linked/associated with a CORESET. The search space set may indicate the CORESET. The wireless device may monitor the CORESET for a BFR procedure of the second cell (or of the downlink BWP). The base station may configure the CORESET on the first cell. The base station may configure the CORESET on the second cell. The wireless device may monitor the search space set (e.g., linked to the CORESET) for a BFR procedure of the downlink BWP. The downlink BWP may be an active downlink BWP of the second cell. A physical layer in the wireless device may assess a first radio link quality of the one or more first RSs (for a beam failure detection of the downlink BWP). The physical layer may provide a BFI indication to a higher layer (e.g. MAC) of the wireless device when

the first radio link quality is worse (e.g., higher BLER, lower L1-RSRP, lower L1-SINR) than the first threshold.

[0283] In an example, the higher layer (e.g., MAC) of the wireless device may increment BFI_COUNTER by one in response to the physical layer providing the BFI indication. The BFI_COUNTER may be a counter for a BFI indication. The wireless device may initially set the BFI_COUNTER to zero. Based on the incrementing the BFI_COUNTER, the BFI_COUNTER may be equal to or greater than the maximum BFI counter (e.g., beamFailureInstanceMaxCount). The wireless device may detect a beam failure of the downlink BWP of the second cell based on the BFI_COUNTER being equal to or greater than the maximum BFI counter. The wireless device may initiate a BFR procedure for the downlink BWP of the second cell based on the detecting the beam failure of the downlink BWP. Based on the initiating the BFR procedure, the wireless device may start the BFR timer.

[0284] In an example, based on the initiating the BFR procedure, the wireless device may initiate a candidate beam selection for the BFR procedure. The candidate beam selection may comprise selecting/identifying a candidate RS (e.g., CSI-RS, SS/PBCH blocks) in/among the one or more second RSs (with quality higher than the second threshold). The initiating the candidate beam selection may comprise requesting, by the higher layer from the physical layer, one or more indices (of the RS-specific indices) associated with one or more candidate RSs among the one or more second RSs and/or one or more candidate measurements (e.g., L1-RSRP measurements) of the one or more candidate RSs. The physical layer of the wireless device may perform one or more measurements (e.g. L1-RSRP measurement) for the one or more second RSs. The wireless device may determine that the one or more candidate measurements, of the one or more measurements, of the one or more candidate RSs, are better (e.g. lower BLER or higher L1-RSRP or higher SINR) than the second threshold (e.g., rsrp-ThresholdSSB). Based on a request, by the higher layer from the physical layer, the physical layer may provide the first measurement and a first RS-specific index of the first RS and the second measurement and a second RS-specific index of the second RS.

[0285] In an example, the one or more configuration parameters may indicate uplink physical channels (e.g., PUCCH, PRACH, PUSCH). The uplink physical channels may comprise physical random-access channels (PRACH) resources, physical uplink control channel (PUCCH) resources, and/or physical uplink shared channel (PUSCH) resources.

[0286] In an example, the wireless device may transmit, at time T2 in FIG. 28, an uplink signal (e.g., preamble via PRACH, beam failure recovery request (BFRQ) transmission via PUCCH, scheduling request (SR) via PUCCH, MAC-CE via PUSCH, aperiodic CSI-RS via

WO 2021/067444

PCT/US2020/053557

PUSCH) via at least one uplink physical channel (e.g., PRACH or PUCCH or PUSCH) of the uplink physical channels based on initiating the BFR procedure for the second cell.

[0287] In an example, the wireless device may receive a DCI from the base station at time T3 in FIG. 28. The DCI may indicate uplink/downlink frequency/time resources for downlink assignment/uplink grant. The DCI may trigger a CSI report (e.g., aperiodic CSI report). The DCI may comprise a CSI request field triggering the CSI report.

[0288] In an example, the wireless device may transmit a second uplink signal (e.g., PUSCH, transport block, aperiodic CSI-report, UCI, PUCCH, MAC-CE, etc.) via uplink resources indicated by the DCI at time T4. The second uplink signal may be a MAC-CE (e.g., BFR MAC-CE, PHR MAC-CE, BSR, and the like). The second uplink signal may be a layer-1 report. In an example, the second uplink signal may be a CSI report (e.g., aperiodic CSI report). The second uplink signal may comprise/indicate the second cell-specific index of the second cell.

[0289] In an example, the wireless device may select/identify a candidate RS (of the one or more second RSs) associated/identified with a candidate RS index of the RS-specific indices for the BFR procedure. Based on selecting/identifying the candidate RS, the second uplink signal may indicate the candidate RS index of the candidate RS.

[0290] In an example, the wireless device may skip transmitting the BFRQ at time T2 and skip receiving the DCI at time T3, for the BFR procedure of the SCell. The wireless device may skip transmitting the BFRQ and skip receiving the DCI when there is available uplink grant for transmitting the second uplink signal indicating the candidate RS index/cell index. The wireless device may transmit the second uplink signal (e.g., a MAC CE) via the available uplink grant (e.g., dynamic grant or configured grant) in response to initiating the BFR procedure.

[0291] In an example, when performing a BFR procedure for a SCell as shown in FIG. 28, a wireless device may not identify a candidate RS (or a candidate beam identified by the candidate RS), from a plurality of candidate RSs, has a lower BLER or higher L1-RSRP or higher SINR than the second threshold (e.g., $\text{rsrp-Threshold}_{\text{SSB}}$). In existing technologies, in response to transmitting a signal (e.g., a MAC CE) indicating no candidate RS is identified, the wireless device may deactivate the SCell. In existing technologies, in response to transmitting a signal (e.g., a MAC CE) indicating no candidate RS is identified, the wireless device may continue monitoring the PDCCH of the SCell and maintain the active state of the SCell. Deactivating the SCell, in case of no candidate beam being identified, may cause SCell reactivation delay. In an example, a base station may transmit a MAC CE indicating an activation of the SCell after a beam pair link on the SCell between a base station and the

wireless device is recovered. Delivery of the MAC CE may comprise: transmitting from a base station a DCI for scheduling the MAC CE, transmitting from a base station the MAC CE based on the DCI, receiving by the wireless device the DCI, receiving by the wireless device the MAC CE, transmitting by the wireless device HARQ-ACK for the reception of the MAC CE, retransmitting by the base station the MAC CE if the HARQ-ACK indicates a NACK for the MAC CE. A wireless device, by maintaining the SCell in active state in case of no candidate beam being identified, may increase power consumption, e.g., for PDCCH monitoring on the SCell, uplink transmission on the SCell. The monitoring the PDCCH on the SCell, or the uplink transmission on the SCell, when a BFR procedure is initiated and no candidate beam is identified, may not be successful and increase uplink interference to other wireless devices. There is a need to improve BFR procedure for a SCell, e.g., when no candidate beam is identified for the BFR procedure. Embodiments of present disclosure may reduce activation/reactivation latency of a SCell, power consumption of a wireless device, uplink interference to other wireless devices, for a BFR procedure on the SCell.

[0292] FIG. 29 shows an example embodiment of BFR procedure on a SCell. As shown in FIG. 29, a base station may transmit to a wireless device, one or more RRC messages comprising configuration parameters of a plurality of cells comprising a SCell. The one or more RRC messages comprise a serving cell configuration IE (e.g., *ServingCellConfig*) used to configure (add or modify) the wireless device with a serving cell. The serving cell may be a SpCell or an SCell of an MCG or SCG. The configuration parameters of the SCell may comprise first configuration parameters of a BFR procedure on the SCell, the first configuration parameters indicating a first plurality of RSs for beam failure detection, a second plurality of RSs for candidate beam identification, a first threshold for beam failure detection, a second threshold for candidate beam identification. The configuration parameters of the SCell may further indicate a plurality of search spaces, a plurality of CORESETs for PDCCH monitoring on the SCell.

[0293] As shown in FIG. 29, a base station may transmit a command (e.g., a DCI, a MAC CE, and/or an RRC message) indicating an activation of the SCell. In response to receiving the command, the wireless device activates the SCell to an active state. When the SCell is in active state, the wireless device may: monitor PDCCH(s) on the plurality of search spaces of the plurality of CORESETs on an active BWP of the SCell, receive PDSCH via the active BWP of the SCell, transmit PUCCH/PUSCH/SRS/PRACH via an uplink active BWP of the SCell. In response to the SCell being in active state, the wireless device may perform a BFR procedure based on monitoring the first plurality of RSs and the first threshold for beam

failure detection. The wireless device may perform the beam failure detection by implementing examples of FIG. 28.

[0294] As shown in FIG. 29, the wireless device may perform a candidate beam selection from the second plurality of RSs for the BFR procedure on the SCell. The wireless device may perform the candidate beam selection by implementing examples of FIG. 28. The wireless device may not identify a candidate beam, from the second plurality of RSs, having a lower BLER or higher L1-RSRP or higher SINR than the second threshold (e.g., rsrp-ThresholdSSB).

[0295] In response to no candidate beam being identified, the wireless device transmits a MAC CE indicating no candidate beam identified on the SCell. In an example, based on no candidate beam being identified, the wireless device may transition the SCell from the active state to a dormant state (a power saving state). When the SCell is transitioned into the dormant state, the wireless device may: stop monitoring the PDCCH(s) on the plurality of search spaces of the plurality of CORESETs on the SCell, stop receiving PDSCH via the SCell, stop transmitting PUCCH/PUSCH/SRS/PRACH via the SCell. In response to transitioning the SCell into dormant state, the wireless device may transmit CSI report for the SCell (e.g., on a PCell or a PUCCH SCell). The wireless device may transmit the CSI report, for the SCell in the dormant state, with a reduced transmission periodicity, with a less quantity of CSI report contents, and/or on a smaller PUCCH resource, compared with CSI report for the SCell in the active state. In response to transitioning the SCell into dormant state, the wireless device may reduce PDCCH monitoring on the SCell, compared with PDCCH monitoring on the SCell in the active state. The wireless device may, in response to transitioning the SCell into dormant state, monitor PDCCH: with reduced monitoring periodicity, with reduced number of search spaces, with reduced number of CORESETs, and/or with reduced DCI formats, compared with PDCCH monitoring on the SCell in the active state.

[0296] As shown in FIG. 29, based on receiving the CSI report for the SCell in the dormant state, the base station may determine to transition the SCell from the dormant state to the active state. The base station may determine to transition the SCell to the active state when the CSI report (e.g., comprising beam reports) indicates the beam quality of a beam pair link on the SCell between the base station and the wireless device becomes better than a threshold. In response to the CSI report indicating the beam quality is better than the threshold, the base station may transmit a DCI indicating a transition of the SCell from the dormant state to the active state. A transition, based on the DCI, between the SCell dormant state and the SCell active state, may reduce activation delay for the SCell when a BFR

procedure is initiated and no candidate beam is identified. Example embodiments may reduce power consumption of the wireless device for PDCCH monitoring on the SCell when a BFR procedure is initiated and no candidate beam is identified. Example embodiments may reduce uplink interference to other wireless device when a BFR procedure is initiated and no candidate beam is identified. Example embodiments may reduce SCell activation latency when a BFR procedure is initiated and no candidate beam is identified.

[0297] FIG. 30 shows an example flowchart of a BFR procedure on a SCell. A wireless device may receive one or more RRC messages comprising configuration parameters of a SCell, the configuration parameters comprising first configuration parameters of a BFR procedure on the SCell and second configuration parameters of a dormancy on the SCell. In an example, the first configuration parameters may be implemented by examples of FIG. 28. The second configuration parameters may indicate PDCCH monitoring configurations for the dormant state and/or CSI report configurations for the dormant state. The wireless device may receive a command (e.g., a DCI, MAC CE and/or an RRC message) indicating activation of the SCell. In response to activation of the SCell, the wireless device may perform a BFR procedure based on the first configuration parameters of the BFR procedure. The wireless device may perform a candidate beam selection for the BFR procedure on the SCell, e.g., by implementing examples of FIG. 28.

[0298] In an example, the wireless device may determine a candidate beam is identified in response to channel quality of the candidate beam being better than a threshold. In response to the candidate beam being identified, the wireless device may transmit a MAC CE indicating the candidate beam for the SCell. In response to transmitting the MAC CE indicating the identified candidate beam, the wireless device may continue monitoring PDCCH(s) on the SCell and maintain an active state of the SCell.

[0299] In an example, the wireless device may determine a candidate beam is not identified in response to channel quality of the candidate beam not being better than a threshold. In response to the candidate beam not being identified, the wireless device may transmit a MAC CE indicating no candidate beam for the SCell is identified. In response to transmitting the MAC CE indicating no candidate beam for the SCell is identified, the wireless device may transition the SCell from the active state to a dormant state. Based on the dormant state, the wireless device may stop monitoring PDCCH(s) on the SCell and transmit CSI report for the SCell. Based on the dormant state, the wireless device may reduce PDCCH(s) periodicity on the SCell, compared with PDCCH monitoring on the SCell in active state. Based on the dormant state, the wireless device may transmit CSI report with reduced reporting periodicity for the SCell, compared with CSI reporting on the SCell in active state.

[0300] In an example, a wireless device may transition a SCell from active state to dormant state in response to receiving a command as a response to a MAC CE, transmitted from the wireless device, indicating no candidate beam is identified for a BFR on the SCell. FIG. 31 shows an example embodiment of BFR procedure on a SCell. As shown in FIG. 31, a base station may transmit to a wireless device, one or more RRC messages comprising configuration parameters of a plurality of cells comprising a SCell. The one or more RRC messages may be implemented by examples of FIG. 29.

[0301] As shown in FIG. 31, a base station may transmit a command (e.g., a DCI, a MAC CE, and/or an RRC message) indicating an activation of the SCell. In response to receiving the command, the wireless device activates the SCell to an active state. In response to the SCell being in active state, the wireless device may perform a BFR procedure based on monitoring the first plurality of RSs and the first threshold for beam failure detection. The wireless device may perform the beam failure detection by implementing examples of FIG. 28.

[0302] As shown in FIG. 31, the wireless device may perform a candidate beam selection from the second plurality of RSs for the BFR procedure on the SCell. The wireless device may not identify a candidate beam, from the second plurality of RSs, having a lower BLER or higher L1-RSRP or higher SINR than the second threshold (e.g., rsrp-ThresholdSSB).

[0303] In response to no candidate beam being identified, the wireless device transmits a MAC CE indicating no candidate beam identified on the SCell. The wireless device may maintain an active state of the SCell in response to transmitting the MAC CE. The wireless device may continue monitoring the PDCCH on the SCell, when the SCell is in active state.

[0304] In an example, the wireless device may receive a confirmation for reception of the MAC CE at the base station. The wireless device may receive a DCI comprising an uplink grant as a response to the MAC CE. The DCI, as a confirmation for the reception of the MAC CE, may indicate a new transmission for a HARQ process same as the one used for transmission of the MAC CE. The DCI, by toggling an NDI value of an NDI field of the DCI, may indicate the confirmation for the reception of the MAC CE. The DCI, as a confirmation for the reception of the MAC CE, may not comprise a power saving indication, or a SCell dormancy indication. In response to receiving the DCI (e.g., or a confirmation of the MAC CE), the wireless device may transition the SCell from the active state to a dormant state (a power saving state). The wireless device may, when the SCell is transitioned into the dormant state: stop monitoring the PDCCH(s) on the plurality of search spaces of the plurality of CORESETs on the SCell, stop receiving PDSCH via the SCell, stop transmitting PUCCH/PUSCH/SRS/PRACH via the SCell. In response to transitioning the SCell into

dormant state, the wireless device may transmit CSI report for the SCell (e.g., on a PCell or a PUCCH SCell). The wireless device may transmit the CSI report, for the SCell in the dormant state, with a reduced transmission periodicity, with a less quantity of CSI report contents, and/or on a smaller PUCCH resource, compared with CSI report for the SCell in the active state. In response to transitioning the SCell into dormant state, the wireless device may reduce PDCCH monitoring on the SCell, compared with PDCCH monitoring on the SCell in the active state. The wireless device may, in response to transitioning the SCell into dormant state, monitor PDCCH: with reduced monitoring periodicity, with reduced number of search spaces, with reduced number of CORESETs, and/or with reduced DCI formats, compared with PDCCH monitoring on the SCell in the active state. Example embodiments may reduce power consumption of the wireless device for PDCCH monitoring on the SCell when a BFR procedure is initiated and no candidate beam is identified. Example embodiments may reduce SCell activation latency when a BFR procedure is initiated and no candidate beam is identified.

[0305] In an example, a wireless device may initiate a BFR procedure for a first SCell and may not identify a candidate beam for the BFR procedure for the first SCell, the first SCell being a PUCCH SCell. In response to no candidate beam being identified, the wireless device may maintain an active state of the first SCell, and transition one or more second SCells, configured with the first SCell as the PUCCH SCell of the one or more second SCells, from active state to a dormant state.

[0306] FIG. 32 shows an example of BFR procedure on a SCell. In an example, a wireless device may initiate a BFR procedure for a first SCell and may not identify a candidate beam for the BFR procedure for the first SCell, the first SCell being a PUCCH SCell.

[0307] In an example, in response to no candidate beam being identified, the wireless device may clear PUCCH resources on the first SCell and maintain the active state of the first SCell, if the PUCCH resources is configured on the first SCell. In an example, the wireless device may clear the PUCCH resources in response to a beam correspondence existing between downlink and uplink. The beam correspondence between downlink and uplink may exist when a wireless device determines a transmission spatial domain filter for uplink signal (e.g., PUCCH/PUSCH/SRS/PRACH) based on (e.g., as same as) a reception spatial domain filter for downlink signal (e.g., SSBs/CSI-RS/DMRS). Clearing the PUCCH resources may comprise not maintaining configuration parameters of the PUCCH resources and stopping using the PUCCH resources. After clearing the PUCCH resources, the wireless device may receive an RRC message for PUCCH resource reconfiguration when the base station determines to reconfigure the PUCCH resource on the first SCell.

- [0308]** In an example, in response to no candidate beam being identified, the wireless device may suspend PUCCH transmission(s) on the first SCell and maintain the active state of the first SCell, if the PUCCH resources is configured on the first SCell. The wireless device may suspend PUCCH transmission(s) on the first SCell and maintain the active state of the first SCell, in response to a beam correspondence existing between downlink and uplink. Suspending the PUCCH transmission may comprise maintaining configuration parameters of the PUCCH resources and stopping transmitting UCIs via the PUCCH resources. After suspending the PUCCH resources, the wireless device may resume PUCCH transmission (e.g., without receiving a PUCCH resource reconfiguration RRC message) when a beam pair link is recovered.
- [0309]** In an example, a wireless device may initiate a BFR procedure for a first SCell and may not identify a candidate beam for the BFR procedure for the first SCell, the first SCell being a PUCCH SCell. In response to an uplink transmission configuration indicator (UL-TCI) indicating an SSB/CSI-RS index of the first SCell, the wireless device may suspend PUCCH/PUSCH transmission(s) on the first SCell and maintain the active state of the first SCell. The SSB/CSI-RS index may identify one of a plurality of RSs configured on the first SCell for beam failure detection, or candidate beam selection. Suspending the PUCCH/PUSCH transmission may comprise maintaining configuration parameters of the PUCCH/PUSCH resources. After suspending the PUCCH/PUSCH resources, the wireless device may resume PUCCH/PUSCH transmission (e.g., without receiving a PUCCH/PUSCH resource reconfiguration RRC message) when a beam pair link is recovered. In an example, the wireless device, when the UL-TCI indicates an SSB/CSI-RS index of a cell, may determine a transmission spatial domain filter based on a reception spatial domain filter for an SSB/CSI-RS identified by the SSB/CSI-RS index. In an example, the wireless device may receive the UL-TCI in a DCI indicating uplink grant.
- [0310]** In an example, a wireless device may initiate a BFR procedure for a first SCell and may not identify a candidate beam for the BFR procedure for the first SCell. In response to no candidate beam being identified, the wireless device may suspend configured grant transmission, SP-CSI transmission on PUCCH/PUSCH, and/or SP-SRS transmission. Suspending the configured grant, SP-CSI, and/or SP-SRS transmission may comprise: maintaining configuration parameters of the configured grant, SP-CSI and/or the SP-SRS, and/or stopping transmitting the configured grant, SP-CSI and/or the SP-SRS. After suspending the transmissions (configured grant, SP-CSI or SP-SRS), the wireless device may resume the transmission (e.g., without receiving activation command (a MAC CE or a DCI) indicating the activation of the transmissions) when a beam pair link is recovered.

- [0311] FIG. 33 shows a flowchart of SCell BFR procedure as per an aspect of an example embodiment of the present disclosure. At 3310, a wireless device monitors a downlink control channel of a cell in an active state. At 3320, the wireless device initiates a beam failure recovery (BFR) procedure in response to detecting a number of beam failure instances on the cell. At 3330, the wireless device determines, in response to the initiating the BFR procedure, no candidate beam is identified based on a threshold. At 3340, the wireless device transitions, in response to no candidate beam being identified, the cell from the active state to a dormancy. At 3350, the wireless device, based on the transitioning the cell to the dormancy, stops monitoring the downlink control channel on the cell and/or transmits channel state information reports for a dormant bandwidth part of the cell.
- [0312] In an example, in response to no candidate beam being identified for a beam failure recovery procedure of a cell, a wireless device may transition the cell to a dormancy while maintaining the cell activated.
- [0313] According to an example embodiment, the transitioning the cell to the dormancy comprises stopping monitoring the downlink control channel on the cell. The transitioning the cell to the dormancy comprises switching an active bandwidth part of the cell to the dormant bandwidth part of the cell.
- [0314] According to an example embodiment, the wireless device monitors a downlink control channel of a cell for the beam failure recovery procedure.
- [0315] According to an example embodiment, the wireless device initiates the beam failure recovery procedure in response to detecting a number of beam failure instances on the cell.
- [0316] According to an example embodiment, the wireless device receives a MAC CE indicating an activation of the cell. The wireless device activates the cell in response to the receiving the MAC CE.
- [0317] According to an example embodiment, the wireless device receives one or more radio resource control messages comprising configuration parameters of the cell comprising a plurality of bandwidth parts, the configuration parameters indicating one of the plurality of the bandwidth parts as the dormant bandwidth part of the cell.
- [0318] According to an example embodiment, the wireless device receives a MAC CE indicating a deactivation of the cell. The wireless device deactivates the cell in response to receiving the MAC CE indicating the deactivation of the cell.
- [0319] In an example, a wireless device may monitor one or more PDCCH of a cell in an active state. The wireless device may initiate a BFR procedure in response to detecting a number of beam failure instances on the cell. The wireless device may determine, in response to the initiating the BFR procedure, no candidate beam is identified based on a threshold. The

wireless device may transmit a signal indicating no candidate beam is identified. The wireless device may transition, in response to no candidate beam being identified, the cell from the active state to a dormant state. The wireless device, based on the transitioning to the dormant state, may stop monitoring, during a time duration, the one or more PDCCHs on the cell, and transmit, during the time duration, CSI reports for the cell. In an example, the wireless device may monitor the one or more PDCCHs with a first monitoring periodicity. The wireless device may monitor at least one of the one or more PDCCHs with a second monitoring periodicity in response to transitioning the cell to the dormant state. The wireless device may determine no candidate beam is identified from a plurality of reference signals based on a channel quality, of none of the plurality of reference signals, being greater than the threshold. The wireless device may determine, in response to the initiating the BFR procedure, at least one candidate beam is identified based on the threshold. The wireless device may transmit a signal indicating the at least one candidate beam for the BFR procedure. The wireless device may maintain, in response to the at least one candidate beam being identified, the cell in the active state. Based on the cell in the active state, the wireless device may monitor the one or more PDCCHs on the cell and transmit CSI reports for the cell.

[0320] In an example, a wireless device may initiate a BFR procedure in response to detecting a number of beam failure instances on a cell in an active state. The wireless device may determine, in response to the initiating the BFR procedure, no candidate beam is identified based on a threshold. The wireless device may transmit a signal indicating no candidate beam is identified. The wireless device may receive a DCI as a response to the transmitting the signal. The wireless device may transition, in response to the DCI, the cell from the active state to dormant state. Based on the dormant state, the wireless device may stop monitoring one or more PDCCHs on the cell and transmit CSI reports for the cell. The DCI may not comprise an indication indicating a transition of the cell from the active state to the dormant state.

[0321] In an example, a wireless device may receive, via a power saving channel (PSCH), a power saving indication (e.g., a wakeup indication or a go-to-sleep indication as shown in FIG. 26A and/or FIG. 26B. In response to receiving the power saving indication indicating wakeup, the wireless device may wake up to monitor PDCCH on a cell (a PCell or a SCell) in next DRX on duration of a DRX cycle as shown in FIG. 26A. In response to receiving the power saving indication indicating go-to-sleep, the wireless device may skip monitoring PDCCH on a cell (a PCell or a SCell) in next DRX on duration of a DRX cycle as shown in FIG. 26B. The wireless device may receive the power saving indication (e.g., comprised in a

WO 2021/067444

PCT/US2020/053557

DCI) at a time offset before a DRX on duration of a DRX cycle. The wireless device, when skipping monitoring PDCCH on a cell in a DRX on duration, may continue CSI report on/for the cell in the DRX on duration. The wireless device may repeat monitoring the PSCH, for receiving the power saving indication, of each DRX cycle.

[0322] In an example, when a SCell dormancy is supported, a wireless device may receive a first DCI indicating a transition of a SCell from an active state to a dormant state. In response to receiving the indication indicating the transition of the SCell to the dormant state, the wireless device may: skip PDCCH monitoring or reduce PDCCH monitoring on the SCell, stop receiving PDSCH on the SCell, stop transmitting PUSCH on the SCell, transmit CSI report for the SCell. In response to receiving the indication indicating the transition of the SCell to the dormant state, the wireless device may switch an active BWP of the SCell to a second BWP of the SCell, the second BWP being configured for the dormant state, or being the default BWP of the SCell. The wireless device may maintain the dormant state of the SCell until receiving a second DCI indicating a transition of the SCell from the dormant state to the active state. In response to receiving the second DCI, the wireless device may transition the SCell from the dormant state to the active state. When the SCell is in active state, the wireless device may: monitor PDCCH(s) on the SCell, receive transport blocks via PDSCH(s) of the SCell, transmit transport blocks via PUSCH(s) of the SCell, transmit CSI report for the SCell.

[0323] In existing technologies, a wireless device may monitor a power saving channel for a power saving indication in a DRX off duration (e.g., when a DRX on duration timer is not running). After receiving the power saving indication in the DRX off duration, the wireless device may start monitoring PDCCH(s) on a next DRX on duration. In response to the power saving indication indicating skipping PDCCH monitoring on the next DRX on duration, the wireless device may skip PDCCH monitoring on an activated cell (e.g., PCell or SCell) and/or transmit CSI report on the activated cell on the next DRX on duration.

[0324] In an example, a wireless device may receive a power saving indication during monitoring a power saving channel (e.g., DCI with CRC scrambled by a PS-RNTI, via a PDCCH), the power saving indication comprising a wake-up indication and a SCell dormancy indication. The wake-up indication, comprising one bit set to a first value, may indicate monitoring PDCCH on all cells in a DRX on duration of a next DRX cycle. The wake-up indication, when the bit set to a second value, may indicate skipping monitoring PDCCH on all cells in the DRX on duration of the next DRX cycle. The SCell dormancy indication may indicate switching an active BWP of a SCell to a dormant BWP of the SCell, when one or more bits of the SCell dormancy indication, associated with the SCell, is set to a

WO 2021/067444

PCT/US2020/053557

first value. The SCell dormancy indication may indicate switching from the dormant BWP of the cell to the active BWP of the SCell, when one or more bits of the SCell dormancy indication, associated with the SCell, is set to a second value.

[0325] In an example, when receiving the wake-up indication and the SCell dormancy indication, the wireless device, by using existing technologies, may apply conflicting operations on one or more SCells. Applying the conflicting operation on the one or more SCells may increase power consumption of the wireless device and/or result in misalignment a state of the SCell in a DRX operation between a base station and the wireless device. There is a need to improve power saving mechanism and SCell dormancy mechanism. Example embodiments, by combining and/or unifying the power saving mechanism and the SCell dormancy mechanism, may improve power consumption of a wireless device and improve SCell dormancy/activation transition latency. Example embodiments, by combining and/or unifying the power saving mechanism and the SCell dormancy mechanism, may improve power consumption of a wireless device in a DRX operation on the SCell.

[0326] One of example embodiment may comprise transmitting by a base station, and/or receiving by a wireless device, in a DRX off duration, a DCI indicating whether a wireless device shall perform a power saving operation in a DRX on duration or transition a SCell between an active state and a dormant state.

[0327] One of example embodiments may comprise transmitting by a base station and/or receiving by a wireless device and in a DRX off duration, one or more DCIs (with CRC scrambled by a PS-RNTI) comprising a wake-up indication and a SCell dormancy indication. The DRX off duration is a number of symbols/slots before a DRX on duration of a DRX cycle, e.g., based on example of FIG. 26A and/or FIG. 26B. Based on the embodiment, a wireless device, by receiving the wake-up indication and the SCell dormancy indication in the one or more DCIs, may save the power consumption of the wireless device for blind decoding.

[0328] One of example embodiment may comprise determining a SCell transitioned to a dormancy as active state and not applying wake-up indication for PDCCH monitoring on the SCell in dormancy in response to receiving one or more DCIs comprising a SCell dormancy indication and a wake-up indication. One of example embodiment may comprise determining a SCell transitioned to a dormancy as active state and applying wake-up indication for CSI reporting on the SCell in dormancy in response to receiving one or more DCIs comprising a SCell dormancy indication and a wake-up indication. In an example, when the wake-up indication indicates not wake-up (e.g., skipping PDCCH monitoring) in the DRX on duration, the wireless device does not monitor PDCCH on the SCell in the dormancy during

WO 2021/067444

PCT/US2020/053557

the DRX on duration. When the wake-up indication indicates wake-up (e.g., monitoring PDCCH) in the DRX on duration, the wireless device keeps the SCell in the dormancy (e.g., by not applying the wake-up indication on the SCell). The wireless device, by keeping the SCell in the dormancy, does not monitor PDCCH on the SCell during the DRX on duration. In an example, when the wake-up indication indicates not wake-up (e.g., skipping PDCCH monitoring) in the DRX on duration, the wireless device transmits CSI report for the dormant BWP of the SCell in the DRX on duration. Example embodiments may improve power consumption of a wireless device in a DRX operation on the SCell in a dormancy.

[0329] FIG. 34 shows an example embodiment of a unified power saving operation and SCell dormancy transition. A wireless device may receive, from a base station, one or more RRC messages comprising first configuration parameters of power saving operations (or configurations) and second configuration parameters of SCell dormancy operations (or configurations). The first configuration parameters of the power saving operations may be implemented by example of FIG. 26A, FIG. 26B and/or FIG. 27. The second configuration parameters of the SCell dormancy operation may indicate a BWP of the SCell when transitioning to a dormant state, a periodic CSI report configuration when transitioning to the dormant state, and/or one or PDCCH configuration for the SCell in the dormant state. The one or more PDCCH configuration may indicate one or more search spaces/CORESETs on the SCell for PDCCH monitoring when transitioning to the dormant state, one or more DCI format for the SCell in the dormant state.

[0330] As shown in FIG. 34, the wireless device may receive a command (e.g., an RRC message, a MAC CE, and/or a DCI) indicating an activation of the SCell. When configured with multiple SCells, the command may comprise multiple indications, each indication associated with a SCell, indicating whether the SCell is activated. In response to the command, the wireless device may activate the SCell.

[0331] In an example, the wireless device may monitor, based on the first configuration parameters, a PDCCH for receiving a DCI comprising PS/dormancy indication at a first time before a DRX on duration, where the gap between the first time and a starting time of the DRX on duration may be configured in a RRC message, or predefined to a fixed value. The PDCCH may be a power saving channel based on the first configuration parameters. The DCI may be CRC scrambled with a dedicated RNTI for the PS/dormancy indication (e.g., PS-RNTI). The dedicated RNTI may be different from a C-RNTI.

[0332] In an example, the wireless device may receive the DCI comprising the PS/dormancy indication during monitoring the PDCCH in the DRX off duration. The wireless device may determine whether the PS/dormancy indication, in the DCI, indicate the wireless device shall

perform a power saving operation, or indicate the wireless device shall perform a SCell dormancy transition.

[0333] In response to the PS/dormancy indication indicating the power saving operation, the wireless device may skip PDCCH monitoring for the next DRX on duration, on all activated cells (e.g., PCell, SCells.). In response to the PS/dormancy indication indicating the power saving operation, the wireless device may skip PDCCH monitoring for the next DRX on duration, on one or more activated cells if the PS/dormancy indication comprise a PS indication bitmap. Each bit, of the bitmap, corresponding to one of the one or more activated cells, indicates whether the wireless device shall skip PDCCH monitoring on the one of the one or more activated cells for the next DRX on duration. In response to the PS/dormancy indication indicating the power saving operation, the wireless device may continue CSI report for the SCell, the CSI report being configured for the SCell in the active state. The wireless device may skip PDCCH monitoring and/or continue the CSI report until the end of the DRX on duration. The wireless device may stop the CSI report in response to switching to a DRX off duration, e.g., based on a DRX on duration timer expiring. The wireless device may repeat the process, for a next DRX cycle, comprising: monitoring the PDCCH for receiving a PS/dormancy indication at time before a DRX on duration, skipping PDCCH monitoring and/or continuing CSI report in the DRX on duration if receiving the PS/dormancy indication indicating a power saving operation, stopping CSI report in a DRX off duration.

[0334] In response to the PS/dormancy indication indicating the SCell dormancy transition, the wireless device may determine whether to transition an activated SCell from an active state to a dormant state, or to transition from a dormant state to an active state. In response to the PS/dormancy indication indicating a transition of a SCell to a dormant state, the wireless device may perform dormant actions for the SCell based on the second configuration parameters, the dormant actions comprising: stopping monitoring PDCCH on the SCell, stopping receiving PDSCH on the SCell, stopping transmitting uplink signals/channels (e.g., PUSCH/PUCCH/SRS/PRACH) on the SCell, transmitting first CSI report for the SCell in the dormant state. In response to the PS/dormancy indication indicating a transition of a SCell from a dormant state to an active state, the wireless device may perform active actions for the SCell in the active state, the active actions comprising: monitoring PDCCH on the SCell, receiving PDSCH on the SCell, transmitting uplink signals/channels (e.g., PUSCH/PUCCH/SRS/PRACH) on the SCell, transmitting second CSI report for the SCell in the active state. The first CSI report for the SCell in the dormant state may be configured with a longer periodicity, and/or a smaller number of CSI quantities (e.g.,

PMI/CQI/RI/RSRP, and the like) than the second CSI report for the SCell in the active state. When configured with multiple active SCells, the PS/dormancy indication may comprise a PS/dormancy indication bitmap, each bit of the bitmap, corresponding to one SCell of the multiple active SCells, indicating whether the wireless device shall transition the one SCell, of the multiple active SCells, to a dormant state, or an active state. The wireless device may maintain a dormant state of a SCell or an active state of the SCell, after the SCell state transitioning, until receiving another PS/dormancy indication indicating another SCell state transitioning.

[0335] In an example, the PS/dormancy indication in a DCI may be a one-bit indication, with a first value (e.g., 0) indicating a power saving operation and a second value (e.g., 1) indicating a SCell dormancy operation. In an example, the DCI may implicitly indicate whether the wireless device perform a power saving operation for a DRX cycle or perform a SCell state transition between dormant state and active state. The DCI, being CRC scrambled with a first RNTI, may indicate the power saving operation for the DRX cycle. The DCI, being CRC scrambled with a second RNTI, may indicate the SCell state transition. The DCI, being transmitted with a first DCI format, may indicate the power saving operation for the DRX cycle. The DCI, being transmitted with a second DCI format, may indicate the SCell state transition. The DCI, with one or more fields being set to first predefined value, may indicate the power saving operation for the DRX cycle. The DCI, with the one or more fields being set to second predefined value, may indicate the SCell state transition. The one or more fields may comprise at least one of: a frequency resource indicator, a time resource indicator, a MCS indicator, a NDI, a HARQ process number, SRS indicator, CSI report indicator, and the like.

[0336] FIG. 35 shows an example flowchart of a PS/dormancy operation. A wireless device may receive configuration parameters of a PS operation and a dormancy operation on a SCell. The wireless device may receive a command (e.g., an RRC message, a MAC CE and/or a DCI) indicating an activation of the SCell. The wireless device may activate the SCell based on the command. In response to activating the SCell, the wireless device may start a first CSI report for the SCell. The wireless device may monitor a PDCCH for receiving a PS/dormancy indication at a time before a DRX on duration of a DRX cycle. A DRX cycle may comprise a DRX on duration and a DRX off duration as shown in FIG. 24. The wireless device may receive the PS/dormancy indication during the monitoring the PDCCH at the time before the DRX on duration. The wireless device may determine whether to perform a power saving operation or to perform a SCell state transition between an active state and a dormant state. In response to the PS/dormancy indication comprising a power

saving indication, the wireless device may skip PDCCH monitoring on the DRX on duration of the DRX cycle, and/or continue the first CSI report. In response to the PS/dormancy comprising a wake-up indication, the wireless device may monitor PDCCH on the SCell in the DRX on duration of the DRX cycle and continue the first CSI report. In response to the PS/dormancy indication indicating a SCell state transition from an active state to a dormant state, the wireless device may skip PDCCH monitoring on the SCell and transmit a second CSI report for the SCell in the dormant state. In response to the PS/dormancy indication indicating a SCell state transition from a dormant state to an active state, the wireless device may start PDCCH monitoring on the SCell and transmit the first CSI report for the SCell in the active state. The wireless device may maintain the state (dormant or active) of the SCell until receiving another PS/dormancy indication indicating SCell state transitioning.

[0337] FIG. 36 shows an example of PS operation and SCell dormancy. In an example, a wireless device may receive a command (e.g., an RRC message, a MAC CE and/or a DCI) indicating an activation of the SCell. The wireless device may activate the SCell based on the command. In response to activating the SCell, the wireless device may start a first CSI report (e.g., periodic) for the SCell, the first CSI report being configured for the SCell in active state. The wireless device may monitor a PDCCH for receiving a first PS/dormancy indication at a first time. The wireless device may receive the first PS/dormancy indication during the monitoring the PDCCH. In response to the PS/dormancy indication indicating a SCell state transition from an active state to a dormant state, the wireless device may transition the SCell into the dormant state. During a time period of the dormant state on the SCell, the wireless device may skip PDCCH monitoring on the SCell and transmit a second CSI report for the SCell in the dormant state.

[0338] As shown in FIG. 36, the wireless device may receive a second PS/dormancy indication at a second time. The second PS/dormancy indication may comprise a wake-up indication. The wireless device may determine whether transition the SCell from the dormant state to an active state, or maintain the SCell in the dormant state, based on the wake-up indication of the second PS/dormancy indication.

[0339] In an example, the wireless device may transition the SCell from the dormant state to an active state in response to the second PS/dormancy indication indicating a wake-up. The second PS/dormancy indication may not indicate SCell state transition. In an example, the wireless device may transition the SCell from the dormant state to an active state when the wireless device determines the second PS/dormancy indication overwrite (or have higher priority than) the first PS/dormancy indication regarding a state (active state or dormant

state) of a SCell. Example embodiments may improve downlink signal overhead for power saving indication and dormancy indication.

[0340] In an example, the wireless device may maintain the SCell in the dormant state, and/or monitor PDCCH(s) in a DRX on duration on one or more active cells (e.g., PCell or SCells) except the SCell in response to the second PS/dormancy indication indicating a wake-up. The second PS/dormancy indication may not indicate SCell state transition. In an example, the wireless device may maintain the SCell in the dormant state when the wireless device determines the second PS/dormancy indication and the first PS/dormancy indication may be employed by the wireless device independently or separately regarding a state (active state or dormant state) of a SCell. In an example, the wireless device may maintain the SCell in the dormant state when the wireless device determines the second PS/dormancy indication does not overwrite the first PS/dormancy indication regarding a state (active state or dormant state) of a SCell.

[0341] In an example, a base station may transmit one or more RRC messages comprising configuration parameters indicating whether a wireless device shall determine (consider, or treat) a SCell in a dormant state as an activated SCell or as a deactivated SCell for a power saving operation. In an example, a base station and/or the wireless device may, determine (consider, or treat) a SCell in a dormant state as an active SCell, as a predefined rule, for the power saving operation. In an example, a base station and/or the wireless device may, determine a SCell in a dormant state as a deactivated SCell, as a predefined rule, for the power saving operation.

[0342] In an example, a wireless device may determine a first SCell in a dormant state as an activated SCell (e.g., with no or sparse PDCCH monitoring). When receiving a power saving indication comprising a wake-up indication, the wireless device may monitor the first SCell (e.g., with sparse PDCCH monitoring configured for a dormant state, or with PDCCH monitoring configured for an active state) in a DRX on duration, based on determining the first SCell in the dormant state as an active SCell. When receiving power saving indication comprising a go-to-sleep indication, cross-slot scheduling, or maximum MIMO layers reduction indication for the first SCell, the wireless device may apply the power saving indication on the first SCell in the dormant state, e.g., by stopping sparse PDCCH monitoring, applying cross-slot scheduling, and/or applying the reduced maximum MIMO layers on the SCell, based on determining the first SCell in the dormant state as an active SCell.

[0343] In an example, a wireless device may determine a first SCell in a dormant state as a deactivated SCell. When receiving a power saving indication comprising a wake-up

indication, the wireless device may skip monitoring the first SCell and monitor other activated cells (e.g., PCell or SCells) in a DRX on duration, based on determining the first SCell in the dormant state as the deactivated SCell. When receiving power saving indication comprising a go-to-sleep indication, cross-slot scheduling, or maximum MIMO layers reduction indication for the first SCell, the wireless device may not apply the power saving indication on the first SCell in the dormant state, e.g., by not applying cross-slot scheduling, not applying the reduced maximum MIMO layers on the first SCell, based on determining the first SCell in the dormant state as the deactivated SCell.

[0344] FIG. 37 shows an example embodiment of SCell dormancy management. In an example, a wireless device may receive from a base station, one or more RRC messages comprising configuration parameters of a plurality of cells. The configuration parameters may indicate downlink control channel configuration parameters for receiving a DCI comprising a wake-up indication for a DRX operation and a cell dormancy indication. In an example, the configuration parameter may indicate a PS-RNTI for receiving the DCI. The configuration parameters may indicate control channel resource (e.g., time, frequency, beam, periodicity etc.) for the reception of the DCI.

[0345] In an example, the wireless device may receive a command (e.g., an RRC message, a MAC CE and/or a DCI) indicating an activation of a plurality of SCells (e.g., 1st SCell and 2nd SCell). The wireless device may activate the plurality of SCells based on the command.

[0346] In response to activating a SCell (e.g., 1st SCell and 2nd SCell), the wireless device may start a CSI report (e.g., periodic) for the SCell, the CSI report being configured for the SCell in active state. The wireless device may monitor a PDCCH on the SCell. The wireless device may receive downlink packet via the SCell and/or transmit uplink data packet via the SCell, based on receiving a DCI during the monitoring the PDCCH on the SCell.

[0347] In an example, the wireless device may switch an active BWP of a SCell to a dormant BWP of the SCell based on receiving dormancy indication(s) (e.g., in a DRX on duration) indicating the switching (not shown in FIG.37). In an example, the wireless device may switch to a dormant BWP of the 1st SCell based on the dormancy indication(s). The wireless device may switch to a non-dormant BWP of the 2nd SCell based on the dormancy indication.

[0348] In an example, the wireless device may receive a DCI (with CRC scrambled by the PS-RNTI) comprising a wake-up indication and dormancy indication(s). The wireless device may receive the DCI in a time period before a DRX on duration of a DRX cycle (e.g., as shown in FIG. 26A and/or FIG. 26B).

- [0349]** In an example, in response to the cell dormancy indication(s) of the DCI indicating switching the 1st SCell to a non-dormant BWP of the 1st SCell, the wireless device may transition the 1st SCell to an active state from a dormancy and/or switch from the dormant BWP of the 1st SCell to the non-dormant BWP of the 1st SCell. The dormant BWP and the non-dormant BWP of the 1st SCell may be configured in the configuration parameters (e.g., in the one or more RRC messages above) of the 1st SCell. In an example, in response to the cell dormancy indication(s) of the DCI indicating switching the 2nd SCell to a dormant BWP of the 2nd SCell, the wireless device may transition the 2nd SCell to a dormancy from an active state and/or switch from the non-dormant BWP of the 2nd SCell to the dormant BWP of the 2nd SCell. The dormant BWP and the non-dormant BWP of the 2nd SCell may be configured in the configuration parameters (e.g., in the one or more RRC messages above) of the 2nd SCell.
- [0350]** In an example, in response to the wake-up indication indicating PDCCH monitoring for a DRX on duration, the wireless device, based on the 1st SCell in the active state (not in the dormancy), may apply the wake-up indication on the 1st SCell. In an example, the wireless device may monitor PDCCH on the 1st SCell during the DRX on duration of the DRX cycle. The wireless device may skip PDCCH monitoring on the 1st SCell during a DRX off duration of the DRX cycle.
- [0351]** In an example, in response to the wake-up indication indicating PDCCH monitoring for a DRX on duration, the wireless device, based on the 2nd SCell in the dormancy, may keep the SCell in the dormancy and skip PDCCH monitoring on the 2nd SCell in the DRX on duration of the DRX cycle. The wireless device, based on the 2nd SCell in the dormancy, may not apply the wake-up indication on the 2nd SCell. Not applying the wake-up indication for PDCCH monitoring for a SCell in dormancy may improve power consumption of a wireless device.
- [0352]** FIG. 38 shows an example flowchart of an embodiment. In an example, a wireless device may receive from a base station one or more RRC messages comprising configuration of a reception of a DCI comprising a wake-up indication and a cell dormancy indication. The one or more RRC messages may be implemented based on example of FIG. 37. The wireless device may receive a command indicating an activation of the cell. The wireless device may activate the cell based on the command. The wireless device may activate a first BWP of the cell in response to activating the cell. In an example, based on activating the first BWP, the wireless device may monitor PDCCH on the first BWP of the cell.
- [0353]** In an example, the wireless device may receive the DCI comprising a wake-up indication and a cell dormancy indication. The wake-up indication may indicate a wake-up

operation (e.g., PDCCH monitoring and CSI report) in a DRX on duration of a next DRX cycle. The wake-up indication may indicate a power saving operation (e.g., skipping PDCCH monitoring and keeping CSI report) in a DRX on duration of a next DRX cycle.

[0354] In an example, based on receiving the cell dormancy indication, the wireless device may transition the cell to the dormancy, comprising switching from the first BWP to a dormant BWP of the cell. The wireless device may stop PDCCH monitoring on the cell in the dormancy. The wireless device may stop PDCCH monitoring on the cell in the dormancy regardless of whether the wake-up indication indicates the wake-up operation or the power saving operation. In an example, based on receiving the wake-up indication, the wireless device may apply the CSI report operation associated with the wake-up indication on the cell in the dormancy. In an example, in response to the wake-up indication indicating the CSI report in the DRX on duration of the DRX cycle, the wireless device may transmit CSI report for the dormant BWP of the cell when the cell is in the dormancy. In an example, in response to the wake-up indication indicating PDCCH monitoring and the CSI report in the DRX on duration of the DRX cycle, the wireless device may skip PDCCH monitoring on the cell in the dormancy and transmit CSI report for the dormant BWP of the cell in the dormancy.

[0355] FIG. 39 shows an example flowchart of an embodiment. At 3910, a wireless device receives, based on PS-RNTI, one or more indications comprising a wake-up indication indicating PDCCH monitoring during a DRX on duration of a DRX cycle and a cell dormancy indication indicating a switching to a dormant bandwidth part of a cell. At 3920, the wireless device transitions, based on the cell dormancy indication, the cell to the dormancy comprising switching to the dormant BWP of the cell. At 3930, based on transitioning the cell to the dormancy and the wake-up indication, the wireless device, during the DRX on duration, stop monitoring downlink control channel on the cell, while maintaining the cell activated, and transmit CSI report for the dormant BWP of the cell.

[0356] According to an example embodiment, the wireless device may determine the cell in an active state in response to the switching of the active bandwidth part of the cell to the dormant bandwidth part of the cell.

[0357] According to an example embodiment, the wireless device may receive the one or more indications in at least one DCI during a time period before a start of the DRX on duration of a DRX cycle.

[0358] According to an example embodiment, the channel state information report may comprise one or more reference signal received power values of one or more reference signal of the dormant bandwidth part of the cell. The channel state information report may be based on one or more reference signals of the dormant bandwidth part of the cell. The channel state

WO 2021/067444

PCT/US2020/053557

information report may be configured on the dormant bandwidth part of the cell. The channel state information report may comprise a periodic channel state information report transmitted in a plurality of transmission occasions with a periodicity. The channel state information report may comprise a semi-persistent channel state information report, wherein the semi-persistent channel state information report is transmitted in a plurality of transmission occasions with a periodicity and/or the semi-persistent channel state information report is triggered by a semi-persistent channel state report activation command.

[0359] According to an example embodiment, the wireless device may stop monitoring the downlink control channel on the dormant bandwidth part of the cell.

[0360] According to an example embodiment, the wireless device may receive one or more downlink control information, comprising the one or more indications, with CRC bits being scrambled by a PS-RNTI.

[0361] According to an example embodiment, in response to a second cell being in a deactivated state, during the DRX on duration, the wireless device may skip monitoring downlink control channel on the second cell and skip transmitting channel state information report for the second cell.

[0362] According to an example embodiment, the cell is a secondary cell of a plurality of cells comprising a primary cell and a second cell.

[0363] According to an example embodiment, the wireless device may receive one or more radio resource control (RRC) messages comprising configuration parameters of the plurality of cells. The wireless device may receive a MAC CE indicating an activation of the cell. The wireless device may activate the cell, in response to receiving the MAC CE, comprising activating a first bandwidth part of the cell as an active bandwidth part, wherein the first bandwidth part is different from the dormant bandwidth part and monitoring downlink control channels on the first bandwidth part of the cell.

[0364] According to an example embodiment, the wireless device may receive from a base station, one or more RRC messages comprising configuration parameters of the cell, the configuration parameters indicating the dormant BWP of a plurality of BWPs of the cell. The configuration parameters may comprise a PS-RNTI for receiving one or more downlink control information comprising the one or more indications. The configuration parameters may indicate that no downlink control channel resource is configured on the dormant bandwidth part of the cell. The configuration parameters may indicate that no search space is configured on the dormant bandwidth part of the cell. The one or more RRC messages may comprise second configuration parameters of a DRX operation, wherein the second configuration parameters of the DRX operation comprise: a length of the DRX cycle, a

starting offset of the DRX cycle and a length of the DRX on duration of the DRX cycle. The one or more RRC messages may comprise third configuration parameters of the power saving operation, wherein the third configuration parameters of the power saving operation comprise a time offset indicating a starting symbol, for monitoring downlink control channels for receiving one or more downlink control information comprising the one or more indications, relative to a start of the DRX on duration of the DRX cycle.

[0365] According to an example embodiment, the wireless device may receive a MAC CE indicating a deactivation of the cell. The wireless device may deactivate the cell in response to the receiving the MAC CE indicating the deactivation of the cell. In response to deactivating the cell, the wireless device may stop transmitting the channel state information report for the dormant bandwidth part of the cell.

[0366] According to an example embodiment, the one or more indications may further comprise a second cell dormancy indication indicating a transition of a second cell from a dormancy to an active state. The wireless device may transition, based on the second cell dormancy indication, the second cell from the dormancy to the active state, wherein the transitioning the second cell from the dormancy to the active state comprises switching from a dormant bandwidth part of the second cell to a second bandwidth part of the second cell. In an example, one or more RRC messages may comprise configuration parameters indicating one of a plurality of bandwidth parts of the second cell as the second bandwidth part of the second cell. Based on transitioning the second cell to the active state and the wake-up indication, during the DRX on duration, the wireless device may monitor downlink control channel on the second cell and transmit channel state information report for the second bandwidth part of the second cell. The channel state information report for the second cell may be based on one or more reference signals of the second bandwidth part of the second cell. The wireless device may monitor the downlink control channel on the second bandwidth part of the second cell. The wireless device may receive a second DCI during the monitoring the downlink control channel on the second bandwidth part of the second cell. The wireless device may transmit an uplink TB based on the second DCI indicating an uplink grant. The wireless device may receive a downlink TB based on the second DCI indicating a downlink assignment.

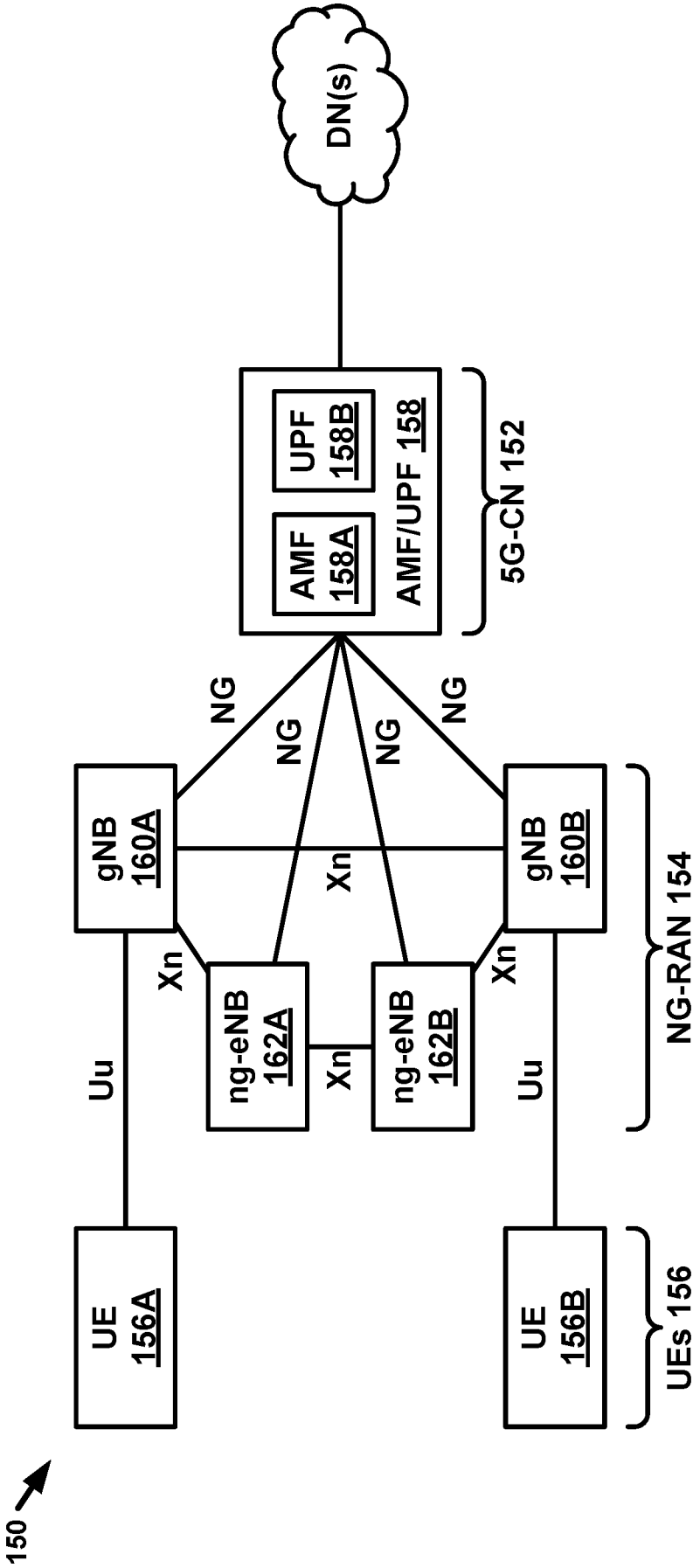
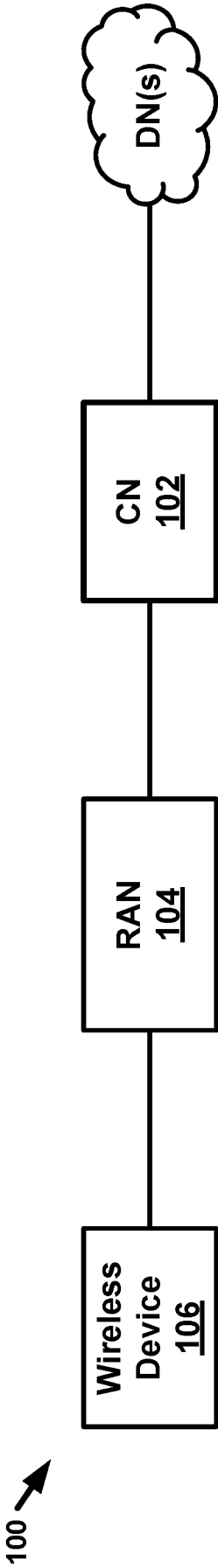
CLAIMS

What is claimed is:

1. A method comprising:
 - receiving, by a wireless device, indications comprising:
 - a wake-up indication indicating downlink control channel monitoring during a discontinuous reception (DRX) on duration of a DRX cycle; and
 - a dormancy indication indicating a switching to a dormant bandwidth part of a cell; and
 - based on the dormancy indication and the wake-up indication, during the DRX on duration:
 - stopping monitoring a downlink control channel on the cell, while maintaining the cell activated; and
 - transmitting a channel state information report for the dormant bandwidth part.
2. The method of claim 1, further comprising determining the cell is in an active state in response to the switching of an active bandwidth part of the cell to the dormant bandwidth part of the cell.
3. The method of any one of claims 1 to 2, wherein the indications are received by the wireless device in at least one downlink control information (DCI) during a DRX off duration, and wherein the DRX off duration is outside of the DRX on duration.
4. The method of any one of claims 1 to 3, further comprising receiving from a base station, one or more radio resource control (RRC) messages comprising configuration parameters of the cell, the configuration parameters indicating the dormant bandwidth part of a plurality of bandwidth parts of the cell, wherein when the wireless device is on the dormant bandwidth part of the cell, the wireless device stops monitoring the downlink channel on the dormant bandwidth part.
5. The method of any one of claims 1 to 4, further comprising:
 - receiving a medium access control control element indicating a deactivation of the cell; and
 - deactivating the cell in response to the receiving the medium access control control element indicating the deactivation of the cell.
6. A wireless device comprising:
 - one or more processors; and
 - memory storing instructions that, when executed by the one or more processors, cause the wireless device to perform the method of any one of claims 1 to 5.

7. A non-transitory computer-readable medium comprising instructions that, when executed by one or more processors, cause the one or more processors to perform the method of any one of claims 1 to 5.
8. A method comprising:
 - transmitting, by a base station to a wireless device, indications comprising:
 - a wake-up indication indicating downlink control channel monitoring during a discontinuous reception (DRX) on duration of a DRX cycle; and
 - a dormancy indication indicating a switching to a dormant bandwidth part of a cell; and
 - based on the dormancy indication and the wake-up indication, during the DRX on duration:
 - stop transmitting a downlink control channel on the cell, while maintaining the cell activated; and
 - receiving, from the wireless device, a channel state information report for the dormant bandwidth part.
9. The method of claim 8, further comprising determining the cell is in an active state in response to the switching of an active bandwidth part of the cell to the dormant bandwidth part of the cell.
10. The method of any one of claims 8 to 9, wherein the indications are transmitted by the base station in at least one downlink control information (DCI) during a DRX off duration, and wherein the DRX off duration is outside of the DRX on duration.
11. The method of any one of claims 8 to 10, further comprising transmitting to the wireless device, one or more radio resource control (RRC) messages comprising configuration parameters of the cell, the configuration parameters indicating the dormant bandwidth part of a plurality of bandwidth parts of the cell, wherein when the base station is on the dormant bandwidth part of the cell, the base station does not transmit the downlink channel on the dormant bandwidth part.
12. The method of any one of claims 8 to 11, further comprising:
 - transmitting a medium access control control element indicating a deactivation of the cell; and
 - deactivating the cell in response to the transmitting the medium access control control element indicating the deactivation of the cell.

13. A base station comprising:
- one or more processors; and
 - memory storing instructions that, when executed by the one or more processors, cause the base station to perform the method of any one of claims 8 to 12.
14. A non-transitory computer-readable medium comprising instructions that, when executed by one or more processors, cause the one or more processors to perform the method of any one of claims 8 to 12.
15. A system comprising:
- a base station comprising: one or more first processors and first memory storing first instructions that, when executed by the one or more first processors, cause the base station to:
 - transmit indications to a wireless device, comprising:
 - a wake-up indication indicating downlink control channel monitoring during a discontinuous reception (DRX) on duration of a DRX cycle; and
 - a dormancy indication indicating a switching to a dormant bandwidth part of a cell; and
 - based on the dormancy indication and the wake-up indication, during the DRX on duration:
 - stop transmitting, to the wireless device, a downlink control channel on the cell, while maintaining the cell activated; and
 - receive, from the wireless device, a channel state information report for the dormant bandwidth part; and
 - the wireless device comprises: one or more second processors and second memory storing second instructions that, when executed by the one or more second processors, cause the wireless device to:
 - receive the indications from the base station; and
 - based on the dormancy indication and the wake-up indication, during the DRX on duration:
 - stop monitoring a downlink control channel on the cell, while maintaining the cell activated; and
 - transmit, to the base station, the channel state information report for the dormant bandwidth part.



2/39

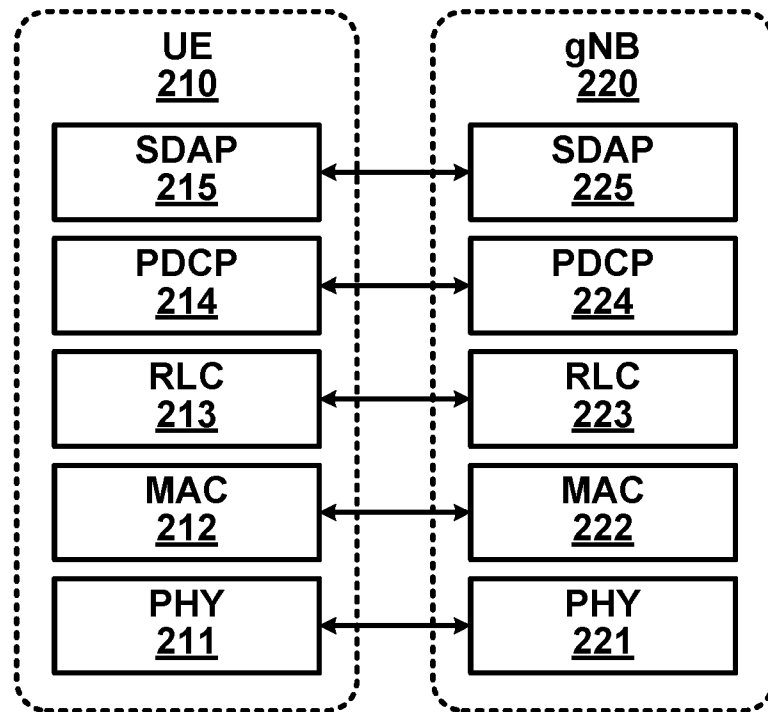


FIG. 2A

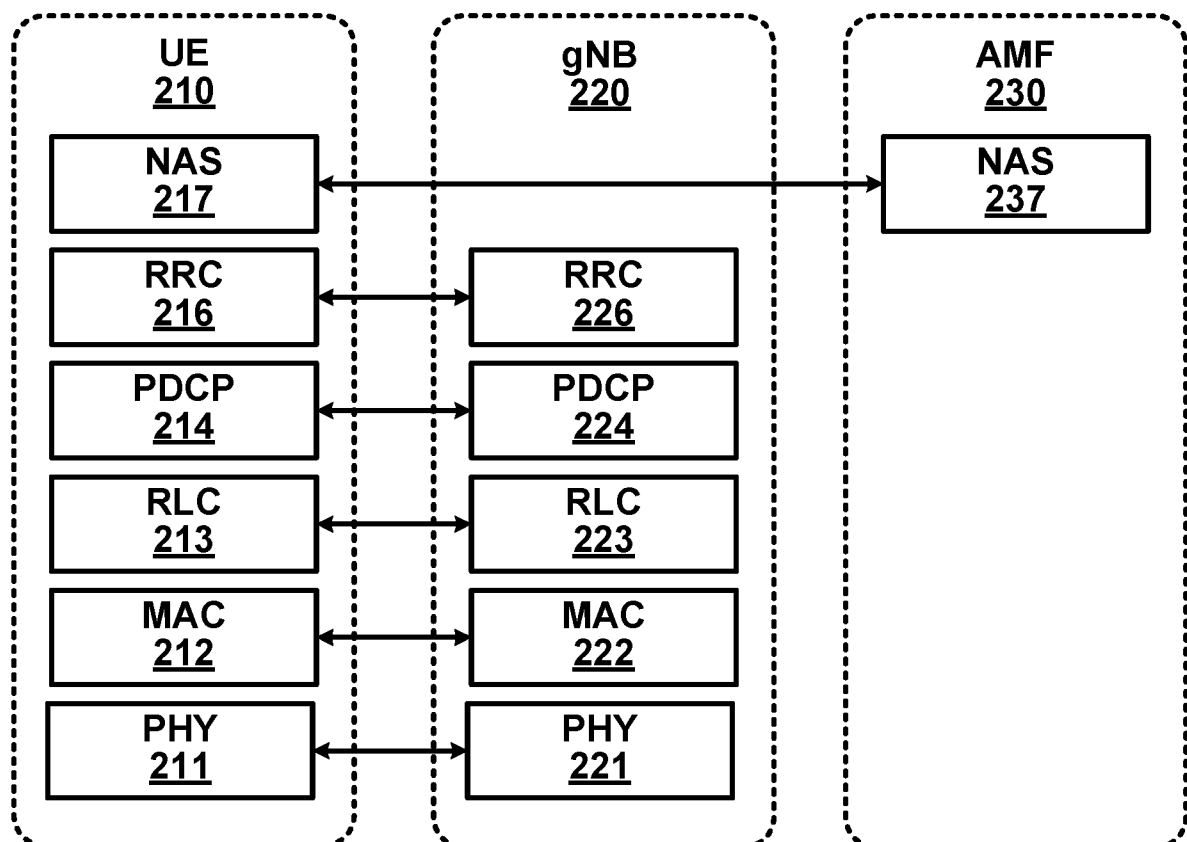


FIG. 2B

3/39

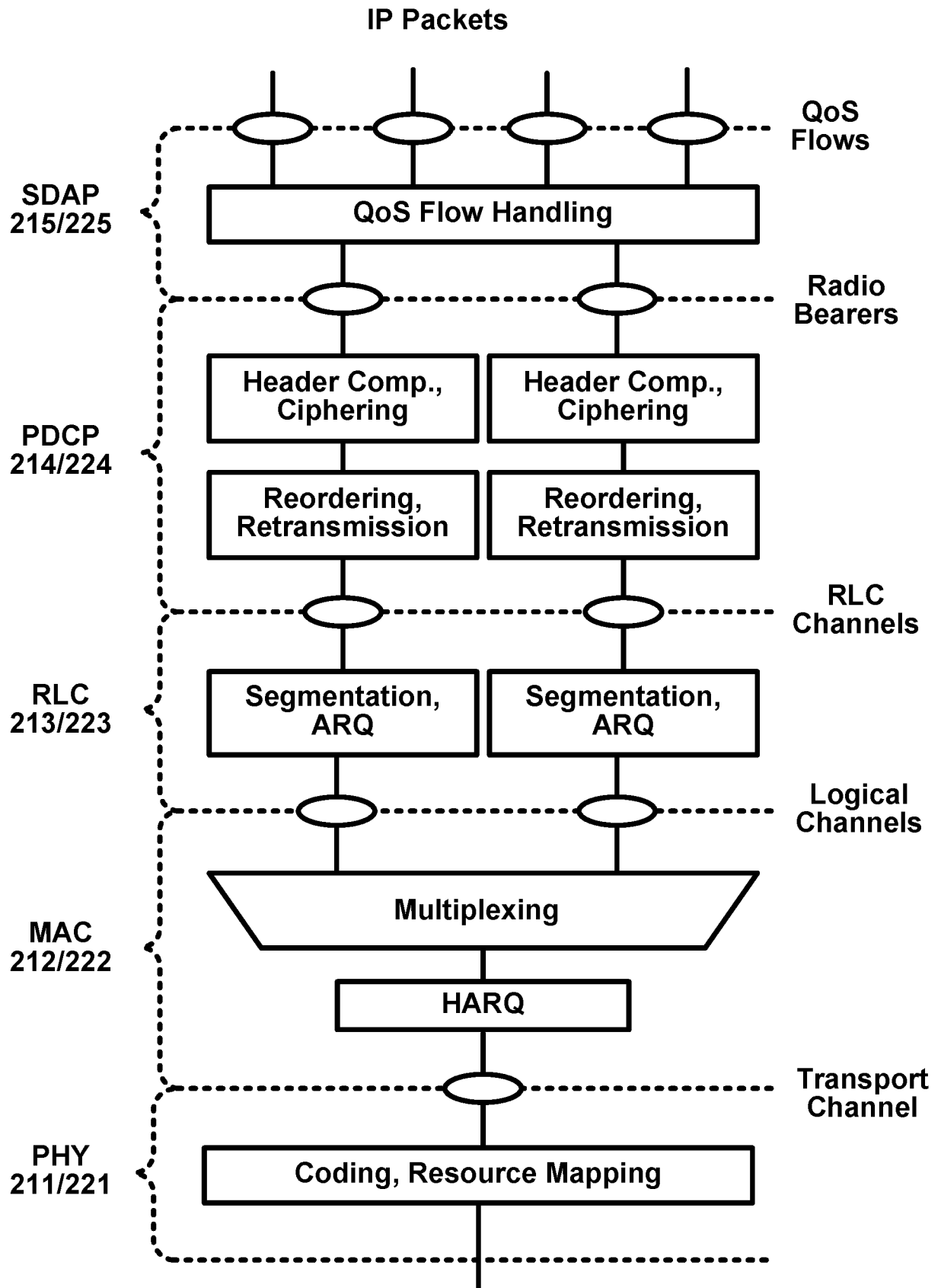


FIG. 3

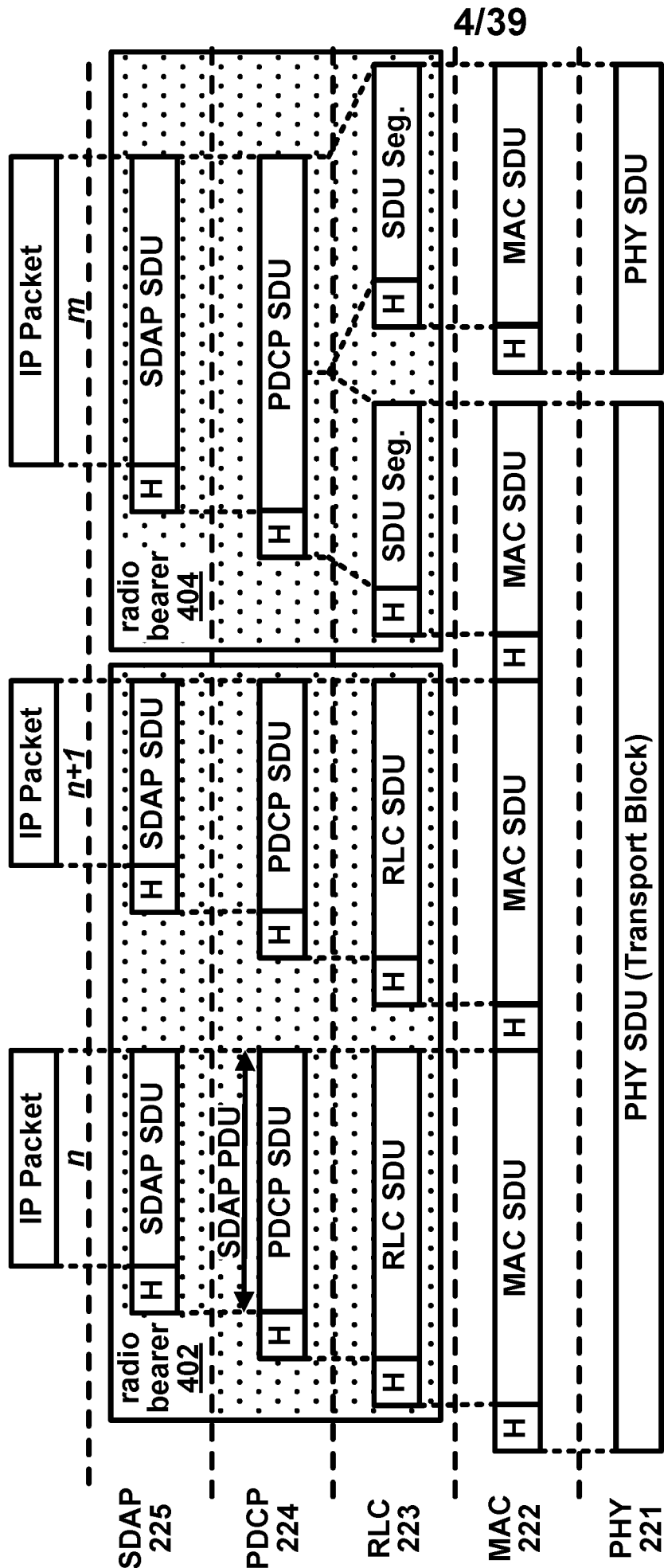


FIG. 4A

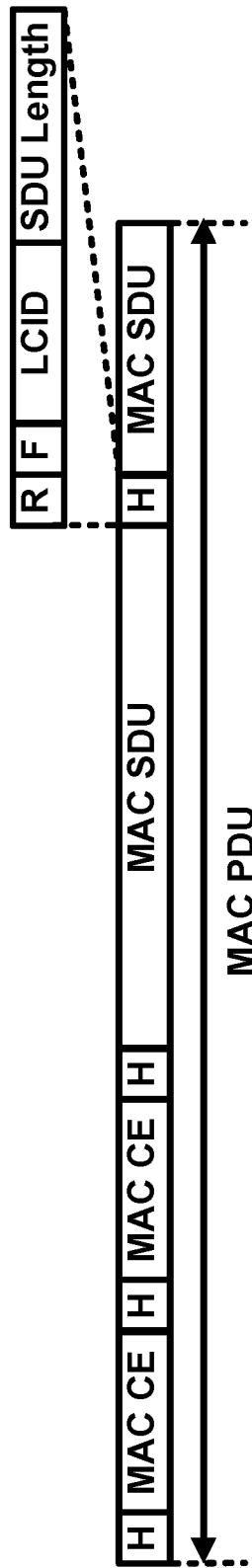


FIG. 4B

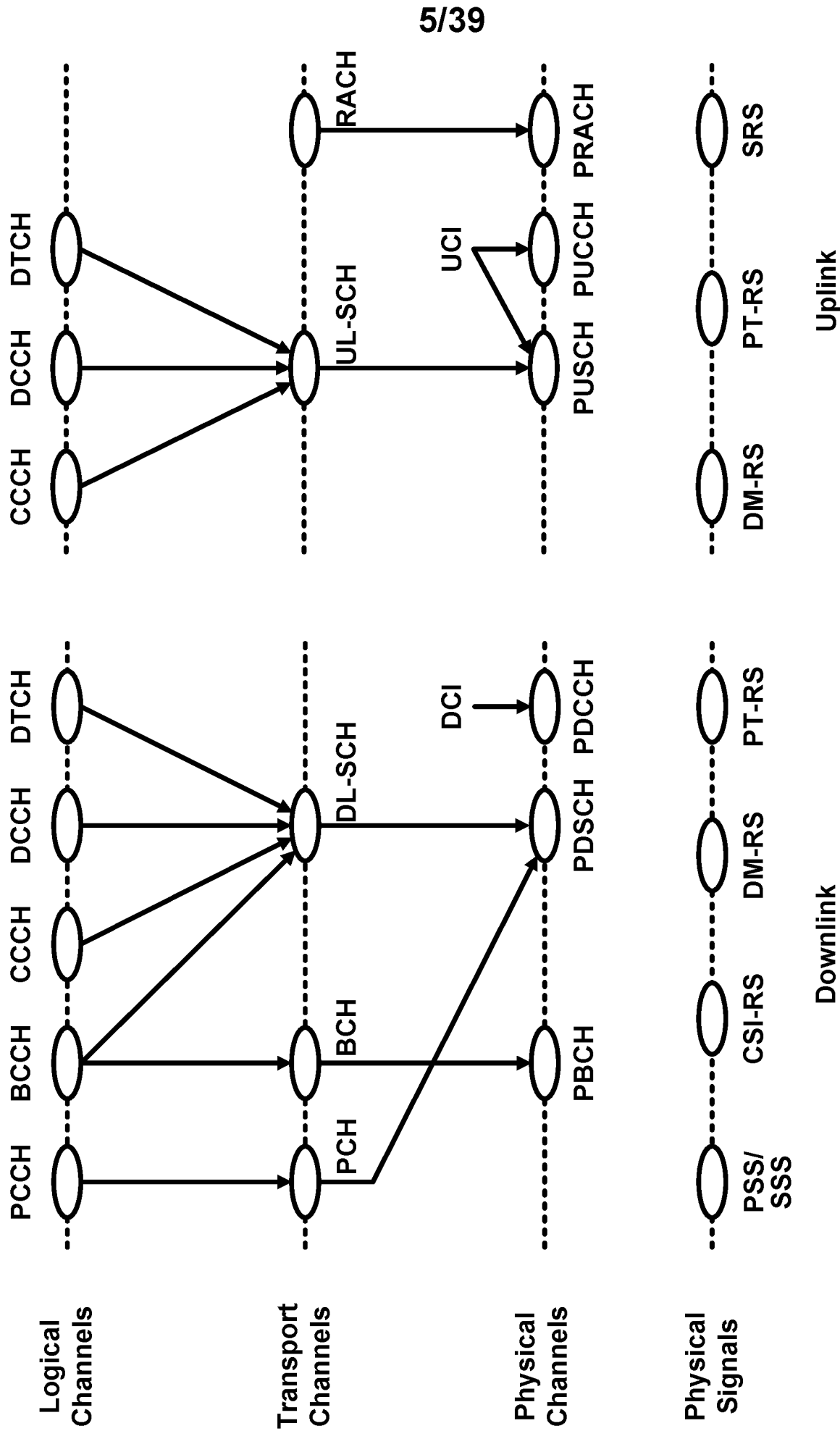


FIG. 5B

FIG. 5A

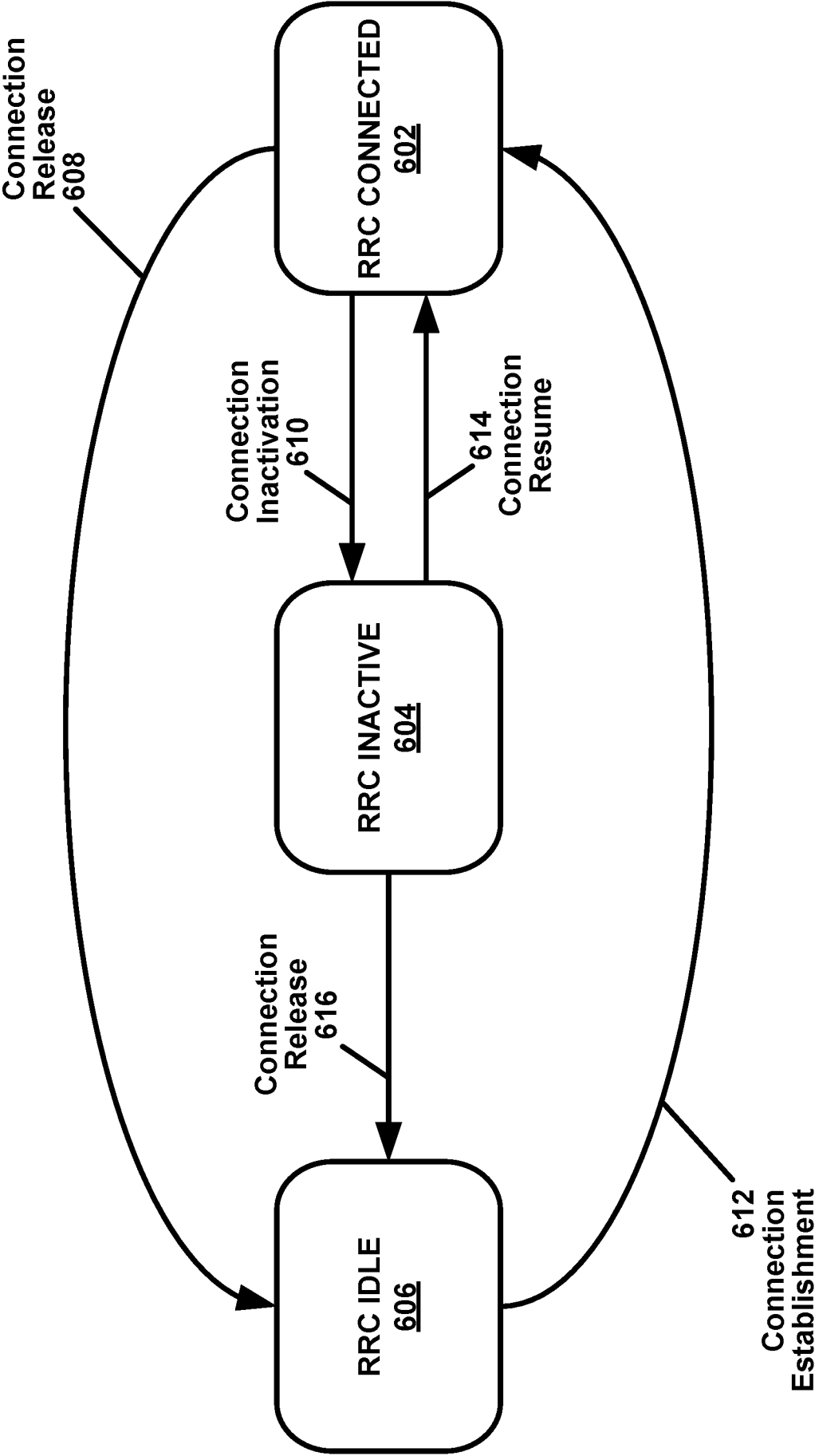


FIG. 6

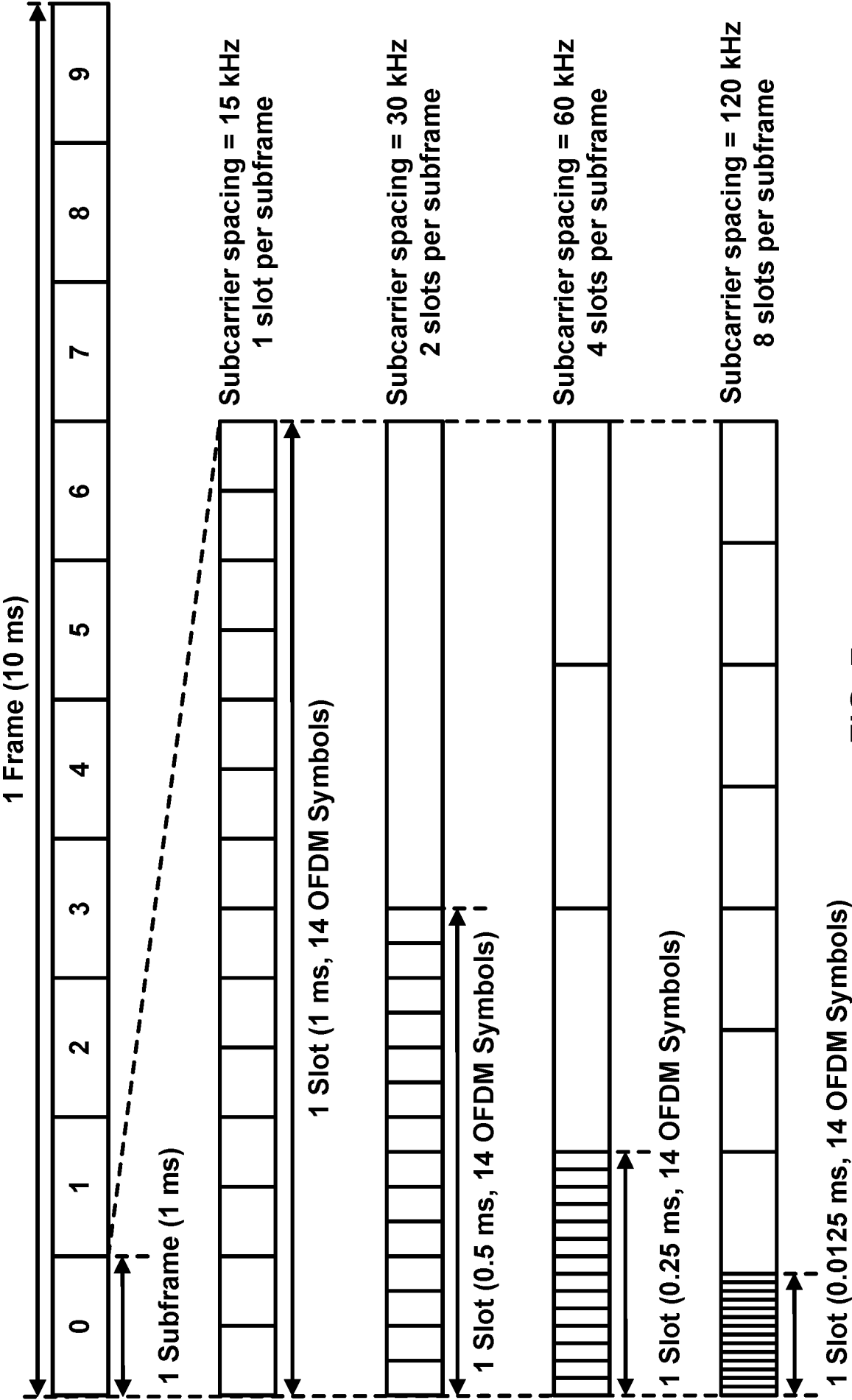


FIG. 7

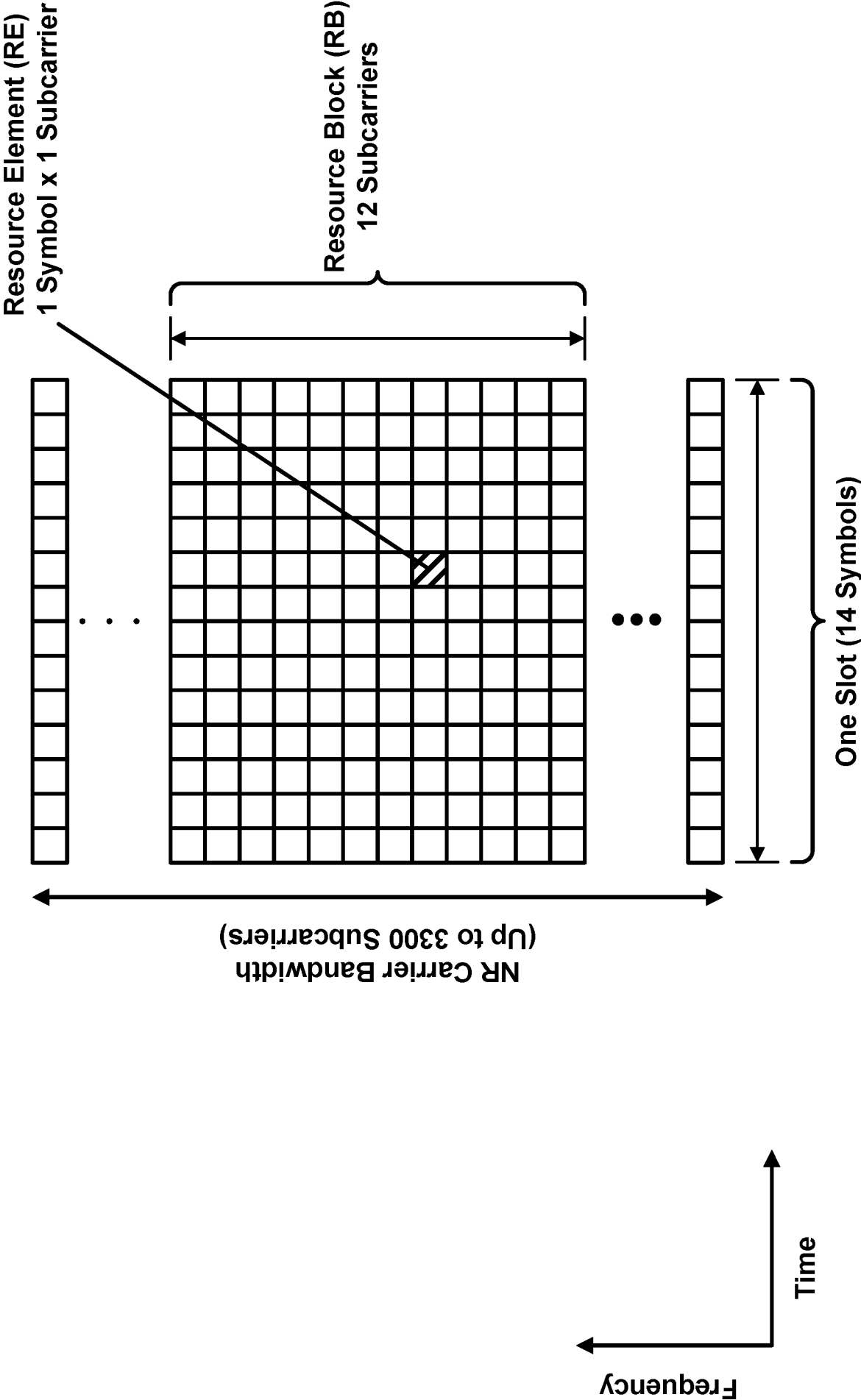


FIG. 8

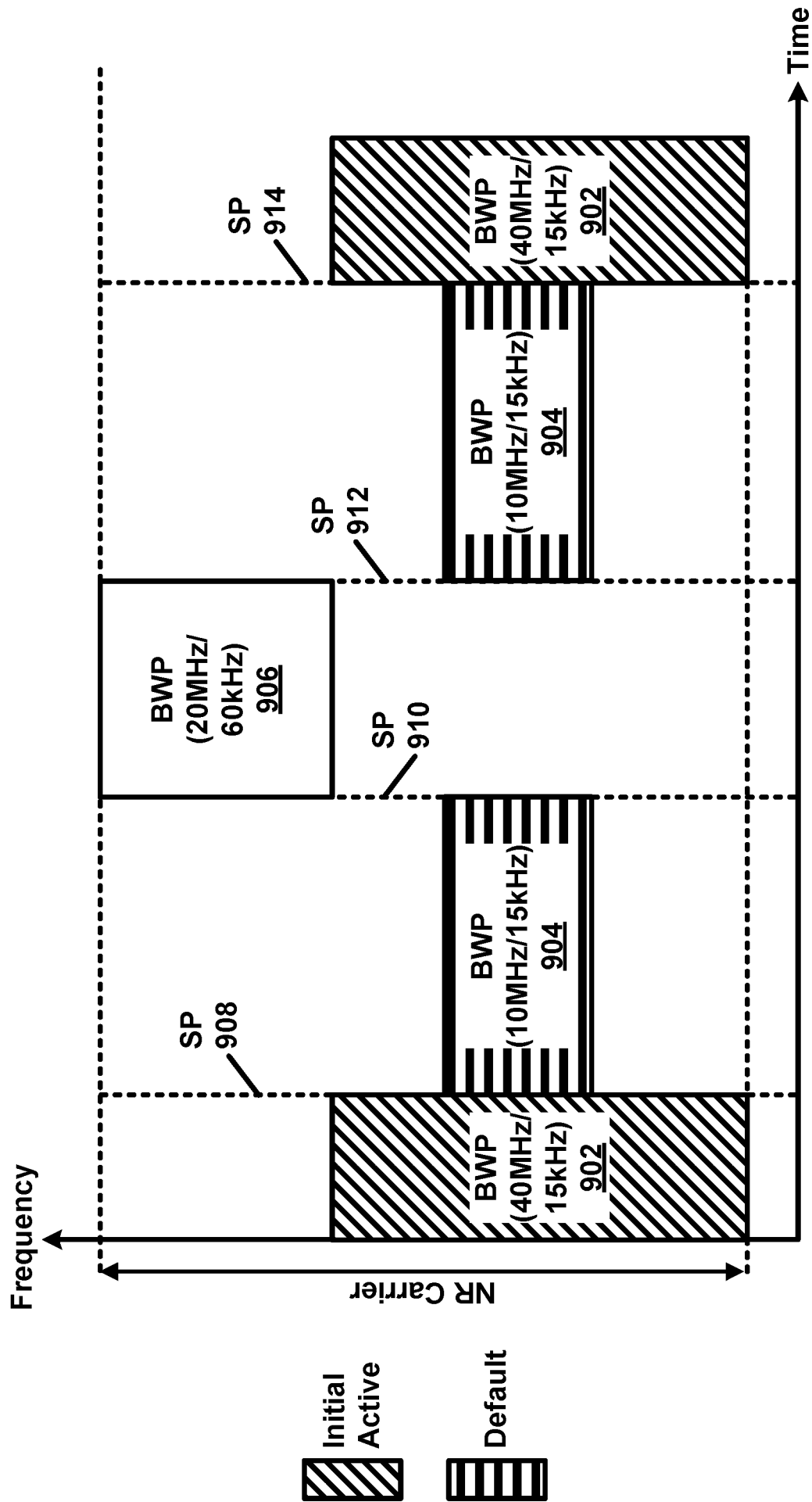


FIG. 9

10/39

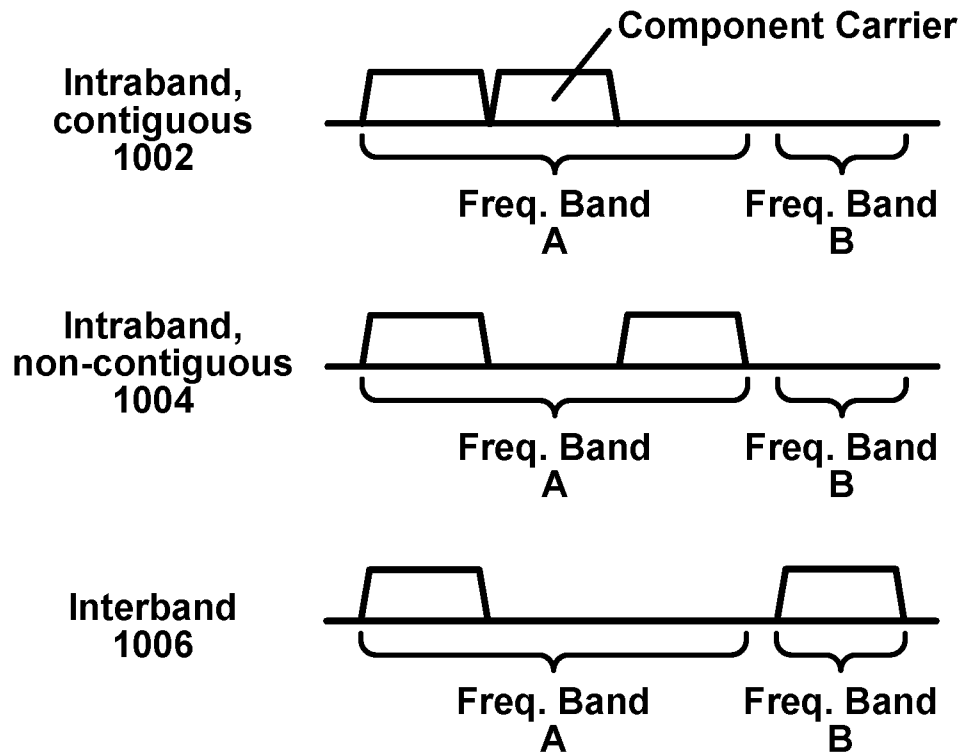


FIG. 10A

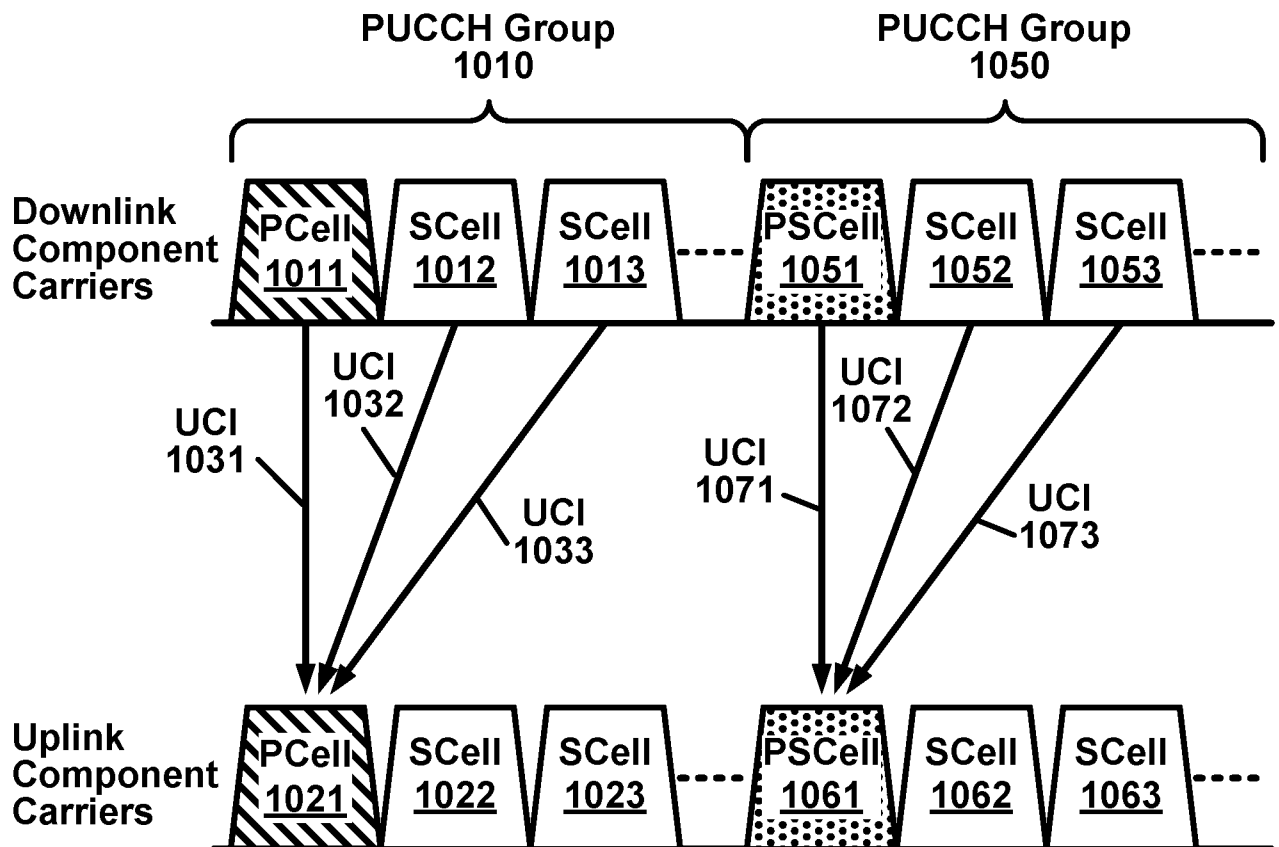


FIG. 10B

11/39

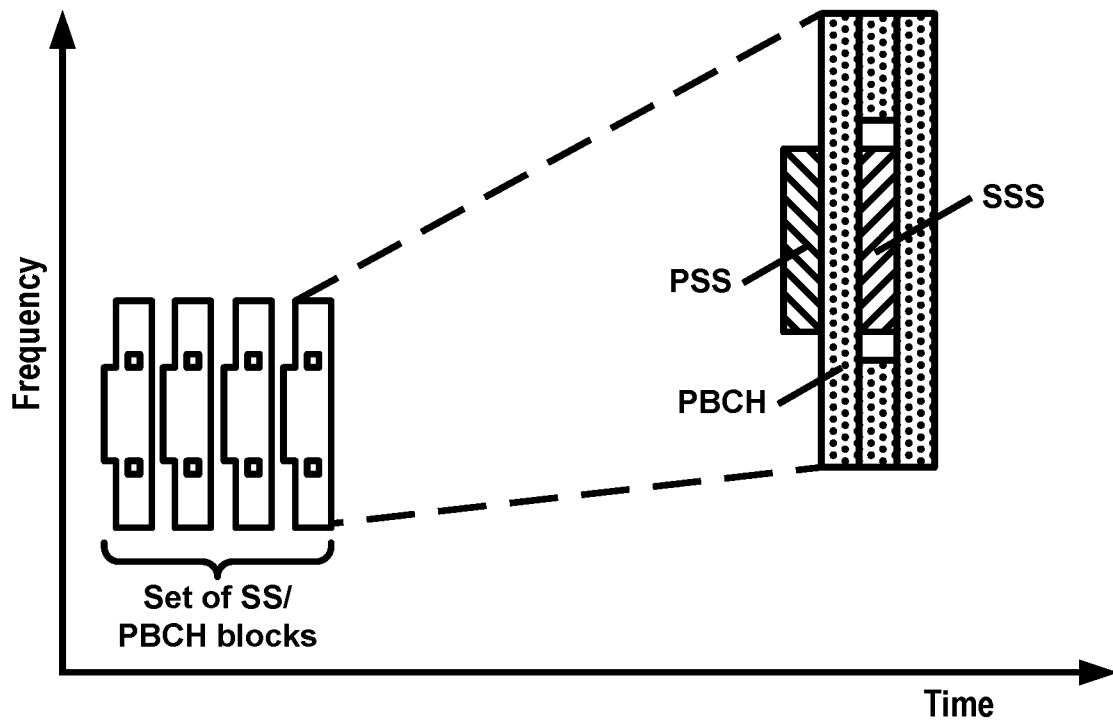


FIG. 11A

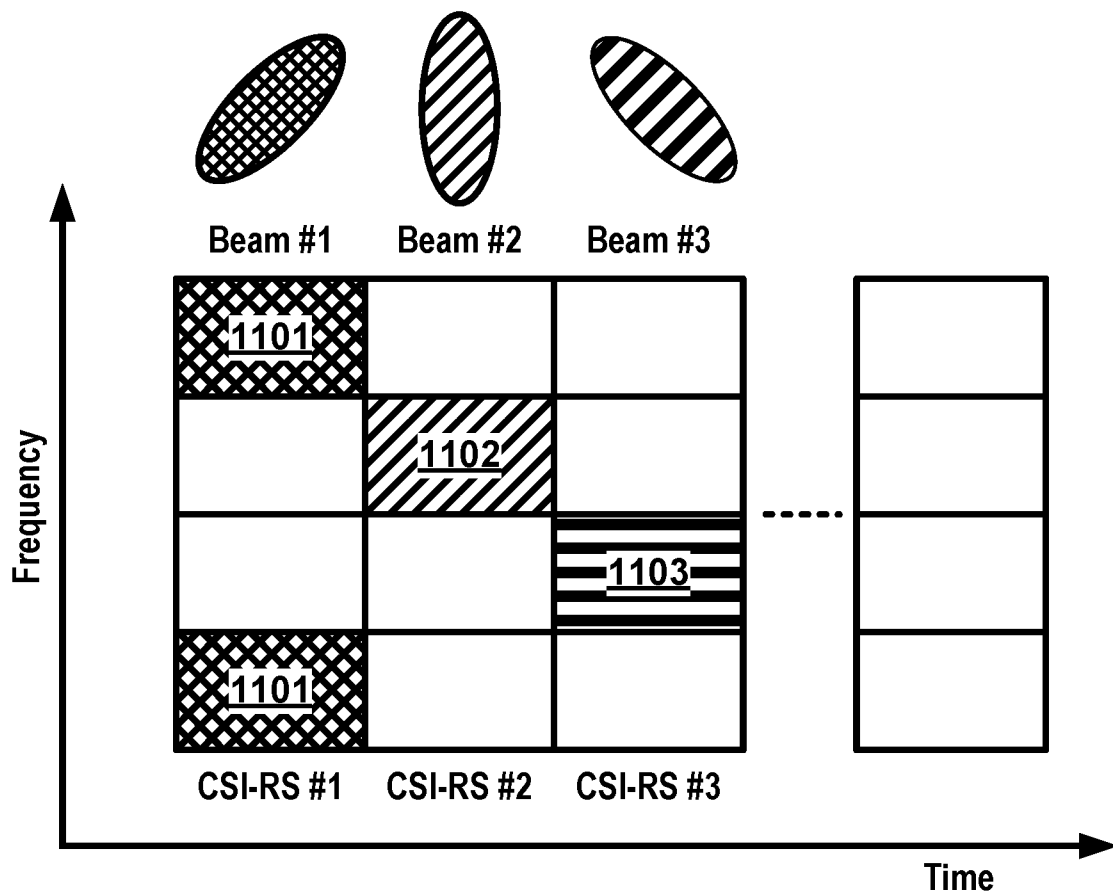


FIG. 11B

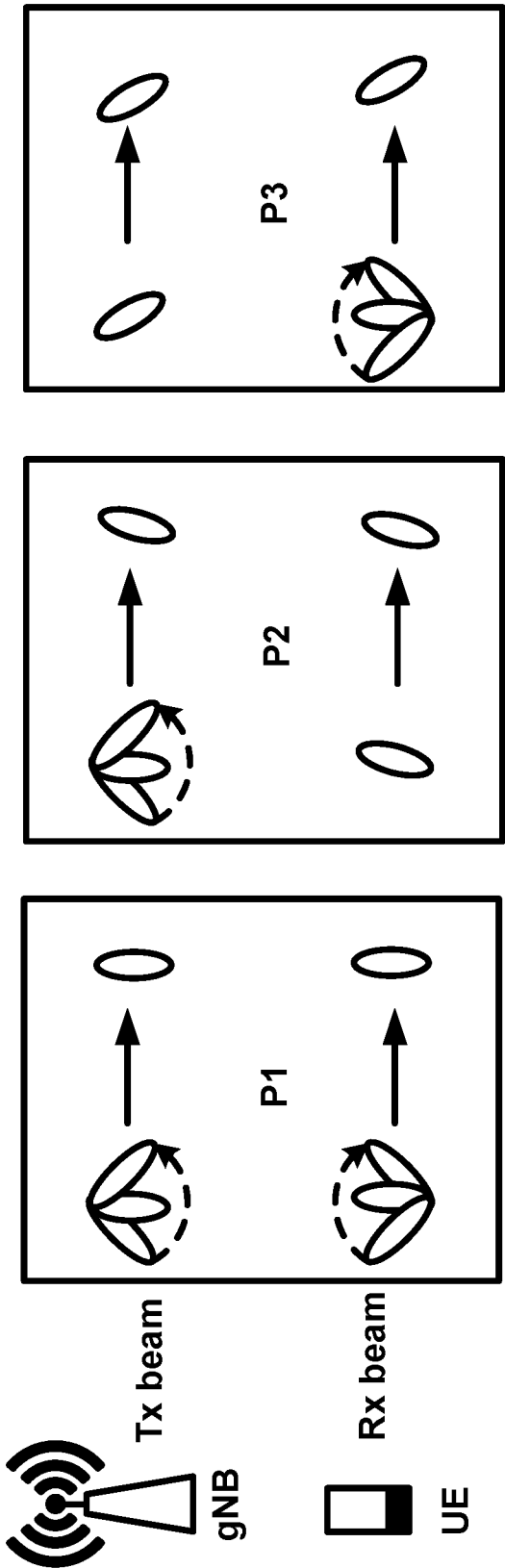


FIG. 12A

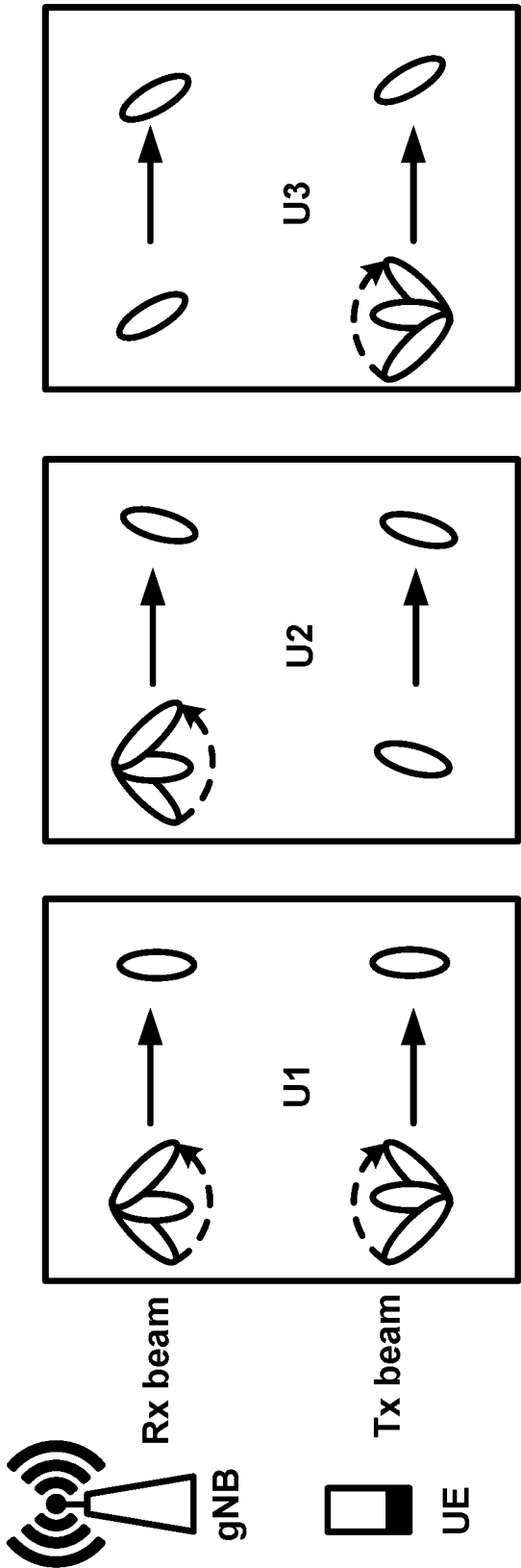


FIG. 12B

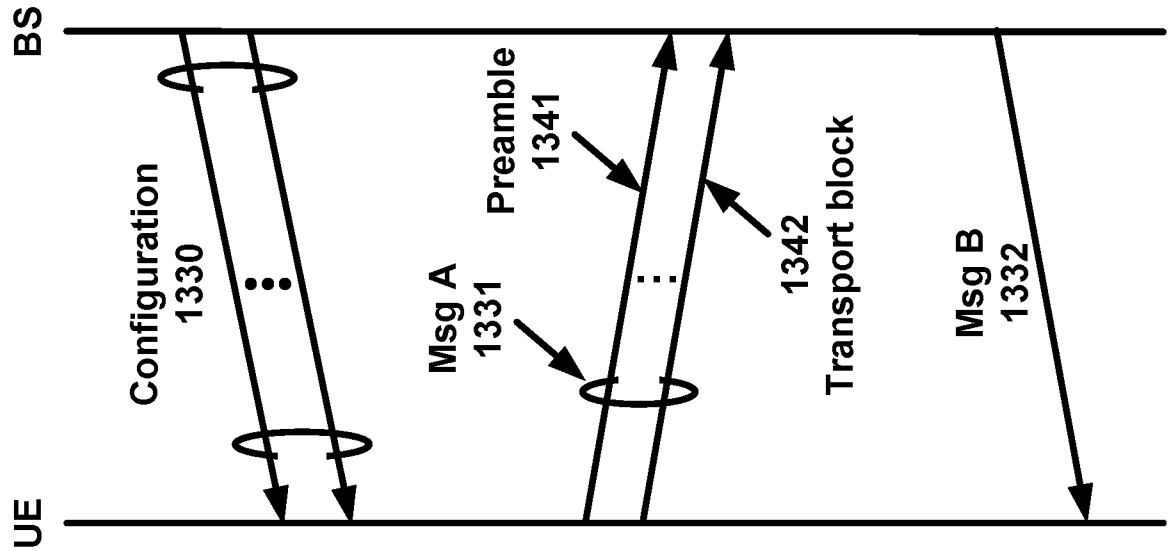


FIG. 13C

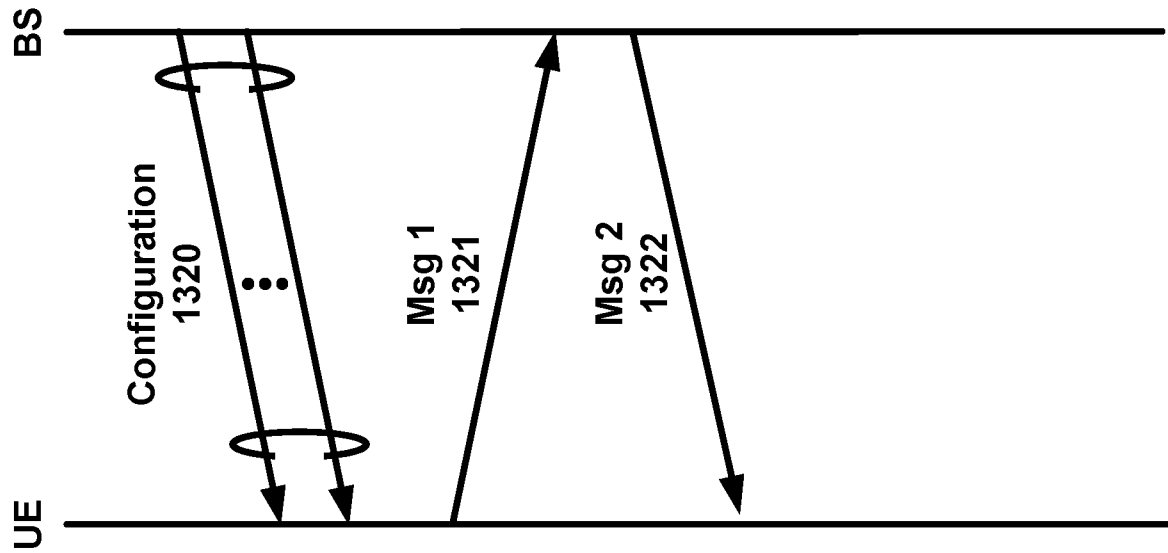


FIG. 13B

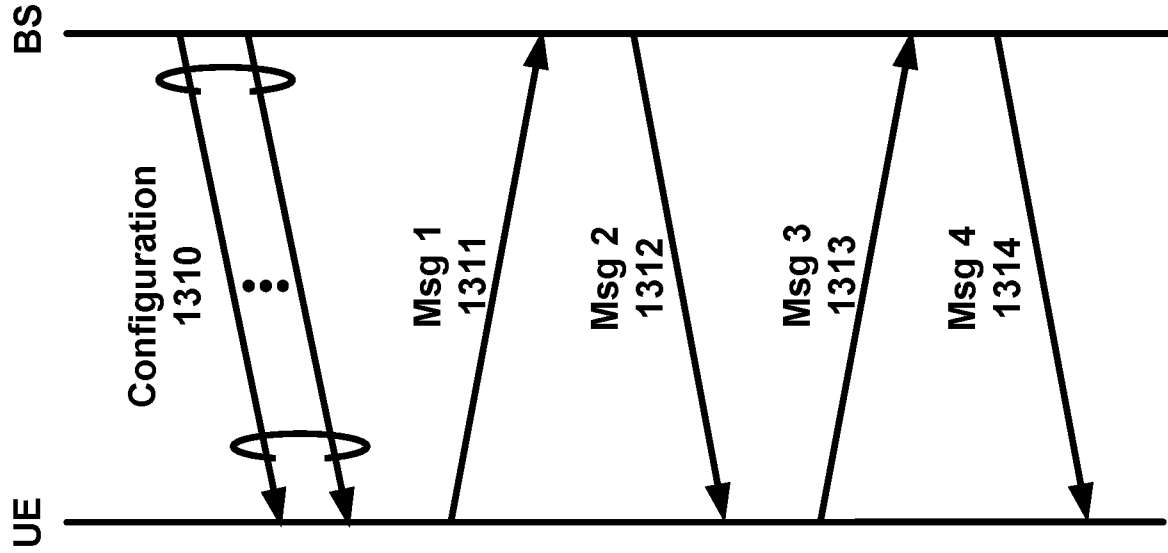


FIG. 13A

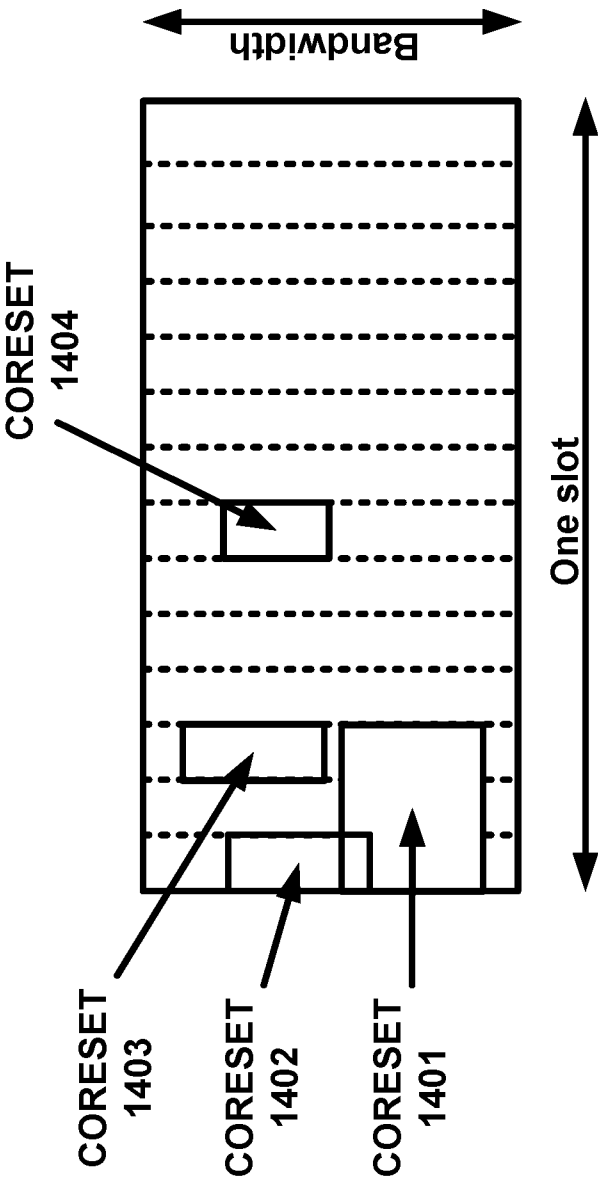


FIG. 14A

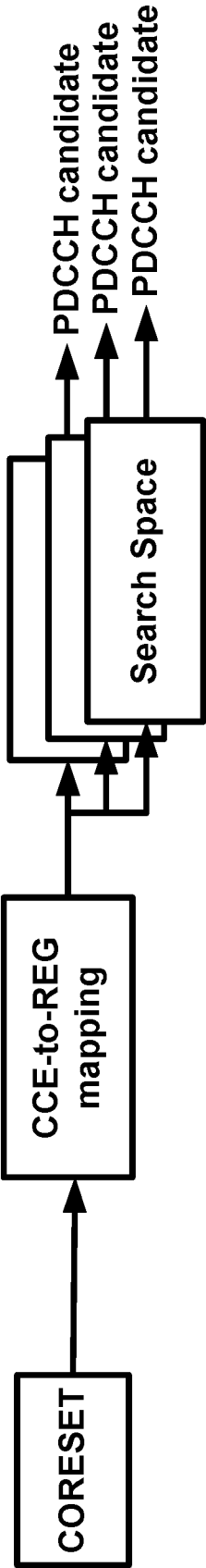


FIG. 14B

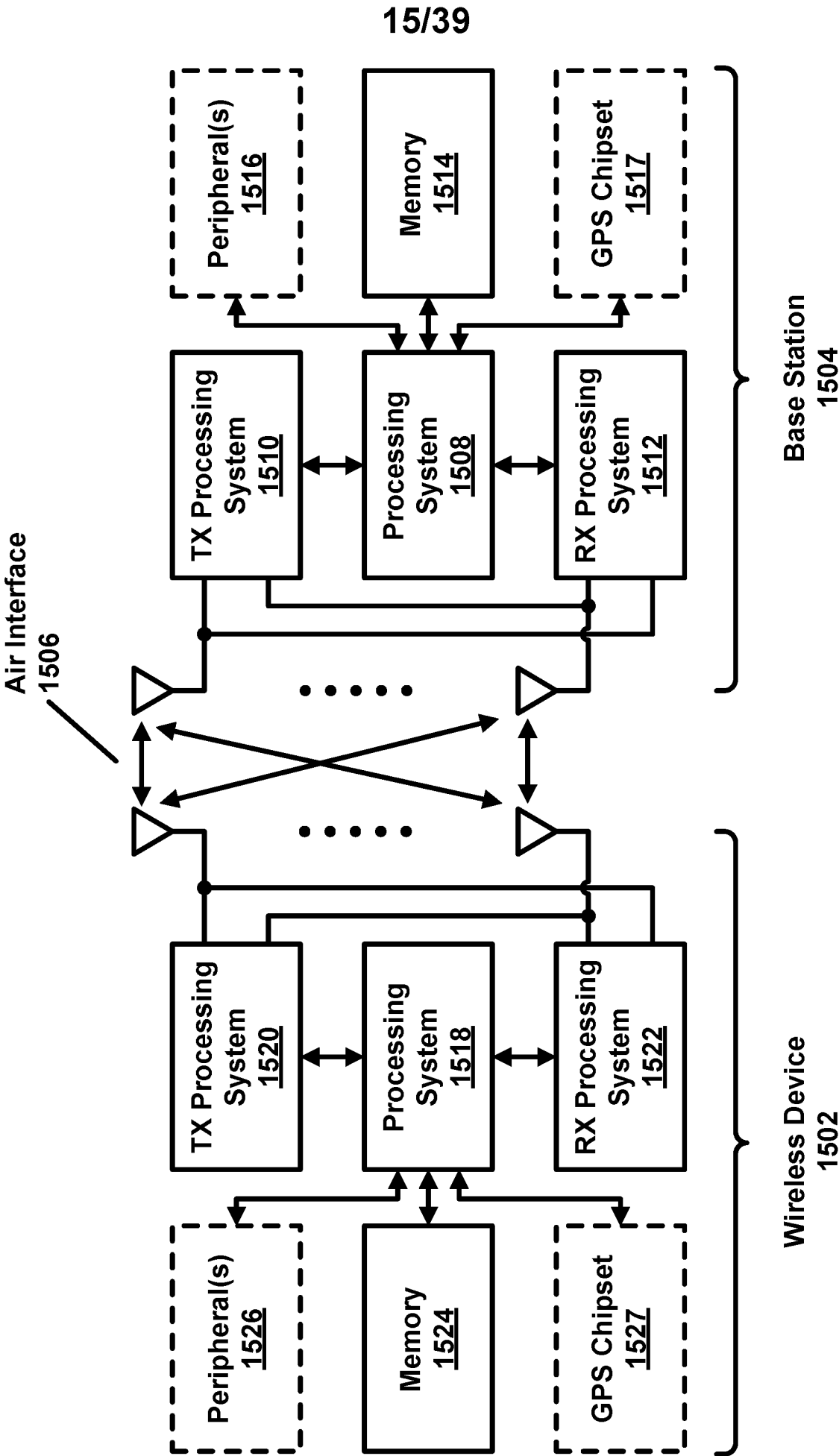


FIG. 15

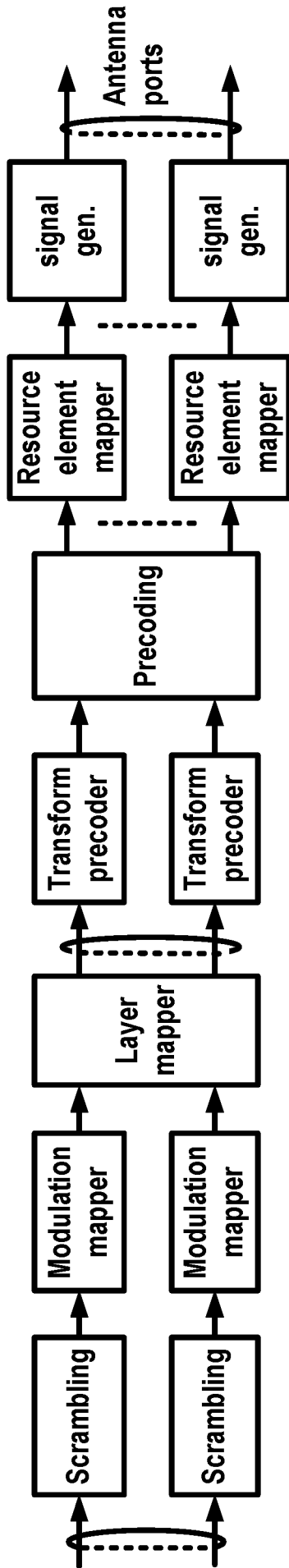


FIG. 16A

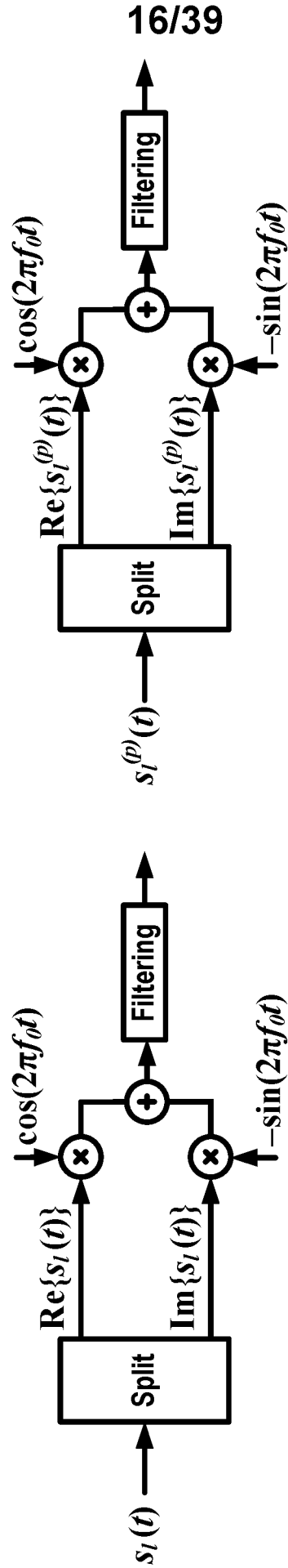


FIG. 16B

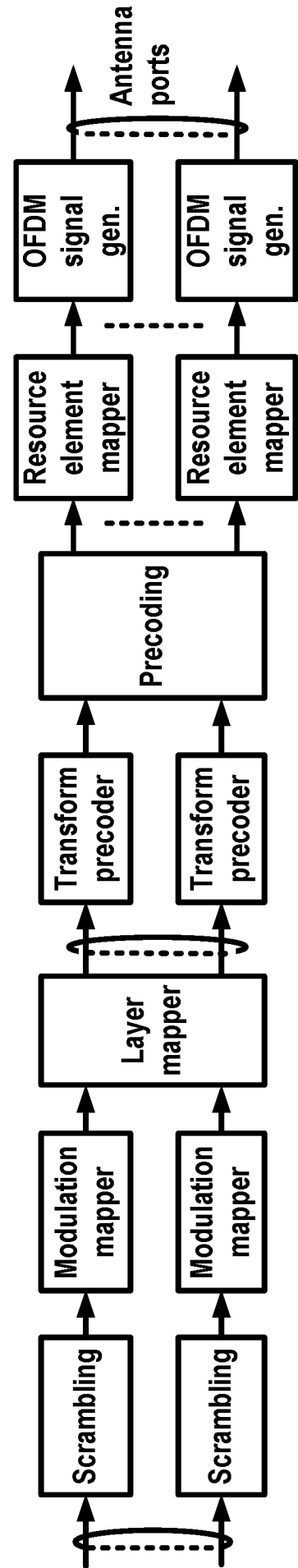


FIG. 16C

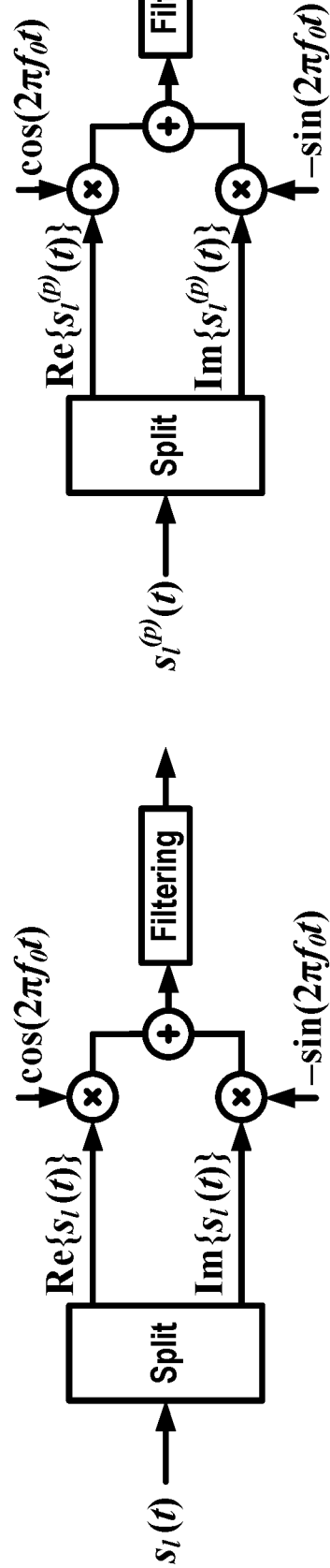


FIG. 16D

17/39

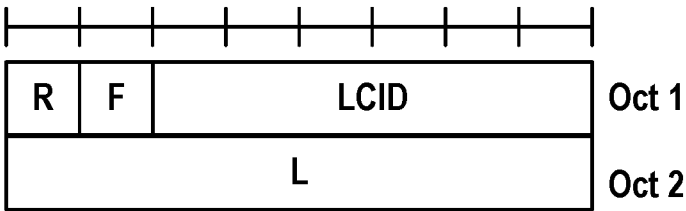


FIG. 17A

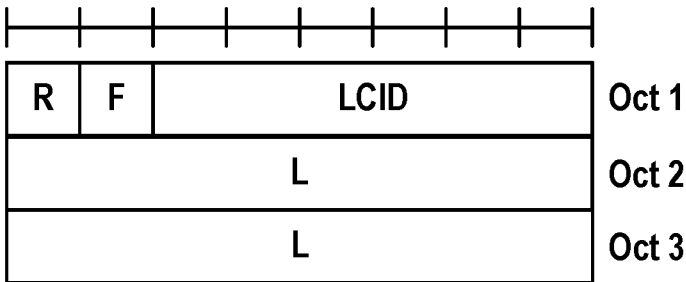


FIG. 17B

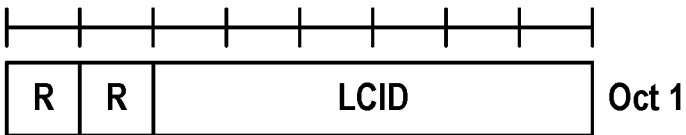


FIG. 17C

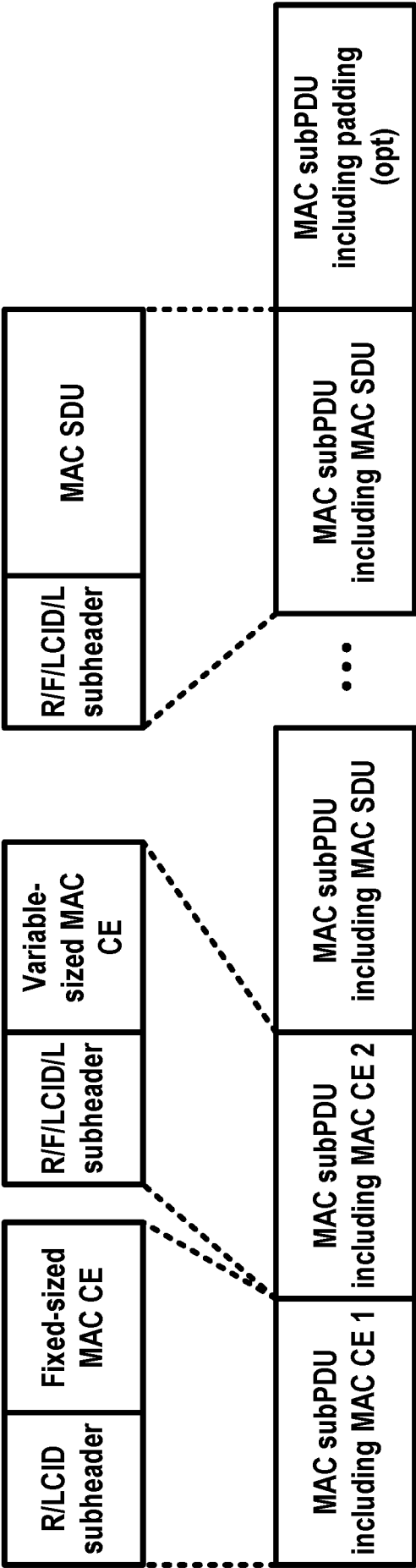


FIG. 18A

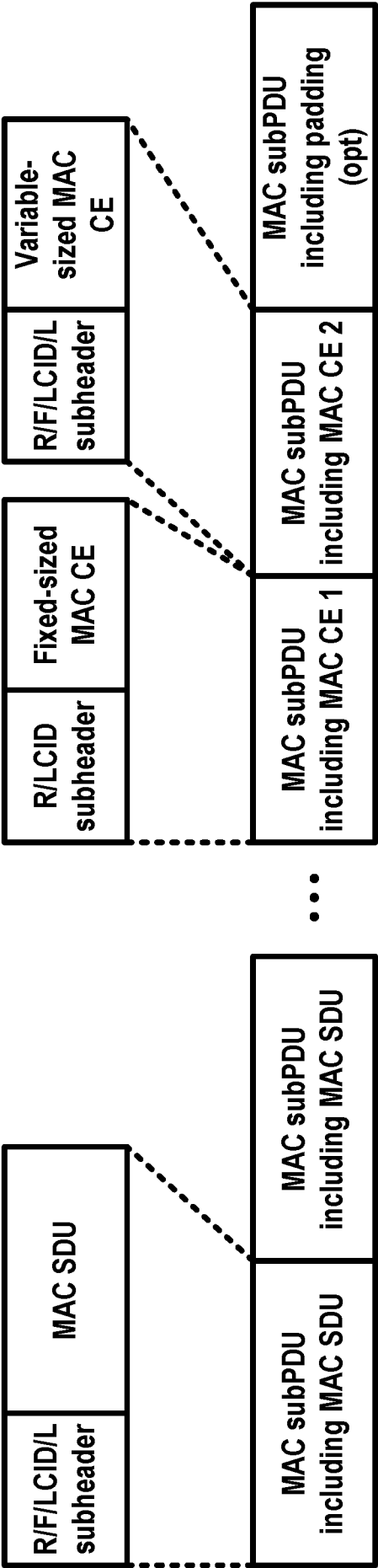


FIG. 18B

19/39

Index	LCID values
000000	CCCH
000001-100000	Identity of a logical channel
100001-101111	Reserved
110000	SP ZP CSI-RS Resource Set Act./Deact.
110001	PUCCH spatial relation Act./Deact.
110010	SP SRS Act./Deact.
110011	SP CSI reporting on PUCCH Act./Deact.
110100	TCI State Indication for UE-specific PDCCH
110101	TCI State Indication for UE-specific PDSCH
110110	Aperiodic CSI Trigger State Subselection
110111	SP CSI-RS/CSI-IM Resource Set Act./Deact.
111000	Duplication Activation/deactivation
111001	SCell activation/deactivation (4 Octet)
111010	SCell activation/deactivation (1 Octet)
111011	Long DRX Command
111100	DRX Command
111101	Timing Advance Command
111110	UE Contention Resolution Identity
111111	Padding

FIG. 19

20/39

Index	LCID values
000000	CCCH
000001-100000	Identity of a logical channel
100001-110110	Reserved
110111	Configured Grant Confirmation
111000	Multiple Entry PHR
111001	Single Entry PHR
111010	C-RNTI
111011	Short Truncated BSR
111100	Long Truncated BSR
111101	Short BSR
111110	Long BSR
111111	Padding

FIG. 20

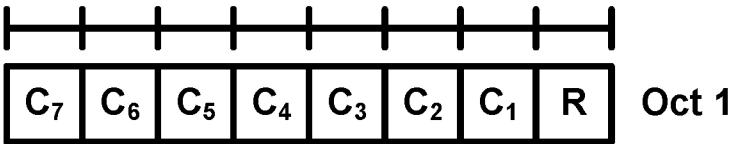


FIG. 21A

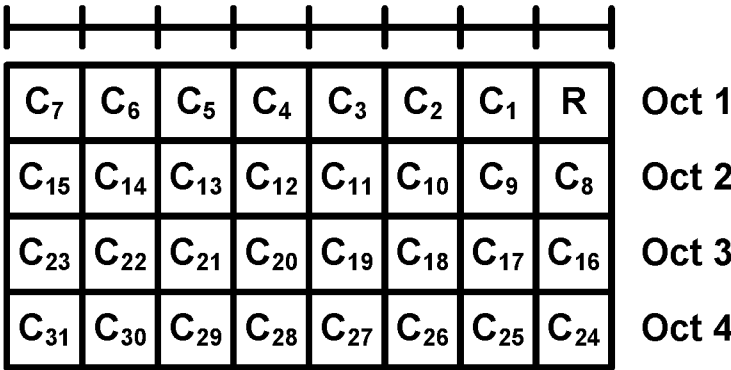


FIG. 21B

22/39

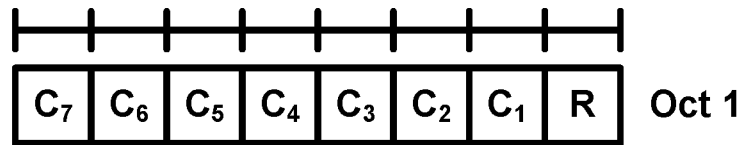


FIG. 22A

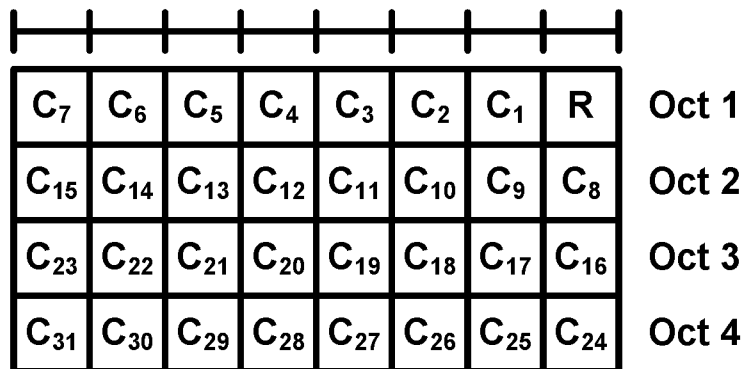


FIG. 22B

Hibernation MAC CE C_i	Activation/ Deactivation MAC CE C_i	SCell may be
0	0	Deactivated
0	1	Activated
1	0	Reserved MAC CE combination
1	1	Dormant

FIG. 22C

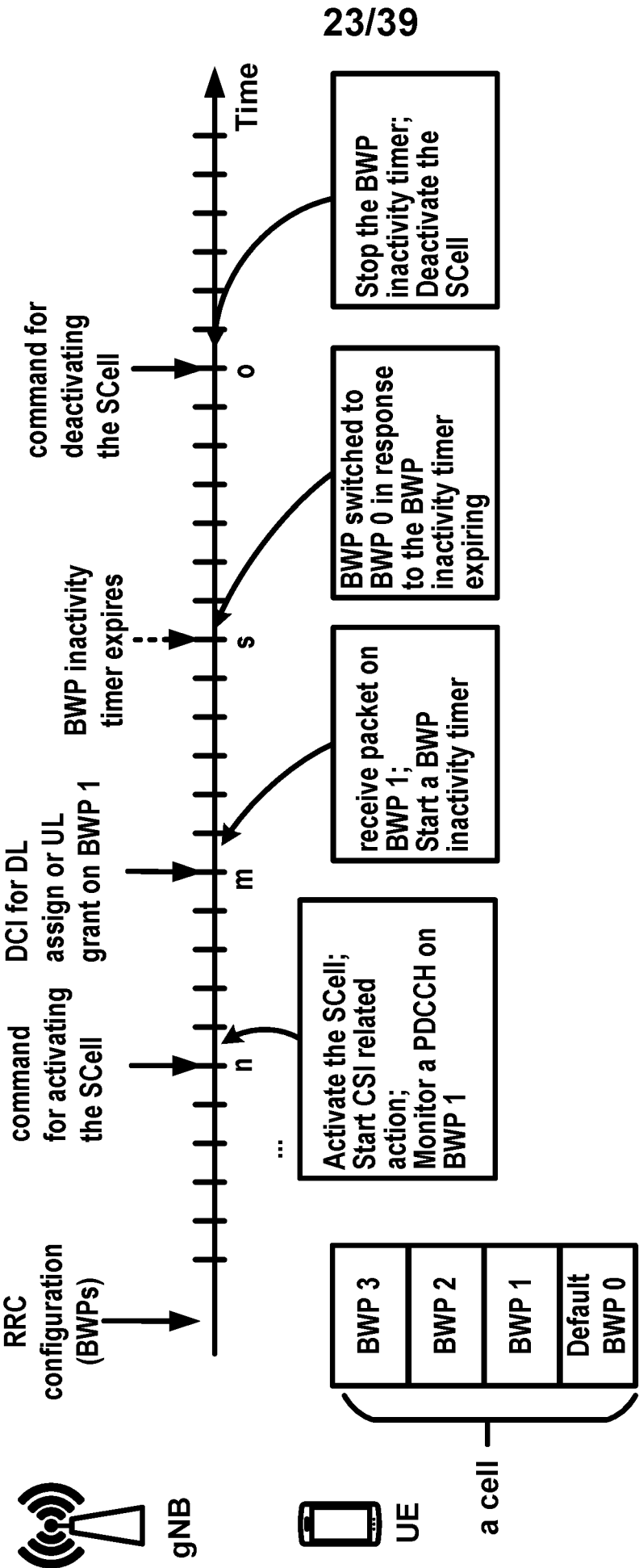


FIG. 23

24/39

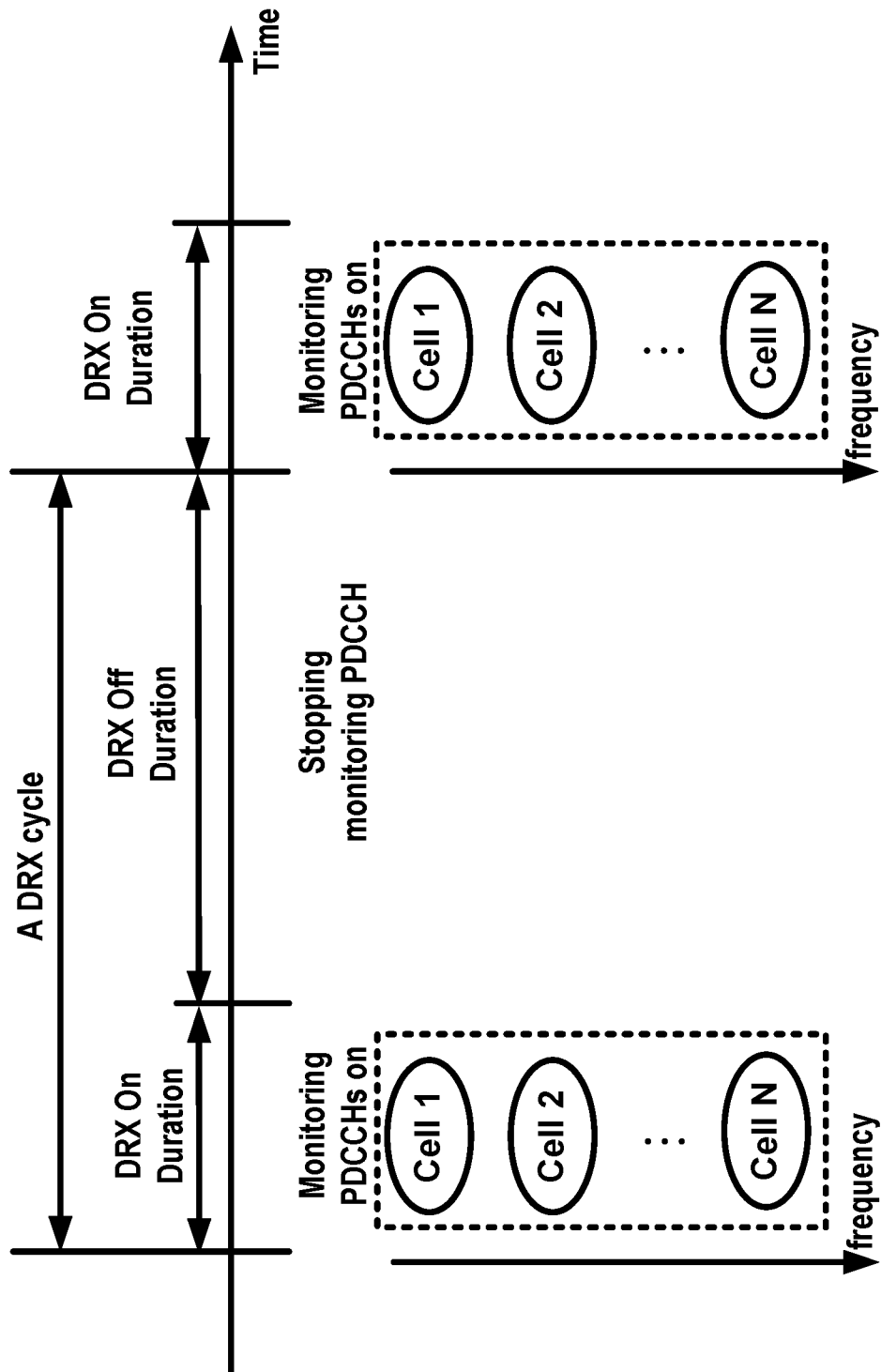


FIG. 24

25/39

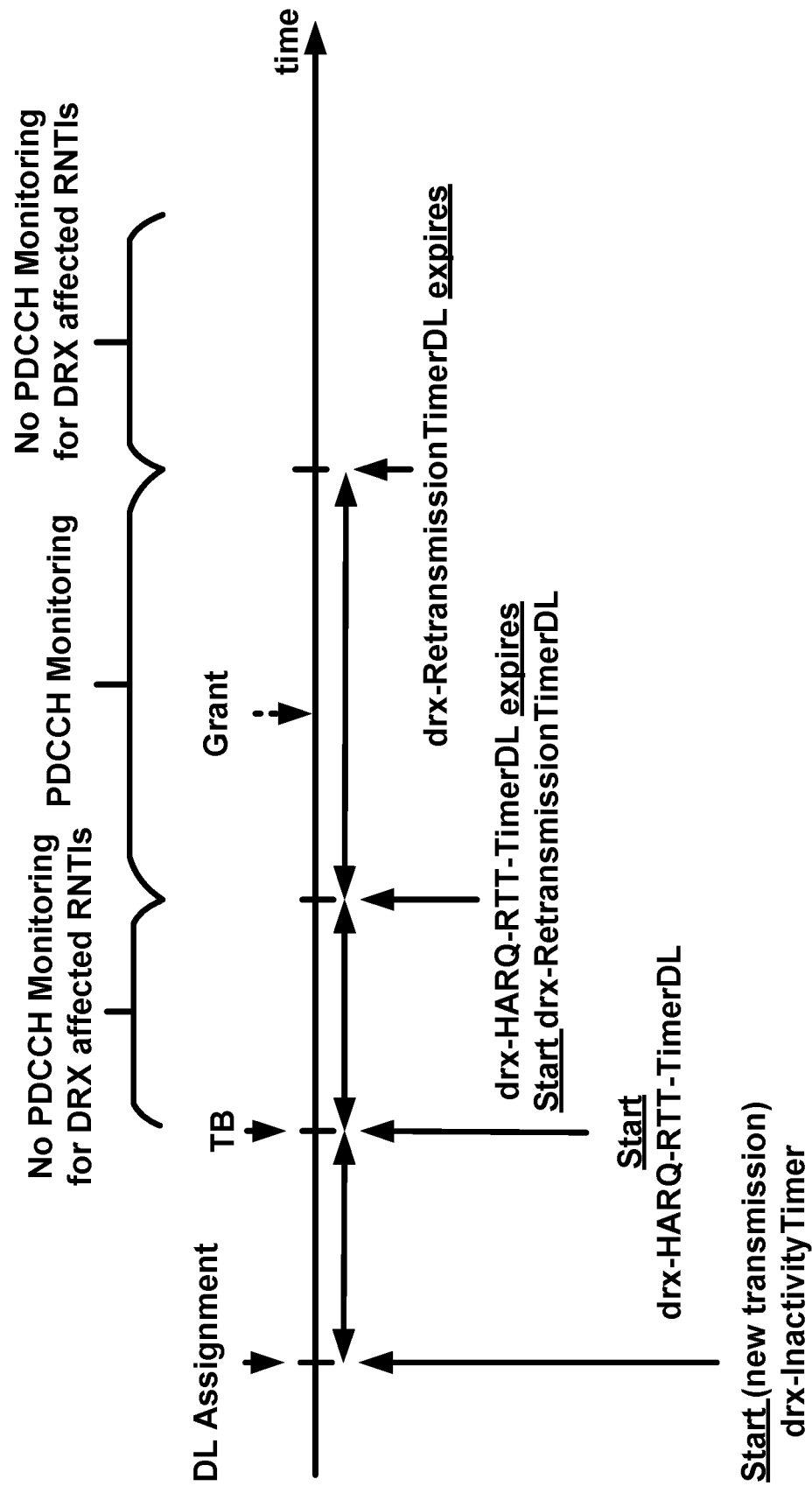


FIG. 25

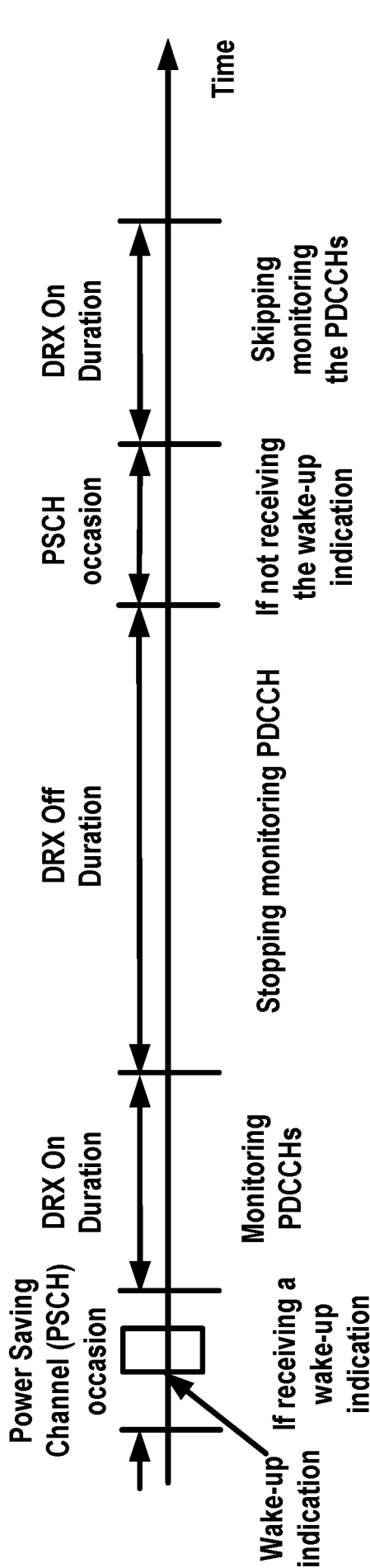


FIG. 26A

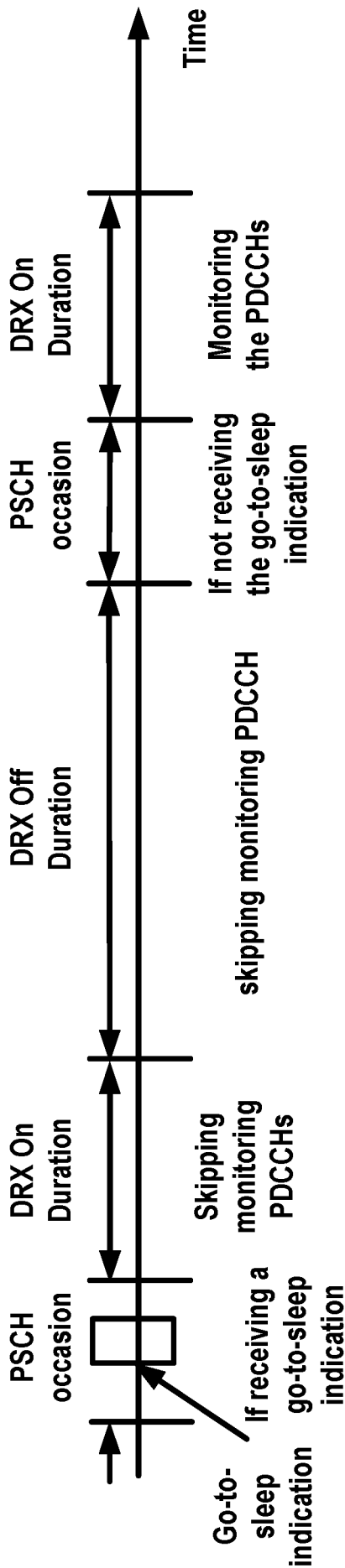


FIG. 26B

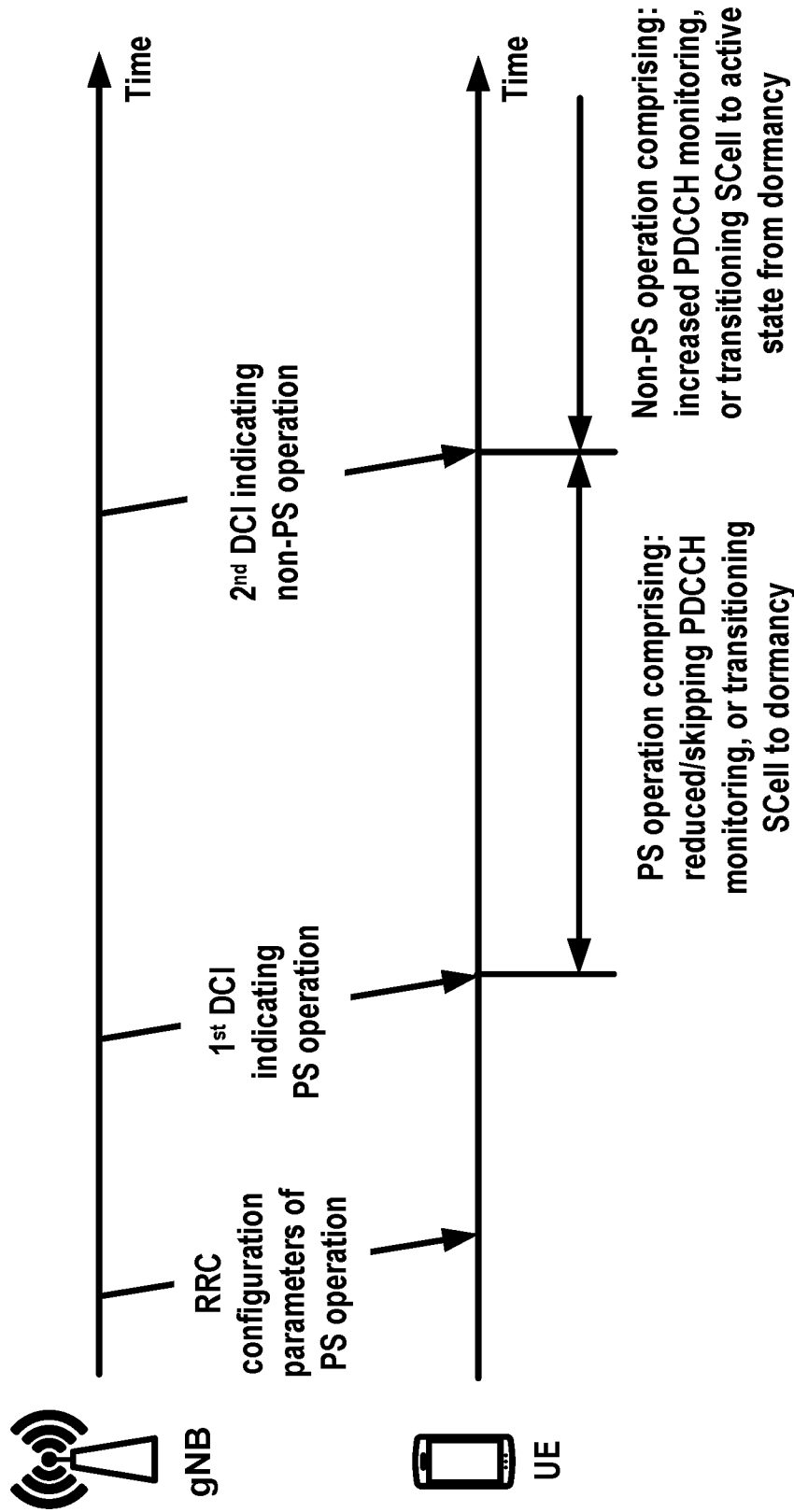


FIG. 27

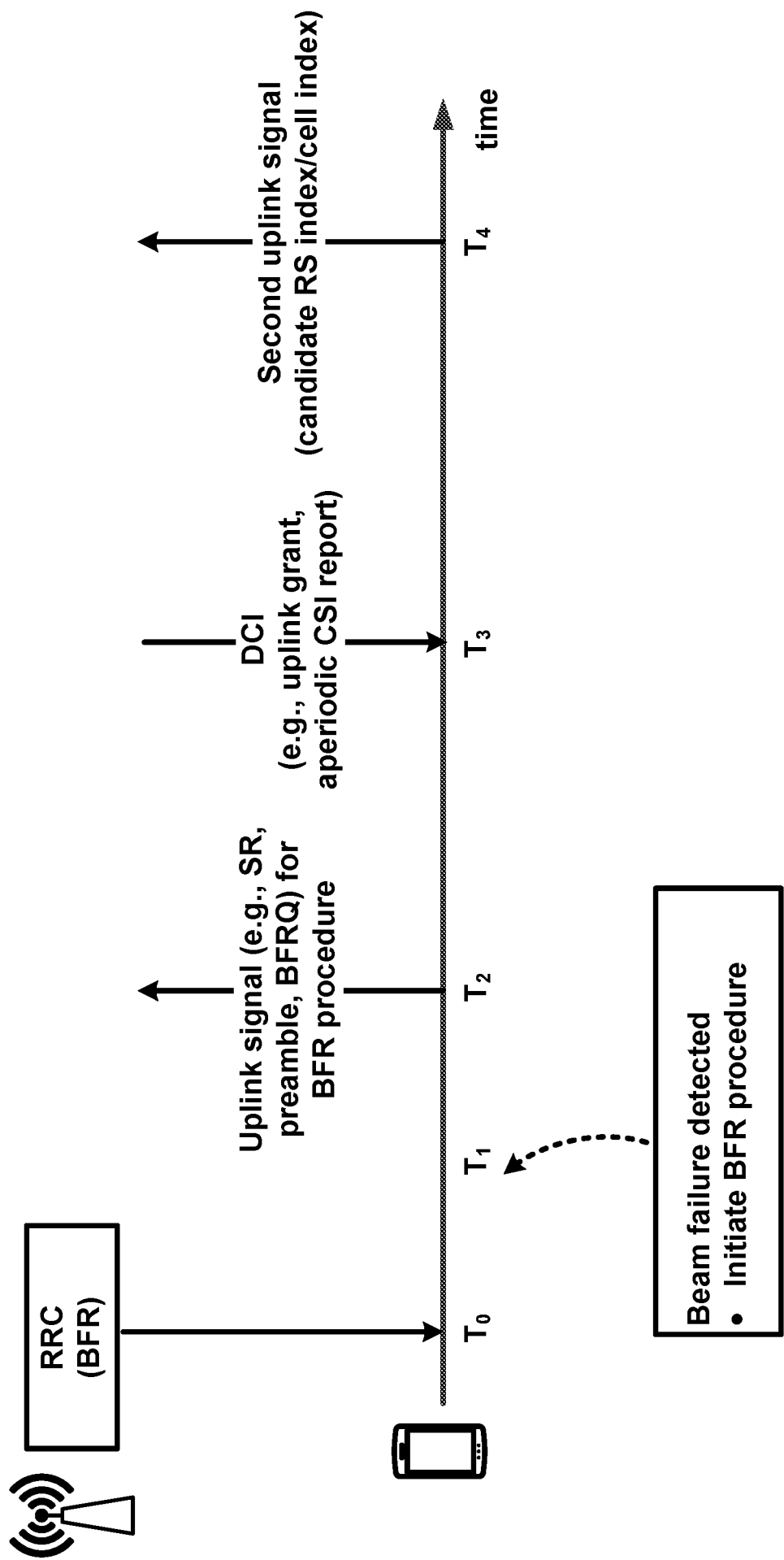


FIG. 28

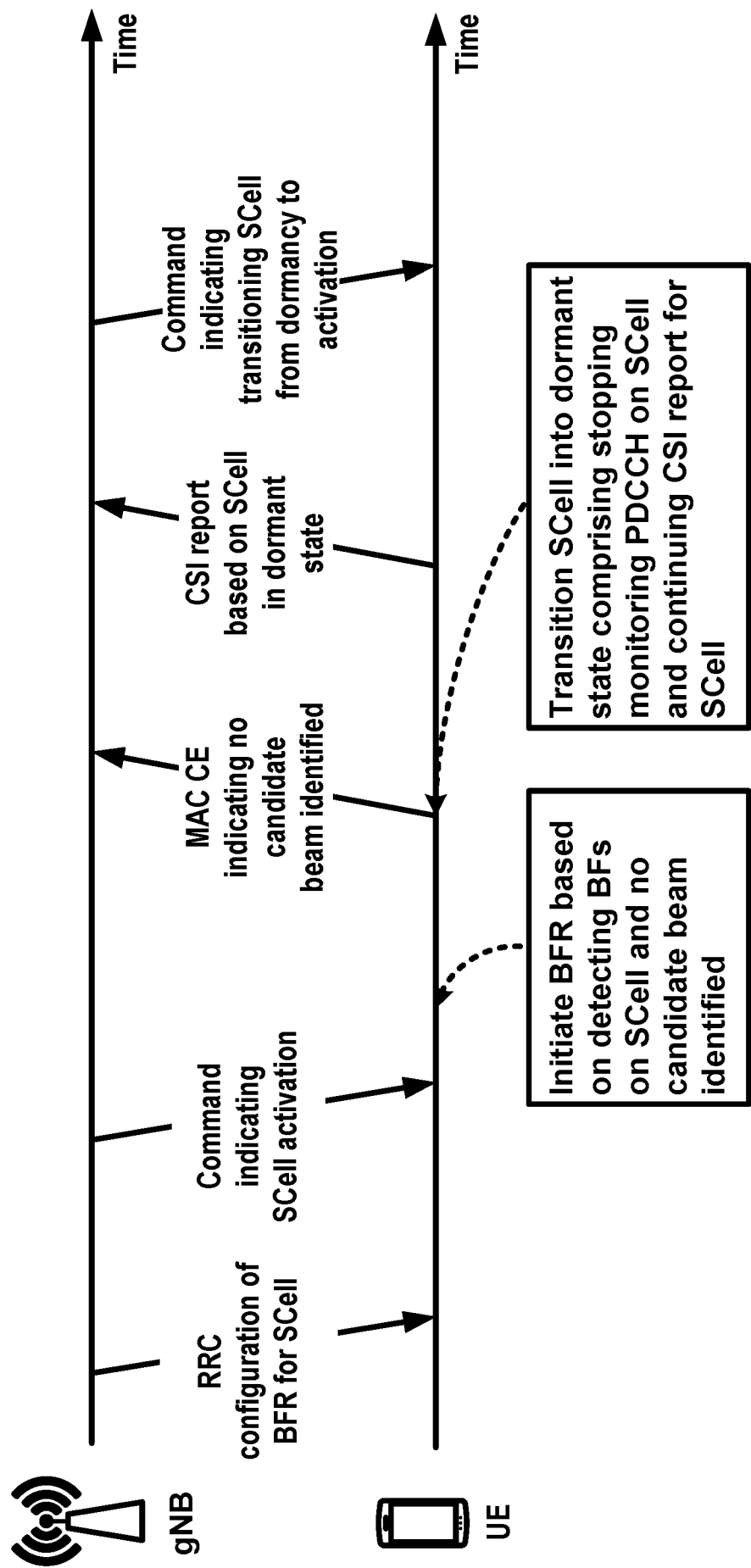


FIG. 29

30/39

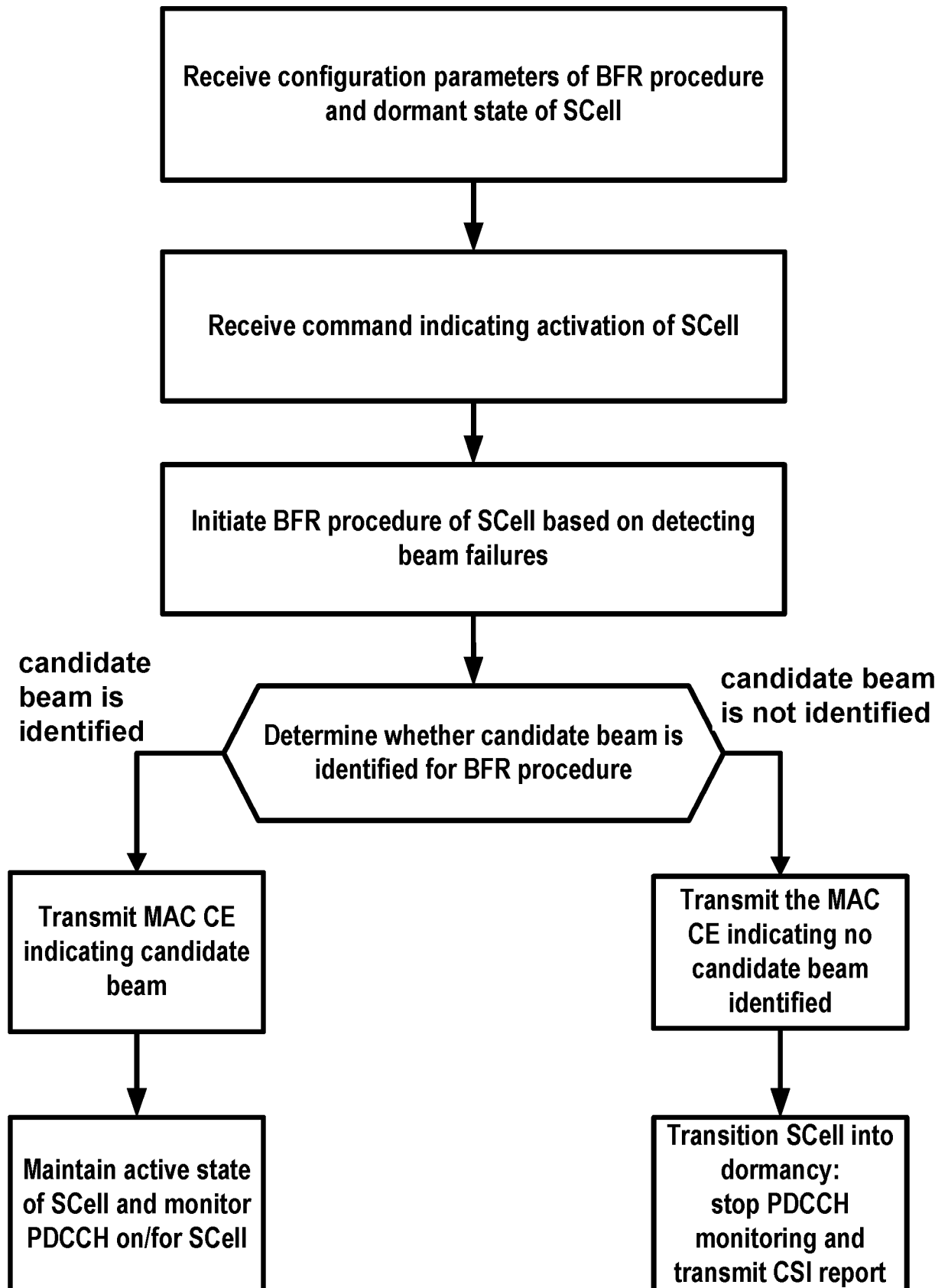


FIG. 30

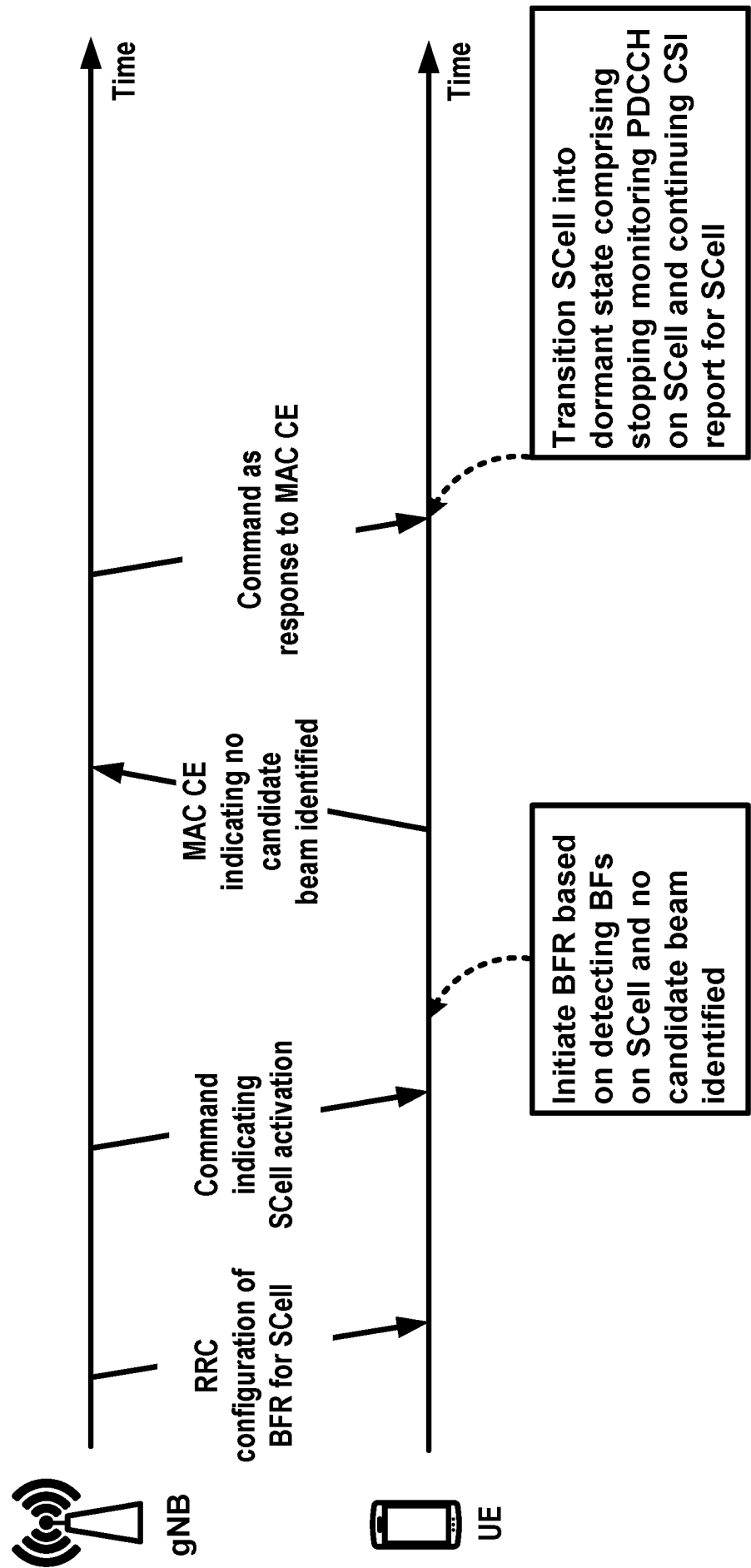


FIG. 31

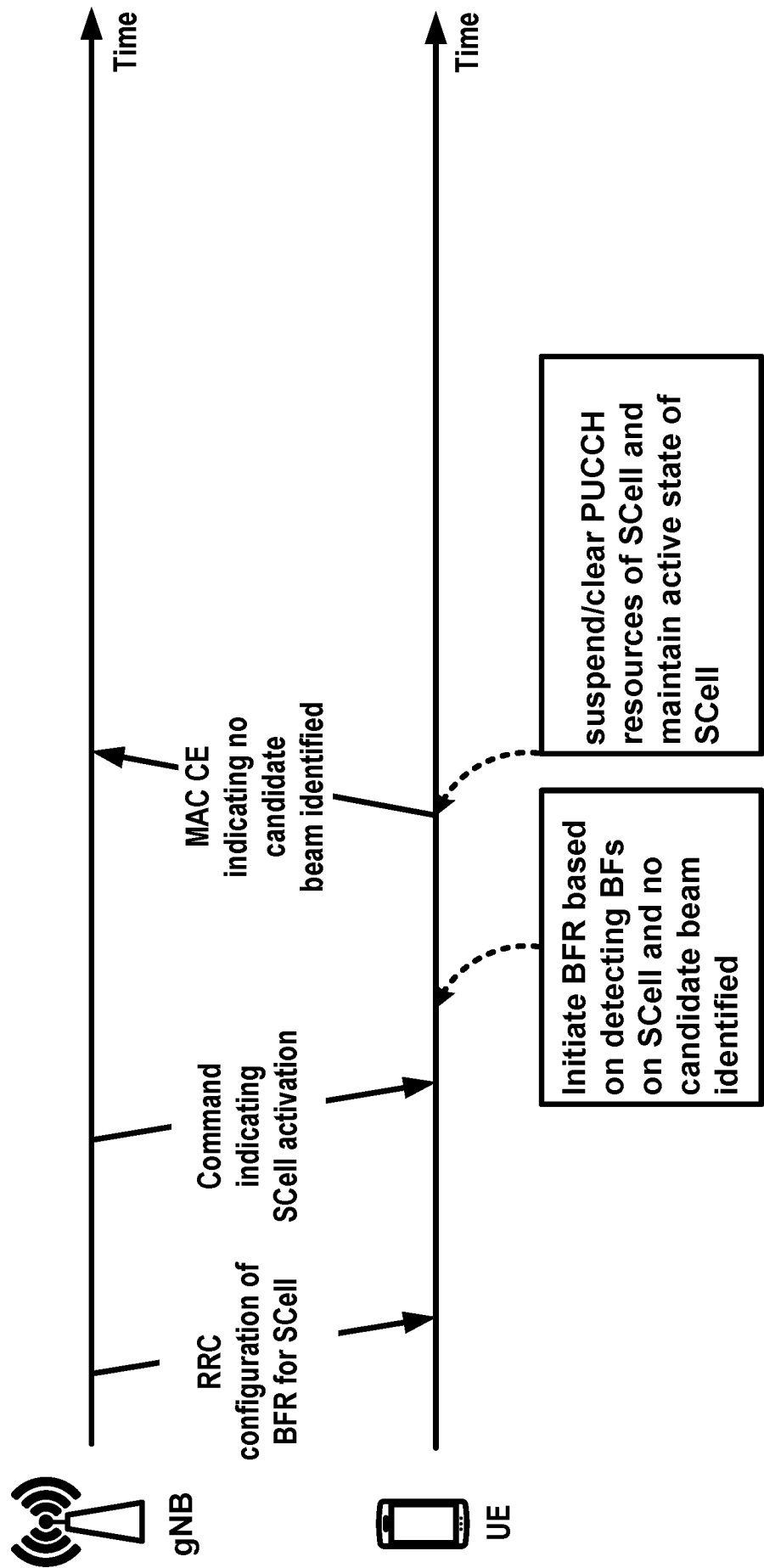
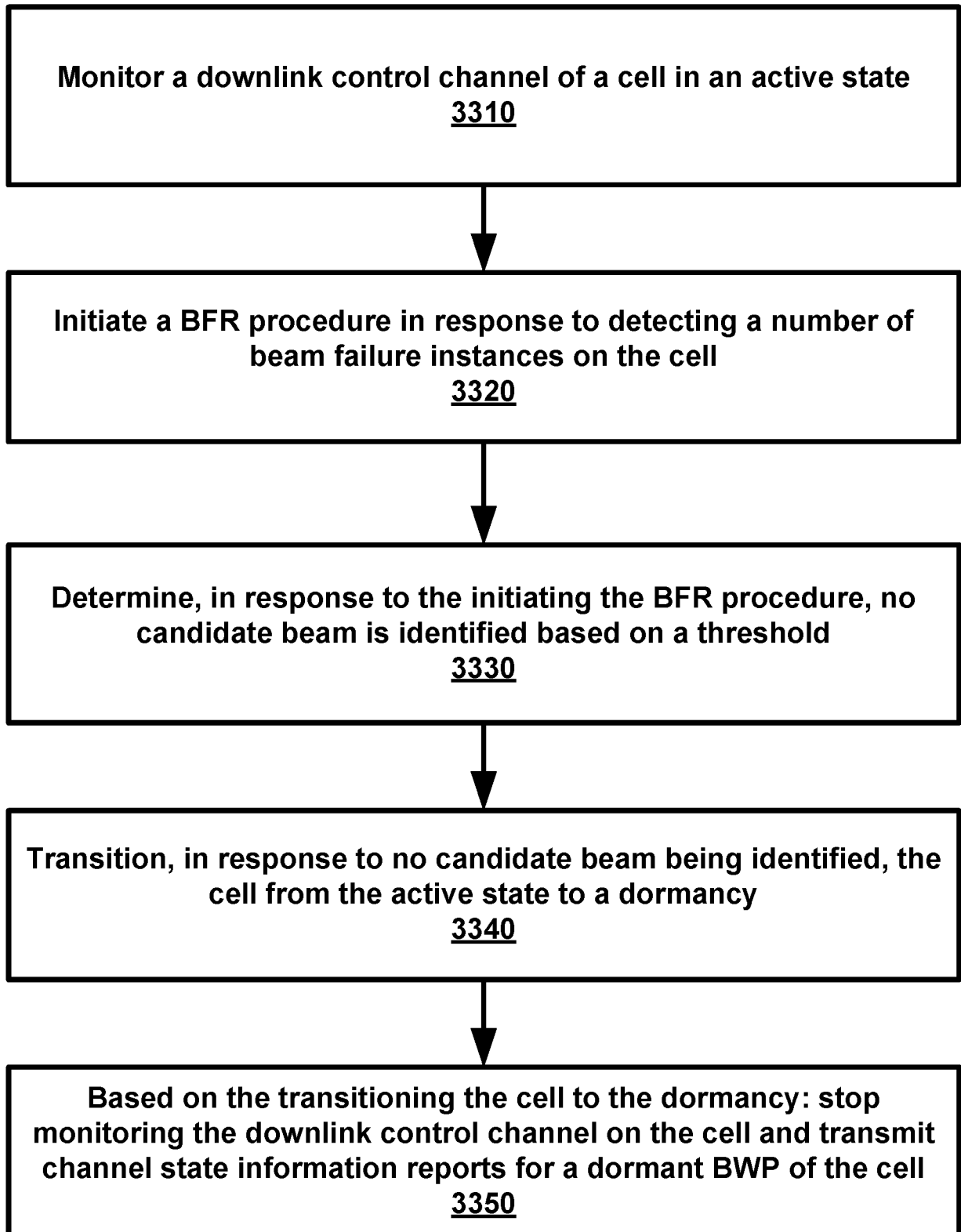


FIG. 32

33/39**FIG. 33**

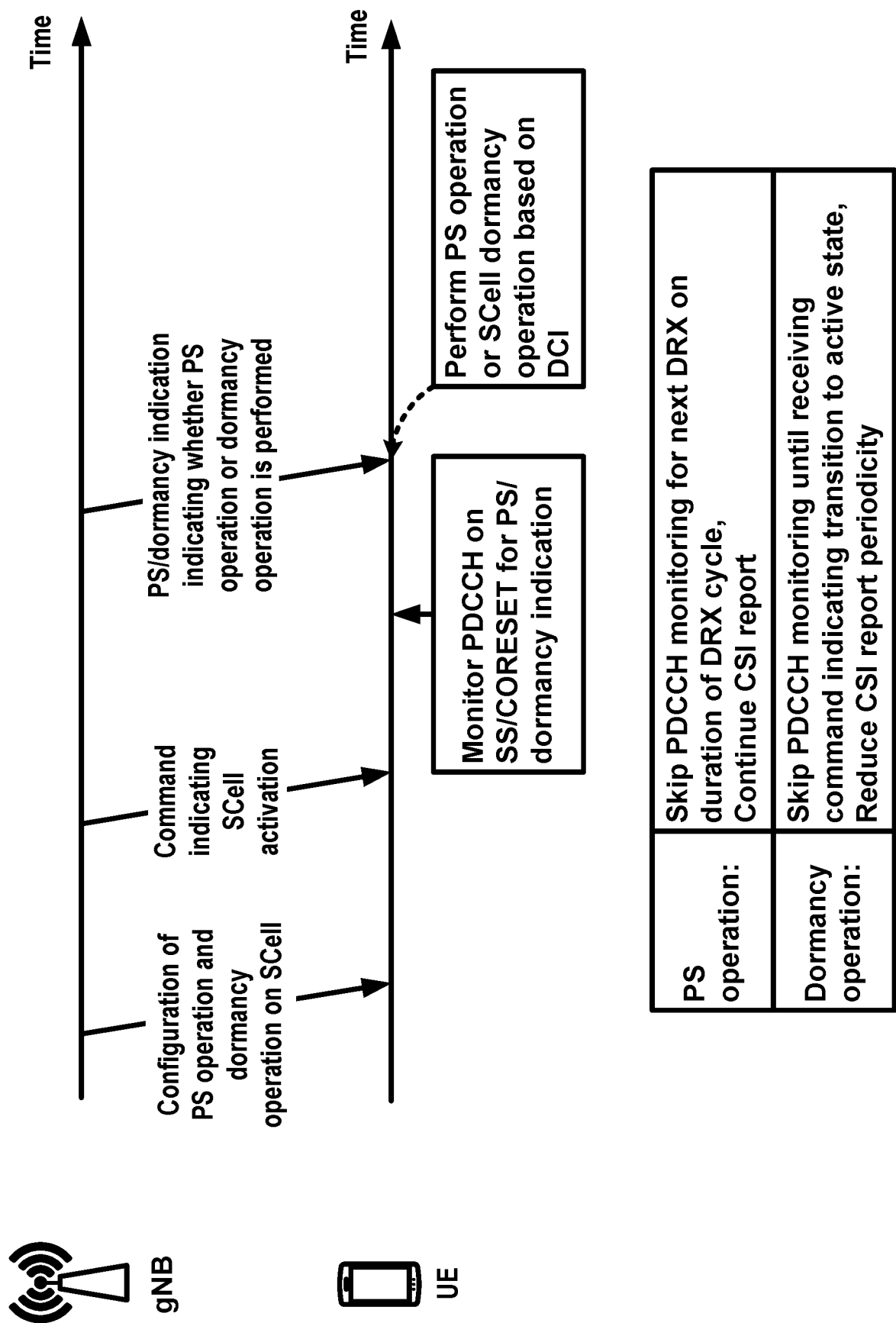
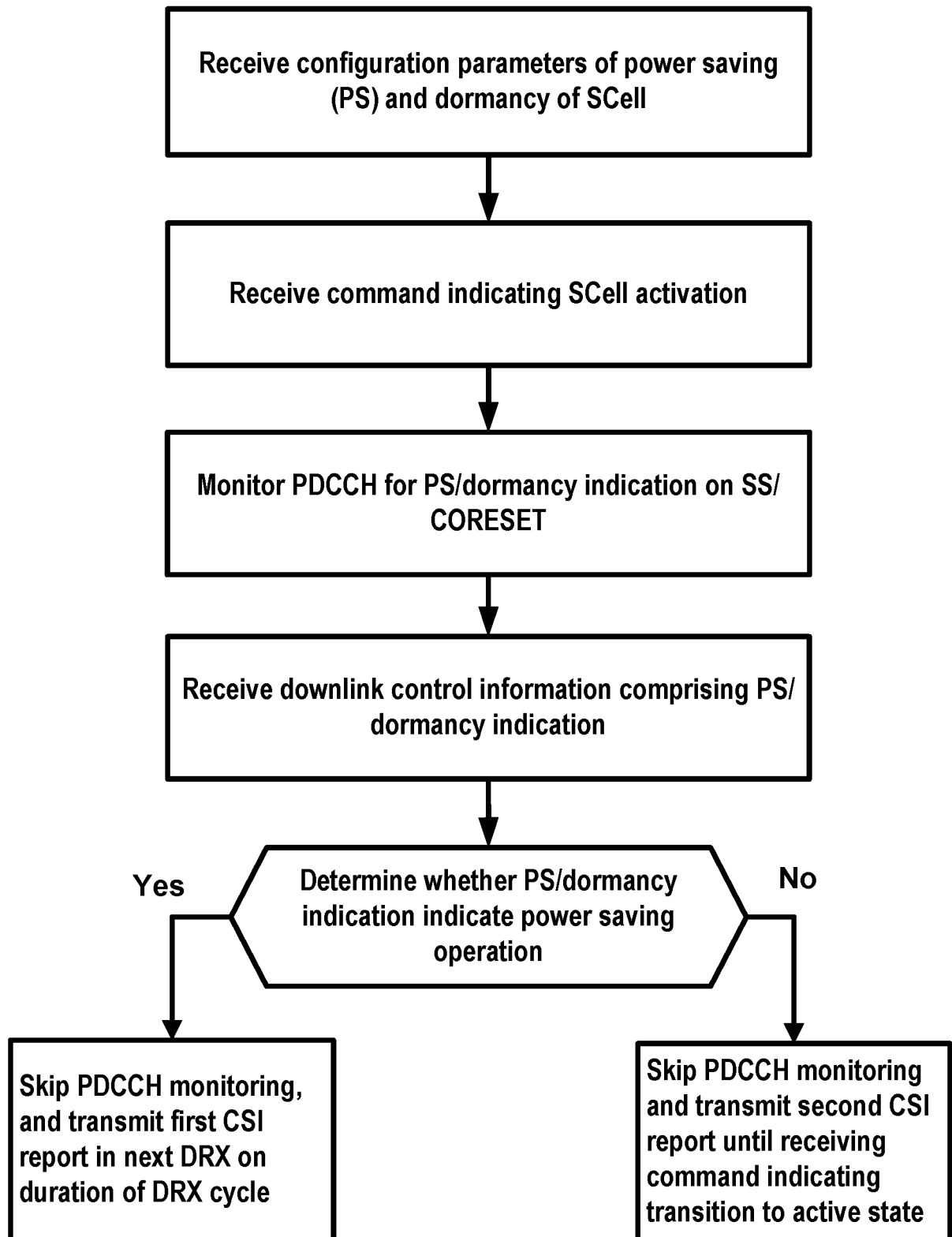


FIG. 34

35/39**FIG. 35**

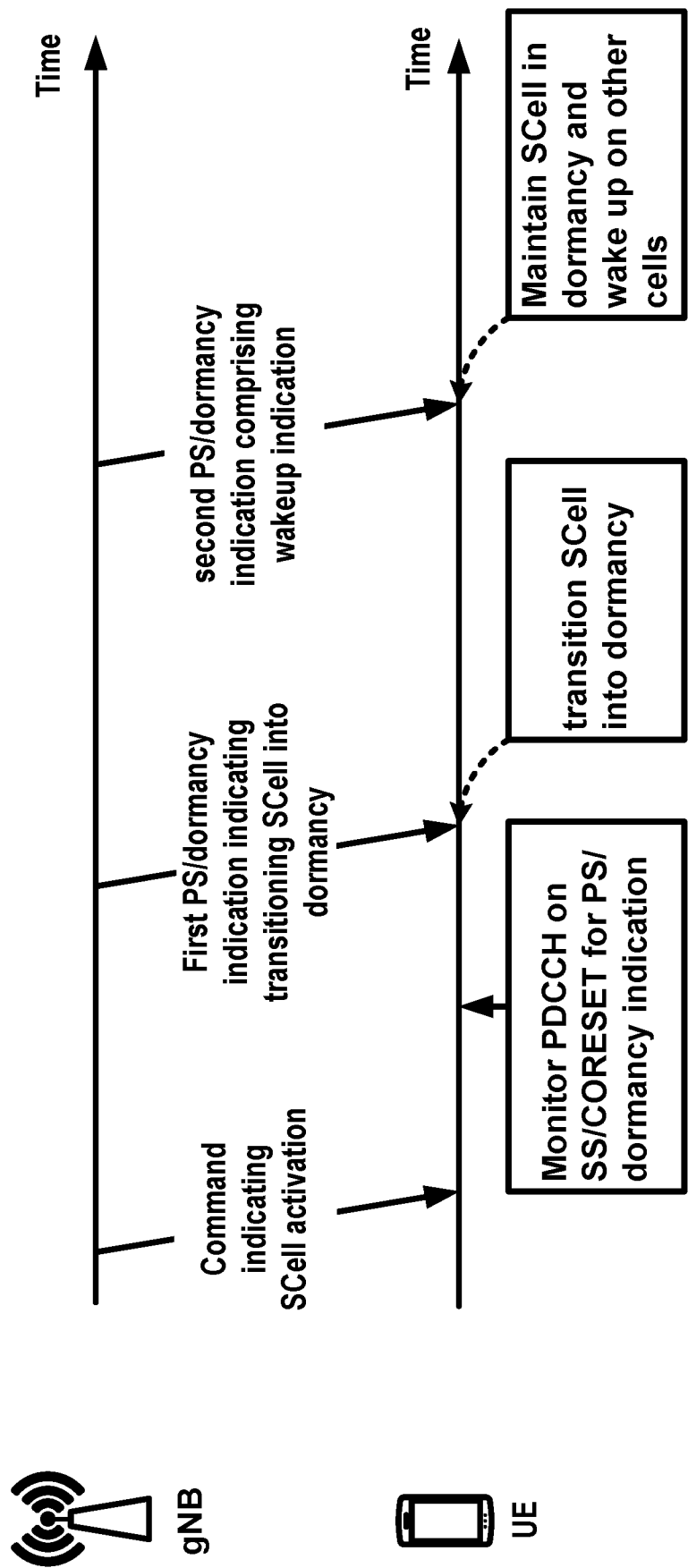


FIG. 36

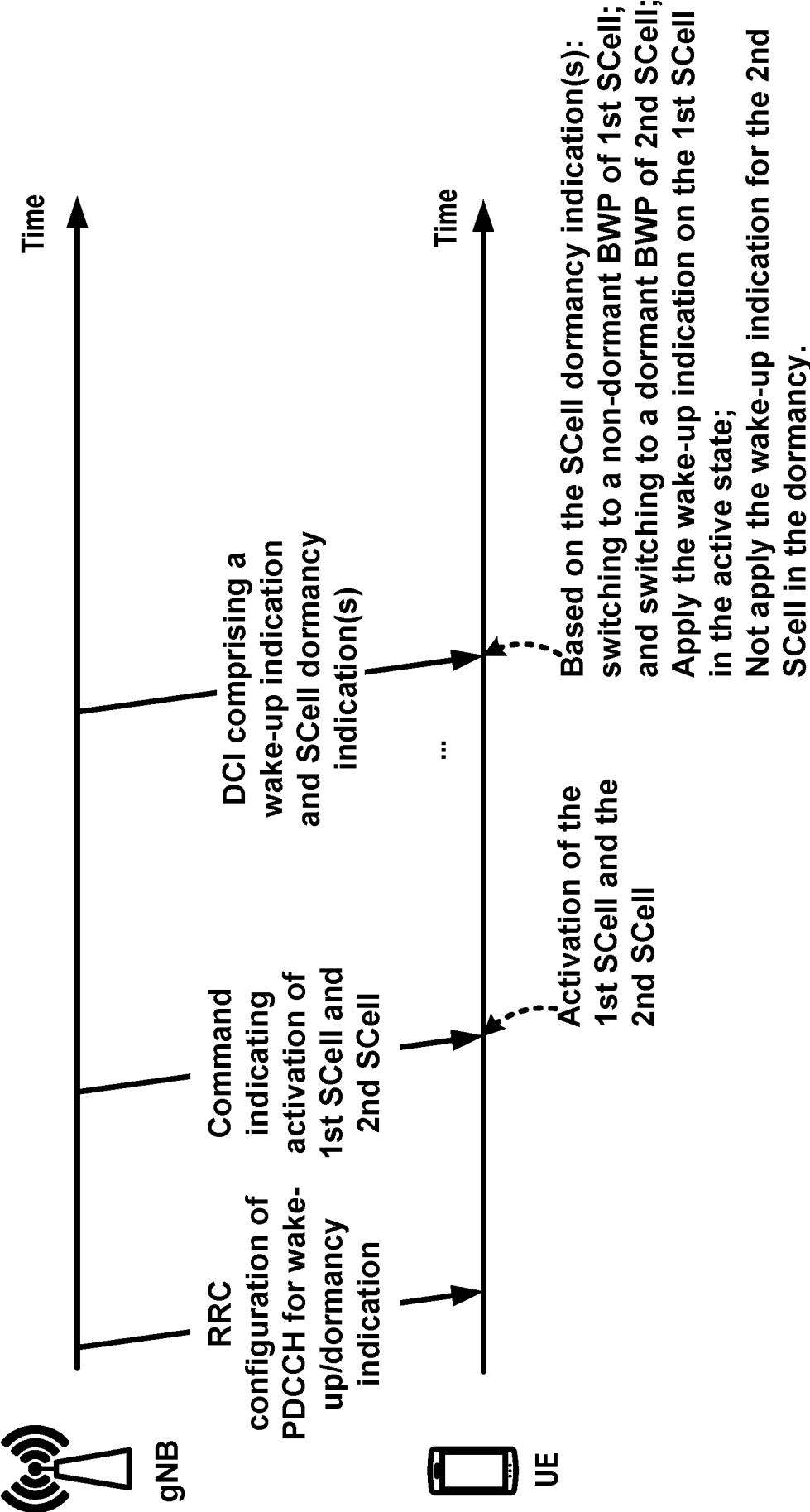
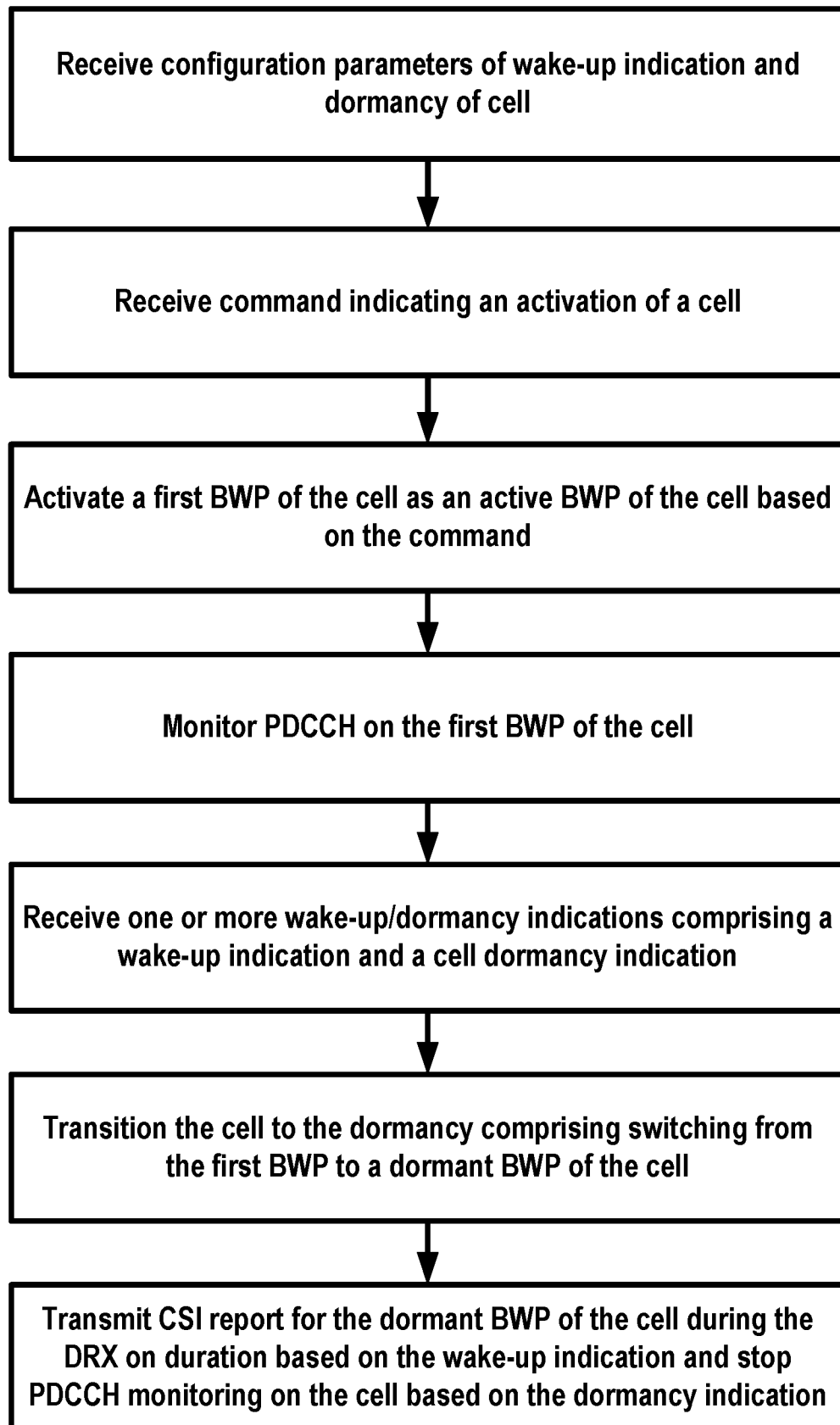


FIG. 37

38/39**FIG. 38**

39/39

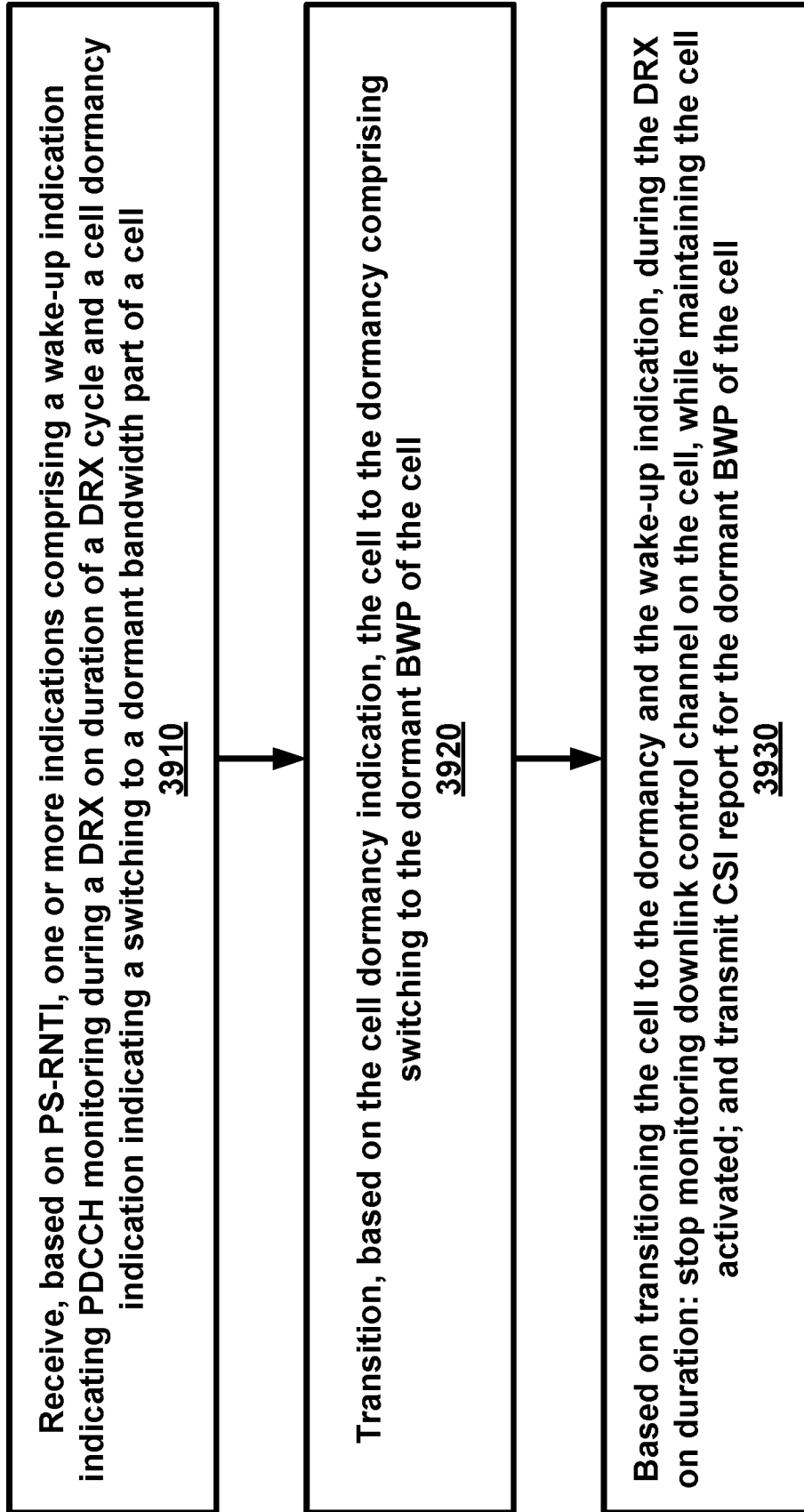


FIG. 39



gNB

RRC
configuration of
PDCCH for wake-
up/dormancy
indication

Command
indicating
activation of
1st SCell and
2nd SCell

DCI comprising a
wake-up indication
and SCell dormancy
indication(s)

Time



UE

...

Activation of the
1st SCell and the
2nd SCell

Based on the SCell dormancy indication(s):
switching to a non-dormant BWP of 1st SCell;
and switching to a dormant BWP of 2nd SCell;
Apply the wake-up indication on the 1st SCell
in the active state;
Not apply the wake-up indication for the 2nd
SCell in the dormancy.

Time